

## Energy, Environmental, and Catalysis Applications

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Ami Nomura, Seongho Choi, Manabu Ishimaru, Atsuko Kosuga, Thomas Chasapis, Saneyuki Ohno, G. Jeffrey Snyder, Yuji Ohishi, Hiroaki Muta, Shinsuke Yamanaka, and Ken Kurosaki

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# Chalcopyrite ZnSnSb<sub>2</sub>: A Promising Thermoelectric Material

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3 KEYWORDS: thermoelectric, ZnSnSb<sub>2</sub>, chalcopyrite, band convergence, thermal conductivity,  
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5 Grüneisen parameter  
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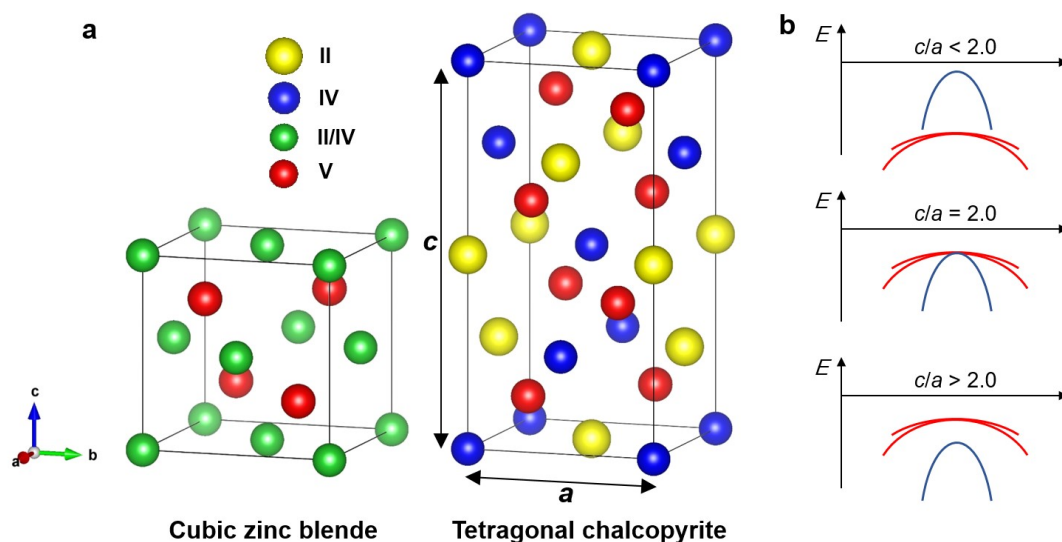
11  
12 ABSTRACT: Ternary compounds with a tetragonal chalcopyrite structure such as CuGaTe<sub>2</sub> are  
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14 promising thermoelectric (TE) materials. It has been demonstrated in a various chalcopyrite  
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16 systems including compounds with quaternary chalcopyrite-like structures that the lattice  
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18 parameter ratio  $c/a$  being exactly 2.00 to have a pseudo-cubic structure is key to increase the  
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20 degeneracy at the valence band edge and ultimately achieve high thermoelectric performance.  
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22 Considering the fact that ZnSnSb<sub>2</sub> with a chalcopyrite structure is reported to have  $c/a$  close to  
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24 2.00, it is expected to have multiple valence bands leading to a high  $p$ -type  $zT$ . However, there  
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26 are no complete investigations on the high temperature TE properties of ZnSnSb<sub>2</sub> mainly due to  
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28 the difficulty of obtaining a single-phase ZnSnSb<sub>2</sub>. In the present study, pure ZnSnSb<sub>2</sub> samples  
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30 with no impurities are synthesized successfully using a Sn flux-based method and TE properties  
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32 are characterized up to 585 K. Transport properties and the thermal analysis indicate that the  
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34 structure of ZnSnSb<sub>2</sub> remains chalcopyrite with no order-disorder transition and clearly show  
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36 that ZnSnSb<sub>2</sub> can be made to exhibit a high  $zT$  in the low-to-mid temperature range through  
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38 further optimization.  
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## 1. INTRODUCTION

Thermoelectric (TE) conversion is a method of converting heat directly into electricity and is being explored as an effective approach for utilizing exhaust heat. Electric power is generated by exploiting the Seebeck effect for solids, and the performance of the materials being used for TE conversion is evaluated based on a dimensionless figure of merit  $zT$  ( $= S^2\sigma T/\kappa$ ,<sup>1</sup> where  $S$  is the Seebeck coefficient,  $\sigma$  is the electrical conductivity,  $\kappa$  is the thermal conductivity, and  $T$  is the absolute temperature).  $\sigma$  is expressed as  $\sigma = en\mu$ , where  $e$  is the elementary electric charge,  $n$  is the carrier concentration, and  $\mu$  is the carrier mobility. A  $zT$  value of 1 is considered as the standard for the commercialization of TE power generation. The parameter  $S^2\sigma$  is called the power factor ( $PF$ ). The thermal conductivity,  $\kappa$ , can be written as  $\kappa = \kappa_{\text{lat}} + \kappa_{\text{el}}$ ,<sup>1</sup> where  $\kappa_{\text{lat}}$  and  $\kappa_{\text{el}}$  are the lattice and electronic thermal conductivities, respectively.  $\kappa_{\text{el}}$  is related to  $\sigma$  by  $\kappa_{\text{el}} = LT\sigma$ , based on the Wiedemann–Franz law, where  $L$  is the Lorenz number. High-performance TE materials should exhibit high  $PF$  and low  $\kappa_{\text{lat}}$  values.

Chalcopyrite compounds are being explored as novel high-performance TE materials. Cu-based and Ag-based chalcopyrite compounds such as  $\text{CuGaTe}_2$ ,<sup>2</sup>  $\text{CuInTe}_2$ ,<sup>3</sup> and  $\text{AgGaTe}_2$ <sup>4</sup> are reported to possess a high  $PF$  and a low  $\kappa_{\text{lat}}$ . The chalcopyrite-like pseudo-cubic compounds  $\text{Cu}_2\text{CdSnSe}_4$ <sup>5</sup> and  $\text{Cu}_2\text{ZnSnSe}_4$ <sup>6</sup> also exhibit excellent TE performance. In particular, the chalcopyrite compound  $\text{CuGaTe}_2$ , which was discovered by our research group, has an extremely high  $zT$  of 1.4 at 950 K.<sup>2</sup> **Figure 1** shows the crystal structure and valence band of chalcopyrite compounds. Chalcopyrite compounds have a tetragonal structure that is composed of two cubic sphalerite-like lattices. When the lattice parameter ratio,  $c/a$ , of a chalcopyrite compound is  $\approx 2.00$ , its valence band is degenerate, with multiple bands at the same energy, as in the case of the pseudo-cubic structure.<sup>7</sup> The value of  $S$  increases because of the degeneracy of the valence band

without there being a reduction in the carrier mobility (assuming no intervalley scattering). This results in an increase in  $PF$ . As a matter of fact, the  $c/a$  of  $\text{CuGaTe}_2$ , which shows a high  $PF$  ( $1.3 \text{ mW}\cdot\text{m}^{-1}\cdot\text{K}^{-2}$ ) at 950 K, is almost 2.00, indicating that its valence band should be nearly degenerate.<sup>2,8</sup> This study focuses on  $\text{ZnSnSb}_2$  as a new chalcopyrite compound.  $\text{ZnSnSb}_2$  is reported to have a chalcopyrite crystal at low temperatures and transforms to exhibit a sphalerite-like structure at high temperatures, wherein Zn and Sn are placed randomly.<sup>9</sup> It is expected to exhibit a high  $PF$  because the  $c/a$  ratio for chalcopyrite  $\text{ZnSnSb}_2$  should be very close to 2.00.<sup>10</sup> Furthermore, the  $\kappa_{\text{lat}}$  value of  $\text{ZnSnSb}_2$  is expected to be low because, in general, materials that contain heavy elements such as Sn and Sb tend to have low  $\kappa_{\text{lat}}$  values.<sup>11</sup>



**Figure 1.** (Color online) (a) Crystal structure of chalcopyrite compounds and (b) dependence of the lattice parameter ratio  $c/a$  on the valence bands position for chalcopyrite compounds. When the  $c/a$  ratio for chalcopyrites is equal to 2.00, the valence bands highly degenerate.

## 2. EXPERIMENTAL SECTION

It is known that<sup>12</sup> ZnSnP<sub>2</sub> is formed by a peritectic reaction and that pure ZnSnP<sub>2</sub> can be obtained when Sn is used as the flux and the composition (mol%) of the starting materials corresponds to a Zn/Sn/P ratio of 1:9.2:2. Based on the literature, in this study, the composition of the starting materials (mol%) was taken to be Zn/Sn/Sb = 1:*x*:2 (*x* ≥ 9). First, the starting materials, Zn (99.999%), Sn (99.99%), and Sb (99.999%), were weighed and mixed in the desired ratio. They were then vacuum sealed in a quartz tube, heated till 923 K, and kept at that temperature for 12 h. The tube was then cooled to 533 K and annealed at this temperature for 24 h. The tube was then cooled to room temperature at 0.4 K·min<sup>-1</sup>. This yielded an ingot with an excessive amount of Sn. Next, Sn and ZnSnSb<sub>2</sub> were separated based on the low-temperature brittleness of Sn. It is well known that the phase state of Sn is different at room temperature and low temperatures; it is β-Sn at room temperature and extremely brittle α-Sn at low temperatures.<sup>13</sup> Therefore, the ingot was cooled using liquid nitrogen and the brittle Sn and ZnSnSb<sub>2</sub> phases were separated manually using a 53 μm mesh sieve. The thus-obtained ZnSnSb<sub>2</sub> powder was subjected to spark plasma sintering (SPS-515A, Sumitomo Coal Mining Co.) to produce a bulk sample with a diameter of 10 mm and thickness of 2 mm. The SPS was conducted at 453 K under the pressure of 200 MPa for 15 min in an Ar flow atmosphere. The sintering temperature, 453 K, was determined to suppress the formation of secondary phases during SPS. These undesired reactions might occur in the vicinity of the peritectic reaction,  $L + \text{Sn}_3\text{Sb}_2 = \text{Sn}$  at around 500 K, which can be confirmed from the  $C_P$  vs  $T$  curve (Figure 4). The density,  $d$ , of the bulk sample was determined from its weight and dimensions. The relative density of the bulk sample was approximately 85%.

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3 The phases of the powdered and bulk samples were identified using XRD analysis (Ultima-IV,  
4 Rigaku Co.). In addition, their crystal structures were characterized using a TEM system (JEM-  
5 3000F, JEOL). The elemental distributions within the samples was evaluated quantitatively using  
6 EDS (EX-23000BU, JEOL). The Seebeck coefficient,  $S$ , and electrical resistivity  $\rho (= \sigma^{-1})$  were  
7 measured using a commercial ZEM-3 system (ULVAC) at temperatures of 300–585 K in a He  
8 atmosphere. The Hall coefficient,  $R_H$ , was measured by the Van der Pauw method using a  
9 custom-built device. The Hall carrier concentration,  $n_H$ , and Hall carrier mobility,  $\mu_H$ , were  
10 calculated from the expressions  $n_H = 1/|R_H|e$  ( $e$ : elementary electric charge) and  $\mu_H = |R_H|\sigma$ ,  
11 respectively. The thermal conductivity,  $\kappa$ , was calculated using the expression  $\kappa = D_T C_p d$ . The  
12 thermal diffusivity ( $D_T$ ), was measured using the flash method (LFA457, NETZSCH); the  
13 measurements were performed in an Ar atmosphere. (The result is shown in **Figure S4** in  
14 Supporting Information.) The specific heat under constant pressure,  $C_p$ , was measured using  
15 differential scanning calorimetry (STA449C, NETZSCH). The sound velocity of the samples  
16 was measured by the ultrasonic pulse echo method; the measurements were performed in air at  
17 room temperature using 10 MHz ultrasonic waves.

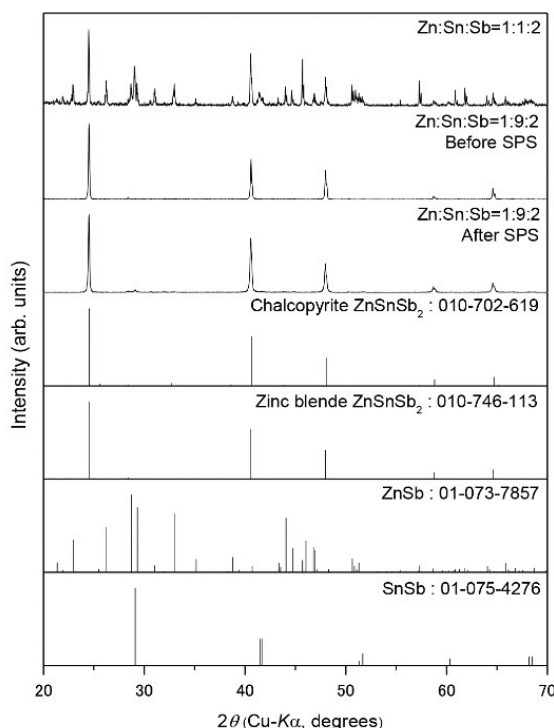
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19 In order to investigate the optical band gap, diffuse reflectance infrared Fourier transform  
20 spectroscopy measurements were performed using a Nicolet 6700 FTIR system fitted with a  
21 Praying Mantis™ diffuse reflectance attachment (Harrick). The optical absorption coefficient,  $\alpha$ ,  
22 was determined using the Kubelka-Munk function  $F(R) = (1-R)^2/(2R) = \alpha/K$ , where  $R$  is the  
23 measured diffuse reflectance and  $K$  is the scattering coefficient.

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25 The electron state of  $\text{ZnSnSb}_2$  was calculated using the Vienna ab-initio simulation package  
26 (VASP). The projector augmented wave method (PAW) was used for the calculations, which  
27 were based on the density functional theory (DFT). The Perdew-Burke-Ernzerhof generalized  
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3 gradient approximation (GGA-PBE) and the modified Becke-Johnson exchange potential in  
4 combination with the local density approximation correlation (mBJ-LDA) were used for the  
5 simulations of the structural relaxation and band structure, respectively. The cutoff energy was  
6 500 eV, and the mesh number at point  $k$  was  $9 \times 9 \times 9$ .  
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### 13 3. RESULTS AND DISCUSSION

16 While CuGaTe<sub>2</sub> can be synthesized simply by melting at the stoichiometric ratio of Cu/Ga/Te =  
17 1:1:2, the synthesis of pure ZnSnSb<sub>2</sub> is extremely difficult to achieve as it involves a peritectic  
18 reaction.<sup>2,9,14,15</sup> Hence, the TE properties of ZnSnSb<sub>2</sub> have not been well studied previously.  
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20 Therefore, in this study, we synthesize pure ZnSnSb<sub>2</sub> using a Sn flux-based method that has been  
21 used for synthesizing single-phase ZnSnP<sub>2</sub><sup>12</sup> and evaluate its TE properties up to 585 K.  
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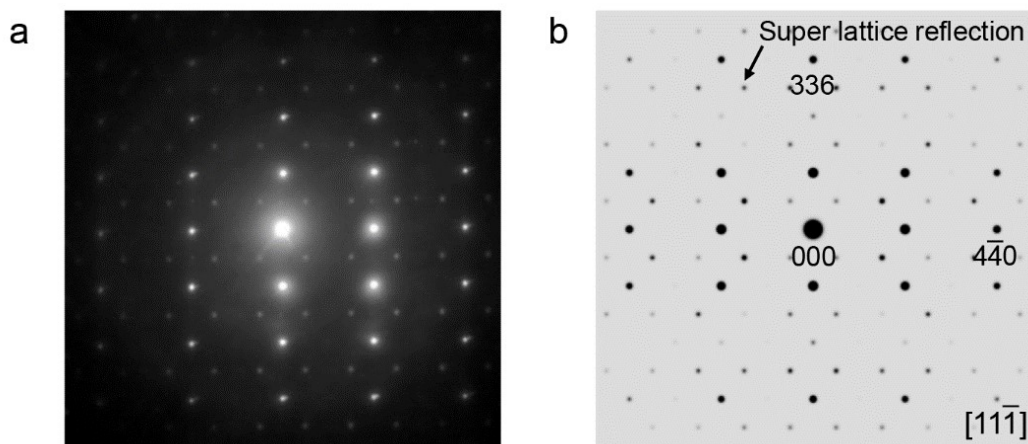


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52 **Figure 2.** XRD patterns for the samples, together with the literature data of chalcopyrite  
53 ZnSnSb<sub>2</sub>, zinc blende ZnSnSb<sub>2</sub>, ZnSb, and SnSb. Pure ZnSnSb<sub>2</sub> was obtained by a Sn flux  
54 method when the composition of the starting materials (mol %) was Zn:Sn:Sb = 1:9:2.  
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**Table 1.** Lattice parameters  $a$  and  $c$ , lattice parameter ratio  $c/a$ , theoretical density  $d_{th}$ , and measured density  $d_m$  for  $ZnSnSb_2$ . All parameters were obtained at room temperature.

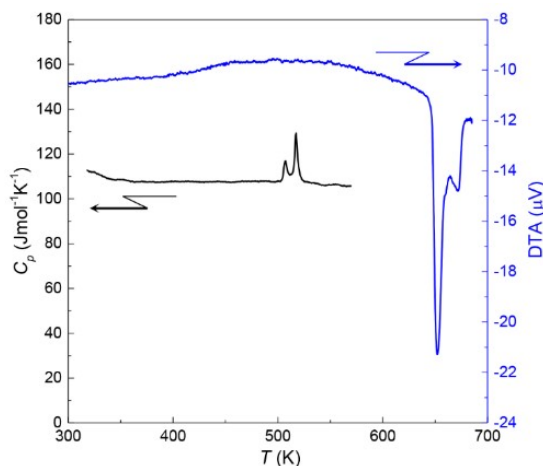
	$a$ (Å)	$c$ (Å)	$c/a$	$d_{th}$ (g/cm <sup>3</sup> )	$d_m$ (g/cm <sup>3</sup> )	%TD
This work	6.284(2)	12.556(8)	1.998	5.747	4.880	85
Ref. <sup>10</sup>	6.275	12.550	2.000	5.747	-	-



**Figure 3.** (Color online) (a) Electron diffraction pattern and (b) simulated EDP of  $ZnSnSb_2$  along  $\langle 11\bar{1} \rangle$  direction. The crystal structure of  $ZnSnSb_2$  can be identified as a chalcopyrite type because superlattice reflections associated with the chalcopyrite structure can be confirmed in addition to the basic lattice reflection of the sphalerite structure.

**Figure 2** shows the X-ray diffraction (XRD) patterns for the powdered and bulk samples. As can be seen, a starting composition (mol%) of  $Zn/Sn/Sb = 1:1:2$  results in the formation of large amounts of  $ZnSb$  and  $SnSb$  as impurities, in addition to  $ZnSnSb_2$ . However, single-phase  $ZnSnSb_2$  free of impurities is synthesized successfully by adjusting the ratio of the starting materials (mol%) to  $Zn/Sn/Sb = 1:9:2$  and removing the excessive Sn. The lattice parameter ratio of  $ZnSnSb_2$ ,  $c/a$ , is 1.998 and nearly equals to that reported in the literature (See Table 1).<sup>10</sup> The results of the quantitative elemental analysis performed using energy-dispersive X-ray spectroscopy (EDS) show that the composition of  $ZnSnSb_2$  is essentially  $Zn/Sn/Sb = 1:1:2$  (these results are shown in **Figure S1** in Supporting Information). It is not easy to determine whether

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3 ZnSnSb<sub>2</sub> is chalcopyrite-like or sphalerite-like only by XRD patterns because the low-intensity  
4 peaks characterizing chalcopyrite structure overlap peaks from the impurities ZnSb and SnSb.<sup>9</sup>  
5  
6 Therefore, the samples are evaluated using transmission electron microscopy (TEM). **Figure 3a**  
7 shows the electron diffraction pattern (EDP) of the powdered sample obtained along the <111>  
8 direction using TEM. In the EDP, several superlattice reflections can be observed, in addition to  
9 the fundamental lattice reflection of the sphalerite structure. This confirms that the crystal  
10 structure of the synthesized sample is chalcopyrite. Further, the simulated EDP for the  
11 chalcopyrite structure (see **Figure 3b**) is in good agreement with the experimental results.  
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38 **Figure 4.** (Color online) Temperature dependence of heat capacity  $C_p$  and the result of DTA of  
39 ZnSnSb<sub>2</sub>. The two peaks in the  $C_p$  curve are attributed to chemical reactions of impurities.  
40 Chalcopyrite ZnSnSb<sub>2</sub> is stable and there is no order-disorder (from chalcopyrite to sphalerite)  
41 phase transition before it decomposes.  
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45 In contrast to the reported order-disorder transition in ref (9), we experimentally observe no  
46 order-disorder transition and confirm that the chalcopyrite structure remains up to its  
47 decomposition temperature as it's theoretically shown in ref (16). **Figure 4** shows the  
48 temperature dependence of heat capacity ( $C_p$ ) measured by a differential scanning calorimeter  
49 (DSC) and the result of differential thermal analysis (DTA). Two peaks are present for  $C_p$  in the  
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3 500–530 K range. According to the Sn-Sb binary phase diagram,<sup>17</sup> the peritectic reaction,  $L +$   
4  $\text{Sn}_3\text{Sb}_2 = \text{Sn}$  occurs at 516 K, and the melting point for Sn is close to this temperature. Rincon<sup>16</sup>  
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6 calculated the order-disorder phase transition temperature ( $T_c$ ) in ternary chalcopyrite  
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8 compounds from the view point of the band gap difference between the order and disorder  
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10 phases. The calculated  $T_c$  of the other pnictides analogues such as  $\text{ZnSnP}_2$  and  $\text{ZnSnAs}_2$  were  
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12 consistent with the experimentally observed values.<sup>18</sup> As for  $\text{ZnSnSb}_2$ , the calculated  $T_c$  was 759  
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14 K, which is higher than the decomposition temperature confirmed in this work. Therefore, we  
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16 conclude that the peaks around 500–530 K in the  $C_p$  curve are attributed to Sn melting and the  
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18 peritectic reaction,  $L + \text{Sn}_3\text{Sb}_2 = \text{Sn}$  since DSC is more sensitive than DTA. The peak observed  
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20 along the DTA curve starting at around 600 K is related to the decomposition of  $\text{ZnSnSb}_2$  to  
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22  $\text{ZnSb}$  and  $\text{SnSb}$ .  
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30 Generally, the porosity of a material has a significant effect on its  $\sigma$  and  $\kappa$  values as well as  
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32 the velocity of sound in the material, and corrections based on the porosity are necessary for  
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34 ensuring the accuracy of the obtained values of the physical parameters. In this study, we use the  
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36 Maxwell-Eucken equations to correct for the porosity in the case of the electrical resistivity and  
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38 thermal conductivity. The Maxwell-Eucken equations are as follows:<sup>19,20</sup>  
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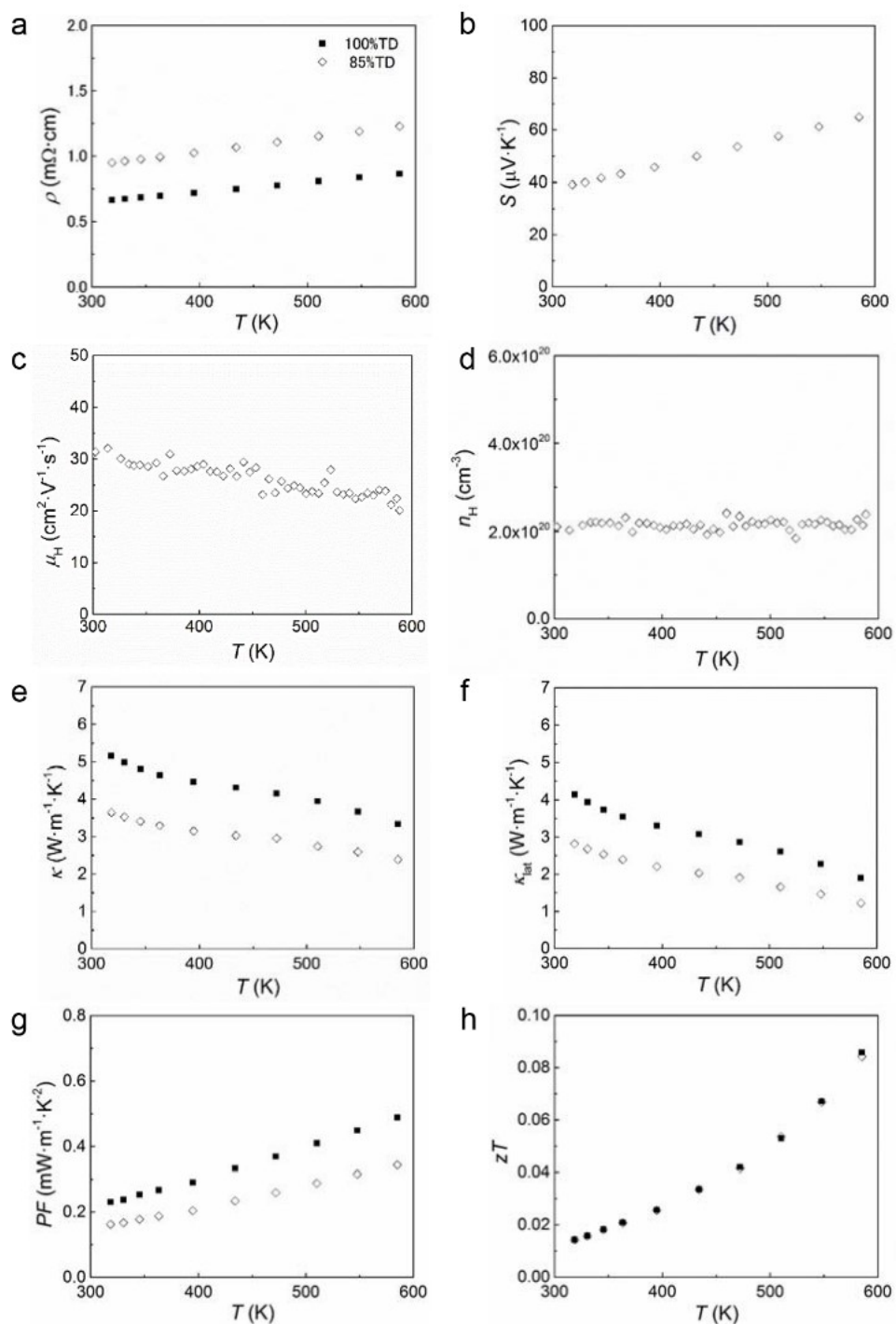
$$\sigma_0 = \sigma_P \frac{(1 + \beta P)}{(1 - P)} \quad (1)$$

$$\kappa_0 = \kappa_P \frac{(1 + \beta P)}{(1 - P)} \quad (2)$$

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49 where  $\sigma_0$  and  $\kappa_0$  are the corrected electrical and thermal conductivities assuming no porosity,  $\sigma_P$   
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51 and  $\kappa_P$  are the electrical and thermal conductivity at porosity  $P$  with the parameter of  $\beta$   
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53 depending on the shape of the pores assumed to be 1.4 for this study.<sup>21</sup> The relative density of the  
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3 bulk samples for transport measurements is approximately 85%. This low relative density is due  
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5 to the low sintering temperature during SPS, which is determined to avoid the formation of  
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7 secondary phases. Although we applied the highest pressure of 200 MPa as far as we can during  
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9 SPS, it was not enough to get high density. On the other hand, because the sound velocity and  
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11 porosity exhibit an inverse relationship,<sup>22</sup> multiple bulk samples with varying porosities are  
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13 prepared, and the sound velocities of these samples are measured and extrapolated linearly to  
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15 determine the sound velocity at zero porosity. The porosity dependence of  $S$  is not considered as  
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17 no porosity dependence in Seebeck is expected.  
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22 The high temperature electronic and thermal transport properties of  $\text{ZnSnSb}_2$  are measured for  
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24 the first time in this study. **Figure 5a** and **5b** show the temperature dependences of  $S$  and  $\rho$ ,  
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26 respectively, for  $\text{ZnSnSb}_2$ . It can be seen that  $\rho$  increases slightly with an increase in the  
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28 temperature; this is in keeping with previously reported results.<sup>9,23</sup> In this study, the  $\rho$  values as  
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30 measured at room temperature are slightly greater than those reported previously possibly due to  
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32 the effect of impurities.<sup>24</sup> Further, the  $\rho$  values are very small, at less than  $1.0 \text{ m}\Omega\cdot\text{cm}$ , for every  
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34 temperature range investigated.  $S$  increases with the temperature, in keeping with the literature  
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36 data of low temperature.<sup>9</sup> Positive  $S$  indicates that  $\text{ZnSnSb}_2$  exhibits  $p$ -type conduction and it's  
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38 confirmed by the Hall effect measurements show that the positive Hall coefficient. **Figure 5c** and  
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40 **5d** show the temperature dependences of the Hall carrier mobility,  $\mu_{\text{H}}$ , and Hall carrier  
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42 concentration,  $n_{\text{H}}$ , respectively. The primary carrier scattering mode in  $\text{ZnSnSb}_2$  is assumed to be  
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44 acoustic phonon scattering as many other thermoelectrics because  $\mu_{\text{H}}$  decreases proportional to  $T^{-1.5}$ .<sup>25</sup>  
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46 The  $n_{\text{H}}$  remains constant regardless of the temperature and its value is approximately  $2.2 \times$   
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48  $10^{20} \text{ cm}^{-3}$ .  
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**Figure 5.** Temperature dependences of thermoelectric transport properties of  $\text{ZnSnSb}_2$ . (a) electrical resistivity  $\rho$ , (b) Seebeck coefficient  $S$ , (c) Hall carrier mobility  $\mu_H$ , (d) Hall carrier concentration  $n_H$ , (e) thermal conductivity  $\kappa$ , (f) lattice thermal conductivity  $\kappa_{\text{lat}}$ , (g) power factor  $PF$ , and (h) dimensionless figure of merit  $zT$ .

**Figure 5e** and **5f** show the temperature dependences of  $\kappa$  and  $\kappa_{\text{lat}}$ , respectively. The  $\kappa$  value of ZnSnSb<sub>2</sub> for 100 %T.D. is 5.2 W·m<sup>-1</sup>·K<sup>-1</sup> at room temperature and 3.3 W·m<sup>-1</sup>·K<sup>-1</sup> at 585 K.  $\kappa_{\text{lat}}$  is estimated as the difference between  $\kappa$  and  $\kappa_{\text{el}}$  ( $\kappa_{\text{lat}} = \kappa - \kappa_{\text{el}}$ ) where  $\kappa_{\text{el}}$  is calculated based on the Wiedemann–Franz law. The value of the Lorenz number,  $L$ , used here is calculated from the physical parameters determined in this study. The contribution of  $\kappa_{\text{el}}$  to  $\kappa$  is approximately 20% at room temperature and increases with an increase in the temperature, reaching up to 43% at 585 K. The  $\kappa_{\text{lat}}$  value of ZnSnSb<sub>2</sub> for 100 %T.D. is 4.1 W·m<sup>-1</sup>·K<sup>-1</sup> at room temperature and decreases in proportion to  $T^{-1}$  down to 1.9 W·m<sup>-1</sup>·K<sup>-1</sup> at 585 K due to the Umklapp scattering.

Slack showed that  $\kappa_{\text{lat}}$  can be expressed as follows:<sup>11,26</sup>

$$\kappa_{\text{lat}} = A \frac{M\delta\theta^3}{N^{2/3}\gamma^2} \frac{1}{T} \quad (3)$$

The Debye temperature,  $\theta$ , and the average sound velocity,  $v_m$ , are given by the following equations:

$$\theta = v_m \left( \frac{h}{k_B} \right) \left( \frac{3nN_A d}{4\pi M_w} \right)^{1/3} \quad (4)$$

$$v_m^{-3} = \frac{(v_l^{-3} + 2v_t^{-3})}{3} \quad (5)$$

where  $A$ ,  $M$ ,  $\delta^3$ ,  $N$ ,  $\gamma$ ,  $k_B$ ,  $N_A$ ,  $h$ ,  $n$ ,  $M_w$ ,  $v_l$ , and  $v_t$  are a constant independent of the material in question, the average atomic mass, average atomic volume, the number of atoms per unit cell, Grüneisen parameter, Boltzmann constant, Avogadro constant, Planck constant, the number of atoms per molecule, molecular mass, longitudinal speed of sound, and transverse speed of sound, respectively. **Table 2** shows the  $v_m$  and  $\theta$  values for ZnSnSb<sub>2</sub> and CuGaTe<sub>2</sub>. The porosity correction is also applied to the data of speed of sounds. Generally, increasing anharmonicity of

the lattice vibrations (increasing Grüneisen parameter,  $\gamma$ ) leads to the reduction of  $\kappa_{\text{lat}}$ .<sup>27</sup> Equation (3) shows that  $\kappa_{\text{lat}}$  is proportional to  $M\delta\theta^3/\gamma^2$  for the same crystal structure at a constant temperature. **Figure 6** shows  $M\delta\theta^3$  dependency on  $\kappa_{\text{lat}}$  for a various chalcopyrite compounds at room temperature.<sup>2,3,7,28–30</sup> The  $\kappa_{\text{lat}}$  increases with  $M\delta\theta^3$  in an essentially linear manner for most of chalcopyrite compounds except  $\text{ZnSnSb}_2$ .  $\text{ZnSnSb}_2$  has a relatively lower  $\kappa_{\text{lat}}$  compared to the other chalcopyrite compounds mainly because of slightly larger  $\gamma$  than those of other chalcopyrite compounds.

**Table 2.** Longitudinal sound velocity  $v_l$ , transverse sound velocity  $v_t$ , average sound velocity  $v_m$ , coefficient of volumetric thermal expansion  $\alpha_v$ , bulk modulus  $B$ , Grüneisen parameter  $\gamma$ , and Debye temperature  $\theta$  of  $\text{ZnSnSb}_2$  and  $\text{CuGaTe}_2$ .<sup>2</sup>

Parameter	$\text{ZnSnSb}_2$	$\text{CuGaTe}_2$ <sup>2</sup>
$v_l$ ( $\text{m}\cdot\text{s}^{-1}$ )	4028	3817
$v_t$ ( $\text{m}\cdot\text{s}^{-1}$ )	2302	2072
$v_m$ ( $\text{m}\cdot\text{s}^{-1}$ )	2558	2312
$\theta$ (K)	243	229
$B$ (GPa)	52.6	51.5
$\alpha_v$ ( $\text{K}^{-1}$ )	$3.1\times 10^{-5}$	$3.0\times 10^{-5}$
$\gamma$	1.2	1.0

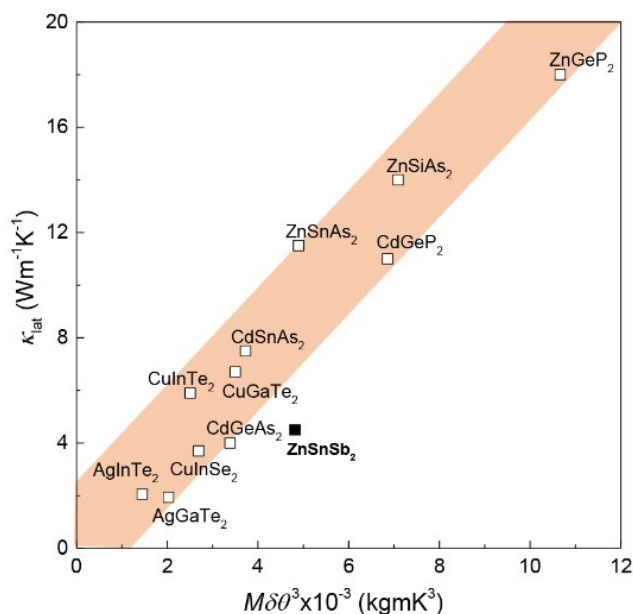
To experimentally confirm the  $\gamma$  value for  $\text{ZnSnSb}_2$ , high-temperature XRD measurements (the results are shown in **Figure S2** and **S3** in Supporting Information) are conducted. With the volumetric thermal expansion,  $\alpha_v$ , calculated from the temperature dependency of the lattice parameters and following equations:<sup>31,32</sup>

$$\gamma = \frac{\alpha_v B v_m}{C_v} \quad (6)$$

$$B = d \left( v_l^2 - \frac{4}{3} v_t^2 \right) \quad (7)$$

where  $B$  is the bulk modulus,  $C_v$  is the specific heat for a constant volume obtained based on the Dulong–Petit law ( $C_v = 3nR$  where  $R$  is the gas constant),  $\gamma$  value for  $\text{ZnSnSb}_2$  is determined and listed in Table 2 with the values of  $\text{CuGaTe}_2$ . The value of  $\gamma$  is 1.2 for  $\text{ZnSnSb}_2$  and is larger than that for  $\text{CuGaTe}_2$  ( $\gamma = 1.0^2$ ), suggesting that the anharmonicity of the lattice vibrations in  $\text{ZnSnSb}_2$  is greater compared to the other chalcopyrite compounds and resulting in its lower  $\kappa_{\text{lat}}$  value. The glassy limit  $\kappa_{\text{lat}}$  value,  $\kappa_{\text{glass}}$ , corresponds to the assumption that all the phonons have the smallest mean free path, is given by the following equation:<sup>33-34</sup>

$$\kappa_{\text{glass}} \approx 1.2 \frac{k_B v_m}{\delta^2} \quad (8)$$



**Figure 6.** (Color online) Lattice thermal conductivity  $\kappa_{\text{lat}}$  of chalcopyrite compounds as a function of the scaling parameter  $M\delta\theta^3$ , where  $M$  is average mass per atom,  $\delta^3$  is average volume per atom, and  $\theta$  is Debye temperature.<sup>2,3,7,29,30,32</sup>  $\kappa_{\text{lat}}$  increases as  $M\delta\theta^3$  increases in an essentially linear manner for chalcopyrite compounds except for  $\text{ZnSnSb}_2$  having large Grüneisen parameter  $\gamma$  and low  $\kappa_{\text{lat}}$  compared to other chalcopyrite compounds.



This equation is established based on the model proposed by Cahill et al<sup>[33]</sup>, and can be applied to evaluate the glassy limit of  $\kappa_{\text{lat}}$  of various crystals and glasses such as solid solutions of GeTe and AgSbSe<sub>2</sub><sup>[35]</sup>. Equation (8) yields  $\kappa_{\text{glass}} = 0.43 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  for ZnSnSb<sub>2</sub>. Since the measured value of  $\kappa_{\text{lat}}$  is  $1.7 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  at 585 K, this result indicates that  $\kappa_{\text{lat}}$  can be reduced further.

**Figure 5g** and **5h** show the temperature dependences of  $PF$  and  $zT$ , respectively, for ZnSnSb<sub>2</sub>.  $PF$  and  $zT$  monotonically increase as a function of temperature and achieve  $0.49 \text{ mW}\cdot\text{m}^{-1}\cdot\text{K}^{-2}$  ( $\rho = 0.86 \text{ m}\Omega\cdot\text{cm}$  and  $S = 64.9 \text{ }\mu\text{V}\cdot\text{K}^{-1}$ ) and 0.086, respectively, at 585 K.

To examine the best possible  $zT$  from ZnSnSb<sub>2</sub>, here we analyze the carrier concentration dependence of  $zT$  using the single parabolic band (SPB) model as it's shown in CuGaTe<sub>2</sub>.<sup>36</sup> In the SPB model, assuming account phonon scattering,  $n_H$ ,  $S$ ,  $\mu_H$ , and  $L$  are given by the following equations:<sup>36-37</sup>

$$n_H = 4\pi \left( \frac{2m^*k_B T}{h^2} \right)^{3/2} \frac{F_{1/2}(\eta)}{r_H} \quad (9)$$

$$S(\eta) = \frac{k_B}{e} \left[ \frac{(2 + \lambda)F_{(\lambda+1)}(\eta)}{(1 + \lambda)F_{\lambda}(\eta)} - \eta \right] \quad (10)$$

$$\mu_H = \frac{e\pi\hbar^4}{\sqrt{2}(k_B T)^{3/2}} \frac{C_{11}}{E_{\text{def}}^2 (m^*)^{5/2}} \frac{(1/2 + 2\lambda)F_{2\lambda-1/2}(\eta)}{(1 + \lambda)F_{\lambda}(\eta)} \quad (11)$$

$$L = \left( \frac{k_B}{e} \right)^2 \frac{(1 + \lambda)(3 + \lambda)F_{\lambda}(\eta)F_{\lambda+2}(\eta) - (2 + \lambda)^2 F_{\lambda+1}(\eta)^2}{(1 + \lambda)^2 F_{\lambda}(\eta)^2} \quad (12)$$

$$F_j(\eta) = \int_0^{\infty} \frac{\xi^j d\xi}{1 + \text{Exp}[\xi - \eta]} \quad (13)$$

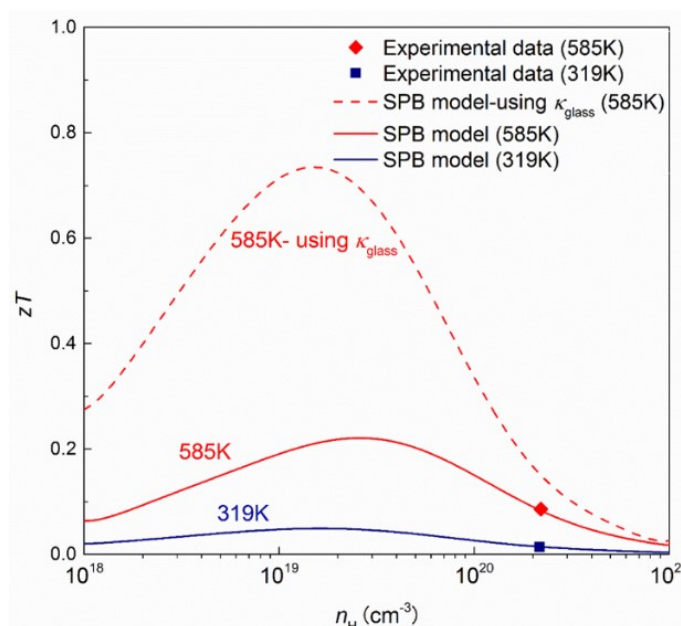
where  $F_j(\eta)$  is the Fermi integral;  $\eta$  is the reduced Fermi level;  $e$  is the elementary electric charge;  $m^*$  is the effective mass of the state density;  $E_{\text{def}}$  is the deformation potential coefficient, which is indicative of the intensity of acoustic phonon scattering;  $C_{11}$  is the elastic constant for

longitudinal vibrations ( $C_{11} = v_l^2 d$ );<sup>38</sup> and  $r_H$  is the Hall factor, which is taken to be unity in this study.  $\lambda$  represents the energy dependence of the carrier relaxation time and assumed to be 0 taking acoustic phonon scattering alone into account. The values employed in this study for SPB analysis and the determined parameters for ZnSnSb<sub>2</sub> are listed in Table 3. The  $m^*$  value for ZnSnSb<sub>2</sub> ( $m^* = 0.66m_e$ ) is nearly equal to that for CuGaTe<sub>2</sub> ( $m^* = 0.65m_e$ ;<sup>36</sup>).

**Table 3.** Hall carrier concentration  $n_H$ , Seebeck coefficient  $S$ , Hall carrier mobility  $\mu_H$ , elastic constant for longitudinal vibrations  $C_{11}$ , effective mass of density of state  $m^*$ , Lorenz number  $L$ , and deformation potential coefficient  $E_{\text{def}}$  for ZnSnSb<sub>2</sub>.

Parameter	Temperature (K)	
	319	585
$n_H$ (c·m <sup>-3</sup> )	$2.2 \times 10^{20}$	$2.2 \times 10^{20}$
$S$ (μV·K <sup>-1</sup> )	39.2	64.9
$\mu_H$ (cm <sup>2</sup> ·V <sup>-1</sup> ·s <sup>-1</sup> )	29.8	21.6
$C_{11}$ (GPa)	93	93
$m^*$	$0.66 m_e$	$0.60 m_e$
$L$ (W·Ω·K <sup>-2</sup> )	$2.30 \times 10^{-8}$	$2.12 \times 10^{-8}$
$E_{\text{def}}$ (eV)	15.5	14.9

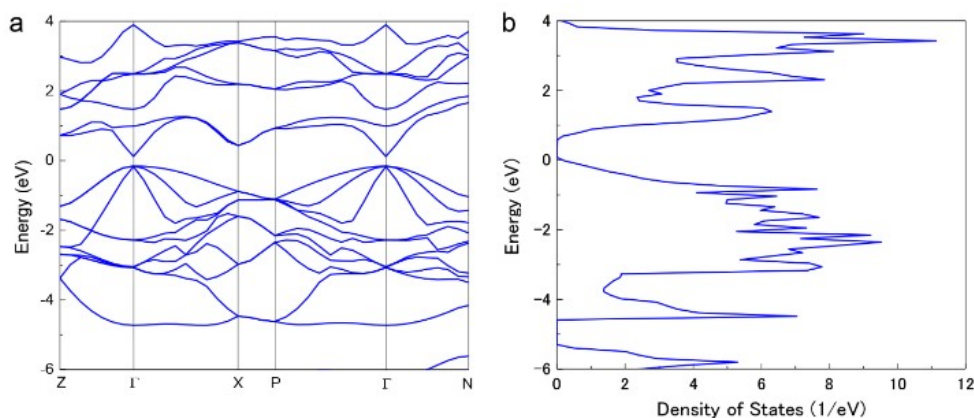
Predicted  $zT$  with  $\kappa_{\text{glass}}$  based on SPB analysis indicates the promising TE properties of ZnSnSb<sub>2</sub> motivation further optimization of this material. **Figure 7** shows the carrier concentration dependence of  $zT$  as determined using the SPB model for ZnSnSb<sub>2</sub>. As is the case for  $\kappa_{\text{lat}}$ , the empirical values obtained in this study are used for the analysis and  $\kappa_{\text{el}}$  is calculated using the Wiedemann–Franz law. The  $zT$  of the sample also increases with the temperature, with  $zT$  predicted to be 0.24 when  $n_H = 2 \times 10^{19}$  cm<sup>-3</sup> at 585 K. In addition, the maximum  $zT$  is 0.7 when  $\kappa_{\text{glass}} = 0.43$  W·m<sup>-1</sup>·K<sup>-1</sup> (as determined by Equation (8)).



**Figure 7.** (Color online) Hall carrier concentration  $n_H$  dependence of dimensionless figure of merit  $zT$  of  $\text{ZnSnSb}_2$  determined by the single parabolic band model. Here, we used measured  $\kappa_{\text{lat}}$  and estimated  $\kappa_{\text{glass}}$  for calculating  $zT$ . When the optimal carrier concentration and  $\kappa_{\text{glass}}$  are achieved for  $\text{ZnSnSb}_2$ , the maximum  $zT$  reaches 0.73 at 585 K.

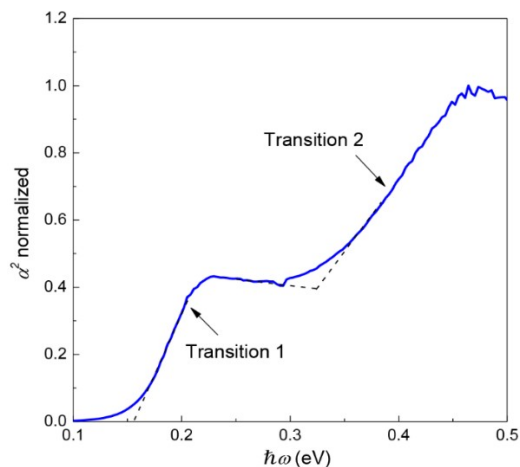
As it's observed in other chalcopyrite-like compounds, the key to obtain large thermopower is the ratio of  $c/a$  being almost exactly 2.00. **Figure 8a** and **8b** show the band structure and density of state (DOS), respectively, for the chalcopyrite  $\text{ZnSnSb}_2$  as determined through ab-initio calculations. The figures show that the valence bands degenerate. It has been reported that the  $PF$  and  $zT$  increase with increasing Fermi pocket degeneracy for various TE materials, including  $\text{PbTe}$ ,<sup>39</sup>  $\text{CoSb}_3$ ,<sup>40</sup> and  $\text{Mg}_2\text{Si-Mg}_2\text{Sn}$ .<sup>41</sup> This is owing to the fact that the value of  $S$  (for a given  $n$ ) increases with the degeneracy of the light bands, with the carrier mobility not being affected.<sup>42</sup> Figure 8b shows that the valence band of the DOS near the Fermi level exhibits a steep slope. According to Mott's theory, the steeper is the DOS slope near the Fermi level, the greater is  $S$ .<sup>43</sup> As shown in Figure 8a, a direct transition band gap is predicted by DFT between  $\Gamma-\Gamma$  ( $E_{g,\Gamma-\Gamma} =$

0.28 eV) and an indirect transition band gap predicted between  $\Gamma$ -X ( $E_{g,\Gamma-X} = 0.59$  eV).



**Figure 8.** (Color online) DFT-calculated electronic state of  $\text{ZnSnSb}_2$ . (a) Band structure and (b) density of state. The band structure of  $\text{ZnSnSb}_2$  represents that the valence bands highly degenerate at point  $\Gamma$ .

While the valence band of chalcopyrite structure is degenerate at the band edge when  $c/a = 2.00$ , the Materials Project<sup>44</sup> shows that a large offset at  $\Gamma$  point when  $c/a$  is only slightly off from the exact 2.00. Therefore, to experimentally evaluate the band gaps and confirm the band convergence, the optical absorption coefficient of the powder-form  $\text{ZnSnSb}_2$  sample is determined through Fourier transform infrared spectroscopy (FTIR) measurements. **Figure 9** shows the optical absorption coefficient,  $\alpha$ , of the sample at room temperature. Two transitions, one at 0.16 eV and another at 0.33 eV, can be seen in the figure. This could be due to the three valence bands of  $\text{ZnSnSb}_2$  not being degenerate at the  $\Gamma$  point, and the two transitions observed in Figure 9 correspond to the two  $\Gamma$ - $\Gamma$  transitions, as observed in Ge.<sup>45</sup> In this case,  $\text{ZnSnSb}_2$  can be even better by tuning the  $c/a$  via solid solution for example.



**Figure 9.** (Color online) Optical absorption coefficient  $\alpha$  as a function of photon energy for  $\text{ZnSnSb}_2$  obtained at room temperature, which suggests two distinct transitions.

#### 4. CONCLUSIONS

The pure chalcopyrite  $\text{ZnSnSb}_2$  with  $c/a$  nearly equal to 2.00 is successfully synthesized using the Sn flux method. Further, our ab-initio calculations show that the valence band of  $\text{ZnSnSb}_2$  degenerates at point  $\Gamma$  and that the DOS near the Fermi level has a steep slope. Optical band gap measurements indicate that  $\text{ZnSnSb}_2$  has two band gaps, 0.16 eV and 0.33 eV, and they would correspond to an intra- and inter-band transitions at point  $\Gamma$ , occurred when  $c/a$  is only slightly off from the exact 2.00 ( $c/a = 1.998$ ). The Grüneisen parameter,  $\gamma$ , of  $\text{ZnSnSb}_2$  is 1.2, which is higher than those for other chalcopyrite compounds; this results in a low  $\kappa_{\text{lat}}$ . Analysis based on the SPB model reveals that the  $zT$  for  $\text{ZnSnSb}_2$  is 0.24 at 585 K and  $n_{\text{H}} = 2 \times 10^{19} \text{ cm}^{-3}$  ( $zT = 0.7$  if  $\kappa = \kappa_{\text{glass}}$ ). The  $zT$  value can be increased by (1) adjusting the lattice parameter ratio ( $c/a$ ) to tune the band convergence, (2) optimizing the carrier concentration, and (3) substituting other elements to reduce  $\kappa_{\text{lat}}$  further.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

FE-SEM and EDX images of the ZnSnSb<sub>2</sub> samples, high-temperature XRD patterns for powdered ZnSnSb<sub>2</sub>, temperature dependence of the lattice parameters obtained by high-temperature XRD analysis, and temperature dependence of thermal diffusivity.

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### Notes

The authors declare no competing financial interest.

### Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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TOC figure

