

Folded FIFOs

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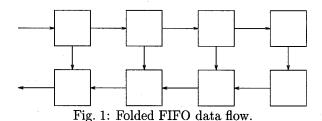
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Abstract: We present two distributed implementations of first-in first-out message buffers. The solutions presented reduce the delay between insert and delete operations on the buffer when the buffer is empty. The designs are then modified so as to offer bounded-response-time. The solutions presented use a CSP-like notation and are suitable for transformation into a VLSI circuit.

Keywords: distributed systems, systolic designs, bounded-response-time, maximum storage utilization, buffers, VLSI.

1. Introduction.

A folded FIFO is an implementation of a distributed first-in first-out message buffer. Two operations are permitted: a *push* operation inserts data into the FIFO; a *pop* operation removes a data item from the FIFO. Ordinarily, a distributed FIFO consists of a series of one-place buffers. If the FIFO remains relatively empty, then the delay between a *push* and a *pop* operation is proportional to the size of the buffer. In a folded FIFO, we try to short-circuit the path taken by the data so that this delay is reduced. Fig. 1 shows the way in which data can move in a folded FIFO.



In this paper, we describe two implementations of a folded FIFO using CSP-like specifications (cf. [1]) with probes (cf. [3]). We provide informal arguments justifying the correctness of the designs. The designs presented are systolic and distributed, which makes them suitable candidates for transformation into a VLSI circuit (cf. [4]).

2. Bounded-Response-Time FIFOs.

A design for a FIFO has a bounded-response-time if the time between two successive permissible operations is independent of the capacity of the FIFO. A detailed discussion of this phenomenon can be found in [2], where it is also shown that there does not exist a distributed FIFO implementation that has both bounded-response-time and maximum storage utilization. This fact is based on the following three assumptions:

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- 1. Movement of data in one direction corresponds to movement of a vacancy in the opposite direction.
- 2. A vacancy can cover only a bounded path in bounded time.
- 3. The number of paths not exceeding a given length between the external push and pop port is bounded.

It is unreasonable to assume that the second assumption is violated in any implementation of a FIFO. The third assumption cannot be violated if every cell has a fixed, finite number of neighbors (as in a VLSI implementation). However, the first assumption can be violated by allowing swap operations. Using swaps, there are implementations of a folded FIFO with constant-response-time. Intuitively, a swap operation introduces temporary storage which violates the maximum storage utilization restriction.

We can either try to maintain a low-energy configuration (described below) in which we do not move a data item unless we are forced to do so, or we can aim for an implementation that has bounded-response-time. However, we know that there is no implementation of a FIFO that has bounded-response-time and maximum storage utilization. Therefore we explore the possibility of constructing a folded FIFO with a low-energy configuration.

For low energy, we must avoid moving data unnecessarily (cf. [5], [6]). This means that we must keep the folded FIFO in a compact configuration, i.e. we must not have any "holes" in the FIFO. Fig. 2 shows a configuration that should not be permitted, where the shaded cells are those that contain valid data.

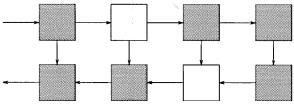


Fig. 2: Folded FIFO with "holes."

Suppose a series of *pop* operations were performed from the configuration shown in Fig. 2. Then, the data items in the cells after the holes would have moved through more cells than necessary, resulting in a waste of energy.

3. Solution 1.

If we assume that *push* and *pop* are mutually exclusive, then we can combine the two processes that are above one another (cf. Fig. 1) into a single process. We obtain the process structure shown in Fig. 3.

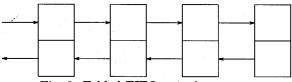


Fig. 3: Folded FIFO—single process.

Notice that we have potentially sacrificed 50% throughput by making this assumption since we can no longer have concurrent *push* and *pop* operations.

For minimum energy, we will try to maintain the following invariant: If a process does not hold two

data items, no process to its right holds any data items. Keeping this invariant in mind, we now describe the possible states for a folded FIFO cell (cf. Fig. 4).

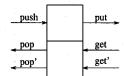


Fig. 4: Folded FIFO cell—single process

The FIFO cell can be in one of four different states:

- $\langle empty \rangle$. The cell has no data.
- $\langle half \rangle$. The cell has one data item (stored in x).
- $\langle full \wedge last \rangle$. The cell has two data items (x has been inserted after y) and every cell to its right is empty.
- $\langle full \land \neg last \rangle$. The cell has two data items (x has been inserted after y) and the cell to its right is not empty.

Given these states, CSP for the cell is straightforward and is given below. For clarity, we write the specification in terms of state transitions. Each action is terminated with the next state of the cell. Note that in fact the specification indicates a single non-terminating process.

The only interesting case is when a *pop* operation is performed and the cell is in state $\langle full \land \neg last \rangle$. To determine the next state, it is necessary to know if the next cell (to the right) is empty. This information is sent with the data along channel pop'.

$$\langle empty \rangle \equiv push?x; \ \langle half \rangle$$

$$\langle half \rangle \equiv \begin{bmatrix} \overline{push} \longrightarrow y := x; \ push?x; \ \langle full \land last \rangle \\ \hline pop' \longrightarrow pop'!true; pop!x; \ \langle empty \rangle \\ \end{bmatrix}$$

$$\langle full \land last \rangle \equiv \begin{bmatrix} \overline{push} \longrightarrow put!x; \ push?x; \ \langle full \land \neg last \rangle \\ \hline pop' \longrightarrow pop'!false; pop!y; \ \langle half \rangle \\ \end{bmatrix}$$

$$\langle full \land \neg last \rangle \equiv \begin{bmatrix} \overline{push} \longrightarrow put!x; \ push?x; \ \langle full \land \neg last \rangle \\ \hline pop' \longrightarrow pop'!false; pop!y; \ get'?b; get?y; \ [b \longrightarrow \langle full \land \neg last \rangle] \\ \end{bmatrix}$$

Remark. Since the operations on pop and pop' (and similarly get and get') are always joint, we could combine the two channels into a single channel that transmits a pair. (End of Remark.)

The additional copying involved in the assignment y := x can be avoided by adding additional states in which only y contains data, or y has been inserted before x in the FIFO. The last cell can be obtained by assuming that put and get operations will deadlock when attempted in the last cell. The pop' channel can be dropped from the first process by replacing probes on pop' with probes on pop.

Although this solution does have low energy, notice that the FIFO will deadlock if a *push* is performed when the FIFO is full (or if a *pop* is done when the FIFO is empty). This is a direct result of the assumption that *push* and *pop* operations are mutually exclusive, which allowed us to combine two processes into a single cell. We now present another solution that does not suffer from this problem.

4. Solution 2.

The cell for the second solution contains the channels shown in Fig. 5.

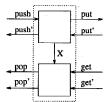


Fig. 5: Folded FIFO cell—concurrent push, pop.

Two additional channels are used to propagate information backward in the FIFO. In addition, the data channel X is introduced for communication within a cell. The dotted line around the processes indicates that the cell begins as one process, and then may fork into two processes which can then recombine into a single process.

The primed channels are used to indicate that a process is ready for communication, and the value passed along the channel indicates how the communication action is to continue. A *true* value indicates that the initiated data action should continue. A *false* value indicates that the data should take an alternative route.

Once again we maintain the invariant that if the cell is either in the $\langle empty \rangle$ or $\langle half \rangle$ state, then every cell to its right is empty. The sequential states of the cell are as follows:

- (*empty*). The cell has no data.
- $\langle half \rangle$. The cell has one data item stored in x.
- (split). The cell has two data items. This state is split into two processes:
 - $\langle top \rangle$ for the process representing the top cell, and
 - (bottom) representing the cell on the bottom.

We use the single vertical bar "|" to denote non-deterministic choice (i.e. requiring arbitration). Finally, notice that the CSP for the $\langle half \rangle$ state contains a fork. The join for this fork is in the $\langle top \rangle$ and $\langle bottom \rangle$ states—they return to the $\langle half \rangle$ state concurrently, since this state change is preceded by a communication on channel X.

Given the meaning of the primed channels, the CSP for the various states is almost straightforward. In the $\langle empty \rangle$ state, a push' can continue, which corresponds to sending a value of true along push' and then accepting a data item. A pop operation in this state cannot succeed, and therefore returns the value false.

$$\langle empty \rangle \equiv [\overline{push'} \longrightarrow push'!true; push?x; \langle half \rangle$$

$$| \overline{pop'} \longrightarrow pop'!false; push'!false; \langle empty \rangle$$

In state $\langle half \rangle$, one can accept both a *push* and a *pop* operation, and the CSP for this state is given below. Notice that on accepting a *push*, we enter the split state allowing concurrent *push* and *pop* operations.

$$\langle half \rangle \equiv [\overline{push'} \longrightarrow push'!true; push?y; \langle top \rangle \parallel \langle bottom \rangle; \langle half \rangle$$

$$| \overline{pop'} \longrightarrow pop'!true; pop!x; \langle empty \rangle$$

The $\langle bottom \rangle$ state is simple. Since it only has to respond to pop requests and it contains data, it begins by accepting a pop operation. Finally, it requests data from the cell to its right. If the pop succeeds, then it remains in the $\langle bottom \rangle$ state. Otherwise, it returns to the $\langle half \rangle$ state by accepting data from the cell on top.

$$\langle bottom \rangle \equiv pop'!true; pop!x; get'?b; [b \longrightarrow get?x; \langle bottom \rangle [\neg b \longrightarrow X?x; \langle skip \rangle]$$

The final state is the most complex. In this state, a cell has to accept two requests; an external push request, and an X request.

Notice that this solution has two arbitrs: one arbitrating between $\overline{push'}$ and $\overline{pop'}$ in $\langle half \rangle$, and one arbitrating between $\overline{push'}$ and \overline{X} in $\langle top \rangle$. The first arbitre between push' and pop' cannot be avoided since we are allowing concurrent push and pop operations. However, we make the following observation: in the CSP for $\langle top \rangle$, after probing X we know that the value returned in b will be false. Observing the similarity between the two guarded commands, we can rewrite $\langle top \rangle$, removing the arbiter!

$$\langle top \rangle \equiv \lceil \overline{push'} \vee \overline{X} \rceil; \quad put'?b; \quad \lceil b \longrightarrow push'!true; \quad put!y; \quad push?y; \quad \langle top \rangle$$

$$\lceil \neg b \longrightarrow X!y; \quad \langle skip \rangle$$

Finally, the last cell can be obtained by assuming that $*[push'!false] \parallel *[pop'!false]$ is the process to its right. For the leftmost process, the push' and pop' channels can be removed by replacing the probes with probes on push and pop. Finally, the pop' selection in the leftmost $\langle empty \rangle$ process should be removed.

5. Bounded-Response-Time Solutions.

We can make either of these solutions bounded-response-time by introducing temporary storage, if we assume that the environment does not try to insert items into a full buffer. We do so by introducing a variable t. However, note that this variable is written and read exactly once. This allows us to implement variable t efficiently in a VLSI circuit.

In the first solution, a *pop* is constant-response-time. A *push* is not constant-response-time only if the FIFO cell is full. We modify the full state as follows:

$$\langle full \wedge last \rangle \equiv \begin{bmatrix} \overline{push} \longrightarrow t := x; & put!t \bullet push?x; & \langle full \wedge \neg last \rangle \\ \hline & \overline{pop'} \longrightarrow pop'!false; & pop!y; & \langle half \rangle \end{bmatrix}$$

In the second solution, a pop is constant-response-time. Using a strategy similar to the one outlined above is not enough since we have to make both push and push' constant-response-time. To make push' constant-response-time in process $\langle top \rangle$, we must complete the push' operation by sending it a true value. Unfortunately, this prevents us from removing the arbiter between \overline{X} and $\overline{push'}$. As a start, suppose we complete the push' operation early.

$$\langle top \rangle \equiv [\ \overline{push'} \longrightarrow push'!true; \ put'?b; \ [\ b \longrightarrow t := y; \ put!t \bullet push?y; \ \langle top \rangle$$

$$[\ \neg b \longrightarrow X!y; \ \langle ?? \rangle$$

$$]$$

$$[\ \overline{X} \longrightarrow put'?b; \ X!y; \ \langle skip \rangle$$

Originally, we entered the $\langle half \rangle$ state after X!y and the push operation was completed there. Since we have completed push', we must also complete push. As a result, we need to distinguish between the two different X actions. To do so, additional information is sent along X. Finally, $\langle bottom \rangle$ is modified to reflect these changes. The final solution is:

$$\langle top \rangle \equiv [\ \overline{push'} \longrightarrow push'!true; \ put'?b; \ [\ b \longrightarrow t := y; \ put!t \bullet push?y; \ \langle top \rangle$$

$$[\ \neg b \longrightarrow X!(true,y); \ push?y; \ \langle top \rangle$$

$$]$$

$$[\ \overline{X} \longrightarrow put'?b; \ X!(false,y); \ \langle skip \rangle$$

$$]$$

$$\langle bottom \rangle \equiv pop'!true; pop!x; \ get'?b; \ [\ b \longrightarrow get?x; \ \langle bottom \rangle \\ \square \neg b \longrightarrow X?(c,x); \ [\ c \longrightarrow \langle bottom \rangle \ \square \neg c \longrightarrow \langle skip \rangle \]$$

6. Correctness Proof.

For the arguments outlined below, we will use the following terminology. An *invariant* will be used to refer to a *loop invariant*. In other words, the "invariants" will hold only at the beginning of any state. We will assume that s is a ghost variable that indicates the current state. e.x is a boolean value that is true if and only if data register x is empty, and f.x is defined to be $\neg e.x$. We assume that each data value has

associated with it a sequence number, and that ord.x denotes the sequence number of x. In other words, data value x corresponds to the data that was inserted by the ord.xth push operation. Note that ord.x is defined if and only if f.x holds. For a cell c we use c.v to denote a variable in cell c. rt is the cell immediately to the right of the current cell, and lt is the cell to the left. We will use "pre state" to refer to the stable state before any actions were executed, and "post state" to refer to the stable state after the actions mentioned were executed.

We have two proof obligations: (I) there is no deadlock amongst the processes; and (II) the kth pop operation receives the data that was pushed by the kth push operation. We now provide correctness arguments for the first two solutions that were presented.

SOLUTION 1.

The following invariants are used to justify the names of the states.

$$s = \langle empty \rangle \Rightarrow (e.x \land e.y \land rt.s = \langle empty \rangle) \tag{1}$$

$$s = \langle half \rangle \Rightarrow (f.x \land e.y \land rt.s = \langle empty \rangle) \tag{2}$$

$$s = \langle full \land last \rangle \Rightarrow (f.x \land f.y \land rt.s = \langle empty \rangle) \tag{3}$$

$$s = \langle full \land \neg last \rangle \Rightarrow (f.x \land f.y \land rt.s \neq \langle empty \rangle) \tag{4}$$

The following invariant indicates the FIFO nature when a cell is full.

$$s = \langle full \land last \rangle \Rightarrow ord.y + 1 = ord.x \tag{5}$$

The following two invariants indicate the FIFO nature when $s = \langle full \land \neg last \rangle$.

$$s = \langle full \land \neg last \rangle \land rt.s = \langle half \rangle \Rightarrow (ord.(rt.x) + 1 = ord.x \land ord.y + 1 = ord.(rt.x))$$

$$\tag{6}$$

$$s = \langle full \land \neg last \rangle \land f.(rt.y) \land f.(rt.x) \Rightarrow (ord.(rt.x) + 1 = ord.x \land ord.y + 1 = ord.(rt.y))$$
 (7)

Remark. The rightmost cell can never enter the state $\langle full \land \neg last \rangle$ since communication on put deadlocks. (End of Remark.)

Initially, every cell is in state $\langle empty \rangle$, and $e.x \wedge e.y$ holds in each cell. Therefore all the invariants hold. Note that for a cell to change state, a communication action must be performed with the cell.

Notice that the value sent on channel pop' is true if and only if the next state is $\langle empty \rangle$. This fact will be necessary to establish the state invariants from state $\langle full \land \neg last \rangle$.

We consider the actions from every state and demonstrate that all the invariants hold. We will ignore invariants that hold trivially in the post state.

 $s = \langle empty \rangle$. Only a push operation can be performed. Now, f.x will hold after push?x. Since (1) holds in the pre-state, we conclude that (2) holds in the post state.

 $s = \langle half \rangle$.

pop'. Since sending data empties a register, and using (2) in the pre state, we can conclude that (1) holds in the post state, in which we enter state $\langle empty \rangle$.

push. On completion, we enter state $\langle full \wedge last \rangle$. Clearly the previous cell was in state $\langle full \wedge \neg last \rangle$ before it began the actions leading to the push operation (from the state invariants). Since

the invariants (6), (4) held in the pre-state for the previous cell and e.y was true in the pre-state, ord.y + 1 = ord.x holds in the post state since x was obtained from x in the left cell. For the leftmost cell, ord.y + 1 = ord.x holds because from the definition of ord. Also, $f.x \wedge f.y$ holds in the post state. Finally, (2) in the pre-state implies that $rt.s = \langle empty \rangle$. Therefore we have (3) and (5) in the initial state of $\langle full \wedge last \rangle$.

 $s = \langle full \wedge last \rangle$.

pop'. In the post state, e.y holds. Also, in the pre state, ord.y + 1 = ord.x from (5). Examining the pre state of the cell on the left, we note that in its post state, (6) will hold since y is copied into lt.y. Finally, (3) in the pre state implies (2) in the post state.

push. In the post state, rt.(f.x) holds since in the pre state $rt.s = \langle empty \rangle$ by (3). The invariant (7) holds in the post state because (7) was true in lt in the pre state for lt. Finally, (4) holds because rt will change to a non-empty state.

 $s = \langle full \wedge \neg last \rangle.$

pop'. Sending y to the left maintains (7) for the left cell because (7) holds in the pre state. Finally, the get operation maintains (7) in the post state because of (7) in the pre state. Finally, $rt.s = \langle empty \rangle$ holds if and only if we change to $\langle full \wedge last \rangle$ because of the observation made about the pop' channel.

push. Similar to the reasoning for $\langle full \wedge last \rangle$.

Note that push, pop operations can never deadlock since they are probed. Also, each channel is only probed at one end. A cell can never be suspended at a get operation (from the state invariants). Suppose the cell is suspended at a put operation. A put suspends forever if and only if the cell to its right is also suspended. Therefore the cell to the right must be suspended on a put as well. Inducting to the right, we note that every cell must have $f.x \wedge f.y$. Using the state invariants, we also note that every cell to the left must also have $f.x \wedge f.y$ to be true. Therefore, the FIFO is full. Therefore, suspension implies the FIFO is full. An external pop suspends if the FIFO is empty since the $\langle empty \rangle$ state only accepts a push operation.

Finally, the invariants (5), (6), and (7) together imply that the kth pop operation receives data entered by the kth push operation.

SOLUTION 2.

We will consider $\langle split \rangle$ to be the state in which we have two processes. The following invariants are used to justify the names of the states.

$$s = \langle empty \rangle \Rightarrow (e.x \land e.y \land rt.s = \langle empty \rangle) \tag{8}$$

$$s = \langle half \rangle \Rightarrow (f.x \land e.y \land rt.s = \langle empty \rangle) \tag{9}$$

$$s = \langle split \rangle \Rightarrow (f.x \land f.y) \tag{10}$$

Finally, we have the following three invariants:

$$s = \langle split \rangle \land rt.s = \langle empty \rangle \Rightarrow (ord.x + 1 = ord.y) \tag{11}$$

$$s = \langle split \rangle \land rt.s = \langle half \rangle \Rightarrow (ord.(rt.x) + 1 = ord.y \land ord.x + 1 = ord.(rt.x))$$
(12)

$$s = \langle split \rangle \land rt.s = \langle split \rangle \Rightarrow (ord.(rt.y) + 1 = ord.y \land ord.x + 1 = ord.(rt.x))$$

$$(13)$$

Notice that a false value is sent along a primed channel if and only if the cell is in the $\langle empty \rangle$ state and a pop' was requested. Furthermore, note that if \overline{X} is true in $\langle top \rangle$ before a put' operation is performed, then this means that a put' operation must succeed and return false. Also, if a true value is sent along a primed channel, then the data action along that channel must succeed. We will use these observations in the argument outlined below.

 $s = \langle empty \rangle$.

push'. Entering state $\langle half \rangle$, we must check that (12) and (9) are maintained. The latter holds trivially since no communication is performed with the rt cell, and (8) was true in the pre state. (12) holds because (11) was true in the pre state for lt.

pop'. All invariants are maintained trivially.

 $s = \langle half \rangle$.

push'. We may violate (11), (10), or (13). (10) holds trivially. (11) holds for the cell because (12) was true for lt. Finally, (13) holds for lt because (12) holds in lt, and (13) was true in the cell to the left of lt.

pop'. Clearly (8) holds in the post state from (9). From (12) in the pre-state for lt, we can conclude that (11) holds in lt in the post state of lt.

 $s = \langle split \rangle$.

 $\langle bottom \rangle$. Consider the execution sequence reaching $\langle bottom \rangle$. This satisfies all invariants in the post state for reasons similar to those in the previous solution. Consider the execution sequence reaching $\langle half \rangle$. Since get' returned false, it follows that $rt.s = \langle empty \rangle$. Therefore, (9) is maintained. In addition, this means that (11) held in the pre-state, and so we can conclude that (12) holds for lt in the post state for lt.

 $\langle top \rangle$. Consider the execution sequence reaching $\langle top \rangle$. It satisfies all the invariants in the post state for reasons similar to those in the previous solution. Consider the execution sequence reaching $\langle half \rangle$. Using the arguments for $\langle bottom \rangle$, once again we conclude that the invariants are maintained.

Notice that push and pop actions can never suspend because of the observations made earlier. Similarly, X can never suspend. A pop' can never suspend. Therefore the only possible suspension is on a push' in state $\langle split \rangle$. Therefore the cell must be suspended on a put' (otherwise the push' can be completed eventually). Inducting, we see that all cells to the right must be suspended on a put'. Also, the invariants imply that all cells to the left must be in the $\langle split \rangle$ state. Therefore, the entire FIFO is full. Therefore we have absence of deadlock in the case that the FIFO is not full. When the FIFO is full, a push' can suspend. However, it will only suspend until a pop' operation is performed.

Finally, the FIFO property follows from the FIFO invariants, namely (11), (12), and (13).

7. Conclusion.

We have presented two solutions to the folded FIFO problem with arguments justifying their correctness.

Both of them have a low energy configuration. Given the three restrictions from [2], it is known that there does not exist a FIFO implementation with constant-response-time. However, by introducing temporary storage, we have demonstrated that the low energy solutions can be converted into ones with bounded-response-time.

Appendix

The notation we use is based on Hoare's CSP [1]. A full description of the notation and its semantics can be found in [4]. What follows is a short and informal description of the notation we use.

- Assignment: a := b. This statement means "assign the value of b to a."
- Selection: $[G1 \to S1 \] \dots [] Gn \to Sn]$, where G_i 's are boolean expressions (guards) and S_i 's are program parts. The execution of this command corresponds to waiting until one of the guards is true, and then executing one of the statements with a true guard. The notation [G] is short-hand for $[G \to skip]$. If the guards are not mutually exclusive, we use the vertical bar "|" instead of "|"."
- Repetition: *[G1 → S1 [] ... [] Gn → Sn]. The execution of this command corresponds to choosing
 one of the true guards and executing the corresponding statement, repeating this until all guards
 evaluate to false. The notation *[S] is short-hand for *[true → S].
- Send: X!e means send the value of e over channel X.
- Receive: Y ? v means receive a value over channel Y and store it in variable v.
- Probe: The boolean expression \overline{X} is *true* iff a communication over channel X can complete without suspending.
- Sequential Composition: S; T
- Parallel Composition: $S \parallel T$.

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