

## Perspective

## Data Acquisition Protocols and Reporting Standards for Studies of the Electrochemical Reduction of Carbon Dioxide

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3 **Standards and Protocols for Data Acquisition and Reporting for Studies of the**  
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5 **Electrochemical Reduction of Carbon Dioxide**  
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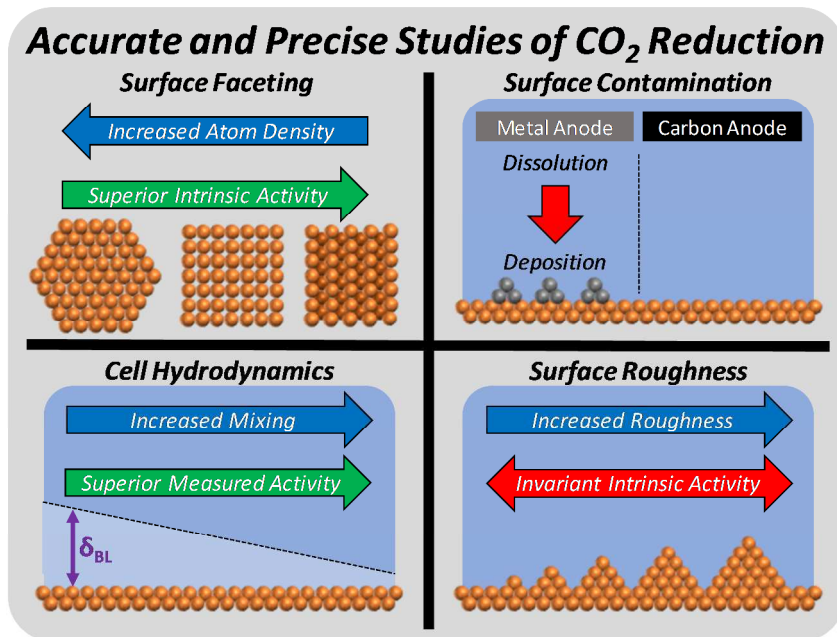
## Abstract

Objective evaluation of the performance of electrocatalysts for CO<sub>2</sub> reduction has been complicated by a lack of standardized methods for measuring and reporting activity data. In this perspective, we advocate that standardizing these practices can aid in advancing research efforts toward the development of efficient and selective CO<sub>2</sub> reduction electrocatalysts. Using information taken from experimental studies, we identify variables that influence the measured performance of CO<sub>2</sub> reduction electrocatalysts and propose procedures to improve the accuracy and reproducibility of reported data. We recommend that catalysts be measured under conditions which do not introduce artifacts from impurities, either from the electrolyte or counter electrode, and advocate the acquisition of data measured in the absence of mass transport effects. Furthermore, measured rates of electrochemical reactions should be normalized to both the geometric electrode area as well as the electrochemically active surface area to facilitate the comparison of reported catalysts with those previously known. We demonstrate that when these factors are accounted for, the CO<sub>2</sub> reduction activity of Ag and Cu measured in different laboratories exhibit little difference. Adoption of the recommendations presented in this perspective would greatly facilitate the identification of superior catalysts for CO<sub>2</sub> reduction arising solely from changes in their composition and pretreatment.

## Keywords

Electrocatalysis, CO<sub>2</sub> reduction, experimental protocols, catalyst benchmarking, mass transfer effects, surface contamination, surface area normalization, intrinsic activity metrics

## Table of Contents Graphic



## Introduction

The electrochemical reduction of CO<sub>2</sub> offers a means of producing transportation fuels and commodity chemicals using intermittent renewable electricity.<sup>1-3</sup> Motivated by this objective, numerous publications have appeared in recent years aimed at identifying electrocatalysts that can efficiently and selectively reduce CO<sub>2</sub> to desired products.<sup>4-10</sup> However, objective evaluation of the activity and selectivity of different catalysts and operating conditions has proven difficult due to a lack of standardized protocols for preparing catalysts and evaluating their electrocatalytic activity. These issues are significant because the performance of electrocatalysts is influenced not only by the composition and morphology of the electrocatalyst itself, but also by the composition of the electrolyte, the hydrodynamics of the electrochemical cell, and the purity of both the electrocatalyst and the electrolyte.

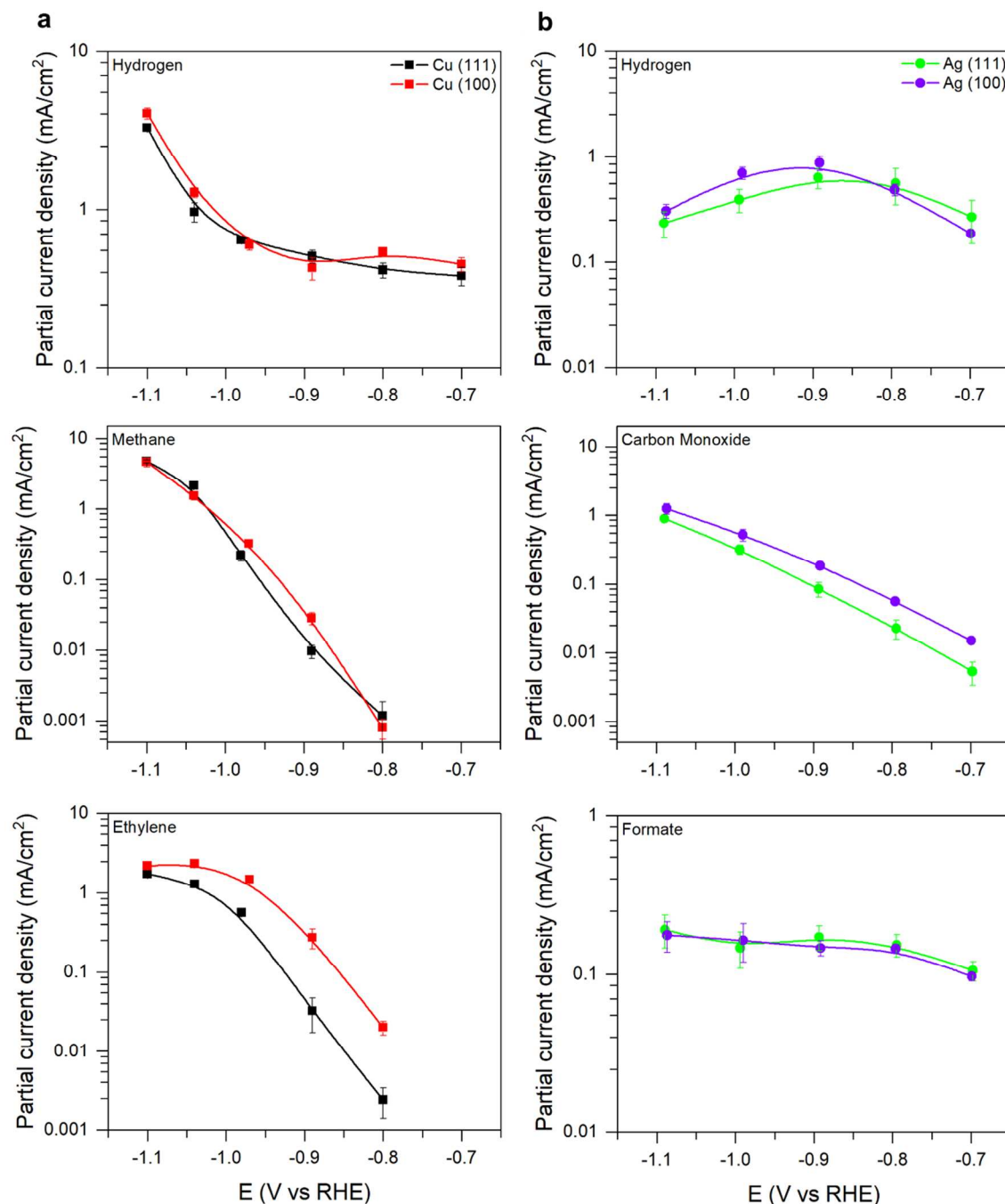
This perspective identifies some of the key variables that influence the measured activity and selectivity of CO<sub>2</sub> reduction electrocatalysts with the aim of proposing procedures to obtain reproducible data that can be attributed solely to properties of the catalyst. We show how each factor affects the measured electrocatalytic activity and selectivity and provide recommendations for the preparation of electrocatalysts and the design of electrochemical cells. We demonstrate that interinstitutional reproducibility is observed over independently prepared and tested catalyst materials when these recommendations are considered. Finally, we stress the importance of reporting electrocatalyst activity normalized by the electrochemically active surface area and caution against claims of superior catalyst performance based solely on Faradaic efficiency.

## I. Benchmarking Electrocatalytic Performance

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3 Comparing catalytic data from different laboratories can be convoluted because each  
4 tends to use its own sources of catalyst and electrolyte, method of catalyst preparation and  
5 pretreatment, and design of the electrochemical cell used for catalyst evaluation. As we show  
6 below, these differences can introduce unintended consequences that impact the observed  
7 activity of CO<sub>2</sub> reduction electrocatalysts. To minimize the effects of factors other than catalyst  
8 composition and morphology, we recommend that research groups benchmark their ability to  
9 accurately and consistently reproduce the published activity for a well-studied planar  
10 monometallic catalyst prior to reporting data for new catalysts.  
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21 The choice of electrocatalyst to be used for benchmarking purposes requires careful  
22 consideration. Cu is the most well studied catalyst for CO<sub>2</sub> reduction because it is the only  
23 monometallic catalyst that can reduce CO<sub>2</sub> to hydrocarbons and alcohols with reasonably high  
24 Faradaic efficiencies.<sup>11-14</sup> However, it should be noted that Cu produces a wide variety of  
25 products, the distribution of which is sensitive to the manner of catalyst preparation. To illustrate  
26 this point, the CO<sub>2</sub> reduction activity observed over Cu(111) and Cu(100) are compared in  
27 Figure 1a.<sup>13,15,16</sup> Experimental details of the preparation and testing of these epitaxial thin films  
28 can be found in the Supporting Information (see SI-1 and SI-2). The Cu(100) surface exhibits an  
29 activity for generating C<sub>2+</sub> products roughly an order of magnitude higher than that for Cu(111),  
30 as reported elsewhere.<sup>13,16</sup> This facet dependence can cause polycrystalline Cu foils obtained  
31 from different vendors or even different batches from the same vendor to exhibit large  
32 differences in electrocatalytic activity and selectivity that arise due to variations in surface  
33 faceting. In contrast to Cu, Ag predominately produces H<sub>2</sub> and CO, with CO Faradaic  
34 efficiencies exceeding 90% at an applied potential of -1 V vs RHE.<sup>17,18</sup> Furthermore, the product  
35 distribution obtained over Ag is less facet-dependent than that observed over Cu.<sup>17</sup> To illustrate  
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3 this point the CO<sub>2</sub> reduction activity of Ag(111) and Ag(100) are compared in Figure 1b. While  
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5 the CO evolution activity exhibits a slight facet dependence, the variation observed is only a  
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7 factor of ~2. The relatively similar activity observed over Ag(111) and Ag(100) means that the  
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9 activity observed over polycrystalline Ag foils will exhibit less variation from sample to sample.  
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11 Thus, we recommend that Ag be used as a benchmarking electrocatalyst to assess the ability of a  
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13 research group to carry out accurate and reproducible activity measurements.  
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**Figure 1: Structure sensitivity of Cu and Ag-based catalysts.** Electrochemical CO<sub>2</sub> reduction experiments performed over epitaxial thin films in 0.1 M KHCO<sub>3</sub>: a) Cu(111) vs Cu(100). Activity toward ethylene production shows strong facet dependence. b) Ag(111) vs Ag(100) show similar activity toward CO formation.

Surface preparation methods can also introduce additional variations in activity and selectivity between samples of the same metal due to the impact that these pretreatments have on



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3 the purity and distribution of facets at the electrode surface.<sup>19,20</sup> Mechanical polishing can  
4 introduce contaminants onto the catalyst surface from the polish residue (see SI-3). These polish  
5 residues can be susceptible to electrochemical reduction under the conditions of CO<sub>2</sub> reduction  
6 and may exhibit background activity in the metallic state, as is the case for alumina-based  
7 polishing compounds.<sup>21</sup> As a result, SiC and diamond-based polishing compounds should be  
8 favored over alumina-based polishing compounds since residues from these compounds will be  
9 largely electrochemically inert. Electropolishing can also be utilized but thorough rinsing of the  
10 electrocatalyst should be practiced to prevent carryover of specifically adsorbing anions into the  
11 reaction vessel.  
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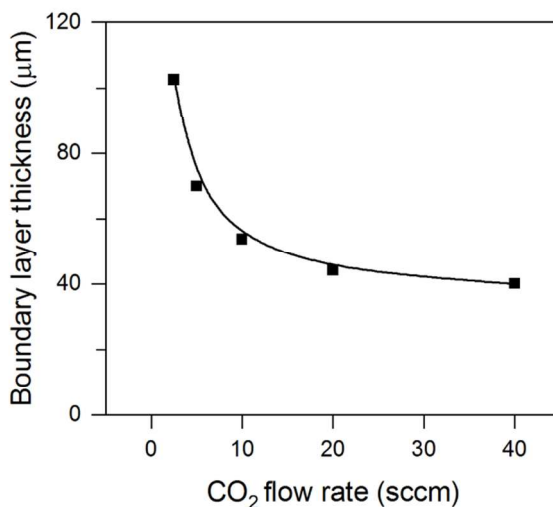
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24 Comparisons between different catalysts should only be done if their activity was  
25 measured in identical electrolyte solutions. Several studies have demonstrated that the identity of  
26 the cations and anions in the electrolyte affect both the activity and selectivity of CO<sub>2</sub> reduction  
27 catalysts. For example, the activity and selectivity of both polycrystalline foils and epitaxial thin  
28 films of Ag and Cu have been demonstrated to change as the size of the electrolyte cation is  
29 increased from Li<sup>+</sup> to Cs<sup>+</sup>.<sup>22</sup> Larger cations, such as Cs<sup>+</sup>, favor the formation of CO over Ag and  
30 C<sub>2+</sub> products over Cu due to electrostatic field-stabilization of species involved in the formation  
31 of CO in the case of Ag and of C-C bonds, such as adsorbed OCCO and OCCHO, in the case of  
32 Cu.<sup>23</sup> Conversely, cation size has no effect on the partial current densities for H<sub>2</sub> or CH<sub>4</sub> because  
33 their mechanistic pathways do not involve reaction intermediates with significant dipole  
34 moments and there are insignificant changes in the dipole moment between the reactant and  
35 transition state.<sup>23</sup> The composition of the anionic component of the supporting electrolyte can  
36 also affect CO<sub>2</sub> reduction selectivity. For example, in the case of CO<sub>2</sub> reduction over Cu,  
37 phosphate-based electrolytes result in higher partial currents for H<sub>2</sub> and CH<sub>4</sub> than are observed in  
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3 bicarbonate-based electrolytes, but the choice electrolyte anion has little effect on the partial  
4 currents for CO, HCOO<sup>-</sup>, C<sub>2</sub>H<sub>4</sub>, or C<sub>2</sub>H<sub>5</sub>OH. Furthermore, changes in the buffer concentration  
5 also impact catalyst selectivity.<sup>24-27</sup> As a result of these influences, researchers should only  
6 compare catalytic data obtained using identical electrolyte solutions. Obtaining catalytic data in  
7 either 0.1 M KHCO<sub>3</sub> or 0.1 M CsHCO<sub>3</sub> will enable the greatest comparison to published catalytic  
8 data, since the majority of CO<sub>2</sub> reduction studies have been conducted using these electrolytes.  
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## 19 **II. Impact of Electrochemical Cell Hydrodynamics on Electrocatalytic Activity**

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21 The electrochemical reduction of CO<sub>2</sub> is highly susceptible to concentration polarization,  
22 wherein Faradaic processes induce concentration gradients near the electrode surface. These  
23 concentration gradients arise because bicarbonate solutions are weak buffers and CO<sub>2</sub> has a low  
24 mass transfer coefficient through aqueous solutions.<sup>28,29</sup> Even modest current densities cause the  
25 pH and CO<sub>2</sub> concentration near the cathode surface to vary significantly from that in the bulk  
26 electrolyte.<sup>30,31</sup> The magnitude of the concentration gradients depends largely on the  
27 hydrodynamics of the electrochemical cell. As a result, the electrolyte needs to be mixed  
28 vigorously to ensure sufficient mass transport to and from the cathode. Electrolyte mixing in  
29 small electrochemical cells is usually accomplished by agitation of the electrolyte with a column  
30 of CO<sub>2</sub> bubbles, although pump-driven recirculation of CO<sub>2</sub>-saturated electrolyte has also been  
31 employed.<sup>32,33</sup> Activity data acquired in a regime where significant concentration polarization  
32 occurs does not reflect the intrinsic activity or selectivity of the catalyst, but rather the  
33 convolution of the properties of the catalyst and the effects of mass transfer. Therefore,  
34 researchers should avoid measuring catalytic activity under conditions where mass transfer  
35 effects are significant because correcting for these effects is nontrivial. Researchers should also  
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only consider the portion of their data that has been shown to be free of the effects of mass transfer when making conclusions about intrinsic reaction kinetics.

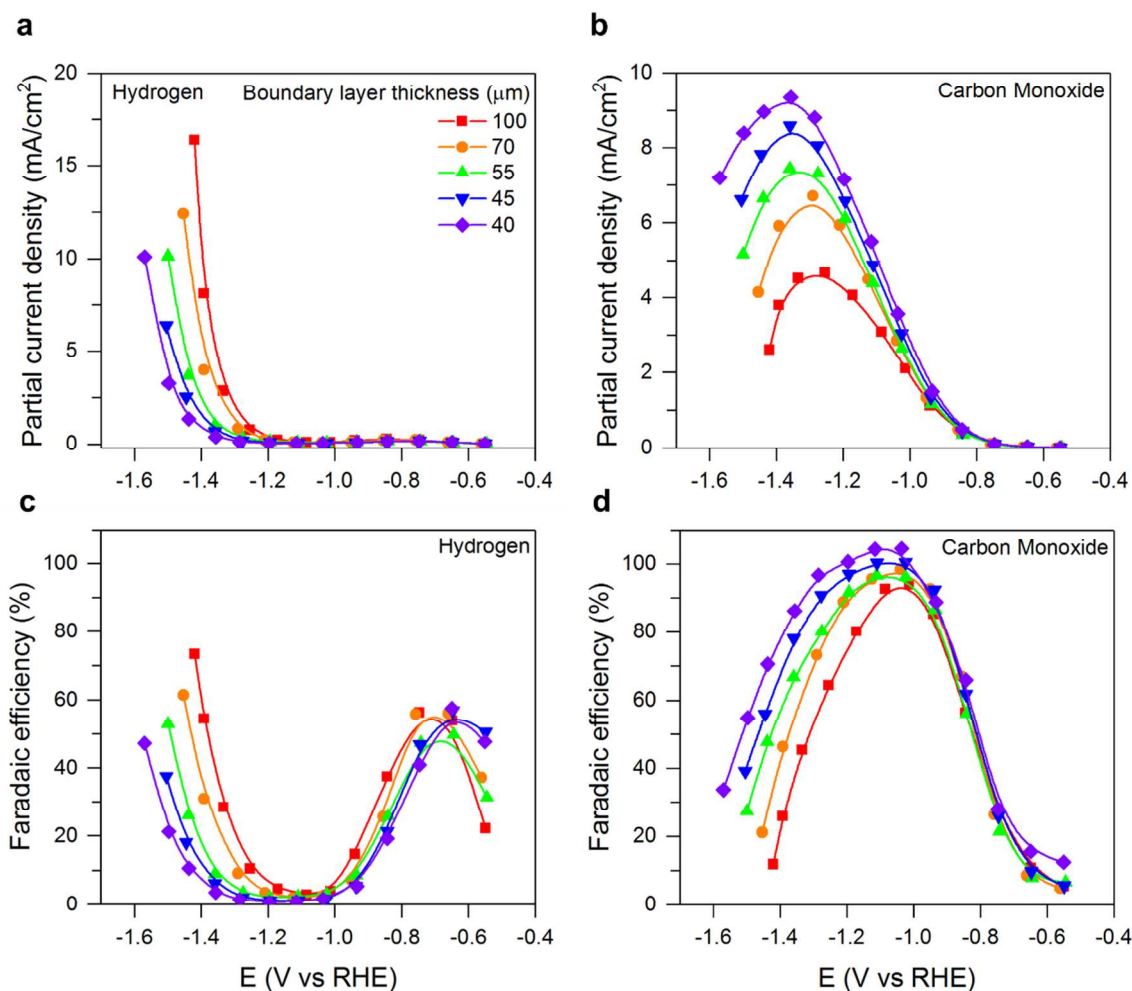


**Figure 2: Quantifying the cathodic hydrodynamic boundary layer thickness.** Hydrodynamic boundary layer thicknesses at the cathode surface calculated by measuring the diffusion limited current density of ferricyanide reduction over polycrystalline Au as a function of the CO<sub>2</sub> flow rate utilized to mix the catholyte.

The mass transfer boundary layer thickness of an electrochemical cell can be quantified by measuring the diffusion-limited current density for ferricyanide reduction (see SI-4). As shown in Figure 2, increasing the CO<sub>2</sub> flow rate reduces the hydrodynamic boundary layer thickness but has a diminishing effect as the CO<sub>2</sub> flow rate is increased. Activity measurements were conducted as a function of the applied potential for different CO<sub>2</sub> flow rates to demonstrate the impact that the mass transfer boundary layer thickness has on the measured activity of polycrystalline Ag. Figure 3 shows the partial current densities for H<sub>2</sub> and CO as a function of the mass transfer boundary layer thickness, which was systematically varied by varying the CO<sub>2</sub> flow rate through the cell. The variation in the partial currents for H<sub>2</sub> and CO are direct results of the variation in the mass transfer boundary layer thickness at the cathode surface and is not due to changes in the bulk CO<sub>2</sub> concentration. The latter statement is supported by the observation

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3 that electrochemical cells incorporating gas dispersion frits maintain saturation of the bulk  
4 electrolyte with CO<sub>2</sub> during prolonged electrolysis.<sup>32</sup>  
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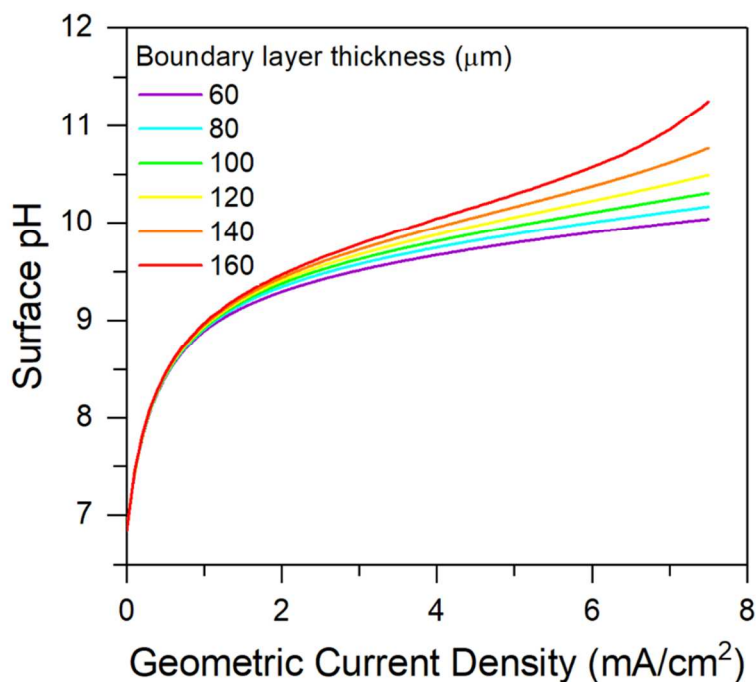
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8 We note that the tested Ag films were completely free of contaminants within the  
9 detection limits of XPS and LEIS (see SI-5). Thus, the observed variations in electrocatalytic  
10 activity are a direct result of the degree to which concentration polarization influences the  
11 observed electrocatalytic activity. As shown in Figure 3, the hydrodynamic regime in which the  
12 activity of polycrystalline Ag is measured dictates what is observed at potentials more negative  
13 than -1 V vs RHE, the potential for which mass transfer effects become significant (see SI-6). As  
14 the hydrodynamic boundary layer thickness is reduced, less H<sub>2</sub> and more CO is produced at a  
15 given applied potential, resulting in a CO Faradaic efficiency swing of ~60% at -1.4 V vs RHE.  
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17 As a result, the maximum rate of CO<sub>2</sub> consumption over the cathode increases inversely with the  
18 hydrodynamic boundary layer thickness, as expected for a diffusion-limited process (see SI-6).  
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**Figure 3: Dependence of the measured activity of polycrystalline Ag on the hydrodynamics of the electrochemical cell achieved by varying the CO<sub>2</sub> flow rate utilized to mix the catholyte.** a) H<sub>2</sub> partial current density. b) CO partial current density. c) H<sub>2</sub> Faradaic efficiency. d) CO Faradaic efficiency.

This demonstration of the influence of the hydrodynamics of the electrochemical cell on the measured activity of polycrystalline Ag indicates the importance of designing electrochemical cells with adequate electrolyte mixing and conducting catalytic activity measurements in a regime that is minimally influenced by mass transfer to the cathode surface. Only under such conditions is it possible to definitively measure the intrinsic activity of the catalyst and obtain data that is directly comparable across research institutions. For the

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3 electrochemical cell and polycrystalline Ag catalyst utilized here, the impact of concentration  
4 polarization becomes significant for applied potentials below -1 V vs RHE, as indicated by the  
5 deviation of the CO partial current density from Tafel kinetics (see SI-6). As a result, the  
6 measured activity is minimally affected by the mass transfer boundary layer thickness at  
7 potentials more positive than -1 V vs RHE. It should be noted, though, the potential at which  
8 concentration polarization becomes significant is a function of the overall current density and not  
9 the applied potential. As a result, catalysts with high surface areas are more susceptible to mass  
10 transfer limitations than planar catalysts, which complicates obtaining an accurate measurement  
11 of their intrinsic activity. Another point to realize is that concentration polarization introduces  
12 error when reporting data on a RHE scale because the local pH deviates substantially from that in  
13 the bulk, as shown in Figure 4.<sup>34</sup> This error can become significant when comparing catalysts  
14 that suffer from concentration polarization to different extents. Examples include comparing  
15 catalysts with vastly different surface roughness or comparing planar catalysts evaluated in  
16 electrochemical cells with different hydrodynamic boundary layer thicknesses.<sup>35</sup> These  
17 differences can lead to divergent local reaction environments that convolute accurate activity  
18 comparisons.  
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**Figure 4: Calculated surface pH as a function of the geometric current density and the hydrodynamic boundary layer thickness.**

### III: Impact of Impurities on Electrocatalytic Activity

The steady-state activity and selectivity of a material should be measured in the absence of surface contamination to assess its intrinsic catalytic properties. If surface contamination occurs, it is important to distinguish whether it is a consequence of catalytic intermediates that poison the surface or whether it is the result of impurities inadvertently introduced onto the surface.<sup>36</sup> We note that the high overpotentials typically utilized to evaluate the activity of CO<sub>2</sub> reduction electrocatalysts are sufficiently negative to reduce nearly any transition metal cation that might be present in the catholyte. In general, transition metal impurities will increase the activity of the electrocatalyst for the H<sub>2</sub> evolution reaction (HER), since the late transition and p-block metals typically studied as CO<sub>2</sub> reduction electrocatalysts have very low HER activity.<sup>37,38</sup> Even trace quantities (<1 μM) of transition metal cations in the electrolyte can cause CO<sub>2</sub> reduction electrocatalysts to lose their activity on the timescale of a typical experiment.<sup>38,39</sup>

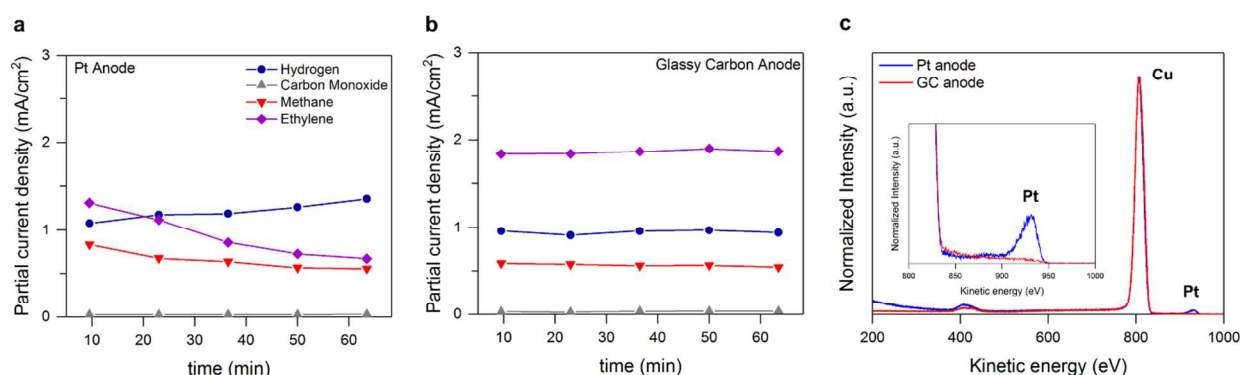
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3 Metallic impurities in the catholyte can originate from the solvent, the electrolyte salts, and from  
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5 the other components of the electrochemical cell.  
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8 The purity of the electrode surface is often validated using X-ray photoelectron  
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10 spectroscopy (XPS). This analytical method probes the composition of the top 0.5 to 2 nm of the  
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12 sample, depending on the collection angle and the kinetic energy of the relevant  
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14 photoelectrons.<sup>40</sup> The detection limit of XPS for transition metals is typically between 0.1 and 1  
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16 atomic percent, depending on the sample morphology and the combination of elements.<sup>41</sup> While  
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18 this detection limit may be adequate for certain applications, it is inadequate for validating the  
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20 purity of catalyst surfaces since even ~20% of a monolayer of impurities can go undetected by  
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22 XPS.<sup>40, 41</sup> Thus, the lack of observable contamination by XPS does not indicate that the electrode  
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24 surface is free of contamination. Low-energy ion scattering (LEIS) spectroscopy, also called ion  
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26 scattering spectroscopy (ISS) can be used to more accurately validate the purity of the catalyst  
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28 surface since it only probes the top layer of atoms on the sample surface.<sup>42</sup> However, because  
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30 LEIS is a line-of-sight technique it can be difficult to obtain quantitative information about the  
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32 relative abundance of constituent elements due to their nonequivalent coverage by adventitious  
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34 adsorbates, such as ambient oxygen. Despite this, ISS is a very useful analytical technique  
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36 because of its enhanced sensitivity for detecting impurities on an electrode surface.  
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43 Researchers have recently demonstrated that Pt and other noble metals typically used as  
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45 anode electrocatalysts can dissolve under typical operating conditions.<sup>43-48</sup> The transition metal  
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47 cations evolved from the anode can reach the cathode even when an anion exchange membrane  
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49 is utilized to separate the electrode chambers.<sup>49</sup> Whether this crossover occurs during operation  
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51 or during the storage and cleaning of the electrochemical cell has yet to be resolved conclusively.  
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54 The effect of inadvertent Pt contamination on the activity of Cu(100) is shown in Figure 5, which  
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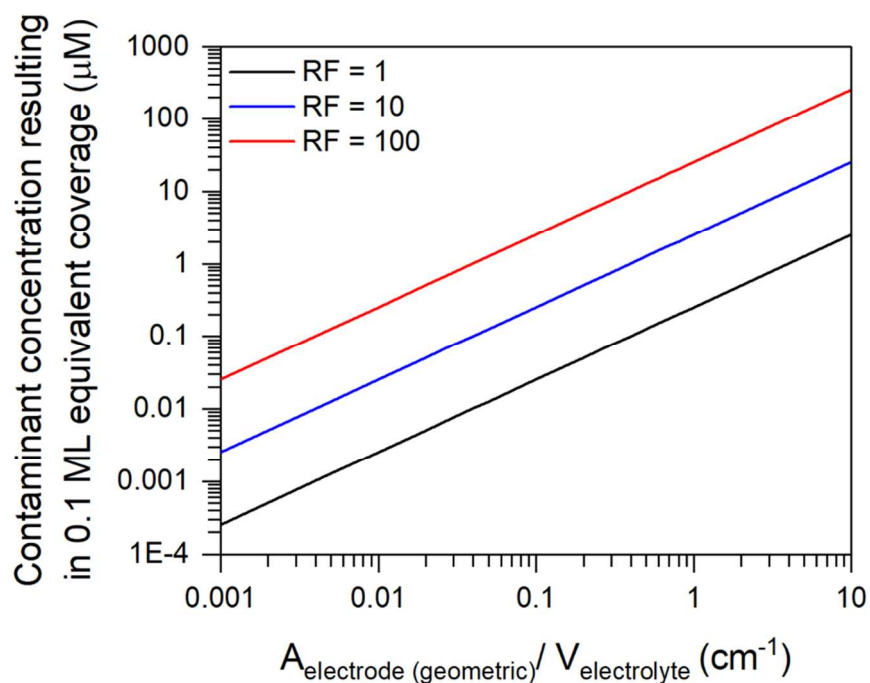
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3 compares the transient activity observed over Cu(100) when Pt and glassy carbon (GC) are  
4 employed as anodes. Figure 5a shows that the activity for producing H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> increase and  
5 decrease, respectively, over the course of 1 hr when Pt is used as the anode. However, Figure 5b  
6 shows that the activity for all products is remarkably stable when GC is used as the anode. While  
7 both surfaces appeared to be free of contamination by XPS, Pt was detected by LEIS on the  
8 Cu(100) electrode tested using a Pt anode. Thus, researchers should employ a sacrificial GC  
9 anode when measuring the intrinsic activity of CO<sub>2</sub> reduction electrocatalysts to prevent  
10 inadvertent surface contamination.



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34 **Figure 5: Effect of the counter electrode on transient activity.** Comparison of the transient  
35 activity observed over Cu(100) at an applied potential of -1.0 V vs RHE in 0.1 M KHCO<sub>3</sub>: a)  
36 using a Pt anode and b) using a GC anode. c) Comparison of the LEIS spectra of Cu(100) tested  
37 using Pt and GC anodes. The presence of Pt is observed on the surface only when Pt is used as  
38 the anode.

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43 The degree to which impurities impact the observed activity depends strongly on the  
44 surface area of the cathode relative to the volume of the catholyte. Since the cathodic potential  
45 needed to drive CO<sub>2</sub> reduction is usually much more negative than the standard reduction  
46 potential of transition metal cations, it can be assumed that over a long period of time most of the  
47 metal impurities present in the electrolyte will be electrodeposited onto the cathode surface.  
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3 result in a significant coverage (0.1 ML) on the electrocatalyst surface (see SI-7). Furthermore,  
4 the calculation indicates that contamination will be especially problematic for systems where the  
5 catholyte volume is large compared to the electrode surface area. This means that the tolerance  
6 for impurities increases with the roughness of the catalyst surface. Therefore, researchers should  
7 be mindful of the different extents to which impurities could influence the observed activity  
8 when comparing two catalysts with significantly different roughness factors. For instance, lower  
9 rates of HER over a high surface area catalyst in comparison to a low surface area standard could  
10 potentially be the result of a smaller fraction of surface sites being covered by electrodeposited  
11 impurities.  
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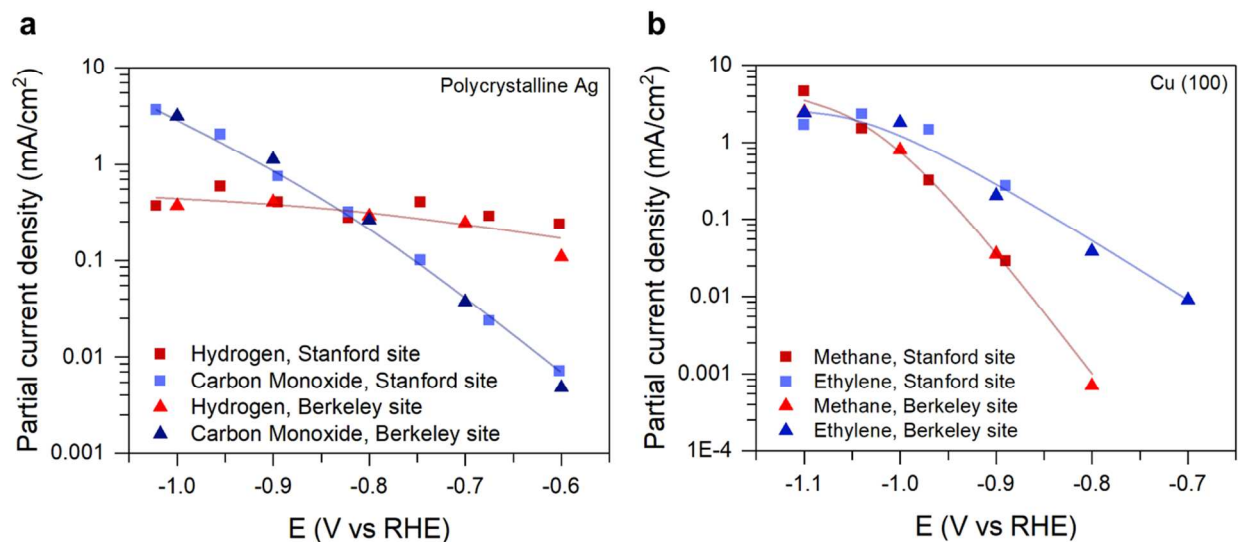


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46 **Figure 6: Factors affecting the impact of electrolyte impurities.** Electrolyte impurity  
47 concentration required to cover 10% of the electrocatalyst surface based on the geometric  
48 cathode surface area to catholyte volume ratio and the roughness factor of the cathode surface.  
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#### 51 52 **IV: Interinstitutional Reproducibility** 53 54 55 56 57 58 59 60

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3 Consistent and reproducible reports of CO<sub>2</sub> reduction electrocatalysis are critical to  
4 advancing the field. By first benchmarking electrochemical systems against standard catalysts  
5 researchers can be assured that results obtained from testing a novel catalyst formulation will be  
6 repeatable at other institutions and that measured activity can be confidently attributed to the  
7 properties of the catalyst itself. The entire electrochemical system, including catalyst, electrolyte,  
8 electrochemical cell, and operating conditions, needs to be considered before making  
9 comparisons with the literature.  
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19 With careful experimentation, electrocatalyst activity can be accurately and reliably  
20 reproduced at different academic institutions. This point is nicely illustrated by the data  
21 presented in Figure 7, which shows the activity for selected products obtained over  
22 polycrystalline silver and epitaxial Cu(100) thin films, prepared and tested independently at  
23 Berkeley and Stanford. Similar experimental protocols were used at both institutions to avoid  
24 artifacts from impurities, and a potential range was chosen for comparison in which the effects of  
25 concentration polarization were minimized. Further details of the cell design and experimental  
26 protocols at each institution are included in the Supporting Information (see SI-1 and SI-2). The  
27 close agreement in observed activity demonstrates that reproducibility can be achieved with  
28 careful experimentation.  
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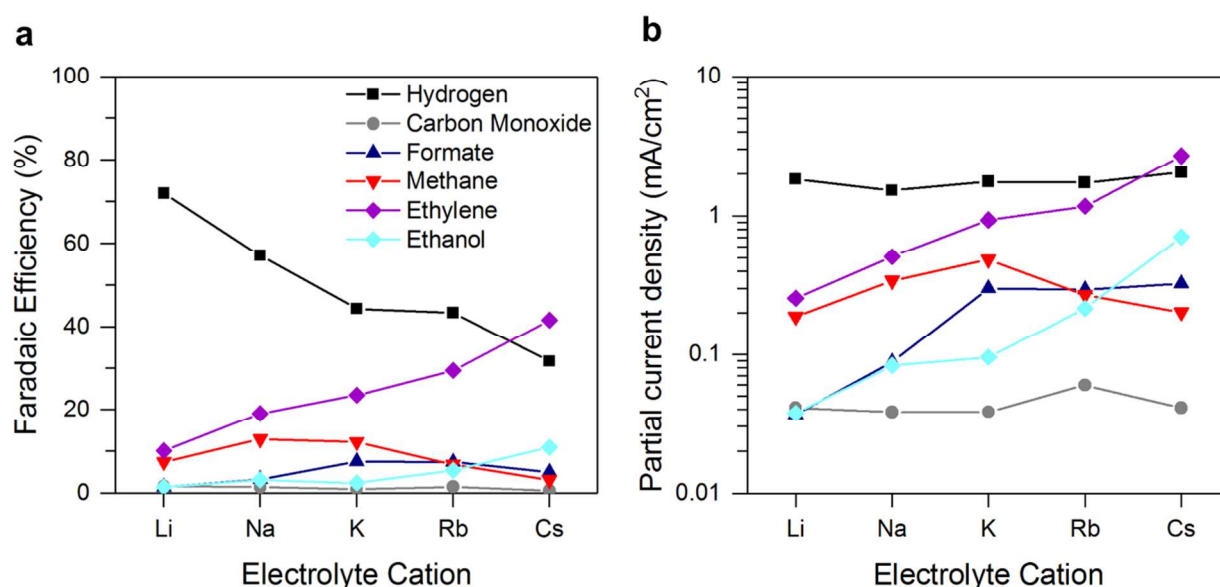


**Figure 7: Interinstitutional reproducibility of benchmark catalytic activity.** Observed electrocatalytic activity over electrocatalysts independently prepared and tested at two different academic institutions in 0.1 M KHCO<sub>3</sub>: a) polycrystalline Ag and b) Cu(100) thin films.

## V: Reporting Electrocatalytic Activity

Several figures of merit that can be utilized to report electrocatalytic activity and selectivity. One commonly used metric for selectivity is Faradaic efficiency, which is defined as the fraction of Faradaic charge utilized to produce a given product. While Faradaic efficiency is useful for describing the selectivity of a catalyst, it is problematic when comparing catalysts with drastically different activities. For example, it is tempting to conclude that the catalyst that is more selective for producing a specific product is more active for producing that product. However, an increase in selectivity to a product may or may not be accompanied by an increase in the rate at which that product is produced. In these cases, only comparing Faradaic efficiencies can obscure the true differences between two catalysts. The rate of product production, which is proportional to its partial current density, is a much less ambiguous descriptor of catalytic activity. Figure 8 compares the Faradaic efficiencies and partial current densities observed over Cu(100) as a function of the alkali cation in 0.1 M bicarbonate electrolytes.<sup>23</sup> The trends in

Faradaic efficiency exhibit a decrease in selectivity to HER as the size of the alkali metal cation increases. Based on this metric alone, one might conclude that larger cations suppress HER. However, Figure 8b shows that the rate of HER is unaffected by the identity of the electrolyte cation, as the decrease in selectivity is accompanied by an increase in the total current density. This example demonstrates that only comparing Faradaic efficiencies can give an incomplete picture of catalyst performance, and in some cases can even provide a qualitatively incorrect



description of catalytic behavior as properties of the system change.

**Figure 8: Comparison of Faradaic efficiencies and partial current densities.** Electrocatalytic activity observed over Cu(100) at an applied potential of -1 V vs RHE in 0.1 M bicarbonate electrolytes as a function of the alkali cation: a) Faradaic efficiencies and b) Partial current densities.

Measured rates must be normalized by the number of available catalytic sites when making comparisons between different catalysts.<sup>50</sup> For thermally activated reactions, and for other well studied electrocatalytic reactions, it is common to normalize observed rates by the number of active sites.<sup>51-54</sup> This procedure has not yet been adopted for CO<sub>2</sub> reduction, and catalytic activity is typically reported on the basis of the geometric area of the cathode. This is

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3 problematic because it makes it difficult to determine if reported activity improvements are the  
4 result of intrinsic activity improvements or simply higher catalyst surface area. While  
5 normalization to the number of active sites is a preferable metric it can be difficult to identify  
6 what the active site is. However, normalizing the measured activity by the electrochemically  
7 active surface area is a straightforward way to normalize catalytic activity that is meaningful and  
8 applicable to a wide variety of different electrocatalysts.<sup>55</sup>

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17 The electrochemically active surface area (ECSA) of a electrocatalytic material can be  
18 estimated by measuring the double-layer capacitance of the electrode-electrolyte interface.<sup>56</sup> The  
19 double layer capacitance can be measured by conducting cyclic voltammetry (CV) in a potential  
20 range where no Faradaic processes occur, typically a 100 mV window centered at the open-  
21 circuit potential (OCP). In this potential region, any measured current can be ascribed to the non-  
22 Faradaic process of charging the electrochemical double layer. The charging current,  $i_c$ ,  
23 measured during CV is related linearly to the scan rate  $\nu$  with a slope equal to the double layer  
24 capacitance:

$$C_{DL} = \frac{i_c}{\nu}$$

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39 This measured capacitance ( $C_{DL}$ ) can be compared to that of a smooth planar surface ( $C_{REF}$ ) to  
40 obtain a relative roughness factor for the electrocatalyst.

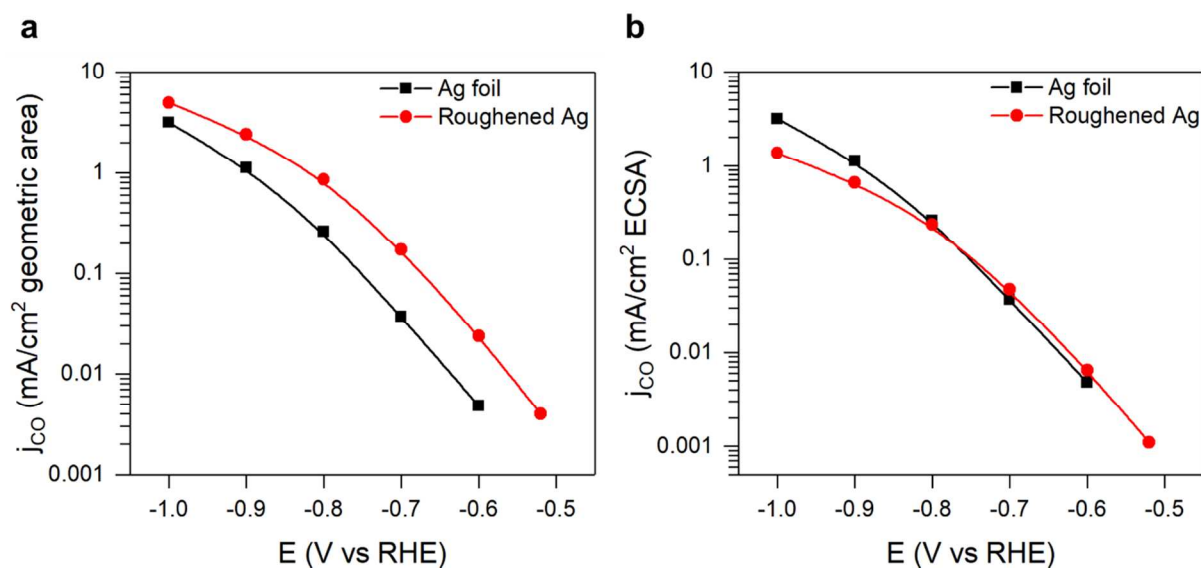
$$ESCA = \frac{C_{DL}}{C_{REF}}$$

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47 Since the reference sample is unlikely to be atomically flat and/or have the same surface  
48 termination as the sample of interest, comparisons on this basis or using a published reference  
49 capacitance value may not give accurate absolute values for the total surface area of the catalyst.  
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54 However, this is generally acceptable since differences between a novel catalyst and a well-

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3 known benchmark are typically of interest. However, it is important to realize that in some cases  
4 the entire surface area of the electrode is not electrocatalytically active. As a result, normalizing  
5 the measured activity by the total ECSA would be inappropriate. One example is when  
6 nanoparticles are supported on an inert support, such as GC or Toray paper. For these systems  
7 underpotential deposition can give a more accurate estimate of the catalytically relevant surface  
8 area. However, this approach is dependent on the elemental composition of the electrocatalyst  
9 and must be tailored to fit the application.  
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19 The importance of reporting current densities normalized to the ECSA is illustrated in  
20 Figure 9, which compares the CO<sub>2</sub> reduction activity observed over two polycrystalline Ag  
21 electrodes with different roughness factors. The first sample was polished mechanically while  
22 the second was roughened by electrochemical cycling in 1 M KCl. Figure 9a shows that the  
23 geometric CO partial current densities of the electrodes vary by nearly an order of magnitude.  
24 However, the electrocatalysts also exhibit drastically different surface areas (see SI-8). As a  
25 result, when the CO partial current densities are normalized by the ECSA the catalysts are  
26 identical at low overpotentials (Figure 9b). At high overpotentials, the relatively smooth Ag  
27 catalyst performs better because mass transfer is limiting the supply of CO<sub>2</sub> to the roughened  
28 electrode. The effects of mass transfer can be mitigated by increasing the CO<sub>2</sub> flow rate, thereby  
29 increasing the potential window over which the two samples show identical activity. These data  
30 suggest that differences in ECSA do not lead to differences in the intrinsic activity in this case.  
31 This example highlights the importance of proper data treatment and normalization, as  
32 comparisons solely based on Faradaic efficiency or geometric partial current densities can be  
33 misleading. These results also demonstrate that care should be taken in using onset potential as a  
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metric of intrinsic catalytic activity, since it is entirely dependent on the detection limits of the experimental setup.



**Figure 9: Surface area normalization for Ag catalysts** CO partial current densities observed over a mechanically polished and electrochemically roughened Ag foil in 0.1 M KHCO<sub>3</sub> normalized to: a) Geometric area and b) Electrochemically active surface area (ECSA).

The ECSA-normalized CO evolution activities of Au-based electrocatalysts have recently been compared, leading to the conclusion that no Au-based catalyst formulation reported in the literature exhibits a superior activity to polycrystalline Au foils.<sup>57</sup> There has also been substantial interest in high surface area Cu-based catalysts for CO<sub>2</sub> reduction, and in particular those derived from the reduction of oxidized Cu.<sup>4,7,58-64</sup> It has been reported that pre-oxidized Cu catalysts exhibit an exceptionally high activity for producing multi-carbon products, such as C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>5</sub>OH. These studies have stimulated efforts aimed at understanding the origin of the seemingly superior catalytic activity of these oxide-derived catalysts compared to polycrystalline Cu foils.<sup>65-72</sup> However it has not been clearly demonstrated if the enhanced activity is due to an increase in the total surface area of the catalyst or to an enhancement of the intrinsic activity.<sup>61-68</sup>

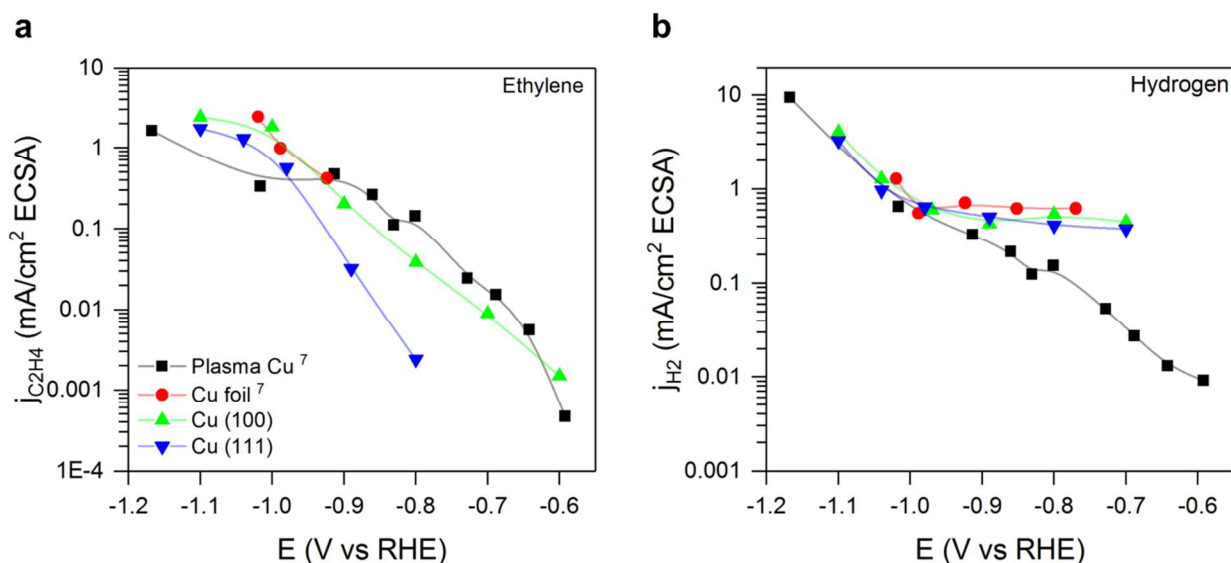
Using the metrics discussed above, we show in Figure 10 an example of an activity comparison



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3 between Cu standards (polycrystalline Cu foil and epitaxial Cu thin films) and a plasma treated  
4 Cu catalyst for which surface area measurements are available.<sup>7</sup> We see that the ECSA-  
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6 normalized partial currents for C<sub>2</sub>H<sub>4</sub>, the most abundant multi-carbon product produced by Cu,  
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8 reported for this high surface area electrocatalyst are comparable to those observed over  
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10 polycrystalline Cu and Cu(100), indicating that the intrinsic activity of this electrocatalyst for  
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12 producing multi-carbon products is not significantly affected by the way in which the catalyst is  
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14 prepared. A more extensive comparison of high surface area Cu catalysts is shown in Figure S9,  
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16 from which the same conclusion can be drawn (see SI-8). The different methods of producing Cu  
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18 catalysts may result in preferential exposure of different low Miller index planes, as the variation  
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20 in the data is similar to the differences in activity of Cu(111) and Cu(100); however, there is no  
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22 evidence that these preparations yield sites substantially more active for producing C<sub>2</sub>H<sub>4</sub> than  
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24 those present on these two facets.  
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31 Although high surface area Cu catalysts do not show higher intrinsic activity for multi-  
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33 carbon product formation than polycrystalline Cu foils, their selectivity to these products is  
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35 generally higher. In Figure 10b we show the specific partial current for producing H<sub>2</sub> over the  
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37 same Cu-base catalysts analyzed above. We see that the normalized rate of HER is lower on the  
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39 high surface area electrocatalyst relative to planar Cu foil and Cu(100), especially at low  
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41 overpotentials. A similar trend is observed in general in Fig S7b. A lower per site rate for HER  
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43 with a constant rate of multi-carbon product formation leads to a higher selectivity to the multi-  
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45 carbon products. This reduced rate of HER could be the result of intrinsic differences in  
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47 reactivity between the catalysts. However, it is also possible that the lower rates of HER on high  
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49 surface area catalysts relative to polycrystalline Cu is a consequence of other differences, e.g.  
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51 mass transport effects, or a smaller fraction of surface sites being covered by electrolyte  
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3 impurities. For example, it has recently been demonstrated that bicarbonate anions can act as an  
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5 H source for the cathode, with the rates of HER scaling with the concentration of bicarbonate  
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7 anions near the cathode.<sup>27</sup> Since the onset of concentration polarization occurs at relatively  
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9 positive potentials over high surface area catalysts, the reduced HER activity might be a  
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11 consequence of a lower bicarbonate concentration near the cathode.  
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34 **Figure 10: Comparison of ECSA-normalized activity of Cu.** Surface area normalized partial  
35 currents for a) C<sub>2</sub>H<sub>4</sub> and b) H<sub>2</sub> over a plasma treated Cu catalyst compared to polycrystalline Cu  
36 foil and oriented Cu thin films. Data from Mistry et al.<sup>7</sup>  
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### 39 Conclusions

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41 In this perspective, we have demonstrated that standardizing the methods used to measure  
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43 and report electrocatalytic data can aid research efforts aimed at developing novel catalysts for  
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45 CO<sub>2</sub> reduction. We recommend that catalyst activity and selectivity be measured under  
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47 conditions which do not introduce artifacts from metallic impurities originating from either the  
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49 electrolyte or a metallic counter electrode. Furthermore, to understand the behavior of the  
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51 catalyst itself, the measured data should be taken under conditions in which rates are not a  
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53 convolution of intrinsic kinetics and the effects of mass transport. Finally, catalytic data should  
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3 be reported as rates normalized to the electrochemically active area or some specific measure of  
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5 geometric active site. Adoption of the recommendations presented in this perspective would  
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7 greatly facilitate meaningful comparisons of catalysts between different research groups and  
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9 would facilitate the advancement of the field.  
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### 14 **Supporting Information**

15  
16 Description of experimental methods, XPS and LEIS of Cu foils prepared via mechanical  
17  
18 polishing, experimental protocol for quantifying the hydrodynamic boundary layer thickness of  
19  
20 an electrochemical cell, XPS and LEIS analysis of tested electrodes, impact of electrochemical  
21  
22 cell hydrodynamics on the measured activity of polycrystalline Ag, details of impurity sensitivity  
23  
24 calculation, experimental protocol for quantifying the relative electrode roughness by capacitive  
25  
26 cycling, comparison of ECSA-normalized activities of published Cu-based catalysts.  
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