

THE PRISM MANIFOLD REALIZATION PROBLEM II

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ABSTRACT. We continue our study of the realization problem for prism manifolds. Every prism manifold can be parametrized by a pair of relatively prime integers $p > 1$ and q . We determine a complete list of prism manifolds $P(p, q)$ that can be realized by positive integral surgeries on knots in S^3 when $q > p$. The methodology undertaken to obtain the classification is similar to that of the case $q < 0$ in an earlier paper.

1. INTRODUCTION

This paper is a continuation of [BHM⁺16], where the authors studied the Dehn surgery realization problem of prism manifolds. Recall that prism manifolds are spherical three-manifolds with dihedral type fundamental groups. Alternatively, an oriented prism manifold $P(p, q)$ has Seifert invariants

$$(-1; (2, 1), (2, 1), (p, q)),$$

where q and $p > 1$ are relatively prime integers. A surgery diagram of $P(p, q)$ is depicted in Figure 1A. When $q < 0$, the realization problem for prism manifolds was solved in [BHM⁺16]. More precisely, a complete list of $P(p, q)$, with $q < 0$, that can be obtained by positive Dehn surgery on knots in S^3 is tabulated in [BHM⁺16, Table 1]. Indeed, every manifold in the table can be obtained by surgery on a *Berge–Kang knot* [BK]. Our main result, Theorem 1.1 below, provides the solution for those $P(p, q)$ with $q > p$: see Table 1.

Theorem 1.1. *Given a pair of relatively prime integers $p > 1$ and $q > p$, the prism manifold $P(p, q)$ can be obtained by $4q$ -surgery on a knot $K \subset S^3$ if and only if $P(p, q)$ belongs to one of the six families in Table 1. Moreover, in this case, there exists a Berge–Kang knot K_0 such that $P(p, q) \cong S^3_{4q}(K_0)$, and that K and K_0 have isomorphic knot Floer homology groups.*

The methodology used to obtain Table 1 is similar to that of [Gre13, BHM⁺16]. When $q > p$, the prism manifold $P(p, q)$ bounds a negative definite four-manifold $X = X(p, q)$ with a Kirby diagram as in Figure 1D: see Section 2. Let $P(p, q)$ arise from surgery on a knot $K \subset S^3$. Let also $W_{4q} = W_{4q}(K)$ be the corresponding two-handle cobordism obtained by attaching a two-handle to the four-ball along the knot K with framing $4q$. Form the four-manifold $Z := X \cup_{P(p, q)} (-W_{4q})$. It follows that Z is a smooth, closed, negative definite four-manifold with $b_2(Z) = n + 2$ for some $n \geq 1$: see Figure 1D. Now, the celebrated theorem of Donaldson (“Theorem A”) implies that the intersection pairing on $H_2(Z)$ is isomorphic to $-\mathbb{Z}^{n+2}$ [Don83], the Euclidean integer lattice with the negation of its usual dot product. This provides a necessary condition for $P(p, q)$ to be positive integer surgery on a knot; namely, the

lattice $C(p, q)$, specified by the negative of the intersection pairing on $H_2(X)$, must embed as a codimension one sublattice of \mathbb{Z}^{n+2} . The key idea we use to sharpen this into a necessary and sufficient condition is the work of Greene [Gre13], which is built mainly on the use of the *correction terms* in Heegaard Floer homology in tandem with Donaldson's theorem. In order to state his theorem, we first require a combinatorial definition.

Definition 1.2. A vector $\sigma = (\sigma_0, \sigma_1, \dots, \sigma_{n+1}) \in \mathbb{Z}^{n+2}$ that satisfies $0 \leq \sigma_0 \leq \sigma_1 \leq \dots \leq \sigma_{n+1}$ is a *changemaker vector* if for every k , with $0 \leq k \leq \sigma_0 + \sigma_1 + \dots + \sigma_{n+1}$, there exists a subset $S \subset \{0, 1, \dots, n+1\}$ such that $k = \sum_{i \in S} \sigma_i$.

Using Lemma 2.6, the following is immediate from [Gre15, Theorem 3.3].

Theorem 1.3. *Suppose $P(p, q)$ with $q > p$ arises from positive integer surgery on a knot in S^3 . The lattice $C(p, q)$ is isomorphic to the orthogonal complement $(\sigma)^\perp$ of some changemaker vector $\sigma \in \mathbb{Z}^{n+2}$.*

By determining the pairs (p, q) which pass the embedding restriction of Theorem 1.3, we get the list of all prism manifolds $P(p, q)$ with $q > p$ that can possibly be realized by integer surgery on a knot in S^3 : again, see Table 1. We still need to verify that every manifold in our list is indeed realized by a knot surgery. In fact, this is the case.

Theorem 1.4. *Given a pair of relatively prime integers $p > 1$ and $q > p$, $C(p, q) \cong (\sigma)^\perp$ for a changemaker vector $\sigma \in \mathbb{Z}^{n+2}$ if and only if $P(p, q)$ belongs to one of the six families in Table 1. Moreover, in this case, there exist a knot $K \subset S^3$ with $S_{4q}^3(K) \cong P(p, q)$ and an isomorphism of lattices*

$$\varphi : (\mathbb{Z}^{n+2}, I) \rightarrow (H_2(Z), -Q_Z),$$

such that $\varphi(\sigma)$ is a generator of $H_2(-W_{4q})$. Here I denotes the standard inner product on \mathbb{Z}^{n+2} and Q_Z is the intersection form of $Z = X(p, q) \cup (-W_{4q})$.

Remark 1.5. Theorem 1.4, in particular, highlights that the families in Table 1 are divided so that each changemaker vector corresponds to a unique family. However, a prism manifold $P(p, q)$ may belong to more than one family in Table 1. We will address the overlaps between the families of Table 1 in Section 9: see Table 2.

Table 2 in [BHM⁺16] gives a conjecturally complete list of prism manifolds $P(p, q)$ with $q > 0$ that can be obtained by performing surgery on a knot in S^3 . Every manifold in [BHM⁺16, Table 2] is obtained by integral surgery on a Berge–Kang knot (see [BHM⁺16, Table 4] and [BK]). Theorem 1.1 proves [BHM⁺16, Conjecture 1.6] for the case $q > p$ since the manifolds in Table 1 coincide with those in [BHM⁺16, Table 2] with $q > p$. We leave open the realization problem for prism manifolds $P(p, q)$ with $0 < q < p$. We plan to address this case in a future paper.

1.1. Organization. Section 2 collects the topological background on prism manifolds, and also reviews the essentials needed to prove our main results. In Section 3, we study C-type lattices $C(p, q)$ that are central in the present work. To prove Theorem 1.4, we begin with a study of the changemaker lattices (Section 4), i.e. lattices of the form $(\sigma)^\perp \subset \mathbb{Z}^{n+2}$ for some changemaker vector $\sigma \in \mathbb{Z}^{n+2}$. We then study when a changemaker lattice, with a *standard*

basis, is isomorphic to a C-type lattice, with its distinguished *vertex basis*. The key to answering this combinatorial question is detecting the *irreducible elements* in either of the lattices. Indeed, the standard basis elements of a changemaker lattice are irreducible (Lemma 4.4), as are the vertex basis elements of a C-type lattice. Furthermore, the classification of the irreducible elements of C-type lattices is given in Proposition 3.2. We collect many structural results about these lattices in Sections 3 and 4.

We classify the changemaker C-type lattices based on how x_0 , the first element in the ordered basis of a C-type lattice, is written in terms of the standard orthonormal basis elements of \mathbb{Z}^{n+2} . Accordingly, Sections 5, 6, and 7 will enumerate the possible changemaker vectors whose orthogonal complements are C-type lattices. Section 8 tabulates the corresponding prism manifolds.

Finally, in Section 9, we address the overlaps between the families in Table 1. More precisely, we provide distinct knots corresponding to distinct changemakers that result in the same prism manifold. We then proceed with proving Theorems 1.1 and 1.4.

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2. PRELIMINARIES

For a pair of relatively prime integers $p > 1$ and q , the prism manifold $P(p, q)$ is a Seifert fibered space with a surgery description depicted in Figure 1A. It is shown in [BHM⁺16] that if $P(p, q)$ is obtained by surgery on a knot in S^3 , p must be odd.

An equivalent surgery description for $P(p, q)$ is depicted in Figure 1D. To get the coefficients a_i , write $\frac{2q-p}{q-p}$ in a Hirzebruch–Jung continued fraction

$$\frac{2q-p}{q-p} = a_1 - \frac{1}{a_2 - \frac{1}{\ddots - \frac{1}{a_n}}}. \quad (1)$$

From this point on in the paper, we assume that $q > p$. As a result, we have $a_1 \geq 3$ in Equation (1). Moreover, each $a_i \geq 2$.

Definition 2.1. The *C-type lattice* $C(p, q)$ has a basis

$$\{x_0, \dots, x_n\}, \quad (2)$$

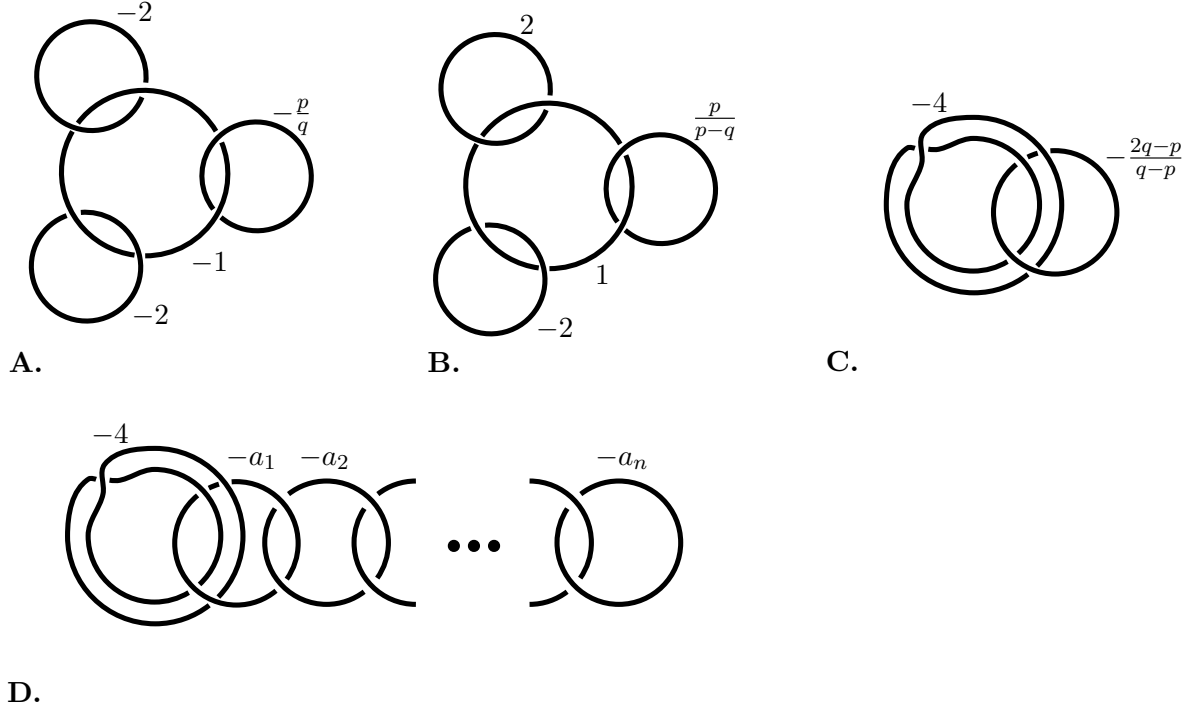


FIGURE 1. Surgery presentations of $P(p, q)$. A and B correspond to the two equivalent choices of Seifert invariants $(-1; (2, 1), (2, 1), (p, q))$ and $(1; (2, 1), (2, -1), (p, q - p))$. To go from B to C, blow down two 1-framed unknots in sequence: first blow down the middle unknot, changing the framing on the upper left unknot to 1, and then blow down the upper left unknot. Finally, to get to D, use slam-dunk moves to expand $\frac{2q-p}{q-p}$ in a continued fraction. The last link gives a negative-definite four-manifold if $q < 0$ or $q > p$.

and inner product given by

$$\langle x_i, x_j \rangle = \begin{cases} 4 & i = j = 0 \\ a_i & i = j > 0 \\ -2 & \{i, j\} = \{0, 1\} \\ -1 & |i - j| = 1, i > 0, j > 0 \\ 0 & |i - j| > 1, \end{cases}$$

where the coefficients a_i , for $i \in \{1, \dots, n\}$, are defined by the continued fraction (1). We call (2) the *vertex basis* of $C(p, q)$.

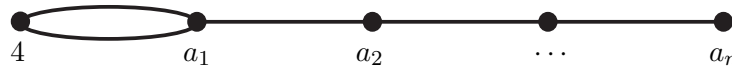


FIGURE 2. A C-type lattice $C(p, q)$ with $\frac{2q-p}{q-p} = [a_1, a_2, \dots, a_n]^-$. Note that $a_1 \geq 3$ when $q > p$.

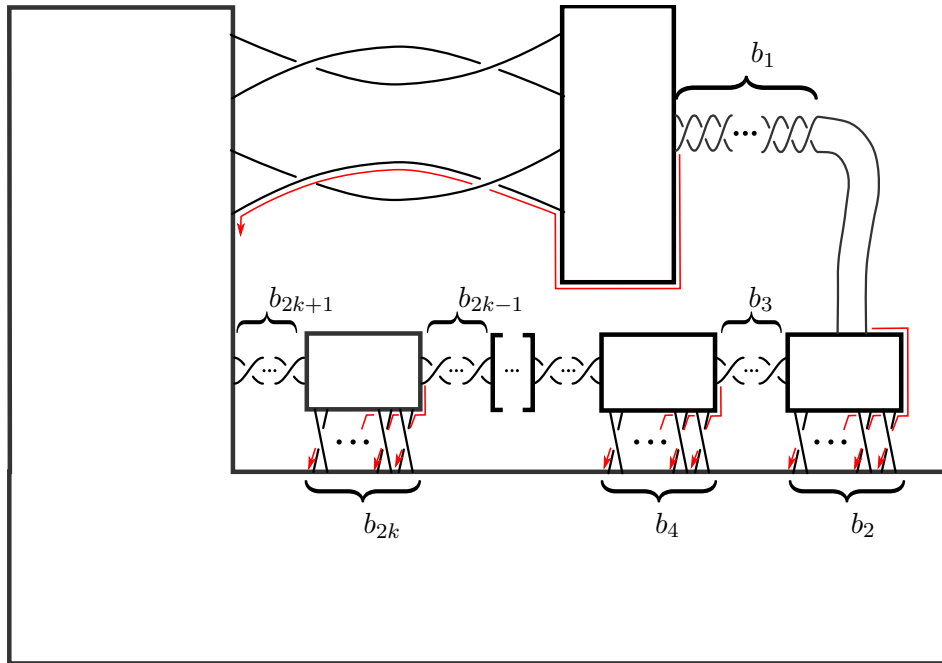


FIGURE 3. A handle decomposition of a surface embedded in S^3 . The boundary of this surface is an alternating Montesinos link whose branched double cover is $P(p, q)$, and the branched double cover of B^4 over this surface with its interior pushed into the interior of B^4 is $X(p, q)$. Sliding the 1-handles in this picture along the red arrows and then cancelling all but one of the 0-handles gives Figure 5. This surface depends on parameters b_1, \dots, b_m where m is either $2k + 1$ or $2k$; if $m = 2k$ omit the band labelled b_{2k+1} .

Let $X = X(p, q)$ be the four-manifold, bounded by $P(p, q)$, with a Kirby diagram as depicted in Figure 1D. The inner product space $(H_2(X), -Q_X)$ equals $C(p, q)$, where Q_X denotes the intersection pairing of X : see Figure 2. Note that $b_2(X) = n + 1$, where n is defined in (1).

Remark 2.2. When $q < 0$ in Equation (1), it follows that $a_1 = 2$ and $C(p, q)$ is indeed isomorphic to a D-type lattice [BHM⁺16, Definition 2.8]. The prism manifold realization problem is solved in this case [BHM⁺16].

2.1. The four-manifold $X(p, q)$ revisited. In this subsection, we present a different construction of the four-manifold $X(p, q)$ as the branched double cover of B^4 over a particular surface: see Figure 3. As a Seifert fibered rational homology sphere, the prism manifold



FIGURE 4. The coloring convention

$P(p, q)$ is the branched double cover of S^3 branched along a Montesinos link [Mon73]: choose b_1, \dots, b_n so that

$$\frac{p}{q-p} = b_1 + \frac{1}{b_2 + \frac{1}{\dots + \frac{1}{b_m}}} = [b_1, b_2, \dots, b_m]^+. \quad (3)$$

Since $q > p$, $\frac{p}{q-p} > 0$ and we can choose the b_i so that $b_1 \geq 0$ and $b_i > 0$ for $i > 1$. The boundary of the surface Σ drawn in Figure 3 is an alternating Montesinos link L , and Σ itself is the surface formed by the black regions in a checkerboard coloring of the alternating diagram. We point out that we are using the coloring convention as in Figure 4. The branched double cover of S^3 branched along L is $P(p, q)$. Let X_Σ be the branched double cover of B^4 over the surface Σ with its interior pushed into the interior of B^4 . With this notation in place:

Proposition 2.3. $X(p, q) \cong X_\Sigma$.

We first recall the following lemma that will be used in the proof of Proposition 2.3 and also in Section 8.

Lemma 2.4 (Lemma 9.5 (1) and (3) of [Gre13]). *For integers $r, s, t \geq 0$,*

1. $[\dots, r, 2^{[s]}, t, \dots]^- = [\dots, r-1, -(s+1), t-1, \dots]^-$, and
2. $[\dots, s, 2^{[t]}]^- = [\dots, s-1, -(t+1)]^-$,

where $2^{[a]}$ means that the entry 2 appears a times.

We now proceed to prove Proposition 2.3. In order to obtain a Kirby diagram of branched double covers, we closely follow the treatment of [AK80]; in particular, see [AK80, Figure 4].

Proof of Proposition 2.3. Figure 3 depicts a handle decomposition of the surface Σ whose branched double cover is X_Σ . By sliding the 1–handles along the red arrows in Figure 3 and then canceling all but only one of the 0–handles, we obtain the surface in Figure 5: a disc with several bands attached. The odd-numbered b_{2i+1} with $0 < i < \frac{m-1}{2}$ contribute bands with $b_{2i+1} + 2$ half-twists, b_1 contributes a band with $b_1 + 3$ half-twists, and b_m contributes a band with $b_m + 1$ half-twists when m is odd. The even-numbered b_{2i} contribute $b_{2i} - 1$ bands each, each with 2 half-twists. Therefore, the coefficients a_1, \dots, a_n of Figure 6 are

$$(a_1, \dots, a_n) = \begin{cases} (b_1 + 3, 2^{[b_2-1]}, b_3 + 2, 2^{[b_4-1]}, \dots, 2^{[b_{m-1}-1]}, b_m + 1) & m \text{ odd,} \\ (b_1 + 3, 2^{[b_2-1]}, b_3 + 2, 2^{[b_4-1]}, \dots, b_{m-1} + 2, 2^{[b_m-1]}) & m \text{ even.} \end{cases} \quad (4)$$

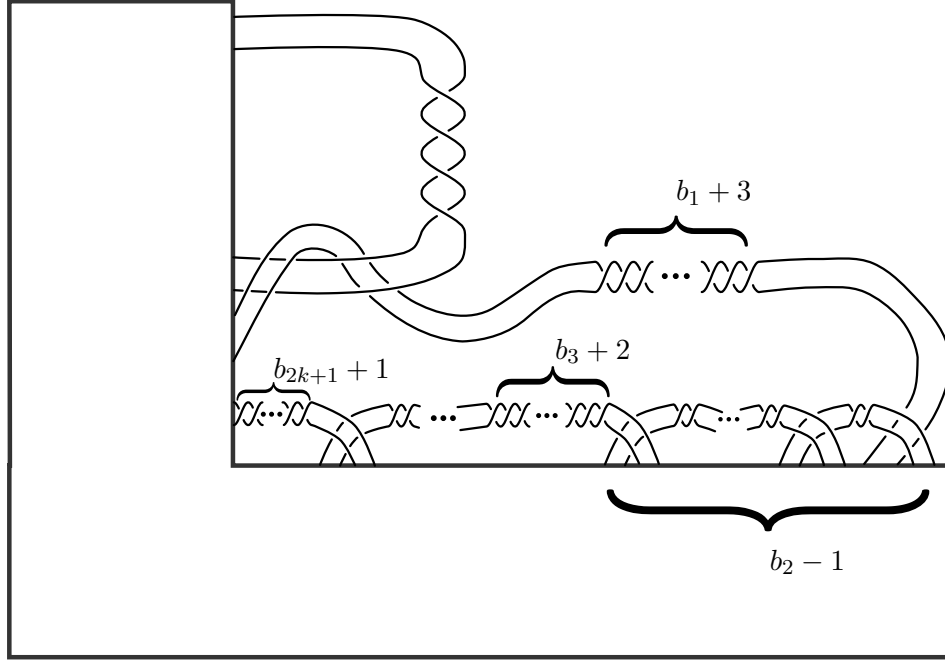


FIGURE 5. Another view of the surface shown in Figure 3. From this picture a Kirby diagram representing the branched double cover of B^4 over this surface (shown in Figure 6) can be read off using the methods of Figure 4 in [AK80]. As before, if m is even omit the band labelled b_{2k+1} .

Using Lemma 2.4,

$$\begin{aligned}
 [a_1, \dots, a_n]^- &= [b_1 + 2, -b_2, b_3, -b_4, \dots, \pm b_m]^- \\
 &= [b_1 + 2, b_2, \dots, b_m]^+ \\
 &= \frac{p}{q-p} + 2 \\
 &= \frac{2q-p}{q-p}.
 \end{aligned}$$

That is, the a_i in Equation (4) are the same as those of Equation (1). The branched double cover of B^4 branched over the surface in Figure 5 is depicted in Figure 6; comparing it with Figure 1D, the result follows. \square

2.2. Input from Heegaard Floer homology. We assume familiarity with Floer homology and only review the essential input here for completeness. See, for instance, [OS04a, OS04b]. In [OS03], Ozsváth and Szabó defined the correction term $d(Y, \mathfrak{t})$ that associates a rational number to an oriented rational homology sphere Y equipped with a Spin^c structure \mathfrak{t} . If Y is boundary of a negative definite four-manifold X , then

$$c_1(\mathfrak{s})^2 + b_2(X) \leq 4d(Y, \mathfrak{t}), \quad (5)$$

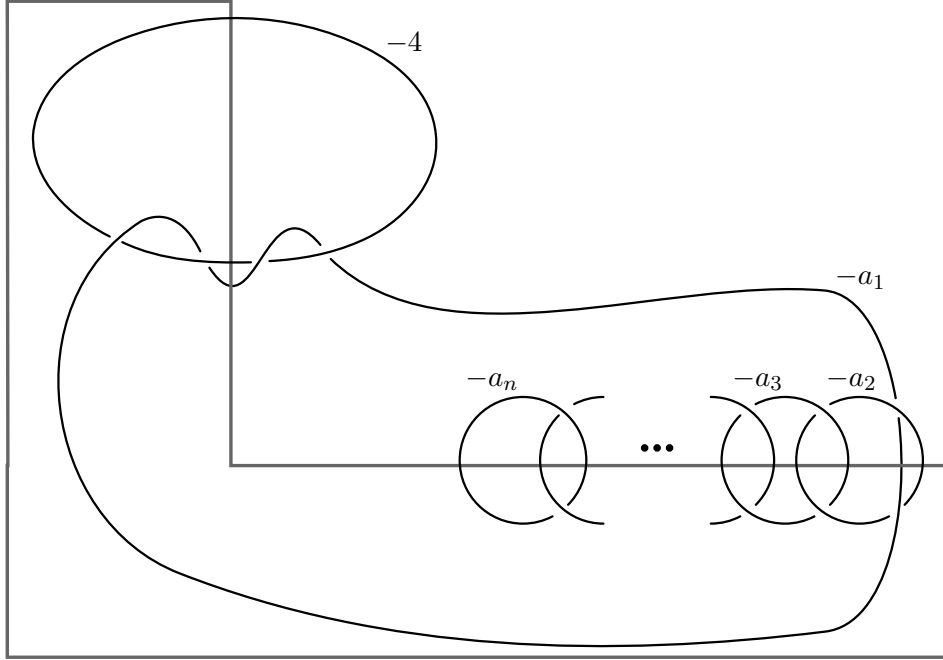


FIGURE 6. A Kirby diagram representing the branched double cover of the surface in Figure 3. This is the same as the diagram defining $X(p, q)$. The grey box is not part of the link, but is included only to show the relationship with Figure 5.

for any $\mathfrak{s} \in \text{Spin}^c(X)$ that extends $\mathfrak{t} \in \text{Spin}^c(Y)$.

Definition 2.5. A smooth, compact, negative definite four-manifold X is *sharp* if for every $\mathfrak{t} \in \text{Spin}^c(Y)$, there exists some $\mathfrak{s} \in \text{Spin}^c(X)$ extending \mathfrak{t} such that the equality is realized in Equation (5).

Using Proposition 2.3, the following is immediate from [OS05b, Theorem 3.4].

Lemma 2.6. $X(p, q)$ is a sharp four-manifold.

2.3. Alexander polynomials of knots on which surgery yield $P(p, q)$ with $q > p$. Using techniques that will be developed in the next sections in tandem with Theorem 1.3, we will find the classification of all C-type lattices $C(p, q)$ that are isomorphic to $(\sigma)^\perp$ for some changemaker vector σ in \mathbb{Z}^{n+2} . If the corresponding prism manifold $P(p, q)$ is indeed arising from surgery on a knot $K \subset S^3$, we are able to compute the Alexander polynomial of K from the values of the components of σ : let S be the closed surface obtained by capping off a Seifert surface for K in W_{4q} . It is straightforward to check that the class $[S]$ generates $H_2(W_{4q})$. It follows from Theorem 1.3 that, under the embedding $H_2(X) \oplus H_2(-W_{4q}) \hookrightarrow H_2(Z)$, the homology class $[S]$ gets mapped to a changemaker vector σ . Let $\{e_0, e_1, \dots, e_{n+1}\}$ be the

standard orthonormal basis for \mathbb{Z}^{n+2} , and write

$$\sigma = \sum_{i=0}^{n+1} \sigma_i e_i.$$

Also, define the *characteristic covectors* of \mathbb{Z}^{n+2} to be

$$\text{Char}(\mathbb{Z}^{n+2}) = \left\{ \sum_{i=0}^{n+1} \mathbf{c}_i e_i \mid \mathbf{c}_i \text{ odd for all } i \right\}.$$

We remind the reader that, writing the Alexander polynomial of K as

$$\Delta_K(T) = b_0 + \sum_{i>0} b_i (T^i + T^{-i}), \quad (6)$$

the k -th *torsion coefficient* of K is

$$t_k(K) = \sum_{j \geq 1} j b_{k+j},$$

where $k \geq 0$. The following lemma is immediate from [Gre15, Lemma 2.5].

Lemma 2.7. *The torsion coefficients satisfy*

$$t_i(K) = \begin{cases} \min_{\mathbf{c}} \frac{\mathbf{c}^2 - n - 2}{8}, & \text{for each } i \in \{0, 1, \dots, 2q\}, \\ 0, & \text{for } i > 2q. \end{cases}$$

where \mathbf{c} is subject to

$$\mathbf{c} \in \text{Char}(\mathbb{Z}^{n+2}), \quad \langle \mathbf{c}, \sigma \rangle + 4q \equiv 2i \pmod{8q}.$$

And for $i > 0$,

$$b_i = t_{i-1} - 2t_i + t_{i+1}, \quad \text{for } i > 0,$$

and

$$b_0 = 1 - 2 \sum_{i>0} b_i,$$

where the b_i are as in (6).

3. C-TYPE LATTICES

This section assembles facts about C-type lattices that will be used in the classification. We mainly use the notation of [Gre13, BHM⁺16]. Recall that we always assume $q > p$, so $a_1 \geq 3$; see Figure 2.

Let L be a lattice. Given $v \in L$, let $|v| = \langle v, v \rangle$ be the norm of v . An element $\ell \in L$ is *reducible* if $\ell = x + y$ for some nonzero $x, y \in L$, with $\langle x, y \rangle \geq 0$, and *irreducible* otherwise. An element $\ell \in L$ is *breakable* if $\ell = x + y$ with $|x|, |y| \geq 3$ and $\langle x, y \rangle = -1$, and *unbreakable* otherwise.

Among the irreducible elements of a lattice, intervals are the most convenient for us:

Definition 3.1. In a C-type lattice, if I is any subset of $\{x_0, x_1, \dots, x_n\}$ then write $[I] = \sum_{x \in I} x$. An *interval* is an element of the form $[I]$ with $I = \{x_a, x_{a+1}, \dots, x_b\}$ for $0 \leq a \leq b \leq n$. We say that a is the left endpoint of the interval, and b is the right endpoint of the interval. Say that $[I]$ contains x_i if I does.

Given the fact that $a_1 \geq 3$, the following is immediate from [Gre13, Proposition 3.3].

Proposition 3.2. *If $v \in C(p, q)$ is irreducible, $v = \epsilon[I]$ for some $\epsilon = \pm 1$ and $[I]$ an interval.*

Definition 3.3. Given a lattice L and a subset $V \subset L$, the *pairing graph* is $\hat{G}(V) = (V, E)$, where $e = (v_i, v_j) \in E$ if $\langle v_i, v_j \rangle \neq 0$.

Corollary 3.4. *The lattice $C(p, q)$ is indecomposable; that is, $C(p, q)$ is not the direct sum of two nontrivial lattices.*

Proof. Suppose that $C(p, q) \cong L_1 \oplus L_2$. Then each x_i , being irreducible, must be in either L_1 or L_2 . However, any element of L_1 has zero pairing with any element of L_2 . Since $\langle x_i, x_{i+1} \rangle \neq 0$, $\hat{G}(\{x_0, \dots, x_n\})$ is connected. This means that all of the x_i are in the same part of the decomposition, and the other is trivial. \square

In a C-type lattice, we have that $|\langle x_0, x_1 \rangle| = 2$. It turns out that the inner product of x_0 with any other element in the C-type lattice lives in $2\mathbb{Z}$. The following lemma is straightforward to prove.

Lemma 3.5. *For any $v \in C(p, q)$, $\langle x_0, v \rangle$ is even. In particular, the reflection $r_{x_0} : v \mapsto v - 2 \frac{\langle x_0, v \rangle}{\langle x_0, x_0 \rangle} x_0$ about x_0^\perp is an involution of $C(p, q)$.*

Definition 3.6. A vertex x_i has *high weight* if $i > 0$ and $|x_i| = a_i > 2$.

Proposition 3.7. *An element $\epsilon[I] \in C(p, q)$ with $\epsilon \in \{\pm 1\}$ is unbreakable if and only if $[I]$ contains at most one element of high weight.*

Proof. The conclusion is obvious when $I = \{x_0\}$. Now we assume $I \neq \{x_0\}$. If $[I]$ does not contain x_0 , this reduces to the analogous fact about linear lattices [Gre13, Corollary 3.5 (4)]. The reflection r_{x_0} exchanges intervals with left endpoint 0 and intervals with left endpoint 1, which reduces the case of intervals containing x_0 to the case of intervals not containing x_0 . \square

Definition 3.8. Consider the graph C on vertex set $\{x_0, \dots, x_n\}$ that has two edges between x_0 and x_1 and one edge between x_i and x_{i+1} for $0 < i < n$. Given two intervals $[I]$ and $[J]$, say that an edge of C is *dangling* if one of its ends is in I , the other is in J , and at least one of the ends is not in $I \cap J$. Write $\delta([I], [J])$ for the number of dangling edges.

Lemma 3.9. *For two intervals $[I], [J]$, $\langle [I], [J] \rangle = |[I \cap J]| - \delta([I], [J])$.*

Proof. Suppose $I = \{x_a, \dots, x_b\}$ and $J = \{x_c, \dots, x_d\}$. Then we can express

$$\langle [I], [J] \rangle = \sum_{i=a}^b \sum_{j=c}^d \langle x_i, x_j \rangle$$

Terms in this sum with $|i - j| > 1$ vanish. The remaining terms either have x_i and x_j in $I \cap J$, so occur as terms in the expansion of $|[I \cap J]|$, or have at least one of x_i or x_j not in $I \cap J$, so contribute to $\delta([I], [J])$. \square

We frequently use the following lemma, which is stated without proof.

Lemma 3.10. *Let $I \neq \{x_0\}$ be an interval. Then*

$$|[I]| = 2 + \sum_{x_i \in I \setminus \{x_0\}} (|x_i| - 2).$$

Given the structure of a C-type lattice, the following is immediate.

Lemma 3.11. *For any intervals I, J , $\delta([I], [J])$ is 0, 1, 2, or 3. If $\delta([I], [J]) = 3$, then $\langle x_0, [I] \rangle = -\langle x_0, [J] \rangle = \pm 2$.*

To more precisely describe the value $\delta([I], [J])$, it will be convenient to use some terminology from [Gre13]:

Definition 3.12. For two intervals $[I]$ and $[J]$ with left endpoints i_0, j_0 and right endpoints i_1, j_1 , say that $[I]$ and $[J]$ are *distant* if either $i_1 + 1 < j_0$ or $j_1 + 1 < i_0$, that $[I]$ and $[J]$ *share a common end* if $i_0 = j_0$ or $i_1 = j_1$, and that $[I]$ and $[J]$ are *consecutive* if $i_1 + 1 = j_0$ or $j_1 + 1 = i_0$. Write $[I] \prec [J]$ if $I \subset J$ and $[I]$ and $[J]$ share a common end, and $[I] \dagger [J]$ if they are consecutive. If $[I]$ and $[J]$ are either consecutive or share a common end, say that they *abut*. If $I \cap J$ is nonempty and $[I]$ and $[J]$ do not share a common end, write $[I] \pitchfork [J]$.

Remark 3.13. If $\langle [I], x_0 \rangle = \langle [J], x_0 \rangle$ or if either $\langle [I], x_0 \rangle$ or $\langle [J], x_0 \rangle$ is zero, then $\delta([I], [J])$ is 0 if $[I]$ and $[J]$ are distant, 1 if $[I]$ and $[J]$ abut, and 2 if $[I] \pitchfork [J]$. If $\langle [I], x_0 \rangle \neq \langle [J], x_0 \rangle$ and both are nonzero, $\delta([I], [J])$ is 2 if $[I]$ and $[J]$ abut, and 3 if $[I] \pitchfork [J]$. In the latter case, $[I]$ and $[J]$ are never distant.

We will also need to know which irreducible elements of $C(p, q)$ are breakable. In light of Proposition 3.2, we only need to study that for intervals.

Lemma 3.14 (Lemma 3.10 of [BHM⁺16]). *An interval $[A]$ is breakable if there are at least two high weight vertices.*

Definition 3.15. For an unbreakable interval $[I_j] \in C(p, q)$ with $|[I_j]| \geq 3$, let x_{z_j} be the unique element with $|x_{z_j}| \geq 3$.

We end this section by determining when two C-type lattices are isomorphic.

Proposition 3.16. *If $C(p, q) \cong C(p', q')$, then $p = p'$ and $q = q'$.*

Proof. If L is a lattice isomorphic to $C(p, q)$, then to recover p and q from L it suffices to recover the ordered sequence of norms $(|x_1|, |x_2|, \dots, |x_n|)$. To do this, we will first identify the elements of this sequence that are at least 3, and then fill in the 2's.

We claim that unless $(p, q) = (2, 3)$, there is a unique (up to sign) unbreakable irreducible element y such that $|y| = 4$ and $\langle y, v \rangle$ is even for all v in L , and $y = \pm x_0$. Let $I \neq \{x_0\}$

be any interval representing an unbreakable irreducible element with norm 4. Suppose $I = \{x_a, x_{a+1}, \dots, x_b\}$. If $a > 1$, then $\langle [I], x_{a-1} \rangle = -1$ is odd. If $b < n$, then $\langle [I], x_{b+1} \rangle = -1$ is odd. So we assume $a = 0$ or 1 , and $b = n$. If I contains at least two high weight vertices, then I is breakable. So x_1 is the only high weight vertex, and $4 = |[I]| = |x_1|$. If $n > 1$, then $\langle [I], x_b \rangle = 1$ is odd. So $n = 1$, $|x_1| = 4$. From (1) we get $(p, q) = (2, 3)$.

From now on, we assume $(p, q) \neq (2, 3)$. Let R be the sublattice of L generated by x_0 and all vectors of norm 2. Since L contains no vectors of norm 1, any vector of norm 2 in L is irreducible. By Lemma 3.10, then, R is generated by x_0 and the x_i with $|x_i| = 2$.

Now, let V_0 be the set of irreducible, unbreakable elements of $L \setminus \{\pm x_0\}$ with norm at least 3, and let V be the quotient of V_0 by the relation $v \sim u$ whenever either $v - u \in R$ or $v + u \in R$. Every element of V_0 corresponds to an interval containing a unique high-weight vertex, and $v \sim u$ if and only if these high-weight vertices are the same. Therefore, V consists of precisely the equivalence classes of the x_i with $|x_i| \geq 3$, $i > 0$, and if $v \in V_0$ with $v \sim x_i$ we have $|v| = |x_i|$.

Finally, let W be the set of indecomposable components of R , so each element of W corresponds to either x_0 or a run of 2's in the sequence of norms $(|x_1|, |x_2|, \dots, |x_n|)$. Let \mathcal{B} be the bipartite graph with vertex set $V \cup W$, and an edge between $v \in V$ and $w \in W$ if there is a representative $\tilde{v} \in L$ of v and an element $\tilde{w} \in W$ such that $\langle \tilde{v}, \tilde{w} \rangle = -1$, or w corresponds to x_0 and $\langle \tilde{v}, x_0 \rangle = -2$. Then v and w neighbor in \mathcal{B} if and only if the element x_i representing v is adjacent to x_0 or the run of 2's corresponding to w , so \mathcal{B} is in fact a path. Furthermore, there is a unique element $w_0 \in W$ that contains x_0 , and w_0 must be one of the ends of the path \mathcal{B} . We can now recover $(|x_1|, |x_2|, \dots, |x_n|)$ as follows: The vertex w_0 neighbors a unique element $v \in V$ in \mathcal{B} . The rest of the sequence is completed in the following way - as we travel down the path \mathcal{B} , when we encounter an element $w \in W$ we add $\text{rk } w$ -many 2's to the sequence, and when we encounter an element $v \in V$ we add $|\tilde{v}|$ to the sequence for \tilde{v} a representative of v . \square

4. CHANGEMAKER LATTICES

A lattice is called a *changemaker lattice* if it is isomorphic to the orthogonal complement of a changemaker vector. Whenever $P(p, q)$, with $q > p$, comes from positive integer surgery on a knot, $C(p, q)$ is isomorphic to a changemaker lattice $(\sigma)^\perp \subset \mathbb{Z}^{n+2}$. In this section, we will assemble some basic structural results about C-type lattices that are isomorphic to changemaker lattices.

Write $(e_0, e_1, \dots, e_{n+1})$ for the orthonormal basis of \mathbb{Z}^{n+2} , and write $\sigma = \sum_i \sigma_i e_i$. Since $C(p, q)$ is indecomposable (Corollary 3.4), $\sigma_0 \neq 0$, otherwise $(\sigma)^\perp$ would have a direct summand \mathbb{Z} . So $\sigma_0 = 1$.

We will need several results from [Gre13, Section 3] about changemaker lattices:

Definition 4.1. The *standard basis* of $(\sigma)^\perp$ is the collection $S = \{v_1, \dots, v_n\}$, where

$$v_j = \left(2e_0 + \sum_{i=1}^{j-1} e_i \right) - e_j$$

whenever $\sigma_j = 1 + \sigma_0 + \dots + \sigma_{j-1}$, and

$$v_j = \left(\sum_{i \in A} e_i \right) - e_j$$

whenever $\sigma_j = \sum_{i \in A} \sigma_i$, with $A \subset \{0, \dots, j-1\}$ chosen to maximize the quantity $\sum_{i \in A} 2^i$. A vector $v_j \in S$ is called *tight* in the first case, *just right* in the second case as long as $i < j-1$ and $i \in A$ implies that $i+1 \in A$, and *gappy* if there is some index i with $i \in A$, $i < j-1$, and $i+1 \notin A$. Such an index, i , is a *gappy index* for v_j .

The standard basis S is in fact a basis of $C(p, q)$.

Definition 4.2. For $v \in \mathbb{Z}^{n+2}$, $\text{supp } v = \{i \mid \langle e_i, v \rangle \neq 0\}$ and $\text{supp}^+ v = \{i \mid \langle e_i, v \rangle > 0\}$.

Lemma 4.3 (Lemma 3.12 (3) in [Gre13]). *If $|v_{k+1}| = 2$, then k is not a gappy index for any v_j with $j \in \{1, \dots, n+1\}$.*

Lemma 4.4 (Lemma 3.13 in [Gre13]). *Each $v_j \in S$ is irreducible.*

Lemma 4.5 (Lemma 3.15 in [Gre13]). *If $v_j \in S$ is breakable, then it is tight.*

Lemma 4.6 (Lemma 3.14 (2) (3) in [Gre13]). *Suppose that $v_t \in S$ is tight.*

(1) *If $v_j = e_t + e_{j-1} - e_j$, $j > t$, then $v_t + v_j$ is irreducible.*

(2) *If $v_{t+1} = e_0 + e_1 + \dots + e_t - e_{t+1}$, then $v_{t+1} - v_t$ is irreducible.*

Lemma 4.7 (Lemma 4.9 in [BHM⁺16]). *For any $v_j \in S$, we have $j-1 \in \text{supp } v_j$.*

For the rest of this section, suppose $\sigma = (\sigma_0, \sigma_1, \dots, \sigma_{n+1}) \in \mathbb{Z}^{n+2}$ is a changemaker vector such that $(\sigma)^\perp$ is isomorphic to a C-type lattice $C(p, q)$ with $q > p$. Also, let x_0, \dots, x_n be the vertex basis of $C(p, q)$, and let $S = (v_1, \dots, v_{n+1})$ be the standard basis of $(\sigma)^\perp$. Each v_i is an irreducible element in a C-type lattice (Lemma 4.4), so corresponds to some interval (Proposition 3.2). By a slight abuse of notation, denote $[v_i]$ for the interval corresponding to v_i . Let $\epsilon_i \in \{\pm 1\}$ satisfy $v_i = \epsilon_i [v_i]$.

The C-type lattice $C(p, q)$ contains an element x_0 with $|x_0| = 4$, and any vector of norm 4 in \mathbb{Z}^{n+2} is of the form either $\pm 2e_k$ or $\pm e_{k_0} \pm e_{k_1} \pm e_{k_2} \pm e_{k_3}$ for distinct indices k_i . Vectors of the first form cannot be in $(\sigma)^\perp$ since $\sigma_0 \neq 0$, so x_0 must be of the second form. In fact, we can say a little bit more about how x_0 can be written in terms of the e_i . We start by the following lemma.

Lemma 4.8. *There is no element $v \in C(p, q)$ with $\langle v, x_0 \rangle \neq 0$ and $|v| = 2$.*

Proof. Since $C(p, q)$ is indecomposable, it contains no x with $|x| = 1$ (such an x would generate a \mathbb{Z} -summand of $C(p, q)$). Therefore, if $v \in C(p, q)$ with $|v| = 2$, it must be irreducible, so $v = \pm [I]$ for $[I]$ an interval. By Lemma 3.10, $[I]$ contains only x_0 or elements of norm 2. In

particular, $[I]$ does not contain x_1 , since $a_1 \geq 3$. This means that $[I]$ also cannot contain x_0 , since then $[I] = x_0$ and $|v| = 4$. Therefore, $\langle [I], x_0 \rangle = 0$, and so $\langle v, x_0 \rangle = 0$. \square

Proposition 4.9. *For some indices $k_1 < k_2 < k_3$, x_0 is equal to one of $e_0 + e_{k_1} + e_{k_2} - e_{k_3}$ or $e_0 - e_{k_1} - e_{k_2} + e_{k_3}$, possibly after a global sign change in the isomorphism between $(\sigma)^\perp$ and $C(p, q)$.*

Proof. Since $|x_0| = 4$ and $x_0 \in (\sigma)^\perp$,

$$x_0 = \delta_0 e_{k_0} + \delta_1 e_{k_1} + \delta_2 e_{k_2} + \delta_3 e_{k_3}$$

for indices $k_0 < k_1 < k_2 < k_3$ and signs δ_i such that $\sum_i \delta_i \sigma_i = 0$. By a global sign change, we might as well assume that $\delta_0 = 1$. If $k_0 > 0$, $\langle x_0, v_{k_0} \rangle = -1$ is odd, violating Lemma 3.5. So $k_0 = 0$.

We claim that if $\sigma_{k_i} = \sigma_{k_j}$, then $\delta_i = \delta_j$. Otherwise $v = \delta_i e_{k_i} + \delta_j e_{k_j}$ would be in $(\sigma)^\perp$ with $|v| = 2$ and $\langle v, x_0 \rangle = 2$, which contradicts Lemma 4.8. Therefore, if $\delta_1 = -1$ then $\sigma_1 > \sigma_0$, and so $\delta_0 \sigma_0 + \delta_1 \sigma_1 < 0$. Therefore, $\delta_2 \sigma_2 + \delta_3 \sigma_3 > 0$. Since $\sigma_2 \leq \sigma_3$, this means that $\delta_3 = 1$, and then $\delta_2 = -1$ since $\sigma_1 < \sigma_0 + \sigma_2 + \sigma_3$. In the other case, if $\delta_1 = 1$ then $\delta_0 \sigma_0 + \delta_1 \sigma_1 > 0$, so $\delta_2 \sigma_2 + \delta_3 \sigma_3 < 0$ and $\delta_3 = -1$. If also $\delta_2 = -1$, then

$$\sigma_0 + \sigma_1 = \sigma_2 + \sigma_3.$$

Since $\sigma_0 \leq \sigma_1 \leq \sigma_2 \leq \sigma_3$, this can only happen if all of the σ_i are equal, again contradicting the fact that if $\sigma_i = \sigma_j$ we must have $\delta_i = \delta_j$. \square

Corollary 4.10. *The vector v_1 is equal to $2e_0 - e_1$ if $k_1 > 1$, and $e_0 - e_1$ otherwise. If $x_0 = e_0 - e_{k_1} - e_{k_2} + e_{k_3}$, the first of these occurs.*

Proof. Note that v_1 is always either $e_0 - e_1$ or $2e_0 - e_1$. Using Lemma 3.5, the first statement of the lemma follows. For the second statement, if $k_1 = 1$ and $v_1 = e_1 - e_0$, then if $x_0 = e_0 - e_{k_1} - e_{k_2} + e_{k_3}$ we have that $\langle v_1, x_0 \rangle = 2$ and $|v_1| = 2$, contradicting Lemma 4.8. \square

Lemma 4.11. *If $k_1 > 1$, v_1 is the only tight vector. If $k_1 = 1$, v_{k_2} can be tight but there is no other tight vector.*

Proof. We claim that if v_t is tight, then either $t < k_1$ or $t = k_2$. Using Lemma 3.5, we must have that either $k_2 \leq t < k_3$ or $t < k_1$ as otherwise v_t will have odd pairing with x_0 . If $k_2 < t < k_3$, then

$$\sigma_t = 1 + \sigma_0 + \sigma_1 + \cdots + \sigma_{t-1} \geq 1 + \sigma_0 + \sigma_{k_1} + \sigma_{k_2}.$$

However, by Proposition 4.9, the fact that $\langle x_0, \sigma \rangle = 0$ implies that

$$\sigma_{k_3} = \sigma_{k_2} + \sigma_{k_1} \pm \sigma_0 \leq \sigma_{k_2} + \sigma_{k_1} + \sigma_0 < \sigma_t,$$

contradicting the fact that $t < k_3$. The claim follows.

If $k_1 = 1$, it is only possible that $t = k_2$, so the second statement of the lemma follows. Suppose now that $k_1 > 1$. We have that $v_1 = 2e_0 - e_1$ by Corollary 4.10. So if v_t is tight with $t > 1$, we get that $\langle v_1, v_t \rangle = 3$ and $|v_t| > |v_1| = 5$. Also, since either $t < k_1$ or $t = k_2$,

$\langle v_t, x_0 \rangle = \langle v_1, x_0 \rangle = 2$. Therefore, either $\epsilon_1 = -1$ and $[v_1]$ has left endpoint 1, or $\epsilon_1 = 1$ and $[v_1]$ has left endpoint 0, and the same holds for ϵ_t and $[v_t]$. By Lemma 3.9,

$$3 = \langle v_1, v_t \rangle = \epsilon_1 \epsilon_t (|[v_1 \cap v_t]| - \delta([v_1], [v_t])),$$

$|[v_1 \cap v_t]| \geq 2$ and $\delta([v_1], [v_t]) \leq 3$, so if $\epsilon_1 \neq \epsilon_t$, the right hand side of this equation is at most 1. Therefore, $\epsilon_1 = \epsilon_t$, and the left endpoints of $[v_1]$ and $[v_t]$ are equal. Since $|v_t| > |v_1|$, the right endpoint of $[v_t]$ is to the right of the right endpoint of $[v_1]$. This means that $\delta([v_1], [v_t]) = 1$ and $v_1 \cap v_t = v_1$, so

$$\langle v_1, v_t \rangle = \epsilon_1 \epsilon_t (|[v_1 \cap v_t]| - \delta([v_1], [v_t])) = |[v_1]| - 1 = 4 \neq 3.$$

Therefore, v_1 is the only tight vector. \square

Lemma 4.12. *For $j \neq k_3$, $\langle v_j, x_0 \rangle \geq 0$.*

Proof. Using Proposition 4.9, either $x_0 = e_0 + e_{k_1} + e_{k_2} - e_{k_3}$ or $x_0 = e_0 - e_{k_1} - e_{k_2} + e_{k_3}$. If $x_0 = e_0 + e_{k_1} + e_{k_2} - e_{k_3}$, it would only be possible to have $\langle v_j, x_0 \rangle < 0$ for $j = k_1$ or $j = k_2$. However, in these cases one has $\langle v_j, x_0 \rangle \geq -1$, and since $\langle v_j, x_0 \rangle$ is even, it follows that $\langle v_j, x_0 \rangle \geq 0$. If $x_0 = e_0 - e_{k_1} - e_{k_2} + e_{k_3}$, then $\langle v_j, x_0 \rangle$ is always at least -3 , since $\langle v_j, e_0 \rangle \geq 0$. Therefore, since it is even, $\langle v_j, x_0 \rangle \geq -2$. Given that $j \neq k_3$, the only possible way to have $\langle v_j, x_0 \rangle = -2$ is that $k_1, k_2 \in \text{supp}^+(v_j)$, and $0, k_3 \notin \text{supp}^+(v_j)$. Observe that this cannot happen since then $v_j + x_0$ is still of the form $-e_j + \sum_{i \in A'} e_i$ for some $A' \subset \{0, \dots, j-1\}$, but A' is lexicographically after $\text{supp}^+ v_j$, contradicting the maximality criterion in Definition 4.1. \square

Lemma 4.13. *If v_i and v_j are two unbreakable standard basis vectors with $i, j \neq k_3$, then it cannot be the case that $[v_i]$ contains x_0 and $[v_j]$ contains x_1 but not x_0 . In particular, $\delta([v_i], [v_j]) \leq 2$.*

Proof. Assume the contrary. Since $i, j \neq k_3$, and $k_3 = \max \text{supp}(x_0)$, neither v_i nor v_j is equal to $\pm x_0$, and by Lemma 4.12, $\langle v_i, x_0 \rangle$ and $\langle v_j, x_0 \rangle$ are both nonnegative. Therefore, $\langle v_i, x_0 \rangle = \langle v_j, x_0 \rangle = 2$. Since x_0 is contained in $[v_i]$, the left endpoint of $[v_i]$ is 0 and $\epsilon_i = 1$. Similarly, $[v_j]$ has left endpoint 1 and $\epsilon_j = -1$. Therefore, $\delta([v_i], [v_j])$ is either 2 or 3, and since v_i and v_j are unbreakable and $a_1 \geq 3$, $z_i = z_j = 1$ and $|[v_i \cap v_j]| = |v_i| = |v_j| = a_1$. This means that

$$\langle v_i, v_j \rangle = \epsilon_i \epsilon_j (|[v_i \cap v_j]| - \delta([v_i], [v_j])) = -|v_i| + \delta([v_i], [v_j]) = -|v_j| + \delta([v_i], [v_j]) \quad (7)$$

Since v_i and v_j are standard basis vectors, $\langle v_i, v_j \rangle \geq -1$. Since $|v_i| \geq 3$ and $\delta([v_i], [v_j])$ is either 2 or 3, $|v_i|$ is either 3 or 4. That is, using Equation (7), $\langle v_i, v_j \rangle$ is equal to -1 if $|v_i| = 4$ and either 0 or -1 if $|v_i| = 3$. In particular,

$$\langle v_i, v_j \rangle \leq 0. \quad (8)$$

Using Proposition 4.9, suppose first that $x_0 = e_0 + e_{k_1} + e_{k_2} - e_{k_3}$. Then since $\langle v_i, x_0 \rangle = \langle v_j, x_0 \rangle = 2$ and $i, j \neq k_3$, each of $\text{supp}^+(v_i)$ and $\text{supp}^+(v_j)$ contain at least two of $0, k_1$, and k_2 , and $i, j \notin \{k_1, k_2\}$. In particular, $\text{supp}^+(v_i)$ and $\text{supp}^+(v_j)$ intersect, so $\langle v_i, v_j \rangle \geq 0$. Therefore, using Equation (7) and the earlier discussion, we must have $|v_i| = |v_j| = 3$, so $\text{supp}^+(v_i)$ and $\text{supp}^+(v_j)$ in fact contain no elements outside of $\{0, k_1, k_2\}$. In particular,

$\text{supp}^+(v_i)$ does not contain j , and vice versa, $\text{supp}^+(v_j)$ does not contain i . Therefore, we get that $\langle v_i, v_j \rangle \geq 1$ which is a contradiction to (8).

If now $x_0 = e_0 - e_{k_1} - e_{k_2} + e_{k_3}$, then since $\langle v_i, x_0 \rangle = 2$ and $i \neq k_3$, there are two cases: Case 1 is that $\text{supp}^+(v_i)$ contains 0 and k_3 but not k_1 and k_2 , and Case 2 is that $i = k_2$ or k_1 , $\text{supp}^+(v_i)$ contains 0, and (if $i = k_2$), $\text{supp}^+(v_i)$ does not contain k_1 . The same holds for v_j . If one of v_i and v_j is in Case 1, then $\langle v_i, v_j \rangle \geq 1$, a contradiction to (8). If both v_i and v_j are in Case 2, we may assume $i = k_1$ and $j = k_2$, and we still have $\langle v_i, v_j \rangle \geq 1$, a contradiction. \square

Corollary 4.14. *If v_i and v_j are two unbreakable standard basis vectors with $i \neq j$ and $i, j \neq k_3$, then $|\langle v_i, v_j \rangle| \leq 1$, with equality if only if $[v_i]$ abuts $[v_j]$.*

Proof. If neither $[v_i]$ nor $[v_j]$ contains x_0 , then both v_i and v_j are contained in a linear sublattice of $C(p, q)$ and this reduces to [Gre13, Lemma 4.4]. Similarly, if one of $[v_i]$ or $[v_j]$ contains x_0 and the other contains neither x_0 nor x_1 , or if both $[v_i]$ and $[v_j]$ contain x_0 , then reflecting both v_i and v_j about x_0^\perp puts both of them in a linear sublattice of $C(p, q)$. Using Lemma 4.13, these are the only possibilities. \square

Corollary 4.15. *If v_i and v_j are unbreakable with $|v_i|, |v_j| \geq 3$, $i \neq j$ and $i, j \neq k_3$, then $z_i \neq z_j$, where z_i and z_j are defined in Definition 3.15.*

Proof. Suppose for contradiction $x_{z_i} = x_{z_j}$. By Lemma 4.13, $\delta([v_i], [v_j]) \leq 2$. Therefore, using Lemmas 3.9 and 3.10,

$$\langle [v_i], [v_j] \rangle = |[v_i \cap v_j]| - \delta([v_i], [v_j]) = |x_{z_i}| - \delta([v_i], [v_j]) \geq 3 - 2 = 1, \quad (9)$$

By Corollary 4.14, $\langle [v_i], [v_j] \rangle = 1$ and $[v_i]$ abuts $[v_j]$. We would then have $\delta = 1$, so the equality in (9) cannot be attained, a contradiction. \square

Corollary 4.16. *There is at most one $j \neq k_3$ for which v_j is unbreakable and $\langle v_j, x_0 \rangle$ is nonzero.*

Proof. Since $a_1 \geq 3$, if there exists an unbreakable standard basis element v_j for which $\langle v_j, x_0 \rangle \neq 0$, $j \neq k_3$, then $x_{z_j} = x_1$. It follows from Corollary 4.15 that there exists at most one such j . \square

Since the pairings of v_{k_3} with other standard basis vectors are difficult to control, and since Corollary 4.16 gives good control on the pairings between x_0 and the other standard basis vectors, it will be easier in what follows if we replace S with the modified basis

$$S' = (S \setminus \{v_{k_3}\}) \cup \{x_0\}. \quad (10)$$

The set S' is still a basis of $(\sigma)^\perp$ because $\langle x_0, e_{k_3} \rangle = \pm 1$ but $\langle x_0, e_j \rangle = 0$ for $j > k_3$, so if we write x_0 as a linear combination of elements of S , the coefficient of v_{k_3} will be ± 1 .

Using Lemmas 4.14 and 4.16, we can relate the pairings between elements of S' very closely to the geometry of the intervals. It will be convenient to use two graphs associated to a C-type lattice. Recall that the pairing graph $\hat{G}(V)$ for a subset V of a lattice L has vertex set V and an edge (v_i, v_j) whenever $\langle v_i, v_j \rangle \neq 0$ (Definition 3.3).

Definition 4.17. If T is a set of irreducible vectors in a C-type lattice $C(p, q)$, the *intersection graph* $G(T)$ has vertex set T , and an edge between v and w if the intervals corresponding to v and w abut. We write $v \sim w$ if v and w are connected in $G(T)$.

Lemma 4.18. *If the intervals corresponding to v and w abut, then $\langle v, w \rangle \neq 0$.*

Proof. If one of v, w is x_0 , $\langle v, w \rangle = \pm 2 \neq 0$. If none of v, w is x_0 , then $\delta([v], [w]) = 1$, our conclusion follows from Lemma 3.9. \square

The following is immediate from Corollary 4.14 and Lemma 4.18:

Proposition 4.19. *For $T \subset S'$, $G(T)$ is obtained from $\hat{G}(T)$ by removing some edges incident to breakable vectors.*

In particular, if we write \bar{S}' for the set of unbreakable elements of S' , $G(\bar{S}') = \hat{G}(\bar{S}')$. The main use we have for this result is the following structural facts about the intersection graph.

Definition 4.20. A *claw* in a graph G is a quadruple (v, w_1, w_2, w_3) of vertices such that v neighbors all the w_i , but no two of the w_i neighbor each other.

Lemma 4.21 (Lemma 4.8 of [Gre13]). *The intersection graph $G(T)$ has no claws.*

Definition 4.22. Given a set T of unbreakable elements in a C-type lattice and $v_1, v_2, v_3 \in T$, (v_1, v_2, v_3) is a *heavy triple* if $|v_i| \geq 3$ and $v_i \neq \pm x_0$ for each i , and if each pair among the v_i is connected by a path in $G(T)$ disjoint from the third.

Lemma 4.23 (Based on Lemma 4.10 of [Gre13]). *$G(\bar{S}')$ has no heavy triples.*

Proof. If v_i, v_j , and v_k are unbreakable and have norm at least 3, and none of them is $\pm x_0$, then by Corollary 4.15 we might as well assume $z_i < z_j < z_k$. Then any path from v_i to v_k in $G(\bar{S}')$ includes some $v_\ell \in \bar{S}'$ such that $[v_\ell]$ contains x_{z_j} , where \bar{S}' is defined in (10). But then $\ell = j$, so (v_i, v_j, v_k) is not heavy. \square

The proof of the following lemma is identical to [Gre13, Lemma 3.8].

Lemma 4.24. *If the elements of T are linearly independent, any cycle in $G(T)$ induces a complete subgraph.*

Corollary 4.25 (Based on Lemma 4.11 of [Gre13]). *Any cycle in $G(\bar{S}')$ has length three.*

Proof. By Corollary 4.16, any cycle in $G(\bar{S}')$ does not contain x_0 . Using Lemma 4.24, the cycle will contain at most two vertices of norm > 2 to avoid producing a heavy triple. (See Definition 3.6.) If it had two vertices of norm 2, using Lemma 4.24, they would have nonzero inner product, so must be of the form $v_i = e_{i-1} - e_i$ and $v_{i+1} = e_i - e_{i+1}$ for some i . But for any other j ($j \neq i, i+1$), Lemma 4.3 implies that $\text{supp}(v_j) \cap \{i-1, i, i+1\}$ is one of \emptyset , $\{i+1\}$, $\{i, i+1\}$, or $\{i-1, i, i+1\}$. In none of these cases does v_j have nonzero inner product with both v_i and v_{i+1} , a criterion that must be fulfilled by Lemma 4.24. That is, any cycle in $G(\bar{S}')$ must be of length three. \square

Lemma 4.26. *Let $m < N$ be two positive integers satisfying $k_3 \notin [m, N]$. Suppose that v_m is unbreakable and it neighbors either x_0 or some unbreakable v_j with $j < m$. Suppose that for any index i satisfying $m < i \leq N$, we have $\min \text{supp}(v_i) \geq m$, and v_i is unbreakable. Then $|v_i| = 2$ for any i satisfying $m < i \leq N$.*

Proof. When $i = m + 1$, we clearly have $|v_i| = 2$. Now assume $|v_i| = 2$ for any i satisfying $m < i < l \leq N$, we want to prove $|v_l| = 2$. Let $t = \min \text{supp}(v_l) \geq m$, then v_l is just right by Lemmas 4.3 and 4.7. If $m < t < l - 1$, we would have a claw $(v_t, v_l, v_{t-1}, v_{t+1})$. If $t = m$ and v_m neighbors x_0 , we would have a claw (v_m, v_l, x_0, v_{m+1}) by Corollary 4.16. If $t = m$ and v_m neighbors an unbreakable v_j with $j < m$, we would have a claw (v_m, v_l, v_j, v_{m+1}) . So $t = l - 1$ and $|v_l| = 2$. \square

5. $k_1 = 1, k_2 > 2$

In this section we consider, in the notation of Proposition 4.9, the case where $k_1 = 1$ and $k_2 > 2$. Using Corollary 4.10, one has

$$x_0 = e_0 + e_1 + e_{k_2} - e_{k_3}, \quad (11)$$

where $k_2 > 2$. Also, we have that $v_1 = e_0 - e_1$. So

$$\sigma_0 = \sigma_1 = 1. \quad (12)$$

By Lemmas 4.5 and 4.11, the only possible breakable vector is v_{k_2} . In what follows we classify all changemaker vectors whose orthogonal complements are isomorphic to C-type lattices with x_0 as given in (11) and $k_2 > 2$. We start by determining the first $k_3 + 1$ components of such changemaker vectors.

Proposition 5.1. *If $k_1 = 1$ and $k_2 > 2$, the initial segment $(\sigma_0, \sigma_1, \dots, \sigma_{k_3})$ of σ is equal to $(1, 1, 2^{[s]}, \sigma_{k_2}, \sigma_{k_2} + 2)$ for some $s > 0$.*

Proof. We start by observing that, using Lemma 3.5, we must have $v_2 = e_0 + e_1 - e_2$. So $\sigma_2 = 2$. By Corollary 4.16, $\min \text{supp}(v_i) \geq 2$ for all $2 < i < k_2$. It follows from Lemma 4.26 that $|v_i| = 2$ for all $2 < i < k_2$. So $\sigma_i = 2$ for $2 \leq i < k_2$. Now, using (11) and (12) together with the fact that $\langle \sigma, x_0 \rangle = 0$, we get that $\sigma_{k_3} = \sigma_{k_2} + 2$. We claim that $k_3 = k_2 + 1$. Suppose for contradiction that $k_3 \neq k_2 + 1$. The component σ_{k_2+1} must be between σ_{k_2} and $\sigma_{k_2} + 2 = \sigma_{k_3}$. If σ_{k_2+1} is equal to either σ_{k_2} or σ_{k_3} , there will be an element $v \in (\sigma)^\perp$ with $\langle v, x_0 \rangle = 1$, contradicting Lemma 3.5. If $\sigma_{k_2+1} = \sigma_{k_2} + 1$, then $v_{k_2+1} = e_1 + e_{k_2} - e_{k_2+1}$. But then $\langle v_{k_2+1}, x_0 \rangle = 2 \neq 0$, contradicting Corollary 4.16 since $\langle v_2, x_0 \rangle = 2$. This finishes the proof. \square

Corollary 5.2. *In the situation of Proposition 5.1, the component σ_{k_2} of the changemaker vector is one of $2s - 1$, $2s + 1$, or $2s + 3$. These correspond to v_{k_2} being gappy, just right, or tight, respectively.*

Proof. If v_{k_2} is tight, the third of these possibilities occurs. If not, using Corollary 4.16, we get that $\langle v_{k_2}, x_0 \rangle = 0$. (Note that $\langle v_2, x_0 \rangle = 2$.) So $1 \in \text{supp}^+(v_{k_2})$ and $0 \notin \text{supp}^+(v_{k_2})$. Since

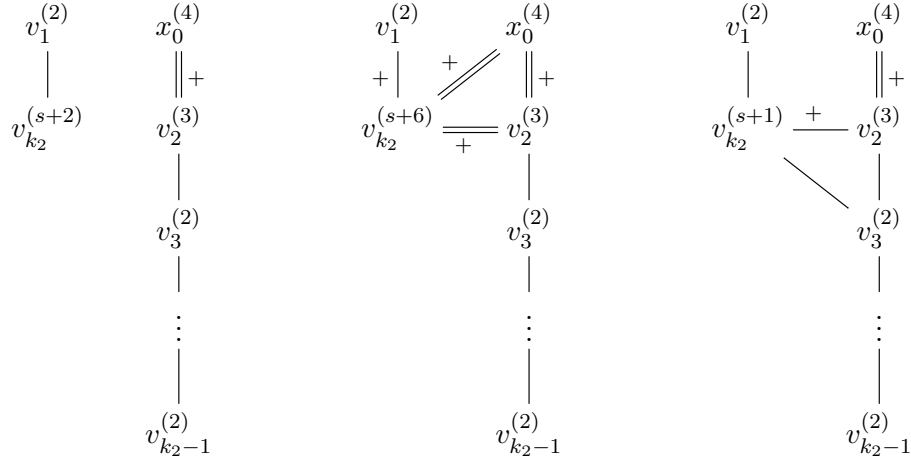


FIGURE 7. Pairing graphs of the standard basis when v_{k_2} is just right (left), tight (center), and gappy (right). Superscripts give the norm of the basis vector, the number of edges gives the absolute value of the inner product, and an edge is labelled with + if the inner product is positive.

$|v_j| = 2$ for $2 < j < k_2$, Lemma 4.3 implies that the only possible gappy index for v_{k_2} is 1, so

$$v_{k_2} = e_1 + e_j + e_{j+1} + \cdots + e_{k_2-1} - e_{k_2},$$

for some $1 < j < k_2$. If $j > 3$, the pairing graph will have a cycle on v_2, \dots, v_j, v_{k_2} of length larger than 3, contradicting Corollary 4.25. In particular, if 1 is indeed a gappy index for v_{k_2} , then $j = 3$, and $\sigma_{k_2} = 2s - 1$. Otherwise one has $j = 2$, and therefore $\sigma_{k_2} = 2s + 1$. \square

It turns out that the classification will highly depend on the type of the vector v_{k_2} : whether it is tight, just right, or gappy. For $j > k_3$, let

$$S_j = \text{supp}(v_j) \cap \{0, 1, \dots, k_3\}, \quad (13)$$

and let

$$S'_j = \text{supp}(v_j) \cap \{0, 1, k_2, k_3\}. \quad (14)$$

Given that $\langle v_2, x_0 \rangle = 2$ and, using Corollary 4.16, we must have $\langle v_j, x_0 \rangle = 0$, and that S'_j is one of \emptyset , $\{1, k_3\}$, or $\{k_2, k_3\}$ by Lemma 4.3. Figure 7 depicts the pairing graphs of the possible changemaker C-type lattices on their first k_3 vectors of the basis S' , defined in (10), depending on the type of v_{k_2} . With a slight abuse of notation, we often use v_{k_3} in place of x_0 .

With the notation of this section in place:

Lemma 5.3. *If $S'_j = \emptyset$, S_j is either \emptyset or $\{k_2 - 1\}$. In the second case, v_{k_2} is not gappy.*

Proof. Set $i = \min S_j$. Suppose for contradiction that S_j is nonempty and $i < k_2 - 1$. If $i > 2$, then there will be a claw on $v_i, v_{i+1}, v_{i-1}, v_j$. If $i = 2$ there will be a claw (v_2, v_3, x_0, v_j) . Therefore, $i = k_2 - 1$, and so the first statement follows. If $S_j = \{k_2 - 1\}$, then $\langle v_j, v_{k_2-1} \rangle = -1$,

$\langle v_j, v_{k_2} \rangle = 1$, and $\langle v_j, v_i \rangle = 0$ for all other $i \leq k_3$, so if v_{k_2} is gappy there is a claw (v_{k_2}, v_1, v_2, v_j) (see Figure 7). \square

Lemma 5.4. *If $S'_j = \{k_2, k_3\}$, S_j is either $\{k_2, k_3\}$ or $\{k_2 - 1, k_2, k_3\}$. In either case, v_{k_2} is not gappy.*

Proof. Again, set $i = \min S_j$. If $i < k_2 - 1$, there will be a claw on either $v_i, v_{i+1}, v_{i-1}, v_j$ or v_2, v_3, x_0, v_j , depending on whether $i > 2$ or $i = 2$. So the first statement follows. Corresponding to the two possibilities for S_j , the vector v_j will have nonzero inner product with either v_{k_2} or v_{k_2-1} , but no other v_i with $i \leq k_3$. If v_{k_2} is gappy, this creates a claw (v_{k_2}, v_1, v_2, v_j) in the first case, and a heavy triple (v_2, v_{k_2}, v_j) in the second: again, see Figure 7. \square

Lemma 5.5. *If $S'_j = \{1, k_3\}$, either S_j is one of $\{1, 2, 3, \dots, k_2 - 1, k_3\}$ and $\{1, 3, \dots, k_2 - 1, k_3\}$ and v_{k_2} is tight, or $S_j = \{1, k_3\}$, $s = 1$, and v_{k_2} is not gappy.*

Proof. Using Lemma 4.3, none of $2, \dots, k_2 - 2$ can be a gappy index for v_j . Thus, we must have either $S_j = \{1, k_3\}$ or $S_j = \{1, k, k + 1, \dots, k_2 - 1, k_3\}$ for some $1 < k < k_2$.

In the first case, v_j will have nonzero inner product with just v_1, v_2 , and v_{k_2} . If v_{k_2} is gappy, this creates a heavy triple (v_2, v_{k_2}, v_j) . If v_{k_2} is just right or tight, this creates a claw (v_2, v_j, x_0, v_3) , unless $s = 1$: see Figure 7.

In the second case, to avoid a cycle $(v_2, v_3, \dots, v_k, v_j)$ of length longer than 3 (Corollary 4.25) we must have k equal to 2 or 3. Then $\langle v_j, v_{k_2} \rangle$ is either s or $s + 1$, and unless v_{k_2} is tight this must be at most 1 (Corollary 4.14). Since $s \geq 1$, if v_{k_2} is not tight, we must have $\langle v_j, v_{k_2} \rangle = s = 1$. Note that in this case $k_3 = 4$, $k_2 = 3$, $v_{k_2} = e_1 + e_2 - e_3$, and $S_j = \{1, 2, 4\}$. Consequently, $\langle v_j, v_3 \rangle = 2$, again contradicting Corollary 4.14. \square

Proposition 5.6. *If v_{k_2} is gappy, then $s \geq 2$ and $n + 1 = k_3$ (i.e. v_{k_3} is the last standard basis vector). The corresponding changemaker vectors are*

$$(1, 1, 2^{[s]}, 2s - 1, 2s + 1), s \geq 2.$$

Proof. By Corollary 5.2, $\sigma_{k_2} = 2s - 1 \geq 2$, so $s \geq 2$. By Lemmas 5.5, 5.4, and 5.3, we get that $S_j = \emptyset$ for all $j > k_3$. If v_{k_3+1} existed it would have $k_3 \in S_{k_3+1}$. \square

Proposition 5.7. *If v_{k_2} is just right, then one of the following holds:*

- (1) $v_{k_3+1} = e_{k_2} + e_{k_3} - e_{k_3+1}$, $v_{k_3+2} = e_{k_2-1} + e_{k_2} + e_{k_3} + e_{k_3+1} - e_{k_3+2}$, and $k_3 + 2 = n + 1$.
- (2) $v_{k_3+1} = e_{k_2-1} + e_{k_2} + e_{k_3} - e_{k_3+1}$, $v_{k_3+2} = e_{k_2} + e_{k_3} + e_{k_3+1} - e_{k_3+2}$, and $k_3 + 2 = n + 1$.
- (3) $s = 1$, so $k_2 = 3$. $v_5 = e_3 + e_4 - e_5$, $|v_i| = 2$ for $5 < i < \ell$, $v_\ell = e_1 + e_4 + e_5 + \dots + e_{\ell-1} - e_\ell$, and either $v_{\ell+1} = e_{\ell-1} + e_\ell - e_{\ell+1}$ and $|v_i| = 2$ for $i > \ell + 1$, or $\ell = n + 1$.
- (4) $s = 1$, so $k_2 = 3$. $v_5 = e_1 + e_4 - e_5$, and either $v_6 = e_3 + e_4 + e_5 - e_6$ and $|v_i| = 2$ for $i > 6$ or $5 = n + 1$.

The corresponding changemaker vectors are

- (1) $(1, 1, 2^{[s]}, 2s + 1, 2s + 3, 4s + 4, 8s + 10)$, $s \geq 1$.
- (2) $(1, 1, 2^{[s]}, 2s + 1, 2s + 3, 4s + 6, 8s + 10)$, $s \geq 1$.

- (3) $(1, 1, 2, 3, 5, 8^{[s]}, 8s + 6, 8s + 14^{[t]})$, $s, t \geq 0$, (the parameter s in this family is not the previous s .)

Proof. We divide the proof into two cases, based on whether or not there is some ℓ with $S_\ell = \{1, k_3\}$. If there is no such ℓ , then by Lemmas 5.5, 5.4, and 5.3, for any $j > k_3$, S_j is either empty or one of the three possibilities: $\{k_2 - 1\}$, $\{k_2, k_3\}$, or $\{k_2 - 1, k_2, k_3\}$. If $S_j = \{k_2 - 1\}$, $\langle v_j, v_{k_2-1} \rangle$ and $\langle v_j, v_{k_2} \rangle$ are both nonzero, but $\langle v_j, v_i \rangle = 0$ for all other $i \leq k_3$. If $S_j = \{k_2, k_3\}$, $\langle v_j, v_{k_2} \rangle$ is nonzero but $\langle v_j, v_i \rangle = 0$ for all other $i \leq k_3$, and if $S_j = \{k_2 - 1, k_2, k_3\}$ only $\langle v_j, v_{k_2-1} \rangle$ is nonzero. In particular, no v_j with $j \leq k_3$ except for v_{k_2} and v_{k_2-1} can have nonzero pairing with v_i for some $i > k_3$. Furthermore, for j equal to either k_2 or $k_2 - 1$, we claim that there can be at most one $i > k_3$ with $\langle v_j, v_i \rangle$ nonzero: if there were two, there would be either a claw if they did not neighbor each other, or a heavy triple if they did. See Figure 7. (For instance, if v_r and v_t , with $r, t > k_3$, both have nonzero pairing with v_{k_2-1} , and also if v_r and v_t pair with each other, then there will be a heavy triple (v_r, v_t, v_2) .) Since the pairing graph of a basis must be connected, there in fact must be some $j > k_3$ with $\langle v_j, v_{k_2} \rangle$ nonzero, and some $j > k_3$ with $\langle v_j, v_{k_2-1} \rangle$ nonzero. This has two implications. First that the vector v_{k_3+1} exists, and either $S_{k_3+1} = \{k_2, k_3\}$ or $S_{k_3+1} = \{k_2 - 1, k_2, k_3\}$. Second, there is another index $j' > k_3 + 1$ with $S_{j'}$ equal to the other of these two possibilities of S_{k_3+1} .

It remains only to show that $j' = k_3 + 2$, and that there is no further standard basis vector. Since $S_{k_3+1} \cap S_{j'} = \{k_2, k_3\}$, in order to keep $\langle v_{k_3+1}, v_{j'} \rangle \leq 1$ (Corollary 4.14), it must be the case that $k_3 + 1 \in \text{supp}^+(v_{j'})$, and in this case $\langle v_{k_3+1}, v_{j'} \rangle = 1$. Therefore, v_{k_3+1} and $v_{j'}$ are adjacent in the intersection graph. If $j' > k_3 + 2$, then since $S_{k_3+2} = \emptyset$, we get that $|v_{k_3+2}| = 2$. Therefore, using Lemma 4.3, $k_3 + 1$ cannot be a gappy index for $v_{j'}$, so $k_3 + 2 \in \text{supp}^+(v_{j'})$. This means that $\langle v_{j'}, v_{k_3+2} \rangle = 0$, so there is a claw on either $v_{k_3+1}, v_{k_2}, v_{k_3+2}, v_{j'}$ or $v_{k_3+1}, v_{k_2-1}, v_{k_3+2}, v_{j'}$, depending on the possibilities for S_{k_3+1} . Therefore, $j' = k_3 + 2$.

Finally, if v_{k_3+3} existed, it would have $S_{k_3+3} = \emptyset$, so would equal either $e_{k_3+1} + e_{k_3+2} - e_{k_3+3}$ or $e_{k_3+2} - e_{k_3+3}$. Therefore, v_{k_3+3} would have nonzero inner product with either v_{k_3+1} or v_{k_3+2} but not both, hence we get a claw centered at either v_{k_3+1} or v_{k_3+2} .

If there is some ℓ with $S_\ell = \{1, k_3\}$, then $s = 1$ by Lemma 5.5. In this case, $\langle v_\ell, v_1 \rangle = -1$, $\langle v_\ell, v_2 \rangle = 1$, $k_2 = 3$, and $\langle v_\ell, v_{k_2} \rangle = 1$. If, for any $i > k_3$ with $i \neq \ell$, we had $\langle v_i, v_2 \rangle \neq 0$, there would be either a claw (v_2, x_0, v_i, v_ℓ) or a heavy triple (v_2, v_i, v_ℓ) depending on whether or not $[v_i]$ and $[v_\ell]$ abut. Since we must have $\langle v_i, v_2 \rangle = 0$ for all $i > k_3$ with $i \neq \ell$, the set S_i cannot be $\{1, k_3\}$, $\{k_2 - 1\}$ or $\{k_2 - 1, k_2, k_3\}$, so by Lemmas 5.3 5.4 and 5.5,

$$S_i = \emptyset \text{ or } \{k_2, k_3\}. \quad (15)$$

Also, we have

$$\langle v_i, v_\ell \rangle = 0, \quad \text{for any } i > k_3 \text{ with } i \neq \ell. \quad (16)$$

Otherwise, either $S_i = \emptyset$ in which case there would be a claw (v_ℓ, v_1, v_2, v_i) , or $S_i = \{k_2, k_3\}$ and there would be a heavy triple (v_i, v_ℓ, v_{k_2}) .

Now, $k_3 \in S_{k_3+1}$ (Lemma 4.7), so S'_{k_3+1} is either $\{1, k_3\}$ or $\{k_2, k_3\}$. It follows from Lemmas 5.4 and 5.5 and (15) that $S_{k_3+1} = S'_{k_3+1}$. If $S_{k_3+1} = \{1, k_3\}$, from (16) we get that $\langle v_{k_3+2}, v_{k_3+1} \rangle = 0$ if $n+1 \geq k_3+2$, and therefore by (15), $S_{k_3+2} = \{k_2, k_3\}$. We claim that $S_i = \emptyset$ for $i > k_3+2$,

and also $k_3 + 1 \notin \text{supp}(v_i)$. Note that from (15) if $S_i \neq \emptyset$, one necessarily has $S_i = \{k_2, k_3\}$. Also, to avoid pairing with v_{k_3+1} , it must be the case that $k_3 + 1 \in \text{supp}^+(v_i)$, but this would imply $\text{supp}^+(v_i) \cap \text{supp}^+(v_{k_3+2}) = \{k_2, k_3, k_3 + 1\}$ hence $\langle v_i, v_{k_3+2} \rangle \geq 2$, contradicting Corollary 4.14. So $S_i = \emptyset$, hence $k_3 + 1 \notin \text{supp}(v_i)$ by (16). This justifies the claim. It follows from Lemma 4.26 that $|v_i| = 2$ for $i > k_3 + 2$. This is the last of the possibilities listed in the statement of the proposition.

Lastly, suppose that $S_{k_3+1} = \{k_2, k_3\}$ (note that $S_\ell = \{1, k_3\}$). When $i > k_3 + 1$ and $i \neq \ell$, $S_i \neq \{k_2, k_3\}$, otherwise we get a heavy triple $(v_i, v_{k_2}, v_{k_3+1})$. So $S_i = \emptyset$ by (15). By Lemma 4.26, $|v_i| = 2$ for $k_3 + 1 < i < \ell$. By (16), v_ℓ is orthogonal to all of $v_{k_3+1}, \dots, v_{\ell-1}$, so all of $k_3 + 1, \dots, \ell - 1$ are members of $\text{supp } v_\ell$, forcing v_ℓ to be of the listed form. If $n + 1 \geq \ell + 1$, $v_{\ell+1}$ is also orthogonal to v_ℓ , so $\text{supp } v_{\ell+1} \cap \{k_3 + 1, \dots, \ell - 1\}$ contains exactly one element, which must be $\ell - 1$ by Lemma 4.3. It follows that $v_{\ell+1} = e_{\ell-1} + e_\ell - e_{\ell+1}$, as desired. If, for some $i > \ell + 1$, $\langle v_i, v_{\ell-1} \rangle$ is nonzero, then $\ell - 1 \in \text{supp}(v_i)$, and $\ell \in \text{supp}(v_i)$ by (16), so $\langle v_i, v_{\ell+1} \rangle \neq 0$ and hence $(v_{k_3+1}, v_{\ell+1}, v_i)$ is a heavy triple. Therefore, v_i is orthogonal to both $v_{\ell-1}$ and v_ℓ for $i > \ell + 1$, so by Lemma 4.3 $\min \text{supp } v_i \geq \ell + 1$. Then Lemma 4.26 implies that $|v_i| = 2$ for $i > \ell + 1$, so we are in the third listed situation. \square

Lemma 5.8. *If v_{k_2} is tight, S_j is one of \emptyset , $\{k_2 - 1\}$, or $\{1, 2, 3, \dots, k_2 - 1, k_3\}$ for each $j > k_3$.*

Proof. By Lemmas 5.5, 5.4, and 5.3, it suffices to show that S_j cannot be $\{k_2, k_3\}$, $\{k_2 - 1, k_2, k_3\}$, $\{1, 3, \dots, k_2 - 1, k_3\}$, or $\{1, k_3\}$. In the first case, $\langle v_j, v_{k_2} \rangle = -1$ and $\langle v_j, v_i \rangle = 0$ for all other $i \leq k_3$. In particular since v_j is orthogonal to v_1 and v_2 , v_j cannot neighbor v_{k_2} in the intersection graph without creating a claw. Therefore, $[v_j] \pitchfork [v_{k_2}]$, and so $\delta([v_j], [v_{k_2}]) = 2$. In order to have $\langle v_j, v_{k_2} \rangle = -1$, then, we must have $|v_j| = |[v_j \cap v_{k_2}]| = 3$ and $\epsilon_j = -\epsilon_{k_2}$. Since $\epsilon_j = -\epsilon_{k_2}$ and $[v_j] \pitchfork [v_{k_2}]$, $v_j + v_{k_2}$ is the sum of two distant intervals, so is reducible. However, since $|v_j| = 3$, $j = k_3 + 1$ and $v_j = e_{k_2} + e_{k_3} - e_{k_3+1}$, and so $v_{k_2} + v_j$ is irreducible by Lemma 4.6.

In the second case, $\langle v_j, v_{k_2-1} \rangle = -1$ and all other $\langle v_j, v_i \rangle$ with $i \leq k_3$ are zero. Since $\langle v_2, x_0 \rangle \neq 0$, $[v_2]$ contains x_1 , so $3 = |v_2| = |x_1|$. Since $|v_{k_2}| > 3$, $[v_{k_2}]$ contains high weight elements other than x_1 . Since $[v_2]$ contains x_1 and v_{k_2-1} is connected by a path of norm-two vectors to v_2 , the unique high weight element x_{z_j} of $[v_j]$ is contained in $[v_{k_2}]$. This implies that $\langle v_j, v_{k_2} \rangle$ must be nonzero, a contradiction.

In the last two cases, v_j has nonzero inner product with both v_1 and v_2 , so $[v_j]$ abuts both $[v_1]$ and $[v_2]$. Since $[v_1]$ and $[v_2]$ abut $[v_{k_2}]$ at opposite ends, $[v_{k_2}]$ must be contained in the union of $[v_1]$, $[v_2]$, and $[v_j]$. However, $\langle v_j, v_{k_2} \rangle \leq s$, so $|v_j| \leq s + \delta([v_{k_2}], [v_j]) \leq s + 2$. This means that there are only two high weight elements in $[v_{k_2}]$, with one being x_1 and the other having norm at most $s + 2$, so by Lemma 3.10, $|v_{k_2}| \leq s + 3$. This contradicts the fact that $|v_{k_2}| = s + 6$. \square

Proposition 5.9. *If v_{k_2} is tight, $v_{k_3+1} = e_1 + e_2 + \dots + e_{k_2-1} + e_{k_3} - e_{k_3+1}$, v_{k_3+2} is either $e_{k_3+1} - e_{k_3+2}$ or $e_{k_2-1} + e_{k_3+1} - e_{k_3+2}$, and $|v_j| = 2$ for all $j > k_3 + 2$. (None of the vectors past v_{k_3} are necessary to make the lattice C-type — $n + 1$ could be k_3 or anything larger.)*

The corresponding changemaker vectors are

- (1) $(1, 1, 2^{[s]}, 2s + 3, 2s + 5, 4s + 6^{[t]})$, $s \geq 1, t \geq 0$.
(2) $(1, 1, 2^{[s]}, 2s + 3, 2s + 5, 4s + 6, 4s + 8^{[t]})$, $s \geq 1, t \geq 1$.

Proof. Since $k_3 \in \text{supp}(v_{k_3+1})$, S_{k_3+1} is necessarily equal to $\{1, 2, 3, \dots, k_2 - 1, k_3\}$ by Lemma 5.8, and so $v_{k_3+1} = e_1 + e_2 + \dots + e_{k_2-1} + e_{k_3} - e_{k_3+1}$. For any other j with $S_j = S_{k_3+1}$, we get that $\langle v_j, v_{k_3+1} \rangle \geq k_2 - 1 \geq 2$, contradicting Corollary 4.14. Therefore, for $j > k_3 + 1$, S_j is either \emptyset or $\{k_2 - 1\}$. Suppose for some $j > k_3 + 1$ we have $S_j = \{k_2 - 1\}$. Then $\langle v_j, v_{k_2} \rangle = 1$ while v_j is orthogonal to both x_0 and v_1 . Since $\langle v_{k_2}, v_1 \rangle = 1$ and $\langle x_0, v_1 \rangle = 0$, $[v_1]$ abuts the right endpoint of $[v_{k_2}]$. Hence $x_{z_j} \in [v_{k_2}]$. By Lemma 3.9, we get that $|v_j| = 3$, and $\epsilon_j = \epsilon_{k_2}$. Since also $\langle v_{k_3+1}, v_{k_2} \rangle = s + 1$, $\epsilon_{k_3+1} = \epsilon_{k_2} = \epsilon_j$, so $\langle v_j, v_{k_3+1} \rangle$ is either -1 or 0 depending on whether their intervals abut. However, since $|v_j| = 3$, $v_j = e_{k_2-1} + e_{j-1} - e_j$, so $\langle v_j, v_{k_3+1} \rangle$ is 1 if $j > k_3 + 2$ and 0 if $j = k_3 + 2$. Therefore, $j = k_3 + 2$ and $S_i = \emptyset$ for $i > k_3 + 2$. For any $i > k_3 + 2$, if $\min \text{supp}(v_i) = k_3 + 1$, $v_i \sim v_{k_3+1}$. Since $v_{k_3+1} \sim v_1$, $\langle v_{k_3+1}, v_{k_2} \rangle \neq 0$ and $[v_1]$ abuts the right endpoint of $[v_{k_2}]$, $x_{z_{k_3+1}}$ is the rightmost high weight vertex in $[v_{k_2}]$ and $[v_1]$ abuts the right endpoint of $[v_{k_3+1}]$. As $\langle v_i, v_{k_2} \rangle = 0$, $[v_i]$ must abut the right endpoint of $[v_{k_3+1}]$. We then conclude that $[v_1]$ and $[v_i]$ abut, which is impossible. So $\min \text{supp}(v_i) > k_3 + 1$ when $i > k_3 + 2$. Using Lemma 4.26, we conclude that $|v_i| = 2$ for $i > k_3 + 2$. \square

6. $k_1 = 1, k_2 = 2$

In this section we consider the case where $k_1 = 1$ and $k_2 = 2$. Using Corollary 4.10, we get that

$$x_0 = e_0 + e_1 + e_2 - e_{k_3}. \quad (17)$$

Also, we have that $v_1 = e_0 - e_1$. So

$$\sigma_0 = \sigma_1 = 1. \quad (18)$$

By Lemma 4.11, the only possible tight vector is v_2 . In what follows we classify all the changemaker vectors whose orthogonal complements are isomorphic to C-type lattices with x_0 as given in (17). As in the previous section, we start by determining the first $k_3 + 1$ components of such changemaker vectors. It turns out that the initial segment of σ depends on whether or not v_2 is tight.

Lemma 6.1. *If v_2 is tight, the initial segment $(\sigma_0, \sigma_1, \dots, \sigma_{k_3})$ of σ is equal to $(1, 1, 3, 5)$.*

Proof. By assumption, $v_2 = 2e_0 + e_1 - e_2$, so $\sigma_2 = 3$ and $|v_2| = 6$. This together with (17) and (18), yields $\sigma_{k_3} = 5$. We claim that $k_3 = k_2 + 1 = 3$. Suppose for contradiction that $k_3 \neq k_2 + 1$. Recall from Lemma 4.11 that v_{k_2+1} cannot be tight. By combining this together with Lemma 3.5, it can only be the case that $\sigma_{k_2+1} = 4$ and $v_3 = e_1 + e_2 - e_3$. Note that $\langle v_2, x_0 \rangle = 2$, $\langle v_1, x_0 \rangle = 0$, and $\langle v_1, v_2 \rangle = 1$. Therefore, $[v_1]$ abuts the right endpoint of $[v_2]$. Given that $[v_3]$ abuts both x_0 and $[v_1]$, it follows that the only high weight vertex of $[v_2]$ is that of $[v_3]$ (see Definition 3.6 and Lemma 3.14). This implies that $|[v_2]| = |[v_3]| = 3$ which is a contradiction. Hence $k_3 = 3$ and $v_3 = e_0 + e_1 + e_2 - e_3$. \square

Lemma 6.2. *If v_2 is not tight, the initial segment $(\sigma_0, \sigma_1, \dots, \sigma_{k_3})$ of σ is equal to either $(1, 1, 1, 3)$ or $(1, 1, 1, 2, 3)$.*

Proof. When v_2 is not tight, using Lemma 3.5 together with the fact that $k_2 = 2$, we get that $v_2 = e_1 - e_2$, so $\sigma_2 = 1$. This together with (17) and (18), gives us that $\sigma_{k_3} = 3$. Either $k_3 = 3$ and we get the first possibility stated in the proposition, or $k_3 > 3$. In the latter case, using Lemmas 3.5 and 4.7, we must have that $v_3 = e_1 + e_2 - e_3$, so $\sigma_3 = 2$. We claim that, if $k_3 > 3$, then $k_3 = 4$. If $k_3 \neq 4$, then we must have $v_4 = e_3 - e_4$. That will produce a claw on (v_3, v_4, x_0, v_1) . This gives the second stated possibility. \square

We use the notation of Equations (13) and (14) in Section 5. Again, we use the basis S' , defined in (10). Note that in this section, $v_{k_3} = x_0$. Moreover, if $k_3 = 3$, then $S_j = S'_j$.

Proposition 6.3. *If v_2 is tight, then one of the following is true:*

- (1) $|v_3| = 4$, $v_4 = e_1 + e_3 - e_4$, and $|v_j| = 2$ for all $5 \leq j \leq 4 + t$, $t \geq 0$.
- (2) $|v_3| = 4$, $v_4 = e_1 + e_3 - e_4$, $v_5 = e_0 + e_1 + e_4 - e_5$, and $|v_j| = 2$ for all $6 \leq j \leq 5 + t$, $t \geq 0$.

The corresponding changemaker vectors are:

- (1) $(1, 1, 3, 5, 6^{[t]})$
- (2) $(1, 1, 3, 5, 6, 8^{[t+1]})$

Proof. When v_2 is tight, using Lemma 6.1, the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ is $(1, 1, 3, 5)$. For any $j > 3$, S_j will be one of \emptyset , $\{1, 2\}$, $\{2, 3\}$, $\{1, 3\}$, $\{0, 1\}$, or $\{0, 1, 2, 3\}$ by Lemma 3.5 and Lemma 4.3. We will first show that $\{1, 2\}$, $\{2, 3\}$ and $\{0, 1, 2, 3\}$ do not occur. If $S_j = \{1, 2\}$ for some $j > 4$, then $\langle v_j, v_1 \rangle = -1$, $\langle v_j, x_0 \rangle = 2$, and $\langle v_j, v_2 \rangle = 0$. Since $[x_0]$ and $[v_1]$ abut $[v_2]$ on opposite ends, and $[v_j]$ abuts both $[x_0]$ and $[v_1]$, the interval $[v_2]$ is contained in the union of $[x_0]$, $[v_j]$, and $[v_1]$. Therefore, $|[v_2 \cap v_j]| = |v_2| = 6$, so $|\langle v_j, v_2 \rangle| = 6 - \delta([v_j], [v_2]) \geq 3$, a contradiction. If $S_j = \{2, 3\}$, then $\langle v_j, v_2 \rangle = -1$ but $\langle v_j, v_1 \rangle = \langle v_j, x_0 \rangle = 0$. To avoid a claw (v_2, v_1, x_0, v_j) , then, we must have $[v_2] \cap [v_j]$. Since v_j is orthogonal to x_0 , this means that $\delta([v_2], [v_j]) = 2$, so $|v_j| = |[v_2 \cap v_j]| = 3$ and $\epsilon_2 \neq \epsilon_j$. Therefore, $v_j + v_2$ is reducible. Since $j - 1 \in \text{supp}^+(v_j)$, the only way to have $|v_j| = 3$ is to have $j = 4$, but then $v_j + v_2$ is irreducible by Lemma 4.6. If $S_j = \{0, 1, 2, 3\}$, $\langle v_j, v_2 \rangle = 2$, $\langle v_j, x_0 \rangle = 2$, and $\langle v_j, v_1 \rangle = 0$. Also, $|[v_2 \cap v_j]| = |v_j| \geq 5$, so in order to have $\langle v_j, v_2 \rangle = 2$ we must have $\epsilon_j = \epsilon_2$ and $\delta([v_2], [v_j]) = 3$. By Lemma 3.11, $\langle v_j, x_0 \rangle = -\langle v_2, x_0 \rangle = \pm 2$, a contradiction. Therefore, for each $j > 3$, S_j is one of \emptyset , $\{0, 1\}$ and $\{1, 3\}$. Furthermore, if $S_j = \{0, 1\}$, then $\langle v_j, x_0 \rangle \neq 0$, so by Corollary 4.16 there is at most one j with $S_j = \{0, 1\}$.

If the index 4 exists, $3 \in S_4$, so $S_4 = \{1, 3\}$, $v_4 = e_1 + e_3 - e_4$, and $\sigma_4 = 6$. If, for some $j > 4$, $S_j = \{1, 3\}$, then also $4 \in \text{supp}^+(v_j)$ by Corollary 4.14. Therefore, $|v_j| \geq 4$ and $\langle v_j, v_4 \rangle = 1$, so $[v_4]$ abuts $[v_j]$. Since v_j is orthogonal to x_0 , $\delta([v_2], [v_j]) \leq 2$, so since $|v_j| \geq 4$ and $\langle v_j, v_2 \rangle = 1$ we must have $[v_2] \dagger [v_j]$. Therefore, using Corollary 4.15, either $[v_2]$ and $[v_4]$ are distant or they share a common end, but in either case we cannot have $\langle v_2, v_4 \rangle = 1$. Therefore, there is at most one $j > 4$ with $S_j = \{0, 1\}$, and for all other i we have $S_i = \emptyset$. Suppose that for some j we have $S_j = \{0, 1\}$. It follows from Lemma 4.26 that $|v_i| = 2$ when $4 < i < j$. By Lemma 4.3, $v_j = e_0 + e_1 + e_k + e_{k+1} + \dots + e_{j-1} - e_j$ for some $4 \leq k < j$, and to avoid a claw (v_j, v_1, x_0, v_k) we must have $k = 4$. Therefore, $|v_j| = j - 1 \geq 4$. Since $\langle v_j, v_2 \rangle = 3$, we must

have $\epsilon_j = \epsilon_2$, and since $\langle v_j, x_0 \rangle = \langle v_2, x_0 \rangle = 2$ this means that $\delta([v_2], [v_j]) = 1$. Therefore, $|v_j| = \langle v_j, v_2 \rangle + 1 = 4$, so $j = 5$. This means that S_5 is either \emptyset or $\{0, 1\}$, and $S_i = \emptyset$ for $i > 5$.

If $S_5 = \emptyset$, by Lemma 4.26, $|v_i| = 2$ when $i \geq 5$. If $S_5 = \{0, 1\}$, we will show that $\min \text{supp } v_i \geq 5$ when $i > 5$.

We first claim that $x_{z_4} \in [v_2]$. Otherwise, as $\langle v_4, v_2 \rangle = 1$, we get $[v_2] \dagger [v_4]$ and $\epsilon_2 = -\epsilon_4$. We also have $\langle v_2, v_1 \rangle = -\langle v_4, v_1 \rangle = 1$. Thus we have either $[v_1] \prec [v_2]$ or $[v_1] \prec [v_4]$. If $[v_1] \prec [v_2]$, then $\epsilon_1 = \epsilon_2$ and $\epsilon_1 = \epsilon_4$, a contradiction to $\epsilon_2 = -\epsilon_4$. Similarly, we can rule out $[v_1] \prec [v_4]$. This proves the claim.

Note that $\sigma_0 = \sigma_1$ are the only two 1's in the coordinates of σ , so there does not exist any norm 2 vector $y \in (\sigma)^\perp$ such that $\langle y, v_1 \rangle = -1$. Thus $[v_1]$ contains only one vertex which does not neighbor any norm 2 vertex. Since $v_1 \sim v_2$ and $\langle v_1, x_0 \rangle = 0$, $[v_1]$ abuts the right end of $[v_2]$. As $x_{z_4} \in [v_2]$ and $v_4 \sim v_1$, x_{z_4} is the rightmost high weight vertex in $[v_2]$. If $\min \text{supp } v_i = 4$ for some $i > 5$, then $v_i \sim v_4$ and $|v_i| \geq 3$. As $\langle v_i, v_2 \rangle = 0$, x_{z_i} is the leftmost high weight vertex to the right of $[v_2]$. So $[v_1]$ is the unique vertex between x_{z_4} and x_{z_i} . We then see that $[v_1]$ and $[v_i]$ abut, which is not possible as $\langle v_1, v_i \rangle = 0$. This proves that $\min \text{supp } v_i \geq 5$ when $i > 5$. By Lemma 4.26, $|v_i| = 2$ when $i > 5$. \square

Proposition 6.4. *If v_2 is not tight and $(\sigma_0, \dots, \sigma_{k_3}) \neq (1, 1, 1, 2, 3)$, then one of the following is true (if only the norm of a standard basis vector is given, it is just right):*

- (1) $|v_3| = 4$, $|v_4| = 3$, $|v_j| = 2$ for $5 \leq j \leq 4+t$, $v_{5+t} = e_1 + e_2 + e_4 + e_5 + \dots + e_{4+t} - e_{5+t}$, $|v_{6+t}| = 3$, and $|v_j| = 2$ for $j > 6+t$ ($t \geq 0$).
- (2) $|v_3| = 4$, $|v_4| = 3$, and $|v_5| = 6$.
- (3) $|v_3| = 4$, $|v_4| = 5$, and $|v_5| = 4$.

with corresponding changemaker vectors:

- (1) $(1, 1, 1, 3, 4, 4^{[t]}, 4t+6, (4t+10)^{[s]})$, $s, t \geq 0$
- (2) $(1, 1, 1, 3, 4, 10)$
- (3) $(1, 1, 1, 3, 6, 10)$

Proof. If v_2 is not tight and $(\sigma_0, \dots, \sigma_{k_3}) \neq (1, 1, 1, 2, 3)$, using Lemma 6.2, it follows that $(\sigma_0, \dots, \sigma_{k_3})$ is $(1, 1, 1, 3)$. Note that, using Lemmas 3.5 and 4.3,

$$S_i = \emptyset, \{1, 2\}, \{2, 3\}, \text{ or } \{0, 1, 2, 3\}, \quad \text{when } i \geq 4. \quad (19)$$

Using Lemma 4.7, we get that S_4 is either $\{2, 3\}$ or $\{0, 1, 2, 3\}$, that is, σ_4 is either 4 or 6.

When $\sigma_4 = 6$, $v_4 = e_0 + e_1 + e_2 + e_3 - e_4$. Since $\langle v_4, x_0 \rangle = 2$, using Corollary 4.16 and (19),

$$S_i = \emptyset \text{ or } \{2, 3\} \quad \text{when } i > 4. \quad (20)$$

Since the intersection graph must be connected, there will be some index j for which $S_j = \{2, 3\}$. Additionally, using Corollary 4.14, we get that $4 \in \text{supp}^+ v_j$, as otherwise $\langle v_j, v_4 \rangle = 2$. It turns out that there is only one such j . In fact, if there were two such indices j_1, j_2 , then $\{2, 3, 4\} \subset S_{j_1} \cap S_{j_2}$, we would have $\langle v_{j_1}, v_{j_2} \rangle \geq 2$, a contradiction. We claim that $j = 5$. If $j \neq 5$, then $S_5 = \emptyset$ by (20). Therefore, $|v_5| = 2$, so, by Lemma 4.3, 4 cannot be a gappy index for v_j . This will give us a claw (v_4, x_0, v_5, v_j) . This justifies the claim; in particular, $\sigma_5 = 10$.

If the index 6 existed, by (20) we must have $S_6 = \emptyset$. Thus, v_6 is either $e_4 + e_5 - e_6$ or $e_5 - e_6$. In the first case, there will be a claw (v_4, v_5, v_6, x_0) and in the second case there will be a claw (v_5, v_4, v_6, v_2) . So the index 6 does not exist, and we get the third possibility listed in the proposition.

Now, suppose that $\sigma_4 = 4$. If $\sigma_5 \neq 4, 6$, by Lemma 4.7 and (19), S_5 is either $\{0, 1, 2, 3\}$ or $\{2, 3\}$. If $S_i = \{0, 1, 2, 3\}$ or $\{2, 3\}$ for some $i > 5$, we will get a heavy triple (v_4, v_5, v_i) . So $S_i = \emptyset$ or $\{1, 2\}$ when $i > 5$.

If $S_5 = \{2, 3\}$, then $e_5 = e_2 + e_3 + e_4 - e_5$. Since the pairing graph is connected, there exists an index $i > 5$ such that $S_i = \{1, 2\}$. Using the path $v_i \sim v_1 \sim v_2$, we will get a heavy triple (v_4, v_5, v_i) .

If $S_5 = \{0, 1, 2, 3\}$, $\sigma_5 = 10$. If the index 6 does exist, using Corollary 4.16, $S_6 = \emptyset$. We will have a claw (v_4, v_2, v_5, v_6) or (v_5, x_0, v_4, v_6) , depending on whether or not $4 \in \text{supp}^+(v_6)$. So we get the second possibility listed in the proposition.

If $\sigma_5 = 6$, since $\langle v_5, x_0 \rangle = 2$, by Corollary 4.16 and (19) we have $S_i = \emptyset$ or $\{2, 3\}$ when $i > 5$. Assume that there exists $i > 5$ such that $S_i = \{2, 3\}$. Since $\langle v_i, v_4 \rangle \leq 1$, $4 \in \text{supp}(v_i)$. Since $\langle v_i, v_5 \rangle \leq 1$, $5 \in \text{supp}(v_i)$. We would then have a heavy triple (v_4, v_5, v_i) . So $S_i = \emptyset$ whenever $i > 5$. If $|v_6| = 2$, there will be a claw (v_5, v_1, x_0, v_6) . So $v_6 = e_4 + e_5 - e_6$. Since $\langle v_5, x_0 \rangle = 2$, $x_{z_5} = x_1$. Since v_5 is connected to v_4 by a path of norm 2 vectors, x_{z_4} is the leftmost high weight vertex to the right of x_{z_5} . Since $v_4 \sim v_6$, by Corollary 4.15, $\langle v_i, v_5 \rangle = \langle v_i, v_4 \rangle = 0$, whenever $i > 6$. We then conclude that $\min \text{supp}(v_i) \geq 6$ when $i > 6$. Using Lemma 4.26, we get $|v_i| = 2$ when $i > 6$. This gives us the case $t = 0$ in the first possibility listed in the proposition.

If $\sigma_5 = 4$, since the pairing graph is connected, there must be a unique index $j > 4$ for which $\langle v_j, x_0 \rangle = 2$. Then $\sigma_j > 4$, and S_j is either $\{0, 1, 2, 3\}$ or $\{1, 2\}$ by (19). Let $t + 5$ be the index such that $\sigma_{t+4} = 4 < \sigma_{t+5}$.

If $S_j = \{0, 1, 2, 3\}$, then in order to avoid $\langle v_j, v_4 \rangle = 2$ (which contradicts Corollary 4.14) we must have $4 \in \text{supp}^+(v_j)$. Moreover, using Lemma 4.3, neither of $4, 5, \dots, t + 3$ can be a gappy index for v_j . Hence we get a claw (v_4, v_2, v_j, v_5) as $j > t + 4 \geq 5$. That is, we must have $S_j = \{1, 2\}$.

We claim that $j = t + 5$. Suppose for contradiction that $j \neq t + 5$. Then, using Corollary 4.16 and (19), S_{t+5} is either \emptyset or $\{2, 3\}$. If $S_{t+5} = \{2, 3\}$, then there will be a heavy triple (v_4, v_{t+5}, v_j) , where the paths connecting the three high norm vertices are through v_1 and/or v_2 . If $S_{t+5} = \emptyset$, set $i = \min \text{supp}(v_{t+5})$. Using Lemma 4.3, none of $4, \dots, t + 3$ can be a gappy index for v_{t+5} . Then there will be a claw on either $(v_i, v_{i-1}, v_{t+5}, v_{i+1})$ or (v_4, v_2, v_{t+5}, v_5) , depending on whether $4 < i < t + 4$ or $i = 4$. (Note that $i \neq t + 4$ since $\sigma_{t+5} > 4$.) This finishes the proof of the claim, that is, $j = t + 5$ and $S_{t+5} = \{1, 2\}$.

To avoid a cycle $v_{t+5} \sim v_4 \sim v_2 \sim v_1 \sim v_{t+5}$ of length bigger than 3 (which violates Corollary 4.25), we must have $4 \in \text{supp}^+(v_{t+5})$. Furthermore, using Lemmas 4.3 and 4.7, all the indices $5, \dots, t + 4 \in \text{supp}(v_{t+5})$, so $\sigma_{t+5} = 4t + 6$. For $i > t + 5$, using Corollary 4.16 and (19), the set S_i is either \emptyset or $\{2, 3\}$. If $S_i = \{2, 3\}$, we will get a heavy triple (v_i, v_4, v_{t+5}) . This proves that $S_i = \emptyset$ whenever $i > t + 5$. Set $\ell = \min \text{supp}(v_i)$. If $\ell = t + 5$, there will be a claw

(v_{t+5}, x_0, v_i, v_1) . If $4 < \ell < t + 4$, there will be a claw $(v_\ell, v_{\ell-1}, v_i, v_{\ell+1})$, and if $\ell = 4$ the claw will be on v_4, v_2, v_i, v_5 . Therefore $\ell = t + 4$ or $\ell \geq t + 6$. In particular, $e_{t+6} = e_{t+4} + e_{t+5} - e_{t+6}$ and $\sigma_{t+6} = 4t + 10$. When $i > t + 6$, if $\ell = t + 4$, we get a heavy triple (v_i, v_4, v_{t+6}) . So $\ell \geq t + 6$ when $i > t + 6$. Now we can apply Lemma 4.26 to conclude that $|v_i| = 2$ whenever $i > t + 6$, and we will get the first possibility listed in the proposition. \square

Proposition 6.5. *If $(\sigma_0, \dots, \sigma_{k_3}) = (1, 1, 1, 2, 3)$, $v_5 = e_2 + e_3 + e_4 - e_5$, and $|v_j| = 2$ for $j > 5$. In this case, $\sigma = (1, 1, 1, 2, 3, 6^{[t]})$, $t \geq 1$.*

Proof. Since $4 \in S'_5$, $S'_5 = \{2, 4\}$ by Lemma 3.5 and Corollary 4.16, so the set S_5 is equal to either $\{2, 4\}$ or $\{2, 3, 4\}$. If $S_5 = \{2, 4\}$, then there will be a cycle of length 4 on (v_3, v_1, v_2, v_5) . Therefore, $S_5 = \{2, 3, 4\}$, and so, $\sigma_5 = 6$. There is a path $v_3 \sim v_1 \sim v_2 \sim v_5$. For any $i > 5$, to avoid a heavy triple (v_i, v_3, v_5) , v_i cannot neighbor v_1 or v_2 . Combined with Lemmas 4.3 and 3.5 and Corollary 4.16, we must have $S'_i = \emptyset$. If $3 \in S_i$, we would have a claw (v_3, v_i, v_1, x_0) . So $S_i = \emptyset$. By Lemma 4.26, we have $|v_i| = 2$ whenever $i > 5$.

Now $\sigma = (1, 1, 1, 2, 3, 6^{[t]})$, $t \geq 0$. If $t = 0$, then $p = 1$, (see Section 8.) So we must have $t \geq 1$. \square

7. $k_1 > 1$

In the present section we classify all the changemaker C-type lattices that have

$$x_0 = e_0 \pm e_{k_1} \pm e_{k_2} \pm e_{k_3},$$

where $k_1 > 1$. Using Lemma 3.5, we know that

$$v_1 = 2e_0 - e_1, \tag{21}$$

and therefore, $\sigma_1 = 2$ and $|v_1| = 5$. We remind the reader that, by Lemma 4.11, v_1 is the only tight vector in the C-type lattices that concern us in this section. We also note that

$$0 \in \text{supp}(v_{k_1}) \tag{22}$$

by Lemma 3.5. Compared to Sections 5 and 6, it will take longer to determine the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ . We start by specifying the positive integer k_1 .

Lemma 7.1. *The segment $(\sigma_0, \dots, \sigma_{k_1})$ is either $(1, 2, 3)$ or $(1, 2, 2, 3)$. In particular, $k_1 = 2$ or 3 , and $\sigma_{k_1} = 3$.*

Proof. Using Lemma 4.11, we get that v_2 is either $e_0 + e_1 - e_2$ or $e_1 - e_2$. In the former case, using Lemma 3.5, we get that $k_1 = 2$, and so $\sigma_{k_1} = 3$.

Now suppose that $v_2 = e_1 - e_2$. More generally, suppose that there exists $t \geq 1$ such that $(\sigma_0, \sigma_1, \dots, \sigma_{t+1}) = (1, 2, 2^{[t]})$, and that $|v_{t+2}| > 2$. We will show that $t = 1$, $k_1 = 3$, and that σ_{t+2} (or simply σ_3) is 3.

Set $j = \min \text{supp}(v_{t+2})$. We argue that $j = 0$. (Note that, by Lemma 4.3, none of $1, 2, \dots, t$ is a gappy index for v_{t+2} .) If $1 < j < t + 1$, there will be a claw on $v_j, v_{j-1}, v_{t+2}, v_{j+1}$. If $j = 1$, then $\langle v_{t+2}, v_1 \rangle = -1$ and v_{t+2} will be orthogonal to v_2 . Then $k_1 > t + 2$ by (22). There will be a claw on v_1, x_0, v_{t+2}, v_2 , unless $[v_{t+2}] \cap [v_1], |[v_1 \cap v_{t+2}]| = |v_{t+2}| = 3$, and $\epsilon_{t+2} = -\epsilon_1$.

Thus $v_1 + v_{t+2}$ is the sum of two distant intervals and so is reducible. Since $|v_{t+2}| = 3$, $v_{t+2} = e_1 + e_{t+1} - e_{t+2}$, and so $v_1 + v_{t+2}$ is irreducible by Lemma 4.6, a contradiction. That is, $j = 0$, and that,

$$v_{t+2} = e_0 + e_i + e_{i+1} + \cdots + e_{t+1} - e_{t+2}, \quad (23)$$

with $i \geq 1$.

Since $0 \in \text{supp}^+(v_{t+2})$, using Lemma 3.5, we get that $k_1 = t + 2$. Furthermore, we claim that $x_0 = e_0 + e_{t+2} + e_{k_2} - e_{k_3}$. See Proposition 4.9. If $x_0 = e_0 - e_{t+2} - e_{k_2} + e_{k_3}$, then $\langle v_{t+2}, x_0 \rangle = 2$. Observe that $\langle v_{t+2}, v_1 \rangle = 1$ or 2 depending on whether or not $i = 1$ in (23); in particular, $\langle v_{t+2}, v_1 \rangle > 0$. Since $|v_{t+2} \cap v_1| = |v_{t+2}| \geq 3$ and $\delta([v_1], [v_{t+2}]) \leq 3$, using Lemma 3.9, it must be that $\epsilon_1 = \epsilon_{t+2}$. Since $\langle v_1, x_0 \rangle = \langle v_{t+2}, x_0 \rangle = 2$, $[v_1]$ and $[v_{t+2}]$ share their left endpoint, and $\delta([v_{t+2}], [v_1]) = 1$. Moreover, we must have $|v_{t+2}| = 3$ (as otherwise $\langle v_{t+2}, v_1 \rangle > 2$). That is, $v_{t+2} = e_0 + e_{t+1} - e_{t+2}$. We have $\langle v_2, v_1 \rangle = -1$ and $\langle v_2, x_0 \rangle = 0$, so $[v_2]$ abuts the right end of $[v_1]$. Since also $v_{t+2} \sim v_{t+1}$, $|v_i| = 2$ for $i \in \{2, \dots, t+1\}$,

$$v_2 \sim v_3 \sim \cdots \sim v_{t+1},$$

the interval $[v_1]$ is a subset of the union of the $[v_j]$ for $j \in \{2, \dots, t+2\}$, which in turn implies that $|v_1| = |v_{t+2}| = 3$, a contradiction. This shows that

$$x_0 = e_0 + e_{t+2} + e_{k_2} - e_{k_3}.$$

We now argue that $1 \notin \text{supp}(v_{t+2})$. Suppose for contradiction that $1 \in \text{supp}(v_{t+2})$. Using (23), we get that $|v_{t+2}| \geq 4$, $\langle v_1, v_{t+2} \rangle = 1$ and $\langle v_2, v_{t+2} \rangle = 0$. To avoid a claw on v_1, x_0, v_{t+2}, v_2 , we must have $[v_{t+2}] \cap [v_1]$. This implies that $\delta([v_1], [v_{t+2}]) = 2$. Using Lemma 3.9 and that $|v_{t+2}| \geq 4$, we see that $|\langle v_1, v_{t+2} \rangle| \geq 2$, a contradiction. That is, in (23), we must have $i > 1$.

We claim that $i = 2$. If $2 < i < t+1$, there will be a claw on $v_i, v_{i-1}, v_{t+2}, v_{i+1}$. If $i = t+1$ (and $i > 2$), to avoid a claw on v_1, x_0, v_{t+2}, v_2 , it must be that $[v_{t+2}] \cap [v_1]$, and so $\delta([v_{t+2}], [v_1]) = 2$. To get $\langle v_{t+2}, v_1 \rangle = 2$, however, it must be $|v_{t+2}| = 4$ which contradicts $i = t+1$. Therefore, in (23), we have $i = 2$. In particular, $v_2 \sim v_{t+2}$.

Finally, we argue that $t = 1$. If $t > 1$, we must have $v_1 \sim v_{t+2}$ as otherwise we get a claw (v_2, v_1, v_{t+2}, v_3) . That is, $[v_{t+2}]$ abuts $[v_1]$. Therefore, to fulfill $\langle v_{t+2}, v_1 \rangle = 2$, $[v_{t+2}] \prec [v_1]$, and that $|v_{t+2}| = 3$, which contradicts $t > 1$ and (23). So $t = 1$ as desired. \square

As part of the proof of Lemma 7.1, we showed that $x_0 = e_0 + e_{k_1} + e_{k_2} - e_{k_3}$ when $k_1 = 3$. Indeed, this is the case also when $k_1 = 2$.

Lemma 7.2. *Let $k_1 > 1$. Then $x_0 = e_0 + e_{k_1} + e_{k_2} - e_{k_3}$.*

Proof. We only need to show this for $k_1 = 2$. Suppose for contradiction $x_0 = e_0 - e_2 - e_{k_2} + e_{k_3}$ (see Proposition 4.9). Note that $v_2 = e_0 + e_1 - e_2$, and therefore, $\langle v_2, x_0 \rangle = 2 = \langle v_1, x_0 \rangle$, and $\langle v_2, v_1 \rangle = 1$. Since $|v_2| = 3$, using Lemma 3.9, we see that $\epsilon_1 = \epsilon_2$ and $\delta([v_1], [v_2]) = 2$. Since $\langle [v_2], x_0 \rangle = \langle [v_1], x_0 \rangle = \pm 2$, $[v_1], [v_2]$ share their left end point, so we cannot have $\delta([v_1], [v_2]) = 2$, a contradiction. \square

Now we proceed to determine the changemaker vectors. As in Section 5, we use the notation of (13) and (14). Also, we use the basis S' , defined in (10), where v_{k_3} is replaced by x_0 .

7.1. $k_1 = 2$. This subsection is devoted to classifying the changemaker C-type lattices with

$$x_0 = e_0 + e_2 + e_{k_2} - e_{k_3}. \quad (24)$$

Recall that the changemaker starts with $(1, 2, 3)$. We have

$$\langle v_1, v_2 \rangle = 1, \quad \langle v_2, x_0 \rangle = 0. \quad (25)$$

Lemma 7.3. *The intervals $[v_2]$ and $[v_1]$ are consecutive with $\epsilon_2 = -\epsilon_1$.*

Proof. Using (25) and Lemma 3.9, either $[v_2] \pitchfork [v_1]$, $|[v_2] \cap [v_1]| = |[v_2]| = 3$, $\delta([v_2], [v_1]) = 2$, and $\epsilon_2 = \epsilon_1$, or $[v_2] \uparrow [v_1]$, and $\epsilon_2 = -\epsilon_1$. In the former case, $v_2 - v_1$ is the sum of two distant intervals, and so is reducible. However, we have $v_2 = e_0 + e_1 - e_2$, and so $v_2 - v_1$ is irreducible by Lemma 4.6 (2). \square

Lemma 7.4. *There does not exist an index $j > 3$, $j \neq k_3$, such that $\text{supp}(v_j) \cap \{0, 1, 2\} = \{1\}$.*

Proof. Otherwise, we will have $\langle v_j, v_1 \rangle = -\langle v_j, v_2 \rangle = -1$. We also have $\langle v_j, x_0 \rangle = 0$ by Lemma 3.5. By Lemma 7.3, $[v_j]$ and $[v_1]$ share their right endpoint, so $\delta([v_j], [v_1]) = 1$. By Lemma 3.9, $|\langle v_1, v_j \rangle| = |v_j| - 1 > 1$, a contradiction. \square

Lemma 7.5. $\sigma_3 \in \{3, 4\}$. *Furthermore, if $\sigma_3 = 4$ then $[v_3]$ and $[v_1]$ share their left endpoint, and that $\epsilon_3 = \epsilon_1$.*

Proof. All the possibilities for σ_3 lie in $\{3, 4, 5, 6\}$. If $\sigma_3 = 5$, we get that $v_3 = e_1 + e_2 - e_3$. So $\langle v_3, v_1 \rangle = -1$ and v_3 is orthogonal to v_2 . By Lemma 3.5, $k_2 = 3$ and $\langle v_3, x_0 \rangle = 0$. Using Lemma 7.3, we know that $[v_2]$ abuts $[v_1]$, and therefore, there will be a claw on v_1, x_0, v_3, v_2 , unless $[v_3] \pitchfork [v_1]$, $|[v_1] \cap [v_3]| = |v_3| = 3$, and $\epsilon_3 = -\epsilon_1$. Thus $v_1 + v_3$ is the sum of two distant intervals and so is reducible. However, $v_3 + v_1$ is irreducible by Lemma 4.6, a contradiction. If $\sigma_3 = 6$, we see that $v_3 = e_0 + e_1 + e_2 - e_3$ (and, in particular, $|v_3| = 4$). This implies that $\langle v_3, x_0 \rangle = 2$ and $\langle v_1, v_3 \rangle = 1$. The latter will only be possible if both $\delta([v_1], [v_3]) = 3$ and $\epsilon_1 = \epsilon_3$, a contradiction to Lemma 3.11.

If $\sigma_3 = 4$, we have $v_3 = e_0 + e_2 - e_3$. Using Lemma 3.9, the second statement of the lemma is immediate because $\langle v_3, v_1 \rangle = \langle v_3, x_0 \rangle = \langle v_1, x_0 \rangle = 2$ and $|v_3| = 3$. \square

Lemma 7.6. *If $0 \in \text{supp}(v_j)$ and $2 \notin \text{supp}(v_j)$ for some $j > 3$ and $j \neq k_3$, then $[v_j], [v_1]$ share their right endpoint, and $v_j = e_0 + e_{j-1} - e_j$. Moreover, there exists at most one such j .*

Proof. We have $1 \notin \text{supp}(v_j)$, otherwise $\langle v_2, v_j \rangle = 2$, a contradiction to Corollary 4.14. So $\langle v_1, v_j \rangle = 2$. Since $\langle v_j, v_2 \rangle = 1$, $[v_j]$ and $[v_2]$ are consecutive by Corollary 4.15. It follows from Lemma 7.3 that $[v_j]$ and $[v_1]$ share their right endpoint, and so $\delta([v_j], [v_1]) = 1$. Then, to get $\langle v_1, v_j \rangle = 2$, we must have $|v_j| = 3$ and $v_j = e_0 + e_{j-1} - e_j$. Lastly, there exists at most one such j by Corollary 4.15. \square

Proposition 7.7. *If $\sigma_3 = 3$, the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ is $(1, 2, 3, 3, 7)$.*

Proof. Suppose that $\sigma_3 = 3$ (see Lemma 7.5). This implies that $k_2 = 3$ (Lemma 3.5). Using Equation (24), we see that $\sigma_{k_3} = 7$. We claim that $k_3 = k_2 + 1 = 4$. If $k_3 \neq 4$, by Lemma 3.5, $\sigma_4 \in \{4, 6\}$. Suppose $\sigma_4 = 4$, or equivalently, $v_4 = e_0 + e_3 - e_4$. This gives us that $\langle v_4, x_0 \rangle = 2$

and $\langle v_4, v_2 \rangle = 1$. By Lemma 7.3, the interval $[v_1]$ will be a subset of $[v_4] \cup \{x_0\}$, which implies that $|v_1| = 3$, a contradiction. Suppose $\sigma_4 = 6$, or equivalently, $v_4 = e_2 + e_3 - e_4$. Then there will be a claw (v_2, v_1, v_4, v_3) . This justifies the claim, that is, $\sigma_4 = 7$ and $k_3 = 4$. \square

Proposition 7.8. *If $\sigma_3 = 4$, the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ is either $(1, 2, 3, 4, 5, 9)$ or $(1, 2, 3, 4^{[s]}, 4s + 3, 4s + 7)$, $s \geq 1$.*

Proof. All the possibilities for σ_4 lie in $\{4, 5, 6, 7, 8, 9, 10\}$. We first argue that $\sigma_4 \notin \{6, 8, 9, 10\}$. Suppose $\sigma_4 = 6$, then $v_4 = e_1 + e_3 - e_4$, contradicting Lemma 7.4. If $\sigma_4 = 10$, then v_4 will have nonzero inner product with v_2 and v_3 . Using Lemmas 7.5 and 7.3, the interval $[v_1]$ equals the union of $[v_3]$ and $[v_4]$, that is, $|v_1| = 6$, a contradiction. If $\sigma_4 = 8$, then both the unbreakable vectors v_3 and v_4 will have nonzero inner product with x_0 , contradicting Corollary 4.16. When $\sigma_4 = 9$, $v_4 = e_1 + e_2 + e_3 - e_4$. Notice that $\langle v_4, v_1 \rangle = -1$ while v_4 is orthogonal to x_0 . The latter gives us that $\delta([v_4], [v_1]) \leq 2$. Therefore, given that $|v_4| = 4$, we must have $[v_4]$ and $[v_2]$ share their left endpoint by Lemma 7.3, a contradiction to Corollary 4.15. Therefore $\sigma_4 \in \{4, 5, 7\}$.

Suppose that $\sigma_4 = 5$, that is, $v_4 = e_0 + e_3 - e_4$. Using Lemma 3.5, $k_2 = 4$, and so $\sigma_{k_3} = 9$ by Equation (24). Since $\langle v_3, x_0 \rangle = 2$, $\langle v_5, x_0 \rangle = 0$ by Corollary 4.16, unless $k_3 = 5$. Since $4 \in \text{supp}(v_5)$, we get that $k_3 = 5$.

Let $s \geq 1$ be the integer satisfying that $\sigma_3 = \dots = \sigma_{s+2} = 4$, and that $\sigma_{s+3} > 4$. By Lemma 3.5, $k_2 \geq s + 3$. Set $j = \min \text{supp}(v_{s+3}) < s + 2$. If $3 < j < s + 2$, there will be a claw $(v_j, v_{j-1}, v_{s+3}, v_{j+1})$, and if $j = 3$, the claw will be (v_3, x_0, v_{s+3}, v_4) . If $j = 1$, then $2 \in \text{supp}(v_{s+3})$ by Lemma 7.4. Thus $|v_{s+3}| \geq 4$. Since $\langle v_{s+3}, x_0 \rangle = 0$, $\delta([v_{s+3}], [v_1]) \leq 2$. Then

$$|\langle v_{s+3}, v_1 \rangle| \geq 4 - 2 \geq 2,$$

a contradiction. Lastly, suppose $j = 0$. By Corollary 4.16, $\langle v_{s+3}, x_0 \rangle = 0$, it must be the case that $2 \notin \text{supp}(v_{s+3})$. By Lemma 7.6, $v_{s+3} = e_0 + e_{s+2} - e_{s+3}$. If $s = 1$ and $\sigma_4 = 5$, this case was discussed in the previous paragraph. However, if $s > 1$, then $\langle v_{s+3}, v_3 \rangle = 1$, and so $[v_1]$ will be the union of $[v_3]$ and $[v_{s+3}]$ by Lemma 7.5 and Lemma 7.6. Since $|v_3| = 3$, to get $|v_1| = 5$, it must be that $|v_{s+3}| = 4$, a contradiction. So we are left with the case $j = 2$.

Note that $\langle v_{s+3}, v_2 \rangle = -1$, and v_{s+3} is orthogonal to v_1 and x_0 , so $[v_{s+3}]$ is distant from $[v_1]$ by Lemma 7.3. Using Lemma 7.5, we get that v_{s+3} is orthogonal to v_3 , and so $3 \in \text{supp}(v_{s+3})$. By Lemma 4.3, we get that $4, \dots, s + 1 \in \text{supp}(v_{s+3})$. That is, $\sigma_{s+3} = 4s + 3$, and that $k_2 = s + 3$. Using Equation (24), we get that $\sigma_{k_3} = 4s + 7$. With the same argument as in the case $\sigma_4 = 5$, we get that $k_3 = k_2 + 1 = s + 4$. This recovers the case $\sigma_4 = 7$ when $s = 1$. \square

Proposition 7.9. *If $(\sigma_0, \dots, \sigma_{k_3}) = (1, 2, 3, 4, 5, 9)$, then $n + 1 = k_3$ (i.e. v_{k_3} is the last standard basis vector).*

Proof. We claim that the index 6 does not exist. Suppose for contradiction that it exists. Since $5 \in S'_6$, then S'_6 must be one of $\{4, 5\}$, $\{2, 5\}$, or $\{0, 5\}$ (Lemma 3.5 and Corollary 4.16).

By Lemma 7.6, the intervals $[v_4]$ and $[v_1]$ share their right endpoint, and $S'_6 \neq \{0, 5\}$.

Suppose that $S'_6 = \{4, 5\}$ or $\{2, 5\}$, then $\langle v_6, x_0 \rangle = 0$. We have that one of $\langle v_6, v_4 \rangle$ and $\langle v_6, v_3 \rangle$ is zero and the other one is nonzero, depending on whether or not $3 \in S_6$. By Lemma 7.3

and Corollary 4.15, $[v_6]$ and $[v_1]$ are not consecutive. Using Lemma 7.5 and the fact that $[v_4]$ and $[v_1]$ share their right endpoint, we conclude that $[v_6] \subset [v_1]$ and $\delta([v_6], [v_1]) \leq 2$. Since $|v_6| \geq 3$, we must have $\langle v_6, v_1 \rangle \neq 0$. That is, $1 \in \text{supp}(v_6)$, and so $|v_6| \geq 4$. Using Lemmas 7.3, 7.5 and Corollary 4.15, $[v_1]$ will have all the high weight vertices of $[v_3]$, $[v_6]$, and $[v_4]$, and so, $|v_1| \geq 6$, a contradiction. This proves the claim. \square

Proposition 7.10. *When $(\sigma_0, \dots, \sigma_{k_3}) = (1, 2, 3, 3, 7)$, there exists $s \geq 0$, such that $v_{s+5} = e_3 + \dots + e_{s+4} - e_{s+5}$, $v_5 = e_0 + e_4 - e_5$ if $s > 0$, and $|v_j| = 2$ for $5 < j < s+5$ and $j > s+5$. In this case, $\sigma = (1, 2, 3, 3, 7, 8^{[s]}, 8s + 10^{[t]})$ ($s, t \geq 0$).*

Proof. First suppose that $\sigma_5 \neq 10$. Since $k_3 = 4 \in S'_5$, the set S'_5 is either $\{0, 4\}$, $\{3, 4\}$, or $\{0, 2, 3, 4\}$ (Lemmas 3.5 and 4.3). If $S'_5 = \{3, 4\}$, as $\sigma_5 \neq 10$, we must have $1 \in S_5$, a contradiction to Lemma 7.4. If $S'_5 = \{0, 2, 3, 4\}$, then $\langle v_1, v_5 \rangle > 0$. Since $|v_5| \geq 5$ and $\delta([v_1], [v_5]) \leq 3$, we have $\epsilon_1 = \epsilon_5$. Since $\langle v_1, v_5 \rangle \leq 2$, and that $|v_5| \geq 5$, we must have $\delta([v_5], [v_1]) = 3$. Since $\epsilon_1 = \epsilon_5$, by Lemma 3.11, $\langle v_5, x_0 \rangle = -\langle v_1, x_0 \rangle = \pm 2$, which is not true. Therefore, $S'_5 = \{0, 4\}$ and $v_5 = e_0 - e_4 + e_5$ by Lemma 7.6.

We claim that if $S_j \neq \emptyset$ for some $j > 5$, then $S_j = \{3, 4\}$. Assume that $S_j \neq \emptyset$. By Lemmas 3.5 and 4.3, S'_j is one of \emptyset , $\{0, 3\}$, $\{0, 4\}$, $\{2, 3\}$, $\{3, 4\}$, and $\{0, 2, 3, 4\}$. If $S'_j = \emptyset$, then $S_j = \{1\}$, contradicting Lemma 7.4. Since $S'_5 = \{0, 4\}$, $S'_j \neq \{0, 3\}$ or $\{0, 4\}$ by Lemma 7.6. If $S'_j = \{2, 3\}$, then $\langle v_j, x_0 \rangle = 2$. Since $\delta([v_j], [v_1]) \leq 3$, $|v_j| \geq 4$, we have $\langle v_j, v_1 \rangle \neq 0$. Since $0 \notin \text{supp}(v_j)$, we must have $1 \in \text{supp}(v_j)$, and so $|v_j| \geq 5$. Using Lemma 3.9, we get $|\langle v_j, v_1 \rangle| > 1$, contradicting the fact that $\langle v_1, v_j \rangle = -1$. If $S'_j = \{0, 2, 3, 4\}$, then we have $\langle v_j, x_0 \rangle = 2$ and $|v_j| \geq 6$. Thus $x_1 = x_{z_j}$ is contained in $[v_1]$. However, $|v_1| = 5 < 6 = |v_j|$, a contradiction. So $S'_j = \{3, 4\}$. Using Lemma 7.4, we conclude that $1 \notin S_j$. So $S_j = \{3, 4\}$.

If $S_j = \emptyset$ for all $j > 5$, it follows from Lemma 4.26 that $|v_j| = 2$ whenever $j > 5$. Now assume that $S_j \neq \emptyset$ for some $j > 5$. Let $s+5$ be the smallest such j . Then $S_{s+5} = \{3, 4\}$ by the earlier discussion. We also know that $|v_i| = 2$ for any $5 < i < s+5$ by Lemma 4.26. If $5 \notin \text{supp}(v_{s+5})$, then $\langle v_{s+5}, v_5 \rangle \neq 0$ and $\langle v_{s+5}, v_3 \rangle \neq 0$, and so there will be a cycle (v_{s+5}, v_3, v_2, v_5) of length bigger than 3: see Figure 8. Thus $5 \in \text{supp}(v_{s+5})$, and as a result $6, \dots, s+4 \in \text{supp}(v_{s+5})$ by Lemma 4.3. Therefore, $\sigma_{s+5} = 8s + 10$.

Note that, $S_j = \emptyset$ when $j > s+5$. Otherwise, by the earlier discussion, $S_j = \{3, 4\}$, and we would have a heavy triple (v_j, v_{s+5}, v_2) . Given $j > s+5$, let $\ell = \min \text{supp}(v_j) \geq 5$. Note that

$$v_5 \sim v_6 \sim \dots \sim v_{s+4},$$

$[v_5]$ and $[v_1]$ share their right endpoint, $\langle v_i, v_1 \rangle = 0$ and $|v_i| = 2$ when $5 < i < s+5$, so $[v_i] \subset [v_1]$ when $5 \leq i < s+5$. If $\ell \leq s+4$, then $\langle v_j, v_\ell \rangle \neq 0$. Thus $[v_j] \cap [v_1] \neq \emptyset$. Note also that $\delta([v_j], [v_1]) \leq 2$ since v_j is orthogonal to x_0 . Since $|v_j| \geq 3$, we get that $|\langle v_j, v_1 \rangle| > 0$, a contradiction. Thus we have proved that $\min \text{supp}(v_j) \geq s+5$ when $j > s+5$. It follows from Lemma 4.26 that $|v_j| = 2$ when $j > s+5$.

Finally suppose that $\sigma_5 = 10$. Assume that there exists $\ell > 5$ such that $S_\ell \neq \emptyset$. By Lemmas 3.5 and 4.3, S'_ℓ is one of \emptyset , $\{0, 3\}$, $\{0, 4\}$, $\{2, 3\}$, $\{3, 4\}$, and $\{0, 2, 3, 4\}$. If $S'_\ell = \emptyset$, then $S_\ell = \{1\}$, contradicting Lemma 7.4. By Lemma 7.6, $S'_\ell \neq \{0, 3\}$ or $\{0, 4\}$. Suppose $S'_\ell = \{2, 3\}$. If $1 \notin \text{supp}(v_\ell)$, there will be a claw (v_2, v_1, v_ℓ, v_3) . If $1 \in \text{supp}(v_\ell)$, then $|\langle v_\ell, v_1 \rangle| = 1$. By

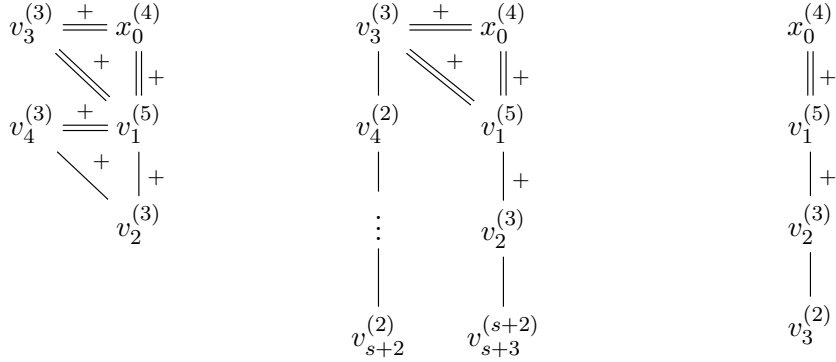


FIGURE 8. Pairing graphs when $(\sigma_0, \dots, \sigma_{k_3})$ is $(1, 2, 3, 4, 5, 9)$ (left), $(1, 2, 3, 4^{[s]}, 4s+3, 4s+7)$ (center), or $(1, 2, 3, 3, 7)$ (right).

Lemma 7.3, $[v_\ell]$ and $[v_1]$ are not consecutive. Since $\delta([v_\ell], [v_1]) \leq 3$ and $|v_\ell| \geq 5$, we get $|\langle v_\ell, v_1 \rangle| \geq 2$, a contradiction. If $S'_\ell = \{3, 4\}$, there will be a heavy triple (v_5, v_ℓ, v_2) . If $S'_\ell = \{0, 2, 3, 4\}$, then $|v_\ell| \geq 6$ and $\langle v_\ell, x_0 \rangle = 2$, so $x_{z_\ell} = x_1$. Thus $|[v_1]| \geq |[v_\ell]| \geq 6$, a contradiction. So we proved that $S_\ell = \emptyset$ whenever $\ell > 5$. It follows from Lemma 4.26 that $|v_j| = 2$ when $j > 5$. \square

Proposition 7.11. *If $(\sigma_0, \dots, \sigma_{k_3}) = (1, 2, 3, 4^{[s]}, 4s+3, 4s+7)$, $s > 0$, then $v_{s+5} = e_{s+3} + e_{s+4} - e_{s+5}$, and $|v_j| = 2$ for $j > s+5$. In this case, $\sigma = (1, 2, 3, 4^{[s]}, 4s+3, 4s+7, (8s+10)^{[t]})$ ($s > 0, t \geq 0$).*

Proof. Suppose that $\ell > s+4$ is an index such that $S_\ell \neq \emptyset$. We will prove that $\ell = s+5$ and $v_{s+5} = e_{s+3} + e_{s+4} - e_{s+5}$. Our conclusion then follows from Lemma 4.26.

Step 1. S'_ℓ must be either \emptyset or $\{s+3, s+4\}$.

Using Lemma 4.3 and Corollary 4.16, S'_ℓ is either \emptyset , $\{0, s+4\}$, $\{2, s+4\}$, or $\{s+3, s+4\}$. Suppose $S'_\ell = \{0, s+4\}$, by Lemma 7.6, $v_\ell = e_0 + e_{s+4} - e_{s+5}$, $[v_\ell]$ and $[v_1]$ share their right endpoint. As $\langle v_\ell, v_3 \rangle \neq 0$, $[v_1]$ equals the union of $[v_3]$ and $[v_\ell]$ by Lemma 7.5, i.e. $|v_1| = 4$, a contradiction. Suppose $S'_\ell = \{2, s+4\}$. If $1 \notin S_\ell$, as $s+3 \notin S_\ell$, $\langle v_\ell, v_{s+3} \rangle \neq 0$, there will be a heavy triple (v_ℓ, v_{s+3}, v_2) . If $1 \in S_\ell$ (and consequently, $|v_\ell| \geq 4$), then there will be a claw (v_1, x_0, v_ℓ, v_2) , unless $[v_\ell] \cap [v_1]$. If $[v_\ell] \cap [v_1]$, however, we get $\delta([v_\ell], [v_1]) = 2$, and so $|\langle v_\ell, v_1 \rangle| \geq 2$, a contradiction to the fact that $\langle v_\ell, v_1 \rangle = -1$.

Step 2. If $S'_\ell = \emptyset$ or $\{s+3, s+4\}$, then $S_\ell = S'_\ell$. In particular, $S_{s+5} = \{s+3, s+4\}$.

Suppose that $S'_\ell = \emptyset$ or $\{s+3, s+4\}$. Let $i = \min \text{supp}(v_\ell)$. By Lemma 7.4, $i \neq 1$. That is, $\langle v_\ell, v_1 \rangle = 0$. Also, note that v_ℓ is orthogonal to x_0 , and so $\delta([v_\ell], [v_1]) \leq 2$. If $3 \leq i \leq s+2$, since $v_3 \sim v_4 \sim \dots \sim v_i \sim v_\ell$, using Lemmas 7.5 and 7.3, $x_{z_\ell} \in [v_1]$. Therefore, $\langle v_1, v_\ell \rangle \neq 0$, a contradiction. So $i \geq s+3$ and hence $S_\ell = S'_\ell$. Clearly, $S_{s+5} = \{s+3, s+4\}$ by Lemma 4.7.

Step 3. If $S_\ell = \{s+3, s+4\}$, then $\ell = s+5$.

Assume that $\ell > s + 5$ and $S_\ell = \{s + 3, s + 4\}$, then we have a heavy triple $(v_{s+3}, v_{s+5}, v_\ell)$. \square

7.2. $\mathbf{k}_1 = 3$. In this subsection we focus on the changemaker C-type lattices with

$$x_0 = e_0 + e_3 + e_{k_2} - e_{k_3}. \quad (26)$$

Recall that the changemaker starts with $(1, 2, 2, 3)$.

Lemma 7.12. *The intervals $[v_3]$ and $[v_1]$ share their right endpoint and $\epsilon_3 = \epsilon_1$. Moreover, $[v_2]$ abuts the right endpoint of $[v_1]$ and $[v_3]$.*

Proof. Since $|v_3| = 3$ and $\langle v_1, v_3 \rangle = 2$, from Lemma 3.9, it must be the case that $\epsilon_1 = \epsilon_3$ and $\delta([v_1], [v_3]) = 1$. The first statement of the lemma is now immediate because v_3 is orthogonal to x_0 . Since $\langle v_2, v_1 \rangle \neq 0$ and $\langle v_2, x_0 \rangle = 0$, $[v_2]$ abuts the right endpoint of $[v_1]$. \square

Corollary 7.13. *Suppose that there exists a vector v_j such that $j > 3$, $j \neq k_3$, and $\langle v_j, v_1 \rangle = 2$. Then $j = 4$, and that $v_4 = e_0 + e_3 - e_4$.*

Proof. Suppose that j is such an index. Therefore, $0 \in \text{supp}^+(v_j)$ and $1 \notin \text{supp}^+(v_j)$. (This, in particular, implies that $|v_j| \geq 3$). We claim that $\langle v_j, x_0 \rangle \neq 0$. Otherwise, assume $\langle v_j, x_0 \rangle = 0$. Since $\langle v_j, v_1 \rangle = 2$, $x_{z_j} \in [v_1]$. Using Lemma 7.12 and Corollary 4.15, $[v_1]$ contains at least 3 high weight vertices x_1, x_{z_j}, x_{z_3} , and $\delta([v_j], [v_1]) = 2$. Since $|v_1| = 5$, we have $|x_{z_j}| = 3$, so by Lemma 3.9 we have $|\langle v_j, v_1 \rangle| = 1$, a contradiction. This justifies the claim, and therefore, $\langle v_j, x_0 \rangle = 2$. Since $|v_j| \geq 3$ and $\delta([v_1], [v_j]) \leq 3$, to get $\langle v_j, v_1 \rangle = 2$, we must have $\epsilon_1 = \epsilon_j$. Thus, $\delta([v_j], [v_1]) = 1$ and $|v_j| = 3$. That is, $v_j = e_0 + e_{j-1} - e_j$. We now argue that $j = 4$. Suppose for contradiction that $j > 4$. Thus $\langle v_j, v_3 \rangle = 1$. Using Lemma 7.12, we get that the interval $[v_1]$ equals the union of $[v_j]$ and $[v_3]$. Since $|v_j| = |v_3| = 3$, we get that $|v_1| = 4$, which is a contradiction. \square

Lemma 7.14. *Let v_j be a vector such that $j > 3$, $j \neq k_3$. Then $\langle v_j, v_1 \rangle \in \{0, 2\}$. As a result, $\min \text{supp}(v_j) \geq 2$ unless $j = 4$ and $v_4 = e_0 + e_3 - e_4$.*

Proof. Assume that $\langle v_j, v_1 \rangle \notin \{0, 2\}$, then $\text{supp}(v_j) \cap \{0, 1\} = \{1\}$ or $\{0, 1\}$. By Lemma 4.3, $2 \in \text{supp}(v_j)$. If $0 \in \text{supp}(v_j)$, since $|\langle v_j, v_3 \rangle| \leq 1$ by Corollary 4.14, we have $3 \in \text{supp}(v_j)$. Thus $|v_j| \geq 5$. Since $\langle x_0, v_j \rangle = 2$, $x_{z_j} = x_1$. By Corollary 4.15 and Lemma 7.12, $x_{z_j} \neq x_{z_3}$. So

$$5 = |v_1| \geq |x_{z_j}| + |x_{z_3}| - 2 \geq 5 + 1,$$

a contradiction.

We have shown that $0 \notin \text{supp}(v_j)$. If $3 \notin \text{supp}(v_j)$, then $j > 4$ and $|v_j| \geq 4$. As $\langle v_j, v_3 \rangle = 1$, using Corollary 4.15, $[v_j]$ and $[v_3]$ are consecutive. By Lemma 7.12 and the fact that $\langle v_j, v_2 \rangle = 0$ we conclude that $[v_j] \subset [v_1]$. Since $\langle v_j, x_0 \rangle = 0$, $[v_1]$ contains at least three high weight vertices: x_1, x_{z_j}, x_{z_3} . This is impossible as $|v_1| = 5$ and $|v_j| \geq 4$.

Now we have $\text{supp}(v_j) \cap \{0, 1, 2, 3\} = \{1, 2, 3\}$, so $\langle v_j, v_3 \rangle = 0$. By Lemma 4.7, $|v_j| \geq 5$ unless $j = 4$. By Lemma 7.12 and the fact that $\langle v_j, v_1 \rangle \neq 0$ we conclude that $[v_j] \subset [v_1]$. So $[v_1]$ contains at least two high weight vertices: x_{z_j}, x_{z_3} . It follows that $|v_j| \leq 4$. So $j = 4$ and $|v_4| = e_1 + e_2 + e_3 - e_4$. Since $|v_4| = 4$, $[v_1]$ contains exactly two high weight vertices, so x_1 must be x_{z_4} . So $\langle v_4, x_0 \rangle \neq 0$, which is not possible. This shows that $\langle v_j, v_1 \rangle \in \{0, 2\}$.

If $\min \text{supp}(v_j) < 2$, then $\langle v_j, v_1 \rangle \neq 0$. We must have $\langle v_j, v_1 \rangle = 2$, so $j = 4$ and $v_4 = e_0 + e_3 - e_4$ by Corollary 7.13. \square

Lemma 7.15. *Let v_j be a vector such that $j > 4$, $j \neq k_3$. Then $\text{supp}(v_j) \cap \{0, 1, 2, 3\} \neq \{2\}$ or $\{3\}$.*

Proof. Assume that $\text{supp}(v_j) \cap \{0, 1, 2, 3\}$ contains only one element which is 2 or 3. Then $|v_j| \geq 3$, $\langle v_j, v_3 \rangle \neq 0$ while $\langle v_j, v_1 \rangle = 0$. By Lemma 7.12, $[v_j]$ abuts the left endpoint of $[v_3]$, so $[v_j] \subset [v_1]$. Since $|v_j| \geq 3$ and $\delta([v_j], [v_1]) \leq 3$, using Lemma 3.9, we get that $\langle v_j, v_1 \rangle \neq 0$ unless $|v_j| = \delta([v_j], [v_1]) = 3$. However, if $\delta([v_j], [v_1]) = 3$, $[v_1]$ is contained in the union of $[v_j], [v_3]$ and $\{x_0\}$. Since $|v_j| = |v_3| = 3$, we have $|v_1| = 4$, a contradiction. \square

Lemma 7.16. $\sigma_4 \in \{3, 4, 5\}$. *Furthermore, if $\sigma_4 = 3$ then $[v_4]$ abuts the left endpoint of $[v_3]$. If $\sigma_4 = 4$ then $[v_4]$ and $[v_1]$ share their left endpoint.*

Proof. If $\min \text{supp}(v_4) < 2$, using Lemma 7.14, $\sigma_4 = 4$. By Lemma 4.7, if $\min \text{supp}(v_4) \geq 2$, $v_4 = e_2 + e_3 - e_4$ or $e_3 - e_4$. So $\sigma_4 = 5$ or 3.

When $\sigma_4 = 3$, $[v_4]$ abuts $[v_3]$ and $\langle v_4, v_1 \rangle = 0$. By Lemma 7.12, $[v_4]$ abuts the left endpoint of $[v_3]$. When $\sigma_4 = 4$, $\langle v_4, v_1 \rangle = 2 = \langle v_4, x_0 \rangle$. So $\delta([v_4], [v_1]) = 1$ by Lemma 3.9. Thus $[v_4]$ and $[v_1]$ share their left endpoint by Lemma 7.12. \square

Proposition 7.17. *If $\sigma_4 = 3$, the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ is $(1, 2, 2, 3, 3, 7)$.*

Proof. Suppose that $\sigma_4 = 3$ (see Lemma 7.16). This implies that $k_2 = 4$ (Lemma 3.5). Using Equation (26), we get that $\sigma_{k_3} = 7$. If $k_3 \neq 5$, using Lemma 3.5 and Lemma 7.14, we must have $S_5 \supset \{3, 4\}$. By Lemma 7.15, we have $2 \in S_5$, so $\langle v_5, x_0 \rangle = 2$ and $v_5 \sim v_2$. By Lemma 7.12, $[v_1]$ is contained in the union of $x_0, [v_5], [v_2]$. So $|v_1| = |v_5| = 4$, which is not possible. \square

Proposition 7.18. *If $\sigma_4 \neq 3$, the initial segment $(\sigma_0, \dots, \sigma_{k_3})$ of σ is $(1, 2, 2, 3, 4^{[s]}, 4s + 5, 4s + 9)$, $s \geq 0$.*

Proof. Suppose that $\sigma_4 \neq 3$ (see Lemma 7.16). Furthermore, let $s \geq 0$ satisfy that $\sigma_i = 4$ for any $4 \leq i < s + 4$, and that $\sigma_{s+4} > 4$. We have $k_2 \geq s + 4$ by Lemma 3.5. Set $j = \min \text{supp}(v_{s+4}) < s + 3$. Then $j \geq 2$ by Lemma 7.14. Also, $j \neq 3$ by Lemma 7.15. If $4 < j < s + 3$, we will get a claw $(v_j, v_{j-1}, v_{s+4}, v_{j+1})$, and if $j = 4$, the claw will be on v_4, x_0, v_{s+4}, v_5 . This proves that $j = 2$. By Lemma 7.15, $3 \in \text{supp}(v_{s+4})$.

We will show that $\sigma_{s+4} = 4s + 5$. If $s = 0$, $v_4 = e_2 + e_3 - e_4$, and we are done. If $s > 0$, since $2, 3 \in \text{supp}(v_{s+4})$, $|v_{s+4}| \geq 4$. Also, v_{s+4} must be orthogonal to v_4 , as otherwise, using Lemmas 7.16 and 7.12, all the three intervals $[v_4], [v_{s+4}]$, and $[v_3]$ will be subsets of $[v_1]$, which implies that $|v_1| \geq 6$, a contradiction. That is, $4 \in \text{supp}(v_{s+4})$. Using Lemma 4.3, v_{s+4} is just right and $\sigma_{s+4} = 4s + 5$.

Using Lemma 3.5, we see that $k_2 = s + 4$. By Equation (26), we have $\sigma_{k_3} = 4s + 9$. Note that $k_2 \in \text{supp}(v_{k_2+1})$. Since the unbreakable vector v_4 has nonzero inner product with x_0 , using Corollary 4.16, we get that $k_3 = k_2 + 1$. \square

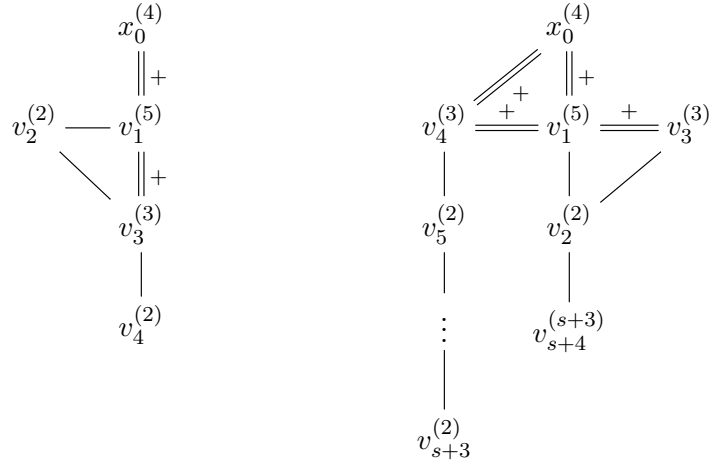


FIGURE 9. Pairing graphs when $(\sigma_0, \dots, \sigma_{k_3})$ is $(1, 2, 2, 3, 3, 7)$ (left) or $(1, 2, 2, 3, 4^{[s]}, 4s + 5, 4s + 9)$, $s > 0$ (right).

Proposition 7.19. *If $(\sigma_0, \dots, \sigma_{k_3}) = (1, 2, 2, 3, 3, 7)$, then $n + 1 = k_3$ (i.e. v_{k_3} is the last standard basis vector).*

Proof. We claim that the index $k_3 + 1$ (that is, 6) does not exist. Using Lemmas 3.5, 4.7, 4.3, and 7.14, $S'_6 = \{4, 5\}$. Then $\langle v_6, v_4 \rangle \neq 0$, and also v_6 is orthogonal to x_0 . Using Lemmas 7.16 and 7.12, we must have $[v_6] \subset [v_1]$ which implies that $\langle v_6, v_1 \rangle \neq 0$ since $|v_6| \geq 3$. This contradicts Lemma 7.14. \square

Proposition 7.20. *If $(\sigma_0, \dots, \sigma_{k_3}) = (1, 2, 2, 3, 4^{[s]}, 4s + 5, 4s + 9)$, $s \geq 0$, then $v_{s+6} = e_{s+4} + e_{s+5} - e_{s+6}$ if it exists, and $|v_i| = 2$ for $i > s + 6$. In this case, $\sigma = (1, 2, 2, 3, 4^{[s]}, 4s + 5, 4s + 9, (8s + 14)^{[t]})$, $t \geq 0$.*

Proof. Suppose that $\ell > k_3 = s + 5$ is an index such that $S_\ell \neq \emptyset$. We will prove that $\ell = s + 6$ and $S_\ell = \{s + 4, s + 5\}$. This, together with Lemma 4.26, will imply our desired result.

By Lemmas 3.5, 7.14, and Corollary 4.16, S'_ℓ is one of $\emptyset, \{3, 4\}, \{3, 5\}$ and $\{4, 5\}$ if $s = 0$, and one of $\emptyset, \{3, s + 5\}$, and $\{s + 4, s + 5\}$ if $s > 0$. Let $j = \min \text{supp}(v_\ell)$, then $j \geq 2$ by Lemma 7.14. Also, $j \neq 3$ by Lemma 7.15.

If $s = 0$ and $S'_\ell = \{3, 4\}$, we have $\langle v_\ell, x_0 \rangle = 2$ and $|v_\ell| \geq 4$, so $x_1 \in [v_\ell]$. Using Lemma 3.9, we get $\langle v_\ell, v_1 \rangle \neq 0$, a contradiction.

If $S'_\ell = \{3, s + 5\}$, to avoid $\langle v_\ell, v_{s+4} \rangle > 1$, $2 \notin \text{supp}(v_\ell)$. Thus, $j = 3$, which is impossible.

Having proved $S'_\ell = \emptyset$ or $\{s + 4, s + 5\}$, we claim that $S_\ell = S'_\ell$. First, $j \neq 2$ by Lemma 7.15. So our claim holds when $s = 0$. When $s > 0$, if $4 \leq j < s + 3$, we have a claw $(v_j, v_{j-1}, v_{j+1}, v_\ell)$. If $j = s + 3$, $\langle v_\ell, v_{s+3} \rangle \neq 0$. By Lemma 7.16, $[v_4]$ and $[v_1]$ share their left endpoint. Since

$|v_5| = \dots = |v_{s+3}| = 2$ and $v_4 \sim v_5 \sim \dots \sim v_{s+3}$, we have $[v_\ell] \subset [v_1]$ by Lemma 7.12. Thus $\langle v_\ell, v_1 \rangle \neq 0$ by Lemma 3.9, a contradiction. So our claim is proved.

Now by Lemma 4.7, $s+5 \in S_{s+6}$. So $S_{s+6} = \{s+4, s+5\}$ by the results in the previous two paragraphs. If there was $\ell > s+6$ satisfying $S_\ell = \{s+4, s+5\}$, we would have a heavy triple $(v_{s+4}, v_{s+6}, v_\ell)$. Thus $S_\ell = \emptyset$ whenever $\ell > s+6$. \square

8. DETERMINING p AND q

In Sections 5, 6, and 7, we have classified all the $(n+1)$ -dimensional C-type lattices that are isomorphic to changemaker lattices. In the present section, we list all the corresponding prism manifolds $P(p, q)$. To do so, we start with the refined basis $S' = \{v_1, \dots, v_{n+1}\} \setminus \{v_{k_3}\} \cup \{x_0\}$ as defined in (10). The first step is changing the basis into the vertex basis $\{x_0, x_1, \dots, x_n\}$. We then recover the a_i from the norms of vertex basis elements. By using Equation (1), we obtain p and q .

Example 8.1. We present an example that clarifies how (p, q) is computed in Proposition 5.6. The changemaker is

$$(1, 1, 2^{[s]}, 2s-1, 2s+1), s = n-2 \geq 2.$$

Let S' denote the modified standard basis for the changemaker lattice $L = (\sigma)^\perp$. It is straightforward to check that

$$\{x_0\} \cup \{-v_2, \dots, -v_{s+1}, v_3 + \dots + v_{s+2}, v_1\}$$

forms the vertex basis S^* . Also, the vertex norms are

$$\{3, 2^{[s-1]}, s+1, 2\}.$$

Using Lemma 2.4 together with Equation (1), we have

$$\frac{2q-p}{q-p} = [3, 2^{[s-1]}, s+1, 2] = \frac{4s^2+3}{2s^2-s+2}.$$

In particular, $p = 2s-1$ and $q = 2s^2 + s + 1$. We see that $q = \frac{1}{2}(p^2 + 3p + 4)$, $p \geq 3$.

Similar computations give prism manifolds $P(p, q)$, with $q > p$, so that each falls into one of the families in Table 1. We denote the set of such prism manifolds $\mathcal{P}_{q>p}^+$. Here we divide the families so that each changemaker vector corresponds to a unique family. In some cases there are prism manifolds that correspond to more than one family in Table 1. For instance, it is straightforward to check that $P(5, 22)$ belongs to both Families 5 and 1A. The detailed correspondence between the changemaker vectors and $P(p, q)$ can be found in Table 3. Note that the positive integer p is always odd.

9. PRISM MANIFOLDS REALIZABLE BY SURGERY ON KNOTS IN S^3

Table 1 gives a list of all prism manifolds $P(p, q)$, with $q > p$, that can possibly be realized by surgery on knots in S^3 . In [BHM⁺16, Table 2], a list of realizable prism manifolds $P(p, q)$ with $q > 0$ is provided. It is straightforward to verify that the manifolds in Table 1 coincide

TABLE 1. $\mathcal{P}_{q>p}^+$, table of $P(p, q)$ that are realizable, $q > p$

Type	$P(p, q)$	Range of parameters (p and r are always odd, $p > 1$)
1A	$P\left(p, \frac{1}{2}(p^2 + 3p + 4)\right)$	
1B	$P\left(p, \frac{1}{22}(p^2 + 3p + 4)\right)$	$p \equiv 5 \text{ or } 3 \pmod{22}$ $p \neq 3, 5$
2	$P\left(p, \frac{1}{ 4r+2 }(r^2p - 1)\right)$	$r \equiv -1 \pmod{4}$ $p \equiv -2r + 3 \pmod{4r + 2}$ $r \neq -5, -1, 3$
3A	$P\left(p, \frac{1}{2r}(p - 1)(p - 4)\right)$	$p \equiv 1 \pmod{2r}$ $p \neq 2r + 1$ $r \geq 5$
3B	$P\left(p, \frac{1}{2r}(p - 1)(p - 4)\right)$	$p \equiv r + 4 \pmod{2r}$ $p > r + 4$ $r \geq 1$
4	$P\left(p, \frac{1}{2r^2}((2r + 1)^2p - 1)\right)$	$p \equiv -4r + 1 \pmod{2r^2}$ $r \neq 1, -1$
5	$P\left(p, \frac{1}{r^2 - 2r - 1}(r^2p - 1)\right)$	$r > 1$ $p \equiv -2r + 5 \pmod{r^2 - 2r - 1}$
Sporadic	$P(11, 19), P(13, 34)$	

with those of [BHM⁺16, Table 2] with $q > p$. That is, Table 1 is a complete list of prism manifolds $P(p, q)$, with $q > p$, arising from surgery on knots in S^3 .

9.1. Prism manifolds corresponding to more than one changemaker vector. As we pointed out in Section 8, some of the prism manifolds in Table 1 correspond to distinct changemaker vectors. In this subsection, we address this by providing distinct knots corresponding to such prism manifolds. Our strategy is as follows: let σ be a changemaker vector whose orthogonal complement is isomorphic to $C(p, q)$ for some p and q . Let σ correspond to a knot K in S^3 on which surgery results in $P(p, q)$. Using Lemma 2.7, we compute the Alexander polynomial $\Delta_K(T)$. Then we exhibit a P/SF knot K_σ that admits a surgery to $P(p, q)$. By directly computing $\Delta_{K_\sigma}(T)$ we show that the two Alexander polynomials coincide. That is, K_σ matches with σ . See [BHM⁺16, Section 13.2]. The parameters beneath the P/SF knots in Table 2 are explained in [BHM⁺16].

9.2. Proof of the main results.

Proof of Theorem 1.4. If $C(p, q)$ is isomorphic to a changemaker lattice L , then it belongs to one of the families enumerated in Sections 5, 6, and 7. Following Section 8, we can find a pair (p', q') such that L is isomorphic to $C(p', q')$, and $P(p', q') \in \mathcal{P}_{q > p}^+$. Now, Proposition 3.16 finishes the proof. \square

Proof of Theorem 1.1. Suppose $P(p, q) \cong S_{4q}^3(K)$, it follows from Theorem 1.3 and Theorem 1.4 that $P(p, q)$ belongs to one of the six families in Table 1 and $P(p, q) \cong S_{4q}^3(K_0)$ for some Berge–Kang knot K_0 . To get the result about \widehat{HFK} , we note that K and K_0 correspond to the same changemaker vector. Using Lemma 2.7, we know that $\Delta_K = \Delta_{K_0}$, so $\widehat{HFK}(K) \cong \widehat{HFK}(K_0)$ by [OS05a, Theorem 1.2]. \square

TABLE 2. Prism manifolds $P(p, q)$ corresponding to more than one changemaker

Prism manifold	Type	Changemaker	P/SF knot	Braid word
$P(8s + 13, 16s + 18)$	4	$(1, 2, 3, 3, 7, 8^{[s]})$	KIST IV , $s > 0$ $(2, -3, -1, 0, s + 2)$	$(\sigma_7 \cdots \sigma_1)^{8s+23} (\sigma_{13} \cdots \sigma_1)^{-8}$
			KIST I , $s = 0$ $(1, 3, 4, -2, -3)$	
	3A , $s > 0$ 3B , $s = 0$	$(1, 1, 3, 5, 6, 8^{[s]})$	OPT II $(2, 3, 0, 1, s + 1)$	$(\sigma_7 \cdots \sigma_1)^{8s+11} (\sigma_1 \cdots \sigma_7)^{-2}$
			KIST IV $(2, 1, 1, -3, 2)$	
$P(5, 22)$	5	$(1, 1, 1, 2, 3, 6, 6)$	KIST IV $(2, 1, 1, -3, 1)$	$(29, 3)$ -cable of $T(5, 2)$
	1A	$(1, 1, 2, 2, 5, 7)$	TKM II $(1, 2, -1, 2, 2)$	$(\sigma_1 \cdots \sigma_{11})^7 \sigma_1^2$
$P(25, 36)$	3B	$(1, 1, 3, 5, 6, 6, 6)$	OPT III $(2, 3, 0, 1, 2)$	$(\sigma_1 \cdots \sigma_{22})^6 \sigma_2 \sigma_3 \sigma_4 \sigma_1 \sigma_2 \sigma_3$
	5	$(1, 1, 1, 3, 4, 4, 10)$	KIST IV $(2, 1, 1, -1, 3)$	$(\sigma_1 \cdots \sigma_{13})^{10} \sigma_1 \sigma_2 \sigma_3$
$P(43, 117)$	3A	$(1, 1, 2, 5, 7, 10, 12, 12)$	OPT II $(2, 5, 0, 1, 3)$	$(\sigma_1 \cdots \sigma_{40})^{12} (\sigma_1 \cdots \sigma_{11})^{-2}$
	4	$(1, 1, 2, 3, 5, 6, 14, 14)$	KIST IV $(2, -3, 1, -3, 1)$	$(\sigma_1 \cdots \sigma_{33})^{14} (\sigma_7 \cdots \sigma_1)^{-1}$

TABLE 3. C-type changemakers and the corresponding prism manifolds, Part I

Prop.	Changemaker vector	Vertex basis (with x_0 omitted) $\{x_1, \dots, x_n\}$
5.6	$(1, 1, 2^{[s]}, 2s - 1, 2s + 1)$ $s \geq 2$	$\{-v_2, \dots, -v_{s+1}, v_{[3,s+2]}, v_1\}$
5.7	$(1, 1, 2^{[s]}, 2s + 1, 2s + 3, 4s + 4, 8s + 10)$ $s \geq 1$	$\{-v_2, \dots, -v_{s+1}, -v_{s+5}, v_{s+4}, v_{s+2}, v_1\}$
	$(1, 1, 2^{[s]}, 2s + 1, 2s + 3, 4s + 6, 8s + 10)$ $s \geq 1$	$\{-v_2, \dots, -v_{s+1}, -v_{s+4}, v_{s+5}, v_{s+2}, v_1\}$
	$(1, 1, 2, 3, 5, 8^{[s]}, 8s + 6, (8s + 14)^{[t]})$ $s \geq 1$	$\{-v_2, v_{s+5}, v_1, -v_3 - v_1, -v_5, \dots, -v_{s+4}, -v_{s+6}, \dots, -v_{s+t+5}\}$
	$(1, 1, 2, 3, 5, 6, 14^{[t]})$	$\{-v_2, v_1 + v_5, -v_1, -v_3, -v_6, \dots, -v_{t+5}\}$
5.9	$(1, 1, 2^{[s]}, 2s + 3, 2s + 5, (4s + 6)^{[t]})$ $s, t \geq 1$	$\{-v_2, \dots, -v_{s+1}, v_{[1,s+1]} + v_{[s+4,s+t+3]} - v_{s+2}, -v_{s+t+3}, \dots, -v_{s+4}, -v_1\}$
	$(1, 1, 2^{[s]}, 2s + 3, 2s + 5)$ $s \geq 1$	$\{-v_2, \dots, -v_{s+1}, v_{[1,s+1]} - v_{s+2}, -v_1\}$
	$(1, 1, 2^{[s]}, 2s + 3, 2s + 5, 4s + 6, (4s + 8)^{[t]})$ $s, t \geq 1$	$\{-v_2, \dots, -v_{s+1}, -v_{s+5}, \dots, -v_{s+t+4}, v_{[1,s+1]} + v_{[s+4,s+t+4]} - v_{s+2}, -v_{s+4}, -v_1\}$
6.3	$(1, 1, 3, 5, 6^{[t]})$ $t \geq 1$	$\{v_1 + v_{[4,t+3]} - v_2, -v_{t+3}, \dots, -v_4, -v_1\}$
	$(1, 1, 3, 5)$	$\{-v_2, v_1\}$
	$(1, 1, 3, 5, 6, 8^{[t+1]})$	$\{-v_5, \dots, -v_{t+5}, v_1 + v_{[4,t+5]} - v_2, -v_4, -v_1\}$
6.4	$(1, 1, 1, 3, 4, 4^{[t]}, 4t + 6, (4t + 10)^{[s]})$	$\{-v_{t+5}, -v_1, -v_2, -v_4, \dots, -v_{t+4}, -v_{t+6}, \dots, -v_{t+s+5}\}$
	$(1, 1, 1, 3, 4, 10)$	$\{-v_5, v_4, v_2, v_1\}$
	$(1, 1, 1, 3, 6, 10)$	$\{-v_4, v_5, v_2, v_1\}$
6.5	$(1, 1, 1, 2, 3, 6^{[t]})$ $t \geq 1$	$\{-v_3, -v_1, -v_2, -v_5, \dots, -v_{t+4}\}$
7.9	$(1, 2, 3, 4, 5, 9)$	$\{-v_3, v_{[3,4]} - v_1, -v_4, v_2\}$
7.10	$(1, 2, 3, 3, 7, 8^{[s]}, (8s + 10)^{[t]})$ $s \geq 1$	$\{v_{[5,s+4]} - v_1, -v_{s+4}, \dots, -v_5, v_2, v_3, v_{s+5}, \dots, v_{s+t+4}\}$
	$(1, 2, 3, 3, 7, 10^{[t]})$	$\{-v_1, v_2, v_3, v_5, \dots, v_{t+4}\}$
7.11	$(1, 2, 3, 4^{[s]}, 4s + 3, 4s + 7, (8s + 10)^{[t]})$ $s \geq 1$	$\{-v_3, \dots, -v_{s+2}, v_{[3,s+2]} - v_1, v_2, v_{s+3}, v_{s+5}, \dots, v_{s+t+4}\}$
7.19	$(1, 2, 2, 3, 3, 7)$	$\{v_{[3,4]} - v_1, -v_4, -v_3, -v_2\}$
7.20	$(1, 2, 2, 3, 4^{[s]}, 4s + 5, 4s + 9, (8s + 14)^{[t]})$ $s \geq 1$	$\{-v_4, \dots, -v_{s+3}, v_{[3,s+3]} - v_1, -v_3, -v_2, -v_{s+4}, -v_{s+6}, \dots, -v_{s+t+5}\}$
	$(1, 2, 2, 3, 5, 9, 14^{[t]})$	$\{v_3 - v_1, -v_3, -v_2, -v_4, -v_6, \dots, -v_{t+5}\}$

TABLE 3. C-type changemakers and the corresponding prism manifolds, Part II

Prop.	Vertex norms $\{a_1, \dots, a_n\}$	Prism manifold parameters	$\mathcal{P}_{q>p}^+$ type
5.6	$\{3, 2^{[s-1]}, s+1, 2\}$	$p = 2s - 1$ $q = 2s^2 + s + 1$	1A
	$\{3, 2^{[s-1]}, 5, 3, s+2, 2\}$	$p = 22s + 25$ $q = 22s^2 + 53s + 32$	1B
5.7	$\{3, 2^{[s-1]}, 4, 4, s+2, 2\}$	$p = 22s + 27$ $q = 22s^2 + 57s + 37$	1B
	$\{3, s+3, 2, 3, 3, 2^{[s-1]}, 3, 2^{[t-1]}\}$	$r = 2s + 3$ $p = 2r^2(t+1) - 4r + 1$ $q = (2r+1)^2(t+1) - 8r - 6$	4
	$\{3, 3, 2, 3, 4, 2^{[t-1]}\}$	$r = 3$ $p = 18t + 7$ $q = 49t + 19$	4
	$\{3, 2^{[s-1]}, 4, 2^{[t-1]}, s+3, 2\}$	$r = 2t + 1$ $p = 2r(s+1) + r + 4$ $q = \frac{1}{2}(2rs + 3(r+1))(2s+3)$	3B
5.9	$\{3, 2^{[s-1]}, s+5, 2\}$	$r = 1$ $p = 2s + 7$ $q = (s+3)(2s+3)$	3B
	$\{3, 2^{[s-1]}, 3, 2^{[t-1]}, 3, s+3, 2\}$	$r = 2t + 3$ $p = 2r(s+2) + 1$ $q = (s+2)(2r(s+2) - 3)$	3A
6.3	$\{5, 2^{[t-1]}, 3, 2\}$	$r = 2t + 1$ $p = 6t + 7$ $q = 9t + 9$	3B
	$\{6, 2\}$	$r = 1$ $p = 7$ $q = 9$	3B
	$\{4, 2^{[t]}, 3, 3, 2\}$	$r = 2t + 5$ $p = 8t + 21$ $q = 16t + 34$	3A

TABLE 3. C-type changemakers and the corresponding prism manifolds, Part III

Prop.	Vertex norms $\{a_1, \dots, a_n\}$	Prism manifold parameters	$\mathcal{P}_{q>p}^+$ type
6.4	$\{t+4, 2, 2, 3, 2^{[t]}, 3, 2^{[s-1]}\}$	$r = 2t + 5$ $p = (r^2 - 2r - 1)(s + 1) - 2r + 5$ $q = r^2(s + 1) - 2r + 1$	5
	$\{6, 3, 2, 2\}$	$p = 25$ $q = 32$	1B
	$\{5, 4, 2, 2\}$	$p = 27$ $q = 37$	1B
6.5	$\{3, 2, 2, 4, 2^{[t-1]}\}$	$r = 3$ $p = 2t + 1$ $q = 9t + 4$	5
7.9	$\{3, 3, 3, 3\}$	$p = 13$ $q = 34$	Sporadic
7.10	$\{4, 2^{[s-1]}, 3, 3, 2, s+3, 2^{[t-1]}\}$	$r = -3 - 2s$ $p = 2r^2t - 4r + 1$ $q = t(2r + 1)^2 - 8r - 6$	4
	$\{5, 3, 2, 3, 2^{[t-1]}\}$	$r = -3$ $p = 18t + 13$ $q = 25t + 18$	4
7.11	$\{3, 2^{[s-1]}, 4, 3, s+2, 3, 2^{[t-1]}\}$	$r = -5 - 4s$ $p = (-4r - 2)t - 2r + 3$ $q = r^2t + \frac{1}{2}(r^2 - 2r + 1)$	2
7.19	$\{4, 2, 3, 2\}$	$p = 11$ $q = 19$	Sporadic
7.20	$\{3, 2^{[s-1]}, 3, 3, 2, s+3, 3, 2^{[t-1]}\}$	$r = 7 + 4s$ $p = (4r + 2)t + 2r + 5$ $q = r^2t + \frac{1}{2}(r^2 + 2r - 1)$	2
	$\{4, 3, 2, 3, 3, 2^{[t-1]}\}$	$r = 7$ $p = 30t + 19$ $q = 49t + 31$	2

In this table, $v_{[a,b]}$ means $v_a + v_{a+1} + \dots + v_b$ for $a < b$. All vertex bases are presented in the form $\{x_1, \dots, x_n\}$. The parameters $s, t \geq 0$ unless otherwise stated. A superscript $[-1]$ at an element in the sequence of vertex norms means that the sequence is truncated at this element and the element preceding it. For example, the sequence $\{3, 2^{[s-1]}, 4, 3, s+2, 3, 2^{[t-1]}\}$ becomes $\{3, 2^{[s-1]}, 4, 3, s+2\}$ when $t = 0$.

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