

# **1 Satellites to Seafloor: Towards Fully Autonomous 2 Ocean Sampling**

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10 Future ocean observing systems will rely heavily on autonomous vehicles  
11 to achieve the persistent and heterogeneous measurements needed to under-  
12 stand the ocean's impact on the climate system. The day-to-day maintenance  
13 of these arrays will become increasingly challenging if significant human re-  
14 sources, such as piloting, are required. For this reason, techniques need to  
15 be developed that allow for autonomous determination of sampling direc-  
16 tives based on science goals and responding to in situ, remote sensing and  
17 model-derived information. Techniques that can accommodate large arrays  
18 of assets and permit sustained observations of rapidly-evolving ocean properites  
19 are especially needed for capturing interactions between the physical circu-  
20 lation and biogeochemical cycling. Here we document the first field program  
21 of the Satellite to Seafloor project, designed to enable a closed loop of nu-  
22 merical model prediction, vehicle path-planning, in situ path implementa-  
23 tion, data collection and data assimilation for future model predictions. We  
24 present results from activities carried out in Monterey Bay over a period of  
25 three months in 2016. While this field program was relatively modest in scope,  
26 this approach provides a step towards an observing array that makes use of  
27 multiple information streams to update and improve sampling strategies with-  
28 out human intervention.

## 1. Scientific and Technical Motivation

29 A fundamental problem in oceanography is that key processes span many orders of  
30 magnitude in spatial and temporal scales. For instance, the global overturning, occurring  
31 on scales of  $O(10^8 \text{ m}; 10^{10} \text{ s})$ , is tightly coupled to water mass modification, occurring  
32 on scales of  $O(10^{-2} \text{ m}; 1 \text{ s})$ : a variation of 10 orders of magnitude in both space and  
33 time. Ocean observational strategies have typically been focused on capturing a specific  
34 part of this range, e.g. mooring arrays and the Argo network cover large temporal and  
35 spatial scales respectively, while cruises employing water collection or high-resolution (e.g.  
36 microstructure) profilers may resolve the very smallest scales. Observational strategies  
37 have pushed towards increasing the range of measured scales through the use of remote  
38 sensing, high-frequency radar, Lagrangian instruments and other techniques.

39 Many key research questions related to the ocean's role in the climate system lie at  
40 the interface of traditional oceanographic disciplines. An interdisciplinary approach is  
41 needed that prioritizes those scales where ocean physics, chemistry and biology are most  
42 strongly coupled. Recent work has shown that many essential processes, such as air-sea  
43 fluxes, nutrient transport and water mass subduction, occur at the ocean submesoscale  
44 [*Lévy et al.*, 2012; *Mahadevan*, 2016]. At the submesoscale, ocean dynamics evolve on  
45 timescales of days and over length scales between 1 and 20 km. These represent a range  
46 of scales difficult to capture observationally. Furthermore, moving beyond local process  
47 studies, measuring the impact of submesoscale motions on larger-scale, or global, ocean  
48 properties will require an intelligent allocation of finite resources. Finally, the need to  
49 collect coincident information about physical, chemical and biological variables requires

50 sampling with a broad range of sensors that typically can not be accommodated on a  
51 single platform.

52 The distribution of physical and biogeochemical properties in the ocean are patchy  
53 [*Martin et al.*, 2002]. This is particularly acute in the upper ocean at spatial scales  
54 between 10 and 50 km and over time scales of 24 hours to a few days. These motions have  
55 a strong influence on planktonic community structure in the upper ocean and the focusing  
56 of upper trophic levels [*Lévy et al.*, 2013; *Siegel et al.*, 2016]. Submesoscale motions also  
57 generate strong vertical velocities that may impact the export of carbon from the surface  
58 ocean [*Omand et al.*, 2015]. Thus, the marine carbon cycle responds dramatically to  
59 individual events that are spatially and temporally intermittent. A striking example  
60 is the annual spring blooms: in a period of a few days, an intricate balance between  
61 surface heating, vertical nutrient fluxes and upper ocean turbulence triggers rapid growth  
62 in phytoplankton that completely restructures carbon and nutrient concentrations and  
63 fluxes in localized regions of the ocean [*Sverdrup*, 1953; *Mahadevan et al.*, 2012]. This  
64 intermittency makes it difficult to identify and study the evolution of surface dynamics  
65 from a static array of assets. Moving beyond regional studies, implementing a global  
66 physical-biological observing array would require a large number of platforms in different  
67 regions that may be targeting different scales and different physical dynamics.

68 In 2013-2014, the Keck Institute for Space Studies (KISS) conducted a 6-month study<sup>1</sup>  
69 to investigate the premise that autonomous and coordinated groups of ocean robots,  
70 working in cooperation with remote sensing and shore-based data assimilation, could  
71 significantly advance our ability to obtain ocean observations needed to constrain the

72 marine carbon cycle (Figure 1; *Thompson et al.* [2015]). The primary conclusion of this  
73 study was *the need to develop techniques that allow heterogeneous groups of robots to*  
74 *autonomously determine sampling strategies with the help of numerical ocean forecasts*  
75 *and remotely-sensed observations.* This work builds on previous coordinated efforts to  
76 optimize marine autonomous observing networks.

77 Previous attempts at designing ocean observing systems with multiple vehicles were  
78 conducted either with extensive human interaction or non-adaptive sampling approaches.  
79 Major efforts that involved adaptive surveys with multiple gliders under various degrees  
80 of automated control include the Autonomous Ocean Sampling Networks [*Curtin et al.*,  
81 1993; *Zhang et al.*, 2007; *Curtin and Bellingham*, 2009], Adaptive Sampling and Predic-  
82 tion (ASAP) [*Leonard et al.*, 2010], and Shallow Water 06 (SW06) [*Tang et al.*, 2007]  
83 programs. There have been several efforts to use high-resolution assimilating numerical  
84 ocean models for planning vehicle trajectories, e.g. [*Smith et al.*, 2010; *Thompson et al.*,  
85 2010; *Wang et al.*, 2013]. Finally, the deployment of fleets of multiple heterogeneous ocean  
86 robots have been achieved in projects like REP and the CANON (Controlled, Agile, and  
87 Novel Observing Network) Initiative [*Das et al.*, 2014; *Zhang et al.*, 2012]. A companion  
88 article, *Flexas et al.* [2017], details feature-tracking activities associated with this KISS  
89 field program.

90 Here we report on the first field season associated with the technical development compo-  
91 nent of the KISS Satellites to Seafloor project, carried out in Monterey Bay between July  
92 and October, 2016. The main goals of the field program were: (1) to develop algorithms  
93 that maximize information gain from in situ observations with the use of shore-based

94 circulation models; (2) to design a framework in which a fleet of heterogeneous ocean  
95 robots can receive directives from shore-based models that consider the health, sensing,  
96 navigation, and communication characteristics of the robots; and (3) to implement these  
97 methods on a range of vehicles including autonomous underwater vehicles (AUVs), under-  
98 water gliders, and autonomous surface vehicles (ASVs). Considering the need for future  
99 ocean observing systems to have longer persistence and increased asset heterogeneity and  
100 complexity, human control and piloting of the array is unlikely to be feasible. For this  
101 reason, a guiding principal of this project is to develop scalable techniques that can ac-  
102 commodate large arrays of assets and permit sustained observations of the upper ocean's  
103 rapidly-evolving submesoscale.

## 2. Project components

104 The KISS field program was comprised of three components: a numerical modeling effort  
105 to forecast the evolution of mesoscale and submesoscale structure; a suite of algorithms to  
106 autonomously identify submesoscale features and to determine optimal sampling patterns;  
107 and an array of in situ assets to implement the planned sampling pattern. The field  
108 program was completed in multiple stages, focusing on different spatial scales and sampling  
109 strategies.

### 2.1. Regional Ocean Modeling System (ROMS)

110 During the KISS field experiment, a nested ROMS-based coastal ocean modeling and  
111 data assimilation system provided both nowcast and forecast on a daily basis. ROMS  
112 is an open-source model developed by the oceanographic community [*Shchepetkin and*  
113 *McWilliams, 2005*]. In the configuration used here, the innermost ROMS domain covers

114 the greater Monterey Bay region to about 75 km offshore with a horizontal resolution of  
115 approximately 300 m. It is nested within an intermediate ROMS domain with a horizontal  
116 resolution of 1.1 km covering the coast from Pt. Reyes to Morro Bay out to about 250 km  
117 offshore. The outermost ROMS domain covers the entire California coastal ocean from  
118 north of Crescent City, California to Ensenada, Mexico with a resolution of 3.3 km (Figure  
119 2). In the vertical there are 40 unevenly-spaced sigma levels - a type of terrain-following  
120 vertical coordinate - used in all three ROMS domains with the majority of these clustered  
121 near the surface to better resolve near-surface processes.

122 The tidal forcing is added through lateral boundary conditions that are obtained from a  
123 global barotropic tidal model (TPXO.6) [Egbert *et al.*, 1994; Egbert and Erofeeva, 2002].  
124 Lateral boundary conditions for the CA domain are derived from global HYCOM (Hy-  
125 brid Coordinate Ocean Model) forecasts<sup>2</sup>. Both boundary conditions are provided at  
126 the outer-most 3.3 km ROMS domain. The atmospheric forcing required by the ROMS  
127 model is derived from hourly output from operational forecasts performed with the NCEP  
128 (National Center for Environmental Prediction) NAM 5-km North American model. An  
129 essential component of the nowcast and forecast system is the data assimilation scheme, a  
130 mathematical methodology for optimally synthesizing different types of observations with  
131 model first guesses (that is, forecasts). A new two-step multi-scale (MS) three-dimensional  
132 variational (3DVAR) data assimilation algorithm is used here. This MS-3DVAR scheme  
133 is a generalization of the 3DVAR methodology of *Li et al.* [2008] and is described in detail  
134 in *Li et al.* [2015]. The MS-3DVAR data assimilation methodology was selected because  
135 of its ability to propagate observational information, which is often sporadically and ir-



regularly distributed, in both the horizontal and vertical directions through an advanced error covariance formulation, as well as its computational efficiency that enables real-time operational forecasting.

The ROMS nowcast/forecast system is run daily in near real-time. The system incorporates all available real-time streams of data gathered from in situ or remote platforms, and is executed following the procedures of numerical weather prediction at operational meteorological centers. An assimilation step is carried out every 6 hours. The near real-time operation schedule during the KISS field experiment was designed to minimize the time lag between nowcast and forecast model times and real time and to insure that the 48-hour model forecast was available by 0500 local time (PDT). More details on our modeling system including the data assimilation methodology and a validation of the operational results can be found in [Chao *et al.*, 2017].

As an example of the significant impact that increased horizontal resolution can have on the fidelity of the representation of small-scale features in the model fields, Figure 2 shows the daily mean sea surface temperature (SST) on 5 Apr 2016 as observed by AVHRR/MODIS<sup>3</sup>, as well as the daily output of the model nowcasts with increasing resolutions from 3 km to 1 km and 300 m. On this particular day, a large standing mesoscale eddy is observed off the continental shelf. This feature is associated with warmer SSTs and separated from warmer coastal water by a band of lower SST related to a wind-driven upwelling front [Ryan *et al.*, 2005]. Submesoscale features associated with small-scale eddies and filaments that are not well simulated by the relatively coarser models at 3 km and 1 km resolutions are reproduced by the model at 300 m resolution,

158 e.g. a filament of warmer SST located at 36.9°N and 122.5°W. Furthermore, the lateral  
159 scales and intensity of the cooler upwelled waters are more accurately captured in the  
160 300 m ROMS model.

## 2.2. Feature Detection and Path Planning

161 The KISS observing system relies on a suite of feature detection algorithms, applied to  
162 the ROMS model output, to identify “target” locations for the in situ assets. Targets are  
163 defined by persistent (identified over a period of a day or longer) submesoscale physical  
164 oceanographic structures. With an appropriate sensor payload, the sampling strategies  
165 described herein are equally applicable to features defined by biological and/or chemical  
166 signatures. During the field program, a range of different upper ocean diagnostics were  
167 considered, including surface vorticity, lateral buoyancy gradients and surface speed. Ulti-  
168 mately, we used horizontal SST gradients to detect surface fronts. The planning algorithm  
169 not only identified regions of enhanced SST gradients, but also tracked the evolution of  
170 these features over a period of multiple days using the gridded, three-dimensional, time-  
171 dependent ROMS ocean model with a time step of one hour.

172 The feature detection algorithm utilizes the two-dimensional spatial layout of SST at  
173 each time step  $t_i$ . Features are selected based on the gradient of the smoothed SST  
174 ( $|\nabla \text{SST}|$ ) data using the Savitzky-Golay filter [*Savitzky and Golay*, 1964], a low pass filter  
175 with a moving window. The feature detection algorithm chooses  $N$  features with the  
176 highest gradients at time  $t_0$ . To alleviate the problems of rapid merging of initial high-  
177 gradient regions, the entire grid is initially sub-divided into equal sections and a target is  
178 selected from each section. Each feature is tracked in time by estimating the projected

179 trajectory including a user-defined velocity constraint that restricts the distance traveled  
180 between successive  $t_i$ . In this approach, nearby features are allowed to merge during the  
181 tracking procedure.

182 The path planner produces control directives, that instruct the assets to follow a tem-  
183 plate path relative to the identified feature. Two different template paths were developed:  
184 straight transects for the slower instrumentation, such as gliders, and bowtie shapes for  
185 faster assets, AUVs. Future iterations could also optimize the sampling template. The  
186 path planner simulates the movement of an asset through the ocean using a movement  
187 model that dictates the undulation of the vehicle at a glide slope to the designated depth  
188 applying the control directive and the interpolated ROMS current velocity at the relevant  
189 latitude, longitude, depth, and time.

190 For each communication between shore and vehicle, a new plan is generated, covering  
191 multiple dives in case of poor communications. The template path (transect, bowtie)  
192 indicates a target latitude and longitude for the next vehicle surfacing. The planner  
193 considers a range of heading control directives and selects the control directive that,  
194 when simulated, minimizes error between the simulated surfacing location and the target  
195 location. This process is repeated until a set time period is reached.

196 Critically, the same planning algorithm accommodates assets with different characteris-  
197 tics. For our Monterey study, we used ocean gliders and AUVs. Despite the difference in  
198 vehicle characteristics (AUVs much faster, gliders much deeper diving), the same planning  
199 algorithm was used for both types of vehicles. Glider plans are regenerated each surfacing.

200 For the AUV's plans were generated daily for both moving and stationary features and  
201 provided to the AUV operational team for deployment.

### 2.3. Glider and AUV operations

202 Two different classes of autonomous vehicles were deployed during this field program:  
203 underwater gliders and propelled autonomous underwater vehicles (AUVs). The long-  
204 term gliders, one Seaglider (SG621) and one Spray glider (NPS34), were deployed in  
205 July 2016 to provide an overview of the hydrographic and biogeochemical properties of  
206 the study area. The gliders were piloted to sample perpendicular to the continental  
207 slope, which hosts a series of frontal currents, in particular at the shelf break (Figure  
208 3a; *Flexas et al.* [2017]; *Ryan et al.* [2005]). The gliders were flown in parallel sections  
209 with a lateral separation of  $\sim 20$  km, which permits calculation of lateral gradients at  
210 the submesoscale; for larger numbers of vehicles the optimal separation between assets  
211 would also be determined by the planner. Due to the relatively shallow depths over  
212 the continental shelf and the gliders' slow speeds, the gliders did not sample in depths  
213 less than  $\sim 150$  m. Unfortunately, due to inclement weather conditions during the field  
214 program, it was not possible to carry out spatially-colocated deployments of the gliders  
215 and the AUVs. Therefore the path planning efforts focused on the AUV sampling, while  
216 the gliders were used to carry out autonomous feature-tracking activities, as described in  
217 section 4.

218 The paths generated using the ROMS model were applied during an intensive AUV field  
219 program. This program was supported by the *R/V Shana Rae* operating out of Santa  
220 Cruz, CA. A typical operational cycle was to leave dockside at 0500 am local time with

221 the AUVs fully charged and missions loaded, steam to targeted feature locations, deploy  
222 vehicles, monitor their progress, and recover early afternoon. The *Shana Rae*'s 10 knots  
223 with a steaming time of around 2 to 3 hours resulted in the selection of features within  
224 this range from Santa Cruz.

225 The observing platforms used for this field experiment consisted of three Iver2 (Ocean  
226 Server Technology Inc.) autonomous underwater vehicles (AUVs). All three of the vehi-  
227 cles were equipped with a 25 kHz Woods Hole Oceanographic Institution acoustic micro-  
228 modem and a hull-mounted Neil Brown conductivity/temperature sensor (Ocean Sensors  
229 Inc.). Additionally, two vehicles were configured with the YSI 6-Series Multiparameter  
230 Water Quality Sonde for sensing various biochemical parameters. All three vehicles op-  
231 erated at the most energy efficient speed of 2.5 knots and endured mission lengths of  
232 approximately 3.5 hours while expending less than 60% of total battery capacity. All  
233 three vehicles conducted undulating dives to depths of 20 meters, 40 meters, 60 meters,  
234 and 80 meters in a bow-tie type trajectory in a 3 km<sup>2</sup> area. Figure 4 displays an example  
235 of trajectory and temperature data gathered by the AUVs on September 2, 2016. We  
236 acknowledge that the 3.5 hours deployment durations mean that for this field program  
237 the primary goal was feature detection; future iterations would use similar techniques to  
238 enable feature evolution.

239 The field program schedule provided one week to implement and verify the overall KISS  
240 project concept. This week was divided between software and hardware testing, proofs  
241 of concepts, algorithm refinement, and finally a full demonstration of the “start-to-finish”  
242 KISS project concept.

### 3. Wind-driven upwelling fronts

243 From August 27 to September 3, 2016, multiple deployments of the Iver2 AUVs were  
244 carried out over the continental shelf (Fig. 3b). Each morning, the survey location  
245 was determined autonomously following analysis of the output from the ROMS 72-hour  
246 forecast arriving at 5:00 am. Due to the near-shore limitations of the sampling activities,  
247 shallow upwelling fronts were targeted. These upwelling fronts are typically less than 10  
248 km in scale. The full implementation of the KISS project concept was carried out on both  
249 the 1st of September and the 2nd of September; these correspond to the blue butterfly  
250 locations in Figure 3b.

251 An example of the implementation from 2 September, 2016 is summarized in Figure 4.  
252 Panels (a) and (b) show snapshots of SST and the gradient of SST from the ROMS model  
253 corresponding to the expected deployment time of the assets (0800 am local time). The  
254 autonomous feature detection accurately captured the strong temperature front (approx-  
255 imately  $1^{\circ}\text{C km}^{-1}$  and mapped out a butterfly pattern shown in white in these panels. As  
256 shown in panels (c) and (d), two AUVs (i106 and i107) were deployed just before 0800 and  
257 carried out the sampling pattern for a period of approximately four hours. The vertical  
258 structure of the temperature shown in panel (c) indicates that mixed layers were very  
259 shallow,  $\sim 20$  m. However, even over this small domain, the AUVs were able to capture  
260 significant lateral temperature gradients. The yellow triangle in panel (d) highlights a pe-  
261 riod of reduced near-surface temperature, with temperature changing just over  $1^{\circ}\text{C}$ . This  
262 temperature difference is nearly half of the temperature drop across the front shown in  
263 panels (a) and (b). This feature is persistent over a period of 30 minutes, suggesting that

264 it is not the signature of internal waves. The reduced surface temperature is also apparent  
265 as the glider returns to the western side of the butterfly at the end of its sampling pattern.

266 The frontal structure captured by the AUV resulted in a  $1^{\circ}\text{C}$  temperature anomaly over  
267 a distance of  $\sim 1$  km. This is equivalent to a lateral buoyancy gradient of  $10^{-5} \text{ s}^{-2}$ , which  
268 is indicative of a strong submesoscale front. Although the front was not located at the  
269 center of the sampling array, the fidelity between the model output and the observations  
270 is verified by capturing a front in this small (approximately  $4 \text{ km} \times 4 \text{ km}$ ) domain. A  
271 limitation of this concept demonstration is the relatively short duration of the AUVs.  
272 This curtailed our ability to track the evolution of the front in time in order to determine  
273 both the fidelity of the numerical model over longer periods of time and the ability of  
274 the path planner to follow the movement of the front. In future iterations, a combination  
275 of model output and in situ observations will be used to update the sampling patterns  
276 (Figure 1).

#### 4. Deep fronts and feature tracking

277 In addition to the near real-time experiments carried out over the continental shelf,  
278 described in the previous section, we also explored autonomous methods for detecting  
279 submesoscale fronts and optimizing sampling of these features without human interven-  
280 tion. This approach uses 48-hour forecasts from the ROMS model described in section  
281 2.1, feature-tracking techniques, and an autonomous planner that controls the observing  
282 platform. This component of the field program was carried out in October 2016.

283 Our targeted “features” for this activity are thermohaline structures, subducting from  
284 below the mixed layer into the deep ocean. Because these features are strongly density-

285 compensated, e.g. they form along density surfaces, but are associated with large, com-  
286 pensated temperature and salinity gradients, we elect to diagnose spice  $\pi$ , as introduced  
287 by *Flament* [1986]. The absolute value of spice is less important than spice variance,  
288 indicative of large variations in warm/salty and cold/fresh water masses along a density  
289 surface. Thus the autonomous planner uses lateral, or along-track, gradients in spice,  
290 e.g.  $\partial\pi/\partial x$ , to detect features of interest. Spiciness has been widely used to study the  
291 California Current System (*Flament* [2002] and references therein). Characterization of  
292 isobaric and isopycnal hydrographic variability specifically from ocean gliders has been  
293 described by *Rudnick and Cole* [2011] and *Cole and Rudnick* [2012].

294 A detailed description of the feature tracking activities is presented in *Flexas et al.*  
295 [2017] and is briefly summarized here. Using the ROMS forecast, a series of simulated  
296 transects are determined along a track, nominally perpendicular to the continental slope  
297 (Figure 5a). For a given period, the simulated glider track can either continue straight or  
298 can be directed to “turn back” if a front is detected. Turning back on the front allows for  
299 multiple realizations of the high-gradient region over a short period of time. The track  
300 selected is the one that optimally crosses the strongest lateral spice gradients. This track  
301 is then autonomously delivered to the glider as a series of way points.

302 An example of the lateral spice gradient observed from glider data on October 22, 2016  
303 is shown in Figure 5d. The ROMS-derived lateral spice gradient at the corresponding  
304 glider location is shown in panel (e) for comparison. Similar to the upwelling fronts  
305 described in section 3, the location of the submesoscale fronts are not found at precisely  
306 the same location, but the vertical structure and magnitude of the variability is similar.



307 We verify this by plotting a histogram of  $\partial\pi/\partial x$  in panel (c), both for the entire transect  
308 and for subdomains of the transect. The distribution is similar, although ROMS tends  
309 to underestimate the gradients. An analysis of multiple sections appears in *Flexas et al.*  
310 [2017], which suggest that the ROMS model is accurately capturing the physical process  
311 related to the subducting fronts and has skill in directing the glider(s) to a region of strong  
312 frontogenesis.

313 Based on model estimations, the sampling “gain”, defined as the amount of spiciness  
314 gradient sampled, is 50% larger for gliders that are autonomous piloted by the feature-  
315 tracking planner, as compared to a sampling pattern that simply samples across the entire  
316 width of the continental slope [*Flexas et al.*, 2017].

## 5. Perspectives

317 Future ocean observing arrays will inevitably move towards greater levels of auton-  
318 omy with larger fleets of a given platform or with arrays of heterogeneous assets. This  
319 project builds upon previous and on-going efforts that have appreciated the need for  
320 adaptable observing arrays, but have typically involved intensive human interpretation of  
321 the information being returned by the vehicles in real time. We argue that this level of  
322 human involvement is not sustainable (a) because it becomes difficult to maintain per-  
323 sistent observations in this mode and (b) the quantity of data generated by models and  
324 satellites (and potentially multiple in situ instruments) makes it too difficult to carry out  
325 near-real-time synthesis. As the need to acquire information that crosses traditional bi-  
326 ological/chemical/physical disciplines, the burden on human resources will also increase.

327 A solution is to cede more control over lower-level tasks, such as target determination and  
328 sampling strategies, to the vehicles and planning software (Figure 1).

329 In this study and during our first field program, we have laid out a framework for ocean  
330 sampling with limited human intervention. Successes of the mission include substantial  
331 evidence that near-real time data assimilation of both in situ and remote sensing informa-  
332 tion in a high-resolution numerical model can improve the targeting of coherent structures  
333 on the time scale of a day. Our feature tracking component of the field experiment also  
334 showed that an autonomous dive-by-dive assessment of the frontal conditions can im-  
335 prove the efficiency of sampling with gliders. Up to 50% more time is spent sampling  
336 coherent, frontal regions. Finally, we showed that by assimilating the in situ data into  
337 the ROMS model, the fidelity of the forecasts improved, suggesting a positive feedback  
338 between model reliability, improved target planning and more beneficial observations for  
339 future assimilation. Achieving fully autonomous observational arrays requires further de-  
340 velopment and testing. For instance, during our field program we were unable to carry  
341 out a nested array incorporating assets with different characteristics (speed, sensor suite,  
342 etc.). Ideally, longer deployments would have allowed us to make a better assessment  
343 of how the autonomous data acquisition improves our ability to capture the evolution  
344 of submesoscale structure in the ocean. This is the focus of our second field program,  
345 planned for late 2017.

346 It has been argued [*LeTraon*, 2013] that oceanography has undergone three major rev-  
347 olutions in the past three decades. The first is related to the advent of satellite oceanog-  
348 raphy, which provided global synoptic information about ocean surface properties and

349 variability. The second is related to the realization of the Argo float array, which ben-  
350 efited heavily from the advantages of autonomous sampling to achieve a global subsur-  
351 face observing system. The third revolution is the implementation of global operational  
352 oceanography. Yet, these three aspects of observational oceanography are rarely used  
353 synergistically in real time. A primary goal of the KISS study is to effectively couple in-  
354 formation from these different streams—achieving this with minimal, or low-level, human  
355 effort should aid in the synthesis of these diverse data sets. Key scientific questions that  
356 require an understanding of processes across multiple temporal and spatial scales, includ-  
357 ing the impact of submesoscale motions on the large-scale ocean circulation [*McWilliams*,  
358 2016] and biogeochemical cycling [*Lévy et al.*, 2012], are poised to take advantage of these  
359 new capabilities.

## Acknowledgments

360 This work is funded by the Keck Institute for Space Studies (generously sup-  
361 ported by the W. M. Keck Foundation) through the project “Science-driven Au-  
362 tonomous and Heterogeneous Robotic Networks: A Vision for Future Ocean Observa-  
363 tion” ([http://www.kiss.caltech.edu/new\\_website/techdev/seafloor/seafloor.html](http://www.kiss.caltech.edu/new_website/techdev/seafloor/seafloor.html)). Portions  
364 of this work were performed by the Jet Propulsion Laboratory, California Institute of  
365 Technology, under contract with the National Aeronautics and Space Administration.

## Notes

1. Meeting website: [http://kiss.caltech.edu/new\\_website/workshops/seafloor/seafloor.html](http://kiss.caltech.edu/new_website/workshops/seafloor/seafloor.html)

2. <http://hycom.org>

3. Advanced Very High Resolution Radiometer/Moderate-resolution Imaging Spectroradiometer

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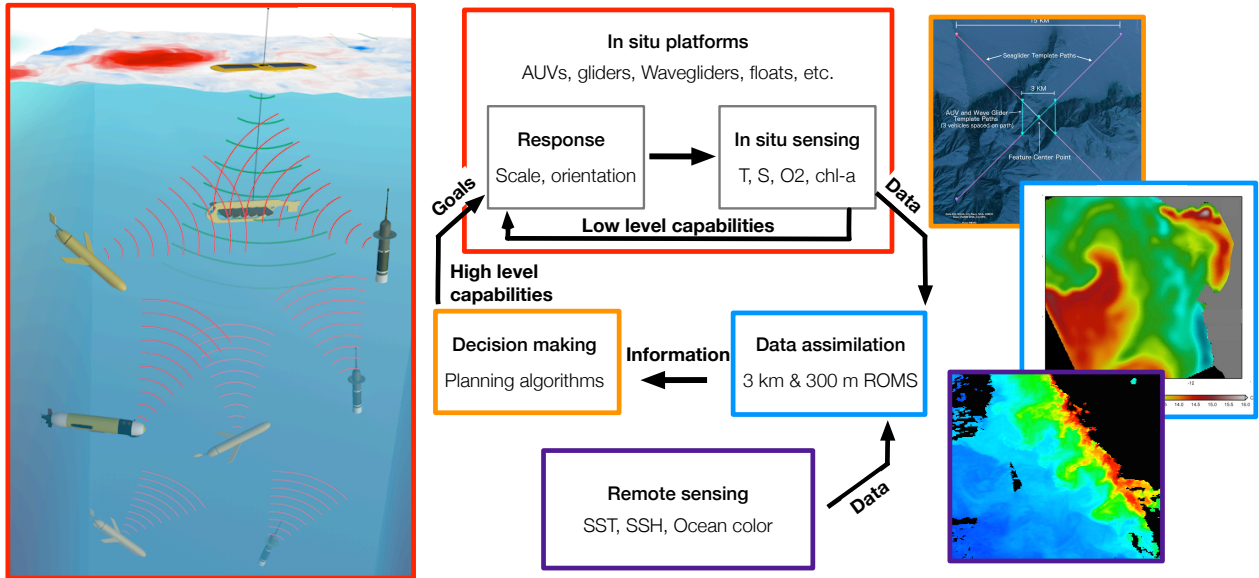
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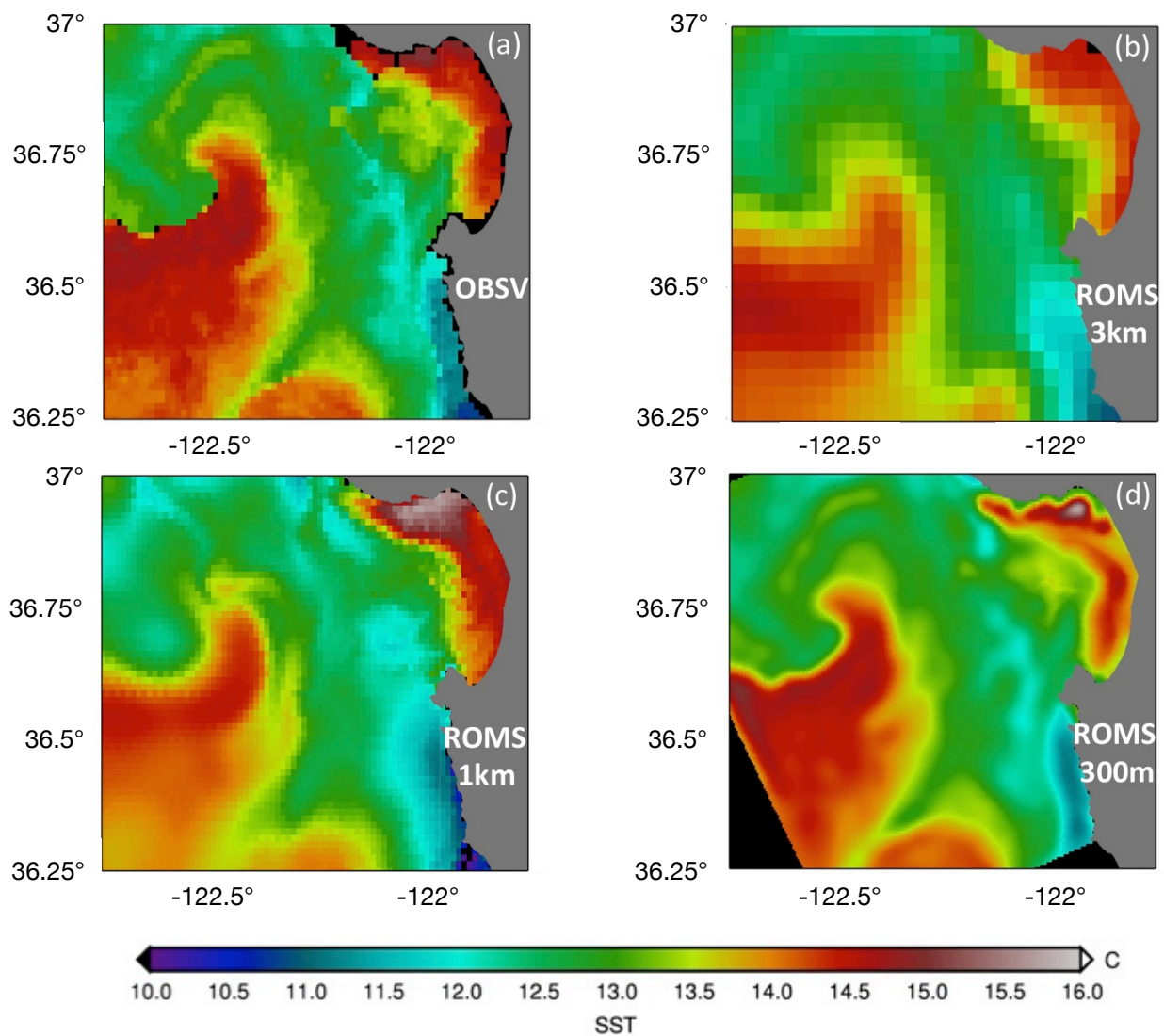
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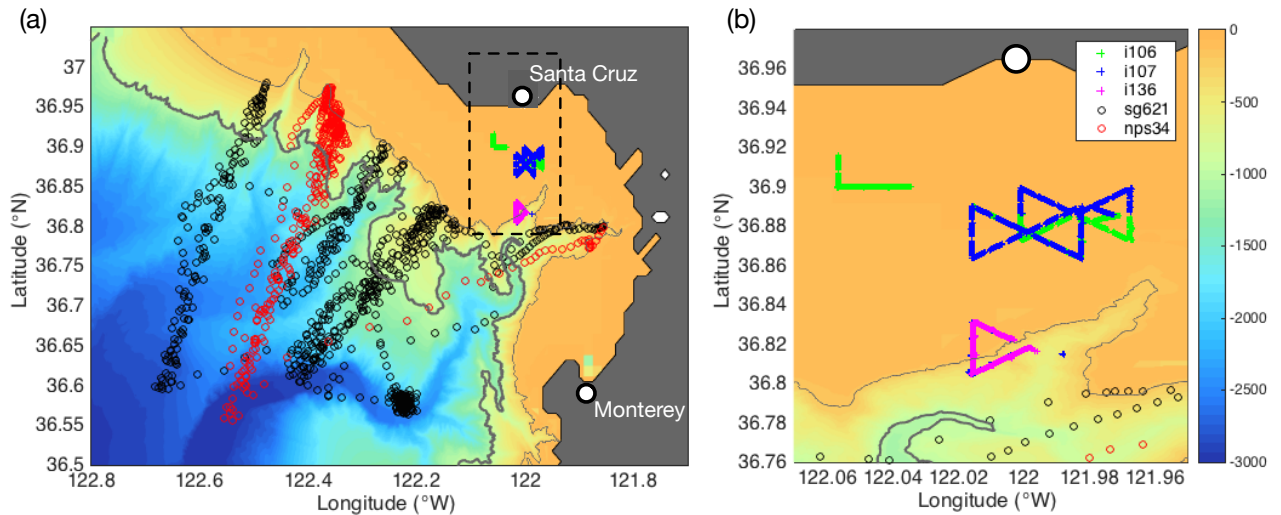




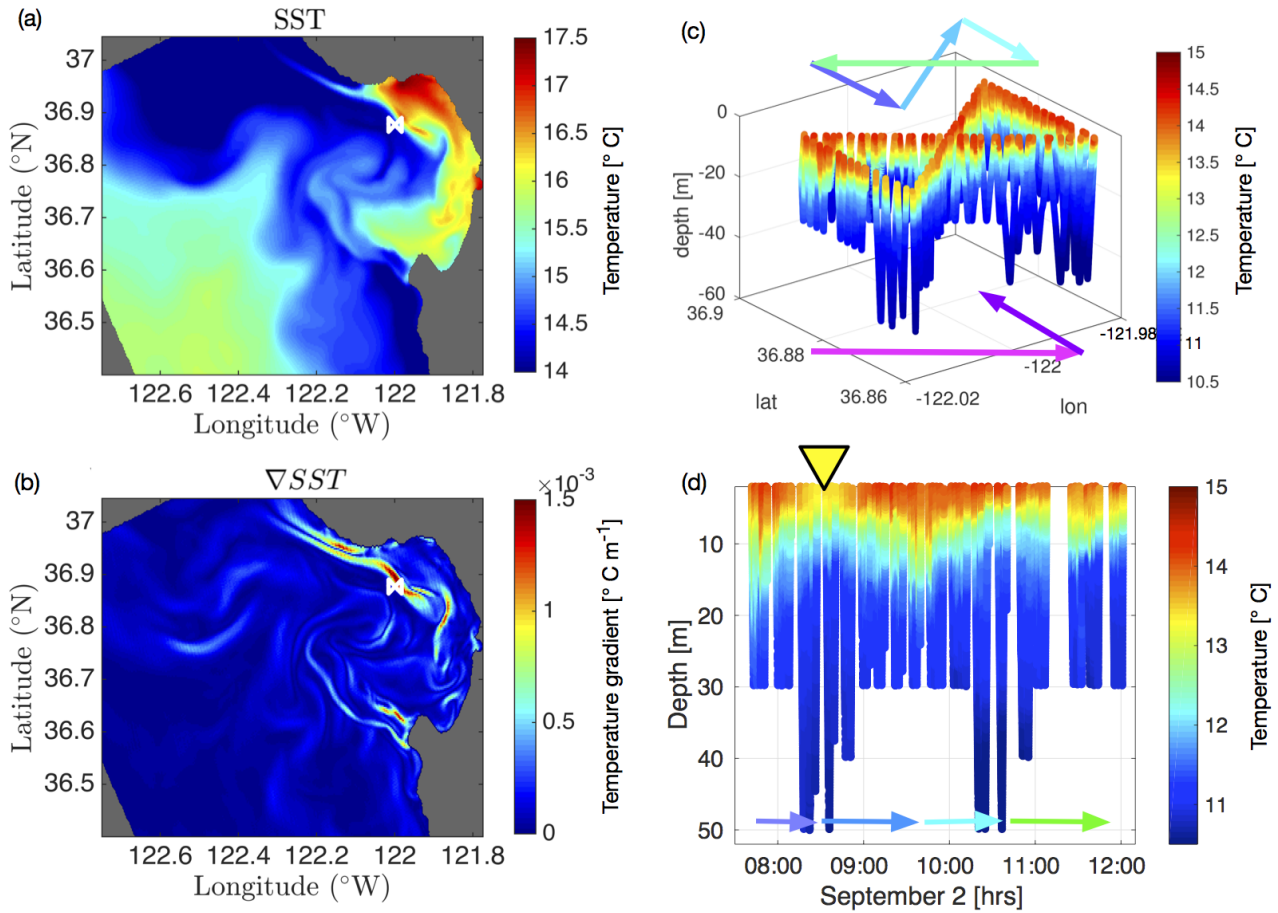
**Figure 1.** Schematic and work flow of the Satellites to the Seafloor Keck Institute for Space Studies (KISS) concept [Thompson *et al.* 2015]. The design calls for a combination of in situ (red) and satellite-based (purple) measurements to be assimilated into a high resolution numerical model (blue). Both model output and observations are passed to a suite of planning algorithms (orange) that direct the in situ observing array accounting for the varying capabilities and health of each instrument. Right hand panels show an example of a path-planner template (orange), sea surface temperature in Monterey Bay from the 300-m resolution numerical output (blue, see Figure 2), and coastal California ocean color from the NASA Visible Infrared Imaging Radiometer Suite (VIIRS) scanning radiometer (purple).



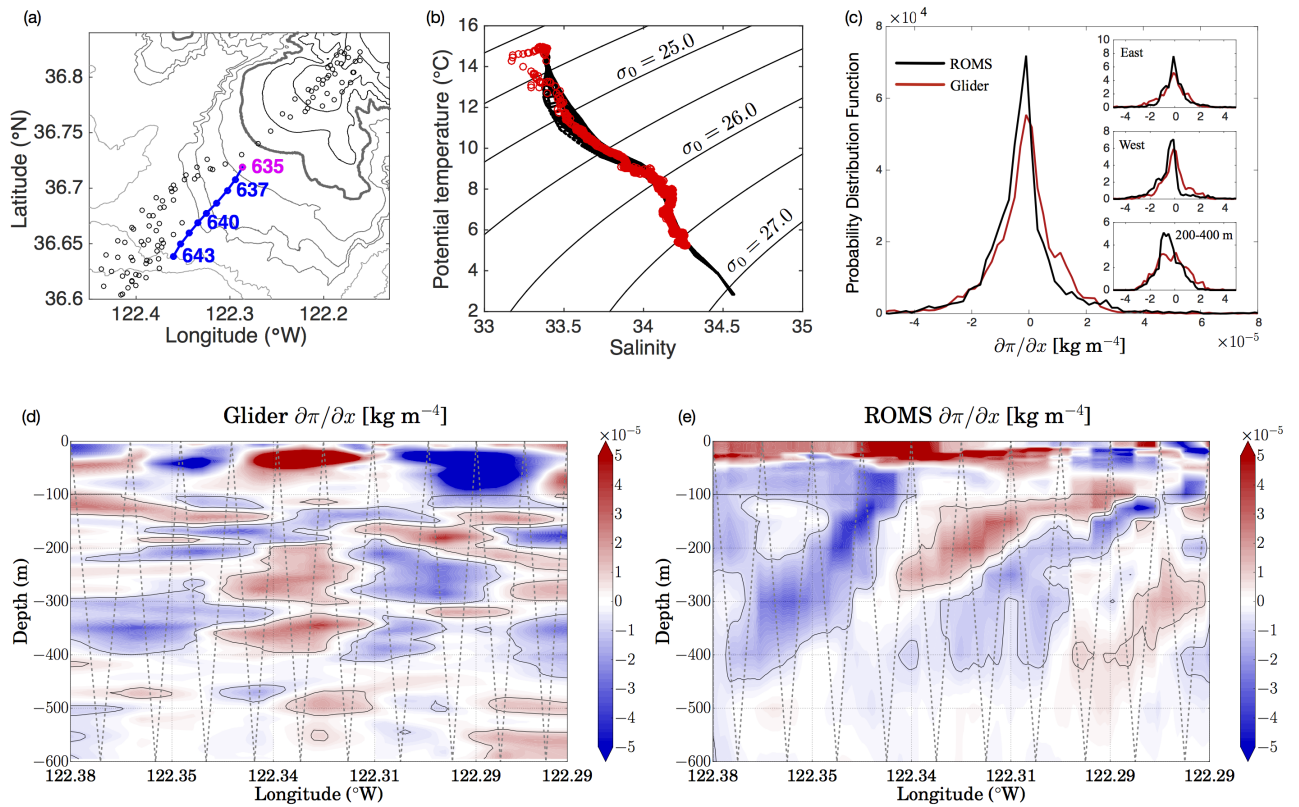
**Figure 2.** Sea surface temperature ( $^{\circ}\text{C}$ ) for 5 April 2016 (a) as observed by AVHRR/MODIS satellites, and as simulated in the three nested ROMS domains: (b) California 3 km, (c) central California 1 km and (d) Monterey Bay 300 m. See description in section 2.1.



**Figure 3.** (a) Locations of all profiles carried out during the KISS field program in Monterey Bay between July and October, 2016. Each symbol indicates a different asset, summarized in the legend in panel (b): gliders (SG621, NPS34) and Iver-class AUVs (i106, i107, i136). The region of intensive AUV deployments over the continental shelf is expanded in panel (b); measurements collected in panel (b) occurred between August 27 and September 3. In both panels, the bathymetry (m) is given by the color; the 200 m and 1000 m isobaths are contoured.



**Figure 4.** (a) Sea surface temperature ( $^{\circ}\text{C}$ ) and (b) sea surface temperature gradient ( $^{\circ}\text{C m}^{-1}$ ) from the 300 m ROMS model output on 2 September 2016 at 8:00 am local time (1500 UTC). In each panel, the white butterfly pattern indicates the sampling position determined by the planner. (c) Temperature and position of two Iver vehicles (i107, upper arrows; i106, lower arrows) on 2 September 2016. (d) Temperature time series from Iver vehicle i107. The colored arrows correspond to the legs of the butterfly, as shown in panel (c). The position of the upwelling front is indicated by the yellow triangle.



**Figure 5.** Feature tracking experiment on October 22, 2016. (a) Glider path: black circles indicate all dives performed during the feature tracking experiment (October 22–30, 2016). Dives performed by the Seaglider (SG621) on October 22 are highlighted in blue (first dive, 635, is highlighted in magenta). The black contours show bathymetry at 200 m intervals; the 1000 m isobath is shown in bold. (b) Temperature–Salinity plot for the points extracted from the ROMS model (black) and from the glider data along the blue curve in panel (a) (red points). (c) Probability distribution function of the lateral gradient of spice ( $\delta\pi/\delta x$ ,  $\text{kg m}^{-4}$ ) obtained from the glider (red) and shown in (d) and extracted from ROMS 300 m forecast output at glider locations (black) and shown in (e). Sub-panels show the PDF for the eastern and western halves of the sections as well as just between 200 and 400 m. In the lower panels, black dotted lines indicate the position of the actual (d) and simulated (e) glider. Analysis of additional sections is shown in *Flexas et al.* [2017].