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W. H. Gust

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HUGONIOT ELASTIC LIMITS AND COMPRESSION PARAMETERS FOR BRITTLE MATERIALS*

W. H. Gust
Lawrence Livermore Laboratory, University of California
Livermore, California U.S.A.

INTRODUCTION

The physical properties of brittle materials are of interest because of the rapidly expanding use of these materials in high-pressure and shock wave technology, e.g., geophysics and explosive compaction as well as military applications. These materials are characterized by unusually high sonic velocities, have large dynamic impedances and exhibit large dynamic yield strengths.

EXPERIMENTAL METHOD

When a shock wave traverses a material that exhibits a dynamic compressive yield point or Hugoniot elastic limit (HEL) it may break up into two successive waves depending upon the velocity of the compressional waves. In such a case the first wave (usually called the elastic wave) brings the material up to the plastic yield point and propagates at about longitudinal sound speed. The plastic yield occurs in the second or plastic wave front which compresses the material to the final stress achieved in a given shock. The velocity of the second wave depends upon the strength of the initial shock.

If a phase transition occurs, a three wave structure may be present. In this case, the second wave, displaying roughly constant shock and particle velocities, brings the material up to the point where the phase transition is initiated. The actual transition occurs in the third wave which takes the material to the final stress state.

Determination of the dynamic yield strength in compression or Hugoniot elastic limit for a brittle material requires different techniques than those used for stress measurements on materials where the elastic wave can be easily overdriven. This is because a strong elastic wave (e.g., ~ 4 or 5 GPa) can trigger ordinary shock arrival sensors and thus mask the arrival of the plastic wave.

There are several techniques that are used to resolve multiple wave shocks. These methods fall into two categories; those that continuously measure the

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material free surface velocity as each wave passes through it and those that use a transducer to measure pressure directly. Detailed descriptions by those who introduced the techniques are available. Recently Graham and Asay (14) published a critical review of all of the techniques used for measuring wave profiles in shock loaded solids.

For multiple-wave structures the application of the conservation of mass and momentum and energy across the shock front leads to the basic Hugoniot equations which are:

$$\sigma_n = v_{n-1}^{-1} \left(u_{s_n} - u_{p_{(n-1)}} \right) \left(u_{p_n} - u_{p_{n-1}} \right) + \sigma_{n-1} \quad (1)$$

$$v_n = v_{n-1} \left(u_{s_n} - u_{p_n} \right) / \left(u_{s_n} - u_{p_{n-1}} \right) \quad (2)$$

$$E_n = 1/2 (\sigma_n - \sigma_{n-1}) (v_{n-1} - v_n) + E_{n-1} \quad (3)$$

The free-surface approximation, i.e., $U_{p_n} = U_{f_s}/2$, was used for the elastic and phase transitional waves. This is a reasonable approximation since for brittle or relatively incompressible materials the rise in temperature and hence the change in internal energy is small.

ELASTIC-PLASTIC BEHAVIOR

If a material is shocked to a state lying along the deformational Hugoniot and is able to support a shear stress of magnitude τ_{max} the Hugoniot will be offset above the isothermal hydrostat by a stress

$$\Delta\sigma_{HEL} = \frac{4}{3} \tau_{max}. \quad (4)$$

If a shock-induced phase transition occurs this shear stress may cause a difference between the measured shock transition stress and the corresponding transition pressure determined hydrostatically. If the shear stress does not aid in the transition and temperature effects are negligible then the transition stress that should be compared with the hydrostat is P_{Tr} , not σ_x .

$$P_{Tr} = \sigma_x^T - \frac{2}{3} \left(\frac{1 - 2\nu}{1 - \nu} \right) \sigma_x^{HEL} = \sigma_x^T - \frac{4}{3} \frac{C_S^2}{C_L^2} \sigma_x^{HEL}. \quad (5)$$

When the elastic wave data was analyzed through use of Eqs. (1) and (2), the particle velocity was obtained from the free surface velocity approximation $U_p = U_{f_s}/2$. This implies that the entropy increase related to the elastic shock state is small and the material properties in the initial and final states are substantially the same.

It has been found that many materials exhibit a linear relationship between shock and particle velocity, i.e.,

$$U_s = C_0 + S U_p \quad \text{where } S = dU_s/dU_p. \quad (6)$$

The compression at zero pressure is $\lambda = (V_0 - V_1)/V_0 = U_p/U_s$. (7)

Substitution into (6) yields

$$U_p = C_0 \lambda / (1 - S\lambda), \text{ and } U_s = C_0 / (1 - S\lambda). \quad (8), (9)$$

From which we obtain the equivalent of a stress-volume shock locus, namely

$$\sigma_1 = \sigma_0 C_0^2 \lambda / (1 - S\lambda)^2. \quad (10)$$

At zero pressure $\gamma = 2S - 1$. (11)

In order to estimate the temperature at regimes above the HEL and any phase transitions that may exist we have used a simple Grüneisen equation-of-state model.

We assume a specific heat $C_v = 3R$ and a Grüneisen γ derived from the slopes of the continuously joined sections corresponding to the segments in the U_s , U_p plots. The γ 's are assumed linear in volume. The temperatures are then computed as if the Hugoniot represented equilibrium states.

HUGONIOT ELASTIC LIMITS

In this paper a brittle material has been arbitrarily defined as being one that has a Hugoniot elastic limit of about 2.0 GPa or more. Table 1 lists the existing HEL measurements that fall into that category (excluding geological materials). Data prior to 1958 has been surveyed by Jones and Graham (19). Their compilation also lists many HEL measurements with amplitudes less than 2.0 GPa.

The HEL data for most materials display considerable scatter. A unique value may only be obtained when strain rate effects or time dependent yield phenomena are negligible. These effects can be monitored by varying the amplitude of the driving pressure and by varying sample thickness. Many times, however, results from using these techniques are inconclusive, i.e., no definite trend is noted. One would expect, for example, that materials of extreme purity and ordered atomic array like single crystals would exhibit a unique value but that is not the case. For a given driving pressure and thickness, the HEL values for extremely pure crystalline Si, Ge and Al_2O_3 (Table 1) vary about 10% with no apparent relation to strain-rate or time-dependent phenomena.

HIGH STRESS REGIME

References for published high-pressure deformational Hugoniot for the brittle substances listed in Table 1 include: AISI4340, maraging and AMS5656 steels (6,9), W(9), Si and Ge (11,14), SiO_2 (17,18,19,20,33), TiO_2 (22), MgO (23,24), $CaCO_3$ (25,34), Al_2O_3 (26,27,28,35), B_4C (9,27), BeO (27), SiC (9,32), TiB_2 (32), Be₃B (32).

Previously unpublished sound velocity, deviatoric stress and Hugoniot data for a number of polycrystalline ceramics are given in Table 2. Except for ZnS and ZnSe experimentally determined hydrostats could not be located so the shear strength characteristics were not determined.

Iron carbide, Fe_3C does not exhibit a large enough HEL to qualify as a brittle material by our standards. However, there is current interest in this substance as a possible component of the earth's core so it has been included.

The Hugoniot for Fe_3C exhibits a discontinuity at $U_p = 2.5$ km/s (138 GPa) that is probably related to a phase transition. Earths core calculations should take that into account.

The U_s , U_p plot for HFC also exhibits a discontinuity at $U_p = 1.0$ km/s. However, the Hugoniot for $U_p > 1.6$ km/s was obtained with HFC that was less dense (10.9 vs 12.3 Mg/m³). Because of this it is not clear that this discontinuity is related to a phase transition.

The HEL's for WC and BeB₆ were found to be 7.0 and 14.0 GPa respectively. No unusual features were observed. The WC data agrees well with that by R. G. McQueen et al. (16).

It has been noted (20-28) for crystalline SiO₂, MgO, crystalline Al₂O₃, BeO, W and perhaps B₄C that the high pressure Hugoniot and the isotropic compression curves are essentially coincident. These materials exhibit fairly large HEL's (2.5 - 21 GPa) but apparently have zero shear strength for shock loading greater than the HEL. They have been characterized as elastic-isotropic solids rather than elastic-plastic solids. Grady et al (28) have suggested that the loss of strength for quartz is caused by localized melting in shear deformation bands. Their recovered specimens exhibited planar deformation lamella that attested to a non-uniform yield process.

Shock-induced phase transitions were observed at about 17.5 GPa for ZnS and at 14.5 GPa for ZnSe. Comparisons with uncorrected data by Bridgman show that both materials exhibited shear strength above the HEL. The calculated deviatoric stress correction for ZnS is 2.5 GPa so, neglecting a small temperature rise, the comparable hydrostatic pressure is 15.0 GPa. This agrees exactly with the hydrostatic values reported by Le Nienjre et al. (31) and by Piermarini and Block (32). Other reported values for the ZnS transition pressure are 24.0 GPa by Samara and Drickamer (33) (later revised to 18.5 GPa) and 11.8 GPa by Ruoff and Chan (34).

NOTATION

B	Bulk Modulus	U_p	Particle Velocity
C_B	Bulk Sound Speed	V	Specific Volume
C_L	Longitudinal Sound Speed	γ	Grüneisen Coefficient
C_S	Shear Sound Speed	ν	Poissons Ratio
E	Internal Energy	ρ	Density
P	Hydrostatic Pressure	σ	Stress
T	Temperature	τ	Shear Stress
v_s	Shock Velocity		

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TABLE 1 Hugoniot Elastic Limits for Brittle Materials

Material	σ_{HEL}^a (GPa)	Condition	Sample ^b Thickness (mm)	Technique ^c	Reference
Metals					
4340 steel	1.9-1.4†*	annealed	6-50	G-9	1
4340 steel	2.3-2.1	annealed	3- 6	G-7	2
4340 steel	1.7	R _C 15	25	E-7	3
4340 steel	1.7-1.9	R _C 15	12	G-6	4
4340 steel	1.6	R _C 30	23	E-9	5
4340 steel	1.6-2.0	R _C 32	12	G-6	4
4340 steel	2.5	R _C 35	25	E-7	3
4340 steel	2.0-1.8	R _C 40	20-51	E-9	5
4340 steel	2.2	R _C 50	23	E-9	5
4340 steel	1.4-3.1	R _C 54	12	G-6	4
4340 steel	1.0-2.8	R _C 61	6	E-4	6
Maraging steel	2.6-5.3	Vascomax 350	6	E-4	6
AMS 5656C steel	1.0-3.3	As Received	6	E-4	6
HF-1 steel	2.4-2.1	R _C 40	3.2-6.4	G-9	7
W	3.8	99.9% pure 293K	9.5	G-9	8
W	2.3	1223K	9.5	G-9	8
Be	2.5	Arc melted	0.5-1.0	G-7	9

Material	σ_{HEL} (GPa)	Shock Propagation Direction	Sample Thickness (mm)	Technique	Reference
Single Crystals					
Be	4.0	c-axis	-	G-7	10
Si	9.2±1.0	[100]	6.4	E-4	11
Si	5.0±0.5	[110]	6.4	E-4	11
Si	5.4±0.3	[111]	6.4	E-4	11
Si	4.0	[111]	-	E-10	12
Ge	5.3-4.6*	[100]	6-12	E-3	12
Ge	4.7*	[100]	6	G-7	13
Ge	5.8±0.8	[100]	6.4	E-4	14
Ge	4.7±1.0	[110]	6.4	E-4	14
Ge	4.4±0.5	[111]	8	G-7	15
Ge	4.1-3.5*	[111]	6-12	E-3	12
Ge	4.8±1.4	[111]	6.4	E-4	14
CdS	> 3.2	c-axis	-	E-9	16
CdS	> 2.8	a-axis	-	E-9	16
(SiO ₂)	9.8-4.8*	x-cut	5-25	E-5	17
(SiO ₂)	6.6-5.5*	x-cut	6	E-2	18
(SiO ₂)	11.0-8.2*	y-cut	10	E-5	17
(SiO ₂)	8.6-6.5*	y-cut	3-6	E-2	18
(SiO ₂)	14.5-12.0*	z-cut	10	E-5	17
(SiO ₂)	14.8-10.0*	z-cut	3-6	E-2	18
(SiO ₂)	14.5- 6.0	z-cut	-	E-3	19
(SiO ₂)	6.0	[1210]	-	E-3	20
(SiO ₂)	8.5	[1010]	-	E-3	20
(SiO ₂)	14.8	[0001]	-	E-3	20
(Al ₂ O ₃)	12.0-20.0	z-cut	10-13	E-5	21
(Al ₂ O ₃)	13.5-18.0	x-cut	10-13	E-5	21
TiO ₂	7.0	[100]	6	E-8	22
TiO ₂	10.0	[001]	6	E-8	22
MgO	3.7	[100]	-	E-2	23,24
MgO	8.9±10	[100]	-	E-2	23,24
(CaCO ₃)	2.2	[1210]	6	E-2	25
(CaCO ₃)	2.4	[1010]	6	E-2	25
(CaCO ₃)	1.9	[0001]	6	E-2	25
(CaCO ₃)	1.9	[1011]	-	E-2	25

Material	σ_{HEL} (GPa)	Initial Density Mg/m ³	Sample Thickness (mm)	Technique	Reference
Polycrystalline Ceramics					
Al ₂ O ₃	11.2±1.2	3.97	6	E-2	26
Al ₂ O ₃	13.4±0.8	3.92	6	E-2	27
Al ₂ O ₃	8.3±0.5	3.81	6	E-2	26
Al ₂ O ₃	7.8±0.5	3.72	6	E-2	27
Al ₂ O ₃	6.1±0.5	3.42	6	E-2	27
Al ₂ O ₃	7.0 - 13.6	3.97	-	E-6	28
B ₄ C	15.4±1.0	2.50	6*	E-2	27
BeO	8.2±1.0	3.01	6	E-2	27
BaTiO ₃	2.5	5.54	13	E-5	29
BaTiO ₃	~3.0 [†]	-	3-13	E-3	30
Lead Zirconate Titanate (PZT 95/5)	~4.0 [†]	-	3-13	E-2,3	30
Lead Zirconate Titanate (PZT 52/48)	1.9	-	13	E-5	29
Manganese-Zinc Ferrite	2.3 Δ	-	14	E-9	31
Yttrium Iron Garnet	>6.0	-	8	E-9	31
TiO ₂	3.3-7.5	-	-	E-2	22
BeB ₆	13.9±3.9	2.32	6.4	E-4	This Work
Fe ₃ C	0.74	6.98	6.4	E-4	This Work
SiC	8.0±3	3.1	6.4	E-4	32
W C	7.0	14.9	6.4	E-4	This Work
HfC	11.9	12.5	6.4	E-4	This Work
ZnS	3.4	4.07	6.4	E-4	This Work
ZnSe	3.0	5.27	6.4	E-4	This Work
Intermetallic Compounds					
TiB ₂	8.6±3.0	4.51	3.2, 6.4	E-4	32
Be ₄ B	7.4±1.0	1.97	6.4	E-4	32
Be ₄ B + 8% BeO	7.7±1.0	1.99	6.4	E-4	32
AlB ₁₂	8.7	2.54	6.4	E-4	32
TiBe ₁₂	5.3	2.28	6.4	E-4	32
Be ₂ B	6.5	1.99	6.4	E-4	32
ZrBe ₁₃	7.1±12	2.73	6.4	E-4	32

- a. Symbols: † Sample thickness effect observed; * Stress relaxation observed;
 † Poorly defined elastic wave front;
- b. When a range of sample thicknesses is given † is noted; the larger HEL value corresponds to the thinner sample and vice-versa.
- c. Letters describe method of loading: E explosive loading and G gun impact. Numbers denote measurement techniques and references cited in the text.
- | | |
|-------------------------|--------------------------------|
| 1. Pins [1] | 6. Slanted Resistance Wire [5] |
| 2. Inclined Mirrors [2] | 7. Capacitor [6,7] |
| 3. Optical Lever [2] | 8. Manganin Wire [8,9,10] |
| 4. Inclined Prisms [3] | 9. Quartz Gage [11,12] |
| 5. Knife Edge [4] | 10. Electromagnetic Probe [13] |

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TABLE 2 Coefficients for $U_n = C_n + S_n U_p$

MATERIAL	INITIAL DENSITY (Mg/m^3)	SONIC VELOCITIES			HELL	STRESS OFFSET (GPa)	2nd WAVE REGIME			3rd WAVE REGIME		
		C_1	C_2	C_3			C_2	S_2	Limits (km/s) $<U_2>$	C_3	S_3	Limits (km/s) $<U_3>$
Fe ₃ C	7.05	5.19	2.96	3.91	0.7	0.12	4.60	1.87	0.75-2.5	8.05	1.77	3.10-4.2
HfC	12.3	6.29	3.90	4.58	11.9	6.1	5.11	1.53	0.70-0.90	5.48	1.13	1.6 - 3.9
WC (6 Co)	14.9	6.87	4.13	4.94	7.0	3.4	5.63	1.16	0.40-2.0			
BeO	2.33	13.6	8.83	4.99	14.0	7.8	8.40	1.80	0.64-1.6			
B ₂ O	2.52	13.4	8.47	9.16			12.1	1.83	3.7 - 5.0			(Extrapolates to C_0)
ZnS	4.075	5.45	2.92	4.27	3.4	2.5	4.8	1.14	0.35-0.80	3.93	1.86	1.1 - 1.4
ZnSe	5.266	4.44	2.33	3.54	3.0	1.2	4.0	1.15	0.4 - 0.65	3.66	1.83	1.1 - 1.4