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TITLE IMPROVED INPUT REPRESENTATION FOR ENHANCEMENT
OF NEURAL NETWORK PERFORMANCE

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Improved Input Representation for Enhancement of Neural Network Performance

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INTRODUCTION

Auto-associate and hetero-associate memory have received major attention as important applications for neural network or "connectionist" computing¹⁻⁶. The potential importance of this application has attracted numerous investigations into optical hardware implementations⁷⁻¹³. An important consideration for the implementation of associative memory is the storage capacity of the network. For a Hopfield net, the memory capacity for uncorrelated patterns is approximately $.25N/\log(N)$, where N is the number of neurons. In general, the capacity for information storage is proportional to the number of synapses¹⁶. For fully connected networks the number of synapses scales as N^{m+1} , where N is the number of neurons and m is the order of the network. Higher order networks have a much greater storage capacity than a Hopfield net for an equivalent number of neurons. Simply increasing the number of neurons will not always increase the storage capacity. This will be shown by the simulation results of this paper. Since in most applications, the patterns are coherent with some correlation, the storage capacity is significantly worse than for uncorrelated patterns. The performance of an associate memory network depends significantly on the representation of the data. For example, it has already been recognized that bipolar representation of neurons with -1 and +1 states out-perform neurons with on and off states of +1 and 0 respectively. This paper will show that a simple modification of the pattern vector to have zero bias will provide even more significant increase for the performance of an associative memory network.

The higher order algorithm of Lee et al.¹⁶ is used for the numerical simulation studies of this paper. To the lowest order this algorithm reduces to the Hopfield model for auto-associative memory and the bidirectional associative memory (BAM) of Kosko⁶ for hetero-associative memory model respectively.

ALGORITHM

For auto-associative memory, the iterative dynamical equation is:

$$S_{i_1}^{n+1} = W \left[\sum_{i_2, \dots, i_l} T_{i_1, i_2, \dots, i_l} S_{i_2}^n \dots S_{i_l}^n \right]$$

where

$$W[x] = +1 \quad \text{for } x \geq 0$$

$$W[x] = -1 \quad \text{for } x < 0$$

The iterative dynamical equations for hetero-associative memory are:

$$S_{i_1}^{n+1} = W \left[\sum_{i_2, \dots, i_l, j_1, \dots, j_m} T_{i_1, \dots, i_l, j_1, \dots, j_m} S_{i_2}^n \dots S_{i_l}^n U_{j_1}^n \dots U_{j_m}^n \right]$$

$$U_{j_1}^{n+1} = W \left[\sum_{i_1, \dots, i_l, j_2, \dots, j_m} T_{i_1, i_2, \dots, i_l, j_1, j_2, \dots, j_m} S_{i_1}^n S_{i_2}^n \dots S_{i_l}^n U_{j_2}^n \dots U_{j_m}^n \right]$$

where $T_{i_1, \dots, j_1, \dots}$ is the memory tensor constructed from the assumption of Hebbian learning. For auto-associative memory the memory tensor is:

$$T_{i_1, i_2, \dots, i_l} = \sum_p \xi_{i_1}^p \xi_{i_2}^p \dots \xi_{i_l}^p$$

For hetero-associative memory the memory tensor is:

$$T_{i_1, i_2 \dots i_l, j_1 \dots j_m} = \sum_p \xi_i^p \xi_{i_2}^p \dots \xi_{i_l}^p \eta_{j_1}^p \dots \eta_{j_m}^p$$

The summation over p is the sum over all patterns. The most efficient implementation of the iterative dynamical equations depends on the nature of the application. For a learning situation where the system is required to extract features and to generalize, the number of patterns is sufficiently large such that the storage requirement for all the patterns exceeds the storage requirement for the tensor elements. For associative memory applications, on the other hand, to avoid degradation of classification accuracy, the number of patterns stored on the neural network is limited and the storage requirements for all the individual patterns is less than the storage requirement for the memory tensor. In this instance, it is more efficient to implement the iterative dynamic equation in the form of dot products. For hetero-associative memory, the dynamical equations are:

$$S_{i_1}^{n+1} = W \left[\sum_p \xi_{i_1}^p (\xi^p \cdot \underline{S}^n)^{l-1} (\underline{y}^p \cdot \underline{U}^n)^m \right]$$

$$U_{j_1}^{n+1} = W \left[\sum_p \eta_{j_1}^p (\xi^p \cdot \underline{S}^n)^l (\underline{y}^p \cdot \underline{U}^n)^{m-1} \right]$$

For auto-associative memory, the dynamical equations reduce to:

$$S_{i_1}^{n+1} = W \left[\sum_p \xi_{i_1}^p (\xi^p \cdot \underline{S}^n)^{l-1} \right]$$

For the applications of pattern recognition or retrieval, the bipolar representation of patterns usually lead to a bias. As will be shown in this paper, this lead to degraded performance of the network. To remove the bias, the bipolar representation will be transformed into an alpha-beta representation where

$$N_p \beta - N_m \alpha = 0$$

$$N_m \alpha^2 + N_p \beta^2 = N$$

N_m and N_p are the number of neurons for pattern ξ^p in the -1 and +1 states respectively. The second equation insures that all pattern vectors are of the same magnitude. The new dynamical equations are:

$$S_{i_1}^{n+1} = W \left[\sum_p \xi_{i_1}^p (\tilde{\xi}^p \cdot \tilde{\underline{S}}^n)^{l-1} (\tilde{\underline{y}}^p \cdot \tilde{\underline{U}}^n)^m \right]$$

$$U_{j_1}^{n+1} = W \left[\sum_p \eta_{j_1}^p (\tilde{\xi}^p \cdot \tilde{\underline{S}}^n)^l (\tilde{\underline{y}}^p \cdot \tilde{\underline{U}}^n)^{m-1} \right]$$

The $\tilde{}$ indicates the transformed state. The transformed state replace the -1 and +1 with -alpha and beta respectively. Each pattern has its own corresponding value of alpha and beta, and the alpha and beta values for \underline{S} and \underline{U} are re-computed for each iteration.

SIMULATION RESULTS

These modified algorithms have been implemented on the Sun workstation with a very friendly user interface. Figure(1) is a sample display of the window interface. By using the mouse a user can choose the simulation model, the simulation resolution (8x8, 16x16, or 32x32 pixels) and the order

of the simulation. Other features are included for printing, storing, retrieving and deleting patterns to make the use of the interface easier and more intuitive. The particular sample is running the algorithm in the auto-associative memory mode. Using the mouse, the pattern displayed on the upper right corner can be arbitrarily modified turning pixels on and off. Patterns constructed in this way can be added to the network memory which is displayed on the left. Each of these stored patterns can be recalled, modified and either restored to storage or used as an input pattern (perhaps with the addition of "noise" pixels or warped in shape) for the neural net. The pattern on the lower grid is the input interface to the network. For the example shown, each pattern vector has 256 pixels or input neurons (16 x 16). The bipolar representation is active, the letter "Q" was retrieved from storage and placed on the lower grid. The dot product formulation was used with dot product raised to the eighth power. The output for each iteration is also displayed on the lower left grid pattern. For the particular example, the iteration has converged to the alphabet "O" in memory. A ninth order neural network is required to retrieve the letter "Q" without error.

Simulation studies have been conducted with the system described above using three different set of patterns. These three sets are shown in Figure(2). The first two sets of letters have 16x16 resolution or 256 input neurons. The third set has a resolution of 8x8 or 64 neurons. The third pattern set is constructed from the second pattern set where four pixels are mapped onto one pixel. Pixels in the third set are turned on if any one of the four pixels on the corresponding mapping are on. The simulation results are shown in table (I). Only one set is in the neural network memory at a given time. For each set, the order of the network is determined for the perfect retrieval of each patterns with corresponding uncorrupted pattern as input. Performance can be compared for each pattern set in memory and for the two different representations.

The first and fourth column compared the performance of the alpha-beta representation versus the bipolar representation for the perfect retrieval of the first pattern set. Very high order is required for the bipolar representation, order of 7-15, as compared to order of 2-5 for the alpha-beta representation. The simulation clearly showed the problem of bias for the bipolar representation. At network order lower than that given in column one, the network converges to a very strong attractor which turns every pixel off or to -1.

Comparison between the first and second column shows large improvement for the bipolar representation, consistent with the second pattern set being much less biased. The corresponding comparison in the alpha-beta representation is between columns four and five. The performance degraded slightly for the second pattern set due to greater overlap among the patterns.

The best performance for the bipolar representation is for 64 neurons. This contrasts with the conventional wisdom that storage capacity increases with number of input neurons. This rule of thumb is only true for storage of unbiased random patterns. If the stored patterns requires only a small number of neurons, the excess neurons contribute noise and not increased storage capacity. This points to a problem for using neural network to recognize a small object in a large scene. The signal from the small object will be dominated by the background scene. To overcome this problem a network must have the ability to focus attention on the small object. That is local connections on the network has to be enhanced over global connections.

The comparison for the alpha-beta representation for the three sets of pattern shows a similar level of performance. The best performance is achieved with the first set since the overlap among these patterns is the smallest. Recognition system requiring high resolution will always be biased for the bipolar representation. The alpha-beta representation overcomes this problem. Comparison between the two representations has been made in the hetero-associative mode. Figure (3) shows the input patterns and the corresponding output patterns. Figure (4) illustrates that the network successfully retrieved the association of "f" with "F" for a noisy "f" input. As expected the simulation results showed significant increased performance with lower network order for the alpha-

beta versus the bipolar representation. The gain in performance is comparable to that achieved for auto-associative memory.

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Letter	Bipolar			Alpha-Beta		
	16 × 16	16 × 16	8 × 8	16 × 16	16 × 16	8 × 8
A	7	4	4	2	2	3
B	9	5	4	3	4	4
C	14	7	3	4	5	2
D	13	7	4	5	5	4
E	15	8	4	5	5	4
F	10	6	3	2	3	3
G	9	4	3	3	3	3
H	11	6	3	3	3	2
I	10	6	2	2	3	2
J	9	6	3	2	3	2
K	10	6	3	3	3	3
L	7	6	5	4	4	4
M	10	5	4	2	3	3
N	7	4	3	2	3	3
O	7	5	2	2	3	2
P	11	5	4	3	3	3
Q	9	5	4	3	3	3
R	9	5	4	3	3	3
S	8	5	4	2	3	3
T	11	6	5	2	3	3
U	10	5	4	3	3	4
V	9	5	4	2	2	2
W	8	4	3	2	2	2
X	9	5	3	2	2	2
Y	11	6	3	2	2	2
Z	10	5	3	2	3	2

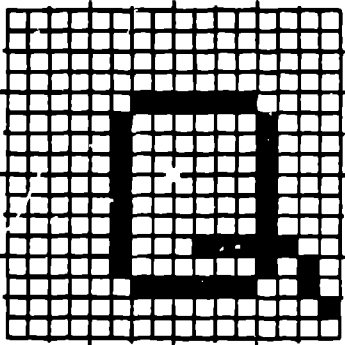
TABLE I. Minimum Network Order

Network (100)

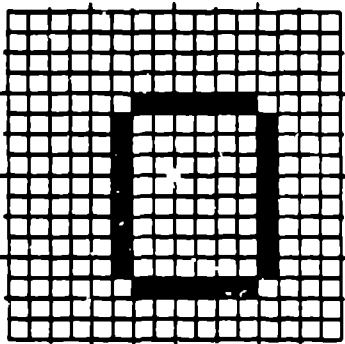
load save quit File: dms.16.1 clear grid get put add

delete clear print Storage: Learned Page: 1 converged

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
Q	R	S	T	U	V	W	X	Y	Z						



clear conv new get



Order: 0 Resolution: 16x16 Mode: Simple

Manual Network Icon

load save quit File: Demo.12 clear grid get put add

Go into Clear Sprng Storage: Learned Page: 1

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
Q	R	S	T	U	V	W	X	Y	Z						
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
Q	R	S	T	U	V	W	X	Y	Z						
A	B	C	D	E	F	L	N	O	G	H	I	J	K	M	P
Q	R	S	T	U	V	W	X	Y	Z						

STOP CONV DOWN GET

Order: 18 Resolution: 16x16 Mode: Simple

Terminal window titled "Terminal Test" with the following interface:

Buttons: load save quit File: demo.18.2 clear grid get put add
delete clear op ~~SPRT~~ Store: Input Page: 1

a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p
q	r	s	t	u	v	w	x	y	z						
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
Q	R	S	T	U	V	W	X	Y	Z						

Buttons: iter conv pos rot

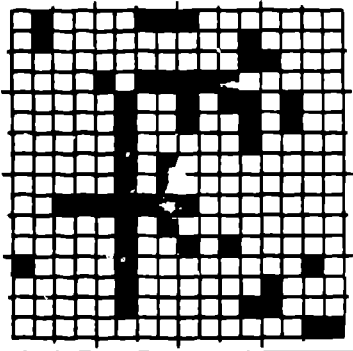
Footer: InOrder: 0 OutOrder: 0 Resolution: 18x18 Mode: Simple

General Network Tool

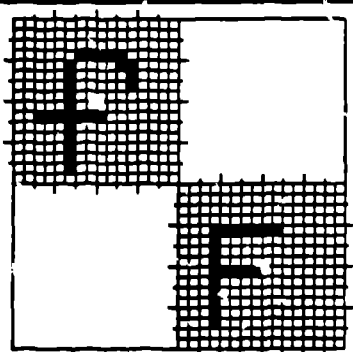
load save quit File: demo.16.1 clear grid get put add

delete clear or Store: Input Page: 1

a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p
q	r	s	t	u	v	w	x	y	z						



iter conv row get



InOrder: 2 OutOrder: 2 Resolution: 16x16 Mode: Simple