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*Czechoslovak Mathematical Journal*, Vol. 51 (2001), No. 3, 505–522

Persistent URL: <http://dml.cz/dmlcz/127666>

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MEASURE OF NONCOMPACTNESS OF LINEAR OPERATORS  
BETWEEN SPACES OF SEQUENCES THAT ARE  $(\bar{N}, q)$   
SUMMABLE OR BOUNDED

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(Received August 26, 1997)

*Abstract.* In this paper we investigate linear operators between arbitrary BK spaces  $X$  and spaces  $Y$  of sequences that are  $(\bar{N}, q)$  summable or bounded. We give necessary and sufficient conditions for infinite matrices  $A$  to map  $X$  into  $Y$ . Further, the Hausdorff measure of noncompactness is applied to give necessary and sufficient conditions for  $A$  to be a compact operator.

*Keywords:* BK spaces, bases, matrix transformations, measure of noncompactness

*MSC 2000:* 40H05, 46A45, 47B07

## 1. INTRODUCTION AND WELL-KNOWN RESULTS

We write  $\omega$  for the set of all complex sequences  $x = (x_k)_{k=0}^{\infty}$  and  $\varphi$ ,  $l_{\infty}$ ,  $c$  and  $c_0$  for the sets of all finite, bounded, convergent sequences and sequences convergent to naught, respectively, and finally, for  $1 \leq p < \infty$ ,

$$l_p = \left\{ x \in \omega : \sum_{k=0}^{\infty} |x_k|^p < \infty \right\}.$$

By  $e$  and  $e^{(n)}$  ( $n = 0, 1, \dots$ ), we denote the sequences such that  $e_k = 1$  for  $k = 0, 1, \dots$ , and  $e_n^{(n)} = 1$  and  $e_k^{(n)} = 0$  for  $k \neq n$ .

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This joint research work was completed while the first author visited the University of Niš, Yugoslavia. He expresses his sincere gratitude to DAAD (German Academic Exchange Service), and the University of Niš for their financial support.

The work of the second author is supported by the Science Fund of Serbia, grant number 04M03, through Matematički Institut.

A *BK space* is a Banach sequence space with continuous coordinates.

A sequence  $(b_n)_{n=0}^\infty$  in a linear metric space  $X$  is called a (*Schauder*) *basis* if for each  $x \in X$  there exists a unique sequence  $(\lambda_n)_{n=0}^\infty$  of scalars such that  $x = \sum_{n=0}^\infty \lambda_n b_n$ .

A BK space  $X \supset \varphi$  is said to have *AK* if every sequence  $x = (x_k)_{k=0}^\infty \in X$  has a unique representation  $x = \sum_{n=0}^\infty x_n e^{(n)}$ .

Let  $A = (a_{nk})_{n,k=0}^\infty$  be an infinite matrix of complex numbers and  $x \in \omega$ . Then we write

$$A_n(x) = \sum_{k=0}^\infty a_{nk} x_k, \quad (n = 0, 1, \dots) \quad \text{and} \quad A(x) = (A_n(x))_{n=0}^\infty.$$

For any subset  $X$  of  $\omega$ , the set

$$X_A = \{x \in \omega : A(x) \in X\}$$

is called the *matrix domain of A in X*. For instance, if  $E$  is the matrix defined by  $e_{nk} = 1$  ( $0 \leq k \leq n$ ) and  $e_{nk} = 0$  ( $k > n$ ) for all  $n = 0, 1, \dots$ , then  $cs = c_E$  and  $bs = (l_\infty)_E$  are the sets of convergent and bounded series.

## 2. SETS OF SEQUENCES THAT ARE $(\overline{N}, q)$ -SUMMABLE OR BOUNDED AND THEIR $\beta$ -DUALS

Let  $(q_k)_{k=0}^\infty$  be a positive sequence and  $Q$  the sequence with  $Q_n = \sum_{k=0}^n q_k$  ( $n = 0, 1, \dots$ ).

Further, let the matrix  $\overline{N}_q$  be defined by

$$(\overline{N}_q)_{n,k} = \begin{cases} \frac{q_k}{Q_n} & (0 \leq k \leq n) \\ 0 & (k > n) \end{cases} \quad (n = 0, 1, \dots).$$

Then we define sets

$$(\overline{N}, q)_0 = (c_0)_{\overline{N}_q}, \quad (\overline{N}, q) = (c)_{\overline{N}_q} \quad \text{and} \quad (\overline{N}, q)_\infty = (l_\infty)_{\overline{N}_q}$$

of sequences that are  $(\overline{N}, q)$  *summable to naught*, *summable* and *bounded*, respectively.

**Proposition 2.1.** (cf. [2, Corollary 1]) *Each of the sets  $(\overline{N}, q)_0$ ,  $(\overline{N}, q)$  and  $(\overline{N}, q)_\infty$  is a BK space with respect to the norm  $\|\cdot\|_{\overline{N}_q}$  defined by*

$$\|x\|_{\overline{N}_q} = \sup_n \left| \frac{1}{Q_n} \sum_{k=0}^n q_k x_k \right|.$$

Further, if  $Q_n \rightarrow \infty$  ( $n \rightarrow \infty$ ), then  $(\overline{N}, q)_0$  has AK, and every sequence  $x = (x_k)_{k=0}^\infty \in (\overline{N}, q)$  has a unique representation

$$x = le + \sum_{k=0}^{\infty} (x_k - l)e^{(k)} \quad \text{where } l \in \mathbb{C} \text{ is such that } x - le \in (\overline{N}, q)_0.$$

We need the following notations:

For any two sequences  $x$  and  $y$ , let  $xy = (x_k y_k)_{k=0}^\infty$ .

If  $X$  and  $Y$  are arbitrary subsets of  $\omega$  and  $z$  is any sequence, then we write

$$z^{-1} * X = \{x \in \omega : xz \in X\} \quad \text{and} \quad M(X, Y) = \bigcap_{x \in X} x^{-1} * Y.$$

In the special case, when  $Y = cs$ , the set

$$X^\beta = M(X, cs) = \left\{ a \in \omega : \sum_{k=0}^{\infty} a_k x_k \text{ converges for all } x \in X \right\}$$

is called the  $\beta$ -dual of  $X$ . By  $\mathcal{U}$  we denote the set of all sequences  $u$  such that  $u_k \neq 0$  ( $k = 0, 1, \dots$ ). For  $u \in \mathcal{U}$ , let  $1/u = (1/u_k)_{k=0}^\infty$ . Finally, let the operator  $\Delta^+ : \omega \rightarrow \omega$  be defined by

$$\Delta^+ x = ((\Delta^+ x)_k)_{k=0}^\infty = (x_k - x_{k+1})_{k=0}^\infty.$$

**Proposition 2.2.** (cf. [2, Theorem 6]) We put

$$\begin{aligned} \mathcal{N}_0 &= (1/q)^{-1} * ((Q^{-1} * l_1)_{\Delta^+} \cap (Q^{-1} * l_\infty)) \\ &= \left\{ a \in \omega : \sum_{k=0}^{\infty} Q_k \left| \frac{a_k}{q_k} - \frac{a_{k+1}}{q_{k+1}} \right| < \infty \text{ and } Qa/q \in l_\infty \right\}, \\ \mathcal{N} &= (1/q)^{-1} * ((Q^{-1} * l_1)_{\Delta^+} \cap (Q^{-1} * c)) \end{aligned}$$

and

$$\mathcal{N}_\infty = (1/q)^{-1} * ((Q^{-1} * l_1)_{\Delta^+} \cap (Q^{-1} * c_0)).$$

Then  $(\overline{N}, q)_0^\beta = \mathcal{N}_0$ ,  $(\overline{N}, q)^\beta = \mathcal{N}$  and  $(\overline{N}, q)_\infty^\beta = \mathcal{N}_\infty$ .

### 3. MATRIX TRANSFORMATIONS

Let  $X$  and  $Y$  be two Banach spaces. By  $B(X, Y)$ , we denote the set of all continuous linear operators from  $X$  into  $Y$ , and we write

$$\|L\| = \sup\{\|L(x)\|: \|x\| = 1\}$$

for the operator norm of  $L$ . In the special case when  $Y = \mathbb{C}$ , the complex numbers, we write  $X^* = B(X, \mathbb{C})$  for the set of all continuous linear functionals on  $X$ , and

$$\|f\| = \sup\{|f(x)|: \|x\| = 1\} \quad (f \in X^*)$$

for the norm of the continuous linear functional  $f$ .

If  $X$  is a BK space and  $a \in \omega$ , then we put

$$\|a\|^* = \sup\left\{\left|\sum_{k=0}^{\infty} a_k x_k\right|: \|x\| = 1\right\}$$

provided the term on the right exists and is finite. This is the case whenever  $a \in X^\beta$  (cf. [10, Theorem 7.2.9, p. 107]).

**Proposition 3.1.** *On any of the spaces  $(\bar{N}, q)_0^\beta$ ,  $(\bar{N}, q)^\beta$  and  $(\bar{N}, q)_\infty^\beta$ , we have*

$$\|a\|^* = \sup_n \left( \sum_{k=0}^{n-1} Q_k \left| \frac{a_k}{q_k} - \frac{a_{k+1}}{q_{k+1}} \right| + \left| \frac{a_n Q_n}{q_n} \right| \right).$$

*Proof.* Given any sequence  $x$  we write

$$x^{[n]} = \sum_{k=0}^n x_k e^{(k)} \quad \text{and} \quad \tau_k^{[n]} = \tau_k(x^{[n]}) = \frac{1}{Q_k} \sum_{j=0}^k q_j x_j^{[n]} \quad (k, n = 0, 1, \dots).$$

Let  $a \in \mathcal{N}_0$  and let  $n$  be a nonnegative integer. We define the sequence  $b^{[n]}$  by

$$b_k^{[n]} = \begin{cases} Q_k \Delta^+(a/q)_k & (0 \leq k \leq n) \\ \frac{a_n Q_n}{q_n} & (k = n) \\ 0 & (k > n) \end{cases}$$

and put

$$\|a\|_{\mathcal{N}} = \sup_n \|b^{[n]}\|_1 = \sup_n \left( \sum_{k=0}^{\infty} |b_k^{[n]}| \right).$$

Then

$$\begin{aligned} \left| \sum_{k=0}^{\infty} a_k x_k^{[n]} \right| &= \left| \sum_{k=0}^n \frac{a_k}{q^k} \Delta(Q\tau^{[n]})_k \right| \leq \sum_{k=0}^{n-1} \left| Q_k \tau_k^{[n]} \Delta^+(a/q)_k \right| + \left| \frac{a_n Q_n}{q_n} \right| |\tau_n^{[n]}| \\ &\leq \sup_k |\tau_k^{[n]}| \cdot \left( \sum_{k=0}^{n-1} |Q_k \Delta^+(a/q)_k| + \left| \frac{a_n Q_n}{q_n} \right| \right) \\ &= \|x^{[n]}\|_{\overline{N}_q} \|b^{[n]}\|_1 = \|a\|_{\mathcal{N}} \|x^{[n]}\|_{\overline{N}_q}. \end{aligned}$$

Thus

$$(3.1) \quad \|a\|^* \leq \|a\|_{\mathcal{N}}.$$

To prove the converse inequality let  $n$  be an arbitrary integer. We define the sequence  $x^{(n)}$  by

$$\tau_k(x^{(n)}) = \text{sign}(b_k^{[n]}) \quad (k = 0, 1, \dots).$$

Then

$$\tau_k(x^{(n)}) = 0 \text{ for } k > n, \text{ i. e. } x^{(n)} \in (\overline{N}, q)_0, \quad \|x^{(n)}\|_{\overline{N}_n} = \|\tau(x^{(n)})\|_{\infty} \leq 1$$

and

$$\left| \sum_{k=0}^{\infty} a_k x_k^{(n)} \right| = \left| \sum_{k=0}^n b_k^{[n]} x_k^{(n)} \right| = \sum_{k=0}^n |b_k^{[n]}| \leq \|a\|^*.$$

Since  $n$  was arbitrary, we have

$$(3.2) \quad \|a\|_{\mathcal{N}} \leq \|a\|^*.$$

Now inequalities (3.1) and (3.2) yield the conclusion.  $\square$

If  $A$  is an infinite matrix of complex numbers, then we write  $A_n$  for the sequence in the  $n^{\text{th}}$  row of  $A$ . For any two subsets  $X$  and  $Y$  of  $\omega$ ,  $(X, Y)$  denotes the class of all infinite matrices that map  $X$  into  $Y$ . Thus  $A \in (X, Y)$  if and only if  $A_n \in X^\beta$  for all  $n$ , and  $A(x) \in Y$  for all  $x \in X$ .

The following results are well known.

**Proposition 3.2.** (cf. [7, Theorem 1]) *Let  $X$  and  $Y$  be BK spaces. Then  $(X, Y) \subset B(X, Y)$ , i. e. every  $A \in (X, Y)$  defines an element  $L_A \in B(X, Y)$  where*

$$L_A(x) = A(x) \quad (x \in X).$$

Further,  $A \in (X, l_\infty)$  if and only if

$$\|A\|^* = \sup_n \|A_n\|^* = \|L_A\| < \infty.$$

Finally, if  $(b^{(k)})_{k=0}^\infty$  is a basis of  $X$ ,  $Y$  and  $Y_1$  are FK spaces with  $Y_1$  a closed subspace of  $Y$ , then  $A \in (X, Y_1)$  if and only if  $A \in (X, Y)$  and  $A(b^{(k)}) \in Y_1$  for all  $k = 0, 1, \dots$

**Proposition 3.3.** (cf. [8, Proposition 3.4]) *Let  $T$  be a triangle.*

- (a) *Then, for arbitrary subsets  $X$  and  $Y$  of  $\omega$ ,  $A \in (X, Y_T)$  if and only if  $B = TA \in (X, Y)$ .*  
 (b) *Further, if  $X$  and  $Y$  are BK spaces and  $A \in (X, Y_T)$ , then*

$$(3.3) \quad \|L_A\| = \|L_B\|.$$

As a corollary of Propositions 3.1 and 3.2, we obtain

**Corollary 3.4.** *Let  $q = (q_k)_{k=0}^\infty$  be a positive sequence and  $Q_n = \sum_{k=0}^n q_k \rightarrow \infty$  ( $n \rightarrow \infty$ ).*

- (a) *Then  $A \in ((\overline{N}, q)_\infty, l_\infty)$  if and only if*

$$(3.4) \quad M((\overline{N}, q)_\infty, l_\infty) = \sup_{m,n} \left( \sum_{k=0}^{m-1} Q_k \left| \frac{a_{nk}}{q_k} - \frac{a_{n,k+1}}{q_{k+1}} \right| + |Q_m a_{nm} / q_m| \right) < \infty$$

and

$$(3.5) \quad A_n Q / q \in c_0 \quad \text{for all } n = 0, 1, \dots$$

- (b) *Then  $A \in ((\overline{N}, q), l_\infty)$  if and only if condition (3.4) holds and*

$$(3.6) \quad A_n Q / q \in c \quad \text{for all } n = 0, 1, \dots$$

- (c) *Then  $A \in ((\overline{N}, q)_0, l_\infty)$  if and only if condition (3.4) holds.*

- (d) *Then  $A \in ((\overline{N}, q)_0, c_0)$  if and only if condition (3.4) holds and*

$$(3.7) \quad \lim_{n \rightarrow \infty} a_{nk} = 0 \quad \text{for all } k = 0, 1, \dots$$

- (e) *Then  $A \in ((\overline{N}, q)_0, c)$  if and only if condition (3.4) holds and*

$$(3.8) \quad \lim_{n \rightarrow \infty} a_{nk} = l_k \quad \text{for all } k = 0, 1, \dots$$

- (f) *Then  $A \in ((\overline{N}, q), c_0)$  if and only if conditions (3.4), (3.6) and (3.7) hold and*

$$(3.9) \quad \lim_{n \rightarrow \infty} \sum_{k=0}^{\infty} a_{nk} = 0.$$

- (g) *Then  $A \in ((\overline{N}, q), c)$  if and only if conditions (3.4), (3.5) and (3.8) hold and*

$$(3.10) \quad \lim_{n \rightarrow \infty} \sum_{k=0}^{\infty} a_{nk} = l.$$

As a corollary of Propositions 2.1 and 3.3, we obtain

**Corollary 3.5.** *Let  $X$  be a BK space,  $(p_k)_{k=0}^\infty$  a positive sequence and  $P_n = \sum_{k=0}^n p_k$  ( $n = 0, 1, \dots$ ). Then  $A \in (X, (\overline{N}, p)_\infty)$  if and only if*

$$(3.11) \quad M(X, (\overline{N}, p)_\infty) = \sup_m \left\| \frac{1}{P_m} \sum_{n=0}^m p_n A_n \right\|^* < \infty.$$

Further, if  $(b^{(k)})_{k=0}^\infty$  is a basis of  $X$ , then  $A \in (X, (\overline{N}, p)_0)$  if and only if condition (3.11) holds and

$$(3.12) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n A_n(b^{(k)}) \right) = 0 \quad \text{for all } k = 0, 1, \dots,$$

and  $A \in (X, (\overline{N}, p))$  if and only if condition (3.12) holds and

$$(3.13) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n A_n(b^{(k)}) \right) = l_k \quad \text{for all } k = 0, 1, \dots$$

**Remark 1.** (a) If  $X = l_r$  ( $1 \leq r < \infty$ ) and  $Y$  is any one of the spaces  $(\overline{N}, p)_\infty$ ,  $(\overline{N}, p)$  and  $(\overline{N}, p)_0$ , then the conditions for  $A \in (X, Y)$  follow from the respective ones in Corollary 3.5 by replacing the norm  $\|\cdot\|^*$  in condition (3.11) by the natural norm on  $l_s$  where  $s = \infty$  for  $r = 1$  and  $s = r/(r-1)$  for  $1 < r < \infty$ , i.e.

$$M(l_r, (\overline{N}, p)_\infty) = \begin{cases} \sup_{m,k} \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right| & (r = 1) \\ \sup_m \left( \sum_{k=0}^\infty \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right|^s \right)^{1/s} & (1 < r < \infty), \end{cases}$$

and by replacing the terms  $A_n(b^{(k)})$  in conditions (3.12) and (3.13) by the terms  $a_{nk}$ .

(b) We consider the conditions

$$(3.14) \quad M((\overline{N}, q)_\infty, (\overline{N}, p)_\infty) \\ = \sup_{m,n} \left( \sum_{k=0}^{n-1} Q_k \left| \frac{1}{P_m} \sum_{l=0}^m p_l (\Delta^+ A_l/q)_k \right| + \left| \frac{Q_n}{q_n P_m} \sum_{l=0}^m p_l a_{ln} \right| \right) < \infty,$$



$$(3.15) \quad \left( \frac{a_{nk}Q_k}{q_k} \right)_{k=0}^{\infty} \in c_0 \quad (n = 0, 1, \dots),$$

$$(3.16) \quad \left( \frac{a_{nk}Q_k}{q_k} \right)_{k=0}^{\infty} \in c \quad (n = 0, 1, \dots),$$

$$(3.17) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right) = 0 \quad (k = 0, 1, \dots),$$

$$(3.18) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right) = l_k \quad (k = 0, 1, \dots),$$

$$(3.19) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n \left( \sum_{k=0}^{\infty} a_{nk} \right) \right) = 0 \quad (k = 0, 1, \dots),$$

$$(3.20) \quad \lim_{m \rightarrow \infty} \left( \frac{1}{P_m} \sum_{n=0}^m p_n \left( \sum_{k=0}^{\infty} a_{nk} \right) \right) = l_k \quad (k = 0, 1, \dots).$$

Then

- $A \in ((\overline{N}, q)_{\infty}, (\overline{N}, p)_{\infty})$  if and only if (3.14) and (3.15);
- $A \in ((\overline{N}, q), (\overline{N}, p)_{\infty})$  if and only if (3.14) and (3.16);
- $A \in ((\overline{N}, q)_0, (\overline{N}, q)_{\infty})$  if and only if (3.14);
- $A \in ((\overline{N}, q)_0, (\overline{N}, p)_0)$  if and only if (3.14) and (3.17);
- $A \in ((\overline{N}, q)_0, (\overline{N}, p))$  if and only if (3.14) and (3.18);
- $A \in ((\overline{N}, q), (\overline{N}, p)_0)$  if and only if (3.14), (3.16), (3.17) and (3.19);
- $A \in ((\overline{N}, q), (\overline{N}, p))$  if and only if (3.14), (3.16), (3.18) and (3.20).

#### 4. MEASURE OF NONCOMPACTNESS AND TRANSFORMATIONS

If  $X$  and  $Y$  are metric spaces, then  $f: X \mapsto Y$  is a compact map if  $f(Q)$  is relatively compact (i.e., if the closure of  $f(Q)$  is a compact subset of  $Y$ ) subset of  $Y$  for each bounded subset  $Q$  of  $X$ . In this section we investigate, among other things, when in some special cases (see Corollary 4.3), an operator  $L_A$  is compact. Our investigations use the measure of noncompactness. Recall that if  $Q$  is a bounded subset of a metric space  $X$ , then the *Hausdorff measure of noncompactness* of  $Q$  is denoted by  $\chi(Q)$ , and

$$\chi(Q) = \inf\{\varepsilon > 0: Q \text{ has a finite } \varepsilon\text{-net in } X\}.$$

The function  $\chi$  is called the *Hausdorff measure of noncompactness*, and for its properties see [1], [3] or [9]. Denote by  $\overline{Q}$  the closure of  $Q$ . For the convenience of the

reader, let us mention the following facts: If  $Q$ ,  $Q_1$  and  $Q_2$  are bounded subsets of a metric space  $(X, d)$ , then

$$\begin{aligned} \chi(Q) = 0 &\iff Q \text{ is a totally bounded set,} \\ \chi(Q) &= \chi(\overline{Q}), \\ Q_1 \subset Q_2 &\implies \chi(Q_1) \leq \chi(Q_2), \\ \chi(Q_1 \cup Q_2) &= \max\{\chi(Q_1), \chi(Q_2)\}, \\ \chi(Q_1 \cap Q_2) &\leq \min\{\chi(Q_1), \chi(Q_2)\}. \end{aligned}$$

If our space  $X$  is a normed space, then the function  $\chi(Q)$  has some additional properties connected with the linear structure. We have e.g.

$$\begin{aligned} \chi(Q_1 + Q_2) &\leq \chi(Q_1) + \chi(Q_2), \\ \chi(\lambda Q) &= |\lambda| \chi(Q) \text{ for each } \lambda \in \mathbb{C}. \end{aligned}$$

If  $X$  and  $Y$  are normed spaces, then for  $A \in B(X, Y)$  the Hausdorff measure of noncompactness of  $A$ , denoted by  $\|A\|_\chi$ , is defined by  $\|A\|_\chi = \chi(AK)$ , where  $K = \{x \in X: \|x\| \leq 1\}$  is the unit ball in  $X$ . Further,  $A$  is compact if and only if  $\|A\|_\chi = 0$ , and  $\|A\|_\chi \leq \|A\|$ . Recall the following well known result (see e.g. [3, Theorem 6.1.1] or [1, 1.8.1]).

**Proposition 4.1.** *Let  $X$  be a Banach space with a Schauder basis  $\{e_1, e_2, \dots\}$ ,  $Q$  a bounded subset of  $X$ , and  $P_n: X \mapsto X$  the projector onto the linear span of  $\{e_1, e_2, \dots, e_n\}$ . Then*

$$(4.1) \quad \begin{aligned} \frac{1}{a} \limsup_{n \rightarrow \infty} \left( \sup_{x \in Q} \|(I - P_n)x\| \right) &\leq \chi(Q) \\ &\leq \inf_n \sup_{x \in Q} \|(I - P_n)x\| \leq \limsup_{n \rightarrow \infty} \left( \sup_{x \in Q} \|(I - P_n)x\| \right), \end{aligned}$$

where  $a = \limsup_{n \rightarrow \infty} \|I - P_n\|$ .

Let us mention that concerning the number  $a$  in Proposition 4.1, if  $X = c_0$ , then  $a = 1$ , but if  $X = c$ , then  $a = 2$  (see e.g. [3, p. 22]).

Concerning Corollary 3.4 and the measures of noncompactness we have

**Theorem 4.2.** *Let  $A$  be as in Corollary 3.4, and for any integer  $n, r, n > r$ , set*

$$(4.2) \quad \|A\|^{(r)} = \sup_{n > r} \sup_m \left( \sum_{k=0}^{m-1} Q_k \left| \frac{a_{nk}}{q_k} - \frac{a_{n,k+1}}{q_{k+1}} \right| + |Q_m a_{nm}/q_m| \right).$$

Let  $X$  be either  $(\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , and let  $A \in (X, c_0)$ . Then we have

$$(4.3) \quad \|L_A\|_\chi = \lim_{r \rightarrow \infty} \|A\|^{(r)}.$$

Let  $X$  be either  $(\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , and let  $A \in (X, c)$ . Then we have

$$(4.4) \quad \frac{1}{2} \cdot \lim_{r \rightarrow \infty} \|A\|^{(r)} \leq \|L_A\|_\chi \leq \lim_{r \rightarrow \infty} \|A\|^{(r)}.$$

Let  $X$  be either  $(\overline{N}, q)_0$ ,  $(\overline{N}, q)$  or  $X = (\overline{N}, q)_\infty$ , and let  $A \in (X, l_\infty)$ . Then we have

$$(4.5) \quad 0 \leq \|L_A\|_\chi \leq \lim_{r \rightarrow \infty} \|A\|^{(r)}.$$

*P r o o f.* Let us remark that the limits in (4.3), (4.4) and (4.5) exist. Set  $K = \{x \in X: \|x\| \leq 1\}$ . In the case  $A \in (X, c_0)$  for  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , by Proposition 4.1 we have

$$(4.6) \quad \|L_A\|_\chi = \chi(AK) = \lim_{r \rightarrow \infty} \left[ \sup_{x \in K} \|(I - P_r)Ax\| \right],$$

where  $P_r: c_0 \mapsto c_0$ ,  $r = 1, 2, \dots$ , is the projector on the first  $r + 1$  coordinates, i.e.,  $P_r(x) = (x_0, x_1, x_2, \dots, x_r, 0, 0, \dots)$ ,  $x = (x_k) \in c_0$  (let us remark that  $\|I - P_r\| = 1$ ,  $r = 0, 1, 2, \dots$ ). Further, by Proposition 3.2 and Corollary 3.4 we have

$$(4.7) \quad \|A\|^{(r)} = \sup_{x \in K} \|(I - P_r)Ax\|,$$

and by (4.6) we get (4.3). To prove (4.4) let us remark that every sequence  $x = (x_k)_{k=0}^\infty \in c$  has a unique representation

$$x = le + \sum_{k=0}^{\infty} (x_k - l)e^{(k)} \quad \text{where } l \in \mathbb{C} \text{ is such that } x - le \in c.$$

Let us define  $P_r: c \mapsto c$  by  $P_r(x) = le + \sum_{k=0}^r (x_k - l)e^{(k)}$ ,  $r = 0, 1, 2, \dots$ . It is easy to prove that  $\|I - P_r\| = 2$ ,  $r = 0, 1, 2, \dots$ . Now the proof of (4.4) is similar to the case (4.3), and we omit it. Let us prove (4.5). Define  $P_r: l_\infty \mapsto l_\infty$  by  $P_r(x) = (x_0, x_1, x_2, \dots, x_r, 0, 0, \dots)$ ,  $x = (x_k) \in l_\infty$ ,  $r = 0, 1, 2, \dots$ . It is clear that

$$AK \subset P_r(AK) + (I - P_r)(AK).$$

Now, by the elementary properties of the function  $\chi$  we have

$$\begin{aligned} \chi(AK) &\leq \chi(P_r(AK)) + \chi((I - P_r)(AK)) = \chi(I - P_r)(AK) \\ &\leq \sup_{x \in K} \|(I - P_r)Ax\|. \end{aligned}$$

Finally, by Proposition 3.2 and Corollary 3.4 we get (4.5). □

As a corollary of the above theorem, we have

**Corollary 4.3.** *Let  $A$  be as in Theorem 4.2. Then if  $A \in (X, c_0)$  for  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , or if  $A \in (X, c)$  for  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , then in all cases we have*

$$(4.8) \quad L_A \text{ is compact if and only if } \lim_{r \rightarrow \infty} \|A\|^{(r)} = 0.$$

Further, if  $A \in (X, l_\infty)$  for  $X = (\overline{N}, q)_0$ ,  $X = (\overline{N}, q)$  or  $X = (\overline{N}, q)_\infty$ , then we have

$$(4.9) \quad L_A \text{ is compact if } \lim_{r \rightarrow \infty} \|A\|^{(r)} = 0.$$

The following example shows that it is possible for  $L_A$  in (4.9) to be compact in the case  $\lim_{r \rightarrow \infty} \|A\|^{(r)} > 0$ , and hence in general in (4.9) we have just “if”.

**Example 4.4.** Let the matrix  $A$  be defined by  $A_n = e^{(0)}$  ( $n = 0, 1, \dots$ ) and  $q_n = 2^n$ ,  $n = 0, 1, 2, \dots$ . Then  $M((\overline{N}, q)_\infty, l_\infty) = \sup_n [1 + (2 - 2^{-n})] < 3$ , and by Corollary 3.4 we know that  $A \in ((\overline{N}, q)_\infty, l_\infty)$ . Further,

$$\|A\|^{(r)} = \sup_{n > r} \left[ 1 + \left( 2 - \frac{1}{2^n} \right) \right] = 3 - \frac{1}{2^{r+1}} \quad \text{for all } r,$$

whence

$$\lim_{r \rightarrow \infty} \|A\|^{(r)} = 3 > 0.$$

Since  $A(x) = x_0 e_0$  for all  $x \in (\overline{N}, q)_\infty$ ,  $L_A$  is a compact operator.

Now we continue with the following auxiliary result.

**Lemma 4.5.** *Let  $q_k > 0$  ( $k = 0, 1, \dots$ ) and  $Q_n = \sum_{k=0}^n q_k \rightarrow \infty$  ( $n \rightarrow \infty$ ). We put*

$$\tau_n(x) = \frac{1}{Q_n} \sum_{k=0}^n q_k x_k \quad \text{for all } x \in \omega.$$

Let  $r \geq 0$  and let the operators  $B^{(r,0)}: (\overline{N}, q)_0 \rightarrow (\overline{N}, q)_0$  and  $B^{(r)}: (\overline{N}, q) \rightarrow (\overline{N}, q)$  be defined by

$$(4.10) \quad B^{(r,0)}(x) = \sum_{k=r+1}^{\infty} x_k e^{(k)} \quad (x \in (\overline{N}, q)_0),$$

$$(4.11) \quad B^{(r)}(x) = \sum_{k=r+1}^{\infty} (x_k - l)e^{(k)} \quad (x \in (\bar{N}, q))$$

where  $l = \lim_{n \rightarrow \infty} \tau_n(x)$ . Then

$$(4.12) \quad \|B^{(r,0)}\| = 1 + \frac{Q_r}{Q_{r+1}}$$

and

$$(4.13) \quad \|B^{(r)}\| = 2.$$

*Proof.* First we show identity (4.12). Let  $x \in (\bar{N}, q)_0$ . Since

$$\tau_n(B^{(r,0)}(x)) = 0 \quad \text{for } 0 \leq n \leq r$$

and, for  $n \geq r+1$ ,

$$\begin{aligned} |\tau_n(B^{(r,0)}(x))| &= \left| \frac{1}{Q_n} \sum_{k=r+1}^n q_k x_k \right| = \left| \tau_n(x) - \frac{Q_r}{Q_n} \tau_r(x) \right| \\ &\leq \left( 1 + \frac{Q_r}{Q_{r+1}} \right) \|x\|_{(\bar{N}, q)_\infty}, \end{aligned}$$

it follows that

$$\|B^{(r,0)}(x)\|_{(\bar{N}, q)_\infty} \leq \left( 1 + \frac{Q_r}{Q_{r+1}} \right) \|x\|_{(\bar{N}, q)_\infty},$$

and consequently

$$(4.14) \quad \|B^{(r,0)}\| \leq 1 + \frac{Q_r}{Q_{r+1}}.$$

Defining the sequence  $x$  by

$$x_k = \begin{cases} -1 & (0 \leq k \leq r) \\ \frac{Q_r + Q_{r+1}}{q_{r+1}} & (k = r+1) \\ -\frac{Q_r + Q_{r+1}}{q_{r+2}} & (k = r+2) \\ 0 & (k \geq r+3), \end{cases}$$

we conclude

$$\begin{aligned}\tau_n(x) &= -1 \quad (0 \leq n \leq r), \\ \tau_{r+1}(x) &= -\frac{Q_r}{Q_{r+1}} + \frac{Q_r}{Q_{r+1}} + 1 = 1\end{aligned}$$

and

$$\begin{aligned}\tau_n(x) &= \frac{1}{Q_n} (-Q_r + Q_r + Q_{r+1} - (Q_r + Q_{r+1})) \\ &= -\frac{Q_r}{Q_n} \quad (n \geq r + 2).\end{aligned}$$

Since  $Q_n \rightarrow \infty$  ( $n \rightarrow \infty$ ), we have

$$x \in (\bar{N}, q)_0 \quad \text{and} \quad \|x\|_{(\bar{N}, q)_\infty} = 1.$$

Further,

$$\tau_{r+1}(B^{(r,0)}(x)) = \frac{1}{Q_{r+1}} (Q_r + Q_{r+1}) = 1 + \frac{Q_r}{Q_{r+1}}$$

and

$$\tau_n(B^{(r,0)}(x)) = 0 \quad \text{for } n \neq r + 1.$$

Therefore

$$\|B^{(r,0)}(x)\|_{(\bar{N}, q)_\infty} = 1 + \frac{Q_r}{Q_{r+1}} = \left(1 + \frac{Q_r}{Q_{r+1}}\right) \|x\|_{(\bar{N}, q)_\infty}$$

and

$$(4.15) \quad \|B^{(r,0)}\| \geq 1 + \frac{Q_r}{Q_{r+1}}.$$

Now (4.14) and (4.15) together yield identity (4.12). Now we prove identity (4.13). Let  $x \in (\bar{N}, q)$ . We have

$$\tau_n(B^{(r)}(x)) = 0 \quad \text{for } 0 \leq n \leq r$$

and, for  $n \geq r + 1$ ,

$$\begin{aligned}|\tau_n(B^{(r)}(x))| &= \left| \frac{1}{Q_n} \sum_{k=r+1}^n q_k(x_k - l) \right| = \left| \tau_n(x) - \frac{Q_r}{Q_n} \tau_r(x) - l + \frac{Q_r}{Q_n} l \right| \\ &\leq \left| 1 + \frac{Q_r}{Q_n} \right| \|x\|_{(\bar{N}, q)_\infty} + \left| 1 - \frac{Q_r}{Q_n} \right| |l|.\end{aligned}$$

Since  $|l| = \lim_{n \rightarrow \infty} |\tau_n(x)| \leq \|x\|_{(\bar{N}, q)_\infty}$ , we have

$$|\tau_n(B^{(r)}(x))| \leq 2\|x\|_{(\bar{N}, q)_\infty} \quad \text{for } n \geq r + 1,$$

and consequently

$$(4.16) \quad \|B^{(r)}\| \leq 2.$$

Defining the sequence  $x$  by

$$x_k = \begin{cases} -1 & (0 \leq k \leq r) \\ 2\frac{Q_{r+1}}{q_{r+1}} - 1 & (k = r + 1) \\ -1 & (k \geq r + 2), \end{cases}$$

we conclude

$$\begin{aligned} \tau_n(x) &= -1 \quad (0 \leq n \leq r), \\ \tau_{r+1}(x) &= \frac{1}{Q_{r+1}} (-Q_r + 2Q_r - q_{r+1}) = 1 \end{aligned}$$

and

$$\begin{aligned} \tau_n(x) &= \frac{1}{Q_n} \left( -Q_r + 2Q_{r+1} - \sum_{k=r+1}^n q_k \right) = \frac{1}{Q_n} (-Q_n + 2Q_{r+1}) \\ &= -1 + 2\frac{Q_{r+1}}{Q_n} \leq 1 \quad (n \geq r + 2). \end{aligned}$$

Hence

$$\|x\|_{(\bar{N}, q)_\infty} = 1 \quad \text{and} \quad \lim_{n \rightarrow \infty} \tau_n(x) = -1, \quad \text{i. e. } x \in (\bar{N}, q).$$

Finally,

$$\begin{aligned} \tau_n(B^{(r)}(x)) &= 0 \quad (0 \leq n \leq r) \\ \tau_{r+1}(B^{(r)}(x)) &= \frac{q_{r+1}}{Q_{r+1}} (x_{r+1} + 1) = 2 \end{aligned}$$

and

$$\tau_n(B^{(r)}(x)) = 2\frac{Q_{r+1}}{Q_n} \leq 2 \quad (n \geq r + 2).$$

This implies

$$(4.17) \quad \|B^{(r)}\| \geq 2.$$

Now (4.16) and (4.17) together yield (4.13). □

Concerning Corollary 3.5 and the measures of noncompactness we have

**Theorem 4.6.** *Let  $X$  be a BK space, let  $A$  be as in Corollary 3.5, and let  $P_m \rightarrow \infty$ , ( $m \rightarrow \infty$ ). Then for any integer  $m, r$ ,  $m > r$ , set*

$$(4.18) \quad \|A\|_{(\overline{N}, p)_\infty}^{(r)} = \sup_{m > r} \left\| \frac{1}{P_m} \sum_{n=0}^m p_n A_n \right\|^*.$$

Further, if  $X$  has a Schauder basis and  $A \in (X, (\overline{N}, p)_0)$ , then we have

$$(4.19) \quad \frac{1}{b} \cdot \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)_\infty}^{(r)} \leq \|L_A\|_\chi \leq \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)_\infty}^{(r)},$$

where  $b = \limsup_{n \rightarrow \infty} (2 - p_n/P_n)$ . If  $X$  has a Schauder basis and  $A \in (X, (\overline{N}, p))$  then we have

$$(4.20) \quad \frac{1}{2} \cdot \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)_\infty}^{(r)} \leq \|L_A\|_\chi \leq \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)_\infty}^{(r)}.$$

Finally, if  $A \in (X, (\overline{N}, p)_\infty)$ , then we have

$$(4.21) \quad 0 \leq \|L_A\|_\chi \leq \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)_\infty}^{(r)}.$$

*Proof.* Let us remark that the limits in (4.19), (4.20) and (4.21) exist. Set  $K = \{x \in X : \|x\| \leq 1\}$ . Suppose that  $A \in (X, (\overline{N}, p)_0)$ . Let  $B^{(r,0)}: (\overline{N}, p)_0 \mapsto (\overline{N}, p)_0$  be the projector defined in Lemma 4.5. Then by (4.12) we have that  $\|B^{(r,0)}\| = 2 - p_r/P_r$ . Now, to prove (4.19), by Propositions 2.1 and 4.1 we have

$$(4.22) \quad \frac{1}{b} \limsup_{r \rightarrow \infty} \left( \sup_{x \in K} \|B^{(r,0)} Ax\| \right) \leq \chi(AK) \leq \limsup_{r \rightarrow \infty} \left( \sup_{x \in K} \|B^{(r,0)} Ax\| \right),$$

where  $b = \limsup_{r \rightarrow \infty} \|B^{(r,0)}\|$ . Thus, since

$$\sup_{x \in K} \|B^{(r,0)} Ax\| = \|A\|_{(\overline{N}, p)_\infty}^{(r)},$$

we prove (4.19). To prove (4.20) let us remark (see Proposition 2.1) that  $(\overline{N}, p)$  has the Schauder basis  $e, e^{(k)}$ ,  $k = 0, 1, \dots$ , and every  $(x_k)_{k=0}^\infty \in (\overline{N}, q)$  has a unique representation

$$x = le + \sum_{k=0}^\infty (x_k - l)e^{(k)},$$



where  $l \in \mathbb{C}$  is such that  $x - le \in (\overline{N}, p)_0$ . Let  $B^{(r)}: (\overline{N}, p)_0 \mapsto (\overline{N}, p)_0$  be the projector defined by (see Lemma 4.5)

$$B^{(r)}(x) = \sum_{k=r+1}^{\infty} (x_k - l)e^{(k)}.$$

Then by (4.13) we have that  $\|B^{(r)}\| = 2$ . Now the proof of (4.20) is similar to the case (4.19), and we omit it. Let us prove (4.21). Define  $\mathcal{P}_r: (\overline{N}, p)_\infty \mapsto (\overline{N}, p)_\infty$  by  $\mathcal{P}_r(x) = (x_0, x_1, \dots, x_r, 0, 0, \dots)$ ,  $x = (x_i) \in (\overline{N}, p)_\infty$ ,  $r = 1, 2, \dots$ . It is clear that

$$AK \subset \mathcal{P}_r(AK) + (I - \mathcal{P}_r)(AK).$$

By Remark 1 (b) it follows that  $\mathcal{P}_r$  is a bounded operator, and since it has obviously finite-rank, it is a compact one. Now, by the elementary properties of the function  $\chi$  we have

$$(4.23) \quad \begin{aligned} \chi(AK) &\leq \chi(\mathcal{P}_r(AK)) + \chi((I - \mathcal{P}_r)(AK)) = \chi((I - \mathcal{P}_r)(AK)) \\ &\leq \sup_{x \in K} \|(I - \mathcal{P}_r)Ax\| = \|A\|_{(\overline{N}, p)_\infty}^{(r)}. \end{aligned}$$

□

As a corollary of the above theorem we have

**Corollary 4.7.** *Let  $X$  be a BK space and let  $A$  and  $\|A\|_{(\overline{N}, p)}^{(r)}$  be as in Theorem 4.6. If  $X$  has a Schauder basis, and either  $A \in (X, (\overline{N}, p)_0)$  or  $A \in (X, (\overline{N}, p))$ , then*

$$(4.24) \quad L_A \text{ is compact if and only if } \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)}^{(r)} = 0.$$

Further, if  $A \in (X, (\overline{N}, p)_\infty)$ , then we have

$$(4.25) \quad L_A \text{ is compact if } \lim_{r \rightarrow \infty} \|A\|_{(\overline{N}, p)}^{(r)} = 0.$$

Now, concerning Remark 1, we get several corollaries.

**Corollary 4.8.** *If either  $A \in (l^u, (\overline{N}, p)_0)$  ( $1 < u < \infty$ ), or  $A \in (l^u, (\overline{N}, p))$  ( $1 < u < \infty$ ), then*

$$(4.26) \quad \begin{aligned} &L_A \text{ is compact if and only if} \\ &\lim_{r \rightarrow \infty} \left[ \sup_{m > r} \left( \sum_{k=0}^{\infty} \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right|^v \right)^{1/v} \right] = 0, \quad v = u/(u-1). \end{aligned}$$

Further, if either  $A \in (l^1, (\overline{N}, p)_0)$  or  $A \in (l^1, (\overline{N}, p))$ , then

$$(4.27) \quad \begin{aligned} &L_A \text{ is compact if and only if} \\ &\lim_{r \rightarrow \infty} \left( \sup_{n > r, k} \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right| \right) = 0. \end{aligned}$$

If  $A \in (l^u, (\overline{N}, p))$  ( $1 < u < \infty$ ), then

$$(4.28) \quad \begin{aligned} &L_A \text{ is compact if} \\ &\lim_{r \rightarrow \infty} \left[ \sup_{m > r} \left( \sum_{k=0}^{\infty} \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right|^v \right)^{1/v} \right] = 0, \quad v = u/(u-1). \end{aligned}$$

Finally, if  $A \in (l^1, (\overline{N}, p))$ , then

$$(4.29) \quad \begin{aligned} &L_A \text{ is compact if} \\ &\lim_{r \rightarrow \infty} \left( \sup_{n > r, k} \left| \frac{1}{P_m} \sum_{n=0}^m p_n a_{nk} \right| \right) = 0. \end{aligned}$$

From Corollary 4.7, Proposition 3.3 and Remark 1 (b), we have

**Corollary 4.9.** *If  $A \in (X, (\overline{N}, p)_0)$  for  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , or if  $A \in (X, (\overline{N}, p))$  for  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , then in all cases we have*

$$(4.30) \quad \begin{aligned} &L_A \text{ is compact if and only if} \\ &\lim_{r \rightarrow \infty} \left[ \sup_{m > r, n} \left( \sum_{k=0}^{n-1} Q_k \left| \frac{1}{P_m} \sum_{l=0}^m p_l (\Delta^+ A_l / q)_k \right| + \left| \frac{Q_n}{q_n P_m} \sum_{l=0}^m p_l a_{ln} \right| \right) \right] = 0. \end{aligned}$$

Further, if  $A \in (X, (\overline{N}, p)_\infty)$  for  $X = (\overline{N}, q)_\infty$ ,  $X = (\overline{N}, q)_0$  or  $X = (\overline{N}, q)$ , then we have

$$(4.31) \quad \begin{aligned} &L_A \text{ is compact if} \\ &\lim_{r \rightarrow \infty} \left[ \sup_{m > r, n} \left( \sum_{k=0}^{n-1} Q_k \left| \frac{1}{P_m} \sum_{l=0}^m p_l (\Delta^+ A_l / q)_k \right| + \left| \frac{Q_n}{q_n P_m} \sum_{l=0}^m p_l a_{ln} \right| \right) \right] = 0. \end{aligned}$$

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