A Search for Optical Emission from Binary-Black-Hole Merger GW170814 with the Dark Energy Camera

```
Z. Doctor, <sup>1,2</sup> R. Kessler, <sup>2,3</sup> K. Herner, <sup>4</sup> A. Palmese, <sup>4</sup> M. Soares-Santos, <sup>5</sup> J. Annis, <sup>4</sup> D. Brout, <sup>6</sup> D. E. Holz, <sup>1,2,3,7</sup> M. Sako, <sup>6</sup> A. Rest, <sup>8,9</sup> P. Cowperthwaite, <sup>10</sup> E. Berger, <sup>11</sup> R. J. Foley, <sup>12</sup> C. J. Conselice, <sup>13</sup> M.S.S. Gill, <sup>14,15</sup> S. Allam, <sup>4</sup> E. Balbinot, <sup>16</sup> R. E. Butler, <sup>17</sup> H.-Y. Chen, <sup>18</sup> R. Chornock, <sup>19</sup> E. Cook, <sup>20</sup> H. T. Diehl, <sup>4</sup> B. Farr, <sup>21</sup> W. Fong, <sup>22</sup> J. Frieman, <sup>4,2</sup> C. Fryer, <sup>23</sup> J. García-Bellido, <sup>24</sup> R. Margutti, <sup>22</sup> J. L. Marshall, <sup>20</sup> T. Matheson, <sup>25</sup> B. D. Metzger, <sup>26</sup> M. Nicholl, <sup>27</sup> F. Paz-Chinchón, <sup>28</sup> S. Salim, <sup>17</sup> M. Sauseda, <sup>29</sup> L. F. Secco, <sup>6</sup> R. C. Smith, <sup>30</sup> N. Smith, <sup>31</sup> A. K. Vivas, <sup>30</sup> D. L. Tucker, <sup>4</sup> T. M. C. Abbott, <sup>30</sup> S. Avila, <sup>32</sup> K. Bechtol, <sup>33,34</sup> E. Berin, <sup>35,36</sup> D. Brooks, <sup>37</sup> E. Buckley-Geer, <sup>4</sup> D. L. Burke, <sup>15,14</sup> A. Carnero Rosell, <sup>38,39</sup> M. Carrasco Kind, <sup>40,41</sup> J. Carretero, <sup>42</sup> F. J. Castander, <sup>43,44</sup> C. B. D'Andrea, <sup>6</sup> L. N. da Costa, <sup>30,45</sup> J. De Vicente, <sup>38</sup> S. Desai, <sup>46</sup> P. Doel, <sup>37</sup> B. Flaugher, <sup>4</sup> P. Fosalba, <sup>43,44</sup> E. Gaztanaga, <sup>43,44</sup> D. W. Gerdes, <sup>47,48</sup> D. A. Goldstein, <sup>49</sup> D. Gruen, <sup>15,14</sup> R. A. Gruendl, <sup>40,41</sup> G. Gutierrez, <sup>4</sup> W. G. Hartley, <sup>37,50</sup> D. L. Hollowood, <sup>12</sup> K. Honscheid, <sup>51,52</sup> B. Hoyle, <sup>53,54</sup> D. J. James, <sup>55</sup> T. Jeltema, <sup>12</sup> S. Kent, <sup>4,2</sup> K. Kuehn, <sup>56</sup> N. Kuropatkin, <sup>4</sup> O. Lahav, <sup>37</sup> M. Lima, <sup>57,39</sup> M. A. G. Maia, <sup>39,45</sup> M. March, <sup>6</sup> F. Menanteau, <sup>40,41</sup> C. J. Miller, <sup>47,48</sup> R. Miquel, <sup>58,42</sup> E. Neilsen, <sup>4</sup> B. Nord, <sup>4</sup> R. L. C. Ogando, <sup>39,45</sup> A. A. Plazas, <sup>59</sup> A. Roodman, <sup>15,14</sup> E. Sanchez, <sup>38</sup> V. Scarpine, <sup>4</sup> R. Schindler, <sup>14</sup> M. Schubnell, <sup>48</sup> S. Serrano, <sup>43,44</sup> I. Sevilla-Noarbe, <sup>38</sup> M. Smith, <sup>60</sup> F. Sobreira, <sup>61,39</sup> E. Suchyta, <sup>62</sup> M. E. C. Swanson, <sup>41</sup> G. Tarle, <sup>48</sup> D. Thomas, <sup>32</sup> A. R. Walker, <sup>30</sup> and W. Wester
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    A. R. Walker, 30 and W. Wester<sup>4</sup>
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     (DES COLLABORATION)
```

¹Department of Physics, University of Chicago, Chicago, IL 60637, USA ²Kavli Institute for Cosmological Physics, University of Chicago, Chicago, IL 60637, USA ³Department of Astronomy and Astrophysics, University of Chicago, Chicago, IL 60637, USA ⁴Fermi National Accelerator Laboratory, P. O. Box 500, Batavia, IL 60510, USA ⁵Brandeis University, Physics Department, 415 South Street, Waltham MA 02453 ⁶ Department of Physics and Astronomy, University of Pennsylvania, Philadelphia, PA 19104, USA ⁷Enrico Fermi Institute, University of Chicago, Chicago, IL 60637, USA ⁸ Department of Physics and Astronomy, The Johns Hopkins University, 3400 North Charles Street, Baltimore, MD 21218, USA ⁹Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218, USA ¹⁰ Carnegie Observatories, 813 Santa Barbara St., Pasadena, CA 91104 ¹¹ Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge, MA 02138, USA ¹²Santa Cruz Institute for Particle Physics, Santa Cruz, CA 95064, USA

¹³ Centre for Astronomy and Particle Theory, School of Physics & Astronomy, University of Nottingham, Nottingham, NG7 2RD UK $^{14}SLAC$ National Accelerator Laboratory, Menlo Park, CA 94025, USA

¹⁵Kavli Institute for Particle Astrophysics & Cosmology, P. O. Box 2450, Stanford University, Stanford, CA 94305, USA ¹⁶Kapteun Astronomical Institute. University of Groningen. Landleven 12, 9747 AD Groningen. The Netherlands ¹⁷Department of Astronomy, Indiana University, 727 E. Third Street, Bloomington, IN 47405, USA ¹⁸ Black Hole Initiative, Harvard University, Cambridge, MA 02138, USA

¹⁹ Astrophysical Institute, Department of Physics and Astronomy, 251B Clippinger Lab, Ohio University, Athens, OH 45701, USA ²⁰ George P. and Cynthia Woods Mitchell Institute for Fundamental Physics and Astronomy, and Department of Physics and Astronomy, Texas A&M University, College Station, TX 77843, USA

²¹Department of Physics, University of Oregon, Eugene, OR 97403, USA

²²Center for Interdisciplinary Exploration and Research in Astrophysics (CIERA) and Department of Physics and Astronomy, Northwestern University, Evanston, IL 60208

²³ Center for Theoretical Astrophysics, Los Alamos National Laboratory, Los Alamos, NM 87545, USA ²⁴Instituto de Fisica Teorica UAM/CSIC, Universidad Autonoma de Madrid, 28049 Madrid, Spain ²⁵ National Optical Astronomy Observatory, 950 North Cherry Avenue, Tucson, AZ 85719

²⁶ Department of Physics and Columbia Astrophysics Laboratory, Columbia University, New York, NY 10027, USA ²⁷ Institute for Astronomy, University of Edinburgh, Royal Observatory, Blackford Hill, Edinburgh EH9 3HJ, UK

²⁸ National Center for Supercomputing Applications, University of Illinois at Urbana-Champaign, 1205 W Clark St. Urbana, IL. 61801 ²⁹Department of Physics and Astronomy, Texas A&M University, College Station, TX 77843, USA

³⁰Cerro Tololo Inter-American Observatory, National Optical Astronomy Observatory, Casilla 603, La Serena, Chile 31 University of Arizona, Tucson, AZ, USA

³²Institute of Cosmology and Gravitation, University of Portsmouth, Portsmouth, PO1 3FX, UK ³³LSST, 933 North Cherry Avenue, Tucson, AZ 85721, USA

³⁴Physics Department, 2320 Chamberlin Hall, University of Wisconsin-Madison, 1150 University Avenue Madison, WI 53706-1390

³⁵CNRS, UMR 7095, Institut d'Astrophysique de Paris, F-75014, Paris, France

```
<sup>36</sup>Sorbonne Universités, UPMC Univ Paris 06, UMR 7095, Institut d'Astrophysique de Paris, F-75014, Paris, France
             <sup>37</sup>Department of Physics & Astronomy, University College London, Gower Street, London, WC1E 6BT, UK
                38 Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain
   <sup>39</sup>Laboratório Interinstitucional de e-Astronomia - LIneA, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400, Brazil
      <sup>40</sup> Department of Astronomy, University of Illinois at Urbana-Champaign, 1002 W. Green Street, Urbana, IL 61801, USA
                  <sup>41</sup>National Center for Supercomputing Applications, 1205 West Clark St., Urbana, IL 61801, USA
 <sup>42</sup>Institut de F\(\text{fisica d'Altes Energies (IFAE)}\), The Barcelona Institute of Science and Technology, Campus UAB, 08193 Bellaterra
                                                             (Barcelona) Spain
                              <sup>43</sup>Institut d'Estudis Espacials de Catalunya (IEEC), 08034 Barcelona, Spain
          <sup>44</sup>Institute of Space Sciences (ICE, CSIC), Campus UAB, Carrer de Can Magrans, s/n, 08193 Barcelona, Spain
                     <sup>45</sup>Observatório Nacional. Rua Gal. José Cristino 77. Rio de Janeiro. R.J. - 20921-400. Brazil
                               <sup>46</sup>Department of Physics, IIT Hyderabad, Kandi, Telangana 502285, India
                           <sup>47</sup>Department of Astronomy, University of Michigan, Ann Arbor, MI 48109, USA
                             <sup>48</sup> Department of Physics, University of Michigan, Ann Arbor, MI 48109, USA
               <sup>49</sup> California Institute of Technology, 1200 East California Blvd, MC 249-17, Pasadena, CA 91125, USA
                   <sup>50</sup>Department of Physics, ETH Zurich, Wolfgang-Pauli-Strasse 16, CH-8093 Zurich, Switzerland
             <sup>51</sup>Center for Cosmology and Astro-Particle Physics, The Ohio State University, Columbus, OH 43210, USA
                           <sup>52</sup>Department of Physics, The Ohio State University, Columbus, OH 43210, USA
                  <sup>53</sup>Max Planck Institute for Extraterrestrial Physics, Giessenbachstrasse, 85748 Garching, Germany
<sup>54</sup> Universitäts-Sternwarte, Fakultät für Physik, Ludwig-Maximilians Universität München, Scheinerstr. 1, 81679 München, Germany
                             <sup>55</sup> Harvard-Smithsonian Center for Astrophysics, Cambridge, MA 02138, USA
                     <sup>56</sup> Australian Astronomical Optics, Macquarie University, North Ryde, NSW 2113, Australia
<sup>57</sup>Departamento de Física Matemática, Instituto de Física, Universidade de São Paulo, CP 66318, São Paulo, SP, 05314-970, Brazil
                            <sup>58</sup> Institució Catalana de Recerca i Estudis Avançats, E-08010 Barcelona, Spain
          <sup>59</sup> Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, CA 91109, USA
                    <sup>60</sup>School of Physics and Astronomy, University of Southampton, Southampton, SO17 1BJ, UK
             <sup>61</sup>Instituto de Física Gleb Wataghin, Universidade Estadual de Campinas, 13083-859, Campinas, SP, Brazil
                <sup>62</sup>Computer Science and Mathematics Division, Oak Ridge National Laboratory, Oak Ridge, TN 37831
```

(Received December 5, 2018)

Submitted to ApJL

ABSTRACT

Binary black hole (BBH) mergers found by the LIGO and Virgo detectors are of immense scientific interest to the astrophysics community, but are considered unlikely to be sources of electromagnetic emission. To test whether they have rapidly fading optical counterparts, we used the Dark Energy Camera to perform an i-band search for the BBH merger GW170814, the first gravitational wave detected by three interferometers. The 60-deg² localization region (at 90% confidence) centered in the Dark Energy Survey (DES) footprint enabled us to image 90% of the probable sky area to a depth of $i \sim 23$ mag and provide the most comprehensive dataset to search for EM emission from BBH mergers. To identify candidates, we perform difference imaging with our search images and with templates from pre-existing DES images. The analysis strategy and selection requirements were designed to remove supernovae and to identify transients that decline in the first two epochs. We find two candidates, each of which is spatially coincident with a star or a high-redshift galaxy in the DES catalogs, and they are thus unlikely to be associated with GW170814. Our search finds no candidates associated with GW170814, disfavoring rapidly declining optical emission from BBH mergers brighter than $i \sim 23$ mag ($L_{\rm optical} \sim 5 \times 10^{41}$ erg/s) 1-2 days after coalescence. In terms of GW sky map coverage, this is the most complete search for optical counterparts to BBH mergers to date.

Keywords: editorials, notices — miscellaneous — catalogs — surveys

1. INTRODUCTION

Since the first binary black hole (BBH) merger detection in September, 2015 (Abbott et al. 2016), mergers of two black holes have become a mainstay of gravitational-wave (GW) astrophysics. The first five observed BBHs, found only by the Hanford and Livingston Laser Interferometer Gravitational-Wave Observatory (LIGO) detectors, offered significant astrophysical insight into the BBH mass distribution and event rates (Abbott et al. 2016; Abbott et al. 2016a,b,c, 2017a,b). For electromagnetic (EM) follow-up, however, the two LIGO detectors alone place poor constraints on the sky position, typically a few hundred deg².

To date, no compelling optical counterparts to BBH mergers have been identified¹. There are three (not mutually exclusive) reasons for non-detections: (1) the probable sky regions of previous BBH detections were not searched comprehensively, (2) the BBH emission could not be identified or distinguished from background transients, and/or (3) optical emission from BBH mergers is non-existent or below the detectable threshold at the times of the existing observations. Theoretical models have been proposed which could produce EM signals (e.g. de Mink & King 2017; Loeb 2016; Perna et al. 2016; Stone et al. 2017; McKernan et al. 2018), but these models are highly speculative. With little theoretical guidance, there is a need for more complete searches for BBH EM emission while also controlling false-positive event rates. Detection of BBH EM counterparts would be of immense scientific value, as it could constrain the formation environments of BBHs, the behavior of matter in strong field gravity, and cosmological parameters such as the Hubble constant² (see e.g. Phinney 2009).

Thus far, a number of optical follow-up campaigns have been conducted to search for BBH counterparts (e.g. Stalder et al. 2017; Smartt et al. 2016b; Cowperthwaite et al. 2016; Soares-Santos et al. 2016; Smartt et al. 2016a; Lipunov et al. 2017). However, the large probable sky areas of the "double-coincident" LIGO detections (Hanford and Livingston detectors only) curtailed

searches for EM counterparts from BBH mergers. For example, Soares-Santos et al. (2016) observed 102 deg² of the GW150914 high-probability sky region with the optical imager Dark Energy Camera, (DECam: Flaugher et al. 2015), corresponding to 38% of the initial LIGO sky map probability. After accounting for the lack of existing images (templates) for difference-imaging, a shift in the sky map in a reanalysis of LIGO data, and other efficiency losses, only 3% of the probable GW150914 sky area was searched and analyzed. Similarly, the DECam follow-up campaign of GW151226 reported in Cowperthwaite et al. (2016) covered 29 deg², just $\sim 2\%$ of the final GW151226 high probability region. In contrast, with the three-detector network including the Virgo interferometer, the smaller 28-deg² 90% localization region of neutron-star merger GW170817 enabled 81% DECam coverage of the final LIGO-Virgo sky map and identification of the EM counterpart (Abbott et al. 2017; Soares-Santos et al. 2017). These searches were all performed in the i and z bands, requiring two tilings of the search area. We note that these DECam searches attempted to tile maximal sky map probability, but for the nearby events such as GW170817, targeting based on galaxy catalogs can be successful (e.g. Coulter et al. 2017; Valenti et al. 2017; Arcavi et al. 2017).

On August 14, 2017, the LIGO-Virgo Collaboration (LVC), with the addition of the Virgo detector, made the first "triple-coincident" detection of GWs from a BBH event, GW170814, and provided a much tighter constraint on the sky position of the source than those of previous BBH detections (Abbott et al. 2017c). The detection of GW170814, with its 60-deg² 90%-localization region, enabled our team to perform a comprehensive search of the sky area for BBH merger optical counterparts and significantly improve our sensitivity to BBH merger EM emission models.

We report on our search for optical counterparts to GW170814 using the Dark Energy Camera. In §2, we describe the parameters and cadence of our follow-up observations, which extended to 12 days after the GW170814 trigger and covered 225 deg². §3 describes the analysis. Finally, §4 presents the results of the analysis, which we then comment on in §5 and §6.

2. SEARCH AND LIGHT CURVES

On August 14, 2017 at 10:30:43 UTC, the LVC reported a signal consistent with the inspiral and merger of two black holes of masses $30.5^{+5.7}_{-3.0}M_{\odot}$ and $25.3^{+2.8}_{-4.2}M_{\odot}$

¹ Stalder et al. (2017) identified optical candidate ATLAS17aeu in their follow-up of GW170104 and hypothesize a chance coincidence. Additionally, a weak gamma-ray burst in coincidence with GW150914 was reported in Connaughton et al. (2016), but its association with GW150914 is still under dispute.

² Even without an optical counterpart to a BBH, it is possible to measure the Hubble constant with a BBH GW sky map and galaxy catalog as in e.g. Schutz (1986); Chen et al. (2018); Soares-Santos et al. (in preparation).

at a luminosity distance of 540^{+130}_{-210} Mpc and redshift³ $z=0.11^{+.03}_{-.04}$ (Abbott et al. 2017c). LIGO and Virgo sent out a Bayestar sky map 2 hours after the trigger (LIGO-Virgo Collaboration 2017a; Singer & Price 2016) and we captured our first DECam image of the probability region at 06:00 UTC on August 15, 19.5 hours after the GW detection. DECam is an optical imager, installed on the Blanco 4-m telescope at the Cerro Tololo Inter-American Observatory. It has a 3-deg² field of view and is equipped with several broadband optical/NIR filters (u,g,r,i,z,Y,VR), making it well-suited to search for faint transients over large sky areas (Flaugher et al. 2015).

We imaged the high-probability area of the Bayestar sky map in the i-band with 90-sec exposures, corresponding to a 5σ point-source depth of ≈ 23 mag. Our strategy of imaging the most probable regions was similar to that used in Soares-Santos et al. (2016) and Cowperthwaite et al. (2016) (which surveyed in i and z bands), but in order to maximize the sky area coverage we only surveyed in the i-band. Our search covered 225 deg², corresponding to 90% of the initial Bayestar map, and 90%⁴ of the final (and preferred) LALInference sky map, which was released about a month after the GW170814 detection (LIGO-Virgo Collaboration 2017b). A preliminary LALInference map accounting for calibration uncertainties was sent after our first night of observations, causing a shift in the search region on the second night of observations and onward (LIGO-Virgo Collaboration 2017c). Figure 1 shows our tiling over the LIGO-Virgo sky maps. Observations of the region of interest were taken in epochs which began roughly 0.8, 1.8, 2.8, 5.8, 8.8, 9.8, 10.8, and 11.8 days after the GW event, and each epoch's tiling spanned about 4 hours. The first DECam image was taken on August 15, 2017 at 06:05:31 UTC. This cadence was chosen to have a dense sampling in time, but observing conditions and follow-up of GW170817 introduced larger gaps between the third and eighth nights.

We processed the images from our search using the Dark Energy Survey's (DES) transient detection pipeline as in Soares-Santos et al. (2016) and Herner et al. (in preparation). The pipeline consists of a single-epoch processing stage (Morganson et al. 2018; Bernstein et al. 2017) followed by a stage which takes the difference of search images and template images to identify sources with fluctuating brightness (DiffImg, Kessler et al. 2015). Template images were available from existing DES data since the LIGO-Virgo sky maps were

contained in the DES footprint. The sources detected in the pipeline are used to generate candidate light curves: A candidate requires at least two detections by the pipeline, and for each candidate a light curve is constructed from a PSF-fitted flux at each observation. The pipeline also removes persistent point sources in the DES Y1 catalog that are brighter than 20.5 mag in any band.

We split the data into two samples because of a shift in the GW sky map after the first night of observations. This shift prompted a change in the patch of sky we targeted, creating inhomogeneity in the data sample since the cadence of observations was not uniform over the full area we imaged. The red and orange hexes in Figure 1 show which hexes were observed the first night versus only on later nights, respectively. The first dataset, D1, includes the $N_{D1} = 42368$ candidates which were first observed ~ 0.8 days after the GW trigger when we were targeting the Bayestar sky map. D2 contains the $N_{D2} = 17192$ candidates observed for the first time after acquiring the preliminary LALInference sky map. Over the full GW170814 follow-up campaign, the median number of observations per candidate is 8 and 5 for D1 and D2, respectively.

3. ANALYSIS

To identify candidates of interest, we apply selection requirements (or "cuts") to the full set of candidates produced by DiffImg. We present these criteria in §3.2 and have chosen them to (a) minimize contamination from both astrophysical transients such as supernovae and asteroids as well as artifacts in the data and (b) identify "fast transients" which quickly decline after the merger. SNANA simulations (Kessler et al. 2009) of Type Ia and core-collapse SN light curves (using the SALT-II Ia light curve model of Guy et al. (2010) and Ibc, IIp, IIn core-collapse templates from Kessler et al. (2010)) provide guidance on cuts to remove supernovae⁵. We choose these cuts using a control sample of candidates which are away from the highest probability regions of the LALInference sky map, as described in §3.1. The number of candidates remaining in the control sample after applying cuts is used to infer the number of candidates expected in the full sample. This inference is detailed in $\S 3.3$.

3.1. Control Sample

To reduce potential bias in tuning the analysis cuts to reject all events, the cuts are optimized on a control sample. The control sample comprises a random third

³ Assuming cosmology of Planck Collaboration (2016)

 $^{^4}$ This estimate accounts for chip gaps on the camera, but not masking of bright stars.

 $^{^{5}}$ A full optimization and exploration of the cuts is not explored here and is left for future analyses.

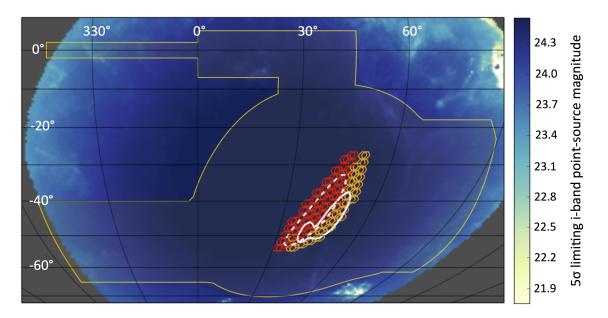


Figure 1. Dithered tiling performed for GW170814 overlaid on the GW170814 90%-confidence sky area contours. The red hexes show the individual pointings that were performed in our search on the first night of observations. The orange hexes represent the tiles that were not observed until the second night or later due to the sky map change. The white dotted contour shows the initial Bayestar map and the solid white contour represents the final LALInference map. The region enclosed by the yellow contour corresponds to the DES footprint, and the background color shows the estimated 5σ point-source limiting magnitude for a 90-second exposure which accounts for air mass and dust extinction (see Neilsen et al. 2016).

of all DiffImg candidates, and candidates within 4.5 deg of the maximum a posteriori point of the sky map are excluded. There is an $\sim 8\%$ chance that the true location of GW170814 is in the control region.

As with the full data set, we split our control sample into two subsamples. The first subsample C1 (with $N_{C1}=12381$ candidates) comprises the control candidates in D1. The second subsample C2 contains the control candidates ($N_{C2}=3867$ candidates) in D2. We apply the cuts in §3.2 to the two control subsamples and record the sets of candidates c1 and c2 (with N_{c1} and N_{c2} candidates respectively) passing cuts out of the totals.

The remaining data (which we call the blinded sample) is similarly split into two subsamples B1 and B2 for events first observed when targeting the Bayestar map and LALInference map, respectively. In total, Subsample B1 contains $N_{B1}=29987$ candidates and B2 contains $N_{B2}=13325$ candidates. Since B1, B2, C1, and C2 are mutually exclusive, we have $N_{D1}=N_{B1}+N_{C1}$ and $N_{D2}=N_{B2}+N_{C2}$. Table 1 summarizes the numbers of candidates in each subsample.

3.2. Selection Requirements

Below we list the cuts applied to the candidates:

1. Raw Sample: All candidates produced by DiffImg.

N_{D1}	42368	N_{D2}	17192
N_{C1}	12381		3867
N_{B1}	29987	N_{B2}	13325

Table 1. The number of candidates in the two subsets of full (D), control (C), and blinded (B) samples.

- 2. 1st Epoch ML>0.7: Using the autoScan machinelearning score (0 < ML < 1) that was trained with DES data (Goldstein et al. 2015) to remove non-point-source-like detections, we require ML > 0.7 for the first observation. This cut eliminates image artifacts that arise in the difference imaging. For reference, the DES Supernova program requires ML > 0.5, but for two separate detections of a candidate rather than just one detection. Our requirement is more stringent since we are looking for rapidly fading sources and therefore only cut on the first-epoch ML. Our stricter ML > 0.7 requirement lowers the numbers of single-epoch false positives by a factor of ~ 2 compared with ML > 0.5, while lowering the efficiency by only a few percent at signal-to-noise ratio 10 Goldstein et al. (2015).
- 3. Host Galaxy z < 0.3: Using high-confidence galaxies from the DES Y3 Gold catalog, a candidate is matched to a host galaxy if it is within four

times the directional light radius⁶ of the galaxy (Gupta et al. 2016). Each galaxy is also fit with a Directional Neighborhood Fitting (DNF) photometric redshift $z_{\rm DNF}$ with uncertainty $\Delta z_{\rm DNF}$ (De Vicente et al. 2016). If the candidate is matched to a galaxy and the best match galaxy satisfies $z_{\rm DNF} - \Delta z_{\rm DNF} > 0.3$, the candidate is removed from the sample. This cut removes events that are clearly associated with galaxies beyond the estimated GW redshift of $z = 0.11^{+0.03}_{-0.04}$.

- 4. 2nd Observation $S/N \geq 2$: The candidate must have a measured signal-to-noise ratio (S/N) of at least 2 on the second observation. Measurements within one hour of each other are not considered separate observations for this cut. This cut rejects asteroids and difference imaging artifacts.
- 5. Greater than 2σ decline: There must be a $> 2\sigma$ decline in the flux between the first and second epochs that a candidate was observed. A similar cut was implemented in Soares-Santos et al. (2016) and Cowperthwaite et al. (2016). σ is the quadrature sum of the flux errors on the two epochs. If multiple measurements of a candidate were taken in the same epoch (i.e. in the same night), we use the first measurement of the epoch. If we did not observe the candidate on the second epoch, it is removed from the sample. We note that the effect of this cut depends sensitively on the observational choices of the follow-up campaign, not just the astrophysics of the potential EM source.
- 6. $N_{\rm obs} \geq 4$: To ensure that we can examine each candidate's light curve over a broad portion of the follow-up campaign, the candidate must have been observed at least $N_{\rm obs} = 4$ times, regardless of S/N.
- 7. Late-time S/N < 6: After one week from the GW event, the S/N of all observations of a candidate must be less than 6. This requirement removes objects that are bright at late times such as supernovae and variable stars.</p>
- 8. No Late-time Brightening: To isolate fading transients, we require that after 48 hours from the GW event, there is no increase in flux of the candidate greater than 3σ , where σ is the quadrature sum of uncertainties on adjacent flux measurements.

9. Visual Inspection: Subtracted image stamps identified as artifacts (e.g. cosmic rays) are removed from the sample.

After applying these cuts to the control sample, $N_{c1} = 1$ and $N_{c2} = 0$ candidates remain.

3.3. Expectation of Number of Candidates in Full Sample

Given N_{c1} and N_{c2} out of N_{C1} and N_{C2} candidates passing in the control fields, respectively, we expect $\langle N_{b1} + N_{b2} \rangle = N_{c1} N_{B1} / N_{C1} + N_{c2} N_{B2} / N_{C2} = 2.4$ events in B1+B2, which we interpret as the mean of a Poisson distribution⁷. In §4, we analyze the blinded sample and compare our expectations to the number of candidates passing the cuts.

4. RESULTS

Table 2 shows the effect of the cuts on the full sample, which includes the control sample. It also shows the initial *i*-band magnitudes and sky positions for the events passing all cuts. After analyzing the blinded sample, one more candidate is found, leaving a total of two candidates passing cuts in the control and blinded samples, with ID numbers 1 and 2, respectively. Finding one candidate passing cuts in the blinded sample is consistent with the 2.4 expected background events derived from the control sample presented in §3.3. The light curves for both events and their sky positions are shown in Figure 3.

Upon visual inspection of the two candidates, neither is an obvious subtraction artifact or cosmic ray⁸. However, the template images for both candidates contain a bright source at the position of the candidates. The template, search and difference images from the first epoch of observations of each candidate are shown in Figure 2.

A deeper search through the DES high-quality object catalog ("Y3 Gold") reveals that Candidate 1 is associated with an object that is classified as either a galaxy at $z\sim0.9$, or a star, depending on the classifier used. A multi-epoch, multi-object fitting algorithm classifies the object's PSF as a candidate star, whereas the single-object fit categorizes the object as a likely galaxy (Drlica-Wagner et al. 2018; Sevilla-Noarbe et al. 2018). Notably, the object is too faint to meet the brightness cutoff for inclusion in our star veto catalog and it is

⁶ The directional light radius is the radius of a potential host galaxy in the direction of the candidate transient and is dependent on the survey.

⁷ This interpretation does not account for differences in Milky Way reddening and stellar density over the search region.

⁸ Here we do not show examples of subtraction artifacts and cosmic rays that would be cut by visual inspection since visual inspection did not end up removing any candidates in this analysis.

Cuts	$N_{ m seq}{}^{ m a}$	$N_{ m only}^{\ m b}$	$N_{ m LO}{}^{ m c}$
1. Raw Sample	59560	_	_
2. 1st Epoch ML > 0.7	1206	1206	258
3. Unmatched or Host $z < 0.30$	730	31119	8
4. 2nd Obs S/N ≥ 2.0	663	44181	4
5. > 2.0 sigma decline	45	5570	65
6. $N_{\rm obs} \ge 4$	31	50029	2
7. Late-time $S/N < 6$	4	27571	21
8. No Late-time Brightening	2	36499	4
9. Visual Inspection	2	_	2

Candidate #	RA	DEC	m_i
1	42.35047°	-40.32632°	22.5
2	47.63365°	-36.36045°	21.9

 $^{{}^{\}rm a}{\rm Number}$ of candidates remaining after applying each cut sequentially

Table 2. Top: Candidates remaining in the full data sample after applying cuts. Bottom: sky coordinates and initial i-band magnitude m_i of the two candidates passing all cuts.

not vetoed by our host galaxy redshift cut (cut 3) because we only include high-confidence galaxies in the host-galaxy matching. Fitting each band to a constant flux for all archival observations of the object (DES Years 1-4) results in a χ^2/DOF of 48.6/17=2.9 and p-value $p(\chi^2 \geq 48.6|\text{DOF}=17)=7\times 10^{-5}$, indicating previous variability of the source. These archival fluxes are shown in Figure 3. Spectroscopic observations of this source could clarify if the object is a star or galaxy.

Candidate 2 is also associated with a DES Y3-Gold object and is classified as a high-confidence star by both classifiers and constant-flux fits to archival observations yield a χ^2/DOF of 25.7/14 = 1.8 and p-value of $p(\chi^2 \geq 25.7|\text{DOF} = 14) = 0.03$ (see Figure 3). However, the star is also too faint (by 0.16 mag) to meet the brightness cutoff for the star veto catalog of our pipeline and hence was not removed by the 20.5 mag persistent-point-source cut in §2.

5. DISCUSSION

Although our search identified two interesting candidates, it is unlikely that either candidate is associated with GW170814. Neither candidate is located in the 90% confidence region of the LALInference sky map, and both are associated with existing objects in DES catalogs that are inconsistent with our expectations of the GW source. Candidate 2 is likely the transient behavior of a variable star and is consistent with the number of

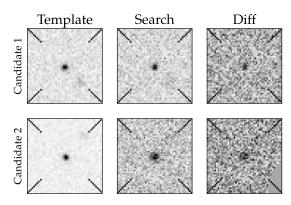


Figure 2. Template, search, and difference image stamps for candidates passing cuts. The top row shows the *i*-band images for Candidate 1, and the bottom for Candidate 2. The search and difference images are from the the first epoch of observations of the candidate. Each stamp is 13.2° x 13.2° .

background candidates expected in the blinded sample. Candidate 1 could also be stellar variability, or it could be a signal associated with a distant galaxy. Assuming it is a galaxy, the DNF photometric redshift⁹ of the object is $z=0.95\pm0.12$, far beyond the possible redshift of GW170814 at that sky position: The 99% upper limit on the GW distance along the Candidate 1 line of sight is 454 Mpc, whereas the galaxy distance is 6380^{+1010}_{-980} Mpc assuming the LCDM cosmology parameters of Planck Collaboration (2016).

An alternative explanation for the persistent emission from the two candidates is that one or both of these candidates is associated with a quasar. If either is a quasar, it is unlikely to be at the low redshifts of interest for GW170814 (Pâris et al. 2017). Spectroscopic follow-up of the persistent sources associated with Candidates 1 and 2 could resolve whether we have mis-categorized them

We conclude that these two candidates are not associated with GW170814, and thus we find no EM counterpart associated with the BBH merger over the 225 deg² region that we surveyed with 90% sky map coverage. We have not yet computed the efficiency, which is needed to set rate limits on BBH merger emission, but this rate-limit analysis is underway using SNANA simulations similar to those used in Soares-Santos et al. (2016). Our rate-limit analysis will also re-evaluate the cuts to maximize possible BBH model efficiency while minimizing supernova background events. Qualitatively though,

^bNumber of candidates after applying an individual cut

^cNumber of candidates if a cut is "left out" but all the rest are applied

⁹ We note that photometric redshifts can occasionally have catastrophic failures.

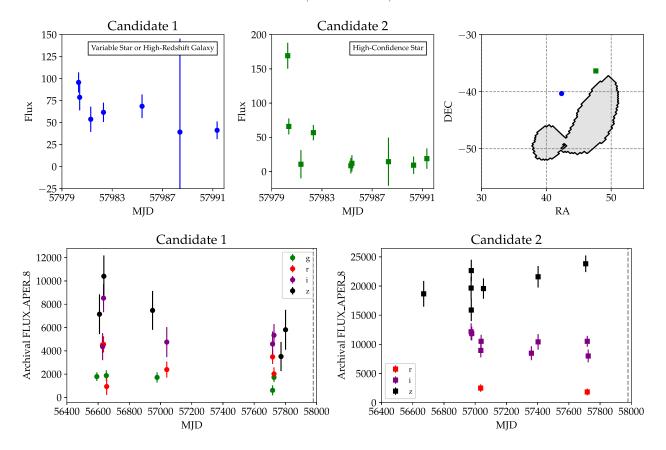


Figure 3. Light curves, archival fluxes, and sky positions for the two candidates passing all cuts. Top: The left panel shows the i-band light curve for Candidate 1 (associated with Y3 Gold variable star or high-redshift galaxy), and the middle panel shows the same for Candidate 2 (associated with Y3 Gold high-confidence star). The flux is defined in relation to AB magnitude as $m_{\rm AB} = -2.5\log_{10}({\rm Flux}) + 27.5$. The right panel overlays the sky positions of the two candidates on the 90% credible region of the lalinference sky map (gray). Bottom: Available archival flux measurements in g,r,i,z bands at the locations of Candidates 1 (left) and 2 (right). These FLUX_APER_8 fluxes are taken with 22.22-pixel apertures and are not from difference imaging and therefore cannot be directly compared to those in the top panels. The vertical, gray, dashed line on the far right of the two plots indicates the GW170814 merger time.

the analysis presented here covers 90% of the GW sky map and searches for events with rapidly declining light curves. The non-detection of an EM counterpart in our sample results in stringent limits on fast-declining optical models brighter than $i\sim 23$ mag 1-2 days after the BBH coalescence¹⁰. Assuming a flat-in-frequency optical spectrum from 4000 Å to 7000 Å and the GW170814 median distance, this $i\sim 23$ mag limit corresponds to a luminosity limit of $L_{\rm optical}\sim 5\times 10^{41}$ erg/s.

Our results constrain the space of models put forth in e.g. Stone et al. (2017), de Mink & King (2017). For example, Stone et al. (2017) posits that BBH mergers occurring in the gaseous environments of AGN disks could be accompanied by gas accretion onto the final merged black hole that powers luminosities of order $L \sim 10^{40}$

erg/s lasting a few years, but highly super-Eddington accretion might result in a brighter and shorter-lived transient that our analysis is sensitive to. Our search also narrows the feasibility of models from de Mink & King (2017), which predict emission with luminosities of approximately $L \sim 10^{42}$ erg/s occurring on fast timescales. The search performed here is tailored to remove longer-lived transients, and therefore it does not constrain long-lived BBH counterparts, such as the supernova association suggested in Loeb (2016) (however, see Woosley (2016)).

Aside from identifying interesting candidates, our search for counterparts to GW170814 is a test-bed for future BBH follow-up analyses where the sky map credible areas will be small enough to be completely tiled in less than one night using DECam. For a real-time search for future counterparts, we consider resources to spectroscopically follow ~ 10 candidates, which we would want to identify within roughly two days of the

¹⁰ This search is not sensitive to models that can fade faster than the time between the first two observations due to Cut 4.

GW trigger. In this scenario, we only apply the first five cuts in Table 2, since the remaining cuts depend on observations beyond two days. Through cut 5 (> 2σ decline), our search finds 45 candidates. Of these, we find that four candidates (including Candidates 1 and 2) are associated with DES-catalog objects that are either galaxies beyond our redshift cut (cut 3), or stars, and are thus uninteresting as black-hole-merger counterparts. Excluding these four candidates, our real time search would find 41 candidates over 225 deg² or ~ 11 candidates per 60 deg² (the 90% credible area of the GW170814 sky map). For comparison, Cowperthwaite & Berger (2015) predicts ~ 13 Type Ia SNe detected at z < 0.25 over a 7-day, 60-deg² search. This suggests that the first five cuts are adequate to find interesting spectroscopic targets over a region the size of the GW170814 sky map.

Future work will incorporate simulations of BBH and SN light curves to assess the efficiency and false alarm rate of our search. If several BBH events are followed up with no EM counterpart found, a combined analysis will be needed to set limits on BBH EM emission.

6. CONCLUSION

We have presented an optical search for counterparts to gravitational wave GW170814 using the Dark Energy Camera. Our search covered 225 deg², corresponding to 90% of the LALInference sky map. Our difference-imaging pipeline produces 59560 light curves from the search images which are analyzed with the criteria in §3.2. After applying these cuts to the *i*-band light curves, two candidates remain. These two candidates are most likely not associated with GW170814: one is a high-confidence variable star, and the other is either a variable star or a transient associated with a high-redshift galaxy well beyond the expected GW170814 redshift.

With no candidates associated with GW170814, our analysis disfavors fast-declining optical emission from BBH mergers 1 to 2 days after merger with $i\lesssim 23$ mag. Future work will assess the efficiency and false-positive rate in optical BBH searches such as this one using simulations of BBH and SN light curves. Additionally, we will consider updates to our star veto catalog and galaxy catalog to account for fainter stars and objects with uncertain star or galaxy classification.

Tens of BBH signals are expected in the LVC's third operating run, and some are likely to have localization regions of similar size to that of GW170814. Based on the search and analysis presented here, we are preparing to search for additional BBH merger signals and quickly identify candidates for spectroscopic follow up. With

future BBH optical searches and forward modeling of background and foreground signals, we will set increasingly stringent limits on BBH EM emission. Although BBH mergers may remain electromagnetically dark, the future of BBH astrophysics is bright.

7. ACKNOWLEDGMENTS

ZD would like to thank Reed Essick for useful discussions and would also like to thank the Dark Cosmology Center and Neils Bohr Institute where he was studying at the time of the GW170814 alert. ZD is supported by NSF Graduate Research Fellowship grant DGE-1144082. ZD and DEH were partially supported by NSF CAREER grant PHY1151836 and NSF grant PHY-1708081. H.-Y.C. was supported by the Black Hole Initiative at Harvard University, through a grant from the John Templeton Foundation. This work was completed in part with resources provided by the University of Chicago Research Computing Center and support from the Kavli Institute for Cosmological Physics at the University of Chicago through NSF grant PHY-1125897 and an endowment from the Kavli Foundation.

Funding for the DES Projects has been provided by the DOE and NSF (USA), MEC/MICINN/MINECO (Spain), STFC (UK), HEFCE (UK). NCSA (UIUC), KICP (U. Chicago), CCAPP (Ohio State), MIFPA (Texas A&M), CNPQ, FAPERJ, FINEP (Brazil), DFG (Germany) and the Collaborating Institutions in the Dark Energy Survey.

The Collaborating Institutions are Argonne Lab, UC Santa Cruz, University of Cambridge, CIEMAT-Madrid, University of Chicago, University College London, DES-Brazil Consortium, University of Edinburgh, ETH Zürich, Fermilab, University of Illinois, ICE (IEEC-CSIC), IFAE Barcelona, Lawrence Berkeley Lab, LMU München and the associated Excellence Cluster Universe, University of Michigan, NOAO, University of Nottingham, Ohio State University, University of Pennsylvania, University of Portsmouth, SLAC National Lab, Stanford University, University of Sussex, Texas A&M University, and the OzDES Membership Consortium.

Based in part on observations at Cerro Tololo Inter-American Observatory, National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy (AURA) under a cooperative agreement with the National Science Foundation.

The DES Data Management System is supported by the NSF under Grant Numbers AST-1138766 and AST-1536171. The DES participants from Spanish institutions are partially supported by MINECO under grants AYA2015-71825, ESP2015-66861, FPA201568048, SEV-2016-0588, SEV-2016-0597, and MDM-2015-0509, some of which include ERDF funds from the European Union. IFAE is partially funded by the CERCA program of the Generalitat de Catalunya. Research leading to these results has received funding from the European Research Council under the European Union's Seventh Framework Program (FP7/2007-2013) including ERC grant agreements 240672, 291329, and 306478. We acknowledge support from the Australian Research Council Centre of Excellence for Allsky Astrophysics (CAASTRO), through project number CE110001020, and the Brazilian Instituto Nacional de Ciência e Tecnologia (INCT) e-Universe (CNPq grant 465376/2014-2).

This manuscript has been authored by Fermi Research Alliance, LLC under Contract No. DE-AC02-07CH11359 with the U.S. Department of Energy, Office of Science, Office of High Energy Physics. The United States Government retains and the publisher, by accepting the article for publication, acknowledges that the United States Government retains a non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published form of this manuscript, or allow others to do so, for United States Government purposes.

REFERENCES

Abbott, B. P., Abbott, R., Abbott, T. D., et al. 2016, Phys. Rev. Lett., 116, 061102. https:

//link.aps.org/doi/10.1103/PhysRevLett.116.061102

Abbott, B. P., Abbott, R., Abbott, T. D., et al. 2016a, ApJL, 818, L22

Abbott, B. P., Abbott, R., Abbott, T. D., et al. 2017, Phys. Rev. Lett., 119, 161101. https:

//link.aps.org/doi/10.1103/PhysRevLett.119.161101

Abbott, B. P., Abbott, R., Abbott, T. D., et al. 2016b, Physical Review Letters, 116, 241103

—. 2016c, Physical Review X, 6, 041015

—. 2017a, Physical Review Letters, 118, 221101

—. 2017b, ApJL, 851, L35

—. 2017c, Physical Review Letters, 119, 141101

Arcavi, I., McCully, C., Hosseinzadeh, G., et al. 2017, ApJL, 848, L33

Bernstein, G. M., Abbott, T. M. C., Desai, S., et al. 2017, PASP, 129, 114502

Chen, H.-Y., Fishbach, M., & Holz, D. E. 2018, Nature, 562, 545

Connaughton, V., Burns, E., Goldstein, A., et al. 2016, ApJL, 826, L6

Coulter, D. A., Foley, R. J., Kilpatrick, C. D., et al. 2017, Science, 358, 1556

Cowperthwaite, P. S., & Berger, E. 2015, ApJ, 814, 25

Cowperthwaite, P. S., Berger, E., Soares-Santos, M., et al. 2016, ApJL, 826, L29

de Mink, S. E., & King, A. 2017, ApJL, 839, L7

De Vicente, J., Sánchez, E., & Sevilla-Noarbe, I. 2016, MNRAS, 459, 3078

Drlica-Wagner, A., Sevilla-Noarbe, I., Rykoff, E. S., et al. 2018, ApJS, 235, 33

Flaugher, B., Diehl, H. T., Honscheid, K., et al. 2015, AJ, 150, 150

Goldstein, D. A., D'Andrea, C. B., Fischer, J. A., et al. 2015, AJ, 150, 82

Gupta, R. R., Kuhlmann, S., Kovacs, E., et al. 2016, AJ, 152, 154

Guy, J., Sullivan, M., Conley, A., et al. 2010, A&A, 523, A7 Herner, K., et al. in preparation

Kessler, R., Bassett, B., Belov, P., et al. 2010, PASP, 122, 1415

Kessler, R., Bernstein, J. P., Cinabro, D., et al. 2009, PASP, 121, 1028

Kessler, R., Marriner, J., Childress, M., et al. 2015, AJ, 150, 172

LIGO-Virgo Collaboration. 2017a, LIGO/Virgo G297595: Identification of a GW Binary Merger Candidate, ,

 2017b, LIGO/Virgo G297595/GW170814: updated localization from parameter estimation, ,

—. 2017c, LIGO/Virgo G297595: Updated localization from LIGO and Virgo data, ,

Lipunov, V. M., Kornilov, V., Gorbovskoy, E., et al. 2017, MNRAS, 465, 3656

Loeb, A. 2016, ApJL, 819, L21

McKernan, B., Ford, K. E. S., Bellovary, J., et al. 2018, ApJ, 866, 66

Morganson, E., Gruendl, R. A., Menanteau, F., et al. 2018, ArXiv e-prints, arXiv:1801.03177

Neilsen, Jr., E. H., Bernstein, G., Gruendl, R., & Kent, S. 2016, doi:10.2172/1250877

Pâris, I., Petitjean, P., Ross, N. P., et al. 2017, A&A, 597, A79

Perna, R., Lazzati, D., & Giacomazzo, B. 2016, ApJ, 821, L18

Phinney, E. S. 2009, in Astronomy, Vol. 2010, astro2010: The Astronomy and Astrophysics Decadal Survey Planck Collaboration. 2016, A&A, 594, A13

- Schutz, B. F. 1986, Nature, 323, 310
- Sevilla-Noarbe, I., Hoyle, B., Marchã, M. J., et al. 2018, ArXiv e-prints, arXiv:1805.02427
- Singer, L. P., & Price, L. R. 2016, PhRvD, 93, 024013
- Smartt, S. J., Chambers, K. C., Smith, K. W., et al. 2016a, MNRAS, 462, 4094
- —. 2016b, ApJL, 827, L40
- Soares-Santos, M., Holz, D. E., Annis, J., et al. 2017, ApJL, $848,\, L16$

- Soares-Santos, M., Kessler, R., Berger, E., Annis, J., et al. 2016, ApJL, 823, L33
- Soares-Santos, M., Palmese, A., Annis, J., et al. in preparation
- Stalder, B., Tonry, J., Smartt, S. J., et al. 2017, ApJ, 850, 149
- Stone, N. C., Metzger, B. D., & Haiman, Z. 2017, MNRAS, $464,\,946$
- Valenti, S., David, Sand, J., et al. 2017, ApJL, 848, L24Woosley, S. E. 2016, ApJL, 824, L10