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Canonical equivalence relations for parameter sets

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Let  $\mathbb{E}$  be a class of objects with a binomial coefficient  $\mathbb{E}(\frac{A}{B})$ . Intuitively  $\mathbb{E}(\frac{A}{B})$  is the set of all embeddings of  $B$  into  $A$ , respectively the set of all subobjects of  $A$  which are isomorphic to  $B$ . Embeddings  $f \in \mathbb{E}(\frac{A}{B})$  and  $g \in \mathbb{E}(\frac{B}{C})$  may be composed yielding  $fg \in \mathbb{E}(\frac{A}{C})$ . As known for categories this composition should be associative.

Notation:  $\Pi(\mathbb{E}(\frac{A}{C}))$  denotes the set of equivalence relations on  $\mathbb{E}(\frac{A}{C})$ . For  $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$  and  $f \in \mathbb{E}(\frac{A}{B})$  then  $\pi_f \in \Pi(\mathbb{E}(\frac{B}{C}))$  denotes the restriction of  $\pi$  to the subobjects of  $f$ , i.e.  $g \approx h \pmod{\pi_f}$  iff  $fg \approx fh \pmod{\pi}$ .

Definition: A set  $A \subseteq \Pi(\mathbb{E}(\frac{B}{C}))$  is a canonical set of equivalence relations (w.r.t.  $B$  and  $C$ ) iff (1) there exists an  $A' \in \mathbb{E}$  such that for all  $A \in \mathbb{E}$  with  $\mathbb{E}(\frac{A}{A'}) \neq \emptyset$  and  $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$  there exists an  $f \in \mathbb{E}(\frac{A}{B})$  such that  $\pi_f \in A$  and (2) for every  $\sigma \in A$  and every  $A \in \mathbb{E}$  there exists a  $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$  such that  $\pi_f = \sigma$  for every  $f \in \mathbb{E}(\frac{A}{B})$ .

Motivation: In recent years it has turned out that canonical sets of equivalence relation yield a deeper insight into the partitional behaviour of certain structures. One of the first theorems in this

direction is the 'Erdős-Rado-canonization theorem' [1] which describes canonical equivalence relations for Ramsey's theorem. More recent results are due to A. Taylor [4] for Hindman's theorem and Nešetřil and Rödl [5] for graphs and hypergraphs.

Here we study Hales-Jewett classes  $[A]$ , where  $A$  is a finite set.

Definition: Let  $A$  be a finite set,  $k \leq n$  be non-negative integers.

$[A] \binom{n}{k}$  then is the set of mappings  $f: n \rightarrow A \cup \{\lambda_0, \dots, \lambda_{k-1}\}$  - where for convenience always  $n = \{0, \dots, n-1\}$  - satisfying

(1)  $f^{-1}(\lambda_i) \neq \emptyset$  for  $i < k$  and (2)  $\min f^{-1}(\lambda_i) < \min f^{-1}(\lambda_j)$  for all  $i < j < k$ .

Parameter words  $f \in [A] \binom{n}{m}$  and  $g \in [A] \binom{m}{k}$  may be composed yielding  $f \cdot g \in [A] \binom{n}{k}$ , where  $f \cdot g(i) = f(i)$  for  $f(i) \in A$  and  $f \cdot g(i) = g(j)$  for  $f(i) = \lambda_j$ .

Notations: For sets  $A \subseteq B$  we always assume  $\Pi(A) \subseteq \Pi(B)$  by extending  $b \approx b \pmod{\pi}$  for all  $b \in B \setminus A$ ,  $\pi \in \Pi(A)$ .  $\Pi(A)$  is partially ordered (in fact it is a lattice) by  $\pi \leq \sigma$  iff  $a \approx b \pmod{\pi}$  implies  $a \approx b \pmod{\sigma}$  for all  $a, b \in A$ .

Definition: A sequence  $\hat{\pi} = (\pi_0, \dots, \pi_k)$  of equivalence relations  $\pi_i \in \Pi(A \cup \{\lambda_0, \dots, \lambda_i\})$  is k-canonical iff (1)  $\pi_0 \leq \pi_1 \leq \dots \leq \pi_k$  and (2) if  $\lambda_i \approx a \pmod{\pi_i}$  for some  $a \in A \cup \{\lambda_0, \dots, \lambda_{i-1}\}$  then  $\pi_{i+1} \leq \pi_i$ .

**Notation:** Let  $\hat{\pi} = (\pi_0, \dots, \pi_k)$  be  $k$ -canonical and  $f \in [A] \binom{n}{k}$ .

For  $i < k$  the numbers  $\omega_{\hat{\pi}}(f, i)$  are defined by

$$\omega_{\hat{\pi}}(f, i) = \text{MIN} \{ \xi < n : f(\xi) \approx \lambda_i \pmod{\pi_i} \}, \text{ where for convenience}$$

$$\omega_{\hat{\pi}}(f, -1) = -1.$$

**Definition:** Let  $\hat{\pi} = (\pi_0, \dots, \pi_k)$  be  $k$ -canonical. The partition

$\hat{\pi}(n) \in \Pi([A] \binom{n}{k})$  is defined by:

$$f \approx g \pmod{\hat{\pi}(n)} \text{ iff for all } i = 0, \dots, k-1 \text{ for all } \xi \text{ with}$$

$$\text{MIN}(\omega_{\hat{\pi}}(f, i), \omega_{\hat{\pi}}(g, i)) < \xi < n \text{ follows } f(\xi) \approx g(\xi) \pmod{\pi_{i+1}}.$$

The main theorem then is:

**Theorem:** The set  $\{ \hat{\pi}(m) \mid \hat{\pi} \text{ is } k\text{-canonical} \}$  is a canonical set of equivalence relations (w.r.t.  $[A], k, m$ ).

The theorem has many interesting applications, we only state two of

them explicitly.

**Corollary 1:** For every finite set  $A$  and nonnegative integer  $m$ ,

there exists an  $n$ , such that for every equivalence relation

$\sigma \in \Pi([A] \binom{n}{m})$  there exists an  $f \in [A] \binom{n}{m}$  and a  $\pi \in \Pi(A)$  such that

$$\text{for all } g, h \in [A] \binom{n}{m} \text{ follows } (g \approx h \pmod{\sigma}) \text{ iff } (g(\xi) \approx h(\xi) \pmod{\pi})$$

for every  $0 \leq \xi < m$ .

**Corollary 2:** For every positive integer  $m$  there exists an  $n$

such that for every equivalence relation  $\pi \in \Pi \left( \binom{P(n)}{P(1)} \right)$  on the set

of pairs  $(A, B)$  of subsets of  $n$  with  $A \subseteq B$ ,  $A \neq B$  there exists a  $P(m)$ -sublattice of  $P(n)$ , i.e. sets  $X_0, X_1, \dots, X_m$ , with  $X_i \cap X_j = X_0$  and  $X_i \neq X_j$  for  $i \neq j$  such that one of the following 5 cases holds for all pairs  $(A, B)$ ,  $(C, D)$   $A \subsetneq B$ ,  $C \subsetneq D$ :

- (1)  $(A, B) \approx (C, D) \pmod{\pi}$  iff  $A = C$  and  $B = D$
- (2)  $(A, B) \approx (C, D) \pmod{\pi}$  iff  $B \setminus A = D \setminus C$
- (3)  $(A, B) \approx (C, D) \pmod{\pi}$  iff  $A = C$
- (4)  $(A, B) \approx (C, D) \pmod{\pi}$  iff  $B = D$
- (5)  $(A, B) \approx (C, D) \pmod{\pi}$  for all  $(A, B)$ ,  $(C, D)$ .

Analogous theorems may be established for finite vector spaces and affine spaces. Details and proofs are going to appear elsewhere.

References

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