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ON INVARIANTS RELATED TO NON-UNIQUE FACTORIZATIONS IN BLOCK MONOIDS AND RINGS OF ALGEBRAIC INTEGERS

WOLFGANG A. SCHMID

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ABSTRACT. Let K be a number field, R its ring of integers and H the set of non-zero principal ideals of R . For each positive integer k the set $\mathcal{B}_k(H) \subset H$ denotes the set of principal ideals for which the associated block has at most k different factorizations. For the counting functions associated to these sets asymptotic formulae are known. These formulae involve constants that just depend on the ideal class group G of R . Starting from a known combinatorial description for these constants, we use tools from additive group theory, in particular the notion of Davenport's constant and a classical addition theorem, to investigate them. We determine their precise value in case G is an elementary group or a cyclic group of prime power order. For arbitrary G we derive (explicit) lower bounds.

1. Introduction

Let R be the ring of integers of an algebraic number field K and G the ideal class group. If $|G| > 1$, then R respectively the monoid H of non-zero principal ideals of R is not factorial. Quantitative aspects of non-unique factorizations were first investigated by W. Narkiewicz and then by many authors (see [20; Chap. 9], [12], [9]). Among others, the following sets have been studied for every $k \in \mathbb{N}$:

- $\mathcal{F}_k(H)$, the set of all non-zero principal ideals aR where $a \in R$ has at most k distinct factorizations,
- $\mathcal{B}_k(H)$, the set of all non-zero principal ideals aR where $a \in R$ and the associated block $\beta(aR)$ has at most k distinct factorizations,
- $\mathcal{G}_k(H)$, the set of all non-zero principal ideals aR where $a \in R$ has factorizations of at most k different lengths.

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If Z is any of these sets and $x \in \mathbb{R}_{\geq 1}$, then let $Z(x)$ denote the number of principal ideals $aR \in Z$ with $(R : aR) \leq x$. It has turned out that, for $x \rightarrow \infty$, $Z(x)$ has the following type of asymptotic behavior:

$$Z(x) \sim Cx(\log x)^{-A}(\log \log x)^B,$$

where $C \in \mathbb{R}_{>0}$, $A \in \mathbb{R}_{\geq 0}$ and $B \in \mathbb{N}_0$. For the sets $\mathcal{F}_k(H)$ and $\mathcal{G}_k(H)$, the exponents A and B have received a lot of attention, but there are still many open questions around them (see [18], [19], [5], [10], [13], [4], [26], [28]). In this paper we concentrate on the set $\mathcal{B}_k(H)$. In [6] it was proved that

$$A = 1 - \frac{1 + r^*(G)}{|G|} \quad \text{where } r^*(G) \text{ is the total rank of } G,$$

and a (rather involved) combinatorial description of B was given (in terms of G and k). In Section 2 we first introduce the necessary terminology to give this description (Definition 2.1.2) and then we derive a result on the oscillatory behavior of the counting function associated to $\mathcal{B}_k(H)$, which is based on recent work of M. R a d z i e j e w s k i (Theorem 2.3.2). In the subsequent sections, we start from the combinatorial description of B and derive, for every $k \in \mathbb{N}$, an explicit lower bound for B (Theorem 7.1) and the precise value of B , in the case where G is an elementary group or a cyclic group of prime power order (Theorems 4.2, 5.4, and 6.1). For these investigations we use methods from additive group theory, in particular the notion of Davenport's constant and a classical addition theorem.

2. Preliminaries

Let \mathbb{N} denote the set of positive integers, $\mathbb{P} \subset \mathbb{N}$ the set of prime numbers and we set $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$. For $m, n \in \mathbb{Z}$ let

$$[m, n] = \{x \in \mathbb{Z} : m \leq x \leq n\}.$$

For $n \in \mathbb{N}$ let C_n denote a cyclic group with n elements. Let G be an additively written finite abelian group. A subset $G_0 = \{e_1, \dots, e_r\} \subset G$ is called *independent* (resp. its elements are called independent), if $0 \notin G_0$, e_1, \dots, e_r are pairwise distinct and every equation of the form

$$\sum_{i=1}^r m_i e_i = 0 \text{ with } m_1, \dots, m_r \in \mathbb{Z} \text{ implies } m_1 e_1 = \dots = m_r e_r = 0.$$

The maximal cardinality of an independent set of elements having prime power order is called the *total rank* of G , which will be denoted by $r^*(G)$. Then

$$r^*(G) = \sum_{p \in \mathbb{P}} r_p(G)$$

where, for every $p \in \mathbb{P}$, $r_p(G)$ denotes the p -rank of G . Our terminology in factorization theory is consistent with that in [7] and with the survey articles in [2]. For convenience and to fix notations, we recall some key notions and some basic facts.

Monoids and factorizations.

Throughout, a monoid is a multiplicatively written commutative cancellative semigroup with identity element. Let H be a monoid. We denote by H^\times the group of invertible elements of H and by $H_{\text{red}} = \{aH^\times : a \in H\}$ the associated reduced monoid of H . We call H *reduced* if $H^\times = \{1\}$ (and then $H_{\text{red}} = H$).

An element $u \in H$ is called an *atom* of H (or an *irreducible element* of H) if $u \notin H^\times$, and for all $a, b \in H$, $u = ab$ implies that $a \in H^\times$ or $b \in H^\times$. We denote by $\mathcal{A}(H)$ the set of atoms of H , and H is called *atomic* if every $a \in H \setminus H^\times$ is a product of atoms.

An element $p \in H$ is called a *prime* of H , if $p \notin H^\times$, and for all $a, b \in H$, $p \mid ab$ implies that $p \mid a$ or $p \mid b$. Then H is *factorial* if it is atomic and every atom of H is a prime.

For a set P , we denote by $\mathcal{F}(P)$ the free abelian monoid with basis P . It is a reduced factorial monoid, and every $a \in \mathcal{F}(P)$ has a unique representation of the form

$$a = \prod_{p \in P} p^{\mathbf{v}_p(a)} \quad \text{where } \mathbf{v}_p(a) \in \mathbb{N}_0 \text{ and } \mathbf{v}_p(a) = 0 \text{ for almost all } p \in P,$$

whence

$$|a| = \sum_{p \in P} \mathbf{v}_p(a) \in \mathbb{N}_0.$$

The monoid $\mathbf{Z}(H) = \mathcal{F}(\mathcal{A}(H_{\text{red}}))$ is called the *factorization monoid* of H . The unique homomorphism $\pi: \mathbf{Z}(H) \rightarrow H_{\text{red}}$ satisfying $\pi|_{\mathcal{A}(H_{\text{red}})} = \text{id}$ is called the *factorization homomorphism* of H . It is surjective if and only if H is atomic, and it is an isomorphism if and only if H is factorial. For $a \in H$, the elements in $\mathbf{Z}(a) = \pi^{-1}(aH^\times) \subset \mathbf{Z}(H)$ are called the *factorizations* of a , and $\mathbf{L}(a) = \{|z| : z \in \mathbf{Z}(a)\} \subset \mathbb{N}_0$ is called the *set of lengths* of a . For $k \in \mathbb{N}$ we set

$$\mathcal{F}_k(H) = \{a \in H : |\mathbf{Z}(a)| \leq k\} \quad \text{and} \quad \mathcal{G}_k(H) = \{a \in H : |\mathbf{L}(a)| \leq k\}.$$

Then $\mathcal{F}_k(H) \subset \mathcal{G}_k(H)$, and H is factorial if and only if it is atomic and $H = \mathcal{F}_1(H)$.

Block monoids.

Let G be an additively written abelian group and $G_0 \subset G$ a subset. An element

$$S = \prod_{i=1}^l g_i = \prod_{g \in G_0} g^{v_g(S)}$$

of the free abelian monoid $\mathcal{F}(G_0)$ is called a *sequence in G_0* . We denote by

- $|S| = l = \sum_{g \in G_0} v_g(S) \in \mathbb{N}_0$ its *length*,
- $\sigma(S) = \sum_{i=1}^l g_i = \sum_{g \in G_0} v_g(S)g \in G$ its *sum*,
- $\Sigma(S) = \left\{ \sum_{i \in I} g_i : \emptyset \neq I \subset [1, l] \right\} \subset G$ the *set of sums* (of non-empty subsequences) of S .

Then $\mathcal{B}(G_0) = \{S \in \mathcal{F}(G_0) : \sigma(S) = 0\}$ is an atomic submonoid of $\mathcal{F}(G_0)$, called the *block monoid over G_0* . It is factorial if and only if $G_0 \setminus \{0\}$ is independent (see [6; Proposition 3]). Its elements are called *blocks* (or *zero-sum sequences*), its atoms are called *minimal zero-sum sequences* and the identity element $1 \in \mathcal{B}(G_0)$ is also called the *empty sequence*. The sequence S is said to be *zero-sumfree* if $0 \notin \Sigma(S)$. A sequence $T \in \mathcal{F}(G_0)$ is called a *subsequence of S* if it is a divisor of S in the monoid $\mathcal{F}(G_0)$ (equivalently, $v_g(T) \leq v_g(S)$ for all $g \in G_0$). Subsequences T_1, \dots, T_s of S are called *disjoint* if their product is a divisor of S . For brevity, we set

$$\mathcal{A}(G_0) = \mathcal{A}(\mathcal{B}(G_0)), \quad \mathcal{F}_k(G_0) = \mathcal{F}_k(\mathcal{B}(G_0)), \quad \text{and} \quad \mathcal{G}_k(G_0) = \mathcal{G}_k(\mathcal{B}(G_0)).$$

Krull monoids.

Let H be a reduced Krull monoid (see [14; Chapter 22]), $H \hookrightarrow D = \mathcal{F}(P)$ a divisor theory, $G = \{[a] : a \in D\}$ the class group of H and $G_0 = \{[p] : p \in P\} \subset G$ the set of classes containing primes. The block monoid $\mathcal{B}(G_0)$ is a reduced Krull monoid and the homomorphism $\beta : H \rightarrow \mathcal{B}(G_0)$, defined by

$$\beta(a) = \prod_{i=1}^l [p_i] \quad \text{for every } a = \prod_{i=1}^l p_i \in H, \quad \text{where } p_1, \dots, p_l \in P,$$

is called the *block homomorphism of H* . It is a transfer homomorphism (see [8]) and, among others, we have

$$\beta(\mathcal{A}(H)) = \mathcal{A}(G_0) \quad \text{and} \quad \mathcal{G}_k(H) = \{a \in H : \beta(a) \in \mathcal{G}_k(G)\}.$$

For every $k \in \mathbb{N}$ we define

$$\mathcal{B}_k(H) = \{a \in H : \beta(a) \in \mathcal{F}_k(G)\}$$

and clearly

$$\mathcal{F}_k(H) \subset \mathcal{B}_k(H) \subset \mathcal{G}_k(H).$$

Let R be the ring of integers of an algebraic number field K , $\mathcal{I}^\bullet(R)$ the set of non-zero ideals of R and $H = \mathcal{H}(R)$ the set of non-zero principal ideals of R . Then H is a Krull monoid, the embedding $H \hookrightarrow \mathcal{I}^\bullet(R)$ is a divisor theory whose class group G is the usual ideal class group of R . Thus G is finite and every class contains a prime divisor. For $k \in \mathbb{N}$ and $x \in \mathbb{R}_{\geq 1}$, the functions

$$\begin{aligned} \mathcal{F}_k(x) &= |\{aR \in \mathcal{F}_k(H) : (R : aR) \leq x\}|, \\ \mathcal{B}_k(x) &= |\{aR \in \mathcal{B}_k(H) : (R : aR) \leq x\}|, \\ \mathcal{G}_k(x) &= |\{aR \in \mathcal{G}_k(H) : (R : aR) \leq x\}| \end{aligned}$$

are just the counting functions already discussed in the introduction. There is a general combinatorial machinery to tackle “block dependent” factorization properties. We introduce the necessary combinatorial terms (for a more general setting see [12; Sec. 4]).

DEFINITION 2.1. Let G be a finite abelian group.

(1) For a subset $Q \subset G$ and a sequence $S \in \mathcal{F}(G \setminus Q)$, we set

$$\Omega(Q, S) = S\mathcal{F}(Q) \cap \mathcal{B}(G),$$

and the pair (Q, S) is called a k -system if $\emptyset \neq \Omega(Q, S) \subset \mathcal{F}_k(G)$.

(2) For every $k \in \mathbb{N}$, we define

$$\mathbf{b}_k(G) = \max\{|S| : (\exists Q)(Q \subset G, Q \setminus \{0\} \text{ independent}, |Q| = 1 + r^*(G), S \in \mathcal{F}(G \setminus Q), \emptyset \neq \Omega(Q, S) \subset \mathcal{F}_k(G))\}.$$

Note that for $|G| \leq 2$ we have $\mathbf{b}_k(G) = 0$.

PROPOSITION 2.2. Let G be a finite abelian group with $|G| \geq 3$ and $k \in \mathbb{N}$.

(1) If (Q, S) is a k -system, then $Q \setminus \{0\}$ is independent.

(2) There exist finitely many k -systems (Q_i, S_i) with $i \in [1, m]$ such that

$$\mathcal{F}_k(G) = \bigcup_{i=1}^m \Omega(Q_i, S_i).$$

(3) $\mathbf{b}_k(G) > 0$.

P r o o f. See [6; Proposition 3, Theorem 1, Corollary 1]. □

By Proposition 2.2.1 it is clear that

$$\mathbf{b}_k(G) = \max\{|S| : (\exists Q)((Q, S) \text{ a } k\text{-system}, |Q| = 1 + r^*(G))\},$$

which is an alternative way to define $\mathbf{b}_k(G)$ (see [6; Definition 3]).

In the following theorem we summarize results on the asymptotic behavior of the functions $\mathcal{B}_k(x)$. The first part of the theorem is proved in [6; Theorem 2]. The second part is an immediate consequence of recent results obtained in [25], [24] building, among others, on results of [17], [16].

THEOREM 2.3. *Let R be the ring of integers of an algebraic number field K , H the set of non-zero principal ideals, G the ideal class group with $|G| \geq 3$, and $k \in \mathbb{N}$.*

(1) *For $x \geq e^e$,*

$$\mathcal{B}_k(x) = x(\log x)^{-1+(1+r^*(G))/|G|} \left(V_k(\log \log x) + O\left(\frac{(\log \log x)^M}{(\log x)^\gamma}\right) \right)$$

with $V_k \in \mathbb{C}[X]$ a polynomial with positive leading coefficient and $\deg V_k = \mathfrak{b}_k(G)$, $\gamma = \frac{1}{|G|} \left(1 - \cos \frac{2\pi}{|G|}\right)$ and $M \in \mathbb{N}$ depends on k and K .

(2) *The error-term*

$$\mathcal{B}_k(x) - \frac{1}{2\pi i} \int_{\mathcal{C}} \zeta(s, \mathcal{B}_k(H)) \frac{x^s}{s} ds$$

is subject to oscillations of positive lower logarithmic frequency and size $x^{\frac{1}{2}-\varepsilon}$, where

$$\zeta(s, \mathcal{B}_k(H)) = \sum_{aR \in \mathcal{B}_k(H)} \frac{1}{(R : aR)^s} \quad \text{for } \Re(s) > 1,$$

and the contour of integration \mathcal{C} goes counterclockwise around the points $\frac{1}{2}$ and 1.

Proof. We briefly outline the argument.

(1) By Proposition 2.2, $\mathcal{F}_k(G)$ is a finite union of k -systems. For a subset $Q \subset G$ and a sequence $S \in \mathcal{F}(G \setminus Q)$, the asymptotic behavior of the counting function

$$\Omega(Q, S)(x) = \left| \{aR \in H : \beta(aR) \in \Omega(Q, S) \text{ and } (R : aR) \leq x\} \right|$$

is studied in [15]. Combining these two results the assertion follows.

(2) By [25; Theorem 1], it suffices to verify that the Mellin transform of the error-term fulfills certain conditions. (Note that in the terminology of [24] the result of [25; Theorem 1] can be expressed by saying, that the function is subject to oscillations of lower logarithmic frequency γ and size $x^{\theta-\varepsilon}$.) Using again the decomposition of $\mathcal{F}_k(G)$, this can be done analogously as it was done in [24] for the functions $\mathcal{G}_k(x)$. Indeed, the technical results there, namely Theorem 6, Lemma 3 and Lemma 4, are formulated for counting functions $\Omega(Q, S)(x)$, and thus they can be applied immediately. Note that in order to apply [25; Theorem 6], we use that $\mathfrak{b}_k(G)$ is positive. \square

3. Auxiliary results

In this section we recall respectively establish some auxiliary results.

LEMMA 3.1. *Let G be a finite abelian group.*

- (1) *If $G' \subset G$ is a subgroup and $k \in \mathbb{N}$, then $\mathfrak{b}_k(G') \leq \mathfrak{b}_k(G)$.*
- (2) *If $G = G_1 \oplus G_2$ and $k_i \in \mathbb{N}$ for $i \in [1, 2]$, then $\mathfrak{b}_{k_1 k_2}(G_1 \oplus G_2) \geq \mathfrak{b}_{k_1}(G_1) + \mathfrak{b}_{k_2}(G_2)$.*

Proof. This is [6; Proposition 7], except for the cases $|G| \leq 2$, and $|G_1| \leq 2$ or $|G_2| \leq 2$. However, the statements are obvious for $|G| \leq 2$. And, if $|G_1| = 2$, say, then (2) follows from (1), since $G_2 \subset G$ and $\mathfrak{b}_{k_1}(G_1) = 0$. \square

In the following lemma we fix a subset $Q \subset G$ and compare factorization properties of the elements of $\Omega(Q, S)$ with those of $\Omega(Q, T)$ for a subsequence T of S .

LEMMA 3.2. *Let G be a finite abelian group, $Q \subset G$ such that $Q \setminus \{0\}$ is independent, $S_i \in \mathcal{F}(G \setminus Q)$ and $k_i \in \mathbb{N}$ for $i \in [1, 2]$. If $\Omega(Q, S_i) \not\subset \mathcal{F}_{k_i}(G)$ for $i \in [1, 2]$, then*

$$\Omega(Q, S_1 S_2) \not\subset \mathcal{F}_{k_1 + k_2}(G).$$

In particular, if $S \in \mathcal{F}(G \setminus Q)$ such that $\Omega(Q, S) \neq \emptyset$ and T is a subsequence of S such that $\Omega(Q, T) \not\subset \mathcal{F}_k(G)$ for some $k \in \mathbb{N}$, then $\Omega(Q, S) \not\subset \mathcal{F}_k(G)$.

Proof. If $\Omega(Q, S_i) \not\subset \mathcal{F}_{k_i}(G)$ for $i \in [1, 2]$, then there exist blocks B_i with $|Z(B_i)| \geq k_i + 1$ for $i \in [1, 2]$. Since $B_1 B_2 \in \Omega(Q, S_1 S_2)$ and $|Z(B_1 B_2)| \geq |Z(B_1)| + |Z(B_2)| - 1 \geq k_1 + k_2 + 1$, the result follows. The “in particular”-statement follows by noting that $\Omega(Q, S) \neq \emptyset$ is equivalent to $\Omega(Q, S) \not\subset \mathcal{F}_0(G)$, and $\Omega(Q, T^{-1}S) \neq \emptyset$ if both $\Omega(Q, S)$ and $\Omega(Q, T)$ are non-empty. \square

4. Elementary groups

A finite abelian group G is called *elementary* if every element in G has squarefree order, i.e., G is equal to a direct sum of cyclic groups of prime order. Also, elementary groups are characterized by the property that every subgroup is a direct summand. Thus for elementary groups maximal independent sets are necessarily generating. This fact simplifies the investigations considerably and allows us to determine the value of $\mathfrak{b}_k(G)$ for elementary groups. Namely, we show (see Theorem 4.2) that equality holds at the lower bound (implicitly) obtained in [6].

First we introduce some additional notation and recall basic facts. Let $E = \{e_1, \dots, e_r\} \subset G$ be an independent generating set. For each $g \in G$ there exist uniquely determined coordinates $b_i \in [0, \text{ord}(e_i) - 1]$ for $i \in [1, r]$ such that $g = -\sum_{i=1}^r b_i e_i$, and if $g \notin E$, there exists a uniquely determined atom $A_g \in \mathcal{A}(\{g\} \cup E)$ with $v_g(A_g) = 1$, namely $A_g = g \prod_{i=1}^r e_i^{b_i}$ (see [27] for more general results of this type). For $i \in [1, r]$ let $\pi_i: G \rightarrow \langle e_i \rangle$ denote the projection, with respect to $\{e_1, \dots, e_r\}$, on the i th coordinate.

PROPOSITION 4.1. *Let G be a finite abelian group with $|G| \geq 3$. Further, let $\{e_1, \dots, e_r\}$ be an independent generating set, $r_2 = |\{i \in [1, r] : \text{ord}(e_i) = 2\}|$, and $Q = \{e_1, \dots, e_r\} \cup \{0\}$. If $S \in \mathcal{F}(G \setminus Q)$ and*

$$|S| > \sum_{i=1}^r (\text{ord}(e_i) - 1) - \left\lfloor \frac{r_2}{2} \right\rfloor,$$

then there exists a subsequence T of S with $|T| \leq \max\{\text{ord}(e_i) : i \in [1, r]\}$ and $\Omega(Q, T) \notin \mathcal{F}_1(G)$.

PROOF. We start with the following immediate observations. For each $h \in G \setminus Q$, since $h \neq 0$, there exists some $i \in [1, r]$ with $\pi_i(h) \neq 0$. Moreover, if $j \in [1, r]$ and $\text{ord}(e_j) = 2$, since $h \notin \{0, e_j\}$, there exists some $i \in [1, r] \setminus \{j\}$ with $\pi_i(h) \neq 0$.

Let $S \in \mathcal{F}(G \setminus Q)$ with $|S| > \sum_{i=1}^r (\text{ord}(e_i) - 1) - \left\lfloor \frac{r_2}{2} \right\rfloor$. By our above considerations it follows that there exists some $\iota \in [1, r]$ and a subsequence T of S such that $\pi_\iota(g) \neq 0$ for each $g \in T$ and $|T| \geq \text{ord}(e_\iota)$. We consider the block $B = \prod_{g \in T} A_g^{v_g(T)}$. Clearly, $B \in \Omega(Q, T)$. For each $g \in T$ we have $e_\iota \mid A_g$, and $|T| \geq \text{ord}(e_\iota)$. Thus it follows that $e_\iota^{\text{ord}(e_\iota)} \mid B$. Consequently, B has at least two different factorizations into atoms and $\Omega(Q, T) \notin \mathcal{F}_1(G)$. \square

THEOREM 4.2. *Let $k \in \mathbb{N}$ and $G = \bigoplus_{i=1}^r C_{p_i}$ be an elementary group with $|G| \geq 3$. Then*

$$\mathbf{b}_k(G) = (k-1) \max\{p_i : i \in [1, r]\} + \sum_{i=1}^r (p_i - 1) - \left\lfloor \frac{r_2(G)}{2} \right\rfloor.$$

PROOF. Without restriction assume $p_1 \leq \dots \leq p_r$. We set $s = r_2(G)$.

First we prove that the expression on the right hand side is a lower bound for $\mathbf{b}_k(G)$. In case $s = r$, i.e., $G = C_2^r$, the statement is just [6; Proposition 9].

Thus assume $s < r$. By repeated application of Lemma 3.1.(2) we have that

$$\mathbf{b}_k(G) \geq \mathbf{b}_1(C_2^s) + \sum_{j=s+1}^{r-1} \mathbf{b}_1(C_{p_j}) + \mathbf{b}_k(C_{p_r}).$$

The result follows since $r_2(G) = s$ by definition, $\mathbf{b}_1(C_2^s) = \lfloor \frac{s}{2} \rfloor$ by [6; Proposition 9], and $\mathbf{b}_k(C_p) = kp - 1$ for $p \geq 3$ by [6; Proposition 8].

We proceed to prove that the expression is an upper bound. This is done by induction on k . Let $Q \subset G$ with $|Q| = 1 + r^*(G)$ such that $Q \setminus \{0\}$ is independent. Note that since G is elementary, Q is a generating set and the orders of the elements of Q are uniquely determined.

Let $k = 1$ and $S \in \mathcal{F}(G \setminus Q)$ with $|S| > \sum_{i=1}^r (p_i - 1) - \lfloor \frac{r_2(G)}{2} \rfloor$ such that $\Omega(Q, S) \neq \emptyset$. By Proposition 4.1 there exists a subsequence T of S with $|T| \leq p_r$ and $\Omega(Q, T) \not\subset \mathcal{F}_1(G)$. The result follows from Lemma 3.2. Let $k \geq 2$ and $S \in \mathcal{F}(G \setminus Q)$ with $|S| > (k-1)p_r + \sum_{i=1}^r (p_i - 1) - \lfloor \frac{r_2(G)}{2} \rfloor$. Again by Proposition 4.1 there exists a subsequence $T \mid S$ with $|T| \leq p_r$ and $\Omega(Q, T) \not\subset \mathcal{F}_1(G)$. By induction hypothesis $\Omega(Q, T^{-1}S) \not\subset \mathcal{F}_{k-1}(G)$ and the result follows from Lemma 3.2. \square

5. Cyclic groups of prime power order

We start with a technical lemma. We use the following notations. For subsets $A_1, \dots, A_s \subset G$ and $n \in \mathbb{N}$ we set $\sum_{i=1}^s A_i = \left\{ \sum_{i=1}^s a_i : a_i \in A_i \right\}$, but $nA = \{na : a \in A\}$ and not the n -fold sum of A .

LEMMA 5.1. *Let G be a cyclic group of prime power order p^m with $m \geq 2$. If $S \in \mathcal{F}(G \setminus \{0\})$ and $|S| \geq 2p - 1$, then there exists a zero-sumfree subsequence T of S with $|T| \leq p$ such that $\sigma(T) \in pG \setminus \{0\}$.*

Proof. Let $S \in \mathcal{F}(G \setminus \{0\})$ and $|S| = 2p - 1$. We assume $S \in \mathcal{F}(G \setminus pG)$, since otherwise the result follows by setting $T = g$ with $g \in pG \setminus \{0\}$. Further, let $\pi: G \rightarrow G/pG$ denote the canonical projection.

We note that it suffices to show that there exists a subsequence T of S such that $\sigma(T) \in pG \setminus \{0\}$. Suppose T is such a sequence. Then $\pi(T) \in \mathcal{F}(G/pG)$, the sequence obtained by projecting each element of T , is a zero-sum sequence. We consider its factorization into atoms, say U_1, \dots, U_s are sequences such that $\prod_{i=1}^s U_i = T$ and $\pi(U_i)$ is an atom for each $i \in [1, s]$. It follows that U_i is zero-

sumfree and $|U_i| \leq p$ for each $i \in [1, s]$, and since $\sigma(T) \neq 0$, there exists some $j \in [1, s]$ such that $\sigma(U_j) \neq 0$.

We assert that for every $R \in \mathcal{F}(G \setminus pG)$ we have $|\Sigma(R) \cup \{0\}| \geq \min\{|R|+1, p^m\}$ and $|\Sigma(\pi(R)) \cup \{0\}| \geq \min\{|R|+1, p\}$. Let $R = \prod_{i=1}^r g_i$ and for each $j \in [1, r]$ we set $A_j = \sum_{i=1}^j \{0, g_i\}$. We have $|A_1| = |\pi(A_1)| = 2$. By I. Chowla's theorem (see for example [21; Theorem 2.1]) it follows for each $j \in [2, r]$ that $|A_j| \geq \min\{|A_{j-1}|+1, p^m\}$ and $|\pi(A_j)| \geq \min\{|\pi(A_{j-1})|+1, p\}$. thus $|A_j| \geq \min\{j+1, p^m\}$ and $|\pi(A_j)| \geq \min\{j+1, p\}$. Since $\Sigma(R) \cup \{0\} = A_r$ and $\Sigma(\pi(R)) \cup \{0\} = \pi(A_r)$, the assertion follows.

Let $S = S_1 S_2$ with $|S_1| = p$ and $|S_2| = p - 1$. Since $|\Sigma(S_1) \cup \{0\}| \geq p + 1 > |G/pG|$, there exist two, possibly empty and not necessarily disjoint, subsequences T_1, T_1' of S_1 such that $\pi(\sigma(T_1)) = \pi(\sigma(T_1'))$ but $\sigma(T_1) \neq \sigma(T_1')$. Moreover, since $\Sigma(\pi(S_2)) \cup \{0\} = G/pG$, there exists a subsequence T_2 of S_2 such that $\pi(\sigma(T_2)) = -\pi(\sigma(T_1))$. We have $\sigma(T_1 T_2), \sigma(T_1' T_2) \in pG$ and $\sigma(T_1 T_2) \neq \sigma(T_1' T_2)$, thus setting $T = T_1 T_2$ or $T = T_1' T_2$ the result follows. \square

As the following example shows, the value $2p - 1$ in Lemma 5.1 is best possible.

EXAMPLE 5.2. Let G be as in Lemma 5.1. The sequence $(-g)^{p-1} g^{p-1}$, for some generating element $g \in G$, has length $2p - 2$ and no subsequence with sum in $pG \setminus \{0\}$.

The following proposition will be the main tool in the proofs of Theorems 5.4 and 6.1.

PROPOSITION 5.3. *Let G be cyclic of prime power order p^m with $m \geq 2$ and $S \in \mathcal{F}(G \setminus \{0\})$ with $|S| \geq p^m + p^{m-1} - 1$. For each $n \in [1, m-1]$, there exist $p^{m-n} + p^{m-n-1} - 1$ disjoint, zero-sumfree subsequences T of S with $|T| \leq p^n$ and $\sigma(T) \in p^n G \setminus \{0\}$.*

P r o o f. Let $S \in \mathcal{F}(G \setminus \{0\})$ and $|S| \geq p^m + p^{m-1} - 1$. We prove the result by induction on n . Let $n = 1$. We note that

$$p^m + p^{m-1} - 1 = (p^{m-1} + p^{m-2} - 2)p + 2p - 1.$$

Thus the result follows by repeated application of Lemma 5.1. Let $n \geq 2$. By induction hypothesis we know that there exist disjoint zero-sumfree subsequences T_i of S with $|T_i| \leq p^{n-1}$ and $\sigma(T_i) \in p^{n-1} G \setminus \{0\}$ for each $i \in [1, p^{m-n+1} + p^{m-n} - 1]$. Let S' denote the sequence formed by the $\sigma(T_i)$. The sequence S' is a sequence in $p^{n-1} G \setminus \{0\}$. Since $p^{n-1} G$ is a cyclic group with p^{m-n+1} elements and $|S'| = p^{m-n+1} + p^{m-n} - 1$, we can apply the result

for “ $n = 1$ ” to the group $p^{n-1}G$ and obtain that for $i \in [1, p^{m-n} + p^{m-n-1} - 1]$ there exist disjoint subsets $J_i \subset [1, p^{m-n+1} + p^{m-n} - 1]$ such that $|J_i| \leq p$, the sequence $\prod_{j \in J_i} \sigma(T_j)$ is zero-sumfree and $\sigma\left(\prod_{j \in J_i} \sigma(T_j)\right) \in p(p^{n-1}G) \setminus \{0\}$. We consider the sequences $\prod_{j \in J_i} T_j$ for $i \in [1, p^{m-n} + p^{m-n-1} - 1]$. Clearly, these are disjoint subsequences of S . For the length we have $|\prod_{j \in J_i} T_j| \leq |J_i|p^{n-1} \leq p^n$ and for the sum $\sigma\left(\prod_{j \in J_i} T_j\right) = \sigma\left(\prod_{j \in J_i} \sigma(T_j)\right) \in p^n G \setminus \{0\}$. We factorize $\prod_{j \in J_i} T_j = S_i B_i$ where B_i is a zero-sum sequence, possibly the empty sequence, and S_i is zero-sumfree. It follows immediately that $|S_i| \leq p^n$ and $\sigma(S_i) \in p^n G \setminus \{0\}$ for each $i \in [1, p^{m-n} + p^{m-n-1} - 1]$. \square

Now we are ready to prove the main result of this section.

THEOREM 5.4. *Let $k \in \mathbb{N}$ and G be a cyclic group of prime power order p^m . Then*

$$b_k(G) = kp^m + p^{m-1} - 2.$$

Proof. For $m = 1$ the result is a special case of [6; Proposition 8] (or Theorem 4.2), thus we assume $m \geq 2$. Let $g \in G$ be a generating element, $Q = \{0, p^{m-1}g\}$ and $S = (-g)^{kp^{m-1}}g^{p^{m-1}-1}$. We assert that $\emptyset \neq \Omega(Q, S) \subset \mathcal{F}_k(G)$. This proves the lower bound. First we determine the atoms $A \in \mathcal{A}(\{0, g, -g, p^{m-1}g\})$ that satisfy $v_g(A) \leq p^{m-1} - 1$ and $v_{-g}(A) \leq kp^m - 1$, i.e., can occur in a factorization of a block in $\Omega(Q, S)$. If A is an atom with $v_g(A) > 0$ and $v_{-g}(A) > 0$, then clearly $A = (-g)g$. Since there cannot exist a zero-sum sequence $g^j(p^{m-1}g)^k$ with $0 < j < p^{m-1}$, it follows that $0, (-g)^{p^{m-1}}(p^{m-1}g), (-g)^{p^m}, (p^{m-1}g)^p$ and $(-g)g$ are the only atoms with the prescribed properties.

Since $\sigma(S) = p^{m-1}g$, it follows that $\Omega(Q, S)$ is non-empty. Let $B \in \Omega(Q, S)$ and let $B = \prod_{i=1}^l U_i$ be a factorization into atoms. It follows that exactly $p^{m-1} - 1$ of the atoms are equal to $(-g)g$. Thus it suffices to consider blocks in $\Omega(Q, (-g)^{kp^m - p^{m-1}})$. Let B' be such a block and $\prod_{i=1}^n V_i$ a factorization into atoms. We have that

$$V_i \in \{0, (-g)^{p^{m-1}}(p^{m-1}g), (-g)^{p^m}, (p^{m-1}g)^p\} \quad \text{for each } i \in [1, n].$$

Thus the factorization is determined by giving the number ν of $i \in [1, n]$ such that $V_i = (-g)^{p^m}$. Clearly, $\nu \in [0, k-1]$ and therefore $|Z(B')| \leq k$.

We prove the upper bound by induction on k . First we prove a preparatory assertion. Let $\{0\} \subset Q \subset G$ with $|Q| = 2$ and $S \in \mathcal{F}(G \setminus Q)$ with $|S| >$

$p^m + p^{m-1} - 2$. Then there exists a subsequence T of S with $|T| \leq p^m$ such that $\Omega(Q, T) \not\subset \mathcal{F}_1(G)$.

We have $Q = \{0, p^l g\}$ for some generating element $g \in G$ and $l \in [0, p-1]$. We apply Proposition 5.3 with $n = m-1$ and obtain that there exist p disjoint subsequences T_1, \dots, T_p of S such that $|T_i| \leq p^{m-1}$ and $\sigma(T_i) \in p^{m-1}G \setminus \{0\}$ for each $i \in [1, p]$. Let $b_i \in [1, p-1]$ such that $\sigma(T_i) = -b_i p^{m-1} g$ and we set

$$B_i = T_i(p^l g)^{b_i p^{m-1-l}}.$$

We set $T = \prod_{i=1}^p T_i$ and $B = \prod_{i=1}^p B_i$. Since $B \in \Omega(Q, T)$, it suffices to show that

$|Z(B)| > 1$. We have $\sum_{i=1}^p b_i \geq p$ and therefore $(p^l g)^{p^{m-1}} \mid B$. On the other hand we note that $(p^l g)^{p^{m-1}} \nmid B_i$. Thus there exists a factorization of B in which the atom $(p^l g)^{p^{m-1}}$ does not occur. This implies that there exist at least two different factorizations of B and proves the assertion.

The inductive argument is a simple application of our assertion and Lemma 3.2. For $k = 1$ the statement is now obvious. Let $k \geq 2$ and further let $\{0\} \subset Q \subset G$ with $|Q| = 2$ and $S \in \mathcal{F}(G \setminus Q)$ with $|S| > kp^m + p^{m-1} - 2$ such that $\Omega(Q, S) \neq \emptyset$. By our assertion there exists a subsequence T of S with $|T| \leq p^m$ and $\Omega(Q, T) \not\subset \mathcal{F}_1(G)$. It follows that $|T^{-1}S| > (k-1)p^m + p^{m-1} - 2$ and $\Omega(Q, T^{-1}S) \neq \emptyset$. Thus by induction hypothesis $\Omega(Q, T^{-1}S) \not\subset \mathcal{F}_{k-1}(G)$ and by Lemma 3.2, this implies $\Omega(Q, S) \not\subset \mathcal{F}_k(G)$. \square

6. A further class of groups

In the following theorem we show that a combination of Theorem 4.2 and Theorem 5.4, respectively the proofs, can be used to determine $\mathfrak{b}_k(G)$ for groups that are direct sums of an elementary and a cyclic group of prime power order (with the restriction that the orders of the two direct summands have to be co-prime).

THEOREM 6.1. *Let $k \in \mathbb{N}$, G' be an elementary group and $G = C_{p^m} \oplus G'$ with $p \nmid |G'|$. Then*

$$\mathfrak{b}_k(G) = (k-1) \max\{p^m, p'\} + \mathfrak{b}_1(C_{p^m}) + \mathfrak{b}_1(G'),$$

where $p' = \max\{\bar{p} \in \mathbb{P} : \bar{p} \mid |G'|\}$.

Proof. By Lemma 3.1.(2) we have

$$\mathfrak{b}_k(G) \geq \max\{\mathfrak{b}_k(C_{p^m}) + \mathfrak{b}_1(G'), \mathfrak{b}_1(C_{p^m}) + \mathfrak{b}_k(G')\}$$

and thus, by Theorem 4.2 and Theorem 5.4, we have $\mathbf{b}_k(G) \geq (k-1) \max\{p^m, p'\} + \mathbf{b}_1(C_{p^m}) + \mathbf{b}_1(G')$. Since for $m = 1$ the group G is elementary, we can assume $m \geq 2$.

Let $\{e_0, e_1, \dots, e_r\}$ be an independent generating set of G with maximal cardinality and such that $\langle e_0 \rangle = C_{p^m}$ and $\langle \{e_1, \dots, e_r\} \rangle = G'$. Let $\{0\} \subset Q \subset G$ such that $|Q| = 1 + r^*(G)$ and $Q \setminus \{0\}$ is independent.

For each $g \in Q \setminus \{0\}$, since $\text{ord}(g)$ is a prime power and $p \nmid |G'|$, we have $g = ae_0$ for some $a \in \mathbb{N}$ or $g \in G'$. Therefore we may assume, possibly after replacing e_0 by $a'e_0$ with $a' \in \mathbb{N}$ co-prime to p , that $Q = \{p^l e_0\} \cup Q'$ with $l \in [0, m-1]$ and $Q' \subset G'$. We have $Q' \setminus \{0\}$ is independent with maximal cardinality $r^*(G')$. Since G' is an elementary group, it follows that $\langle Q' \rangle = G'$.

We consider $k = 1$ and prove a slightly more general statement in order to be able to prove the general case with an inductive argument.

Let $S \in \mathcal{F}(G \setminus Q)$ with $|S| > \mathbf{b}_1(C_{p^m}) + \mathbf{b}_1(G')$ and $\Omega(Q, S) \neq \emptyset$. We show that there exists a subsequence \bar{T} of S such that $\Omega(Q, \bar{T}) \notin \mathcal{F}_1(G)$ and $|\bar{T}| \leq \max\{p^m, p'\}$.

Every $g \in G$ has a unique representation $g = c_g + h_g$ with $c_g = \pi_0(g) \in C_{p^m}$ and $h_g \in G'$. We consider the subsequence T of S of those elements $g \mid S$ with $\pi_0(g) = 0$. Note that if $|G'| = 2$, then, since $g \notin Q$, it follows that T is the empty sequence.

We distinguish two cases.

Case 1: $|T| > \mathbf{b}_1(G')$.

Since $T \in \mathcal{F}(G' \setminus Q')$ with $|T| > \mathbf{b}_1(G')$, it follows by Proposition 4.1 and Theorem 4.2 that there exists a subsequence \bar{T}' of T with $|\bar{T}'| \leq p'$ such that $\emptyset \neq \Omega(Q', \bar{T}') \notin \mathcal{F}_1(G')$. Since $\mathcal{F}_1(G') = \mathcal{B}(G') \cap \mathcal{F}_1(G)$ and $\Omega(Q', \bar{T}') \subset \Omega(Q, \bar{T}')$, this implies, using Lemma 3.2, that $\Omega(Q, \bar{T}) \notin \mathcal{F}_1(G)$.

Case 2: $|T| \leq \mathbf{b}_1(G')$.

Then $|T^{-1}S| > \mathbf{b}_1(C_{p^m})$. We consider the projection $R = \pi_0(T^{-1}S)$, the sequence in C_{p^m} obtained by applying π_0 to each element of $T^{-1}S$. We note that if $\pi_0(g) = p^l e_0$, then $h_g \neq 0$. The argument is now almost the same as in the proof of Theorem 5.4. Note that in Proposition 5.3 the only condition on the sequence is that the elements are non-zero, thus the possible occurrence of $p^l e_0$ in R causes no problem. We obtain that there exist disjoint subsequences T_1, \dots, T_p of R such that $|T_i| \leq p^{m-1}$ and $\sigma(T_i) \in p^{m-1}C_{p^m} \setminus \{0\}$ for each $i \in [1, p]$. Let $b_i \in [1, p-1]$ such that $\sigma(T_i) = -b_i p^{m-1} e_0$ and we set

$$B_i = T_i(p^l g)^{b_i p^{m-1-l}}.$$

Let \bar{T}_i denote the subsequence of $T^{-1}S$ such that T_i is obtained by projection of \bar{T}_i . The sequence $\bar{T}_i(p^l g)^{b_i p^{m-1-l}}$ is in general no zero-sum sequence. However, there exists a uniquely determined zero-sumfree sequence $F_i \in \mathcal{F}(Q')$ such that

$\overline{B}_i = F_i \overline{T}_i (p^l g)^{b_i p^{m-1-l}}$ is a zero-sum sequence. We set $\overline{T} = \prod_{i=1}^p \overline{T}_i$ and $B = \prod_{i=1}^p \overline{B}_i$. Clearly, we have $|\overline{T}| \leq p^m$. Since $B \in \Omega(Q, \overline{T})$, it suffices to show that $|Z(B)| > 1$. This follows since $(p^l g)^{p^{m-l}} \mid B$ but $(p^l g)^{p^{m-l}} \nmid \overline{B}_i$.

This proves the result for $k = 1$, and the result for general k follows from Lemma 3.2 and the usual inductive argument, as in the proofs of Theorem 4.2 and 5.4. \square

7. Lower bounds

In Theorem 7.1 we establish lower bounds for $b_k(G)$ valid for arbitrary finite abelian groups. Then, in Example 7.2, we compare these bounds for cyclic groups.

First, we recall the definition of Davenport's constant and some results. For a finite abelian group G , Davenport's constant $D(G)$ is defined as the maximal length of a minimal zero-sum sequence, i.e., $D(G) = \max\{|A| : A \in \mathcal{A}(G)\}$.

Let $G \cong \bigoplus_{i=1}^r C_{n_i}$ with $1 < n_1 \mid \cdots \mid n_r$. Then

$$D(G) \geq 1 + \sum_{i=1}^r (n_i - 1) \tag{†}$$

and it is known that equality holds if $r \leq 2$ or n_r is a prime power (i.e., G is a p -group) (see [29], [22], [23]). However, it is also known that equality in equation (†) does not hold in general. More precisely, for each $r \geq 4$ there are known infinitely many groups with rank r such that equality does not hold (see [11]) and the problem to determine $D(G)$ in general is wide open. It is even open whether for groups with rank 3 equality in (†) holds or not (see [3], [1] for recent results).

THEOREM 7.1. *Let $G = \bigoplus_{i=1}^r C_{p_i^{m_i}}$ with prime powers $p_i^{m_i}$ and $|G| \geq 3$.*

(1) *Let $k \in \mathbb{N}$ and $r_2 = |\{i \in [1, r] : p_i^{m_i} = 2\}|$. Then*

$$b_k(G) \geq (k-1) \max\{p_i^{m_i} : i \in [1, r]\} + \sum_{i=1}^r (p_i^{m_i} + p_i^{m_i-1} - 2) - \left\lceil \frac{r_2}{2} \right\rceil.$$

(2) $b_1(G) \geq D\left(\bigoplus_{i=1}^r C_{p_i^{m_i-1}}\right)$.

Proof.

(1) The result follows from Lemma 3.1.2, Theorem 4.2 and Theorem 5.4.

(2) Let $\{e_1, \dots, e_r\} \subset G$ be an independent generating set with $\text{ord}(e_i) = p_i^{m_i}$ for each $i \in [1, r]$. Further, let $Q = \{0\} \cup \{p_i^{m_i-1}e_i : i \in [1, r]\}$ and $G' = \langle Q \rangle$. We note that $|Q| = 1 + r^*(G)$ and $G/G' \cong \bigoplus_{i=1}^r C_{p_i^{m_i-1}}$.

First we show that there exists a sequence $S \in \mathcal{F}(G \setminus Q)$ with $|S| = D(G/G')$ such that $\sigma(S) \in G'$ but $\sigma(T) \notin G'$ for every proper $1 \neq T \mid S$. If $D(G/G') = 1$, we set $S = g$ for some $g \in G \setminus Q$. Thus we assume $D(G/G') \geq 2$. By definition of $D(G/G')$ there exists a minimal zero-sum sequence $\bar{S} = \prod_{i=1}^l \bar{g}_i \in \mathcal{F}(G/G')$ with $|\bar{S}| = D(G/G')$. Let $S \in \mathcal{F}(G)$ such that \bar{S} is the projection of S , i.e., $S = \prod_{i=1}^l g_i$ such that $g_i + G' = \bar{g}_i$ for each $i \in [1, l]$. Since $\sigma(\bar{S}) = 0 \in G/G'$, we have $\sigma(S) \in G'$, and since \bar{S} is a minimal zero-sum sequence, we have $\sigma(T) \notin G'$ for each proper $1 \neq T \mid S$. Since $D(G/G') \geq 2$, it follows that $S \in \mathcal{F}(G \setminus G') \subset \mathcal{F}(G \setminus Q)$.

It suffices to show that $\emptyset \neq \Omega(Q, S) \subset \mathcal{F}_1(G)$. The set $Q \setminus \{0\}$ is independent and generates G' , thus for every $h \in G'$ there exists a uniquely determined zero-sumfree sequence $F \in \mathcal{F}(Q)$ with $\sigma(F) = h$ (for $h = 0$ this is the empty sequence). Since $\sigma(S) \in G'$, it is clear that $\Omega(Q, S) \neq \emptyset$. Let $B \in \Omega(Q, S)$ and $B = \prod_{i=0}^n U_i$ be a factorization into atoms. Without restriction let $U_0 \notin \mathcal{A}(Q)$. Thus $U_0 = S'F'$ with $1 \neq S' \mid S$ and $F' \in \mathcal{F}(Q)$. It follows that $\sigma(S') \in G'$ and therefore $S' = S$. Moreover, F' is zero-sumfree and thus uniquely determined. Since $U_0^{-1}B \in \mathcal{B}(Q)$ and $\mathcal{B}(Q)$ is factorial, the atoms U_1, \dots, U_n are uniquely determined as well and $|Z(B)| = 1$. \square

The following example shows that there exist groups for which the bound in (1) yields better estimates than the one in (2), and vice versa.

EXAMPLE 7.2. Let $n = \prod_{i=1}^r p_i^{m_i}$ with $m_i \in \mathbb{N}$ and different primes p_i . First we note that if $p_i^{m_i} = 2$ for some $i \in [1, r]$, then the lower bounds for C_n are equal to those for $C_{\frac{n}{2}}$. Thus we assume that $p_i^{m_i} \neq 2$ for $i \in [1, r]$.

By Proposition 7.1.(1) we get

$$b_1(C_n) \geq \sum_{i=1}^r (p^{m_i} + p^{m_i-1} - 2),$$

but (2) yields

$$b_1(C_n) \geq D\left(\bigoplus_{i=1}^r C_{p_i^{m_i-1}}\right) = D\left(C_{\prod_{i=1}^r p_i^{m_i-1}}\right) = \prod_{i=1}^r p_i^{m_i-1}.$$

Thus depending on n either the former or the latter estimate is better.

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