

# Precision measurement of cis-regulatory energetics in living cells

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**Abstract** Gene expression in all organisms is controlled by cooperative interactions between DNA-bound transcription factors (TFs). However, measuring TF-TF interactions that occur at individual cis-regulatory sequences remains difficult. Here we introduce a strategy for precisely measuring the Gibbs free energy of such interactions in living cells. Our strategy uses reporter assays performed on strategically designed cis-regulatory sequences, together with a biophysical modeling approach we call “expression manifolds”. We applied this strategy in *Escherichia coli* to interactions between two paradigmatic TFs: CRP and RNA polymerase (RNAP). Doing so, we consistently obtain measurements precise to ~ 0.1 kcal/mol. Unexpectedly, CRP-RNAP interactions are seen to deviate in multiple ways from the prior literature. Moreover, the well-known RNAP binding motif is found to be a surprisingly unreliable predictor of RNAP-DNA binding energy. Our strategy is compatible with massively parallel reporter assays in both prokaryotes and eukaryotes, and should thus be highly scalable and broadly applicable.

## Introduction

Cells regulate the expression of their genes in response to biological and environmental cues. A major mechanism of gene regulation in all organisms is the binding of transcription factor (TF) proteins to cis-regulatory elements encoded within genomic DNA. DNA-bound TFs interact with one another, either directly or indirectly, forming cis-regulatory complexes that modulate the rate at which nearby genes are transcribed (*Ptashne and Gann, 2002; Courey, 2008*). Different arrangements of TF binding sites within cis-regulatory sequences can lead to different regulatory programs, but the rules that govern *which* arrangements lead to *which* regulatory programs remain largely unknown. Understanding these rules, which are collectively called “cis-regulatory grammar” (*Weingarten-Gabbay and Segal, 2014*), is a major challenge in modern biology.

A diverse array of high-throughput technologies have revolutionized our understanding of transcriptional regulation in recent years. It is now possible to map the genome-wide binding sites of transcription factors *in vivo* (*Ren et al., 2000; Johnson et al., 2007*), sometimes to nucleotide resolution (*Rhee and Pugh, 2011*). Large collaborative efforts using such methods have been carried out to comprehensively annotate cis-regulatory elements in model organisms (*modENCODE Consortium et al., 2010; Gerstein et al., 2010*) and in humans (*ENCODE Project Consortium, 2012*). Complementing such techniques are high-throughput *in vitro* methods for characterizing TF binding specificity (*Mukherjee et al., 2004; Meng et al., 2005; Berger et al., 2006; Zhao et al., 2009; Jolma et al., 2010; Slattery et al., 2011*). These methods have been applied to a large fraction of the TFs in select model organisms (*Noyes et al., 2008; Badis et al., 2009*) as well as in humans (*Jolma et al., 2013*). However, neither class of method addresses the critical question of what TFs do once bound to DNA. In particular, there are no systematic methods, either high-throughput or low-throughput,

43 for characterizing the TF-TF interactions that occur within cis-regulatory complexes in living cells.  
44 Measuring the quantitative strength of interactions between DNA-bound TFs is critical for eluci-  
45 dating cis-regulatory grammar. In particular, knowing the Gibbs free energy of TF-TF interactions  
46 is essential for building biophysical models *Bintu et al. (2005)*; *Sherman and Cohen (2012)* that  
47 can quantitatively explain gene regulation in terms of simple protein-DNA and protein-protein  
48 interactions. Biophysical models have proven remarkably successful at quantitatively explaining reg-  
49 ulation by a small number of well-studied cis-regulatory sequences. Arguably, the biggest successes  
50 have been achieved in the bacterium *E. coli*, particularly in the context of the *lac* promoter (*Vilar*  
51 *and Leibler, 2003*; *Kuhlman et al., 2007*; *Kinney et al., 2010*; *Garcia and Phillips, 2011*; *Brewster*  
52 *et al., 2014*) and the  $O_R/O_L$  control region of the  $\lambda$  phage lysogen (*Ackers et al., 1982*; *Shea and*  
53 *Ackers, 1985*; *Cui et al., 2013*). But in both cases, the biophysical level of understanding that has  
54 been achieved required decades of focused study. New approaches for dissecting cis-regulatory  
55 energetics, approaches that are both general and systematic, will be needed before this quantitative  
56 level of understanding can be obtained for any cis-regulatory sequence having any arrangement of  
57 TF binding sites.

58 Here we address this need by describing a systematic experimental/modeling strategy for  
59 dissecting the biophysical mechanisms of transcriptional regulation in living cells. Our strategy is  
60 based on reporter assays and is not a new experimental method per se. Rather, it shows how key  
61 biophysical quantities in transcriptional regulation can be measured to high precision by performing  
62 relatively simple experiments on strategically chosen cis-regulatory sequences, then analyzing the  
63 resulting data appropriately. Our rationale for introducing this strategy is that reporter assays  
64 can be readily performed in a wide variety of systems, making this strategy highly flexible and  
65 broadly applicable. Moreover, massively parallel reporter assays should allow this strategy to be  
66 dramatically scaled up.

67 Our strategy centers on the measurement and modeling of mathematical objects that we call  
68 “expression manifolds.” The underlying idea is to perform *multidimensional* measurements. If a  
69 hypothesized biophysical model is true, these measurements will collapse to a lower-dimension  
70 manifold embedded in this measurement space. If such data collapse is observed, specific values  
71 for the parameters of the hypothesized biophysical model can be inferred. On the other hand, if  
72 such collapse is not observed, the hypothesized biophysical model can be rejected and a different  
73 biophysical model is seen to be needed.

74 To demonstrate its utility, we applied this strategy to a regulatory paradigm in *E. coli*: activation of  
75 the  $\sigma^{70}$  RNA polymerase holoenzyme (RNAP) by the cAMP receptor protein (CRP). RNAP is arguably  
76 the best understood RNA polymerase in biology (*Ruff et al., 2015*), and CRP is arguably the best  
77 understood transcriptional activator (*Busby and Ebright, 1999*). CRP activates transcription when  
78 bound to DNA at various positions upstream of RNAP by forming favorable interactions with the  
79 RNAP  $\alpha$  subunit. Such regulation is often described as “class I” or “class II”, depending on the spacing  
80 between the RNAP and CRP binding sites. Both classes of interaction are known to depend strongly  
81 on the spacing between binding sites, but the *in vivo* Gibbs free energies of these interactions have  
82 been reported for only one such spacing: when the CRP site is centered -61.5 bp relative to the  
83 transcription start site (TSS), as occurs at the *E. coli lac* promoter.

84 By measuring and modeling expression manifolds, we systematically determined the *in vivo*  
85 Gibbs free energy ( $\Delta G$ ) of CRP-RNAP interactions that occur at a variety of different binding site  
86 spacings. These  $\Delta G$  values were consistently measured to a precision of  $\sim 0.1$  kcal/mol, roughly 3%  
87 of the strength of a hydrogen bond. Although our results broadly agree with the prior literature,  
88 there are key divergences. We find that class I CRP-RNAP interactions, which occur when CRP is  
89 centered upstream of  $\sim -60.5$  bp, are generally much stronger than have been suggested. Moreover,  
90 we find that the class II CRP-RNAP interaction that occurs when CRP is centered at -40.5 bp can  
91 either activate or repress transcription depending on features of the RNAP binding site that have  
92 yet to be understood.

93 In the course of these experiments we obtained other key biophysical information. First, we were

94 able to distinguish between two qualitatively different mechanisms of transcriptional activation:  
95 “stabilization” of RNAP-DNA binding (also called “recruitment” (*Ptashne, 2003*)) versus “acceleration”  
96 of the transcript initiation rate by DNA-bound RNAP. Contrary to prior *in vitro* studies, we find that  
97 *in vivo* class II activation by CRP at -41.5 bp occurs exclusively through stabilization, not acceleration.  
98 Second, we were able to measure the strength with which both CRP and RNAP bind their respective  
99 sites. This strength is quantified by the grand canonical potential (denoted here by  $\Delta\Psi$ ), which  
100 accounts for the  $\Delta G$  of binding as well as the *in vivo* concentration of each protein. Importantly, we  
101 find that the actual *in vivo*  $\Delta\Psi$  of RNAP-DNA binding deviates substantially from the predictions of  
102 the established RNAP binding motif. This result highlights the perils of assuming simple models for  
103 protein-DNA binding energy when modeling the biophysics of transcriptional regulation.

104 In what follows, we first illustrate this expression manifold strategy in the context of simple  
105 repression, which provides a general way to measure the  $\Delta\Psi$  of TF-DNA binding. This strategy  
106 is then used to measure the  $\Delta\Psi$  of CRP binding to a near-consensus DNA site that we use in  
107 subsequent experiments. Next we show how expression manifolds, inferred from measurements  
108 of simple activation, can be used to determine the  $\Delta G$  of TF-RNAP interactions. This strategy is used  
109 to measure CRP-RNAP interactions at a variety of class I and class II positions, and the deviations  
110 of these measurements from the prior literature are discussed. Finally, we compare the values of  
111  $\Delta\Psi$  for RNAP-DNA binding, obtained in the course of the above analyses, to the predictions of the  
112 RNAP-DNA binding motif from *Kinney et al. (2010)*.

## 113 Results

### 114 Strategy for measuring TF-DNA interactions *in vivo*

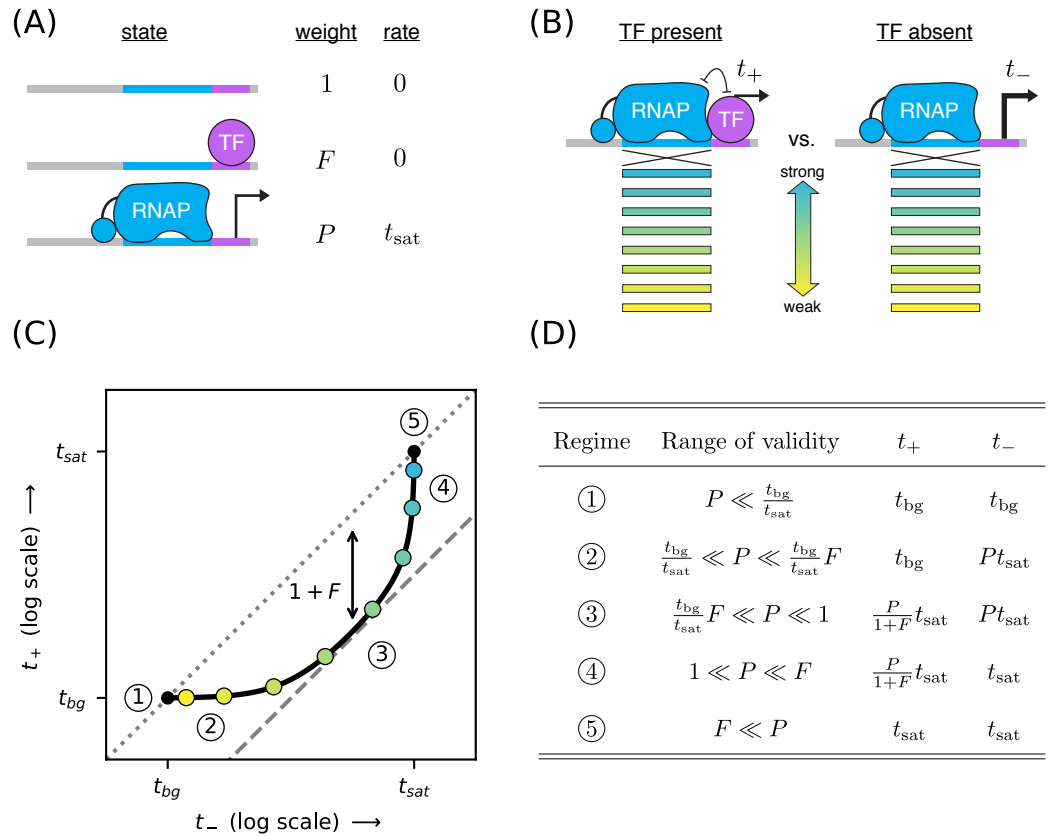
115 We begin by showing how expression manifolds can be used to measure the *in vivo* strength of  
116 TF binding to a specific DNA binding site. This measurement is accomplished by using the TF of  
117 interest as a transcriptional repressor. We place the TF binding site directly downstream of the  
118 RNAP binding site so that the TF, when bound to DNA, sterically occludes the binding of RNAP. We  
119 then measure the rate of transcription from a few dozen variant RNAP binding sites. Transcription  
120 from each variant site is assayed in both the presence and in the absence of the TF.

121 Figure 1A illustrates a thermodynamic model (*Bintu et al., 2005; Sherman and Cohen, 2012*)  
122 for this type of simple repression. In this model, promoter DNA can be in one of three states:  
123 unbound, bound by the TF, or bound by RNAP. These three state are assumed to occur with a  
124 relative frequency that is consistent with thermal equilibrium, i.e., with a probability proportional to  
125 its Boltzmann weight.

126 The energetics of protein-DNA binding determine the Boltzmann weight for each state. By  
127 convention we set the weight of the unbound state equal to 1. The weight of the TF-bound state is  
128 then given by  $F = [\text{TF}]K_F$  where  $[\text{TF}]$  is the concentration of the TF and  $K_F$  is the affinity constant  
129 in inverse molar units. Similarly, the weight of the RNAP-bound state is  $P = [\text{RNAP}]K_P$ . In what  
130 follows we refer to  $F$  and  $P$  as the “binding factors” for the TF-DNA and RNAP-DNA interactions,  
131 respectively. We note that these can also be written as  $F = e^{-\Delta\Psi_F/k_B T}$  and  $P = e^{-\Delta\Psi_P/k_B T}$  where  $k_B$  is  
132 Boltzmann’s constant,  $T$  is temperature, and  $\Delta\Psi_F$  and  $\Delta\Psi_P$  respectively denote the grand canonical  
133 potential of binding for the TF and RNAP. Note that the grand canonical potential is equal to the  
134 Gibbs free energy of binding plus a term that accounts for the entropic cost of pulling each protein  
135 out of solution. For reference,  $1 k_B T = 1.62$  kcal/mol at 37 °C.

136 The overall rate of transcription is computed by summing the amount of transcription produced  
137 by each state, weighting each state by the probability with which it occurs. In this case we assume  
138 the RNAP-bound state initiates at a rate of  $t_{\text{sat}}$ , and that the other states produce no transcripts. We  
139 also add a term,  $t_{\text{bg}}$ , to account for background transcription (e.g., from an unidentified promoter  
140 further upstream). The rate of transcription in the presence of the TF is thus given by

$$t_+ = t_{\text{sat}} \frac{P}{1 + F + P} + t_{\text{bg}}. \quad (1)$$



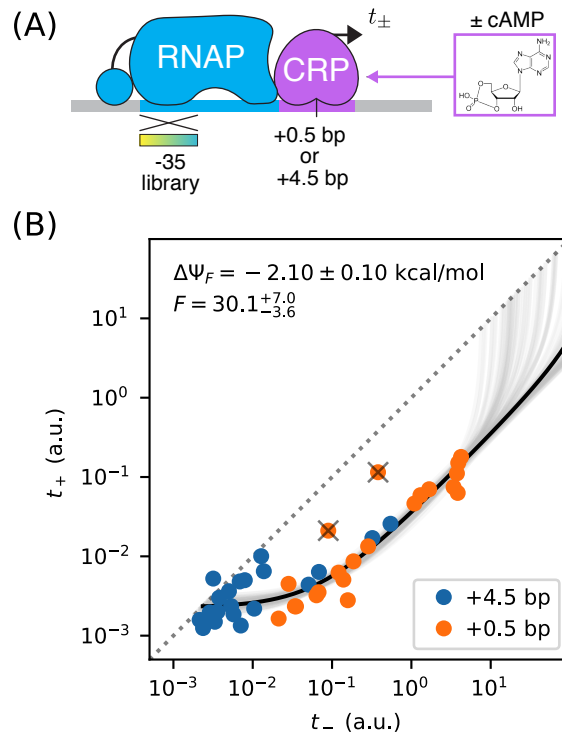
**Figure 1.** Strategy for measuring TF-DNA interactions. (A) A thermodynamic model of simple repression. Here, promoter DNA can transition between three possible states: unbound, bound by a TF, or bound by RNAP. Each state has an associated Boltzmann weight and rate of transcript initiation.  $F$  is the TF binding factor and  $P$  is the RNAP binding factor; see text for a description of how these dimensionless binding factors relate to binding affinity and binding energy.  $t_{sat}$  is the rate of specific transcript initiation from a promoter fully occupied by RNAP. (B) Transcription is measured in the presence ( $t_+$ ) and absence ( $t_-$ ) of the TF. Measurements are made for promoters containing RNAP binding sites of differing binding strength (blue-yellow gradient). (C) If the model in panel A is correct, plotting  $t_+$  vs.  $t_-$  for the promoters in panel B (colored dots) will trace out a 1D expression manifold. Mathematically, this manifold reflects Equation 1 and Equation 2 computed over all possible values of the RNAP binding factor  $P$  with the other parameters ( $F, t_{sat}$ ) held fixed. Note that these equations include a background transcription term  $t_{bg}$ ; it is assumed throughout that  $t_{bg} \ll t_{sat}$  and that  $t_{bg}$  is independent of RNAP binding site sequence. The resulting manifold exhibits five distinct regimes (circled numbers), corresponding to different ranges for the value of  $P$  that allow the mathematical expressions in Equations 1 and 2 to be approximated by simplified expressions. In regime 3, for instance,  $t_+ \approx t_- / (1 + F)$ , and thus the manifold approximately follows a line parallel to the diagonal but offset below it by a factor of  $1 + F$  (dashed line). Data points in this regime can therefore be used to determine the value of  $F$ . (D) The five regimes of the expression manifold, including approximate expressions for  $t_+$  and  $t_-$  in each regime, as well as the range of validity for  $P$ .

141 In the absence of the TF ( $F = 0$ ), the rate of transcription becomes

$$t_- = t_{sat} \frac{P}{1 + P} + t_{bg}. \quad (2)$$

142 Our goal is to measure the TF-DNA binding factor  $F$ . To do this, we create a set of promoter  
 143 sequences where the RNAP binding site is varied but the TF binding site is kept fixed. We then mea-  
 144 sure transcription from these promoters in both the presence and absence of the TF, respectively  
 145 denoting the resulting quantities by  $t_+$  and  $t_-$  (Figure 1B). Our rationale for doing this is that changing  
 146 the RNAP binding site sequence should, according to our model, affect only the RNAP-DNA binding  
 147 affinity  $K_p$ . All of our measurements should therefore lie along a one-dimensional “expression  
 148 manifold” residing within the two-dimensional space of  $(t_-, t_+)$  values. Moreover, this expression





**Figure 2.** Precision measurement of *in vivo* CRP-DNA binding. (A) Expression measurements were performed on promoters for which CRP represses transcription by occluding RNAP. Each promoter assayed contained a near-consensus CRP binding site centered at +0.5 bp or +4.5 bp, as well as an RNAP binding site with a partially mutagenized -35 region (gradient).  $t_+$  (alternatively,  $t_-$ ) denotes measurements made in JK10 cells grown in the presence (absence) of the small molecule cAMP. (B) Dots indicate measurements for 42 such promoters. A best-fit expression manifold (black) was inferred from  $n = 40$  of these data points after the exclusion of 2 outliers (gray 'X's). Gray lines indicate 100 plausible expression manifolds fit to bootstrap-resampled data points. The parameters of these manifolds were used to determine the CRP-DNA binding factor  $F$  and, equivalently, the grand canonical potential  $\Delta\Psi_F = -k_B T \log F$ . See Materials and Methods for more information about our curve fitting procedure and the reporting of parameter uncertainties.

149 manifold should follow the specific mathematical form implied by Equations 1 and 2 when  $P$  is  
 150 varied and the other parameters ( $t_{\text{sat}}$ ,  $t_{\text{bg}}$ ,  $F$ ) are held fixed. See Figure 1C.

151 The geometry of this expression manifold is nontrivial. In particular, when  $F \gg 1$  and  $t_{\text{bg}}/t_{\text{sat}} \ll 1$ ,  
 152 there are five different regimes corresponding to different values of the RNAP binding factor  $P$   
 153 for which the expressions for  $t_+$  and  $t_-$  approximately simplify. These regimes are listed in Figure  
 154 1D. In regime 1,  $P$  is so small that both  $t_+$  and  $t_-$  are dominated by background transcription, i.e.,  
 155  $t_+ \approx t_- \approx t_{\text{bg}}$ .  $P$  is somewhat larger in regime 2, causing  $t_-$  to be proportional to  $P$  while  $t_+$  remains  
 156 dominated by background. In regime 3, both  $t_+$  and  $t_-$  are proportional to  $P$  in this regime, with  
 157  $t_+/t_- \approx 1/(1 + F)$ . In regime 4,  $t_-$  saturates at  $t_{\text{sat}}$  while  $t_+$  remains proportional to  $P$ . Regime 5 occurs  
 158 when both  $t_+$  and  $t_-$  are saturated, i.e.,  $t_+ \approx t_- \approx t_{\text{sat}}$ .

### 159 Precision measurement of *in vivo* CRP-DNA binding

160 The placement of CRP downstream of the RNAP binding site is known to repress transcription  
 161 (Morita *et al.*, 1988). We therefore reasoned that placing a DNA binding site for CRP downstream of  
 162 RNAP would allow us to measure the binding factor of that site. Figure 2 illustrates measurements  
 163 of the expression manifold used to characterize the strength of CRP binding to the 22bp site  
 164 GAATGTGACCTAGATCACAATTT. This site contains the well-known consensus site, which comprises two  
 165 dyadic pentamers (underlined) separated by a 6bp spacer (Gunasekera *et al.*, 1992). We performed  
 166 measurements using this CRP site centered at two different locations relative to the TSS: +0.5 bp

167 and +4.5 bp.<sup>1</sup> To avoid influencing CRP binding strength, the -10 region of the RNAP site was kept  
168 fixed in the promoters we assayed while the -35 region of the RNAP binding site was varied (Figure  
169 2A). Promoter DNA sequences are shown in Appendix 1 Figure 1.

170 We obtained  $t_-$  and  $t_+$  measurements for these constructs using a modified version of the  
171  $\beta$ -galactosidase assay of [Miller \(1972\)](#); see Materials and Methods for details. Our measurements  
172 are largely consistent with an expression manifold having the expected mathematical form (Figure  
173 2B). Moreover, the measurements for CRP at the two different spacings (+0.5 bp and +4.5 bp)  
174 appear consistent with each other, although the measurements at +4.5 bp have consistently lower  
175 values for  $P$ . A small number of data points do deviate substantially from this manifold, but the  
176 presence of such outliers is not surprising from a biological perspective: introducing mutations into  
177 the RNAP binding site has the potential to create a new binding site, either for RNAP itself or for  
178 other TFs. Fortunately, outliers appear at a rate small enough for us to identify and exclude them  
179 by inspection.

180 We quantitatively modeled the expression manifold in Figure 2B by fitting  $n+3$  parameters to our  
181  $2n$  measurements, where  $n = 42$  is the number of non-outlier data points, each point corresponding  
182 to an assayed promoter. The  $n+3$  parameters were  $t_{\text{sat}}$ ,  $t_{\text{bg}}$ ,  $F$ , and  $P_1, P_2, \dots, P_n$ , where each  $P_i$   
183 is the RNAP binding factor of promoter  $i$ . Nonlinear least squares optimization was then used to  
184 infer values for these parameters. Uncertainties in  $t_{\text{sat}}$ ,  $t_{\text{bg}}$ , and  $F$  were quantified by repeating this  
185 procedure on bootstrap-resampled data points.

186 These results yielded highly uncertain values for  $t_{\text{sat}}$  because none of our measurements appear  
187 to fall within regime 4 or 5 of the expression manifold. A reasonably precise value for  $t_{\text{bg}}$  was  
188 obtained, but substantial scatter about our model predictions in regime 1 and 2 remain. This scatter  
189 likely reflects some variation in  $t_{\text{bg}}$  from promoter to promoter, variation that is to be expected  
190 since the source of background transcription is not known and the appearance of even very weak  
191 promoters could lead to such fluctuations.

192 These data do, however, determine a highly precise value for the strength of CRP-DNA binding:  
193  $F = 30.1^{+7.0}_{-3.6}$  or, equivalently,  $\Delta\Psi_p = -2.10 \pm 0.10$  kcal/mol.<sup>2</sup> This expression manifold approach is  
194 thus able to measure TF-DNA binding energies to a precision of  $\sim 0.1$  kcal/mol, about 2% of the  
195 hydroxyl-oxygen hydrogen bond (5.0 kcal/mol), the kind routinely found in liquid water. We note  
196 that CRP forms  $\sim 38$  hydrogen bonds with DNA when it binds to a consensus DNA site ([Parkinson  
197 et al., 1996](#)), and that previous *in vitro* measurements of the Gibbs free energy of CRP-DNA binding  
198 to its consensus site have yielded  $\sim -15$  kcal/mol ([Ebright et al., 1989](#); [Gunasekera et al., 1992](#)).  
199 Our result indicates that, in living cells, this Gibbs free energy is almost entirely canceled by the  
200 entropic cost of removing a CRP molecule from the cytoplasmic environment.

### 201 Strategy for measuring TF-RNAP interactions *in vivo*

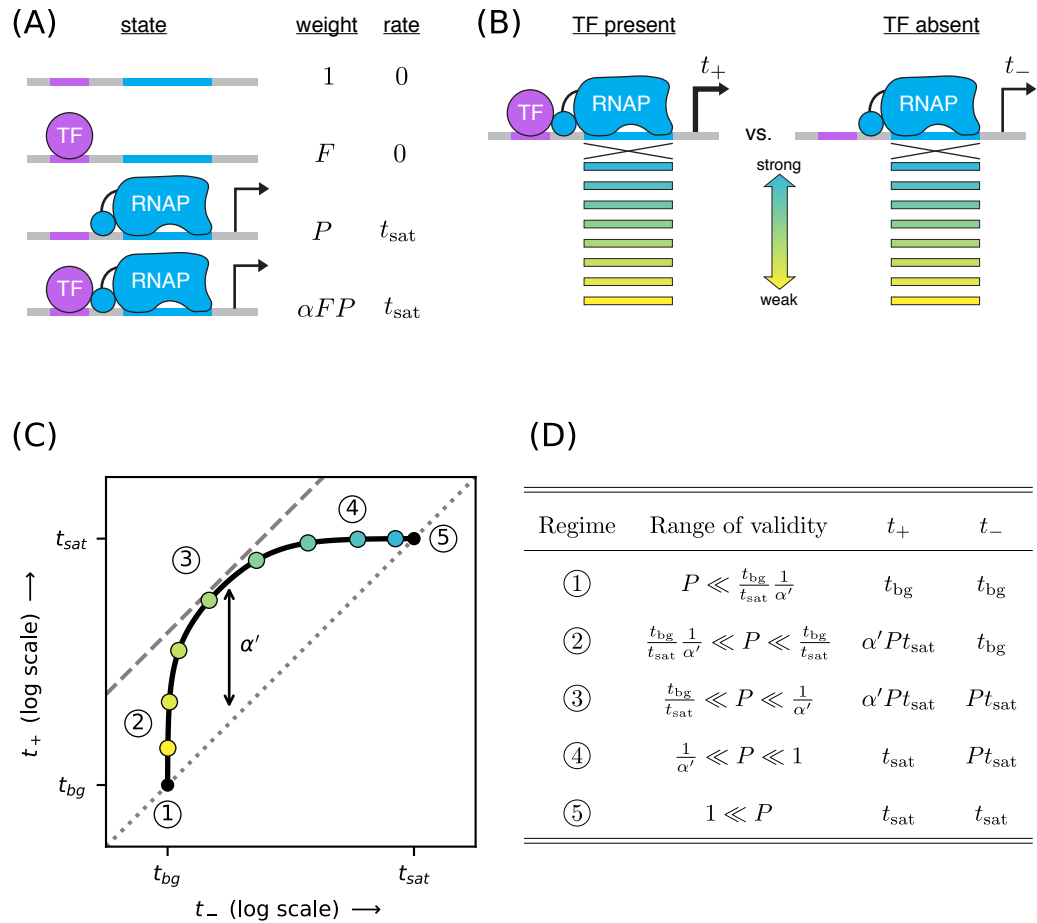
202 Next we discuss how to measure activating interactions between TFs and RNAP. A common mech-  
203 anism of transcriptional activation is stabilization (also called recruitment ([Ptashne, 2003](#))). This  
204 occurs when a DNA-bound TF stabilizes the RNAP-DNA closed complex. Stabilization effectively in-  
205 creases the RNAP affinity  $K_p$ , and thus the binding factor  $P$ , while not affecting the rate of transcript  
206 initiation from the RNAP-DNA closed complexes.

207 A thermodynamic model for activation by stabilization is illustrated in Figure 3A. Here promoter  
208 DNA can be in four states: unbound, TF-bound, RNAP-bound, or doubly bound. In the doubly bound  
209 state, a “cooperatively factor”  $\alpha$  is included in the Boltzmann weight. This cooperatively factor is  
210 related to the TF-RNAP Gibbs free energy of interaction,  $\Delta G_\alpha$ , via  $\alpha = e^{-\Delta G_\alpha/k_B T}$ . Activation occurs  
211 when  $\alpha > 1$  ( $\Delta G_\alpha < 0$ ). The resulting activated transcription rate is given by

$$t_+ = t_{\text{sat}} \frac{P + \alpha FP}{1 + F + P + \alpha FP} + t_{\text{bg}}. \quad (3)$$

<sup>1</sup>The first transcribed base is, in this paper, assigned position 0 instead of the more conventional +1. Half-integer positions indicate centering between neighboring nucleotides.

<sup>2</sup>See Materials and Methods for a discussion of how uncertainties in these values are computed and reported.



**Figure 3.** Strategy for measuring TF-RNAP interactions. (A) A thermodynamic model of simple activation. Here, promoter DNA can transition between four different states: unbound, bound by the TF, bound by RNAP, or doubly bound. As in Figure 1,  $F$  is the TF binding factor,  $P$  is the RNAP binding factor, and  $t_{sat}$  is the rate of transcript initiation from an RNAP-saturated promoter. The cooperativity factor  $\alpha$  quantifies the strength of the interaction between DNA-bound TF and RNAP molecules; see text for more information on this quantity. (B) As in Figure 1, expression is measured in the presence ( $t_+$ ) and absence ( $t_-$ ) of the TF for promoters that have RNAP binding sites of varying strength (blue-yellow gradient). (C) If the model in panel A is correct, plotting  $t_+$  vs.  $t_-$  (colored dots) will reveal a 1D expression manifold that corresponds to Equation 4 (for  $t_+$ ) and Equation 2 (for  $t_-$ ) evaluated over the possible values of  $P$ . Circled numbers indicate the five regimes of this manifold. In regime 3,  $t_+ \approx \alpha' t_-$  where  $\alpha'$  is the renormalized cooperativity factor given in Equation 5; data in this regime can thus be used to measure  $\alpha'$ . Separate measurements of  $F$ , using the strategy in Figure 1, then allow one to compute  $\alpha$  from knowledge of  $\alpha'$ . (D) The five regimes of the expression manifold in panel C. Note that these regimes differ from those in Figure 1D.

212 This can be rewritten as

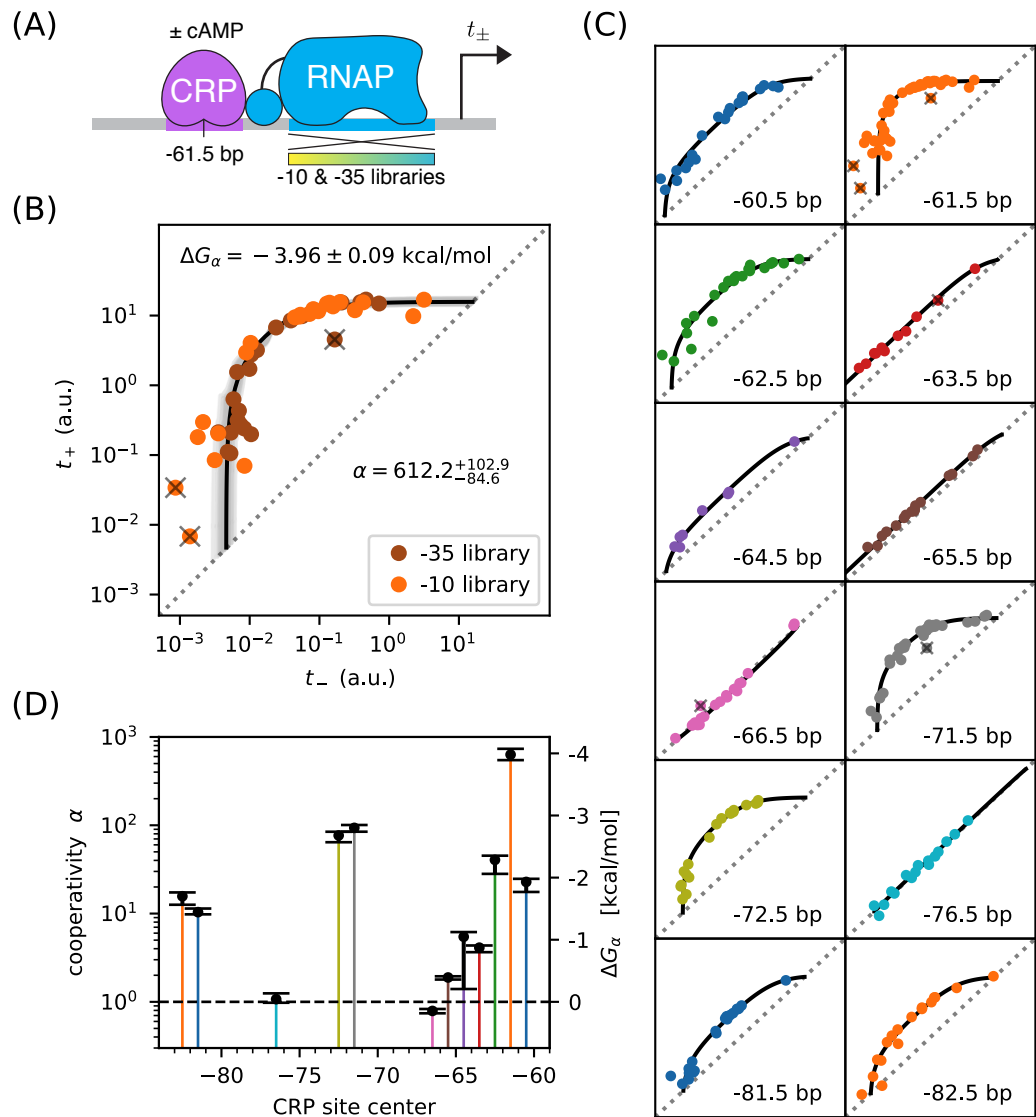
$$t_+ = t_{sat} \frac{\alpha' P}{1 + \alpha' P} + t_{bg}, \quad (4)$$

213 where

$$\alpha' = \frac{1 + \alpha F}{1 + F} \quad (5)$$

214 is a renormalized cooperativity that accounts for the strength of TF-DNA binding. As before,  $t_-$  is  
 215 given by Equation 2. Note that  $\alpha' \leq \alpha$  and that  $\alpha' \approx \alpha$  when  $F \gg 1$  and  $\alpha \gg 1$ .

216 As before, we measure both  $t_+$  and  $t_-$  for RNAP binding sites of varying strength (Figure 3B).  
 217 These measurements will, according to our model, lie along an expression manifold resembling the  
 218 one shown in Figure 3C. This expression manifold exhibits five distinct regimes when  $\frac{t_{sat}}{t_{bg}} \gg \alpha' \gg 1$ .  
 219 These regimes are listed in Figure 3D.



**Figure 4.** Precision measurement of class I CRP-RNAP interactions. (A)  $t_{+}$  and  $t_{-}$  were measured for promoters containing a CRP binding site centered at -61.5 bp. The RNAP sites of these promoters were mutagenized in either their -10 or -35 regions (gradient). As in Figure 2,  $t_{+}$  and  $t_{-}$  correspond to expression measurements made in the presence and absence of cAMP, respectively. (B) Data obtained for 47 variant promoters having the architecture shown in panel A. Three data points designated as outliers are indicated by 'X's. The expression manifold that best fits the 44 non-outlier points is shown in black; 100 plausible manifolds, estimated from bootstrap-resampled data points, are shown in gray. The resulting values for  $\alpha$  and  $\Delta G_{\alpha} = -k_B T \log \alpha$  are also provided. (C) Expression manifolds obtained for CRP binding sites centered at a variety of class I positions. (D) Inferred values for the cooperativity factor  $\alpha$  and corresponding Gibbs free energy  $\Delta G_{\alpha}$  for the 12 different promoter architectures assayed in panel C. Error bars indicate the central 68% confidence interval, estimated by fitting to bootstrap-resampled data, while dots indicate the median of these estimates. Numerical values for  $\alpha$  and  $\Delta G_{\alpha}$  at all of these class I positions are provided in Table 1.

## 220 Precision measurement of class I CRP-RNAP interactions

221 CRP activates transcription at the *lac* promoter and other promoters by binding to a 22 bp site  
 222 centered at -61.5 bp relative to the TSS. This is an example of class I activation, which is mediated  
 223 by an interaction between CRP and the RNAP  $\alpha$  C-terminal domain ( $\alpha$ CTD) (Busby and Ebright,  
 224 1999). *In vitro* experiments have shown this class I CRP-RNAP interaction to activate transcription by

225 stabilizing the RNAP-DNA complex.

226 We measured  $t_+$  and  $t_-$  for 47 variants of the lac\* promoter (see Materials and Methods, as well  
227 as Appendix 1 Figure 1). These promoters have the same CRP binding site assayed for Figure 2, but  
228 positioned at -61.5 bp, upstream of RNAP (Figure 4A). They differ from one another in the -10 or -35  
229 regions of their respective RNAP binding sites. Figure 4B shows the resulting measurements. With  
230 the exception of 3 outlier points, these measurements appear consistent with stabilizing activation  
231 via a Gibbs free energy of  $\Delta G_\alpha = -3.96 \pm 0.09$  kcal/mol, corresponding to a cooperativity of  $\alpha \sim 600$ .  
232 We note that, with  $F \approx 30$  determined in Figure 2,  $\alpha' = \alpha$  to 3% accuracy.

233 This observed cooperativity is substantially stronger than suggested by previous work. Early  
234 *in vivo* experiments suggested a much lower cooperativity value, e.g. 50-fold (Beckwith et al.,  
235 1972), 20-fold (Ushida and Aiba, 1990), or even 10-fold (Gaston et al., 1990). These previous studies,  
236 however, only measured the ratio  $t_+/t_-$  for a specific choice of RNAP binding site. This ratio is (by  
237 Equation 4) always less than  $\alpha$  and the differences between these quantities can be substantial.

238 However, even studies that have used explicit biophysical modeling have determined lower  
239 cooperativity values: Kuhlman et al. (2007) reported a cooperativity of  $\alpha \approx 240$  ( $\Delta G_\alpha \approx -3.4$  kcal/mol),  
240 while Kinney et al. (2010) reported  $\alpha \approx 220$  ( $\Delta G_\alpha \approx -3.3$  kcal/mol). Both of these studies, however,  
241 relied on the inference of complex biophysical models with many parameters. The expression  
242 manifold in Figure 3, by contrast, is characterized by only three parameters ( $t_{\text{sat}}$ ,  $t_{\text{bg}}$ ,  $\alpha'$ ), all of which  
243 can be approximately determined by visual inspection. In fact, while measuring this affinity manifold  
244 we isolated multiple specific promoters exhibiting  $t_+/t_- \approx 400$ , directly showing that  $\alpha \gtrsim 400$ .

245 To test the generality of this approach, we measured expression manifolds for 11 other potential  
246 class I activation positions. At every one of these positions we clearly observed the collapse of  
247 data to a 1D expression manifold of the expected shape (Figure 4C). By quantitatively modeling  
248 these manifolds, we determined the cooperativity  $\alpha$  and the Gibbs free energy  $\Delta G_\alpha$  at each position.  
249 Uncertainties in these quantities were determined by the modeling of bootstrap-resampled data  
250 points (Materials and Methods). The resulting values for both  $\alpha$  and  $\Delta G_\alpha$  are shown in Figure 4D. As  
251 first shown by Gaston et al. (1990) and Ushida and Aiba (1990),  $\alpha$  depends strongly on the spacing  
252 between the CRP and RNAP binding sites, exhibiting a strong  $\sim 10.5$  bp periodicity reflecting the  
253 helical twist of DNA. However, as with the measurement in Figure 4B, the  $\alpha$  values we measure are  
254 far stronger than the  $t_+/t_-$  ratios previously reported by Gaston et al. (1990) and Ushida and Aiba  
255 (1990); see Table 1.

## 256 Acceleration vs. stabilization

257 *E. coli* TFs can regulate multiple different steps in the transcript initiation pathway (Lee et al., 2012;  
258 Browning and Busby, 2016). For example, instead of stabilizing RNAP binding to DNA, TFs can  
259 activate transcription by increasing the rate at which DNA-bound RNAP initiates transcription, a  
260 process we refer to as “acceleration”. CRP, in particular, has previously been reported to activate  
261 transcription in part by acceleration when positioned appropriately with respect to RNAP (Niu et al.,  
262 1996; Rhodius et al., 1997).

263 We investigated whether expression manifolds might be used to distinguish activation by  
264 acceleration from activation by stabilization. First we generalized the thermodynamic model  
265 in Figure 3A to accommodate both  $\alpha$ -fold stabilization and  $\beta$ -fold acceleration (Figure 5A). This  
266 is accomplished by using the same set of states and Boltzmann weights as in the model for  
267 stabilization, but assigning a transcription rate  $\beta t_{\text{sat}}$  (rather than just  $t_{\text{sat}}$ ) to the TF-RNAP-DNA ternary  
268 complex. The resulting activated rate of transcription is given by

$$t_+ = t_{\text{sat}} \frac{P}{1 + F + P + \alpha FP} + \beta t_{\text{sat}} \frac{\alpha FP}{1 + F + P + \alpha FP} + t_{\text{bg}}. \quad (6)$$

269 This simplifies to

$$t_+ = \beta' t_{\text{sat}} \frac{\alpha' P}{1 + \alpha' P} + t_{\text{bg}} \quad (7)$$



270 where  $\alpha'$  is the same as in Equation 5 and

$$\beta' = \frac{1 + \alpha\beta F}{1 + \alpha F} \quad (8)$$

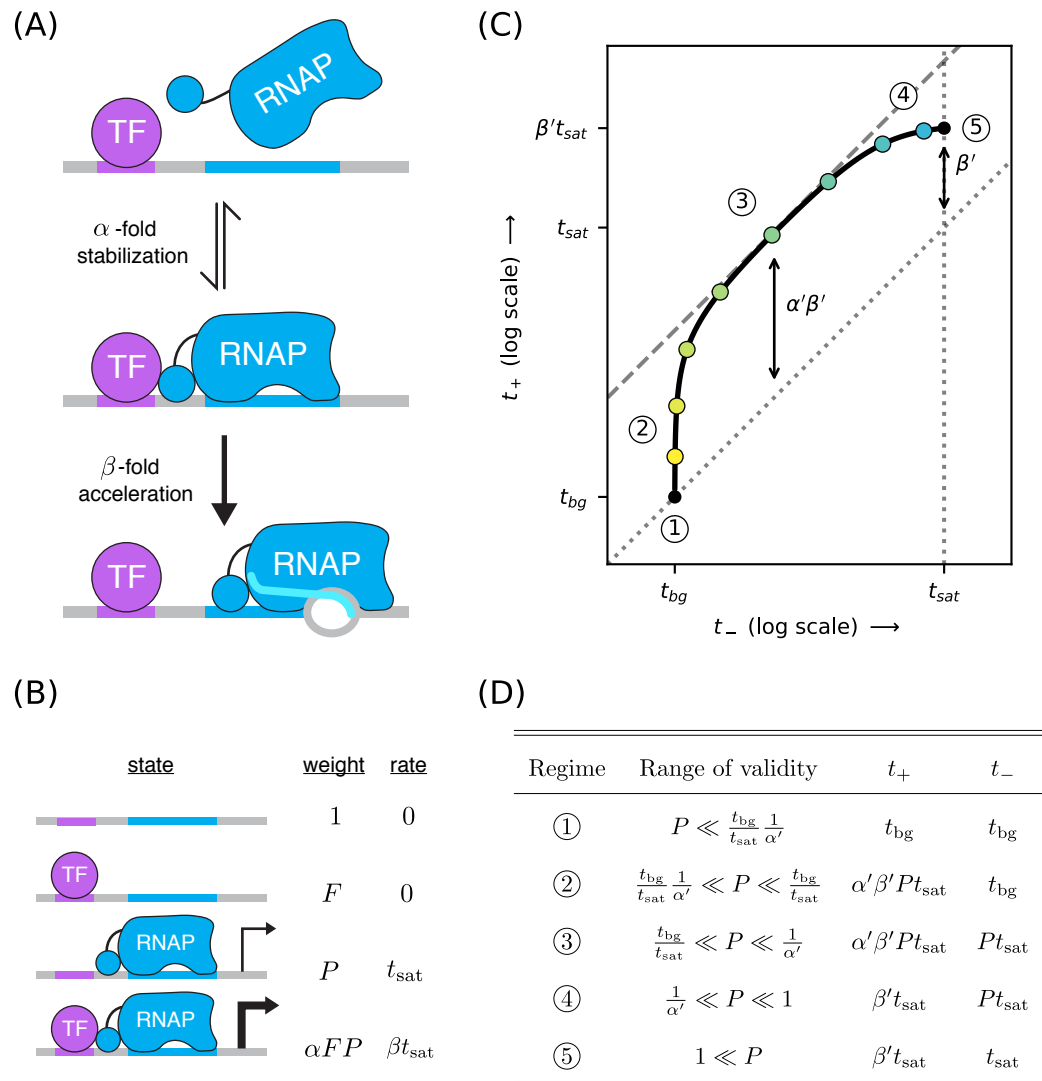
271 is a renormalized version of the acceleration rate  $\beta$ . The resulting expression manifold is illustrated  
 272 in Figure 5C. Like the expression manifold for stabilization, this manifold has up to five distinct  
 273 regimes corresponding to different values of  $P$  (Figure 5D). Unlike the stabilization manifold however,  
 274  $t_+ \neq t_-$  in the strong RNAP binding regime (regime 5):  $t_+ \approx \beta' t_{\text{sat}}$  while  $t_- \approx t_{\text{sat}}$ .

275 We next asked whether class I activation by CRP has an acceleration component. Previous *in*  
 276 *vitro* work had suggested that the answer is ‘no’ ([Malan et al., 1984](#); [Busby and Ebright, 1999](#)), but  
 277 our expression manifold approach allows us to address this question *in vivo*. We proceeded by  
 278 assaying promoters containing variants of the consensus RNAP binding site, TTGACAn(17)TATAAT,  
 279 that contain SNPs in their -10 or -35 regions (Figure 6A and Appendix 1 Figure 1). Note that, because  
 280 the consensus RNAP binding site is 1 bp shorter than in the constructs measured for Figure 4, the  
 281 CRP site at -60.5 bp in this construct corresponds to the -61.5 bp location in the constructs assayed  
 282 for Figure 4B.

283 The resulting data (Figure 6B) are seen to largely fall along the previously measured all-stabilization  
 284 expression manifold in Figure 4B. In particular, many of these data points lie at the intersection of  
 285 this manifold with the  $t_+ = t_-$  diagonal. We thus find that, for CRP at -61.5 bp,  $\beta = 1$  to the precision  
 286 of our experiments. We also identify an unambiguous value of  $t_{\text{sat}} = 16.0^{+0.8}_{-1.0}$  a.u. for the transcription  
 287 initiation rate of an RNAP saturated promoter. Single-cell measurements suggest that this  $t_{\text{sat}}$  value  
 288 corresponds to  $\sim 0.23 \pm 0.11$  transcripts per second per promoter ([So et al., 2011](#)). Comparing this  
 289 value of  $t_{\text{sat}}$  to the  $t_{\text{sat}}$  obtained for the other manifolds in Figure 4C, we were able to estimate  $\beta$   
 290 for these other positions. Figure 6C shows the results: we find that  $\beta \approx 1$  at all of the other class I  
 291 positions for which reasonably precise estimates of  $\beta$  could be obtained. These results confirm that  
 292 class I transcriptional activation by CRP occurs *in vivo* almost entirely through stabilization and not  
 293 through acceleration.

**Table 1.** Summary of results for class I activation by CRP. The  $\alpha$  and  $\Delta G_\alpha$  values listed here correspond to the values plotted in Figure 4D.  $n$  is the number of data points used to infer these values, while “outliers” is the number of data points excluded in this analysis. For comparison we show the fold-activation measurements (i.e.,  $t_+/t_-$ ) reported in [Gaston et al. \(1990\)](#) and [Ushida and Aiba \(1990\)](#). In these columns, n/a indicates that no measurement was reported at that CRP site spacing.

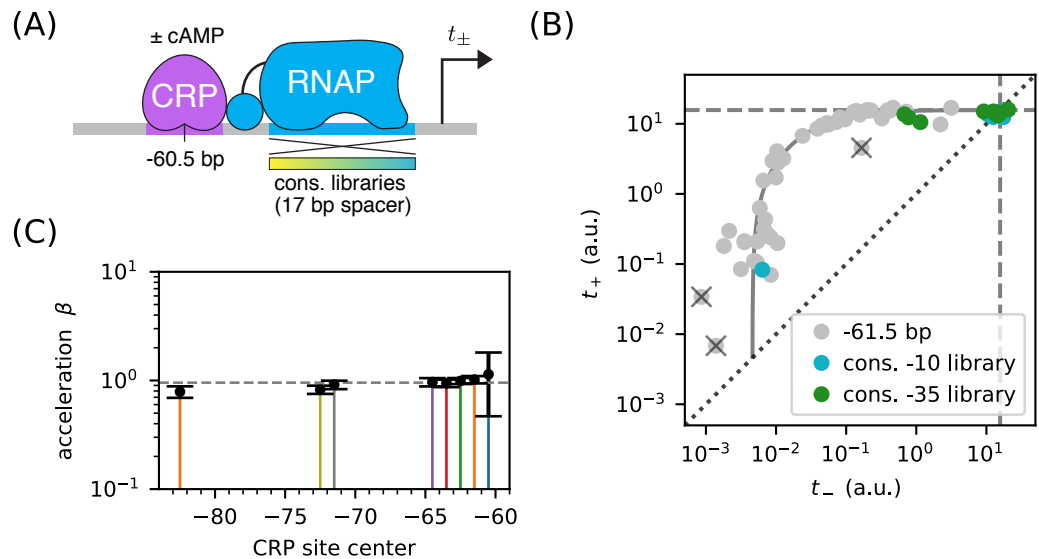
position (bp)	$n$	outliers	$\Delta G_\alpha$ (kcal/mol)	$\alpha$	$t_+/t_-$ (Gaston)	$t_+/t_-$ (Ushida)
-60.5	21	0	$-1.95 \pm 0.09$	23.7	3.85	n/a
-61.5	47	3	$-3.96 \pm 0.09$	612	9.05	20.6
-62.5	23	0	$-2.35 \pm 0.12$	45.1	4.22	n/a
-63.5	11	1	$-0.89 \pm 0.05$	4.21	n/a	n/a
-64.5	8	0	$-1.10 \pm 0.21$	5.90	n/a	n/a
-65.5	17	0	$-0.39 \pm 0.03$	1.90	n/a	n/a
-66.5	20	1	$0.14 \pm 0.03$	0.79	0.78	0.84
-71.5	36	1	$-2.81 \pm 0.05$	95.1	2.50	16.4
-72.5	19	0	$-2.70 \pm 0.08$	79.0	3.49	n/a
-76.5	16	0	$-0.03 \pm 0.08$	1.04	0.54	n/a
-81.5	21	0	$-1.44 \pm 0.05$	10.3	n/a	n/a
-82.5	17	0	$-1.72 \pm 0.09$	16.2	n/a	6.99



**Figure 5.** A strategy for distinguishing two different mechanisms of transcriptional activation. (A) A TF can activate transcription in two ways: (i) by “stabilizing” the RNAP-DNA complex or (ii) by “accelerating” the rate at which this complex initiates transcripts. (B) A thermodynamic model for the dual mechanism of transcriptional activation illustrated in panel A. Note that  $\alpha$  multiplies the Boltzmann weight of the doubly bound complex, whereas  $\beta$  multiplies the transcript initiation rate of this complex. (C) Data points measured as in Figure 3C will lie along a 1D expression manifold having the form shown here. This manifold is computed using  $t_+$  values from Equation 7 and  $t_-$  values from Equation 2, evaluated using an RNAP binding factor  $P$  ranging from 0 to  $\infty$ . Note that regime 5 occurs at a point positioned  $\beta'$ -fold above the diagonal, where  $\beta'$  is related to  $\beta$  through Equation 8. Measurements in or near the strong promoter regime ( $P \gtrsim 1$ ) can thus be used to determine the value of  $\beta'$  and, consequently, the value of  $\beta$ . (D) The five regimes of this expression manifold. Note that the ranges of validity for these regimes are the same as in Figure 3D, but that the  $t_+$  values differ.

## 294 Surprises in class II regulation

295 Many *E. coli* TFs participate in what is referred to as class II activation ([Browning and Busby, 2016](#)).  
 296 This type of activation occurs when the TF binds to a site that overlaps the -35 element (often com-  
 297 pletely replacing it) and interacts directly with the main body of RNAP. CRP is known to participate  
 298 in class II activation at many promoters ([Keseler et al., 2011](#); [Salgado et al., 2013](#)), including the  
 299 galP1 promoter, where it binds to a site centered at position -41.5 bp ([Adhya, 1996](#)). *In vitro* studies



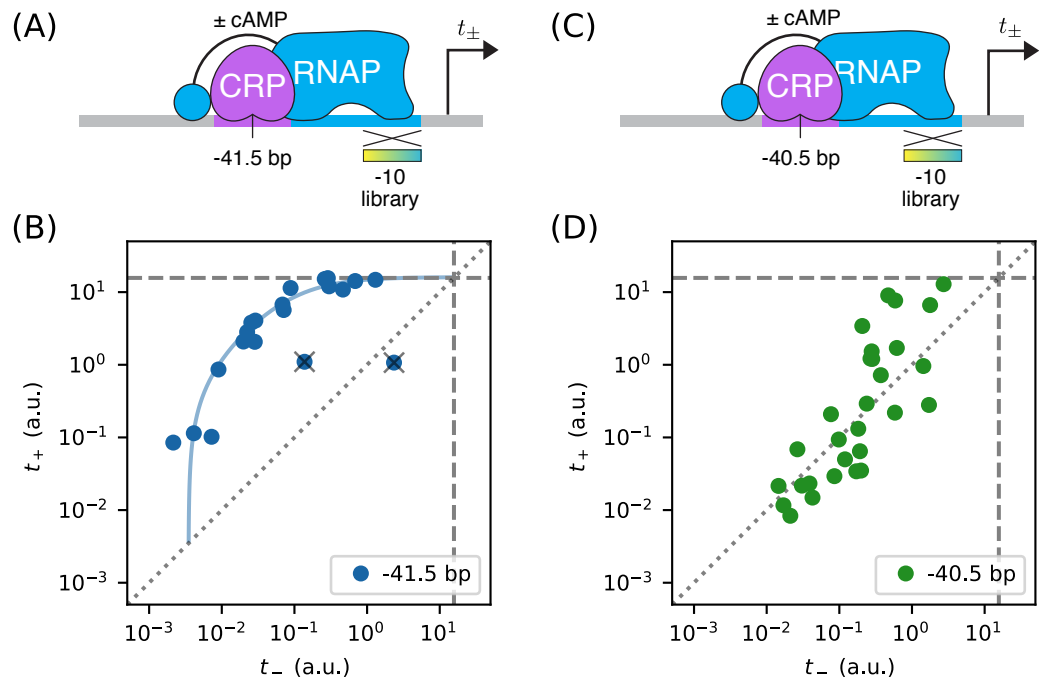
**Figure 6.** Class I activation by CRP occurs exclusively through stabilization. (A)  $t_{+}$  and  $t_{-}$  were measured for promoters containing variants of the consensus (i.e., maximal strength) RNAP binding site, as well as a CRP binding site centered at -60.5 bp. Because the consensus RNAP site is 1 bp shorter than the RNAP sites assayed above, CRP at -60.5 bp here corresponds to CRP at -61.5 bp in Figure 4. (B)  $n = 18$  data points obtained for the constructs in panel A, overlaid on the measurements from Figure 4B (gray). The value for  $t_{sat}$  inferred for Figure 4B is indicated by dashed lines. From these new data points we conclude that  $\beta' \approx 1$ , and thus  $\beta \approx 1$ . (C) Values for  $\beta$  inferred for other CRP positions using the data in Figure 4B and assuming the value of  $t_{sat}$  shown in panel B. Thus, we detect no acceleration at any class I promoter architectures. Note that  $\beta$  values could not be confidently determined at some CRP positions shown in Figure 4D.

300 have shown CRP to activate transcription at -41.5 bp relative to the TSS through a combination of  
 301 stabilization and acceleration (Niu et al., 1996; Rhodius et al., 1997).

302 We sought to reproduce this finding *in vivo* by measuring expression manifolds. We therefore  
 303 placed a consensus CRP site at -41.5 bp, replacing much of the -35 element in the process, then  
 304 varied the -10 element of the RNAP binding site (Figure 7A). Surprisingly, we observed that the  
 305 resulting expression manifold saturates at the same  $t_{sat}$  value shared by all class I promoters. Thus,  
 306 CRP appears to activate transcription *in vivo* solely through stabilization, and not at all through  
 307 acceleration, when located at -41.5 bp relative to the TSS (Figure 7B).

308 The genome-wide distribution of CRP binding sites suggests that CRP also participates in class  
 309 II activation at position -40.5 bp (Keseler et al., 2011; Salgado et al., 2013). When measuring an  
 310 expression manifold at this position, however, we obtained a scatter of 2D points that did not  
 311 collapse to any discernible 1D expression manifold (Figure 7D). Some of these promoters exhibit  
 312 activation, some exhibit repression, and some exhibit no regulation by CRP.

313 Our observations complicate the current understanding of class II regulation by CRP. Our *in*  
 314 *vivo* measurements of CRP at -41.5 bp call into question the mechanism of activation previously  
 315 discerned using *in vitro* techniques. The scatter observed when CRP is positioned at -40.5 bp  
 316 suggests that, at this position, the -10 region of the RNAP binding site influences the values of  
 317 at least two relevant biophysical parameters (not just  $P$ , as our model predicts). A potential  
 318 explanation for both observations is that, because CRP and RNAP are so intimately positioned at  
 319 class II promoters, even minor changes in their relative orientation caused by differences between  
 320 *in vivo* and *in vitro* conditions or by changes in RNAP site sequence could have a major effect on  
 321 CRP-RNAP interactions. Such sensitivity would not be expected to occur in class I activation, due to  
 322 the flexibility with which the RNAP  $\alpha$ CTDs are tethered to the main complex.



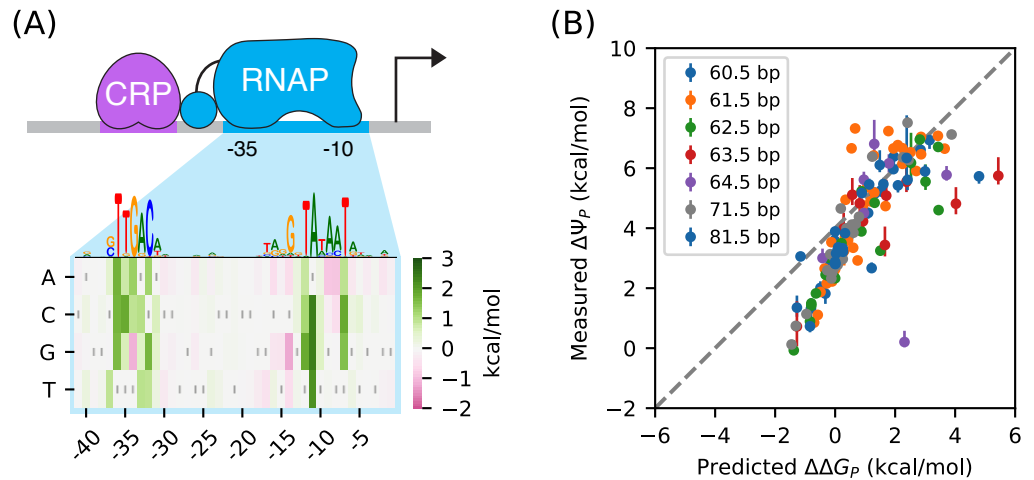
**Figure 7.** Surprises in class II regulation by CRP. (A) Regulation by CRP centered at -41.5 bp was assayed using RNAP binding sites that have variant -10 elements (gradient). (B) The observed expression manifold plateaus at the value of  $t_{\text{sat}}$  determined in Figure 6B, thus indicating no detectable acceleration by CRP. This lack of acceleration is at odds with prior *in vitro* studies (Niu et al., 1996; Rhodius et al., 1997). (C) Regulation by CRP centered at -40.5 bp was assayed in an analogous manner. (D) Unexpectedly, data from the promoters in panel C do not collapse to a 1D expression manifold. This finding falsifies the biophysical models in Figures 3A and 5B and indicates that CRP can either activate or repress transcription from this position, depending on as-yet-undefined features of the RNAP binding site.

### 323 Avoiding parametric models of protein-DNA binding energy

324 The measurement and modeling of expression manifolds has another important advantage over  
 325 previous approaches for dissecting cis-regulatory sequences using massively parallel reporter  
 326 assays (Kinney et al., 2010; Belliveau et al., 2018): it sidesteps the need to parametrically model how  
 327 protein-DNA binding affinity depends on DNA sequence. In modeling the expression manifolds for  
 328 class I activation by CRP (Figure 4C) we obtained values for the RNAP binding factor,  $P = [\text{RNAP}]K_p$ ,  
 329 for each of the variant RNAP binding sites we measured. Specifically, each inferred value for  $P$  was  
 330 determined by the position of the corresponding measurement along the length of the manifold.

331 RNAP has a very well established sequence motif (McClure et al., 1983). Indeed, its DNA binding  
 332 requirements were among the first characterized for any DNA-binding protein (Pribnow, 1975).  
 333 More recently, a high-resolution model for RNAP-DNA binding energy was determined using data  
 334 from a massively parallel reporter assay called Sort-Seq (Kinney et al., 2010). This “energy matrix  
 335 model” assumes that the base pair at each position contributes additively to the overall binding  
 336 energy. This model is largely consistent with previously described RNAP binding motifs but, unlike  
 337 those motifs, it can predict binding energy in physically meaningful energy units (i.e., kcal/mol). In  
 338 what follows we denote these binding energies as  $\Delta\Delta G_p$ , because they describe differences in the  
 339 Gibbs free energy of binding between two DNA sites.

340 There is good reason to believe this matrix model to be the most accurate current model of RNAP-  
 341 DNA binding. However, subsequent work has suggested that the predictions of this model might still  
 342 have substantial inaccuracies (Brewster et al., 2012). To investigate this possibility, we compared  
 343 our measured values for the grand canonical potential of RNAP-DNA binding ( $\Delta\Psi_p = -k_B T \log P$ ) to



**Figure 8.** RNAP-DNA binding energy cannot be accurately predicted from sequence. (A) The “matrix model” for RNAP-DNA binding inferred by [Kinney et al. \(2010\)](#). This model assumes that the DNA base pair at each position in the RNAP binding site contributes additively to  $\Delta\Psi_p$ . Shown are the  $\Delta\Delta G_p$  values assigned by this model to mutations away from the lac\* RNAP site. The sequence of the lac\* RNAP site is indicated by gray vertical bars. A sequence logo representation for this matrix model is provided for reference. (B) Matrix model predictions plotted against the values of  $\Delta\Psi_p = -k_B T \log P$  inferred by fitting the expression manifolds in Figure 4C. Error bars on these measurements represent 64% confidence intervals computed using bootstrap resampling. Note that measured  $\Delta\Psi_p$  binding energies are absolute, whereas the  $\Delta\Delta G_p$  predictions of the matrix model are relative to the lac\* RNAP site, which thus corresponds to  $\Delta\Delta G_p = 0$  kcal/mol.

344 binding energies predicted from this matrix model from [Kinney et al. \(2010\)](#), which is illustrated in  
 345 Figure 8A. These values are plotted against one another in Figure 8B. Although there is a strong  
 346 correlation between the predictions of the model and our measurements, deviations of 1 kcal/mol  
 347 or larger (corresponding to variations in  $P$  of 5-fold or greater) are not uncommon. There also  
 348 appears to be systematic deviations of this model from the diagonal.

349 This finding is sobering: even for one of the best understood DNA-binding proteins in biology,  
 350 predictions of *in vivo* protein-DNA binding energy are still quite crude. When used in conjunction  
 351 with thermodynamic models, as in ([Kinney et al., 2010](#)), the inaccuracies of these models can  
 352 have major effects on predicted transcription rates. Expression manifolds sidestep the need to  
 353 parametrically model such binding energies, enabling the direct inference of grand canonical  
 354 potential values for each RNAP binding site assayed.

## 355 Discussion

356 Expression manifolds provide a new strategy for dissecting the biophysics of transcriptional regula-  
 357 tion in living cells. The key idea is to perform measurements of regulatory element activity that lie  
 358 in a multidimensional space. These promoters are chosen so that, if a hypothesized biophysical  
 359 model is correct, measurements will collapse to a lower-dimensional manifold embedded within  
 360 this space. If the data collapse as expected, one can infer the parameters of the hypothesized  
 361 biophysical model. If the data do not collapse, one learns that a different biophysical model is  
 362 needed.

363 Here, we measured expression manifolds characterizing both simple repression and simple  
 364 activation by CRP. Two expression measurements were made for each assayed promoter, one in  
 365 the presence of cAMP ( $t_+$ ) and one in the absence of cAMP ( $t_-$ ). Each promoter thus corresponded  
 366 to a point ( $t_-, t_+$ ) in 2D. For each CRP-RNAP spacing, we assayed promoters that differed only in the  
 367 DNA sequence of the RNAP binding site. Our biophysical models assumed that this site controls  
 368 only one relevant biophysical quantity: the affinity of RNAP for DNA. Thus, we expected that these  
 369 2D measurements would collapse to a 1D expression manifold, with different positions along the



370 manifold corresponding to different values of RNAP-DNA binding affinity.

371 Robust data collapse was observed for CRP binding sites located at all except one of the positions  
372 we assayed. In these cases, we were able to infer precise values for the energetic parameters of our  
373 models. Inferring a model for simple repression allowed us to determine the strength of CRP-DNA  
374 binding ( $\Delta\Psi_F = -2.10 \pm 0.10$  kcal/mol). Inference of models for simple activation then allowed us to  
375 determine values for the CRP-RNAP interaction, as quantified by the Gibbs free energy  $\Delta G_a$ ; these  
376 interaction energies were consistently determined to a precision of  $\sim 0.1$  kcal/mol.

377 Expression manifolds for different biophysical models often have different shapes. Measuring  
378 and modeling expression manifolds can thus allow one to distinguish between qualitatively different  
379 mechanisms of transcriptional activation. In our experiments, all transcriptional activation was seen  
380 to occur through CRP-mediated stabilization of RNAP-DNA binding, as opposed to CRP-mediated  
381 acceleration of transcript initiation. This was true even for class II activation by CRP centered at  
382 -41.5 bp, a position for which previous in vitro experiments had suggested a substantial acceleration  
383 component.

384 Expression manifolds also allow the measurement of protein-DNA binding energy without the  
385 need for parametric models of how this binding energy depends on DNA sequence. In the experi-  
386 ments described here, we obtained measurements for RNAP-DNA binding energy, as quantified  
387 by  $\Delta\Psi_p$ , for each of the assayed promoters. These measurements deviate substantially from the  
388 predictions of the established RNAP-DNA binding motif (*Kinney et al., 2010*). This is a cautionary  
389 tale: even for very well studied TFs, one cannot assume that published motifs accurately predict the  
390 affinity of individual DNA binding sites.

391 Unexpectedly, our data did not collapse to an expression manifold when CRP was centered at  
392 -40.5 bp. This result allowed us to reject our hypothesized biophysical model. We thus learned that  
393 the DNA sequence of the core RNAP binding site somehow controls how RNAP interacts with CRP in  
394 this class II configuration. Additional work will be required to understand this sequence-dependence,  
395 which to our knowledge has not been previously reported.

396 Our strategy has been designed to be compatible with massively parallel reporter assays  
397 (MPRAs), which use ultra-high-throughput DNA sequencing to measure the activities of thousands  
398 of transcriptional regulatory sequences simultaneously. We expect that MPRAs, performed on  
399 microarray-synthesized promoter libraries, should allow hundreds of expression manifolds to  
400 be measured in a single experiment. MPRAs will also facilitate the study of TFs that cannot be  
401 controlled by a small molecule: one can measure  $t_+$  and  $t_-$  by assaying promoters that either do or  
402 do not have a functional TF binding site but are otherwise identical. The ease with which MPRAs can  
403 assay promoters with different combinations of sites turned “on” and “off” should enable the study  
404 of more complex regulatory architectures, beyond just simple repression and simple activation.

405 Based on these results, we advocate a very different approach to dissecting transcriptional  
406 regulatory grammar than has been pursued by other groups. Instead of assaying and modeling  
407 many different arrangements of transcription factor binding sites (*Gertz et al., 2009; Sharon et al.,*  
408 *2012; Mogno et al., 2013; Smith et al., 2013; Levo and Segal, 2014; White et al., 2016*) or the activity  
409 of completely random DNA (*de Boer et al., 2017*), we suggest that more attention be paid to the  
410 interactions that occur within *specific* binding site configurations. Expression manifolds provide a  
411 useful way of interrogating individual protein-DNA and protein-protein interactions that occur in a  
412 specific promoter architecture without requiring a holistic model that aims to describe arbitrary  
413 binding site arrangements. Using MPRAs to simultaneously assay hundreds of systematically varied  
414 architectures, we expect that it should be possible to build biophysical models of transcriptional  
415 regulatory grammar from the ground up.

416 What would high-precision knowledge of transcriptional regulatory grammar in bacteria do  
417 for us? For one thing, it would greatly facilitate the interpretation of bacterial genome sequences.  
418 Currently, it is difficult to predict the functional consequences of TF binding sites just from their  
419 locations relative to annotated TSSs. Knowing the distance-dependent interactions between RNAP  
420 and common *E. coli* TFs would greatly illuminate how previously annotated binding sites for these

421 TFs actually affect expression. Such knowledge would also facilitate MPRA-based efforts to dissect  
422 previously unannotated regulatory sequences across the genome ([Belliveau et al., 2018](#)).

423 Precise knowledge of transcriptional regulatory grammar in bacteria would also have important  
424 implications for synthetic biology. Currently, complex biological computations are performed in  
425 synthetic systems by stringing simple promoter "parts" together into complex regulatory networks.  
426 By contrast, naturally occurring promoters can often perform quite complex computations them-  
427 selves via the multi-protein-DNA complexes that they scaffold ([Kuhlman et al., 2007](#); [Cui et al.,](#)  
428 [2013](#)). Such computational mechanisms have many potential advantages, including faster response  
429 times and increased robustness to stochastic fluctuations. These advantages could be particularly  
430 useful in metabolic engineering, which requires rapid and reliable control over the expression of  
431 multiple genes in a pathway ([Smanski et al., 2016](#); [Nielsen and Keasling, 2016](#); [Zhao et al., 2018](#)).  
432 But although the potential capabilities of complex promoters have been explored both theoretically  
433 ([Buchler et al., 2003](#); [Bintu et al., 2005](#)) and experimentally ([Setty et al., 2003](#); [Mayo et al., 2006](#);  
434 [Segall-Shapiro et al., 2018](#)), there remains little capability in synthetic biology to design complex  
435 promoters with predictable quantitative behavior. High-precision knowledge of the energetics  
436 underlying transcriptional regulatory grammar could enable this capability.

437 Will expression manifolds be useful for understanding transcriptional regulation in eukaryotes?  
438 Both FACS-based MPRA ([Sharon et al., 2012](#); [Weingarten-Gabbay et al., 2017](#)) and RNA-Seq-based  
439 MPRA ([Melnikov et al., 2012](#); [Kwasniewski et al., 2012](#); [Patwardhan et al., 2012](#)) are well established  
440 in eukaryotes so, on a technical level, experiments analogous to those described here should be  
441 feasible. The bigger question, we believe, is whether the results of such experiments would  
442 be interpretable. Eukaryotic transcriptional regulation is far more complex than transcriptional  
443 regulation in bacteria. In fact, it is not even clear what mutations to the basal promoter in eukaryotes  
444 might correspond to the mutations in the RNAP site that we relied upon here. Still, we believe that  
445 pursuing this strategy in eukaryotes is worthwhile. Despite the underlying complexities, simple  
446 "effective" models of regulatory biophysics might work surprisingly well.

## 447 **Materials and Methods**

### 448 **Media**

449 Expression measurements were performed on cells grown in rich defined media (RDM; purchased  
450 from Teknova) ([Neidhardt et al., 1974](#)) supplemented with 10 mM NaHCO<sub>3</sub>, 1 mM IPTG (Sigma), and  
451 0.2% glucose. In what follows we refer to this media as RDM'. RDM' was further supplemented with  
452 50 µg/ml kanamycin (Sigma) when growing cells, as well as 250 µM cAMP (Sigma) when measuring  
453  $t_{+}$ .

### 454 **Strains**

455 Expression measurements were performed in *E. coli* strain JK10, which has genotype  $\Delta cyaA \Delta cpdA$   
456  $\Delta lacY \Delta lacZ \Delta dksA$ . JK10 is derived from strain TK310 ([Kuhlman et al., 2007](#)), which is  $\Delta cyaA \Delta cpdA$   
457  $\Delta lacY$ . The  $\Delta cyaA \Delta cpdA$  mutations prevent TK310 from synthesizing or degrading cAMP, thus  
458 allowing *in vivo* cAMP concentrations to be quantitatively controlled by adding cAMP to the growth  
459 media. Into TK310 we introduced the  $\Delta lacZ$  mutation, yielding strain DJ33; this mutation allows  
460 Miller assays to be used in conjunction with plasmid-based reporters driving *lacZ* expression. In our  
461 initial experiments, we found that the growth rate of DJ33 in RDM' varies strongly with amount of  
462 cAMP added to the media. Fortunately, we isolated a spontaneous knock-out mutation in *dksA* (thus  
463 yielding JK10), which caused the growth rate ( $\sim 30$  min doubling time) in RDM' to be independent  
464 of cAMP concentrations below  $\sim 500$  µM.<sup>3</sup> The JK10 genotype was confirmed by whole genome  
465 sequencing.

<sup>3</sup>Note, however, that JK10 will not grow in minimal media in the absence of cAMP.

## 466 **Reporter constructs**

467 Expression of the *lacZ* gene was driven from variants of a plasmid we call pJK48. These reporter  
468 constructs were cloned as follows. We started with the vector pJK14 from [Kinney et al. \(2010\)](#).  
469 pJK14 contains a pSC101 origin of replication (~ 5-10 copies per cell), a kanamycin resistance gene,  
470 and a *ccdB* cloning cassette positioned immediately upstream of a *gfpmut2* reporter gene and  
471 flanked by outward-facing BsmBI restriction sites. First, the *gfpmut2* gene in this vector was replaced  
472 with *lacZ*, yielding pJK47. Next, the ribosome binding site in the 5' UTR of *lacZ* was weakened,  
473 yielding pJK47.419; this weakening prevents *lacZ* expression from a maximally active promoter from  
474 substantially slowing cell growth in RDM'. pJK47.419 was propagated in DB3.1 *E. coli* (Invitrogen),  
475 which is resistant to the CcdB toxin.

476 The promoters we assayed were variants of what we call the lac\* promoter. The lac\* promoter  
477 is similar to the endogenous *lac* promoter of *E. coli* MG1655 except for (i) it contains a CRP binding  
478 site with a consensus right pentamer and (ii) it contains mutations that were introduced in an  
479 effort to remove previously reported cryptic promoters ([Reznikoff, 1992](#)). Promoter-containing  
480 insertion cassettes were created through overlap-extension PCR and flanked by outward-facing  
481 BsaI restriction sites. All primers were ordered from Integrated DNA Technologies. Note that some  
482 of the primers used to create these inserts were synthesized using pre-mixed phosphoramidites at  
483 specified positions; this is how a 24% mutation rate in the -10 or -35 regions of the RNAP binding  
484 site was achieved. The resulting promoter sequences are illustrated in Appendix 1 Figure 1.

485 To clone variants of pJK48, we separately digested the pJK47.419 vector with BsmBI (NEB) and the  
486 appropriate insert with BsaI (NEB). Digests were then cleaned up (Qiagen PCR purification kit) and  
487 ligated together in at 1:1 molar ratio for 1 hour using T4 DNA ligase (Invitrogen). After 90 min dialysis,  
488 plasmids were transformed into electrocompetent JK10 cells. Individual clones were plated on LB  
489 supplemented with kanamycin (50 µg/ml), while libraries were grown in 50 ml LB supplemented  
490 with kanamycin. After initial cloning, each clone was re-streaked, grown in LB+kan, and stored as a  
491 catalogued glycerol stock. The promoter region of each clone was sequenced in both directions.  
492 Only plasmids with validated promoter sequences were used for the measurements presented in  
493 this paper. The promoter sequences of all constructs used in this study, as well as their measured  
494  $t_+$  and  $t_-$  values, are provided at [https://github.com/jbkinney/18\\_expressionmanifolds](https://github.com/jbkinney/18_expressionmanifolds).

## 495 **Miller assays**

496 Expression was quantified using ONPG-based  $\beta$ -galactosidase activity measurements adapted from  
497 the method of [Miller \(1972\)](#). Specifically, we obtained  $t_+$  and  $t_-$  measurements for each clone as  
498 follows.

499 First, each clone was streaked out on LB+kan agar and grown overnight. A colony was then  
500 picked and used to inoculate a 1.5 ml overnight LB+kan liquid culture. Either 8 µl, 6 µl, or 4 µl of the  
501 overnight culture were then diluted into 200 µl RDM'+kan. 25 µl of each dilution was then added to  
502 175 µl RDM'+kan in a 96-well optical bottom plate and supplemented with either 0 µM cAMP (for  $t_-$ )  
503 or 250 µM cAMP (for  $t_+$ ). The plate was then covered with Breathe-Easier film (USA Scientific) and  
504 cells were cultured for ~ 3 hr at 37 °C, shaking at 900 RPM in a microplate shaker. During this time,  
505 5.5 ml of lysis buffer was freshly prepared using 1.5 ml RDM', 4.0 ml PopCulture reagent (Millipore),  
506 114 µl of 35 mg/ml chloramphenicol (Sigma), and 44 µl of 40 U/µl rLysozyme (Sigma).

507 Microplate film was removed and cell density (quantified by  $A_{600}$ ) was measured using an Epoch  
508 2 Microplate Spectrophotometer (BioTek). Cells were then lysed by adding 25 µl lysis buffer to  
509 each microplate well, incubating the microplate at room temperature for 10 minutes without  
510 shaking, then cooling the microplate at 4 °C for a minimum of 15 minutes. In each well of a 96-well  
511 optical bottom plate, 50 µl of lysate was then added to 50 µl of pre-chilled Z-buffer ([Miller, 1972](#))  
512 containing 1 mg/ml ONPG (Sigma). Samples were sealed with optical film and both  $A_{420}$  and  $A_{550}$   
513 were periodically measured in the plate reader over an extended period of time (every 1.5 min for 1  
514 hour or every 15 min for 10 hours, depending on the level of expression expected).

515 The expression levels  $t_+$  and  $t_-$  were quantified from these absorbance data using the formula

$$t_{\pm} = \frac{\Delta A_{420} - \Delta A_{550}}{V \cdot \Delta T \cdot A_{600}}, \quad (9)$$

516 where  $V = 50$  is the volume of lysate in  $\mu\text{l}$  added to the ONPG reaction,  $\Delta T$  is the change in time from  
 517 the beginning of the measurement, and  $\Delta A_X$  indicates a change in absorbance at  $X$  nm over this  
 518 time interval. Only data from wells with  $A_{600} \lesssim 0.5$  were analyzed. Note that the  $A_{550}$  term in Equation  
 519 9 is not multiplied by 1.75 as it is in [Miller \(1972\)](#). This is because our  $A_{550}$  measurements are used to  
 520 compensate for condensation on the microplate film, not for cellular debris as in ([Miller, 1972](#)); our  
 521 lysis procedure produces no detectable cellular debris. In practice, Equation 9 was not evaluated  
 522 using individual measurements, but was rather computed from the slope of a line fit to non-  
 523 saturated absorbance measurements using custom Python scripts. Raw  $A_{420}$ ,  $A_{550}$ , and  $A_{600}$  values,  
 524 as well as our analysis scripts, are available at [https://github.com/jbkinney/18\\_expressionmanifolds](https://github.com/jbkinney/18_expressionmanifolds).  
 525 In all the figures, median values from at least 3 independent Miller measurements were used to  
 526 define each measured  $t_+$  and  $t_-$  data point.

### 527 Parameter inference

528 Expression manifold parameters were fit to measured  $t_+$  and  $t_-$  values as follows. First, outlier  
 529 data points were called by eye and excluded from the parameter fitting procedure. We denote  
 530 the remaining measurements using  $t_+^{i,\text{data}}$  and  $t_-^{i,\text{data}}$ , where  $i = 1, 2, \dots, n$  indexes the non-outlier data  
 531 points. These  $2n$  measurements were used to fit  $n + 3$  parameters: the saturated transcription  
 532 rate ( $t_{\text{sat}}$ ), the background transcription rate ( $t_{\text{bg}}$ ), the renormalized cooperativity ( $\alpha'$ )<sup>4</sup>, and the RNAP  
 533 binding factors for each assayed RNAP site ( $P_1, P_2, \dots, P_n$ ). This was accomplished using nonlinear  
 534 least squares. Specifically, we minimized the loss function  $\mathcal{L}(\theta)$

$$\mathcal{L}(\theta) = \sum_{i=1}^n \left( \left[ \log \frac{t_+^{i,\text{model}}(\theta)}{t_+^{i,\text{data}}} \right]^2 + \left[ \log \frac{t_-^{i,\text{model}}(\theta)}{t_-^{i,\text{data}}} \right]^2 \right) \quad (10)$$

535 where  $\theta = \{t_{\text{sat}}, t_{\text{bg}}, \alpha', P_1, P_2, \dots, P_n\}$  are the model parameters and

$$t_+^{i,\text{model}}(\theta) = t_{\text{sat}} \frac{\alpha' P_i}{1 + \alpha' P_i} + t_{\text{bg}}, \quad t_-^{i,\text{model}}(\theta) = t_{\text{sat}} \frac{P_i}{1 + P_i} + t_{\text{bg}}. \quad (11)$$

536 The solid black lines in Figure 2B and Figures 4B,C show the expression manifolds fit to all  $n$  data  
 537 points. The gray lines in Figure 2B and Figure 4B represent parameters fit to bootstrap-resampled  
 538 data points.

539 The values reported for  $F$  and  $\alpha$ , as well as for  $\Delta G_F$  and  $\Delta G_\alpha$ , were computed using parameters  
 540 fit to bootstrap-resampled data. For the occlusion data in Figure 2B, we reported

$$F = (F_{50})_{-(F_{50}-F_{16})}^{+(F_{84}-F_{50})}, \quad \Delta G_F = -k_B T \log F_{50} \pm k_B T \left( \frac{\log F_{84} - \log F_{16}}{2} \right), \quad (12)$$

541 where  $1k_B T = 1.62$  kcal/mol (corresponding to 37 °C) and where  $F_{84}$ ,  $F_{50}$ , and  $F_{16}$  respectively denote  
 542 the 84th, 50th, and 16th percentiles of  $F$  values obtained from bootstrap resampling. For the  
 543 activation data in Figures 4B and 4C, we computed  $\alpha$  from  $\alpha'$  via  $\alpha = \alpha' - (\alpha' - 1)/F_{50}$ . We then  
 544 reported

$$\alpha = (\alpha_{50})_{-(\alpha_{50}-\alpha_{16})}^{+(\alpha_{84}-\alpha_{50})}, \quad \Delta G_\alpha = -k_B T \log \alpha_{50} \pm k_B T \left( \frac{\log \alpha_{84} - \log \alpha_{16}}{2} \right), \quad (13)$$

545 where  $\alpha_{84}$ ,  $\alpha_{50}$ , and  $\alpha_{16}$  respectively denote the 84th, 50th, and 16th percentiles of  $\alpha$  values obtained  
 546 from bootstrap resampling.

547 By visual inspection of Figure 6B, we determined that  $\beta \approx 1$  at 61.5 bp. In Figure 6C, we therefore  
 548 report for each position  $X$ , an acceleration  $\beta^X$  given by  $t_{\text{sat}}^X / t_{\text{sat}}^{-61.5}$  where  $t_{\text{sat}}^{-61.5}$  is the saturated rate  
 549 of transcription inferred for -61.5 bp in Figure 4B and, similarly,  $t_{\text{sat}}^X$  denotes the saturated rate of

<sup>4</sup>Note that  $\alpha' = 1/(1 + F)$  in the case of simple repression, as in Figure 2.

550 transcription inferred for position  $X$  in Figure 4C. Plotted points show the median values, while  
551 error bars show the [16%, 85%] quantile interval.

552 Figure 8 shows  $P_{i,50}$  values with error bars extending from [ $P_{i,16}$  to  $P_{i,84}$ ]. Such values were  
553 computed using  $P$ -values determined from data in which the individual replicates for each promoter  
554 were bootstrap resampled, but for which all promoters were used in the inference procedure.

## 555 Author contributions

556 JBK conceived of this study. TF and JBK designed this study. JBK, TF, AA, MSG, and DJ carried out the  
557 experiments. TF and JBK carried out the computational analysis. JBK wrote the manuscript with  
558 input from MSG, RP, DJ, TF, and AA. JBK funded this study.

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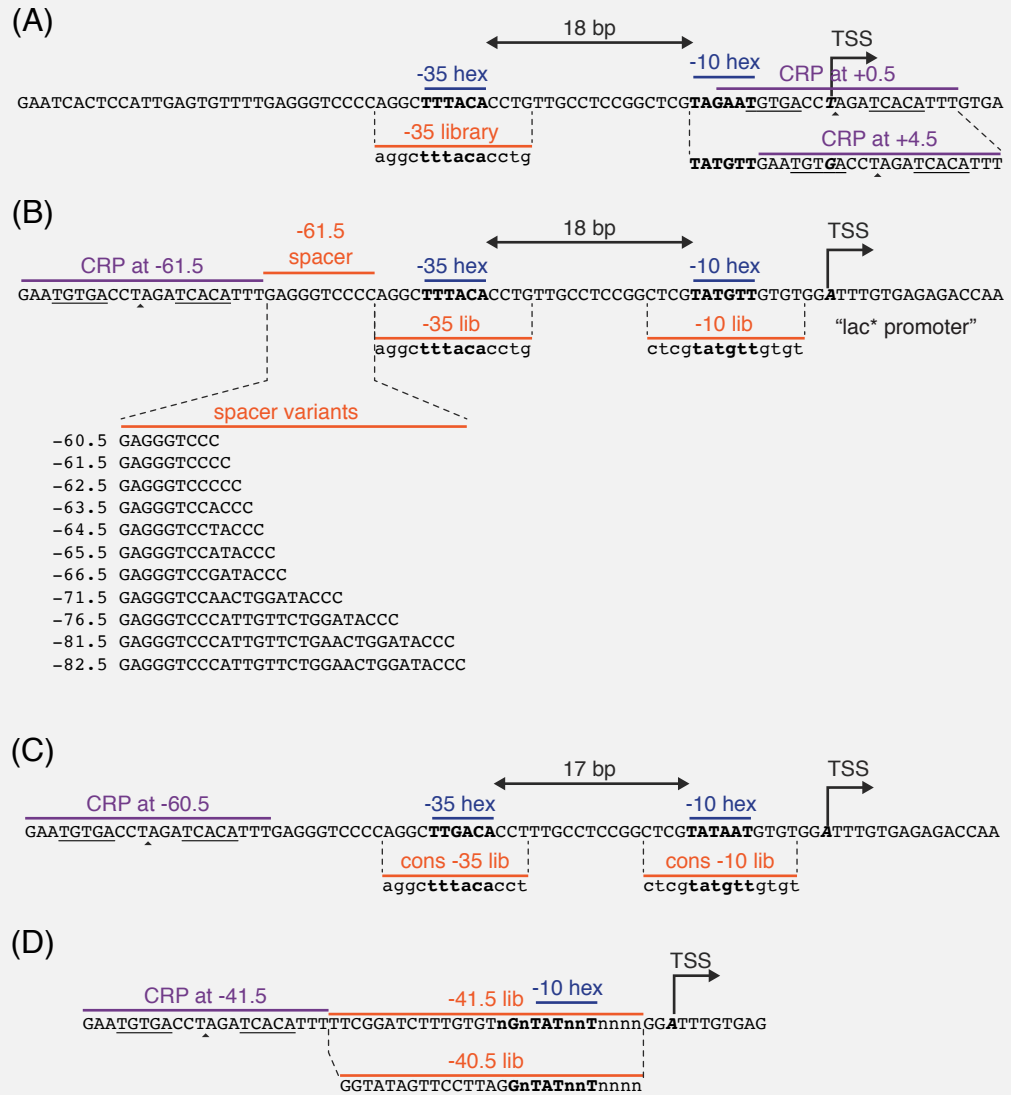
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750 **Appendix 1**

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**Promoter variants**



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**Appendix 1 Figure 1.** Promoter sequences used in this study. In all panels, the -35 and -10 hexamers of the RNAP binding site are in bold. CRP binding site centers are indicated by small triangles. The dyadic pentamers of the core CRP binding site in each construct are underlined. The transcription start site (TSS) is bold and italicized. Lowercase bases ('a', 'c', 'g', and 't') indicate positions synthesized with a 24% mutation rate. The lowercase character 'n' indicates completely randomized positions. (A) Occlusion promoters assayed for Figure 2. (B) Class I promoters assayed for Figure 4. In the main text we refer to the wild-type promoter with CRP at -61.5 bp as the "lac\* promoter". The lac\* promoter served as the template for all of the promoters shown here. (C) Strong class I promoters assayed for Figure 6. (D) Class II promoters assayed for Figure 7.