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Decoding Earth's plate tectonic history using sparse geochemical data
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Abstract
Accurately mapping plate boundary types and locations through time is essential for
understanding the evolution of the plate-mantle system and the exchange of material
between the solid Earth and surface environments. However, the complexity of the Earth
system and the cryptic nature of the geological record make it difficult to discriminate
tectonic environments through deep time. Here we present a new method for identifying
tectonic paleo-environments on Earth through a data mining approach using global
geochemical data. We first fingerprint a variety of present-day tectonic environments utilising
up to 136 geochemical data attributes in any available combination. A total of 38301
geochemical analyses from basalts aged from 5–0 Ma together with a well-established plate
reconstruction model are used to construct a suite of discriminatory models for the first order
tectonic environments of subduction and mid-ocean ridge as distinct from intraplate hotspot
oceanic environments, identifying 41, 35, and 39 key discriminatory geochemical attributes,
respectively. After training and validation, our model is applied to a global geochemical

31 database of 1547 basalt samples of unknown tectonic origin aged between 1000-410 Ma, a 32 relatively ill-constrained period of Earth's evolution following the breakup of the Rodinia 33 supercontinent, producing 56 unique global tectonic environment predictions throughout the 34 Neoproterozoic and Early Paleozoic. Predictions are used to discriminate between three 35 alternative published Rodinia configuration models, identifying the model demonstrating the closest spatio-temporal consistency with the basalt record, and emphasizing the importance 36 37 of integrating geochemical data into plate reconstructions. Our approach offers an extensible framework for constructing full-plate, deep-time reconstructions capable of assimilating a 38 broad range of geochemical and geological observations, enabling next generation Earth 39 40 system models. 41

42 Keywords:

43 plate tectonics, geochemistry, geodynamics, supercontinents, rodinia, big data

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46 1. Introduction and background

47 The global continental configurations since the time of Pangea are relatively well established (Schettino and Scotese, 2005; Torsvik et al., 2008; Stampfli et al., 2013), 48 49 however continental motions in isolation offer limited insight into the complete tectonic 50 system in operation through deep time. The availability of data describing global seafloor 51 spreading histories has driven the development of self-consistent kinematic reconstructions 52 with continuous plate boundaries, together providing the clearest window into Earth's tectonic history to date (Gurnis et al., 2012; Seton et al., 2012; Müller et al., 2016). Finding 53 54 ways to apply this 'full-plate' philosophy to periods predating the present-day seafloor to 55 reconstruct the Paleozoic and beyond is at the very frontier of current tectonic research, and continues to present a significant challenge to the global tectonics community (Domeier and 56 Torsvik, 2014; Matthews et al., 2016; Merdith et al., 2017a). A fundamental obstacle lies in 57

the difficulty of identifying the nature and paleo-location of dynamic oceanic tectonic
environments associated with plate configurations through time, specifically subduction
zones, mid-ocean ridges and hotspot interactions of upwelling plumes and the oceanic crust.

62 Traditionally, geochemical analyses of igneous rocks, commonly basalt due to its abundance 63 and large environment-specific variation in potentially diagnostic element compositions, are used as a discriminatory tool to identify the tectonic environment within which a given 64 65 sample formed (Pearce and Cann, 1973; Shervais, 1982; Pearce, 2008). The approach involves evaluating the relationships of typically two or three measured element abundances 66 67 from a given sample set by plotting them overlaying a suite of discriminatory element ratio 68 fields previously established from geochemical analyses of rocks sourced from known 69 tectonic environments. However, outcomes of such an approach are often ambiguous with 70 the statistical probability of solutions difficult to evaluate. Figure 1A shows the tectonic 71 discrimination diagram of Shervais (1982), derived by evaluating the ratio of measured Ti/V 72 from n = -500 identified samples. These analyses suggest that volcanic rocks with Ti/V 73 ratios between 10 and 20 are likely sourced from subduction (ARC) systems, volcanic rocks 74 with a Ti/V of between 20 and 50 are associated with mid-ocean ridge (MOR) systems, and 75 volcanic rocks with Ti/V ratios of between 50 and 100 are ocean-island (OIB) hotspot related. 76 To explore the robustness and predictive ability of these models with a larger and more 77 diverse dataset, we evaluate n = 4914 global basalt samples aged 0–5 Ma with 78 measurements for both Ti and V extracted from the EarthChem portal 79 (http://www.earthchem.org), with each sample environment geographically classified using 80 the present-day tectonic configuration of Müller et al. (2016). The resulting Ti/V 81 discrimination diagram produces the same three distinct ratio fields as presented by Shervais (1982), trending from ARC to MOR to OIB as Ti abundance increases. However, 82 when derived from the larger data sample the discrimination fields are systematically shifted 83 84 towards higher Ti/V ratios as the global dataset contains a greater distribution and dynamic 85 range of measured Ti abundances. The resulting modified discrimination fields with upper

86 and lower bounds calculated by 20 distribution about each population mean suggest Ti/V ratios between 25.9 and 49.5 represent ARC related rocks, Ti/V ratios of 41.4-70.61 87 88 represent MOR, and Ti/V ratios of 61.4–166.3 represent OIB environments. Figure 1B 89 shows the same data points with calculated 0.9 and 0.1 probability contours for each 90 environment, indicating that Ti/V ratio diagrams are unlikely to be able to discriminate between tectonic environment for volcanic rocks with Ti values between ~ 7.5 and 16 and V 91 92 values between ~ 180 and 360 as all data fields exist within this ratio space. It is also apparent the MOR field almost entirely overlaps with the OIB field, suggesting that only 93 MOR samples with the highest V abundances or the lowest Ti abundances have the 94 95 potential for identification using this method.

96

97 Although powerful and useful tools when applied to well-understood sample sets with 98 unambiguous geochemical signatures, methods that rely on directly comparing ratios from 99 only a small number of geochemical sample data types are limited both in their resolution 100 and discriminatory ability. Such limitations have long been recognized, and a number of 101 more successful and sophisticated alternative approaches have been developed. Statistical 102 methods including linear discrimination analysis (LDA) of raw data and LDA with log-ratio 103 transformations of major-element data (Agrawal et al., 2004; Verma et al., 2006; Verma, 104 2010) are able to predict the tectonic environments of a small set of randomly-chosen 105 samples from each known environment with reported success rates of ~76%-96% and 106 ~83%–97%, respectively (25 and 100 samples were taken from databases of 2732 and 1159 107 samples respectively). For each study, databases were constructed with predominantly 108 Pliocene basic and ultrabasic rocks of known tectonic affinity, with selection criteria based 109 on each sample requiring 10 pre-prescribed major-element measurements. An alternative 110 has arisen from the development of semi-automated methods, each utilizing a classification tree (CT) exclusionary filter approach (Vermeesch, 2006a, b). This approach requires a 111 large number of pre-prescribed element and isotopic measurements (up to a maximum of 112 113 51), and uses a database of 756 samples of known tectonic affinity (sample ages are not

114 considered) to predict the source tectonic environment from basaltic rocks. In addition, this 115 method requires a set of a priori assumptions of optimal measurement abundances (used to 116 make the decisions within the classification tree), which together with the high number of required measurements per sample limit possible applications. The reported successful 117 118 tectonic environment identification rate is 89% and 84% for trees requiring 51 and 28 measurements, respectively. From the reported results of LDA and CT, it is clear both these 119 120 methods have high success rates in predicting 'unknown' tectonic environments provided the a priori assumptions are both sufficiently geologically accurate and objective, the 121 'unknown' sample environments are known before the experiments in order to evaluate 122 success, and the datasets themselves are filtered to contain only data with all the pre-123 124 prescribed geochemical values required to perform the selected analyses.

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In cases where relatively young, adequately sampled and geologically well understood data 126 127 are available, these methods demonstrate the best predictive capabilities. However, for 128 investigations into Earth's long term tectonic history, the geological record is rarely 129 sufficiently complete or well understood. Geological data, particularly for times prior to 50 Ma, 130 are both temporally and geographically sparsely sampled (see Supplementary Fig. S2). Of 131 these sparse data, the quantity and type of available geochemical measurements per 132 sample are highly variable, rendering the use of methods with strict input criteria such as 133 Ti/V discriminatory diagrams and statistical methods like LDA and CT, unsuitable for deep 134 time tectonic studies where data are fewest and most spatially and temporally inconsistent. 135 To directly address both this limitation of the available data and the subsequent analysis 136 limitations of most previous approaches, one of the primary aims of this study is the 137 development of a robust method able to tolerate inconsistent data. This approach provides a 138 practical method able to analyze any sample regardless of the number of type of attribute 139 measurements available.

141 **2.** New approach to an old problem

142 Building on this research, we explore the design and application of a new and highly flexible 143 method for identifying the source tectonic environments of sparse basaltic rock data of entirely unknown origin incorporating a significantly wider and variable range of potentially 144 145 discriminatory attributes without the need for a priori assumptions, prescribed sampled attributes or consistency of measured quantities between samples. In this new approach, we 146 focus on utilizing the structures or 'fingerprints' present within a freely available large basalt 147 148 geochemistry database to construct data models representative of the first-order tectonic environments ARC, MOR and OIB. Like the fingerprint analogy, each environment model 149 150 possesses a unique data pattern (Fig. 3), a blueprint that can be used to identify the source 151 tectonic setting when compared with patterns of unknown basalt samples. The dataset was 152 generated using the entire EarthChem Portal database (http://www.earthchem.org) as of July 2015. A total of n = 894,439 individual samples were processed for data quality, 153 154 assessing each for valid ages, labelling, sample site coordinates and consistent 155 measurement units. Any data that could not be corrected, failed any criteria, or could not be 156 converted to SI units were discarded. From the remaining data, a total of n = 97,952 basalt 157 samples with ages ranging from 1000–0 Ma were identified by their respective EarthChem 158 "ROCK NAME" label and extracted from the database. Tectonic environment data fingerprint 159 models were built using all available basalt data aged 5–0 Ma (n = 38,301). Sample data 160 were geographically assigned the one of three first-order tectonic environment labels of 161 "MOR" for mid-ocean ridges (n = 18,213), "ARC" for subduction zones (n = 1858), and "OIB" 162 for oceanic hotspot related upwellings (n = 7891) by comparing sample site locations with 163 classification polygons derived from known present-day tectonic environment geometry and 164 distribution (Müller et al., 2016). For each environment model, the EarthChem dataset 165 contains up to a total of 136 possible sample discriminatory attributes, comprising of a 166 combination of major and minor element measurements and element ratios. In order to 167 analyze the sample data structure and not the individual geochemical measurement values 168 all samples were normalized using feature scaling making values non-dimensional. In order

169 to use the most representative and robust samples sets for training, only samples with 170 attribute values between the 2.5th and 97.5th percentiles (representing a 2o distribution) in 171 the environment model training dataset (5–0 Ma) were included. To build a given tectonic 172 environment model, available normalized attribute data from each labelled sample are 173 sorted into 10 equal attribute magnitude bins, generating a frequency distribution for sample 174 attribute occurrences for the given model within the dataset. A diagnostic weighting function is calculated for each model to isolate model attributes with the greatest discriminatory ability 175 (i.e. entirely unique attributes or common attributes found to have unique magnitudes in a 176 single model), positively weighting model discriminatory attribute and negatively weighting 177 common or non-diagnostic attributes. The resulting function weights diagnostic attributes to 178 179 comprise 50% of the total model fit score, with all non-diagnostic attributes making up the 180 remaining model fit. To classify a basalt sample of unknown tectonic affinity, the individual 181 sample attribute data is normalized using feature scaling using the defined the 5-0 Ma 182 model 2o distribution. The normalized model attribute data is then cross-referenced with all 183 available environment models, returning a goodness-of-fit score for each attribute based on 184 the match of the data structure of the unknown sample and the data structure of the given 185 tectonic environment model. A maximum individual attribute score of 10 represents a perfect 186 match with a given attribute highest frequency bin and a score of 1 represents a match with 187 the lowest frequency bin. A total model fit is returned for all given environment models, and 188 is the weighted sum of each available attribute fit score. A prediction confidence estimate is 189 calculated for each total model fit using the number of attributes present in the unknown 190 model compared to the number of attributes present in the given tectonic environment model 191 and is weighted by the unknown sample fit to discriminatory attributes. As multiple samples 192 exist at the same geographic localities combined with the use of rigid-plate reconstructions 193 that do not account for deformation processes and significant reconstruction uncertainty for 194 the Neoproterozoic, labelled sample predictions of congruent age and sample site are averaged using a 5° global mesh grid, producing a spatio-temporally averaged predicted 195 196 sample set of n = 1561 from 1000–5 Ma.

198 To evaluate robustness and predictive ability, first-order tectonic fingerprint models were 199 evaluated in two ways. Cross validation was performed on the 5–0 Ma dataset of n = 38,301200 labelled samples used to build the fingerprint models. A total of 1000 independent validation 201 tests were performed where the 5–0 Ma data were split into two sets; a randomly sampled 202 training set consisting of 70% of the data (n = -26,800), and a testing set consisting of the 203 remaining 30% ($n = \sim 11,500$). For each validation test new first-order models were built for 204 MOR, ARC, and OIB environments from the given validation training set, then used to new make environment predictions on the given validation testing set. For validation, a 2º global 205 206 mesh grid was used for geographical averaging as opposed to the 5° global mesh grid used 207 in the case study as the 5–0 Ma data is sampled in active present-day tectonic environments 208 and is subsequently of higher spatial sampling precision. Resulting predictions were then 209 compared against the original 5–0 Ma training set labels. From 1000 random cross 210 validation tests, first order models predicted the present-day labelled 5-0 Ma training data at 211 a mean success rate of 77.8% with a 2o standard deviation of 1.45. The distribution of cross validation test success rates is shown in Fig. 2. The second evaluation of the method was to 212 213 benchmark predictions of all available 'unknown' basalt data of n = 11,468 aged 30–5 Ma 214 against labels for the same data points geographically classified by a given plate model 215 (Muller et al., 2016). The plate model classification labelling process for the 30–5 Ma data 216 was identical to the process used to label the 5-0 Ma training data in the main study and 217 predictions were made using the full 5–0 Ma training dataset (n = 38,301). The full set of test 218 data aged from 30-5 Ma had an overall mean prediction success rate of 73.2%, consistent 219 with the results of the cross validation tests, with individual success rates of 84.4% for 10-5 220 Ma, 69.0% for 15–10 Ma, 69.6% for 20–15 Ma, 66.7% for 25–20 Ma, and 78.6% for 30–25 221 Ma.

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Each resulting first-order fingerprint (FP) model contains up to a maximum of 136

discriminatory data attributes to describe the geographically classified environment (Fig. 3).

225 The predictive ability of the method shows significant tolerance and robustness to imperfect 226 data as the method does not rely on inter-attribute correlation. Though potentially reducing 227 the predictive ability of the method when analyzing highly consistent datasets, the 228 independent nature of the proposed attribute analysis makes this method most practically 229 applicable to deep time tectonic investigations where data are most spatio-temporally sparse and inconsistent. Using this new method, a sample of unknown origin containing any 230 231 number or type of attributes can be classified by evaluating the available data attributes 232 present in the sample against those present in the models (with predictive confidence proportional to the number and type of sample attributes present in the sample relative to the 233 234 total number of attributes present in the model). In comparison with the case described in 235 Section 1, using FP models without the restriction of requiring both Ti and V abundance 236 measurements, it is possible to build tectonic discrimination models with almost 8 times the 237 number of individual sample data from the same EarthChem dataset (n = 38,301 as 238 opposed to n = 4914 data points). In contrast to traditional methods which evaluate the 239 relationships between geochemical abundances to make predictions, this approach allows 240 us to analyze the structure of the dataset itself to identify the source environment 241 characteristics of a given sample. The discriminatory data structures in the models created 242 in this study (as shown in Fig. 3) allow us to visualize and compare the unique data attribute 243 characteristics of each first-order tectonic environment. Figure 3A-C show the data structure 244 for MOR (103 attributes from 18213 samples), ARC (94 attributes from 1858 samples), and 245 OIB (102 attributes from 7891 samples) models, respectively. In each plot, the x-axis 246 represents a given non-dimensional sample attribute (full attribute list can be found in 247 Supplementary Table S2), and the y-axis represents the 10 normalized histogram bins, with 248 color opacity representing the frequency of data occurrence within a given bin. Cells marked 249 in black represent the bin mode, that is the bin with the highest frequency occurrence for the 250 given non-dimensional attribute. Each identified environment model from the 0-5 Ma training set produces a unique attribute 'fingerprint', showing very different data availability, 251 252 distributions and patterns of highest frequency cells between models (Fig. 2A-C). It is this

frequency structure that is used to 'map' the data of a given tectonic environment based onthe training set and be used to identify unknown samples.

255

256 **3.** Tectonic discrimination

257 As the bulk geochemical composition of basalt formed within each first-order tectonic 258 environment is very similar, there is a critical need to isolate key diagnostic attributes that 259 can differentiate between given environments. Consistent with the assumptions behind the development of the traditional two- and three-dimensional discrimination diagrams, the 260 tectonic environment FP models presented in this study seek to identify all key diagnostic 261 262 attributes present in each environment model, that is attributes and associated values that 263 are unique to a single model providing a robust discriminatory mechanism. Unidentified 264 sample data found to contain any number of these key attributes are positively weighted towards the given environment identification, with sample data containing only weakly 265 266 discriminatory attributes negatively weighted. Fig. 3D shows Fig. 3A-C plotted in grayscale 267 and stacked with only identified model key diagnostic attribute values shown in color, 268 demonstrating the uniqueness of each model attribute distribution. The full list of identified 269 key diagnostic attributes for each tectonic environment can be found in Table 1. This 270 approach presents a unique and flexible multi-variable tool to rapidly identify the first-order 271 source environment of rocks of unknown tectonic affinity, in particular for samples that are 272 either spatially or temporally inconsistently sampled and do not have all the specific 273 measurements required by traditional limited-variable methods. Ti is identified as a key 274 diagnostic attribute in n = 38,301 basalt samples, in agreement with traditional discrimination 275 methods, increasing through bins 2, 3, and 4 for ARC, MOR and OIB respectively. However, 276 V is not identified as a key diagnostic attribute in any model, rendering the 2D comparison of 277 Ti/V like that of Shervais (1982) useful but potentially non-unique. Zr is identified in all 278 models as diagnostic (bins 0, 1, and 3 for ARC, MOR and OIB respectively), and like the trend reported in Pearce and Cann (1973), the models suggest MOR basalts display low Zr 279 280 and low-medium Ti (bins 0.0-0.1 and 0.3-0.4), OIB have low-medium Zr and medium Ti

(bins 0.3–0.4 and 0.4–0.5), however, ARC models generally have both low Zr and low Ti
(bins 0.0–0.1 and 0.2–0.3). The ternary plots presented in Pearce and Cann (1973) are not
replicated in this study as neither Y nor Sr are identified as strongly diagnostic from the
overall dataset.

285

286 4. Case study: Supercontinent formation and breakup

287 For more than 40 years, alternative models of the tectonic behaviour of pre-Pangea Earth 288 have been published, suggesting a wide variety of interpretations of both the available geological data and the developing understanding of the supercontinent cycle itself (Piper et 289 290 al., 1976; Bond et al., 1984; Dalziel, 1991; Moores, 1991; Torsvik et al., 1996; Dalziel, 1997; 291 Meert and Torsvik., 2003; Pisarevsky et al., 2003; Collins and Pisarevsky, 2005; Li et al., 292 2008, 2013; Evans, 2009; Johansson, 2014, Merdith et al., 2017a). These models can be 293 divided into 3 broad model classes, with one model from each class used in this case study. 294 The most common class is referred to as the 'continental drift' type in which models are 295 primarily focussed on the evolution of continental configuration through time and contain 296 very little explicit plate boundary location or geometry information (Li et al., 2008). The 297 second class of models is an augmentation of the traditional continental drift approach, 298 producing 'hybrid' models primarily focussed on continental behaviours, but also containing 299 predicted non-continuous boundary evolution information (Evans, 2009). The third class 300 represents the most recent set of published models, namely 'full-plate' models. These 301 models attempt to predict both continental and plate boundary evolution information and 302 produce globally self-consistent predictions as the model operates as a 'closed' system 303 (Gurnis et al., 2012; Merdith et al., 2017a). Although a significant evolution in development 304 of tectonic reconstructions, the prediction of specific boundary environment types and 305 evolution in deep time full-plate models remains challenging as the primarily data constraint 306 of paleomagnetism does not contain explicit boundary information, and supporting geological data are limited. The FP algorithm was applied to n = 1547 unclassified dated 307 308 samples labelled as basalt taken from the EarthChem portal. Samples were all aged

between 1000 Ma and 410 Ma (representing only those rocks not included in the fingerprint
models) in an attempt to self-consistently identify the tectonic environment from within which
a given sample formed and evaluate the boundary predictions against those in a range of
published pre-Pangea tectonic reconstructions. The resulting suite of 56 first-order
predictions are listed in Table 2 and shown in Fig. 3D.

314

315 The relationship between China and Australia forms a key component of the Rodinian 'core' 316 prior to breakup, with both the location and age of appearance of the Yangtze and Cathaysia 317 blocks within Rodina varying greatly between published reconstructions. These differences reflect a critical divergence and uncertainty in the published interpretations of South China 318 319 geology between ca. 1000Ma and 700 Ma. However, to practically discriminate alternative 320 Rodinia configurations during this period is difficult, as there are few observations 321 constraining this aspect of Rodinia's configuration. Previously, this problem has been assessed via plate kinematic data extracted from a range of published Rodinia-Gondwana 322 323 transition reconstructions together with paleomagnetic data to evaluate the competing 324 broader-scale Australia-Laurentia configurations during this period. This was achieved by comparing motion path geometries and plate velocities to identify configurations providing 325 326 optimal kinematic behaviours (Merdith et al., 2017b). In our case-study, we apply tectonic 327 environments predicted using the FP algorithm to evaluate contrasting configurations of the 328 Australian block relative to the Yangtze and Cathaysia blocks from 1000-720 Ma from three 329 alternative plate reconstructions of Rodinia; (i) Li et al. (2008), hereby referred to as L2008 330 (Fig. 3A), (ii) Evans (2009), hereby referred to as E2009 (Fig. 3B), and (iii) Merdith et al. 331 (2017a), hereby referred to as M2017 (Table 2, Fig. 3C, and Supplementary Figs. S1 and 332 S2).

333

Developing a method to consistently and objectively evaluate the fit of contrasting timedependent plate model geometries using the tectonic environment predictions listed in Table
2 presents a significant challenge. As each class of model present different levels of
component detail, such as the inclusion of continuous plate boundaries, or plume location

338 predictions, models described in this case study were analyzed using the following simplified 339 framework: (1) if a tectonic environment prediction for a given time is derived from samples 340 located on a present-day continental block, then only models explicitly defining the given 341 continental block at the given sample age will be considered, 2) where possible, all predicted 342 tectonic environments are directly compared spatially with explicitly defined plate model topology geometries, and 3) if plate model topologies are not explicitly defined, where 343 possible we consider the motion of individual blocks relative to neighboring blocks (either 344 345 divergent or convergent), together with the location of the prediction site within the context of 346 the surrounding model configuration.

347

L2008 suggests both the Yangtze and Cathaysia blocks have formed by 1100 Ma and are 348 349 partially separated from each other by a subduction system as Cathaysia is connected to 350 Laurentia at this time. Both move progressively southward from a relatively high latitudinal position of ~60°N following the path of Laurentia from 1100 Ma through to 900 Ma, with 351 352 complete South China Block amalgamation occurring between 1000 Ma and 900 Ma. 353 Alternatively, M2017 suggests a significantly more dispersed continental configuration at 1000 Ma, with the Yangtze Block not considered in this model prior to ~850 Ma, and 354 355 Cathaysia at a latitude of ~30°N, straddled to the south by a subduction system and located 356 almost antipodally to the forming Rodinia core. Similar to L2008, the E2009 model implies 357 both Yangtze and Cathaysia are present at least by 1070 Ma and are connected via an 358 inferred orogenic belt. However, unlike L2008 the South China Block is not centrally located 359 within Rodinia in model E2009, but instead at the outer southeastern boundary 360 approximately antipodal to the L2008 location, progressively moving northward from a 361 latitude of ~60°S to ~15°S.

362

Tectonic environment predictions suggest subduction related basalts were forming at both ~980–970 Ma and ~950–940 Ma on the western and northwestern margins of the Yangtze block. This prediction is consistent with the suggestion of long-lived subduction (existing prior to 1100 Ma and ending between 1000 Ma and 900 Ma) outboard of the eastern,

367 northern and western boundaries of the Yangtze Block as found in L2008, and the inferred 368 environments surrounding South China in the E2009 model on the outer edge of Rodinia. 369 The predicted presence of subduction adjacent to Cathaysia during this time is also in 370 agreement with M2017, though drawing meaningful conclusions for this model is limited for 371 times when the Yangtze Block is not properly considered. The next two predictions between ~910 Ma and ~890 Ma, although intraplate in nature, demonstrate good fits with to the MOR 372 environment model indicating a possible plume or upwelling-related magmatic source. The 373 374 first between ~910 Ma and ~900 Ma predicts either mid-ocean ridge or hotspot-related magmatic activity (prediction fits are within 3% of each other are treated as non-definitive) on 375 the eastern margin of Yangtze, followed by a hotspot prediction between ~900 Ma and 890 376 377 Ma located on the northwestern margin of Yangtze. Both sites are located on present-day 378 Yangtze; therefore M2017 cannot resolve these features. The configuration of the South China Block during this period in the E2009 model does not contradict these predictions; 379 380 however, South China remains at the southeastern margin of Rodinia at this time and does 381 not provide any explicit evidence for plume-related environments. Predictions of plume-382 related rocks appearing at the northwestern margin of Yangtze are consistent with 383 predictions of precursory magmatism sourced from the proposed superplume in L2008, 384 potentially indicating the initial stages of Rodinia breakup (Li et al., 2008). Between ~830 Ma 385 and 810 Ma, during the period of protracted breakup of Rodinia, three subduction related 386 predictions are made on the central Yangtze block close to the Yangtze-Cathaysian suture. 387 At this time, the South China block is completely landlocked within the core of Rodinia in the 388 L2008 model. Therefore it is uncertain how this series of basalts with an arc-related 389 signature of this age could be found in this region, as South China is both fully amalgamated 390 and significantly inboard of the eastern Rodinian margin at this time. However, this prediction cannot exclude the scenario that the signature being detected by the environment 391 392 models could be an inherited signature from rocks related to the subduction outbound of 393 Yangtze and its estimated cessation at ~900 Ma. Equally E2009 is unable to provide an 394 explanation for the presence of subduction related rocks at this location, apart from the

395 general inference that a subduction girdle may have existed surrounding Rodinia (Li et al., 396 2008). For this period, M2017 suggests the recently fully amalgamated South China block 397 (from ~850 Ma onwards) is located significantly northwest of the Rodinia core and closely 398 bound to the west by a subduction zone which is consistent with the predictions (Fig. 3C). As 399 few observations exist to constrain both India and the Yangtze-Cathaysian system during the Neoproterozoic, the prediction of subduction-related basalts continuing to form along the 400 401 margin of Yangtze and Cathaysia as late as ~820 Ma suggests South China was likely still 402 forming, and a more complex suite of subduction systems may have been active in this region at this time. Temporally concurrent with the formation of these subduction-related 403 rocks forming within the Yangtze-Cathaysia boundary, for the following ~30-40 million years 404 405 a long series of either mid-ocean ridge or plume-related basalts are predicted to form within 406 the South China block (primarily within Yangtze). Beginning with two first-order mid-ocean ridge (upwelling) predictions forming along the present-day southwestern Yangtze boundary 407 408 at ~820 Ma, followed by a hotspot prediction at ~810 Ma in the same region, then at ~800 409 Ma by two additional predictions of hotspot and a mid-ocean ridge magmatism located in the 410 present-day northeastern Yangtze and Cathaysia blocks, respectively, and finally at ~780 411 Ma a plume signature predicted within the central South China Block (Fig. 3A–C). During 412 this period, three mid-ocean ridge related igneous signatures are also predicted in present-413 day southern Australia, the first at ~820 Ma, and both the second and third at ~800 Ma, all 414 temporally congruent with the timing of equivalent signatures within the South China block. 415 Although explicitly supporting the existence of subduction-related basalts throughout this 416 period, the continued positioning of South China significantly northwest of the Rodinia 417 M2017 does not provide defined predictions directly compatible with any of the mid-ocean 418 ridge or plume-related predictions located in Yangtze or Cathaysia between ~820 Ma and 419 780 Ma (Fig. 3C). The southern Australian MOR signatures at ~820 Ma is also not supported 420 by the M2017 boundary configuration at this time, but the two later MOR predictions at ~800 Ma support the M2017 configuration with initiation of the Proto-Pacific Ocean separating 421 422 Laurentia from Australia, Antarctica, North China and Tarim (Fig. 3C). Dependent on the

423 uncertainty of the constraints used to nominate the beginning of Rodinia core breakup in 424 M2017, precursory upwellings associated with the initiation of spreading may account for the 425 slightly older mid-ocean ridge predictions of this study in southern Australia at ~830 Ma and 426 ~820 Ma. Alternatively, the configuration of South China relative to Australia presented in 427 L2008 from ~820 Ma through to ~780 Ma is consistent with the predictions of this study (Fig. 3A). The generation of mantle upwelling-related rocks appearing simultaneously within both 428 429 South China and southern Australia at this time appear to resemble the result of a radial dyke swarm-like feature centered between South China, Australia, and Mawson (Li et al., 430 431 2008). The final hotspot prediction within the South China block at ~780 Ma coincides 432 precisely with the initiation of Rodinia breakup in L2008 (Fig. 3A), resulting in Australia-433 Mawson and Laurentia both beginning separation from South China as a result of a newly 434 formed triple-ridge junction in the Proto Pacific Ocean. The final prediction of a mid-ocean ridge-related basalt at ~720 Ma in central Cathaysia does appear to be supported by the 435 436 continued presence of a suggested superplume beneath South China in L2008; however the 437 configuration presented in M2017 at this time, although not excluding the possibility, does 438 not provide any explicit explanation for this prediction. Throughout this period, E2009 439 predicts the continued location of South China at the southwestern boundary of Rodinia from 440 ~820 Ma to 780 Ma (Fig. 3B), a supercontinent location more typically associated with 441 subduction systems (Li et al., 2008; Li et al., 2013; Merdith et al., 2017a), and does not 442 provide explicit prediction or motion evidence to support upwelling within South China during 443 this period.

444

From the simple analysis performed above in the case study according to the defined framework, the respective spatio-temporal configurations of the South China and Australian Blocks proposed within the Rodinia reconstruction of Li et al. (2008) appears to demonstrate the greatest consistency with the new paleo-environment fingerprint database, particularly for configurations related to Rodinia formation and breakup. The alternative configurations proposed in the models of Evans (2009) and Merdith et al. (2017a), although demonstrating

451 compatibility with predictions related to early Rodinia formation (E2009) and stable core 452 configurations (M2017), respectively, are less consistent with many of the tectonic paleo-453 environment predictions throughout the supercontinent cycle. However, these results need 454 to be interpreted in the context of a number of considerations. The first one is the apparent 455 ~850 Ma appearance time of the Yangtze Block in M2017, preventing evaluation prior to this 456 time using tectonic environment predictions. It is acknowledged that as the M2017 model 457 describes Cathaysia associated with subduction systems between 1000 Ma and 850 Ma, the Yangtze Block is likely to be a suprasubduction-related accretionary complex during this 458 period (Cawood et al. 2013, 2017), and subsequently not included as a 'cratonic' block in the 459 model (Merdith et al., 2017a). If taken into account, both the previously unconsidered 460 'Yangtze' subduction (ARC) predictions at 980 Ma and 950 Ma, respectively, would be 461 462 consistent with M2017 predictions. The second important consideration in evaluating these results are the time-dependent kinematic implications of each model geometry. A key 463 464 difference between L2008 and M2017 (E2009 is a unique solution) is the choice of the 465 Australia-Laurentia configuration model, with L2008 adopting a Missing-Link geometry (Li et al. 1995, 2008), and M2017 incorporating an AUSWUS (Australia-Western United States) 466 type configuration (Karlstrom et al., 1999, 2001). Kinematic analyses of each configuration 467 468 type presented by Merdith et al., (2017b) concluded that during the period of Rodinia break-469 up ca. 800 Ma, the Missing-Link configuration produces the highest average spreading rates 470 of up to ~90 km/Ma compared with ~57 km/Ma for AUSWUS, the lowest result of the study. 471 For configurations containing a proposed later breakup at c.a. 725 Ma, spreading rates of 472 ~150 km/Ma and ~130 km/Ma were calculated for Missing-Link and AUSWUS respectively. 473 The study also found that motion paths for AUSWUS-based configurations for significantly 474 simpler than those of Missing-Link-based geometries, as the latter require more complex 475 plate motions to meet geological constraints. Although not explicitly considered in this case-476 study for evaluating alternative Rodinia configurations, these kinematic analyses reinforce the dependency of each configuration on the primary constraints considered, identifying the 477 478 potential for over-fitting certain constraints at the expense of others.

479

480 **5.** Conclusions

481 Geochemical analysis is a key instrument in the study of long-term tectonics on Earth. When 482 coupled with auxiliary geological and geophysical datasets able to contribute 483 paleogeographic constraints such as paleomagnetics, it provides the unique ability to isolate 484 the subtle yet highly diagnostic chemical attributes of rock samples which can identify the 485 rock type and source environment. In this paper we demonstrate the limited application, 486 scope and diagnostic ability of published geochemical discrimination methods to accurately 487 identify tectonic source environments from basaltic geochemistry for use as constraints in 488 deep-time tectonic reconstructions without the need for fixed a priori assumptions, highly 489 filtered datasets, and strict input data requirements. Applying a new flexible framework to 490 this long standing problem, from an unfiltered geochemistry database of n = 38,301 basalt 491 samples of Pliocene age or younger, we present a newly derived and robust set of first-order 492 discriminatory tectonic environment models for mid-ocean ridge (MOR), subduction (ARC), 493 and oceanic hotspot (OIB) environments respectively. Using these discriminatory 494 environment models, we analysed a sparse, inconsistent and unfiltered geochemical 495 database of n = 1547 basalt samples of unknown tectonic affinity ranging in age from 1000 496 Ma and 410 Ma. From this analysis, we present a new suite of 56 identified first-order 497 tectonic paleo-environments spanning the Neoproterozoic, Cambrian, Ordovician and 498 Silurian, together forming a practical dataset directly applicable to both reconstructing new, 499 and evaluating existing models of Rodinia supercontinent amalgamation, stability, and 500 dispersal. To demonstrate this, we analysed the predicted Proterozoic motion histories of the 501 South China and Australian Blocks, together forming a key component within published 502 Rodinia configurations, from three alternative published reconstructions for consistency with 503 the new paleo-environment dataset. From these analyses, the Rodinia reconstruction L2008 504 of Li et al. (2008) demonstrated the highest degree of both spatial and temporal fit with 505 paleo-environment predictions, with the new dataset in particular informing upwelling or 506 plume-related environments through periods of supercontinent formation and dispersal.

507 However, the case-study framework also highlighted a lesser degree of fit with subduction 508 environment predictions, specifically related to prediction from samples sourced in present-509 day South China. Conversely, subduction environment predictions appear more consistent 510 with the configurations presented in M2017 (Merdith et al., 2017a), whereas explicit hotspot-511 related predictions were not present. Model E2009 (Evans, 2009), although more 512 experimental in its nature, also shows consistency with South China subduction predictions as these blocks maintain positions on the margins of Rodinia throughout the study period, 513 514 but demonstrates less consistency with the other prediction types. Further, when assessed in the context of the kinematic analyses of key alternative Rodinia configurations as 515 described in Merdith et al. (2017b), L2008 although demonstrating increased fit with the 516 517 tectonic environment predictions derived from the EarthChem geochemistry database in this 518 study, requires both a more complex and higher velocity plate motion evolution history than 519 that of M2017, highlighting a key consideration in the development of deep-time plate 520 reconstructions.

521

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680 Figure Captions

681 682

683 Figure 1. Titanium / Vanadium (Ti/V) ratio tectonic environment discrimination diagrams derived from n = 4914 basalt samples taken from the EarthChem portal 684 685 (http://www.earthchem.org). All samples are aged between 5 Ma and present-day and were 686 classified using the Müller et al. (2016) plate reconstruction. Blue points are samples 687 classified as subduction zone related basalts (ARC), orange points are samples classified as 688 mid-ocean ridge related basalts (MOR), and red points are classified as oceanic hotspot 689 related basalts (OIB). Gray dashed trend lines and associated grey labels represent reference discriminatory bounding ratios of Ti/V = 10, 20, 50, and 100 (Shervais, 1982). (A) 690 691 Blue, orange, and red trend lines represent updated reference discriminatory bounding ratios 692 representing a 2 distribution about each classified population mean. The shaded gray 693 regions represent overlap between the discriminatory bounds. (B) Outer blue, orange and 694 red polygons represent 0.9 probability contours and inner polygons represent 0.1 probability 695 contours for each tectonic environment calculated using probability density functions. 696 697 698 Figure 2: Results from 1000 independent cross validation tests using a 2º spatial grid, each 699 performing a 70% / 30% random split of the 0–5 Ma data for training and testing data 700 respectively. Blue bars represent probability (0.0-1.0) of individual success rates. Red 701 dotted line shows the gaussian distribution of cross validation test results. 702 703 704 Figure 3. Tectonic environment data fingerprint models for (A) mid-ocean ridge (colored 705 orange), (B) subduction (colored blue), and (C) oceanic hotspot (colored red). Color opacity 706 gradient indicates normalized attribute bin frequency. Black cells represent maximum frequency bin for associated attribute. White space indicates zero data available for 707 708 associated attribute. (D) Stacked composite of models A, B and C colored in gray, with 709 individual model attribute maximum frequency bins colored by model type (A, B or C) 710 visualizing the key discriminatory attributes for each FP model. For full attribute number key, 711 see Supplementary Table S2. 712 713 714 Figure 4. Alternative tectonic configurations of Rodinia at 780 Ma as predicted by the three 715 published reconstructions of (A) Li et al. (2008), (B) Evans (2009), and (C) Merdith et al. 716 (2017a). Solid yellow lines represent mid-ocean ridges, with dashed yellow lines 717 representing poorly constrained or inferred mid-ocean ridge predictions. Blue solid lines are 718 subduction zones and solid black lines represente passive / transform boundary segments. 719 Reconstructed first-order tectonic environment predictions aged 780 ± 20 Ma are shown as 720 filled circles at ~800 Ma, filled squares at ~780 Ma, and filled hexagons at ~760 Ma. 721 Predictions are labelled as per ID listed in Table 2 and color-coded by type: blue = ARC, 722 yellow = MOR, and red = OIB. Green filled polygons = Australia, cyan filled polygons = 723 Cathaysia, and yellow filled polygon = Yangtze. A, Australia; A-A, Afif-Abas Terrane; Am, 724 Amazonia; Az, Azania; Ba, Baltica; By, Bayuda; Ca, Cathaysia (South China); C, Congo; 725 DML, Dronning Maud Land; G, Greenland; I, India; K, Kalahari; L, Laurentia; Ma, Mawson; 726 NAC, North Australian Craton; NC, North China; Pp, Paranapenema; Ra, Rayner 727 (Antarctica); RDLP, Rio de la Plata; SAC, South Australian Craton; SF, São Francisco; Si, 728 Siberia; SM, Sahari Megacraton; WAC, West African Craton; Yg, Yangtze (South China). (D) 729 Graphical representation of tectonic environment classification predictions and associated 730 model fits from 1000-420 Ma as listed in Table 2. Calculated fits to all predicted first-order

733 proportional to prediction confidence, with larger circles indicating higher prediction

- confidence. Results are divided by reconstruction snapshot age (as presented directly above
 each cell), with individual prediction ID labels as per Table 2 presented directly below each
 cell. A full suite of all reconstruction snapshots overlaid with predictions can be found in the
 supplement.

742 Table captions

Table 1. Identified key discriminatory attributes and their non-dimensional frequency746magnitudes for each first-order environment model. ARC model calculated from n= 1858747samples, MOR model calculated from n = 18213 samples, and OIB model calculated from n748= 7891 samples. Freq. = Normalized data frequency bin, Att no. = Model attribute ID number,749Att ref. = Reference sample data measurement name taken from EarthChem Portal. Full Att750no. and Att ref. listed in Supplementary Table S2.

Table 2. First-order tectonic environment predictions from 1000–410 Ma grouped into 10 Ma
age bins. ID = Data point ID., ARC, MOR, OIB fit% = calculated percentage fit of the given
sample against each environment model. Bold values indicate best fit model. Italic values
indicate multiple results within threshold of 3%. ARC, MOR, OIB conf. = calculated
confidence parameter for each prediction, Site lat = present-day sample site latitude, Site
lon = present-day site longitude.

	ACCEPTED MANUSCRIPT OB								
Freq.	Att no.	Att ref.	Att no.	Att ref.	Att no.	Att ref.			
0.9 - 1.0			92	Nd-143/Nd-144	61	Pa-231			
	2	AI_2O_3			50	Fe			
<u></u>	02		0	6-0	00	Nd			
0.0 - 0.9	92	ING-143/ING-144	9	CaO	99	ε			
	123	AI							
07-08			47	Sc	5	FeO			
0.7 - 0.8			52	Mn	114	In			
	52	Mn			1	TiO₂			
	87	Pb-206/Pb-204			9	CaO			
0.6 - 0.7			5	FeO	46	Mg			
					71	U-238/Pb-204			
					123	Al			
	5	FeO	19	Cr ₂ O ₃	52	Mn			
0.5 - 0.6	9	CaO	63	U-234/U-238	56	Cu			
	47	Sc	91	Th-230/U-238	97	Р			
	50	Fe	2		27	Eu			
	00		33	I m	28	Ga			
	00	PD-207/PD-204	105	Lu-176/HI-177	47	50			
04-05	106	PD-200/PD-204	105	Te	40 53	11 Co			
0.4 0.0	100	i t			58	Ga			
					63	11-234/11-238			
					69	Th-232/Ph-204			
					89	Sn			
-	44	К	32	Er	26	Sm			
	58	Ga	48	Ti	29	Tb			
			53	Co	57	Zr			
0.3 - 0.4			56	Cu	107	Hf			
			114	In					
			116	Pb-210/Ra-226					
	46	Mg	1	TiO ₂	2	AI_2O_3			
	48	Ті	27	Eu	32	Er			
0.2 - 0.3	53	Со	28	Gd	33	Tm			
0.2 0.0	77	Lu-176/Hf-177	29	Tb	37	Be			
			58	Ga	44	K			
			107	Hf					
	27	Eu	26	Sm	91	Th-230/U-238			
	28	Ga	57		105	le Di			
	29	ID	76	xe-129/Xe-132	100	Pt			
0.1 - 0.2	<i>3</i> ∠ ⊃⊃		09 07	ווס ם	117	Ay			
	33	Po	97	F					
	84	Sr-87/Sr-86							
	94	Ra-226/Th-230							
	1	TiO ₂	37	Ве	19	Cr ₂ O ₃			
	26	Sm	44	K	60	Ra-226			
	57	Zr	46	Mg	67	Th-232/U-238			
	89	Sn	61	Pa-231	78	Hg			
	97	Р	69	Th-232/Pb-204		<u> </u>			
0.0 - 0.1	107	Hf	71	U-238/Pb-204					
	114	In	94	Ra-226/Th-230					
	124	Be-10/Be-9	96	I					
			106	Pt					
			117	Ag					
			123	AI					

Age (Ma)	ID	ARC fit %	MOR fit % CC	FPTED N	ARC conf. JSC	MOR conf.	OIB conf.	Site lat	Site Ion
1000-990	А	66.89	71.45	68.43	15.4	14.26	14.5	47.1	-84.7
	В	77.81	78.4	65.54	30.76	28.16	28.3	72.76	-80.5
980-970	А	66.3	64.55	70.17	41.45	38.92	39.38	60	136
	В	67.64	57.95	63.22	27.39	26.79	27.11	24.59	102.07
950-940	А	80.93	73.19	57.15	33.42	30.64	30.75	33	107.6
910-900	А	74.09	79.31	77.37	37.89	35.11	35.47	26.9	101.57
900-890	А	57.84	58.17	62.83	25.84	23.49	23.75	33	107.6
830-820	А	72.35	79.96	68.66	28.61	26.65	26.89	-30.64	139.13
	В	80.11	66.99	51.33	40.24	37.63	37.27	28.58	112.34
	С	83.28	74.87	68.71	41.19	38.13	38.58	25.72	109.87
820-810	А	78.54	69.6	57.17	28.67	26.93	26.89	28.59	112.33
	В	64.18	68.56	65.9	35.48	33.8	34.03	32.5	107
	С	69.31	75.03	69.78	43.6	41.34	40.24	32.5	105
	D	77.43	83.24	74.67	26.1	23.83	24.05	-30	133
810-800	А	66.95	62.44	75.29	35.88	33.58	34.05	29.16	102.8
800-790	А	64.82	61.34	69.45	35.84	33.23	34.01	27.63	117.89
	В	73.93	76.9	74.54	36.21	32.96	33.31	29.97	120.2
	С	73.91	82.23	72.54	50.87	45.97	46.58	-25.23	131.51
	D	75.45	79.68	72.37	47.75	43.13	42.43	-23.75	134.11
780-770	А	59.38	55.59	61.16	25.33	25.99	26.8	27.53	110.72
760-750	А	64.37	67.1	61.57	13.68	12.71	13	41.58	86.86
750-740	А	62.87	66.33	79.58	46.27	44.41	45.16	-25.05	123.76
720-710	А	56.37	58.98	58.85	17.21	16.45	15.71	23.4	111.72
620-610	А	68.7	66.21	62.87	27.38	25.59	26.23	45.5	-64.1
	В	57.94	61.63	66.43	20.21	19.12	18.71	58	6
600-590	А	73.61	74.13	71.14	19.83	18.27	18.38	42.22	-70.88
600-590	В	74.91	71.28	57.25	10.51	10.33	10.44	36.39	-78.98
580-570	A	69.78	62.25	65.28	29.81	27.92	28.21	22	29
560-550	A	55.61	62.07	69.58	17.43	16.21	16.12	46.03	-71.64
550-540	A	57.36	57.92	63.27	23.44	21.56	21.9	29.9	35.1
	В	58.77	61.16	59.2	18.88	17.11	17.81	30.63	35.5
540-530	A	67.22	65.93	60.48	26.29	25.3	25.41	48.1	-68.5
	В	73.1	72.87	61.87	38.77	35.35	35.3	-17.27	128.72
520-510	A	64.91	67.15	66.65	22.12	21.05	21.14	-30.71	142.04
	В	72.01	66.16	57.88	32.24	30.21	30.73	38	94
	C	69.58	71.26	61.41	38.36	35.07	34.94	-27.05	125.16
	D	69.32	70.3	65.18	18.89	17.51	17.68	45.39	-66.22
	E	57.3	69.35	73.39	22.74	20.51	22.77	39.21	-112.95
	F	67.48	69.31	73.19	29.11	25.77	21.15	37.08	-//./
500 400	G	66.02	69.04	/0./4	44.61	40.48	45.2	36.64	-81.73
500-490	A	45.44	42.33	49.02	24.00	20.55	27.14	40.03	-70.90
490 470	ь ^	03.08 72.27	73.78	50.02	32.09	29.5	29.63	48.55	-00.00
400-470	A D	62.05	62.77	65.05	22.2	20.76	21.00	40.0	-00.0
470 460		76 59	02.77 95.16	00.90	30.12	27.24	20.39	40.03	-00.03
470-460	A D	70.30	67.6	00.00 EE 99	41.43	0.91	30.57	44.3	-09.32
	C	73.37	70.41	53.00	25.57	9.01	9.92	45.4	-71.3
		65.61	70.41	71 25	20.02	27.02	27.12	40.03	-07.35
	F	76.2	70.97 77 25	61 61 61	29.03	21.UZ 21.79	21.12	49.92 _71 52	-00.00
	F	70.3 Q1 11	80.25	70.04	22.09 /6.2	21.70	21.04 12.26	-24.00	-00.47
	G	76.27	79.21	70.04	40.3	45.5	45.50	-32.33	-09.10
	ч	70.27	10.31 22 02	12.90 20.04	060	0.01	10.73	40.42 25 65	-70.4
460-450	Δ	75.70	03.93 75.00	0U.91 6/ 17	9.00 20 70	10.5 20 20	10.44 20 4	20.05 AR FA	-0U. _68 50
430-420	Δ	75.73	79 21	70 7	22.00	20.23	23.4	40.04 11 10	-00.08
.00 720	B	68 A	66 22	70.7	38.80	23.13	32.40 38 A3	0+.+ר Ω∆	10.00-
420-410	A	62 94	62 12	67 61	35.58	34.86	36.43	40	-65 3























- New method leveraging big data to characterize first-order tectonic environments
- Identification of 115 key discriminatory geochemical attributes in basaltic rocks
- Tectonic fingerprints used to evaluate and constrain supercontinent cycles