

SDSS-IV MaNGA: Constraints on the Conditions for Star Formation in Galaxy Discs

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ABSTRACT

Regions of disc galaxies with widespread star formation tend to be both gravitationally unstable and self-shielded against ionizing radiation, whereas extended outer discs with little or no star formation tend to be stable and unshielded on average. We explore what drives the transition between these two regimes, specifically whether discs first meet the conditions for self-shielding (parameterized by dust optical depth, τ) or gravitational instability (parameterized by a modified version of Toomre’s instability parameters, Q_{thermal} , which quantifies the stability of a gas disc that is thermally supported at $T = 10^4$ K). We first introduce a new metric formed by the product of these quantities, $Q_{\text{thermal}}\tau$, which indicates whether the conditions for disk instability or self-shielding are easier to meet in a given region of a galaxy, and we discuss how $Q_{\text{thermal}}\tau$ can be constrained even in the absence of direct gas information. We then analyse a sample of 13 galaxies with resolved gas measurements and find that on average galaxies will reach the threshold for disk instabilities ($Q_{\text{thermal}} < 1$) before reaching the threshold for self-shielding ($\tau > 1$). Using integral field spectroscopic observations of a sample of 236 galaxies from the MaNGA survey, we find that the value of $Q_{\text{thermal}}\tau$ in star-forming discs is consistent with similar behavior. These results support a scenario where disc fragmentation and collapse occurs before self-shielding, suggesting that gravitational instabilities are the primary condition for widespread star formation in galaxy discs. Our results support similar conclusions based on recent galaxy simulations.

Key words: galaxies: star formation

1 INTRODUCTION

Star formation can only proceed within galaxies when certain conditions are met. For decades, several physical models for star formation have been proposed and debated, typically relating the star formation rate (SFR) to specific properties of the interstellar medium (ISM) such as gas surface density, metallicity, dynamics, or some combination thereof. The most popular star formation relation was introduced by Schmidt (1959) who argued that the local surface density of star formation, Σ_{SFR} , is related to the local surface density of gas, Σ_{g} , by the power law $\Sigma_{\text{SFR}} \propto \Sigma_{\text{g}}^N$. Kennicutt (1998) showed that globally averaged values of Σ_{g} and Σ_{SFR} follow such a relation over several orders of magnitude. A similar correlation is found on sub-kpc scales (Bigiel et al. 2008) with an additional dependence on the local dust-to-gas ratio (Leroy et al. 2013). At gas densities below $\Sigma_{\text{g}} \sim 10 \text{ M}_{\odot} \text{ pc}^{-2}$ the power law relation breaks down, but it can be recovered if one isolates only the denser molecular gas in regions which are on-average low density (Schrubba et al. 2011), consistent with a picture where Σ_{SFR} is most directly correlated with the denser molecular gas surface density, Σ_{H_2} .

Under the assumption that $\Sigma_{\text{SFR}} \propto \Sigma_{\text{H}_2}$, several authors have formulated star formation laws based around conditions of the ISM that govern its molecular fraction. Elmegreen (1989) introduced a relationship between molecular fraction and hydrostatic midplane pressure (see also Elmegreen & Parravano 1994, Wong & Blitz 2002, Blitz & Rosolowsky 2004, Blitz & Rosolowsky 2006). Alternative models assume H_2 formation is regulated by local gas density and metallicity (or dust-to-gas ratio, assumed to follow a 1:1 relation with metallicity), the combination of which determine the ability for gas to shield itself from background ionizing radiation, at which point it can cool, condense, and form stars (Schaye 2004; Krumholz et al. 2009; Krumholz 2012). A common theme of these prescriptions is that star formation is regulated by the local conditions of the ISM, and in principle the relevant physics should not vary from galaxy to galaxy.

However, several studies have suggested that the larger-scale dynamical properties of galaxies play a major role in regulating star formation. In such models, the potential for dense clouds to form is set by the competition between the self-gravity of the disc and some combination of gas dispersion, Coriolis forces, cloud collision rate, and shear (Safronov 1960; Toomre 1964; Jog & Solomon 1984; Romeo 1992; Wang & Silk 1994; Hunter et al. 1998; Tan 2000; Rafikov 2001; Elmegreen 2011). Prescriptions which relate Σ_{SFR} to dynamical properties (or dynamical timescales) are able to fit both regular star-forming galaxies and starbursts on a single relation, which has been a struggle for laws which relate star formation and gas density alone (Daddi et al. 2010; Genzel et al. 2010; Utreras et al. 2016). Additional support for the relevance of dynamical properties comes from observations of “star formation thresholds,” rapid drops in the Σ_{SFR} at the presumed radius where discs stabilize (Kennicutt 1989; Martin & Kennicutt 2001) according to the Q parameter of Toomre (1964). However, these interpretations have been challenged by commonly observed (although typically weak) star formation at radii beyond these “thresholds”. In particular, studies that trace star formation using UV continuum find much less extreme ra-

dial declines in star formation compared to studies which use $\text{H}\alpha$ emission (Ferguson et al. 1998; Ryan-Weber et al. 2004; Gil de Paz et al. 2005; Boissier et al. 2007; Thilker et al. 2007; Werk et al. 2010; Christlein et al. 2010; Hunter et al. 2010; Lemonias et al. 2011; Moffett et al. 2012). One physical explanation for the observed difference between $\text{H}\alpha$ and UV profiles is that SFR has dropped low enough in outer discs that there are simply too few massive, short lived O stars to generate $\text{H}\alpha$ emission, whereas the UV emission is more visible because it is sensitive to slightly less massive, longer lived B stars (Boissier et al. 2007). None the less, studies have found that star formation efficiency, or SFE (here defined as $\text{SFE} = \Sigma_{\text{SFR}}/\Sigma_{\text{g}}$) is significantly lower (by a factor of ~ 10) in extended outer discs compared to inner discs (Kennicutt 1989; Zasov & Bizyaev 1994; Bigiel et al. 2010a). It is possible that although star formation can occur at large radii in the presence of localized overdensities – due to e.g., tidal interactions, spiral density waves, and/or cold accretion (Thilker et al. 2007; Bush et al. 2008; Roškar et al. 2010) – outer discs are on-average dynamically stable against fragmentation, explaining their extremely low SFE.

Many of the proposed models for star formation are at least partially valid because they relate the conditions of the ISM to the presence and/or rate of star formation. Nevertheless, it is still debated which physical prescription best describes the underlying conditions that eventually lead to widespread star formation in galaxies. As an alternative way of posing this question, let us consider radial annuli within a galaxy. At some large radius the gas in an annulus will be dynamically stable (likely supported by thermal gas pressure at $T \gtrsim 10^4 \text{ K}$) and not self-shielded against the background UV field. Presumably, this annulus will have no star formation, or at least extremely low efficiency of star formation (as mentioned above, density fluctuations may drive localized star formation, but in an annulus-averaged sense, the disc is not conducive to star formation). Conversely, at some smaller radius, the disc will be unable to resist fragmentation, will be shielded against background radiation, and will be forming stars. *What defines this transition?* As we move from large to small radius, do annuli first become unstable and fragment, only after which the gas self-shields? Or, does the gas reach its self-shielding threshold first, only after which instabilities and fragmentation can occur?

Multiple studies have attempted to address this question, with differing results. Work by Schaye (2004) and Krumholz et al. (2009) suggest that instability occurs only after a cold ISM phase develops which lowers the gas velocity dispersion. In these models, star formation is tied to the dust optical depth, τ , which determines the ability of gas to self-shield. In contrast, Orr et al. (2017) examine the FIRE simulations (Hopkins et al. 2014) and find that star formation is prevalent throughout regions which are not self-shielded on average. Instead, the onset of vigorous star formation ($\Sigma_{\text{SFR}} > 10^{-3} \text{ M}_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$) occurs very close to where galaxies cross the threshold where discs can no longer support themselves through thermal gas pressure ($Q_{\text{thermal}} \lesssim 1$), which occurs well before they cross the threshold for self-shielding. In fact, additional tests where Orr et al. (2017) remove the ability of gas to self-shield and cool below 10^4 K yield distributions of star formation that are very similar to the full physics runs. Meanwhile, tests

where *only* self-shielded gas can form stars yield almost no star formation beyond $\sim 1/3R_e$ (where R_e is the half-light radius), in significant disagreement with the full physics runs. The discrepancies between different studies highlight the need for observational studies to constrain which of these theoretical pictures is correct.

We use two independent data sets to observationally constrain the link between star formation, disc stability (Q_{thermal}), and dust optical depth (τ). The first data set, drawn from the compilation of Leroy et al. (2008), is composed of a small sample of nearby galaxies with high quality measurements of gas content. The second sample is drawn from the significantly larger and more representative MaNGA survey (Bundy et al. 2015), but lacks estimates of gas surface density needed to estimate τ and Q_{thermal} directly. However, we describe how the product of these two parameters, $Q_{\text{thermal}}\tau$, can be used to distinguish whether the conditions for self-shielding or gravitational instability will be met first, and can be constrained without direct gas measurements. Thus, we address the science questions of this paper from two different angles with data sets that each have their respective strengths and weaknesses.

In Section 2 we describe the parameters used to quantify the conditions of the ISM and how these parameters are used to test different physical models of the onset of star formation in galaxies. In Section 3, we describe the data sets used in this study. Our results are presented in Section 4, and in Section 5 we give a more detailed discussion of important systematic errors and the implications of our findings. Our conclusions are presented in Section 6.

2 METHODOLOGY

2.1 τ and Q_{thermal}

We consider two key parameters to characterize the average conditions of the ISM. The first parameter is τ , a proxy for dust optical depth:

$$\tau = \frac{\Sigma_g Z'}{(\Sigma_g Z')_{\text{ss}}} \quad (1)$$

where Σ_g is the gas surface density, Z' is the gas-phase metallicity relative to solar, and $(\Sigma_g Z')_{\text{ss}}$ represents the dust surface density above which the ISM is self-shielded and H_2 dominated ($\Sigma_{\text{H}_2}/\Sigma_g > 0.5$) in the presence of an isotropic background ionizing field. The specific value of $(\Sigma_g Z')_{\text{ss}}$ depends on metallicity and is calculated using Eq. 45 of Krumholz et al. (2009). For solar metallicity gas, $(\Sigma_g Z')_{\text{ss}} = 27 M_{\odot} \text{pc}^{-2}$ and varies from $18 - 36 M_{\odot} \text{pc}^{-2}$ between $Z' = 0.1 - 3$. Previous implementations of the Krumholz et al. (2009) model have employed a clumping factor to account for unresolved individual clouds. However, as we are interested in the *average* ability an annulus to self-shield, we include no such factor.

The second parameter used to characterize the ISM is a modified version of the Toomre (1964) Q parameter and indicates the ability of the disc to support itself against fragmentation through Coriolis forces and *thermal* gas pressure:

$$Q_{\text{g,thermal}} = \frac{\kappa c_s}{\pi G \Sigma_g} \quad (2)$$

where κ is the epicyclic frequency and c_s is the characteristic sound speed of 10^4 K gas (13 km s^{-1})

$Q_{\text{g,thermal}}$ is not the same as Q commonly measured in cold gas. $Q_{\text{g,thermal}}$ quantifies the ability of the disc to stabilize itself explicitly via *thermal* gas pressure assuming all the gas has $T = 10^4 \text{ K}$, which characterizes the typical conditions in the non-star forming outer gas disc. We expect that regions with $Q_{\text{g,thermal}} < 1$ will fragment and form stars, which in reality can generate other forms of support (e.g., turbulence) through gravitational instabilities and feedback that drive the *observed* Q back towards ~ 1 even though $Q_{\text{thermal}} < 1$. However, the fact that such regions are experiencing non-thermal means of support, which either lead to star formation or result from it, suggests that they have entered a “star-forming” regime. Therefore, $Q_{\text{g,thermal}}$ is a conservative indicator of the ability of a gaseous annulus to resist fragmentation, while also capturing the relevant conditions of the outer gas disc.

The presence of a significant stellar component will contribute to the overall stability of the disc and must be taken into account. The stellar disc stability is defined as

$$Q_* = \frac{\kappa \sigma_*}{\pi G \Sigma_*} \quad (3)$$

where σ_* is the radial stellar velocity dispersion and Σ_* is the disc stellar surface density. The overall stability of the two-component disc, Q_{thermal} can then be approximated as (Wang & Silk 1994)¹

$$Q_{\text{thermal}} = \left(\frac{1}{Q_{\text{g,thermal}}} + \frac{1}{Q_*} \right)^{-1} \quad (4)$$

Although we have chosen Q_{thermal} as our indicator of disc stability, it is not the only proposed means to describe the threshold for gravitational instability. Hunter et al. (1998) proposed a scenario in which the formation of dense clouds is regulated by competition with shear. Their formalism primarily affects inner regions of galaxies with rising rotation curves, whereas our study will be focused on larger radii where rotation curves are typically flat, in which case the shear-regulated threshold reduces to approximately the same form as Q_{thermal} .

2.2 The Onset of Widespread Star Formation and $Q_{\text{thermal}}\tau$

We consider two basic scenarios that describe the transition between non/weakly star-forming outer discs to vigorously star-forming inner regions of galaxies. This simple picture assumes that at some large radius, the gas disc is gravitationally stable from thermal pressure ($Q_{\text{thermal}} > 1$) and unshielded ($\tau < 1$), while the centre is gravitationally unstable ($Q_{\text{thermal}} < 1$) and self-shielded ($\tau > 1$). The two scenarios differ in their assumptions about whether self-shielding or disc instabilities determine the onset of widespread star formation in galaxy discs as we move from large to small radius,

¹ We note that more accurate approximations of a multi-component disc have been presented by Romeo & Wiegert (2011) and Romeo & Falstad (2013). These approximations raise Q_{thermal} , but not enough to change the conclusions of this paper.

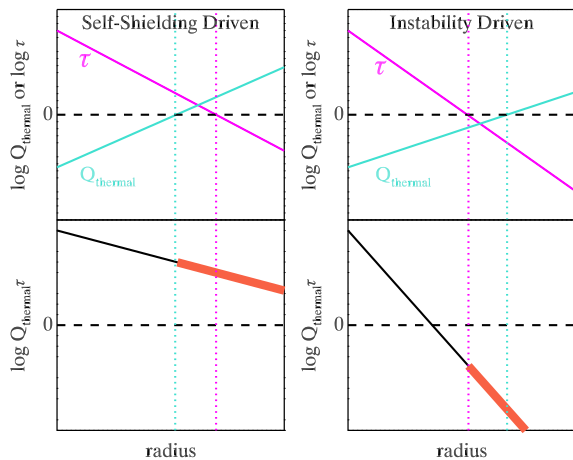


Figure 1. Schematic models illustrating the radial behavior of Q_{thermal} and τ (top panels), and $Q_{\text{thermal}}\tau$ (bottom panels) under two different scenarios to describe the onset of widespread star formation in galaxy discs. The horizontal dashed line indicates the threshold for star formation, and the vertical dotted lines indicate where each parameter crosses this threshold. The thick red line in the bottom panels highlight the region in the outer disc where $Q_{\text{thermal}}\tau$ can be used to discriminate the two scenarios. Even in regions where neither threshold has been crossed (e.g., at very large radius in both models), $Q_{\text{thermal}}\tau$ still indicates which threshold will be crossed first if the gas density is increased.

which is essentially a question of which threshold for star formation is met first. These two scenarios are illustrated in the top row of Fig. 1.

(i) *Self shielding-driven*: disc instabilities and fragmentation occur *after* self-shielding (e.g., Schaye 2004, Krumholz et al. 2009). In this scenario, a galaxy will cross the threshold for self-shielding *before* it crosses the threshold for disc instabilities. Therefore, as we move from large to small radius, we will encounter annuli that are on-average self-shielded ($\tau > 1$) but still gravitationally unstable ($Q_{\text{thermal}} > 1$).

(ii) *Fragmentation-driven*: disc instabilities occur *before* self-shielding (e.g., Orr et al. 2017). In this scenario, the threshold for gravitational instability from thermal support is crossed before the threshold for self-shielding. Therefore, as we move from large to small radius, we will encounter annuli with that are on-average gravitationally unstable ($Q_{\text{thermal}} < 1$) but not yet self-shielded ($\tau < 1$).

Note that under the second scenario where $Q_{\text{thermal}} < 1$ and $\tau < 1$, we are not implying that any *localized* star forming regions are unshielded. Instead, they are almost definitely self-shielded, but since self-shielding occurs after disc fragmentation, the point at which the disc *on-average* has $\tau > 1$ lags behind the point at which the disc *on-average* has $Q_{\text{thermal}} < 1$.

Both τ and Q_{thermal} depend on Σ_g , which is often unknown. However, we argue that the product, $Q_{\text{thermal}}\tau$ can provide useful constraints on whether fragmentation or disc opacity are the underlying driver of widespread star forma-

tion in galaxy discs, and this product can be constrained even in the absence of direct gas estimates.

For sufficiently gas-dominated regions of galaxies where $\Sigma_g \gg \Sigma_*$, we can approximate $Q_{\text{thermal}} \sim Q_{g,\text{thermal}}$. In the product, $Q_{\text{thermal}}\tau$, the Σ_g terms cancel yielding the following functional form

$$Q_{\text{thermal}}\tau \leq \frac{\kappa C_s Z'}{\pi G (\Sigma_g Z')_{\text{ss}}} \quad (5)$$

The above formula is written as an upper limit because the inclusion of any stellar component will typically lead to a lower value for $Q_{\text{thermal}}\tau$.

Although we would ideally compare independent measures of Q_{thermal} and τ against one another, we can still place constraints on $Q_{\text{thermal}}\tau$ without such information. To illustrate the utility of $Q_{\text{thermal}}\tau$ further, we revisit the two basic scenarios shown in Fig. 1:

(i) *Self shielding-driven*: This scenario is characterized by the presence of annuli which are on-average self-shielded ($\tau > 1$) but not gravitationally unstable ($Q_{\text{thermal}} > 1$). These annuli will have $Q_{\text{thermal}}\tau > 1$.

(ii) *Fragmentation-driven*: This scenario is characterized by the presence of annuli which are on-average gravitationally unstable ($Q_{\text{thermal}} > 1$) but not self-shielded ($\tau < 1$). These annuli will have $Q_{\text{thermal}}\tau < 1$.

The behavior of $Q_{\text{thermal}}\tau$ is illustrated in the bottom panels of Fig. 1. The key difference between these scenarios is whether $Q_{\text{thermal}}\tau$ is greater than or less than unity in the outer discs of galaxies. Formally, $Q_{\text{thermal}}\tau$ measures whether Q_{thermal} or τ is closest to its relevant threshold, but does not imply either threshold is currently met. In the case where neither threshold is satisfied (e.g., at the largest radii in Fig. 1), $Q_{\text{thermal}}\tau$ indicates which threshold would be *easier* to reach if the gas surface density were larger. In this sense, $Q_{\text{thermal}}\tau$ allows us to “roll back the clock” in annuli where star formation is occurring but neither threshold is currently satisfied; we can infer which threshold is more likely to have been reached first to initiate star formation in the past.

We must be cautious when interpreting $Q_{\text{thermal}}\tau$ at small radii. $Q_{\text{thermal}}\tau$ is informative at and beyond the region where one threshold has been reached, but the other has not. For example, in Fig. 1 $Q_{\text{thermal}}\tau > 1$ for both the self-shielding and instability-driven models at small radius. For this reason, we will focus our analysis on the value of $Q_{\text{thermal}}\tau$ at large radii (typically $> 1.5R_e$).

Throughout this work, we define $\Sigma_{\text{SFR}} > 10^{-3} M_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$ as the boundary between regions with widespread star formation and those with weak/no star formation. This specific value has little physical significance. Although it roughly corresponds to the typical value where Σ_{SFR} profiles tend to more rapidly decline (star formation “thresholds”), such behavior may simply reflect the failure of $H\alpha$ emission as a star formation tracer at low surface brightness. We largely highlight $10^{-3} M_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$ to facilitate comparison with Orr et al. (2017), who use the same value to separate star-forming and non-star forming annuli. The key results of this paper are not explicitly tied to this value of Σ_{SFR} .

Choosing $\Sigma_{\text{SFR}} = 10^{-3} M_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$ as the definition of “star forming” annuli also essentially guarantees that star formation is present in annuli which are currently not self-

shielded on average. Our definition of self-shielded corresponds to $\Sigma_{\text{H}_2}/\Sigma_{\text{HI}} \sim 1$, which is expected in gas densities of $\sim 27 M_{\odot} \text{pc}^{-2}$ (Krumholz et al. 2009), and these gas densities typically correspond to $\Sigma_{\text{SFR}} \sim 10^{-2} M_{\odot} \text{yr}^{-1} \text{kpc}^{-2}$ (Bigiel et al. 2008). This fact does not immediately rule out the “self-shielding driven” star formation scenario. Instead, the important test being conducted in this study is whether disc instabilities occur before or after self-shielding. It is more important to consider the conditions of the ISM at the start of star formation rather than at the present, and as discussed above, $Q_{\text{thermal}}\tau$ allows us to consider which threshold would have been easier to reach first.

3 DATA AND DERIVED QUANTITIES

We employ two independent data sets for our analysis. The first, drawn from Leroy et al. (2008, hereafter L08) contains direct measurements of gas surface density for a small subset of nearby galaxies. The second, drawn from the MaNGA survey, lacks direct estimates of gas surface density but is significantly larger and more representative of the galaxy population. In the following section, we discuss these data sets and derived quantities.

3.1 The Leroy et al. (2008, L08) sample

To compose a sample of galaxies with resolved gas data, we use the compilation from Leroy et al. (2008) who combine data from the SINGS (Kennicutt et al. 2003), THINGS (Walter et al. 2008), HERACLES (Leroy et al. 2009), BIMA SONG (Helfer et al. 2003), and GALEX NGS (Gil de Paz et al. 2007) surveys to create radial surface density profiles of H I, H₂, stellar mass, and SFR with sub-kpc radial spacing for 23 nearby galaxies. We refer the reader to Leroy et al. (2008) for more specific details about the calculation of these profiles.

3.1.1 Kinematics

Rotation velocities, v_{rot} , were derived from THINGS velocity fields. Leroy et al. (2008) provide fits to these data of the form $v_{\text{rot}} = v_{\text{flat}} \left[1 - e^{-r/h_{\text{flat}}} \right]$. We use the analytical fits to estimate κ as a function of radius.

Stellar velocity dispersions, σ_* are not readily available for the L08 sample, so we estimate them indirectly assuming

$$\sigma_* = \sqrt{\pi G (\Sigma_{\text{g}} + \Sigma_*) z_0} \quad (6)$$

where z_0 is the disc vertical scale parameter for a disc whose density decreases with height z above the midplane as $\text{sech}^2(z/z_0)$ (van der Kruit & Searle 1981). We estimate z_0 using the median ratio of disc scale length (h) to scale height in the SDSS r -band, $h/z_0 = 3.4$ (Bizyaev et al. 2014). The disc scale lengths are taken from the exponential fits to stellar discs given by L08. Note that our estimates of σ_* may be poor at small radius where the bulge component becomes important.

3.1.2 Gas Phase Metallicity

Gas-phase metallicities are taken from Moustakas et al. (2010) who compile metallicities of individual H II regions in galaxies from the SINGS sample. This compilation provides two different estimates of metallicity using the calibrations of Kobulnicky & Kewley (2004) (KK04) and Pilyugin & Thuan (2005) (PT05), both of which employ the R_{23} parameter (Pagel et al. 1979). Due to the notoriously large systematic errors between different strong-line calibrations, we must be cautious when choosing our metallicity estimate and drawing conclusions from it. We opt to use the KK04 metallicities because of their relatively good agreement with the N2O2 calibration we employ for the MaNGA sample (see Section 3.2.2); the two calibrations yield mass-metallicity relationships with similar slopes and systematic offsets no larger than 0.1 dex (Kewley & Ellison 2008). The impact of systematic errors in metallicity estimates is discussed further in Section 4.

Since the Moustakas et al. (2010) compilation provides metallicities for individual H II regions, it does not always evenly sample the metallicity profile at all radii. Therefore, to estimate gas-phase metallicity as a function of radius, we fit linear functions to the H II region metallicities ($12 + \log \text{O}/\text{H}$) as a function of radius for each galaxy. We require at least 5 data points for our linear fits.

3.1.3 Sample Selection

We limit our sample to galaxies with stellar masses above $M_* = 10^9 M_{\odot}$ to match the approximate minimum stellar mass of MaNGA. After removing any additional galaxies with insufficient metallicity information, we are left with 13 galaxies in our final sample.

3.2 MaNGA Data

Our second data set comes from the SDSS-IV MaNGA survey (Bundy et al. 2015; Drory et al. 2015; Law et al. 2015; Yan et al. 2016b,a; Law et al. 2016; SDSS Collaboration et al. 2016; Blanton et al. 2017), an integral field unit (IFU) survey of 10,000 $z \sim 0$ galaxies with $M_* > 10^9 M_{\odot}$. This survey uses the SDSS 2.5m telescope (Gunn et al. 2006) and BOSS spectrographs (Smeed et al. 2013), with a wavelength coverage of 3500–10000 Å, spectral resolution $R \sim 2000$ (instrumental resolution $\sim 60 \text{ km s}^{-1}$), and an effective spatial resolution of $2.5''$ (FWHM) after combining dithered observations.

3.2.1 Kinematics

Assuming a parametric form for rotation curves, tilted thin-disc models from Andersen & Bershady (2013), which determines the best-fitting kinematic geometry (position angle, PA, and inclination, i), are fit to stellar and ionized gas ($\text{H}\alpha$) kinematic fields from the MaNGA data analysis pipeline. One dimensional profiles sampled every $2.5''$ are extracted using the data within $\pm 30^\circ$ of the major axis. These profiles are fit with a model of the form $v_{\text{rot}}(R) = v_{\text{flat}} \tanh(R/h_{\text{rot}})$, where v_{flat} and h_{rot} are free parameters, in order to estimate κ . Although this formula is different from that employed by

Leroy et al. (2008), the two have a very similar shape and we do not expect this difference to impact our results.

Our analysis is limited to disc galaxies that are “kinematically regular,” defined by similar kinematic geometries for the stars and gas and disc inclinations consistent with photometric estimates based on the NASA Sloan Atlas (NSA)². The kinematic modeling process is further described in K. Westfall et al. (in prep).

Although MaNGA technically measures stellar velocity dispersions, the expected values are $\sim 30 \text{ km s}^{-1}$ in the outer discs of galaxies (e.g. Bottema 1993; Shapiro et al. 2003; Martinsson et al. 2013), well below the MaNGA’s velocity resolution of $\sim 60 \text{ km s}^{-1}$. Therefore, we again indirectly estimate σ_* using Eq. 6. Disc scale lengths are taken from the bulge-disc decompositions of Simard et al. (2011).

3.2.2 Gas-Phase Metallicities

Two different strong-line calibrations are used to estimate gas-phase metallicity. The first, N2O2, uses the $[\text{N II}]\lambda 6584/[\text{O II}]\lambda 3727$ flux ratio and is based on photoionization models (Kewley & Dopita 2002). It is relatively insensitive to the ionization parameter and diffuse interstellar gas (Zhang et al. 2017). The second method, O3N2, uses the $[\text{O III}]\lambda 5007/\text{H}\beta$ and $[\text{N II}]/\text{H}\alpha$ flux ratios (Marino et al. 2013), and while more sensitive to ionization and diffuse interstellar gas, it is calibrated directly from observations using electron temperature (i.e., the “direct” method). The N2O2 and O3N2 methods differ on their merits but helpfully bracket the metallicity range of ~ 0.4 dex spanned by available calibrations. We assume a solar metallicity of $12 + \log(\text{O}/\text{H})_{\odot} = 8.69$ (Asplund et al. 2009).

All emission line fluxes are corrected for foreground extinction using Schlegel et al. (1998). Internal extinctions are estimated from the Balmer decrement assuming $\text{H}\alpha/\text{H}\beta = 2.86$ (Osterbrock & Ferland 2006). We limit our analysis to regions where the $\text{H}\alpha$ and $\text{H}\beta$ flux S/N is larger than 3. All corrections use the extinction curve from Fitzpatrick (1999) with $R_V = 3.1$.

Radial metallicity profiles are extracted for each galaxy using physical radii determined from the kinematic modeling (see Section 3.2.1). Radial bins are spaced by $2.5''$ along the major axis. The final metallicity in each bin is the median of all spaxels in that bin. We only use spaxels with $[\text{O II}]/\text{H}\beta$ and $[\text{N II}]/\text{H}\alpha$ in the H II region of the Baldwin-Phillips-Terlevich (BPT) diagram (Kewley et al. 2006). We ignore any spaxels flagged as unreliable by the MaNGA data reduction and analysis pipelines (Law et al. 2016, K. Westfall et al. in prep) and only include annuli with at least five usable spaxels.

3.2.3 Stellar Surface Densities

Estimates of Σ_* come from the Pipe3D analysis pipeline which uses a modified version of FIT3D, a fitting tool for analyzing the properties of stellar populations and ionized gas with moderate resolution optical spectra of galaxies, where linear combinations of SSPs are fit to each spaxel to determine Σ_* . We refer the reader to (Sánchez et al. 2016a) and

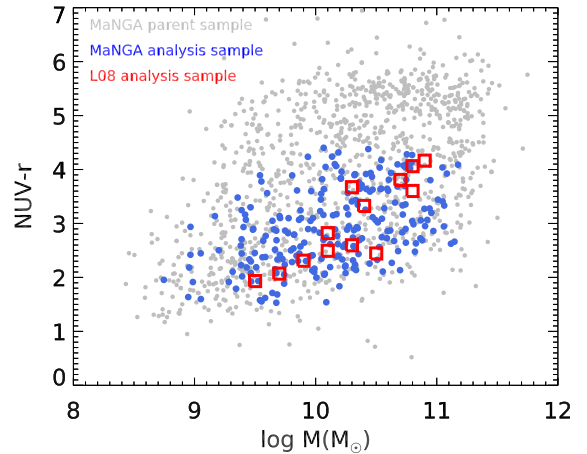


Figure 2. $\text{NUV} - r$ vs. M_* distribution for the parent MaNGA sample (gray), the subset of 236 MaNGA galaxies incorporated into our analysis (blue), and the 13 galaxies from L08 (red squares).

(Sánchez et al. 2016b) for further details about the fitting procedure. Radial profiles of Σ_* are determined using the average of all spaxels in annuli identical to those used to calculate metallicity profiles.

3.2.4 Sample Selection

Our sample is drawn from data acquired during the first year of normal MaNGA operations (1368 galaxies), roughly equivalent to the SDSS-IV DR13³ sample. In addition to selecting only “kinematically regular” galaxies (Section 3.2.1), we only include galaxies with $40^\circ < i < 70^\circ$ to further ensure both reliable kinematics and that our analysis focuses on disc material rather than extraplanar gas. We remove any galaxies where the measured rotation curves have not flattened by the outermost radius so that we can reliably extrapolate to estimate v_{rot} at large radii along minor axes. We reject galaxies with $\text{NUV} - r > 4.5$ to avoid extremely gas-poor systems (gas-to-stellar mass ratio < 0.05 ; Catinella et al. 2013), where the NUV and r -band magnitudes are taken from the NSA. Lastly, we remove any galaxies which are obvious mergers. Our final sample is composed of 236 galaxies with stellar masses of $\sim 10^9 - 11.2 M_{\odot}$.

Fig. 2 shows our final sample in $\text{NUV} - r$ vs. M_* space in the context of the full MaNGA sample. We also show the position of our sample drawn from Leroy et al. (2008) using photometry taken from Muñoz-Mateos et al. (2009) and Brown et al. (2014). In three cases SDSS r -band magnitudes were not measured directly, in which case we roughly approximated them by interpolating the optical-IR SEDs.

4 RESULTS

With our two independent samples, we investigate the behavior of Q_{thermal} , τ , and $Q_{\text{thermal}}\tau$ in galaxy discs in order to

² <http://www.nsatlas.org/>

³ <http://www.sdss.org/dr13>

test the self-shielding driven and fragmentation driven star formation scenarios described in Section 2.2.

4.1 The L08 Sample

First, we examine the behavior of the L08 sample with direct measurements of gas content. Radial profiles of Q_{thermal} , τ , $Q_{\text{thermal}}\tau$, and Σ_{SFR} are shown in Fig. 3. We also separately show Q_{thermal} and $Q_{\text{thermal}}\tau$ for just the gas components, giving an indication of how ignoring the stellar component can overestimate these quantities. Note that although we have plotted $Q_{\text{thermal}}\tau$ as a function of radius, our primary interest is the value of $Q_{\text{thermal}}\tau$ in the outer discs of galaxies.

While there is a mild trend of decreasing Q_{thermal} with decreasing radius, generally $Q_{\text{thermal}} \sim 1$ over all radii, signaling that the discs are neither drastically stable or unstable. Notably, the gas discs alone would be stable through thermal support alone; it is the addition of the stellar component that weakens the overall stability of the disc. The ability of the disc to self shield drops off very rapidly with increasing radius, and in some cases never reaches $\tau > 1$. Significant star formation is present in annuli which are not, on average, self-shielded (as previously noted, we still expect any local star forming regions within an annulus to themselves be shielded), but are, on average, borderline unstable. This behavior is similar to that observed in Orr et al. (2017), although we find lower values of Q_{thermal} in star forming regions. This discrepancy is largely due to our different treatment of the stellar velocity dispersion. Orr et al. (2017) assume $\sigma_* \sim c_s$, while we estimate values of σ_* which are 2–3 times larger.

These data can also be used to test the behavior of $Q_{\text{thermal}}\tau$. $Q_{\text{thermal}}\tau$ drops off rapidly with radius, reaching values < 1 by $\sim R_e$ for all galaxies. These low values support the “fragmentation-driven” scenario discussed in Section 2. This interpretation is consistent with the behavior of Q_{thermal} and τ individually; as radius increases, τ continues to decline while Q_{thermal} remains ~ 1 , signaling that the outer disc would reach a point of instability well before it reached the threshold for self-shielding.

The τ parameter is not the only means of estimating where the disc is H_2 dominated. Alternatively, Blitz & Rosolowsky (2006) provide a calibration relating $\Sigma_{\text{H}_2}/\Sigma_{\text{H}_1}$ to hydrostatic midplane pressure, which is itself a function of gas and stellar surface densities and velocity dispersions. We explored using this parameter instead of τ , but find that the threshold where $\Sigma_{\text{H}_2}/\Sigma_{\text{H}_1} = 1$ does not change significantly.

4.2 The MaNGA Sample

Although the L08 sample provides high quality data, it is extremely small and not representative of the full galaxy population. Therefore, we now examine the behavior of the much larger MaNGA sample. We cannot examine Q_{thermal} and τ directly with MaNGA, but we can constrain $Q_{\text{thermal}}\tau$ using Eq. 5.

In Fig. 4, we show the radial dependence of $Q_{\text{thermal}}\tau$. Each small point represents one $2.5''$ annulus in a single galaxy. We also show κ and $12 + \log \text{O}/\text{H}$, the two quantities go directly into the calculation of $Q_{\text{thermal}}\tau$, as well as

Σ_{SFR} , where Σ_{SFR} is estimated from $\text{H}\alpha$ luminosity using the calibration from Kennicutt & Evans (2012).

$Q_{\text{thermal}}\tau$ approaches values around ~ 1 as we move away from the centres of galaxies, although there is significant scatter. In contrast to the analysis of the L08 sample, a significant number of galaxies have $Q_{\text{thermal}}\tau > 1$ at large radii. However, recall that unless $\Sigma_g \gg \Sigma_*$, Eq. 5 is an upper limit on $Q_{\text{thermal}}\tau$. Not accounting for any stellar component can significantly overestimate $Q_{\text{thermal}}\tau$, as illustrated by the profiles with and without the stellar component in Fig. 3. We see evidence for this bias when we examine the behavior of the data in Fig. 4 as a function of Σ_* , where at fixed radius, annuli with lower Σ_* tend to have lower $Q_{\text{thermal}}\tau$. If we limit our analysis to annuli with $\Sigma_* < 10^{1.5} M_{\odot} \text{pc}^{-2}$, $Q_{\text{thermal}}\tau < 1$ on average. Given that this subset yields more accurate estimates of $Q_{\text{thermal}}\tau$ compared to the full sample when applying Eq. 5 (assuming Σ_g makes up a larger fraction of the disc surface densities in these regions), these data lend support to the “fragmentation-driven” star formation scenario. In Section 5.1, we revisit the issue of how much $Q_{\text{thermal}}\tau$ may be overestimated in the presence of an unaccounted for stellar component.

An additional important systematic error to keep in mind is our choice of strong-line metallicity indicator. The R_{23} and $\text{N}2\text{O}2$ methods we use for Fig. 3 and Fig. 4 provide higher metallicities than most other strong-line calibrations. For instance, in Fig. 4 we expect the upward systematic uncertainty on $Q_{\text{thermal}}\tau$ to be < 0.1 dex based on the comparison of different methods by Kewley & Ellison (2008). The larger uncertainty is downward, and the arrow in Fig. 4 illustrates the mean shift in the data points if we instead adopt the $\text{O}3\text{N}2$ strong-line metallicity calibration. Additionally, our assumed gas sound speed is higher than typically used values for gravitational stability analysis. Although this is by design since we are interested in the transition from stable, unshielded, non-star forming $T = 10^4 \text{ K}$ disc to one with the opposite properties, it also means Q_{thermal} makes optimistic assumptions about the ability of the gas to resist collapse. In summary, our combination of systematic errors make it more likely that we have overestimated, rather than underestimated, $Q_{\text{thermal}}\tau$, which only strengthens our claims that $Q_{\text{thermal}}\tau < 1$ in the outer disks of galaxies.

5 DISCUSSION

Using two independent data sets, we have explored the behavior of Q_{thermal} , τ , and $Q_{\text{thermal}}\tau$ within galaxy discs in order to constrain whether they first reach the threshold for self-shielding or gravitational instability in star forming regions. In the first data set from L08, we find star formation proceeding in annuli which are borderline unstable but far from self-shielded on average. With our second data set from the MaNGA survey, we find that the mean value on the *upper limit* of $Q_{\text{thermal}}\tau$ at the edge of discs with widespread star formation is ~ 1 , but when focusing only on those galaxies with the lowest Σ_* where the upper limits are most robust, we find $Q_{\text{thermal}}\tau < 1$ on average. We begin our discussion of these results by first exploring the extent to which $Q_{\text{thermal}}\tau$ may be overestimated by Eq. 5, and how our results may change when we account for this effect. We finish by dis-

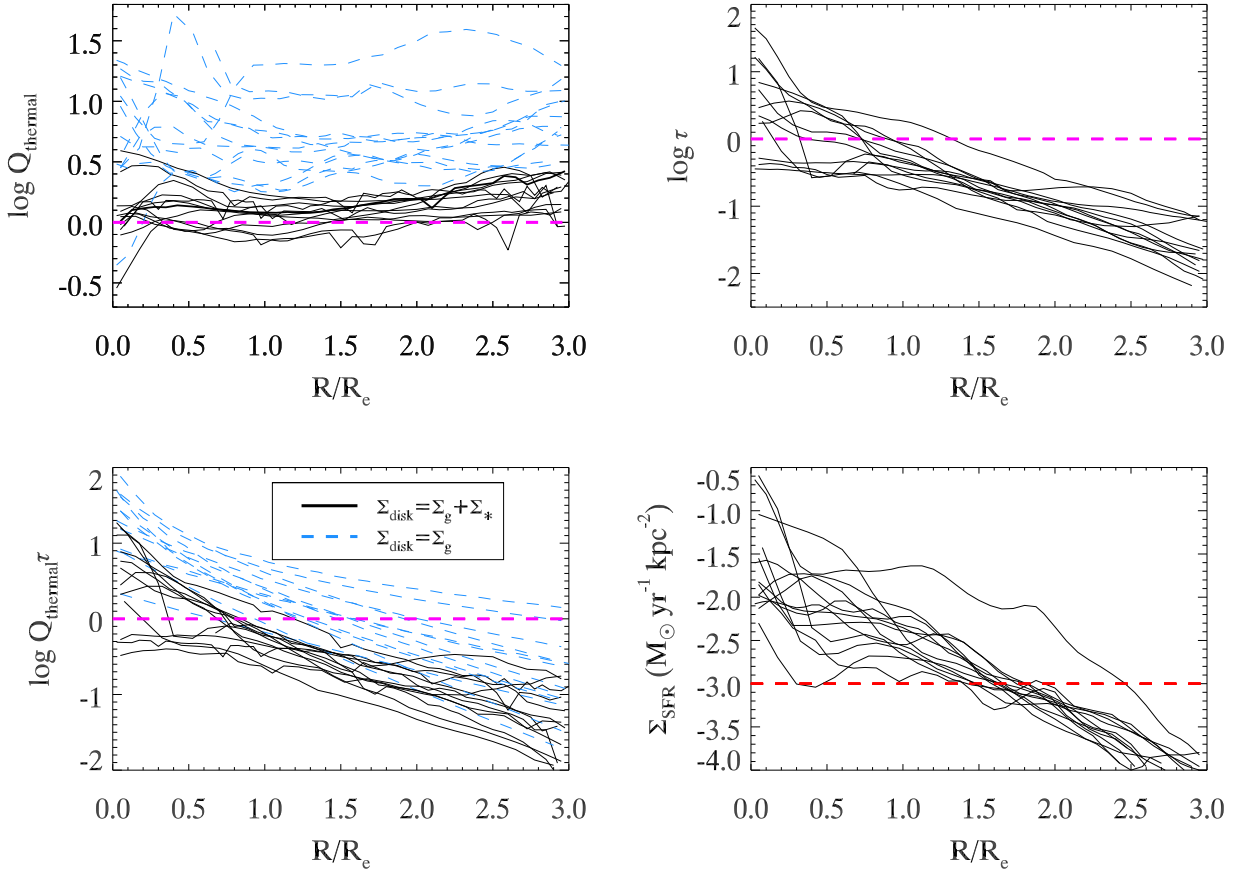


Figure 3. Radial profiles of Q_{thermal} , τ , $Q_{\text{thermal}}\tau$, and Σ_{SFR} for our sample of 13 galaxies with resolved gas data from L08. Where applicable, the blue dashed lines show the estimates assuming Σ_* is negligible (Eq. 5). The radii taken from Leroy et al. (2008) are normalized by the optical disc radius, R_{25} . We convert the radii to units of R_e for easier comparison to our MaNGA sample by assuming $R_{25}/R_e = 2.5$ (based on radii tabulated in Jansen et al. 2000). These data show that discs remain at least borderline unstable while their ability to self-shield drops off much more rapidly. Consistent with the behavior of τ and Q_{thermal} , $Q_{\text{thermal}}\tau < 1$ over much of the outer disc.

Discussing the implications our results have for what drives widespread star formation in galaxies.

5.1 Overestimation of $Q_{\text{thermal}}\tau$

In Section 4, we discussed how $Q_{\text{thermal}}\tau$ may be overestimated in the presence of an ignored stellar component. We now quantify the magnitude of this effect and its impact on our results.

Eq. 5 yields the most accurate estimates of $Q_{\text{thermal}}\tau$ when $\Sigma_g \gg \Sigma_*$. Within the L08 sample, we find that such conditions are actually very rare; only a small fraction of galaxies have regions with $\Sigma_g/\Sigma_* > 1$, and typically only at $r \gtrsim 2R_e$. A major caveat is that the L08 sample is not representative so we cannot explicitly assume these conclusions hold for all MaNGA galaxies.

However, using all available annuli in the L08 sample, we can get a sense for how Σ_g/Σ_* varies as a function of Σ_* . Fig. 5 plots the distribution of gas fraction $f_g = \Sigma_g/(\Sigma_g + \Sigma_*)$ as a function of Σ_* from L08 (we use all 23 galaxies from L08 regardless of whether metallicity information is available). There is a wide spread in f_g as a function of Σ_* , but there is a clear upper envelope in the point distribution. Only at

very low values of Σ_* ($\log \Sigma_* \lesssim 0.8 M_\odot \text{pc}^{-2}$) do gas surface densities begin to dominate over stellar surface densities. Using of the upper envelope of f_g in Fig. 5, we estimate the maximum possible values of Σ_g as a function of Σ_* , using f_g values⁴ of 0.8, 0.7, 0.4, 0.2, and 0.2 for $\log \Sigma_*$ regimes of <0.5 , $0.5-1.0$, $1.0-1.5$, $1.5-2.0$, and $>2.0 M_\odot \text{pc}^{-2}$. These estimates are conservative towards instabilities in the sense that they illustrate the minimum relative impact of any stellar component on Q_{thermal} while maximizing the potential for self-shielding.

Fig. 6 shows radial profiles of $Q_{g,\text{thermal}}$, Q_* , Q_{thermal} , τ , and $Q_{\text{thermal}}\tau$ using our estimates of Σ_g . As expected, the combination of gas and stars has lowered Q_{thermal} such that it largely falls below unity at large radius. Similarly, $\tau < 1$ at large radius, even though our estimates of Σ_g are the maximum possible values. Additionally, $Q_{\text{thermal}}\tau < 1$ consistently in the outer disc, and the secondary dependence on Σ_* is much weaker compared to Fig. 4. In summary, this analysis supports the fragmentation-driven scenario by showing that

⁴ These correction factors are judged by eye.

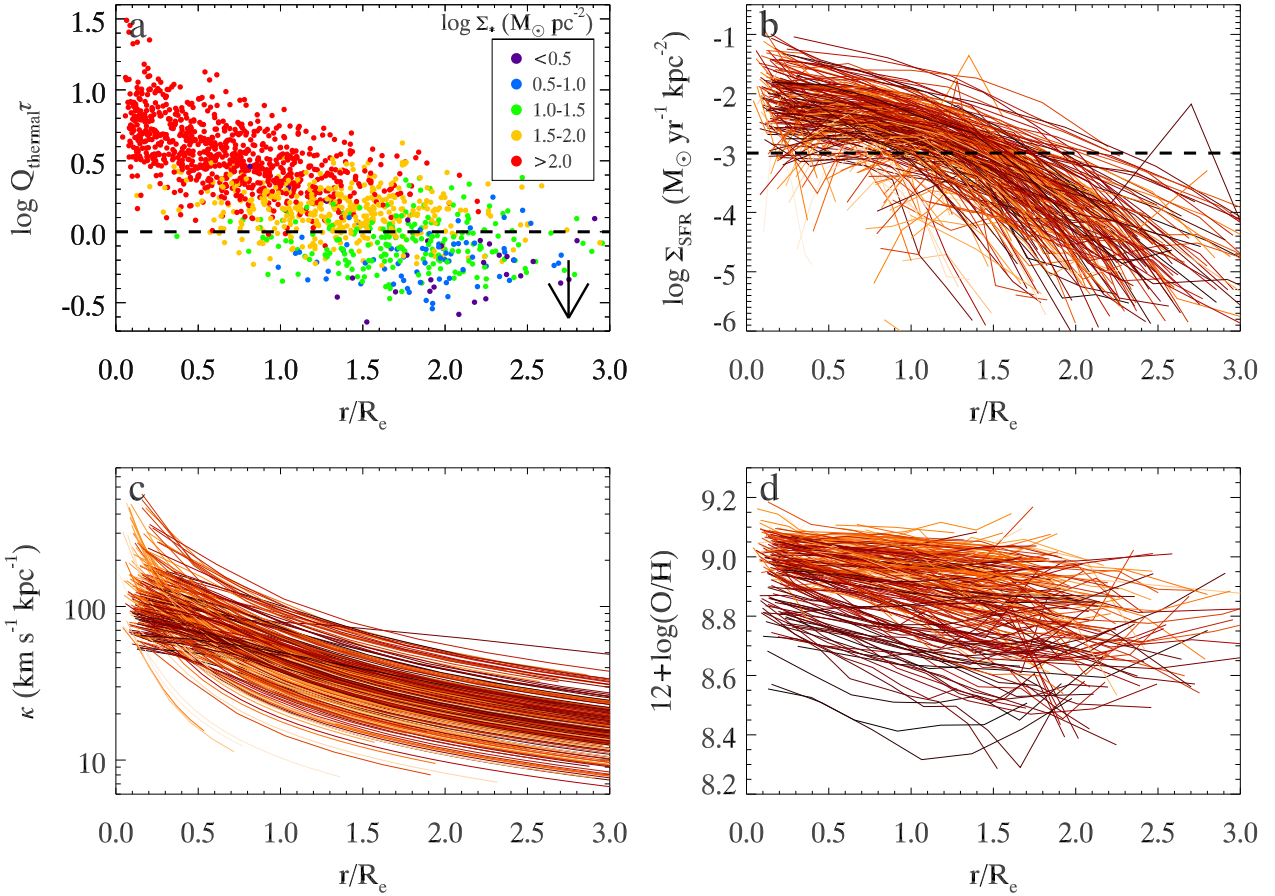


Figure 4. (a) $Q_{\text{thermal}}\tau$ vs. radius normalized by R_e , where $Q_{\text{thermal}}\tau$ is approximated with Eq. 5. Each point represents one $2.5''$ annulus where the N2O2 method is used to estimate Z' . The colours indicate Σ_* in each annulus. The arrow indicates the average amount that $Q_{\text{thermal}}\tau$ would decrease if the O3N2 method was instead used to estimate Z' . (b) Stacked Σ_{SFR} profiles for our MaNGA sample determined from H α surface brightness. (c) Stacked κ profiles for our MaNGA sample determined with the N2O2 strong line method. (d) Stacked $12 + \log \text{O}/\text{H}$ profiles determined with the N2O2 strong line method. For easier viewing, stacked profiles are colored based on their stellar mass, with lower mass galaxies shown in darker shades.

annuli will cross the threshold for gravitational instability before they cross the threshold for self-shielding.

Fig. 6 also shows the distribution of annuli in τ vs. Q_{thermal} parameter space, which is the observational equivalent to Fig. 8 from Orr et al. (2017) who examine this distribution in the FIRE simulations. Consistent with Orr et al. (2017), we find that star forming annuli ($\Sigma_{\text{SFR}} > 10^{-3} M_{\odot} \text{yr}^{-1} \text{kpc}^{-2}$) begin to appear around $Q_{\text{thermal}} \sim 1$ and $\tau < 1$. Our data do not show the locus on non-star forming annuli extending into the $Q_{\text{thermal}} > 1, \tau > 1$ quadrant shown by Orr et al. (2017), but this is due observational limitations (i.e., we cannot measure rotation velocity or metallicity without the presence of emission lines). Independent of how we divide star-forming and non-star forming annuli, this figure illustrates how the regime with $\tau > 1$ and $Q_{\text{thermal}} > 1$ is essentially unpopulated, emphasizing the point that galaxies appear to first reach gravitational instability and then self-shield, not vice versa.

The above analysis has been done assuming the highest reasonable value of Σ_g at a given Σ_* . Although this choice maximizes τ , it biases us towards lower Q_{thermal} . However, Q_* sets an upper limit on Q_{thermal} , and we estimate Q_* to be

only slightly above 1. Therefore, any decrease in Σ_g will lead to an increase in Q_{thermal} that is much slower than the corresponding decrease in τ . Therefore, even with lower estimates for Σ_g , our discs will still be much closer to the threshold for gravitational instability than the threshold for self-shielding.

5.2 Implications for Star Formation in Galaxy discs

Through both direct means (via measurements of Q_{thermal} and τ) and indirect means (using $Q_{\text{thermal}}\tau$), we find results consistent with the existence of widespread star formation in galaxy discs in regions that are on-average not self-shielded, but are unstable (or at least borderline unstable) in the presence of thermal gas support. Regardless of whether or not the discs are formally gravitationally unstable, their distance from the threshold for disc instability is far smaller than their distance from the threshold for self-shielding, indicating that an annulus will first become unstable and fragment before it becomes self-shielded. These data are consistent with a star formation scenario where widespread star formation in galaxy discs begins once a disc can no longer

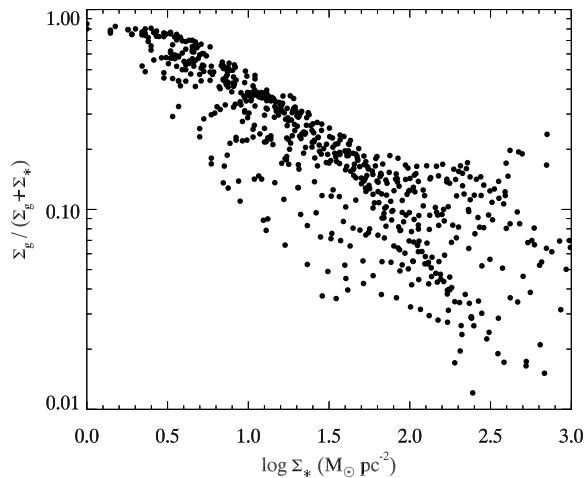


Figure 5. The distribution of $\gamma = (1 + z_s/z_s)^{-1}$ as a function of Σ_* for all annuli in the sample from Leroy et al. (2008). This term determines the amount by which Eq. 5 should be multiplied by in order to obtain the true value of $Q_{\text{thermal}}\tau$.

thermally support itself, at which point it fragments and triggers the growth of dense clouds which can locally self-shield. Our data are in agreement with Orr et al. (2017) that examines the onset of star formation within the FIRE galaxy simulations.

Our results may appear somewhat at odds with the findings of Schaye (2004) who argue that it is the formation of a molecular phase (even at very low levels) that leads to disc instabilities due to the associated sudden drop in gas temperature. Our findings essentially argue that such a drop in temperature is not a necessary ingredient to obtain disc instabilities; even when the gas is assumed to be 10^4K , the discs are at least borderline unstable. Similar results are seen in Orr et al. (2017) where they find widespread gravitational collapse and star formation even when gas cooling is turned off. It is important to note that Schaye (2004) associate this cooling with $\Sigma_{\text{H}_2}/\Sigma_{\text{HI}} \ll 1$ and do not argue that the disc is fully self-shielded by when the cooling takes place, and in their models, $\Sigma_{\text{H}_2}/\Sigma_{\text{HI}} = 1$ occurs at a much smaller radius. Therefore, our results are not entirely inconsistent with the findings of Schaye (2004), although we do not require any explicit drop in gas temperature/ c_s to lead to disc instabilities. If such temperature drops do occur, this will lead to lower Q and strengthen our results.

Although this study provides support for gravitational instabilities as the initial trigger that eventually leads to star formation in galaxy discs, we are not making any claims about the local efficiency of star formation once it begins, nor are we dismissing the importance of self-shielding on local scales. We are simply examining the large-scale conditions within galaxies that are conducive to dense cloud formation and widespread star formation. We claim that Q_{thermal} is the more fundamental condition for star formation on large scales, and this is because the star-forming disc appears to become on-average unstable before it becomes on-average self-shielded. None the less, even in annuli which are on average not self-shielded, self-shielding is undoubtedly instrumental in local star forming regions.

Our study does not explicitly explain the very inefficient star formation that occurs in extreme outer discs (Bigiel et al. 2010b). Based on the radial profiles of Q_{thermal} in Fig. 3, we expect the extended regions of galaxies to have $Q_{\text{thermal}} > 1$, consistent with other studies which find outer discs to generally be dynamically stable (Kennicutt 1989; Martin & Kennicutt 2001). As discussed in Section 1 it is possible for star formation to occur locally in a disc that is on-average stable and unshielded if localized overdensities can be created. Without explicitly arguing what may drive such overdensities, we do note that we measure values of $Q_{\text{thermal}}\tau$ that continue to decrease below unity at large radius. Even though these discs are neither gravitationally unstable or self-shielded, $Q_{\text{thermal}}\tau < 1$ implies that an increase in gas density will lead to gravitational instability before self-shielding, so the fragmentation-driven picture of star formation may still apply in the extreme outer disks of galaxies.

6 CONCLUSIONS

We have presented a new analysis on the relative importance of gravitational disc instabilities (parametrized by Q_{thermal}) and self-shielding (parametrized by τ) to the onset of star formation in galaxy discs. We consider two basic scenarios for star formation where the primary condition for widespread star formation differs: the “self shielding-driven” model where discs first self-shield themselves against the background UV field and then become unstable and fragment, and the “fragmentation-driven” model where discs first become unstable and fragment, only after which they are able to self-shield.

Using a small sample of galaxies with high-quality gas data where we can independently examine Q_{thermal} and τ , we find evidence that galaxies will be able to reach the threshold for gravitational instability well before they cross the threshold for self-shielding in their outer discs. Using a larger sample from the MaNGA survey lacking direct gas information, we show that the value of $Q_{\text{thermal}}\tau$ (which can be constrained in the absence of direct gas measurements) is consistent with galaxies being able to cross the threshold for disc instabilities first. The results from both of these samples lend support to the “fragmentation-driven” scenario for star formation in galaxies.

Future observations that can directly resolve gas distributions in large and diverse samples of galaxies out to large radii will be highly valuable to further test the fragmentation-driven star formation scenario. Wide field interferometric surveys like WALLABY and APERTIF which overlap MaNGA and other IFU surveys will be particularly beneficial. Similarly, the upcoming Local Volume Mapper (LVM) will yield high quality optical spectroscopy to compliment the growing radio/mm-wave inventory of nearby galaxies. The combination of complete data for large samples will also enable exploration into whether the behavior of Q_{thermal} , τ , and $Q_{\text{thermal}}\tau$ are universal for all galaxies, or whether they vary with other galaxy properties or their environments, potentially providing additional insight into the physical processes that regulate widespread star formation in galaxy discs.

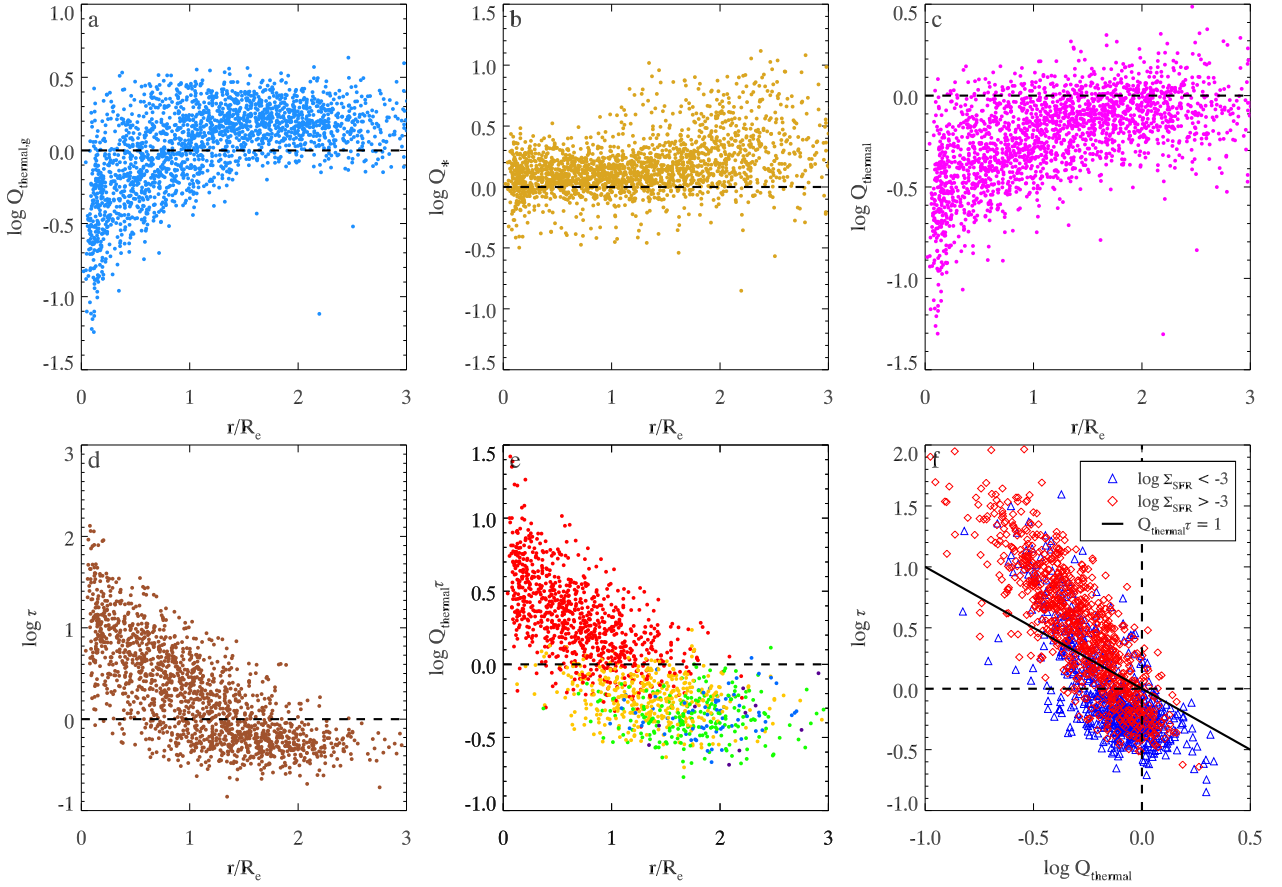


Figure 6. Properties of our MaNGA sample after approximating Σ_g based on the data in Fig. 5 and incorporating the stellar component. Panels (a)–(e) show the radial dependence of $Q_{g,thermal}$, Q_* , $Q_{thermal}$, τ , and $Q_{thermal}\tau$. The color-coding in panel (e) is the same as that of Fig. 4a. Panel (f) shows the distribution of annuli in $\tau, Q_{thermal}$ parameter space. This analysis supports our claim that disc will reach the threshold for disc stability before they reach the threshold for self-shielding.

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