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A potassium *tert*-butoxide and hydrosilane system for ultradeep desulfurization of fuels

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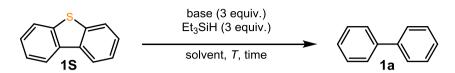
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Supplementary Note 1: Optimization of the hydrodesulfurization (HDS) of dibenzothiophene (1S).



Investigating the HDS of dibenzothiophene under a variety of conditions revealed several important features of the reaction. First, the reaction appears to require a strong inorganic base (Supplementary Figure 1, entries 4–10). The reaction does not occur with potassium hydroxide (entry 3) or with organic bases (entries 11–13). Second, the use of a potassium base appears to be vital for HDS to occur; the reaction does not proceed with lithium- or sodium alkoxide bases (entries 1 & 2). Potassium *tert*-butoxide (KOt-Bu) proved to be the optimal base for the HDS (entry 7) as KOMe (entry 5) and KOEt (entry 6) afforded the product in significantly lower vield. It appeared then that the effectiveness of the KOR/Si-H (KOSi) system correlates with the basicity of the alkoxide; however, the ease of solubility of the base in the reaction medium cannot be discounted as a contributing factor. Third, the yield of biphenyl (1a) increases with increasing temperature (entries $7 \rightarrow 10$). Although considerations of energy input (especially on an industrial scale) led us to run the HDS reactions at 165 °C, it is gratifying to observe that increasing the temperature to 200 °C further improves the yield of 1a (entry 10). Finally, triethylsilane (Et₃SiH) demonstrated the highest HDS activity; a dihydrosilane, Et₂SiH₂, performed poorly compared to Et₃SiH (entry 14). The light and low-boiling EtMe₂SiH was also less effective (entry 15).

No reaction occurs with Et₄Si (entry 16) clearly demonstrating, as anticipated, that a silane with an Si–H bond is required to induce HDS reactivity. A brief solvent investigation revealed that besides mesitylene, other simple aromatic (entries 18 & 21) as well as aliphatic (entry 19) hydrocarbons are superior solvents for this reaction, whereas ethers (entries 17 & 20) are poor or shut down reactivity (entry 23). Alcohols (entries 22 & 26), and amides (entries 24 & 25) likewise shut down the reactivity.

Supplementary Note 2: Robustness evaluation.

Practical considerations of the *KOSi* method will have an important impact on the likelihood of its eventual implementation in industry. Fortunately, a brief robustness evaluation demonstrates that the reaction is tolerant of conditions that model a general operating environment in a refinery setting (Supplementary Figure 2).

The robustness investigation conducted in the context of the *KOSi* HDS of 4,6-Me₂DBT (**3**) shows that the reaction tolerates impurities such as those that would be found in bulk, unpurified solvents and reagents and that it can be performed under air. A 10-hour reaction time leads to slightly lower conversion. The reaction shows some sensitivity to water, as would be expected given the sensitivity of KO*t*-Bu to moisture. Although impacting the yield (see **IV**), the reaction proceeds in the presence of ambient moisture both on the surface of the glassware as well as in the solvent and reagents. The reaction also proceeds well in ultra-low sulfur diesel (ULSD) as the solvent (see **V**).

The scalability of the reaction was also evaluated using dibenzothiophene (1S) due to its much lower cost and greater ease of synthesis compared to 3. The reaction scales well with gram quantities of 1S to give the hydrocarbon (1a) product in 53 % yield after 10 h at 165 °C.

Supplementary Note 3: Optimization of the *KOSi* HDS of 4,6-Me₂DBT in ULSD (3).

Having determined that the *KOSi* HDS of 4,6-Me₂DBT proceeds well in ULSD (Supplementary Figure 2, **V**) we proceeded to investigate the effect of various parameters on the reaction using ULSD as a practically-relevant model solvent. Decreasing the number of equivalents each of base and silane from 3 (Supplementary Figure 3, standard conditions; entry 1) to 2 (entry 2) to 1 (entry 3) shows a step-wise decrease of the yield. With 3 equivalents each of KO*t*-Bu and Et₃SiH the reaction time can be lowered to 10 hours with a slight drop in the yield (entry 4) as was shown in the case of mesitylene as the solvent (Supplementary Figure 2). Further lowering reaction time to 5 hours results in a large corresponding decrease in yield (entry 5). Et₃SiH could be replaced by polymethylhydrosiloxane (PMHS) – an inexpensive, non-toxic, air- and water stable polymeric hydrosiloxane which is a byproduct of the silicone industry – though with decreased yield.

In this latter case, despite the non-negligible decrease in yield, the fact that the *KOSi* reaction proceeds in the presence of such a simple, inexpensive, and abundantly available Si–H source is very surprising since PMHS is generally employed for facile reductions, most often of carbonyl derivatives. Most importantly, *KOSi* with PMHS is a vital proof of principle for future improvements toward eventual implementation.

Supplementary Note 4: Quantification of retained Si and K in the *KOSi* HDS of dibenzothiophene (1S).

The analysis demonstrated that the silicon remaining in the feed is very high. This is of course expected since the eventual fate of the Si atom is sequestration of the sulfur (see Figure 3A, **TS6_S** – formation of TMS₂S, and the discussion in the main text) and, like the potassium, no efforts were made to remove any of the silicon from the mixture so as not to affect the ultimate [S] quantification in any way. Any unreacted or oxidized silane will also remain in the feed due to low volatility. In order to advance the *KOSi* method from a decisive proof of principle to a practical polishing refining technology, the silicon source would have to be inexpensive such as PMHS (Supplementary Figure 3, entry 6). Conversely, homogeneous silicon species – such as those used and formed herein – could be removed from the feed by scrubbing or by distillation and ideally be reactivated and reused. Regarding the latter, since the major Si product is an Si–S–Si species, it may be possible to hydrogenate the two Si–S bonds using H₂ to reform the active hydrosilane (i.e., reform the Si–H bond) with concomitant release of H₂S. Work is currently ongoing toward this end.

Supplementary Note 5: Observation of deoxygenation of 4,6dimethyldibenzofuran (3O) at high temperatures.

Having observed the efficient desulfurization activity of dibenzothiophene derivatives under the standard *KOSi* conditions, we became interested in whether O-heterocycles could potentially be deoxygenated as well. However, our earlier studies into reductive C–O cleavage emploing hydrosilane/base reductive systems showed no evidence of deoxygenation in dibenzofuran substrates such as **30** and only single C–O bond cleavage was observed giving **3a–OH** (Supplementary Figure 5, entry 1).

At that point, it was not clear why deoxygenation in those substrates was not observed. However, with the knowledge gleaned from the density functional theory studies performed herein, it becomes clear that the barrier to deoxygenation of these substrates (e.g., **30**) is simply too high to be overcome at the standard *KOSi* temperatures. Indeed, the energy for displacement of Me₃SiO by Me₃Si is quite high (37.5 kcal/mol). Increasing the temperature of the reaction to 165 °C (entry 2) and finally to 200 °C (entry 3) systematically results in increased conversions as expected and results in high yields of **3a–OH**. However, conducting the reaction at 200 °C for 60 h, 7% of **3a** is obtained, constituting the first observations of deoxygenation of a dibenzofuran derivative using the *KOSi* system. This result lends support to the proposed mechanism and correponding energetics provided by density functional theory calculations and warrants further exploration into hydrodeoxygenation (HDO) chemistry by *KOSi*.

Supplementary Note 6: Free energies and transition states for the *KOSi* HDS of 4,6-dimethyldibenzothiophene (3).

Refer to Figure 3A in the main text. The carbon of the C–S bond of the substrate (3) is proposed to be attacked by silvl radical through TS1 S with a barrier of 15.5 kcal/mol to form Int1 S, which can undergo C-S bond homolytic cleavage through TS2 S (11.8 kcal/mol) to form Int2 S. The subsequent silvl radical migration from C to S through TS3 S has a high barrier of 31.8 kcal/mol. The direct attack onto the heteroatom, S, of the substrate was also considered, followed by C-S homolytic cleavage through TS4 S. This transition state has a lower barrier than the migration transition state TS3 S by 5.4 kcal/mol. Once the carbon radical species, Int3 S, is formed, it can abstract an H atom from trimethylsilane through TS5 S to form silvlated biaryl-2-thiol Int4 S. This hydrogen abstraction step is the rate-determining step with an overall barrier of 27.2 kcal/mol. Then silvl radical attack at the S atom through TS6 S forms biaryl radical and disilathiane to complete the desulfurization process. Finally, the biaryl radical abstraction of an H atom from trimethylsilane (TS7 S) is a very facile process to generate the final biaryl product and regenerate the silyl radical. The overall process is exergonic by 32.7 kcal/mol. In summary, for substrate 4,6-dimethyldibenzothiophene (3), silvl radical attack at the heteroatom S and eventual attack of a second silvl radical at the S of the biaryl silvl thioether to give desulfurization is a favorable pathway. The calculated transition states discussed above are visualized in Supplementary Figure 6.

Supplementary Note 7: Free energies and transition states for the aryl C–O bond cleavage of 4,6-dimethyldibenzofuran (3O) by the *KOSi* method.

Refer to Figure 3B in the main text. Different from the 4,6-dimethyldibenzothiophene case (3), the direct attack of the silvl radical to the heteroatom of **3O**, breaking one C-O bond, is very unfavorable with a barrier of 43.3 kcal/mol (TS4 O). Instead, silvl radical attack at carbon atom of the C-O bond of 4,6-dimethyldibenzofuran (30) through TS1 O has a barrier of 16.2 kcal/mol, leading to Int1 O, which undergoes C–O bond homolytic cleavage through TS2 O (22.4 kcal/mol) to generate Int2 O. The subsequent silvl radical migration from C to O through **TS3** O requires an overall activation free energy of 26.7 kcal/mol and is the rate-determining step. The carbon radical species, Int3 O, is relative stable, and can abstract an H atom from trimethylsilane through TS5 O to form silvlated biaryl-2-ol, Int4 O. The silvl radical reattacking the O atom through **TS6 O** is a very unfavorable process with a barrier of 37.5 kcal/mol. It is speculated that silvlated biaryl-2-ol, Int4 O, can undergo hydrolysis to form the biaryl-2-ol product **3a–OH** that is observed experimentally. In summary, for substrate 4,6dimethyldibenzofuran (**3O**), the silvl radical attack at O is unfavorable for both the substrate and the intermediate biaryl silvl ether. The silvl radical preferentially attacks the aryl group of the substrate and finally undergoes hydrolysis to generate biaryl-2-ol 3a-OH instead of the deoxygenation product 3a. The calculated transition states discussed above are visualized in Supplementary Figure 7.

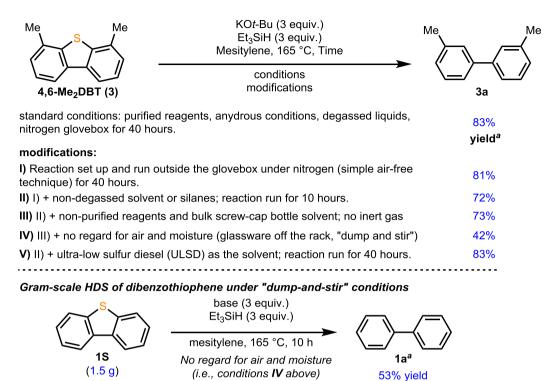
Supplementary Figures

Supplementary Figure 1. Discovery and optimization of the KOSi HDS of dibenzothiophene (1S).

Γ	√ ^S ∕∕∕		(3 equiv.) I (3 equiv.)	_		
1S		solve				
entry	base	[Si]–H	solvent	<i>Т</i> (°С)	time (h)	yield 1a ^a
1	LiO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	100	72	_
2	NaO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	100	72	-
3	КОН	Et ₃ SiH	Mesitylene	100	72	_
4	КН	Et ₃ SiH	Mesitylene	100	40	12%
5	KOMe	Et ₃ SiH	Mesitylene	100	40	21%
6	KOEt	Et ₃ SiH	Mesitylene	100	40	29%
7	KO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	100	40	46%
8	KO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	120	40	66%
9	KO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	165	40	83%
10	KO <i>t</i> -Bu	Et ₃ SiH	Mesitylene	200	40	90%
11	Pyridine	Et ₃ SiH	Mesitylene	165	72	_
12	DBU	Et ₃ SiH	Mesitylene	165	72	_
13	NEt ₃	Et ₃ SiH	Mesitylene	165	72	_
14	KO <i>t</i> -Bu	Et ₂ SiH ₂	Mesitylene	165	40	13%
15	KO <i>t</i> -Bu	EtMe ₂ SiH	Mesitylene	165	40	26%
16	KO <i>t</i> -Bu	Et ₄ Si	Mesitylene	165	72	_
17	KO <i>t</i> -Bu	Et ₃ SiH	THF	165	40	22%
18	KO <i>t</i> -Bu	Et ₃ SiH	Benzene	100	40	47%
19	KO <i>t</i> -Bu	Et ₃ SiH	MeCy	165	40	81%
20	KO <i>t</i> -Bu	Et ₃ SiH	1,4-dioxane	165	40	30%
21	KO <i>t</i> -Bu	Et ₃ SiH	Toluene	120	40	49%
22	KO <i>t</i> -Bu	Et ₃ SiH	<i>t</i> -BuOH	120	40	_
23	KO <i>t</i> -Bu	Et ₃ SiH	Diglyme	165	40	_
24	KO <i>t</i> -Bu	Et ₃ SiH	DMF	100	40	_
25	KO <i>t</i> -Bu	Et ₃ SiH	DMA	100	40	-
26	KO <i>t-</i> Bu	Et ₃ SiH	Diisopropyl carbinol	165	40	-

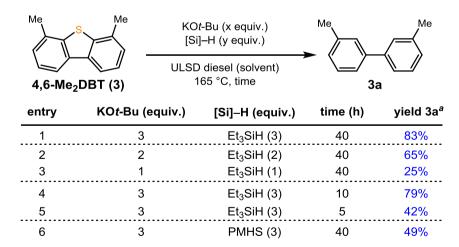
^aYields determined by GC-FID analysis using tridecane as a standard.

Supplementary Figure 2. Robustness evaluation of the *KOSi* HDS of 4,6-dimethyldibenzothiophene (**3**) and gram-scale HDS of dibenzothiophene (**1S**).



^{*a*}Yields determined by GC-FID analysis using tridecane as a standard.

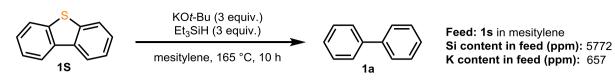
Supplementary Figure 3. KOSi HDS of 4,6-dimethyldibenzothiophene (3) under varying conditions.



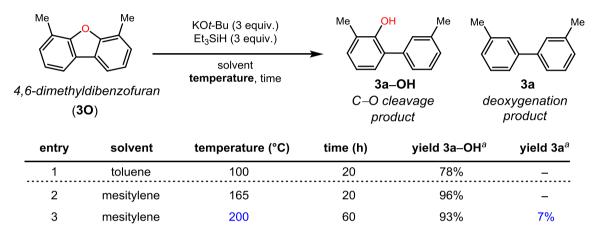
^{*a*}Yields are by GC-FID analysis using tridecane as a standard.

SUPPLEMENTARY INFORMATION

Supplementary Figure 4. Evaluation of Si and K content remaining in the feed after KOSi HDS.



Supplementary Figure 5. Observed deoxygenation of 4,6-dimethyldibenzofuran (30).

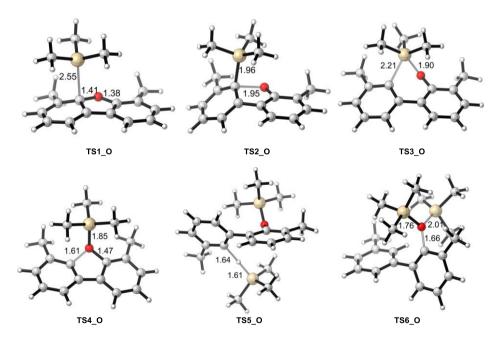


^aYields are by GC-FID analysis with tridecane as a standard.

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Supplementary Figure 6. Key transition states for *KOSi* HDS of 4,6-dimethyldibenzothiophene (3).

Supplementary Figure 7. Key transition states for reductive C–O bond cleavage in 4,6-dimethyldibenzofuran (3) by the *KOSi* method.



Supplementary Tables

Supplementary Table 1. Electronic energies, enthalpies, and free energies of the structures calculated at the M06-2X/6-311+G(d,p) (CPCM^{mesitylene})//B3LYP/ 6-31G(d).

Structures	ZPVE	TCE	тсн	TCG	Esol	Hsol (Esol + TCH)	Gsol (Esol + TCG)	Imagina ry Frequency (cm ⁻¹)	∆ Esol (kcal/mol)	∆ Hsol (kcal/mol)	$\Delta Gsol$ (kcal/mol)
·SiMe ₃	0.110424	0.117885	0.118829	0.079221	-409.158357	-409.039528	-409.079136	_	_	_	_
3	0.217085	0.229713	0.230657	0.178435	-938.839221	-938.608564	-938.660786	_	0.0	0.0	0.0
Int1_S	0.328288	0.349215	0.350159	0.279833	-1348.014038	-1347.663879	-1347.734205	_	-10.3	-9.9	3.6
Int2_S	0.330154	0.351135	0.352080	0.280549	-1348.012083	-1347.660003	-1347.731534	_	-9.1	-7.5	5.3
Int3_S	0.328484	0.350246	0.351190	0.276754	-1347.992661	-1347.641471	-1347.715907	_	3.1	4.2	15.1
Int4_S	0.341170	0.363113	0.364057	0.289086	-1348.674759	-1348.310702	-1348.385673	_	-17.4	-14.2	-4.1
Int5_S	0.224008	0.236741	0.237686	0.181958	-541.146777	-540.909091	-540.964819	_	-24.5	-21.6	-12.8
3a	0.237022	0.249704	0.250648	0.196045	-541.831749	-541.581101	-541.635704	_	-46.9	-41.7	-32.7
TS1_S	0.327127	0.348360	0.349304	0.276936	-1347.992210	-1347.642906	-1347.715274	-337.565	3.4	3.3	15.5
TS2_S	0.328544	0.348977	0.349922	0.280571	-1348.001678	-1347.651756	-1347.721107	-120.53	-2.6	-2.3	11.8
TS3_S	0.328904	0.349338	0.350282	0.280941	-1347.970110	-1347.619828	-1347.689169	-202.426	17.2	17.7	31.8
TS4_S	0.327483	0.348823	0.349767	0.276719	-1347.974625	-1347.624858	-1347.697906	-246.532	14.4	14.6	26.4
TS5_S	0.445642	0.476409	0.477353	0.381812	-1757.796673	-1757.319320	-1757.414861	-837.468	5.4	5.2	27.2
TS6_S	0.451190	0.482019	0.482964	0.386782	-1757.827069	-1757.344105	-1757.440287	-270.192	-13.6	-10.3	11.3
TS7_S	0.341541	0.363019	0.363963	0.288859	-950.953069	-950.589106	-950.664210	-837.588	-23.6	-21.9	-0.9
30	0.220027	0.232261	0.233205	0.181464	-615.861082	-615.627877	-615.679618	—	0.0	0.0	0.0
Int1_O	0.331020	0.351420	0.352365	0.283120	-1025.031234	-1024.678869	-1024.748114	—	-7.4	-7.2	6.7
Int2_O	0.331018	0.352039	0.352984	0.281176	-1025.038304	-1024.685320	-1024.757128	—	-11.8	-11.2	1.0
Int3_O	0.330414	0.351891	0.352836	0.278016	-1025.041895	-1024.689059	-1024.763879	_	-14.1	-13.6	-3.2
Int4_O	0.343489	0.364900	0.365844	0.292144	-1025.726940	-1025.361096	-1025.434796	—	-36.5	-33.7	-23.1
TS1_O	0.330084	0.350780	0.351724	0.280156	-1025.013163	-1024.661439	-1024.733007	-339.657	3.9	3.7	16.2
TS2_O	0.330092	0.350194	0.351138	0.282888	-1025.005890	-1024.654752	-1024.723002	-254.07	8.5	7.9	22.4
TS3_O	0.330244	0.350341	0.351285	0.282306	-1024.998477	-1024.647192	-1024.716171	-183.413	13.2	12.7	26.7
TS4_O	0.327728	0.348515	0.349459	0.278658	-1024.968347	-1024.618888	-1024.689689	-642.444	32.1	30.4	43.3
TS5_0	0.447660	0.478053	0.478997	0.384030	-1434.848709	-1434.369712	-1434.464679	-703.013	-13.5	-14.3	7.8
TS6_O	0.452636	0.482724	0.483668	0.391004	-1434.845160	-1434.361492	-1434.454156	-765.371	-11.3	-9.1	14.4
SiEt3	0.197772	0.209068	0.210012	0.159653	-527.056709	-526.846697	-526.897056	_	_	_	_
TS1_S_Et	0.414615	0.439549	0.440493	0.359844	-1465.890237	-1465.449744	-1465.530393	-346.000	3.6	3.5	17.2
TS4_S_Et	0.414891	0.440035	0.440980	0.358859	-1465.875045	-1465.434065	-1465.516186	-253.873	13.1	13.3	26.1

ZPVE = zero-point vibrational energy; TCE = thermal correction to energy; TCH = thermal correction to enthalpy;

TCG = thermal correction to Gibbs free energy.