

Two-Dimensional Radar Imaging of Flowing Avalanches

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Abstract

Radar has emerged as an important tool in avalanche research. However, existing radar sensors suffer from coarse range resolution capabilities. This limits the usefulness of the data they collect in validating models of avalanche dynamics. This paper details the development of a frequency modulated continuous wave, phased array radar, and its associated signal processing, for non-invasive measurements of entire avalanche events. The radar outperforms existing avalanche radar sensors in terms of range resolution, and it provides cross-range resolution using a phased array receiver. The radar has been operating at the Vallée de la Sionne avalanche test site in Switzerland since the 2010 winter season. It has successfully gathered measurements of entire natural avalanche events. In this paper we show two-dimensional radar images of a naturally occurring avalanche, the first of their kind, which re-

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veal movements of layers or particles of the flowing avalanche in unparallelled detail. Furthermore, the potential of the measured data is shown with tracking of avalanche fronts in two spatial dimensions. This marks an important step towards providing a library of high-quality avalanche measurements to improve our knowledge of avalanche dynamics.

Keywords: Radar, FMCW, phased array, avalanche dynamics, imaging

1. Introduction

Avalanches pose a significant threat to human life and settlements. Hazard and risk assessments of avalanche prone regions are typically based on the statistical analysis of observed avalanche run-out distances (Keylock et al., 1999), or more commonly, combine knowledge of extreme snow depths (Blanchet et al., 2009) with a dynamics model to assess run-out properties (Eckert et al., 2010) or potential damage and vulnerability (Keylock and Barbolini, 2001; Bertrand et al., 2010). Given the importance of these numerical models for accurate risk assessments, it is not surprising that there have been concerted efforts to develop physically meaningful flow laws for inclusion in numerical codes (Eglit, 1968; Norem et al., 1986; Nishimura and Maeno, 1988; Salm, 1993; Dent et al., 1998; Gray and Tai, 1998; Bouchet et al., 2003; Kern et al., 2004). Such research has also examined the role of entrainment processes in the dynamic behaviour (Gauer and Issler, 2004; Naaim et al., 2004) and there have also been attempts to benchmark models against one another for recorded events (Barbolini et al., 2000; Issler et al., 2005). However, the lack of high-quality data still means that there is still significant uncertainty in the relevant physics for flowing snow, making model

19 validation problematic.

20 Radar has emerged as an important tool in avalanche research for ob-
21 taining avalanche measurements. It has been exploited for gathering velocity
22 measurements of entire avalanche flows (Gubler et al., 1986; Schreiber, 2001;
23 Rammer et al., 2007), and localised erosion and deposition measurements
24 (Gubler and Hiller, 1984). The use of these measurement instruments has led
25 to great improvements in our knowledge of avalanche behaviour over the last
26 couple of decades, with attempts made to determine rheological parameters
27 from such data (Ancey and Meunier, 2004). However, these measurements
28 suffer from weaknesses in certain respects, in particular regarding range res-
29 olution. Consequently, the drive for developing a better understanding of
30 avalanche dynamics is hindered somewhat by a lack of high-quality data.

31 Localised radar measurements clearly do not provide an accurate pic-
32 ture of the entire avalanche. Non-invasive measurements of entire avalanche
33 flows have been taken with pulse-Doppler radar to produce localised velocity
34 maps of the avalanche using range gating. Indeed, the usefulness of these
35 measurements has been demonstrated with the recording of the spread of ve-
36 locities along an avalanche, showing that velocities decrease rapidly behind
37 the avalanche front, which has the maximum speed (Gauer et al., 2007).
38 However, the finest range gates before the development of the instrument
39 discussed in this paper were 25 m. This limited range resolution means that
40 localisation of sub-components of the avalanche is not possible. Furthermore,
41 these radar are single channel systems, limiting measurements to a single
42 (range) dimension, hence missing the lateral dynamics of the avalanche.

43 In this paper we describe a novel frequency modulated continuous wave

44 (FMCW) phased array radar capable of taking non-invasive measurements
45 of entire avalanche events with a range resolution that greatly improves upon
46 existing radar instruments and provides cross-range resolution for the first
47 time. We will provide an in-depth description of the radar and antenna
48 array design, and an analysis of the system performance. We also detail the
49 signal processing steps required to produce avalanche images from the radar
50 measurement data. Finally, we will report some measurements of avalanches
51 as recorded during a winter season, including two-dimensional radar images
52 of a flowing avalanche for the first time.

53 **2. Radar System Design**

54 *2.1. Microwave Characteristics of Snow*

55 The radar system operates in the microwave electromagnetic spectrum
56 and its design started with a brief analysis of the microwave characteristics
57 of snow so that a radar link budget could be formulated. An avalanche, and
58 the regions comprising an avalanche, can be characterised by their snow/ice
59 density, water density and air density. In the interest of formulating a link
60 budget, it is good practice to design for the worst-case scenario. In this case,
61 that scenario is the one which provides the lowest backscatter coefficient, σ° ,
62 a dimensionless quantity that describes the average effectiveness of a surface
63 to scatter radiation upon it. In this paper, we are interested in measuring the
64 underlying dynamics of the dense core region of an avalanche. This region
65 tends to have the highest snow and water density within the avalanche. The
66 density of the water content defines whether the avalanche is *dry* or *wet*. In
67 this application, the radar is designed to measure the dynamics of dry snow

68 avalanches in the first instance. For a dry snow medium, the contribution
69 of the imaginary part to the complex dielectric constant is negligible. It is
70 generally agreed that the real part of the dielectric constant of dry snow is a
71 function of snow density. The suggested practical model for the real part of
72 the relative dielectric constant of dry snow is (Tiuri et al., 1984; Hallikainen
73 et al., 1986):

$$\epsilon_r \approx 1 + 2\rho_{ds} \quad (1)$$

74

75 where ρ_{ds} is the bulk dry snow density relative to density of water. This
76 model is suitable for $\rho_{ds} \leq 0.5$, beyond which the error increases rapidly. The
77 dielectric constant, ϵ_r , of a dry snow medium is therefore approximately 1.2
78 assuming that the dense core region of the dry snow avalanche has a density of
79 100 kg m^{-3} and that water has a density of 1000 kg m^{-3} . This approximation
80 lies somewhere between the fluidized and dense region density (McClung and
81 Schaerer, 2006; Schaer and Issler, 2001) and does not consider the variation
82 in snow temperature and thus density along the avalanche path (Steinkogler
83 et al., 2014). From this figure, we can calculate the backscatter coefficient
84 using an equation derived from the reflection coefficient of a transmission
85 line (Pojar, 2012):

$$\Gamma = \frac{Z_1 - Z_2}{Z_1 + Z_2} = \frac{\sqrt{\epsilon_r} - 1}{\sqrt{\epsilon_r} + 1} \quad (2)$$

$$\sigma^\circ = |\Gamma|^2 \quad (3)$$

86

87 where Z_1 is the wave impedance of free space and Z_2 is the wave impedance
88 of the target snowcover. This gives an approximate backscatter coefficient
89 of 0.002 at a grazing angle (the angle between the target surface and the
90 incident ray) of 90° . For a particular radar system, the backscatter coef-
91 ficient is a function of snowcover characteristics, grazing angle and surface
92 roughness. Predicting and modelling some of these parameters is not a triv-
93 ial task so for the link budget calculations in this paper we have assumed a
94 grazing angle of 7° , based on the worst-case geometry in this scenario, and a
95 rough surface (the standard deviation of the surface height during a flowing
96 avalanche is likely to violate the Fraunhofer criterion (Ulaby et al., 1982)).
97 For a rough surface the variation in backscatter coefficient with grazing angle
98 is less pronounced relative to a smooth surface (Ulaby et al., 1982), hence
99 the link budget calculations in this paper we have not considered the angular
100 dependence of the backscatter coefficient.

101 This system is primarily designed to provide measurements of snow move-
102 ment and so the absolute value of the reflected signal is not of great impor-
103 tance. However, clearly it is necessary to have an understanding of the
104 composition of these movements. The penetration depth of the radar signal
105 is also dependent on the snow density and its water content (Ulaby et al.,
106 1986). Our radar operates at C-band, at which the radar signal penetration
107 depth for dry snow has been shown to be up to 10 m (Rignot et al., 2001).
108 Hence, the backscattered radar signal is a superposition of reflections from
109 the snow-air interface, the below-surface snow volume (which is comprised
110 of multiple ice layers), and potentially the snow-ground interface. The re-

111 sults in the latter sections of the this paper will show the ability to see these
112 avalanche movements following processing of the recorded radar data.

113 *2.2. Radar Considerations*

114 The new radar, Geodar, operates at 5.3 GHz (C-band), 5.7 cm free-space
115 wavelength, in order to illuminate the blocks of snow comprising the dense
116 core region of an avalanche, which are assumed to be in the order of cen-
117 timetres to metres in size. The radar is of frequency modulated continuous
118 wave type, meaning it continuously transmits a radar signal that is frequency
119 modulated. In this case, the radar employs a linear frequency ramp (chirp)
120 as the radar signal, which is described by:

$$y_t = a_t \cos(2\pi f_o t + \pi \alpha t^2) \quad (4)$$

121
122 where a_t is the signal amplitude, f_o is the radar operating frequency, α is
123 the chirp rate (ratio of chirp sweep bandwidth B and chirp period T). The
124 range resolution of such a radar signal is given by the well-known expression
125 (Skolnik, 2001),

$$\Delta R = \frac{c}{2B} \quad (5)$$

126
127 where c is the signal propagation speed (assumed in calculations to be the
128 approximate speed of light in a vacuum of $3 \cdot 10^8$ m/s). On reception, fol-
129 lowing two-way propagation, the radar signal is mixed with a portion of the

130 transmitted signal in a process known as deramping. This generates a beat
131 signal whose frequency can be described by (Stove, 1992),

$$f_d = \frac{2\alpha R}{c} \pm \frac{2f_o v}{c} \quad (6)$$

132

133 where v is the velocity of the target at range R and assuming that the radar
134 signal propagates through free space. The \pm sign is included to indicate the
135 use of triangular modulation in the transmitted radar signal; this will be
136 explained later in this text. It is this beat signal that is recorded by the
137 radar analog-to-digital converter. Spectral analysis of the recorded signal is
138 used to relate the signal to target range.

139 The maximum frequency sweep bandwidth of the radar is 200 MHz which
140 gives a minimum theoretical range resolution of 0.75 m, a great improvement
141 over existing avalanche radar instruments. The linear chirp period can be
142 varied between 1 ms and 5 ms. This gives a deramp frequency of between
143 1333 Hz/m and 267 Hz/m. These parameters demonstrate some of the ad-
144 vantages of using an FMCW radar; namely that one can achieve high range
145 resolution with a modest receiver sampling rate. In this case, the system
146 employs a sampling rate of 2 MSa/s for each radar channel. This provides a
147 maximum range of $(\frac{10^6}{267} =)$ 3750 m to satisfy the Nyquist sampling criterion.
148 A moving target will produce a Doppler frequency of 35 Hz/ms⁻¹.

149 The link budget can be calculated using the radar equation modified for
150 a distributed target. The distributed target radar equation can be expressed
151 in the following form (Ulaby et al., 1982):

$$P_r = \frac{\lambda_o^2}{(4\pi)^3} \int_{\text{area illuminated}} \frac{P_t G_t G_r \sigma^o}{R^4} dA \quad (7)$$

152

153 where P_r is the received power, λ_o is the operating signal wavelength, P_t
 154 is the transmitted power, G_t is the transmitter antenna gain, and G_r is
 155 the receiver antenna gain. Using the range resolution and beamwidth, the
 156 distributed target radar equation can now be evaluated. The grazing angle
 157 will affect the length measured along the surface and therefore the area of
 158 interception known as a resolution cell (see Figure 1). If we make reasonable
 159 assumptions that the length along the surface does not fill the entire beam,
 160 the antenna gain does not vary across the resolution cell, and for a long range
 161 application, we can make the following simplification:

$$P_r \approx \frac{P_t G_t G_r \lambda_o^2}{(4\pi)^3 R^4} \int_{\text{area illuminated}} \sigma^o dA = \frac{P_t G_t G_r \lambda_o^2}{(4\pi)^3 R^4} \left[\frac{\Delta R}{\cos \psi} \cdot R \theta_{B,az} \right] \quad (8)$$

162

163 where ψ is the grazing angle, and $\theta_{B,az}$ is the azimuthal beamwidth of the
 164 transmitter antenna. Hence, the modified distributed target radar equation
 165 and signal-to-noise ratio (SNR) for a particular target range in this scenario
 166 can be described by:

$$P_r = \frac{P_t G_t G_r \Delta R \theta_{B,az} \sigma^o \lambda_o^2}{(4\pi)^3 R^3 \cos \psi} \quad (9)$$

$$SNR_o = \frac{P_t G_t G_r \Delta R \theta_{B,az} \sigma^o \lambda_o^2}{(4\pi)^3 R^3 \cos \psi k T_o B_n F_n} \quad (10)$$

167

168 with $kT_oB_nF_n$ representing the receiver thermal noise power where k is Boltz-
 169 mann's constant, T_o is the operating temperature in Kelvin, B_n is the coher-
 170 ent processing bandwidth ($\approx \frac{1}{T}$), and F_n is the receiver noise factor.

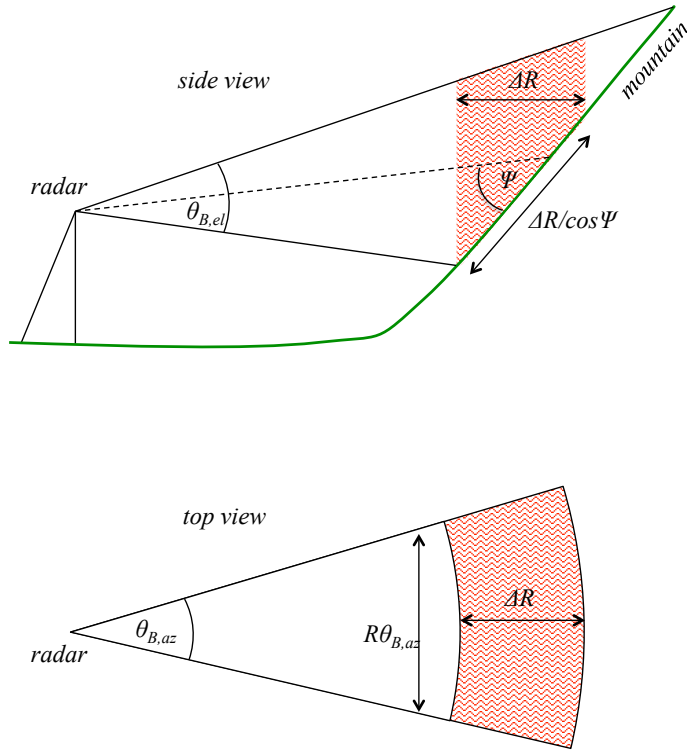


Figure 1: The effect of grazing angle ψ on length measured along a surface and the effect of range on the azimuthal width of resolution cell.

171 It can be seen in Equation (6) that embedded in the deramp frequency is
 172 the Doppler frequency indicating a moving target. This equation shows that
 173 for a moving target the deramp frequency will be shifted such that the ap-
 174 parent range of the target is changed. This effect is known as range-Doppler
 175 cross-coupling and there are ways of mitigating its effect. The Doppler fre-

176 quency affects up and down chirps in an equal and opposite way (as indicated
177 by the \pm sign). Hence it is possible to resolve and remove, or exploit, this
178 Doppler frequency by using triangular modulation of the chirp signals, i.e.
179 alternate between transmitting an up chirp and a down chirp, and processing
180 the return signals as pairs (one up and one down). The new radar exploits
181 this technique but also uses a technique of varying the chirp periods in order
182 to build extra redundancy into the data. This aids resolving the Doppler
183 frequency from the deramp frequency during signal processing. A time do-
184 main plot of a single frame of the radar signal is shown in Figure 2. Each
185 frame has a period of 20 ms giving an update rate of 50 frames per second.
186 There are gaps in transmission at the beginning of each frame and between
187 chirps. This is to provide clear markers to aid the process of splitting the
188 receiver data into returns from each of the six chirps. It can be seen that
189 there is also some amplitude tapering applied to the beginning and end of
190 each chirp signal. This is to give a more gentle step change in signal level
191 at these points placing less transient strain on the receiver baseband ampli-
192 fiers. In addition, there is a short period of tapering in the middle of each
193 chirp, or blanking. This blanking period corresponds to when radar signal
194 sweeps through the baseband frequencies of the receivers. This technique was
195 employed to remove the effect of a non-linearity in the receiver deramping
196 mixer, which causes the radar signal to leak in to the receiver at baseband
197 frequencies. It is also possible to apply this blanking during signal processing
198 of the recorded data.

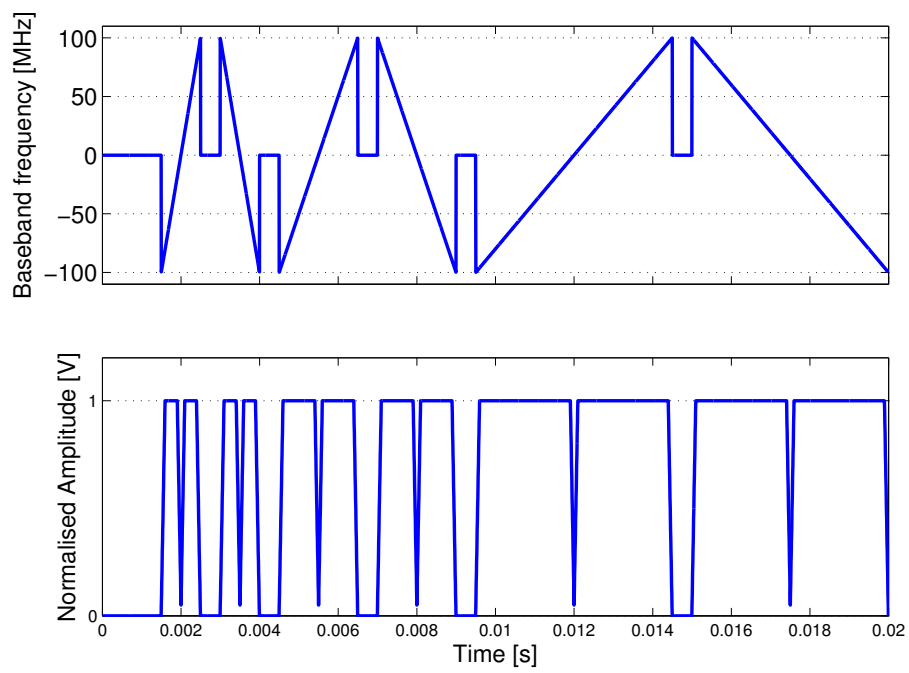


Figure 2: Format of the system frequency modulated signal frames. The total frame duration is 20 ms.

199 *2.3. Radar Specifications and Performance*

200 The radar design is shown as a block diagram in Figure 3, including
 201 all of the part numbers of the commercial components used. The FMCW
 202 radar signal is generated using an arbitrary waveform generator which is up-
 203 converted to the operating frequency in two stages using low phase noise
 204 frequency synthesisers (VIDA products inc. Hammerhead range) at 3 GHz
 205 and 8.3 GHz. The transmit power is adjusted using a digital step attenuator
 206 which allows adjustments in 0.5 dB steps. The transmit signal is heavily
 207 filtered using a 5.3 GHz cavity filter with a 200 MHz pass-band. The radar
 208 transmit power is 15 W. The transmitter and receiver antennas have a gain
 209 of 12 dBi and an azimuth beamwidth of 29°.

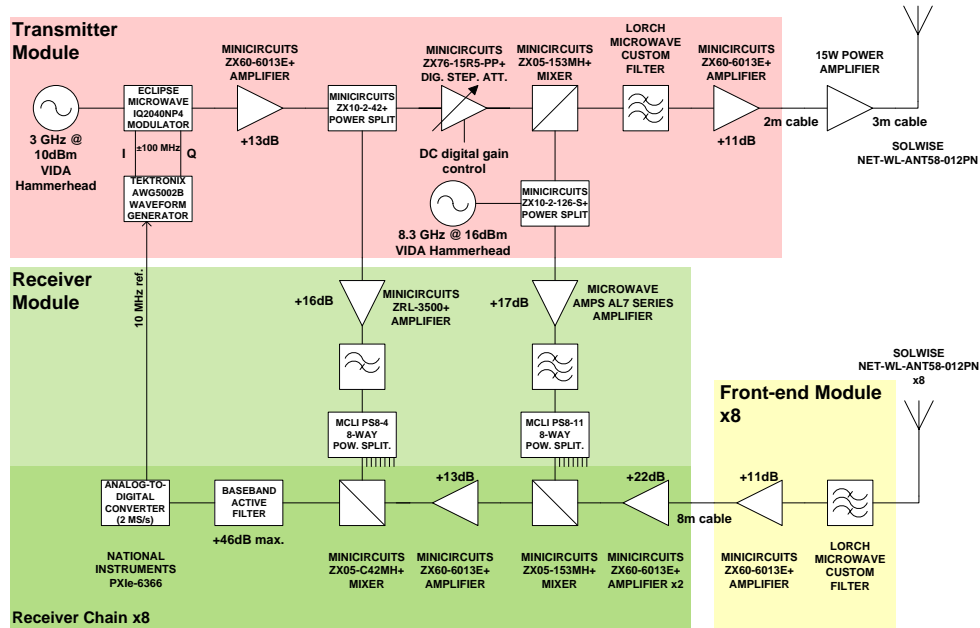


Figure 3: Full system block diagram of the frequency modulated continuous wave radar.

210 The receiver comprises eight identical channels. The front-end consists
211 of the same cavity filter used in the transmitter and a low noise amplifier.
212 The incoming FMCW signal is down-converted using a portion 8.3 GHz local
213 oscillator signal and then deramped using the 3 GHz FMCW signal from
214 the transmitter. Following deramping, the baseband signal is conditioned
215 with a carefully designed active filter that has a high-pass characteristic to
216 compensate for propagation losses associated with variable range targets.
217 This technique is commonly known as frequency gain control (Stove, 1992).
218 It also implements a low-pass filter that acts as an anti-alias filter before
219 analogue-to-digital conversion.

220 The noise figure of the receiver was measured across the baseband band-
221 width using the gain method (Friis, 1944). First, the system gain over the
222 bandwidth was measured. Then a recording was taken with the receiver
223 input terminated in $50\ \Omega$. De-embedding the measured gain and the noise
224 purely due to ambient temperature gives a measurement of the noise figure.
225 The noise figure varies with frequency due to the use of frequency gain con-
226 trol. The minimum noise figure of a typical receiver is 8 dB. From these
227 noise figure measurements we can predict the receiver SNR variation with
228 range for a specified target using Equation (10). Figure 4 shows the SNR
229 variation with range for a snow target modelled with a backscatter coefficient
230 of 0.002 and a 7° grazing angle calculated using Equation (10). The SNR
231 at the maximum required range (≈ 2500 m) is over 18 dB which makes the
232 system suitable for the detection of snow along the entire Vallée de la Sionne
233 avalanche track.

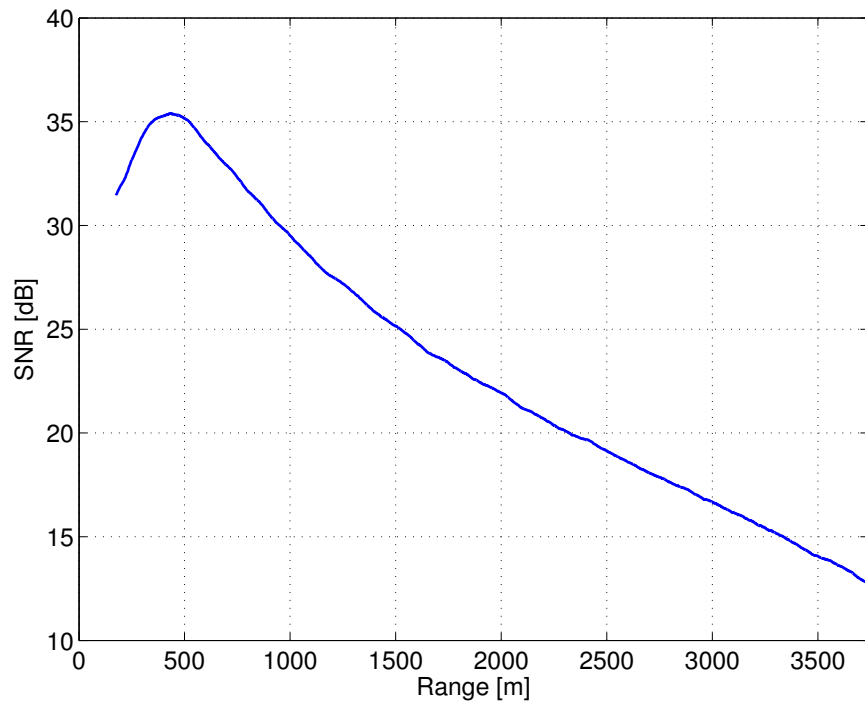


Figure 4: Predicted signal-to-noise ratio (SNR) for 5 ms up chirp using receiver noise measurements and a modelled target with a backscatter coefficient of 0.002 at 7° grazing angle. The SNR at the maximum required range (≈ 2500 m) is over 18 dB which makes the system suitable for the detection of snow along the entire Vallée de la Sionne avalanche track.

234 2.4. Receiver Antenna Array

235 In order to provide cross-range measurements of an avalanche, the new
236 radar employs a receiver array comprising eight receiver antenna elements.
237 The radar is deployed at the avalanche bunker at Vallée de la Sionne (see
238 Section 4) with the receiver antennas mounted on an outside wall facing the
239 avalanche track. In order to make maximum use of the available horizontal
240 baseline, the receiver elements are spread across the width of the bunker
241 wall in a line as shown in Figure 6. The total width of the array is 5.31 m.
242 This indicates an undersampled aperture with a mean inter-element spacing
243 of 14λ . In order to minimise the effect of grating lobes, the antenna loca-
244 tions were randomised (Steinberg, 1976). This was achieved by numerically
245 generating a set of randomised locations and selecting the best array factor
246 response based on sidelobe level within the required field-of-view (FOV) of
247 $\sin\theta = \pm 0.26$. Figure 6 shows the element locations and the array factor of
248 the final design. The array factor has been simulated using elements with
249 29° beamwidth and a cosine field distribution. The typical sidelobe level is
250 around 8 dB below the mainlobe and the peak sidelobe level is 4.45 dB be-
251 low the mainlobe. Inspection of the array factor shows the array half-power
252 beamwidth (θ_a) is 0.5° at 0° pointing direction which equates to a cross-range
253 resolution of 9 m at 1 km range.

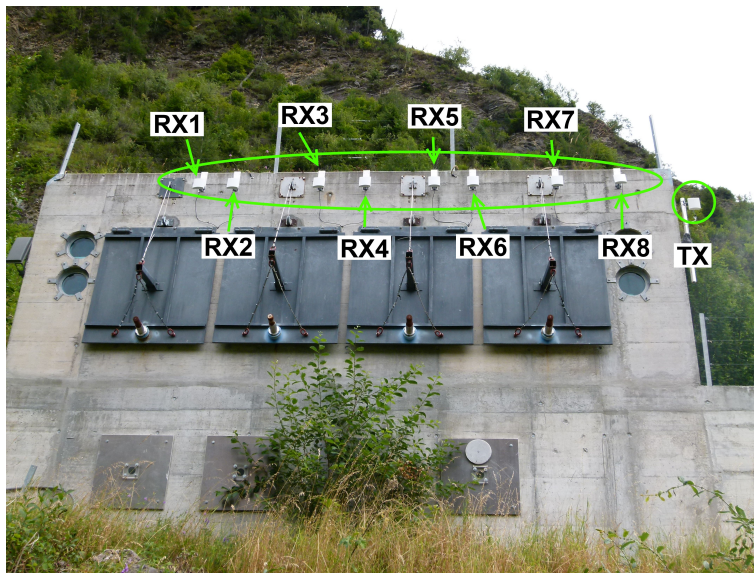
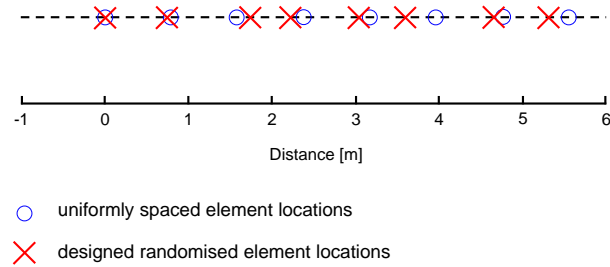
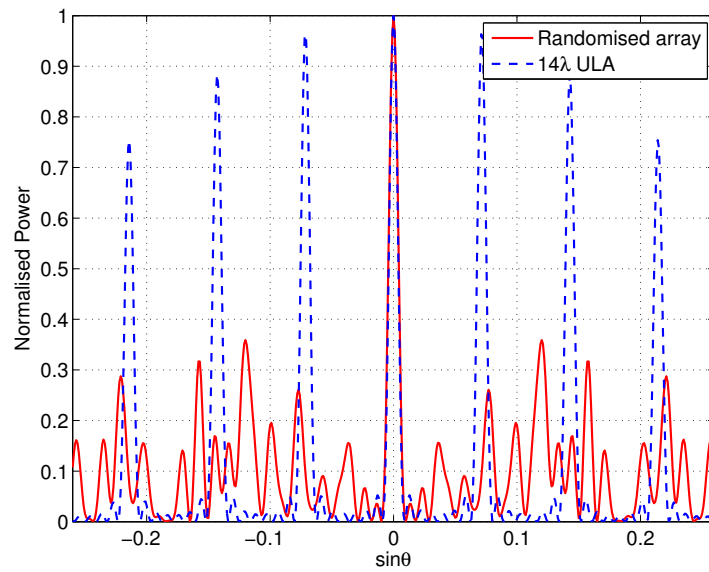


Figure 5: Antenna array setup in Vallée de la Sionne test bunker. The transmitter antenna (TX) is located on the south-facing wall of the shelter pointing towards avalanche track at an angle of 12° relative to horizontal. The eight receiver antennas (RX1–8) are randomly spaced above the four shelter windows. The receiver antennas are also pointed towards the avalanche track.



(a)



(b)

Figure 6: (a) Diagram of the linear receiver array antenna element positions used in the newly developed avalanche radar. The element locations have been randomised to minimise the effect of sidelobes. (b) Array factor of radar receiver array using directive cosine elements over $\sin \theta = \pm 0.26$ with array factor of uniformly spaced linear array with the same baseline and directive elements.

254 3. Radar Signal Processing

255 The radar design presents some interesting signal processing challenges in
256 order to generate 2-D images of the recorded avalanches. This section details
257 the processing steps that were developed to generate these images.

258 The radar data are recorded by an eight channel data acquisition system
259 which samples at a rate of 2 MSa/s with 16-bit resolution. The output
260 of each radar channel is a deramped time domain signal, which requires
261 some spectral analysis in order to extract the range information. However,
262 before this, the signal must be split into the deramped signal associated with
263 each of the six chirp waveforms. This is carried out by cross-correlating the
264 amplitude envelope of the FMCW signal frame (Figure 2) with the recorded
265 time domain data. A correlation peak indicates the beginning of a new
266 waveform frame as a sampling instance. From this, the beginning and end of
267 each of the six chirps can be predicted using the format of the FMCW signal
268 frame. With knowledge of the beginning and end of each chirp, the data are
269 reshaped to form a 3-D array A_{ijk} , where $i = 1 \dots N_{fast-time}$; $j = 1 \dots N_{slow-time}$;
270 $k = 1 \dots 6$ (chirp number). This process is visualised in Figure 7 for clarity.

271 Range processing is carried out on each chirp separately in the first in-
272 stance. Prior to range processing, the individual chirp returns are windowed
273 using a Blackman window to reduce the effect of range-sidelobes (equiva-
274 lent to reducing the effects of spectral leakage in a discrete Fourier transform
275 (Harris, 1978)). This process degrades the nominal range resolution to 1.3 m.
276 Range processing is achieved by performing fast Fourier transforms (FFTs)
277 along the fast-time axis and relating each frequency bin to a slant range (line-
278 of-sight path length from radar to range bin) using Equation (6) modified to

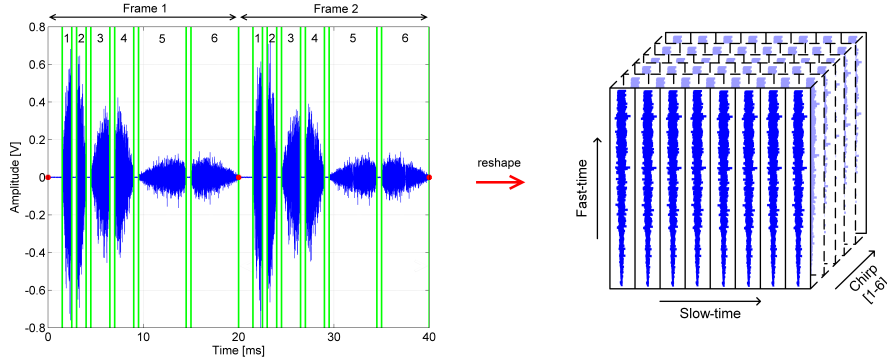


Figure 7: Example time domain data labelled into the returns from each of the six chirps. The data are reshaped into six two-dimensional arrays with columns of short time and rows of fast time.

279 ignore the Doppler frequency (i.e. $v = 0$).

280 The data are prepared for full range plots (such as that shown in Figure
 281 10) by taking the magnitude of the complex Fourier-transformed data and
 282 applying a compensation for the losses associated with the two-way propa-
 283 gation of the radar signal. Based on the previously derived radar equation
 284 (10), the received signal power is inversely proportional to the cubic range.
 285 This drop in signal level with range is in fact over-compensated for in hard-
 286 ware by the baseband active filter. Hence, following range processing, the
 287 data are normalised by the measured frequency characteristic of the filter
 288 to remove its response. Following this, the data are multiplied by a range
 289 dependent factor, $\Omega(R) = R^3$, along the fast time (range) axis to give an
 290 ideal compensation for propagation losses.

291 Before generating 2-D images, the receiver array data are calibrated for
 292 array distortions. The set up and design of the radar system precludes ac-
 293 curate knowledge of receiver element location as it is difficult to measure

294 antenna locations in the field to within a fraction of a wavelength. Addition-
295 ally, the precise electrical length of each of the receiver chains is not known
296 as measuring them once the radar was installed was not possible with the
297 available equipment. This results in a distortion of the array which must
298 be corrected to obtain focussed images from the radar data. An antenna
299 array such as this can be calibrated by applying phase corrections to the sig-
300 nals impinging on each antenna element. In this system we use Steinberg’s
301 radio camera algorithm (Steinberg, 1981) to achieve calibration. The algo-
302 rithm exploits a stationary scatterer located on the mountain referred to as
303 a dominant scatterer. In early experiments the dominant scatterer used was
304 a natural feature of the mountain, possibly a flat rock face. Indeed, in the
305 data presented in this paper, a natural feature has been used as the domi-
306 nant scatterer. The scatterer exists in the 1821 m range bin and is present
307 in all the datasets from the same winter season. In more recent experiments,
308 an active target has been deployed on the mast in the avalanche track. This
309 provides a very high signal-to-noise ratio target that is ideal for the dominant
310 scatterer algorithm. As a consequence, we anticipate higher quality results
311 from the latest experiments.

312 The first step of the algorithm is to measure the phase of the range bin
313 in which the dominant scatterer exists ($\phi_{0,n}$) in each of the $n = 1\dots 8$ array
314 elements, and in the case of the natural feature these phases are averaged
315 over entire datasets to improve the signal-to-noise ratio. In this case, the
316 phases at 1821 m were averaged over 5900 frames (118 s). The phase of the
317 target signal is measured at each antenna element n and can be described
318 by:

$$\phi'_{0,n} = -kR_{0,n} + \beta'_n + \delta\phi'_{0,n} \quad (11)$$

319

320 where k is the wavenumber, $R_{0,n}$ is the path length to the dominant scatterer
 321 to element n , β'_n is the measured phase error due to travelling through its re-
 322 ceiver system and its associated circuits, and $\delta\phi'_{0,n}$ is the phase measurement
 323 error due to clutter (unwanted echoes from surrounding features such as fo-
 324 liage, rock faces, etc.). The array origin is assumed to be element 0 and the
 325 phase measured at this element ($\phi'_{0,0}$) is subtracted from the phases measured
 326 on all the other array elements ($\phi'_{0,1-n}$). This normalisation process removes
 327 the effect of target distance and isolates the phase due to array distortion
 328 effects and clutter. Subtracting the normalised phase from all range bins at
 329 each element compensates for array distortions over all ranges. Then, the
 330 array is focused on all range bins by compensating for the phase variation
 331 with range. The cophased output of the algorithm can be summarised by:

$$B_{i,n} = A_{i,n} \exp \left[j \left(\phi_{i,n} - \phi_{0,n} + \phi_{0,0} + \frac{kx_n^2}{2} \left(\frac{1}{R_i} - \frac{1}{R_0} \right) \right) \right] \quad (12)$$

332

333 where $\phi_{i,n}$ is the phase at range bin i measured by array element n , k is the
 334 wavenumber, x_n is the distance to element n from the reference element, R_i
 335 is the range bin, R_0 is the dominant scatterer range bin, and j indicates the
 336 imaginary part. The right hand parts of the equation perform the focusing
 337 over all range bins. In this algorithm, the phase error due to clutter $\delta\phi_{0,n}$
 338 has been assumed to be constant over range. This is the main source of error
 339 in the algorithm.

340 Once the array has been calibrated, the process of beamforming can be
 341 carried out in order to produce two-dimensional images of the avalanche using
 342 the well-known beamforming equation (Steinberg, 1976):

$$B(\theta) = \sum_{n=1}^N W_n e^{jkd_n(\sin \theta - \sin \theta_0)} \quad (13)$$

343

344 where W_n is the amplitude weighting at element n , d_n is the distance be-
 345 tween element n and the reference element, and θ_0 is the pointing direction.
 346 Amplitude weighting was used to reduce the close-in spatial sidelobe levels at
 347 the expense of a slight degradation in array beamwidth (from 0.5° to 0.6°).
 348 A gentle raised cosine taper ($p = 0.6$) was used as the weighting function
 349 (Trees, 2002):

$$\begin{aligned} W_n(d_n) &= p + (1 - p) \cos \pi \left(\frac{d_n - \frac{L}{2}}{L} \right) \\ &= p + (1 - p) \sin \pi \left(\frac{d_n}{L} \right) \end{aligned} \quad (14)$$

350

351 where L is the total width of the array (5.31 m).

352 The dynamic range of the background clutter measured at the avalanche
 353 track is high. It also has high signal strength. The major clutter components
 354 are returns from rock and foliage in the avalanche track. These can be
 355 assumed to be stationary throughout the course of a measurement. Hence,
 356 they can be removed using a moving target indication (MTI) filter along the
 357 slow-time axis of the complex range data. To implement an MTI filter, we
 358 use a first order infinite impulse response (IIR) filter of the following form:

$$\begin{aligned}
V_f[n_{ft}] &= \beta V_u[n_{ft}] + \xi V_f[n_{ft} - 1] & (15) \\
\beta &= \frac{\omega_c \Delta t}{1 + \omega_c \Delta t} \\
\xi &= \frac{1}{1 + \omega_c \Delta t}
\end{aligned}$$

359

360 where V_f is the complex MTI filtered output, V_u is the complex unfiltered
361 input, n_{ft} is the n_{ft}^{th} sample along the slow time axis and ω_c is the filter
362 angular cut-off frequency. The cut-off frequency is $\frac{1}{6\Delta t}$ where Δt is the pulse
363 repetition interval, which is in this case 20 ms. This cut-off frequency was
364 chosen to satisfy the Nyquist frequency and as it was found to give the best
365 separation of stationary clutter and moving snow. Note that the Equation
366 (15) shows the filter in its low-pass form which isolates the stationary clutter.
367 The moving snow can be isolated by taking the difference between the input
368 data and the low-pass filtered data (thus behaving as a high-pass filter).

369 Following MTI processing, one can take an overall view of the avalanche
370 by incoherently summing the output of the MTI filter from each receiver
371 channel. The effect of this process is to reduce the power level of Gaussian
372 noise corrupting the image by 9 dB. This resultant image is equivalent to
373 that given by a single channel range radar with a range-resolution of 1.3 m.
374 An example of such an image of an avalanche is shown later in this paper
375 (Figure 10). From this image, velocity measurements averaged over the az-
376 imuthal beamwidth of a single antenna can be calculated. Indeed, velocity
377 measurements from such images have been shown to agree well with in-situ
378 avalanche measurements in our initial efforts to cross-validate the radar mea-

379 surements with those obtained from sensors in the avalanche path (Vriend
380 et al., 2013).

381 Now that we have corrected for array distortions, performed beamforming
382 and MTI-filtering, it is trivial to generate 2-D images of the avalanche. The
383 output of the processing methods is a 3-D array for each of the six chirps,
384 the axes of which are slant range, pointing direction and time. The pointing
385 direction can be converted to cross-range using a polar to Cartesian conver-
386 sion, which gives an image that is more easily interpreted. The set of images
387 can be animated to watch the progress of the avalanche in two dimensions.
388 This is the first time we have been able to image the dense region of a flowing
389 avalanche using a radar sensor. Examples of the 2-D images of an avalanche
390 are shown later in this paper.

391 **4. Avalanche Test Site**

392 The radar is deployed at the Vallée de la Sionne (VDLS), Switzerland
393 avalanche test site (Ammann, 1999). It is installed in a reinforced-concrete
394 bunker facing a mountain face that is prone to avalanches. Here it takes
395 non-invasive measurements of entire avalanche events throughout the winter
396 season making use of an automated triggering system. The test site has two
397 main avalanche tracks; Crêta Besse 1 (CB1) and Crêta Besse 2 (CB2).

398 The triggering system consists of a network of seismic sensors located
399 in the avalanche track. When the seismic signal measured by these sensors
400 exceeds a certain threshold it is assumed that an avalanche is in progress
401 and the measurement instruments are switched on, including the new radar.
402 Other sensors exist within the avalanche track including optical and pressure

403 sensors which are housed on a mast located in the CB1 track at ≈ 660 m
404 range.

405 The radar modules are located within the avalanche bunker to protect
406 them from the weather. The antennas are set up on the outside walls of
407 the bunker as shown in Figure 5. The transmitter antenna is located on the
408 south-facing wall of the bunker, pointing towards the centre of the avalanche
409 track (12° relative to horizontal). The eight receiver antennas are spaced
410 as per the array design (Figure 6), also pointing towards the centre of the
411 avalanche track. The straight line distance between the transmitter and the
412 closest receiver antenna of ≈ 1.8 m, combined with the concrete wall, provides
413 an isolation between the transmitter and receiver of approximately 70 dB
414 (calculated from lab measurements combined with a conservative estimate
415 of 10 dB loss across the concrete wall). A more conservative figure of 50 dB
416 isolation was designed in to the system.

417 Loop tests were carried out following the installation of the radar to
418 de-embed channel-to-channel transmission line length differences from the
419 range measurements. In addition, a trihedral reflector was carried to different
420 positions in the avalanche track and a measurement of the scene was made
421 with the radar. A GPS coordinate was taken at each point. The locations
422 of the reflector are plotted on a digital terrain model of the mountain in
423 Figure 8. Following processing of the measured data, the 2-D locations of the
424 reflector were recorded and used to calibrate the cross-range measurements of
425 avalanches following full-array processing. This meant that the 2-D location
426 of the avalanche could be plotted with confidence.

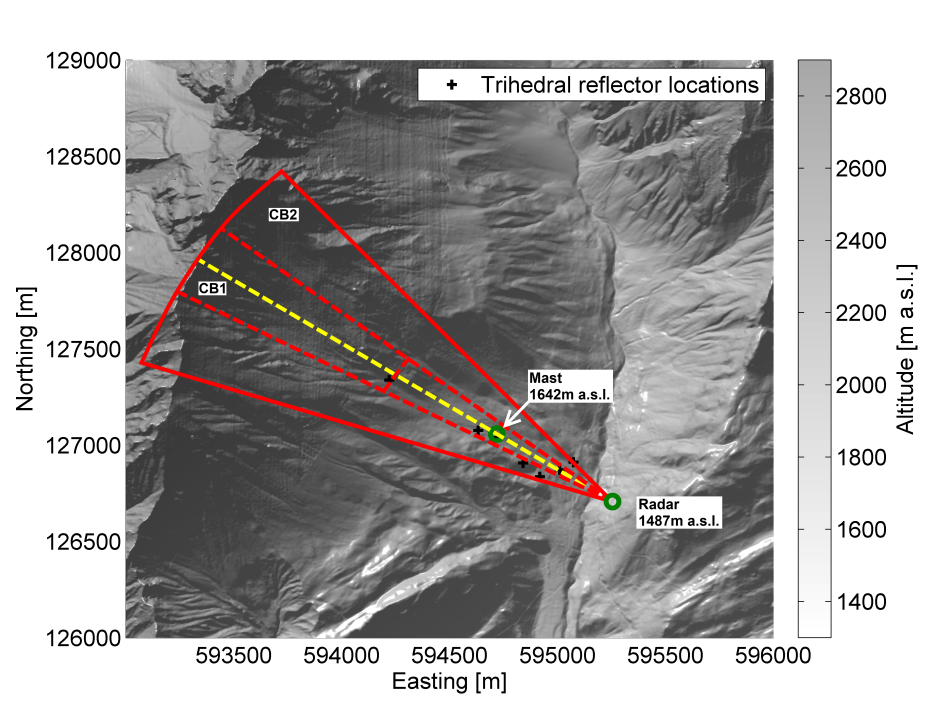


Figure 8: Radar, mast and trihedral target locations plotted on a digital terrain model of the Vallée de la Sionne (VDLS) site with the release zones of the two main avalanche tracks, Crête Besse 1 (CB1) and Crête Besse 2 (CB2), labelled. The area within the red lines indicates the azimuthal area within the half-power beamwidth of the radar antennas. The trihedral locations are within this area. The red dashed lines indicate $\pm 4.8^\circ$ relative to the radar–mast baseline (yellow dashed line), which equates to a cross-range of 100 m at 1200 m slant range from the radar.

427 5. Avalanche Observations

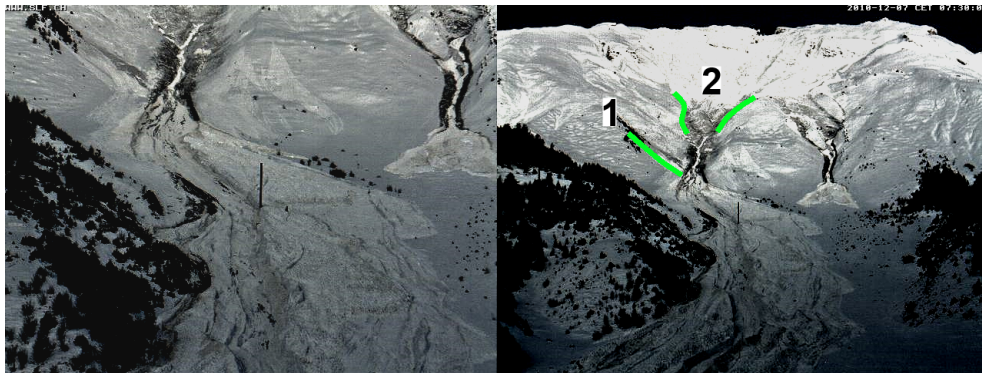
428 The radar has been operational since the 2010 winter season and in
429 this paper we are able to publish two-dimensional radar images of a flow-
430 ing avalanche for the first time. Range and velocity measurements of the
431 avalanche under discussion have been presented by Vriend et al. (2013).

432 Optical images of the avalanche track are taken every 30 minutes and
433 these reveal its state before and after the avalanche examined in this paper
434 took place (the avalanche occurred during the night). These images are
435 shown in Figure 9. They reveal the scale of the avalanche, with much of
436 the flow reaching well into the run-out zone of the avalanche track. It is
437 clear that a portion of the flow reached the mast. Two regions have been
438 highlighted which will be discussed during the analysis of the 2-D radar
439 images. These two regions are clearly areas where movement has occurred
440 and this is confirmed in the radar data. Other regions of movement have not
441 been seen in the radar data to date but it is believed with further processing
442 they can be revealed.

443 The range data were processed to produce range-time images of the data
444 following MTI filtering. An example image can be seen in Figure 10. A
445 similar image, complete with a detailed discussion of observations, is shown
446 in Vriend et al. (2013). This range-time image was generated using the data
447 from chirp 6 and the pixel intensity has been averaged over all eight channels
448 of the receive array. The pixel intensity (squared magnitude of pixel following
449 MTI) is normalised to the peak image intensity. It can be seen that the trigger
450 occurred following the development of a significant avalanche front, hence the
451 beginnings of the avalanche cannot be seen. The initial avalanche front is



(a)



(b)

Figure 9: Optical images of avalanche track taken (a) before and (b) after two naturally occurring avalanches. Two regions of the flowing zone have been labelled. These will be referred to during analysis of the 2-D radar images.

452 measured from when it reaches slant range 1200 m (the line-of-sight range
453 from avalanche bunker). There are four significant avalanche fronts, which
454 overlap over time, which have been labelled in the image. Additionally, it is
455 clear that there is significant movement behind each front. One can follow
456 individual streaks within the image, which are thought to be caused by the
457 movement of a layer or particle along the path of the flow. Two 2-D images
458 have been selected as a demonstration of the imaging capability of the radar.
459 These are single frame snapshots of the avalanche. Two boxes in Figure 10
460 are centred on the time of snapshots for comparison.

461 The 2-D images are presented in polar space with the angle converted to
462 an equivalent cross-range. The images are shown in Figures 11 and 12. They
463 reveal the range and cross-range location of components of the avalanche
464 flow. Figure 11 shows the first frame of the dataset. We can see that there
465 are at least two avalanche fronts at this point in time (labelled Front 1a and
466 Front 1b), each at a similar slant range but separated in cross-range. This
467 information was not revealed by the single channel data and demonstrates
468 the value of the receiver array design. An artefact at a cross-range of approx-
469 imately 75 m in this image also shows an inherent weakness of the antenna
470 array, that is the effect of the sidelobes discussed in Section 2.4. It may be
471 possible to disregard these artefacts with further processing. The artefacts
472 will always have a lower signal strength than the real avalanche response.
473 Furthermore, they may appear in areas of the track where avalanches are
474 simply unlikely to travel. The issue of artefacts from the antenna array will
475 be improved in the second iteration of the radar which will be discussed later
476 in this text.

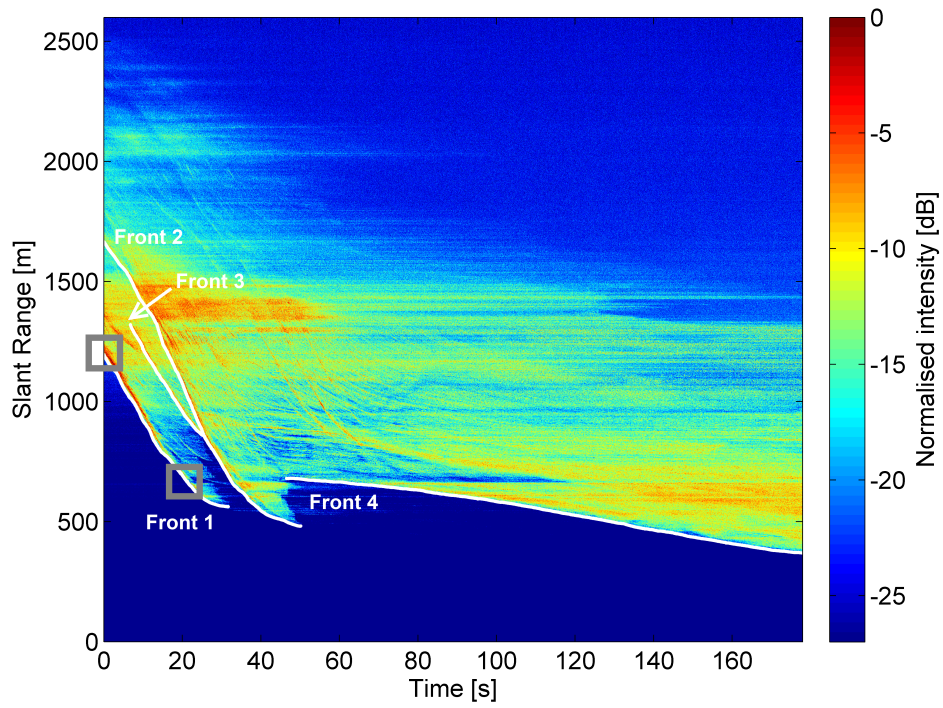


Figure 10: Range-time moving target indication (MTI) image of avalanche composed using an average of all eight receiver channels for the chirp 6 data. Four significant avalanche fronts have been labelled. The two boxes indicate the regions from which the following two 2-D images are taken.

477 Figure 12 shows the moment when the first avalanche front meets the
478 measurement mast. This image reveals a large number of strong reflectors
479 within the avalanche, with a region of particularly high returns extending
480 some 40 m in the cross-slope direction and approximately 70 m in the lon-
481 gitudinal direction. Clearly, the advantage of the radar in identifying and
482 tracking features that never pass adjacent to the mast is clear. In addi-
483 tion, data of this resolution permit cross-correlation of such features between
484 the radar and the mast and subsequent, pseudo-Lagrangian-based analysis,
485 which is not possible with fixed-point instrumentation. Such analyses will
486 form the basis for future research.

487 Using the single channel slant range measurements as a guide, the path
488 taken by the avalanche was measured manually by recording the slant range
489 and bearing of the peak return of each of the four avalanche fronts identified
490 in Figure 10 at one second intervals. These recordings were then converted to
491 the same geographic coordinate system as used for the digital terrain model
492 shown in Figure 8. The result is plotted in Figure 13. Avalanche front
493 1a was at a cross-range of -70 m when the recording started. This region
494 was highlighted in the optical image of the avalanche track following the two
495 avalanches (Figure 9 label 1). At this point in time, there were two avalanche
496 fronts present, which cannot be seen in the single channel data. They are
497 only revealed in the 2-D data as labelled in Figure 11. Front 1b appears
498 at a cross-range of 8.5 m which corresponds with a gully (Figure 9 label 2).
499 Hence, the avalanche is believed to have originated in Crêta Besse 1 with
500 a secondary flow (front 1a) feeding in from the left of the gully. Analysis
501 of the 2-D images reveals that the secondary flow collides with front 1b at

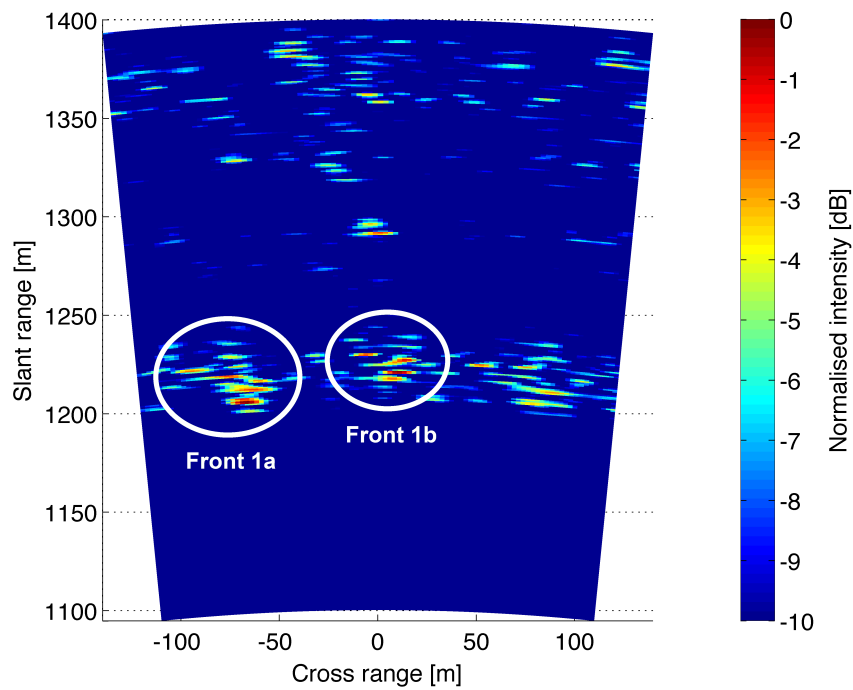


Figure 11: 2-D image of avalanche at $T = 0.00$ s using chirp 6. Image shows the presence of two fronts (circled) separated in cross-range but very similar in slant range. The artefact at a similar slant range, but 75 m cross-range, is caused by the sidelobes of the antenna array discussed in Section 2.4.

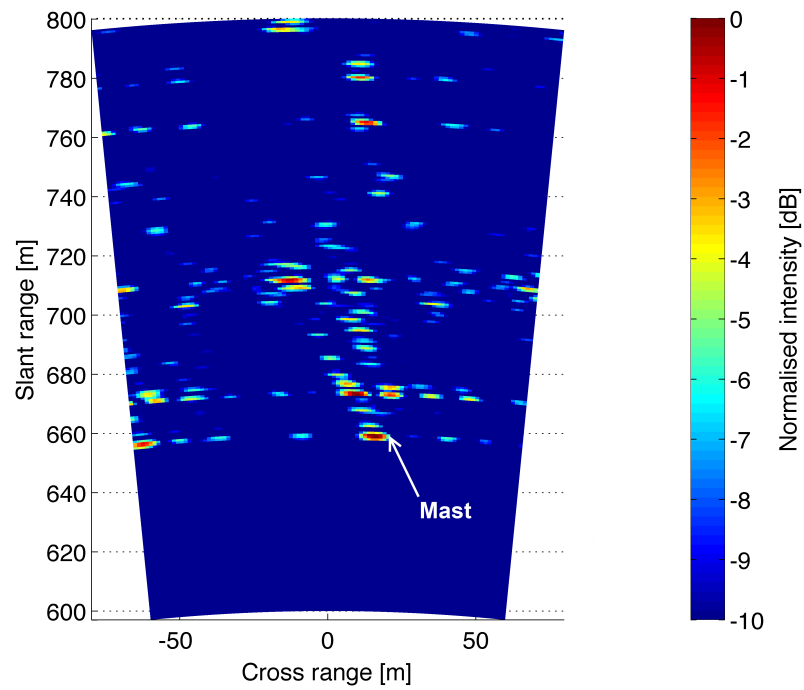


Figure 12: 2-D image of avalanche at $T = 21.96$ s using chirp 5. This shows a snapshot of the avalanche meeting the measurement mast at around 660 m range. The image also shows the presence of many components of the avalanche.

502 approximately 1106 m range. Following this, the three trailing fronts seem
503 to follow a similar path in to the run-out zone. However, the fronts do stop
504 at different slant ranges. This shows the potential for using the sensor data
505 to study the effect of recently deposited snow on the run out distances of
506 trailing fronts. With tracking of the avalanches front, periods and regions
507 of interest during the avalanche can be pinpointed and the two-dimensional
508 images can be studied in greater detail, such as looking at the interactions
509 of various components of the avalanche. This targeted approach is necessary
510 due to the extreme wealth of data collected during a single avalanche (50
511 high-resolution images per second). Indeed, it will take some time to realise
512 the full potential of the data generated by this new radar.

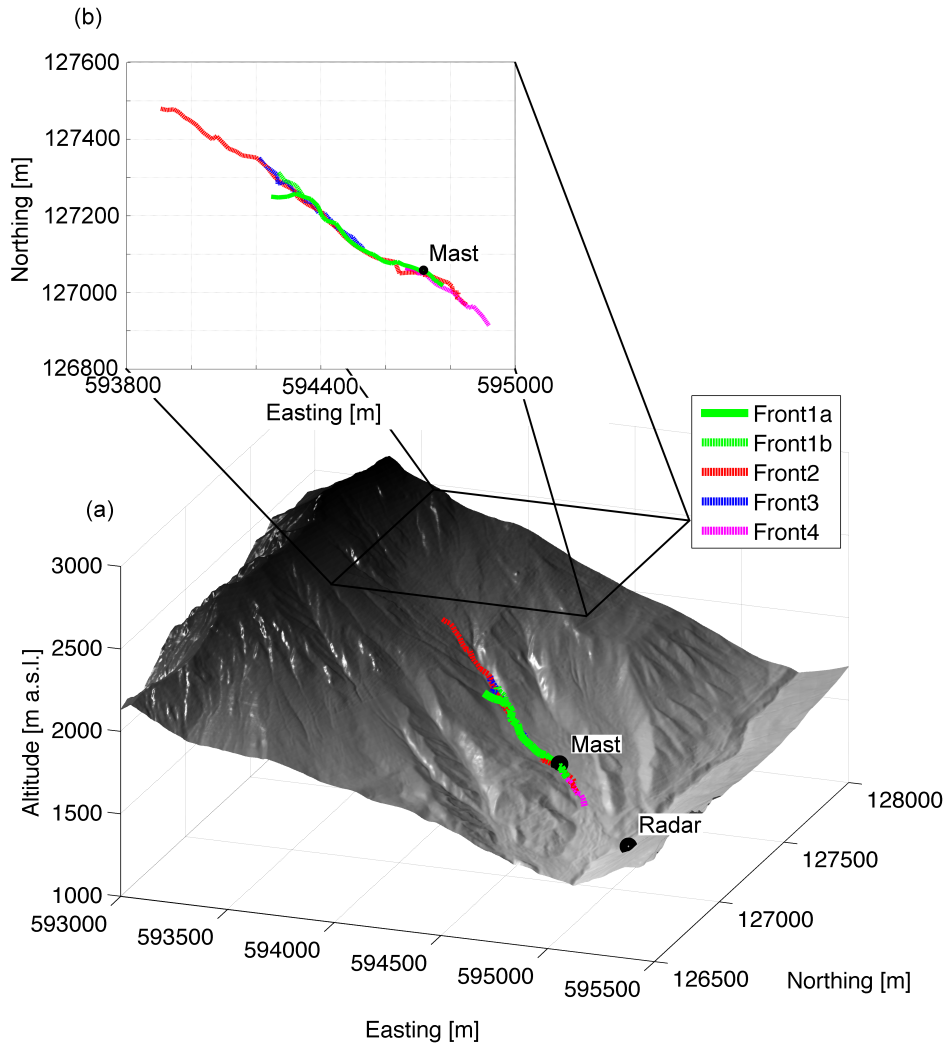


Figure 13: (a) Path taken by avalanche fronts as recorded by the radar plotted on a topographical map of the Vallée de la Sionne site (generated using the digital terrain model) and (b) top-down view of paths plotted in a geographical coordinate system. The paths were measured manually by recording the slant range and bearing of the peak return of each of the four avalanche fronts at one second intervals and then converting these measurements to a geographical coordinate system.

513 6. Conclusions and Future Work

514 Avalanches have been measured using remote sensing for some time now,
515 however existing sensors have clear weaknesses. It was concluded that the
516 data collected by radar sensors in particular could be improved to comple-
517 ment existing measurements for validating mathematical models of avalanches.
518 This paper has described the hardware and signal processing design of a radar
519 for measuring avalanches that outperforms the existing radar sensors in terms
520 of range resolution, and also provides cross-range resolution to produce two-
521 dimensional radar images of flowing avalanches for the first time.

522 A series of images of a recorded avalanche have been presented in this
523 paper. These images show the flowing avalanche in unparalleled detail. Both
524 the range-time and 2-D images reveal what are thought to be the movements
525 of layers or particles along the path of the avalanche. We have also been able
526 to manually track the avalanche in two spatial dimensions.

527 The sensor has been reliably collecting data for a few winter seasons now.
528 The processing of the collected data is a demanding task and the processing
529 techniques are always being refined. In the future, we would like to look
530 towards automated tracking of avalanche components over time in two spa-
531 tial dimensions. Using this tracking information we can start to generate
532 velocity maps of the dense flowing part of avalanches from a significant li-
533 brary of measurement data. To produce accurate velocity measurements, the
534 range and velocity information embedded in the beat signal (Equation (6))
535 needs to be resolved. This processing should make use of the data from each
536 of the six chirps (Figure 2). The velocity measurements can be validated
537 against velocity data measured by other instruments within the avalanche

538 track (Tiefenbacher and Kern, 2004; Gubler, 1986). It is believed this will
539 provide a valuable database for researchers wanting to validate models for
540 avalanche dynamics. For example, while traditional block models (Perla
541 et al., 1980) and certain shallow-water based models are implemented for
542 flow down a slope profile, it is clearly more realistic to make use of mod-
543 els that allow the flow to evolve in the cross-slope direction (Naaïm et al.,
544 2004; Sampl and Zwinger, 2004; Christen et al., 2010). However, this has
545 implications for friction parameterisation (Barbolini et al., 2000) and with
546 a dearth of data to validate such codes, it has not always been clear that
547 physical realism outweighs increased uncertainty in parameterisation. The
548 great benefit of our work is that proper validation and calibration of these
549 models becomes possible. Furthermore, with our data, combined with field
550 measurements over a number of avalanches of antecedent snow conditions,
551 we have the potential to form and calibrate a mixture model for the density
552 (i.e. the balance of wet and dry snow) that can then be used to enhance the
553 parameterisation of numerical models of avalanche dynamics.

554 Given the demonstrable success of the sensor both in terms of validated
555 velocity information (Vriend et al., 2013) and the cross-range processing
556 shown here, we are already developing an improved version with a 16-element
557 receiver antenna array. This hardware development will give marked im-
558 provement in the quality of the cross-range measurements of avalanches and
559 further improve the sensitivity of the receivers.

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695 **Figure Captions**

- 696 • Figure 1 (color): The effect of grazing angle ψ on length measured along
697 a surface and the effect of range on the azimuthal width of resolution
698 cell.
- 699 • Figure 2 (color): Format of the system frequency modulated signal
700 frames. The total frame duration is 20 ms.
- 701 • Figure 3 (color): Full system block diagram of the frequency modulated
702 continuous wave radar.
- 703 • Figure 4 (color): Predicted signal-to-noise ratio (SNR) for 5 ms up
704 chirp using receiver noise measurements and a modelled target with a
705 backscatter coefficient of 0.002 at 7° grazing angle. The SNR at the
706 maximum required range (≈ 2500 m) is over 18 dB which makes the
707 system suitable for the detection of snow along the entire Vallée de la
708 Sionne avalanche track.
- 709 • Figure 5 (color): Antenna array setup in Vallée de la Sionne test bunker.
710 The transmitter antenna (TX) is located on the south-facing wall of the
711 shelter pointing towards avalanche track at an angle of 12° relative to
712 horizontal. The eight receiver antennas (RX1–8) are randomly spaced

713 above the four shelter windows. The receiver antennas are also pointed
714 towards the avalanche track.

715 • Figure 6 (color): (a) Diagram of the linear receiver array antenna el-
716 element positions used in the newly developed avalanche radar. The
717 element locations have been randomised to minimise the effect of side-
718 lobes. (b) Array factor of radar receiver array using directive cosine
719 elements over $\sin \theta = \pm 0.26$ with array factor of uniformly spaced lin-
720 ear array with the same baseline and directive elements.

721 • Figure 7 (color): Example time domain data labelled into the returns
722 from each of the six chirps. The data are reshaped into six two-
723 dimensional arrays with columns of short time and rows of fast time.

724 • Figure 8 (color): Radar, mast and trihedral target locations plotted on
725 a digital terrain model of the Vallée de la Sionne (VDLS) site with the
726 release zones of the two main avalanche tracks, Crêta Besse 1 (CB1)
727 and Crêta Besse 2 (CB2), labelled. The area within the red lines indi-
728 cates the azimuthal area within the half-power beamwidth of the radar
729 antennas. The trihedral locations are within this area. The red dashed
730 lines indicate $\pm 4.8^\circ$ relative to the radar–mast baseline (yellow dashed
731 line), which equates to a cross-range of 100 m at 1200 m slant range
732 from the radar.

733 • Figure 9 (color): Optical images of avalanche track taken (a) before and
734 (b) after two naturally occurring avalanches. Two regions of the flowing
735 zone have been labelled. These will be referred to during analysis of
736 the 2-D radar images.

- 737 • Figure 10 (color): Range-time moving target indication (MTI) image of
738 avalanche composed using an average of all eight receiver channels for
739 the chirp 6 data. Four significant avalanche fronts have been labelled.
740 The two boxes indicate the regions from which the following two 2-D
741 images are taken.

- 742 • Figure 11 (color): 2-D image of avalanche at $T = 0.00$ s using chirp
743 6. Image shows the presence of two fronts (circled) separated in cross-
744 range but very similar in slant range. The artefact at a similar slant
745 range, but 75 m cross-range, is caused by the sidelobes of the antenna
746 array discussed in Section 2.4.

- 747 • Figure 12 (color): 2-D image of avalanche at $T = 21.96$ s using chirp 5.
748 This shows a snapshot of the avalanche meeting the measurement mast
749 at around 660 m range. The image also shows the presence of many
750 components of the avalanche.

- 751 • Figure 13 (color): (a) Path taken by avalanche fronts as recorded by
752 the radar plotted on a topographical map of the Vallée de la Sionne
753 site (generated using the digital terrain model) and (b) top-down view
754 of paths plotted in a geographical coordinate system. The paths were
755 measured manually by recording the slant range and bearing of the
756 peak return of each of the four avalanche fronts at one second intervals
757 and then converting these measurements to a geographical coordinate
758 system.