

Shinji Kawaguchi; Ryoken Sokei

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## Some relative properties on normality and paracompactness, and their absolute embeddings

SHINJI KAWAGUCHI\*, RYOKEN SOKEI

*Dedicated to Professor Takao Hoshina on his 60th birthday.*

*Abstract.* Paracompactness (= 2-paracompactness) and normality of a subspace  $Y$  in a space  $X$  defined by Arhangel'skii and Genedi [4] are fundamental in the study of relative topological properties ([2], [3]). These notions have been investigated by primary using of the notion of weak  $C$ - or weak  $P$ -embeddings, which are extension properties of functions defined in [2] or [18]. In fact, Bella and Yaschenko [8] characterized Tychonoff spaces which are normal in every larger Tychonoff space, and this result is essentially implied by their previous result in [8] on a corresponding case of weak  $C$ -embeddings. In this paper, we introduce notions of 1-normality and 1-collectionwise normality of a subspace  $Y$  in a space  $X$ , which are closely related to 1-paracompactness of  $Y$  in  $X$ . Furthermore, notions of quasi- $C^*$ - and quasi- $P$ -embeddings are newly defined. Concerning the result of Bella and Yaschenko above, by characterizing absolute cases of quasi- $C^*$ - and quasi- $P$ -embeddings, we obtain the following result: a Tychonoff space  $Y$  is 1-normal (or equivalently, 1-collectionwise normal) in every larger Tychonoff space if and only if  $Y$  is normal and almost compact. As another concern, we also prove that a Tychonoff (respectively, regular, Hausdorff) space  $Y$  is 1-metacompact in every larger Tychonoff (respectively, regular, Hausdorff) space if and only if  $Y$  is compact. Finally, we construct a Tychonoff space  $X$  and a subspace  $Y$  such that  $Y$  is 1-paracompact in  $X$  but not 1-subparacompact in  $X$ . This is a negative answer to a question of Qu and Yasui in [25].

*Keywords:* 1-paracompactness of  $Y$  in  $X$ , 2-paracompactness of  $Y$  in  $X$ , 1-collectionwise normality of  $Y$  in  $X$ , 2-collectionwise normality of  $Y$  in  $X$ , 1-normality of  $Y$  in  $X$ , 2-normality of  $Y$  in  $X$ , quasi- $P$ -embedding, quasi- $C$ -embedding, quasi- $C^*$ -embedding, 1-metacompactness of  $Y$  in  $X$ , 1-subparacompactness of  $Y$  in  $X$

*Classification:* Primary 54B10; Secondary 54B05, 54C20, 54C45, 54D15, 54D20

### 1. Introduction

Throughout this paper all spaces are assumed to be  $T_1$  and the symbol  $\gamma$  denotes an infinite cardinal.

As central notions in the study of relative topological properties which has been posed by Arhangel'skii and Genedi [4], and also in the subsequent articles [2], [3] by Arhangel'skii, we can mention those on relative normality and relative paracompactness.

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\*Corresponding author.

Let  $X$  be a space and  $Y$  a subspace of  $X$ . A subspace  $Y$  is said to be *normal* (respectively, *strongly normal*) in  $X$  if for each disjoint closed subsets  $F_0, F_1$  of  $X$  (respectively, of  $Y$ ), there exist disjoint open subsets  $G_0, G_1$  of  $X$  such that  $F_i \cap Y \subset G_i$  for  $i = 0, 1$ . A subspace  $Y$  is said to be 1- (respectively, 2-) *paracompact* in  $X$  if for every open cover  $\mathcal{U}$  of  $X$ , there exists a collection  $\mathcal{V}$  of open subsets of  $X$  with  $X = \bigcup \mathcal{V}$  (respectively,  $Y \subset \bigcup \mathcal{V}$ ) such that  $\mathcal{V}$  is a partial refinement of  $\mathcal{U}$  and  $\mathcal{V}$  is locally finite at each point of  $Y$ . Here,  $\mathcal{V}$  is said to be a *partial refinement* of  $\mathcal{U}$  if for each  $V \in \mathcal{V}$ , there exists a  $U \in \mathcal{U}$  containing  $V$ . The term “2-paracompact” is often shortened to “paracompact”. In the definition of 2-paracompactness of  $Y$  in  $X$  above, when we replace “open cover of  $X$ ” by “collection of open subsets of  $X$  with  $Y \subset \bigcup \mathcal{U}$ ”,  $Y$  is said to be *Aull-paracompact* in  $X$  ([3], [5]). The 1-paracompactness and Aull-paracompactness of  $Y$  in  $X$  need not imply each other ([5]), but each of them clearly implies 2-paracompactness of  $Y$  in  $X$ .

On the other hand, Hoshina and Yamazaki ([18]) introduced two relative notions called *collectionwise normality* and *strong collectionwise normality* of  $Y$  in  $X$  (see Section 2 for the definition), which imply normality and strong normality of  $Y$  in  $X$ , respectively. It is known that in case  $X$  is regular (more strictly,  $Y$  is strongly regular in  $X$  ([2])), 2-paracompactness of  $Y$  in  $X$  implies normality of  $Y$  in  $X$  ([4]); in fact, 2-paracompactness of  $Y$  in  $X$  implies collectionwise normality of  $Y$  in  $X$  (see Section 2). It will be also shown that in case  $Y$  is Hausdorff in  $X$ , Aull-paracompactness of  $Y$  in  $X$  implies strong collectionwise normality of  $Y$  in  $X$  (Lemma 2.6).

In view of these results, it is suggested to define suitable notions on relative normality and relative collectionwise normality which are closely related to 1-paracompactness. For this purpose, in Section 2 we define the notions of 1-normality and 1-collectionwise normality of  $Y$  in  $X$ , and prove several results including relations between these notions and those mentioned above. In particular, we show that in case of  $Y$  being strongly regular in  $X$ , 1- (respectively, 2-) paracompactness of  $Y$  in  $X$  implies 1- (respectively, 2-) collectionwise normality of  $Y$  in  $X$  (Proposition 2.5).

In Section 3, we are concerned to describe spaces that are 1-normal or 1-collectionwise normal in every larger space (that is, in every space containing  $Y$  as a subspace). Bella and Yaschenko [8] and Matveev et al. [24] proved a related theorem, where a Tychonoff space  $Y$  being normal in every larger Tychonoff (or every regular) space was characterized (Theorem 2.10). This result was implied by another theorem in [8] which describes a Tychonoff space  $Y$  being weakly  $C$ -embedded in every larger Tychonoff space (Theorem 2.8), where weak  $C$ -embedding is due to Arhangel'skii [2]. In [18], a characterization of weak  $C$ -embedding was given by extending collections of subsets.

Being motivated by this result, we define a new extension property called *quasi- $C^*$ -embedding* for a subspace  $Y$  of a space  $X$ . We characterize Tychonoff spaces  $Y$

which are quasi- $C^*$ -embedded in every larger Tychonoff space (Theorem 3.11). By virtue of this result, we establish a theorem that a Tychonoff space  $Y$  is 1-normal in every larger Tychonoff space if and only if  $Y$  is normal and almost compact. We also introduce “quasi- $P$ -embedding” and give a similar characterization for 1-collectionwise normality of  $Y$  in  $X$  (Corollary 3.12).

In Section 4, we consider 1-metacompactness defined by Kočinac [20]. Extending [16, Corollary 27] essentially, we obtain the following theorems; a Tychonoff (respectively, regular, Hausdorff) space  $Y$  is 1-metacompact in every larger Tychonoff (respectively, regular, Hausdorff) space  $X$  if and only if  $Y$  is compact (Theorems 4.2 and 4.3).

In the final section, on 1-subparacompactness defined by Qu and Yasui [25], we obtain a Tychonoff space  $X$  and a subspace  $Y$  such that  $Y$  is 1-paracompact, but not 1-subparacompact in  $X$ . This is a negative answer to a question in [25].

Other undefined notations and terminology are used as in [12].

## 2. Preliminaries and 1- or 2- (collectionwise) normality of a subspace in a space

Throughout this paper symbols  $\mathbb{R}$ ,  $\mathbb{N}$  and  $\mathbb{I}$  denote the set of real numbers, the set of natural numbers and the closed unit interval, respectively.

First let us recall some preliminary notions and facts. Let  $Y$  be a subspace of a space  $X$ . As is known,  $Y$  is said to be  $C^*$ - (respectively,  $C$ -) *embedded in  $X$*  if every bounded real-valued (respectively, real-valued) continuous function on  $Y$  is continuously extended over  $X$ . A subspace  $Y$  is said to be  $P^\gamma$ - (respectively,  $P$ -) *embedded in  $X$*  if every continuous  $\gamma$ -separable (respectively, continuous) pseudo-metric on  $Y$  is extended to a continuous pseudo-metric on  $X$  ([1]); a pseudo-metric  $d$  on  $Y$  is  $\gamma$ -*separable* if the pseudo-metric space  $(Y, d)$  has weight  $\leq \gamma$ . It is known that  $P^\omega$ -embedding is equal to  $C$ -embedding ([1]).

By [2],  $Y$  is said to be *weakly  $C$ -embedded in  $X$*  if for every real-valued continuous function  $f$  on  $Y$  there exists a real-valued function on  $X$  which is an extension of  $f$  and continuous at each point of  $Y$ . By [18],  $Y$  is said to be *weakly  $P^\gamma$ - (respectively, weakly  $P$ -) embedded in  $X$*  if every continuous  $\gamma$ -separable (respectively, continuous) pseudo-metric on  $Y$  is extended to a pseudo-metric on  $X$  which is continuous at each point of  $Y \times Y$ . Weak  $P^\omega$ -embedding is equal to weak  $C$ -embedding ([18]). A space  $X$  is  $\gamma$ -*collectionwise normal* if for every discrete collection  $\{E_\alpha \mid \alpha < \gamma\}$  of closed subsets there exists a pairwise disjoint collection  $\{G_\alpha \mid \alpha < \gamma\}$  of open subsets such that  $E_\alpha \subset G_\alpha$  for each  $\alpha < \gamma$ . Clearly,  $X$  is collectionwise normal if  $X$  is  $\gamma$ -collectionwise normal for every  $\gamma$ .

A subspace  $Y$  is said to be *Hausdorff in  $X$*  if for every two distinct points  $y_1, y_2$  of  $Y$ , there are disjoint open subsets  $U_1, U_2$  of  $X$  such that  $y_i \in U_i$  for  $i = 0, 1$ . A subspace  $Y$  is said to be *strongly regular in  $X$*  if for each  $x \in X$  and each closed subset  $F$  of  $X$  with  $x \notin F$ , there exist disjoint open subsets  $U, V$  of  $X$  such that  $x \in U$  and  $F \cap Y \subset V$ .

Following [18],  $Y$  is said to be  $\gamma$ -collectionwise normal (respectively, strongly  $\gamma$ -collectionwise normal) in  $X$  if for every discrete collection  $\{E_\alpha \mid \alpha < \gamma\}$  of closed subsets of  $X$  (respectively,  $Y$ ), there is a pairwise disjoint collection  $\{U_\alpha \mid \alpha < \gamma\}$  of open subsets of  $X$  such that  $E_\alpha \cap Y \subset U_\alpha$  (respectively,  $E_\alpha \subset U_\alpha$ ) for every  $\alpha < \gamma$ . As is easily seen, in case  $\gamma = \omega$  it is equivalent to say that  $Y$  is normal (respectively, strongly normal) in  $X$ . When  $Y$  is  $\gamma$ -collectionwise normal (respectively, strongly  $\gamma$ -collectionwise normal) in  $X$  for every  $\gamma$ , we say  $Y$  is collectionwise normal (respectively, strongly collectionwise normal) in  $X$ ; we see that collectionwise normality (respectively, strongly collectionwise normality) of  $Y$  in  $X$  is equal to being  $\alpha$ -CN (respectively,  $\gamma$ -CN) of  $Y$  in the sense of Aull [7].

Let  $X_Y$  denote the space obtained from the space  $X$ , with the topology generated by a subbase  $\{U \mid U \text{ is open in } X \text{ or } U \subset X \setminus Y\}$ . Hence, points in  $X \setminus Y$  are isolated and  $Y$  is closed in  $X_Y$ . Moreover,  $X$  and  $X_Y$  generate the same topology on  $Y$  ([12]). As is seen in [2], the space  $X_Y$  is often useful in discussing several relative topological properties. It is easy to see that  $Y$  is Hausdorff in  $X$  if and only if  $X_Y$  is Hausdorff. The following results given in [2], [18] are fundamental in the present paper; (a) $\Leftrightarrow$ (c) $\Leftrightarrow$ (e) in Lemma 2.1 have been already shown in [2].

**Lemma 2.1** ([2], [18]). *For a subspace  $Y$  of a space  $X$  the following statements are equivalent.*

- (a)  $Y$  is strongly normal in  $X$ .
- (b)  $Y$  is normal in  $G$  for every open subset  $G$  of  $X$  with  $Y \subset G$ .
- (c)  $X_Y$  is normal.
- (d)  $Y$  is normal in  $X_Y$ .
- (e)  $Y$  is normal itself and weakly  $C$ -embedded in  $X$ .

**Lemma 2.2** ([18]). *For a subspace  $Y$  of a space  $X$  the following statements are equivalent.*

- (a)  $Y$  is strongly  $\gamma$ -collectionwise normal in  $X$ .
- (b)  $Y$  is  $\gamma$ -collectionwise normal in  $G$  for every open subset  $G$  of  $X$  with  $Y \subset G$ .
- (c)  $X_Y$  is  $\gamma$ -collectionwise normal.
- (d)  $Y$  is  $\gamma$ -collectionwise normal in  $X_Y$ .
- (e)  $Y$  is  $\gamma$ -collectionwise normal itself and weakly  $P^\gamma$ -embedded in  $X$ .

We now introduce notions of 1- or 2- (collectionwise) normality of  $Y$  in  $X$ . We say that a subspace  $Y$  of a space  $X$  is 1- (respectively, 2-) normal in  $X$  if for each disjoint closed subsets  $F_0, F_1$  of  $X$  there exist open subsets  $G_0, G_1$  of  $X$  such that  $F_i \cap Y \subset G_i$  for  $i = 0, 1$  and  $\{G_0, G_1\}$  is discrete in  $X$  (i.e.  $\overline{G_0} \cap \overline{G_1} = \emptyset$ ) (respectively, discrete at each point of  $Y$  in  $X$  (i.e.  $\overline{G_0} \cap \overline{G_1} \cap Y = \emptyset$ )).

A subspace  $Y$  of a space  $X$  is 1- $\gamma$ - (respectively, 2- $\gamma$ -) collectionwise normal in  $X$  if for each discrete collection  $\{F_\alpha \mid \alpha < \gamma\}$  of closed subsets of  $X$  there exists a collection  $\{G_\alpha \mid \alpha < \gamma\}$  of open subsets of  $X$  such that  $F_\alpha \cap Y \subset G_\alpha$  for each

$\alpha < \gamma$  and  $\{G_\alpha \mid \alpha < \gamma\}$  is discrete in  $X$  (respectively, discrete at each point of  $Y$  in  $X$ ). If  $Y$  is 1- (respectively, 2-)  $\gamma$ -collectionwise normal in  $X$  for every  $\gamma$ ,  $Y$  is said to be 1- (respectively, 2-) *collectionwise normal* in  $X$ .

In the above definitions of 2-normality and 2- $\gamma$ -collectionwise normality of  $Y$  in  $X$ , it is easy to see that both  $\{G_1, G_2\}$  and  $\{G_\alpha \mid \alpha < \gamma\}$  can be taken to be disjoint. Therefore, 2- (collectionwise) normality of  $Y$  in  $X$  implies (collectionwise) normality of  $Y$  in  $X$ .

As was mentioned in the introduction, we have

**Proposition 2.3.** *Suppose  $Y$  is strongly regular in  $X$ . If  $Y$  is 1-paracompact in  $X$ , then  $Y$  is 1-collectionwise normal in  $X$ .*

PROOF: Assume  $Y$  is 1-paracompact in  $X$ . Let  $\{F_\alpha \mid \alpha \in \Omega\}$  be a discrete collection of closed subsets of  $X$ . Since  $Y$  is strongly regular in  $X$ , for each  $x \in X$  we can choose an open neighborhood  $U_x$  of  $x$  in  $X$  such that  $|\{\alpha \in \Omega \mid \overline{U_x} \cap Y \cap F_\alpha \neq \emptyset\}| \leq 1$ . Set  $\mathcal{U} = \{U_x \mid x \in X\}$ . Since  $\mathcal{U}$  is an open cover of  $X$  and  $Y$  is 1-paracompact in  $X$ , there exists an open cover  $\mathcal{V}$  of  $X$  such that  $\mathcal{V}$  refines  $\mathcal{U}$  and is locally finite at each point of  $Y$  in  $X$ . We put for  $\alpha \in \Omega$

$$G_\alpha = X \setminus \overline{\bigcup\{V \in \mathcal{V} \mid \overline{V} \cap Y \cap F_\alpha = \emptyset\}}.$$

Since  $Y \cap \overline{\bigcup\{V \in \mathcal{V} \mid \overline{V} \cap Y \cap F_\alpha = \emptyset\}} = Y \cap (\bigcup\{\overline{V} \in \mathcal{V} \mid \overline{V} \cap Y \cap F_\alpha = \emptyset\})$ , we have  $F_\alpha \cap Y \subset G_\alpha$  for each  $\alpha \in \Omega$ . Note that for  $V \in \mathcal{V}$ ,  $\overline{V} \cap Y \cap F_\alpha \neq \emptyset$  if  $G_\alpha \cap V \neq \emptyset$ . Hence  $\{G_\alpha \mid \alpha \in \Omega\}$  is discrete in  $X$ . Thus,  $Y$  is 1-collectionwise normal in  $X$ . This completes the proof.  $\square$

**Proposition 2.4.**<sup>†</sup> *Suppose  $Y$  is strongly regular in  $X$ . If  $Y$  is 2-paracompact in  $X$ , then  $Y$  is 2-collectionwise normal in  $X$ .*

PROOF: Assume  $Y$  is 2-paracompact in  $X$ . Let  $\{F_\alpha \mid \alpha \in \Omega\}$  and  $\mathcal{U}$  be the same as in the proof of Proposition 2.3. Take a collection  $\mathcal{V}$  of open subsets of  $X$  such that  $\mathcal{V}$  partially refines  $\mathcal{U}$ ,  $\mathcal{V}$  is locally finite at each point of  $Y$  in  $X$  and  $Y \subset \bigcup \mathcal{V}$ . Put

$$G_\alpha = \text{St}(F_\alpha \cap Y, \mathcal{V}) \setminus \overline{\bigcup\{V \in \mathcal{V} \mid \overline{V} \cap Y \cap F_\alpha = \emptyset\}}$$

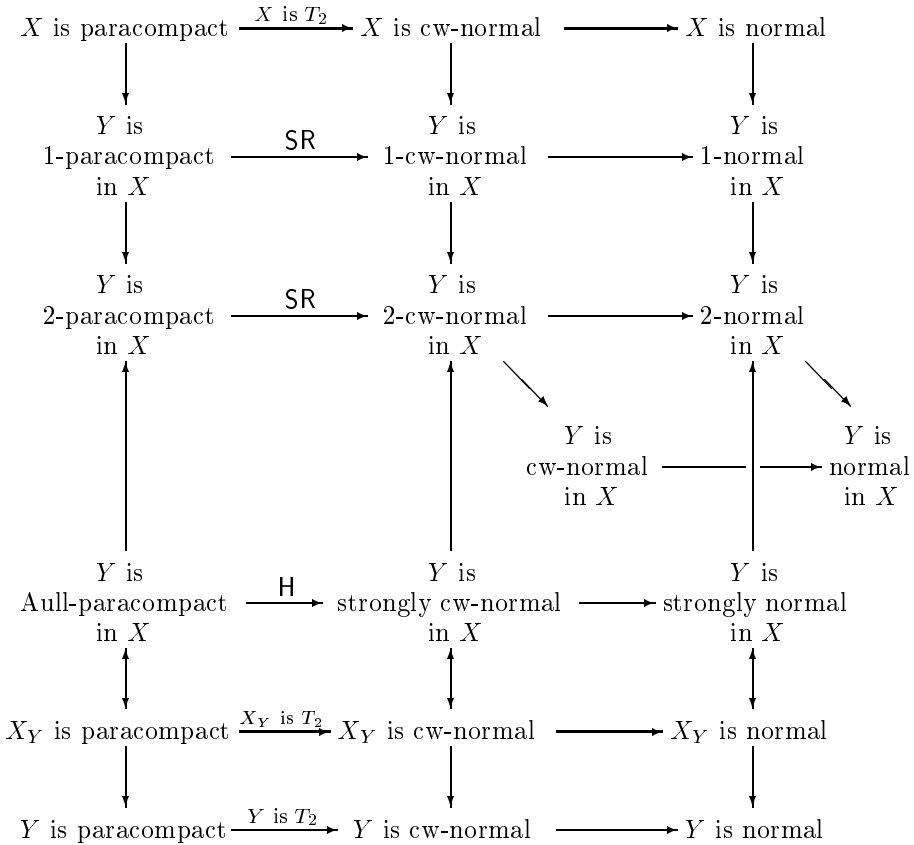
for each  $\alpha \in \Omega$ . Then  $\{G_\alpha \mid \alpha \in \Omega\}$  is the desired collection. Hence  $Y$  is 2-collectionwise normal in  $X$ , which completes the proof.  $\square$

These propositions and definitions above admit the following result; for brevity “cw-normal” means collectionwise normal. Moreover, the symbols “H” and “SR” mean the assumptions that “ $Y$  is Hausdorff in  $X$ ” and “ $Y$  is strongly regular in  $X$ ”, respectively. “ $T_2$ ” means “Hausdorff”.

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<sup>†</sup>This was independently proved by E. Grabner, G. Grabner, K. Miyazaki and J. Tartir, assuming that all spaces are Hausdorff.

**Proposition 2.5.** *For a subspace  $Y$  of a space  $X$  the following implications hold.*



PROOF: The implication “ $Y$  is 1- (respectively, 2-) paracompact in  $X \Rightarrow Y$  is 1- (respectively, 2-) collectionwise normal in  $X$ ” is Proposition 2.3 (respectively, Proposition 2.4).

The facts “ $Y$  is Aull-paracompact in  $X \Leftrightarrow X_Y$  is paracompact”, “ $Y$  is strongly collectionwise normal in  $X \Leftrightarrow X_Y$  is collectionwise normal” and “ $Y$  is strongly normal in  $X \Leftrightarrow X_Y$  is normal” were proved in [29], [18] and [2], respectively; the last two equivalences are available to prove immediately “ $Y$  is strongly collectionwise normal in  $X \Rightarrow Y$  is 2-collectionwise normal in  $X$ ” and “ $Y$  is strongly normal in  $X \Rightarrow Y$  is 2-normal in  $X$ ”, respectively.

For the implication “ $Y$  is Aull-paracompact in  $X \Rightarrow Y$  is strongly collectionwise normal”, see Proposition 2.7 below.

Other implications are obvious. □

The reverse implications in Proposition 2.5 will be discussed later (see Remark 3.5).

Corresponding to Lemmas 2.1 and 2.2 we have the following lemma: (a) $\Leftrightarrow$ (c) was recently obtained in [29], and (c) $\Leftrightarrow$ (e) for  $Y$  being Hausdorff in  $X$  was proved in [18, Lemma 4.6]. Other equivalences are easy to prove.

**Lemma 2.6.** *For a subspace  $Y$  of a space  $X$ , the following statements from (a) to (d) are equivalent. If  $Y$  is Hausdorff in  $X$ , these are equivalent to (e).*

- (a)  $Y$  is Aull-paracompact in  $X$ .
- (b)  $Y$  is 2-paracompact in  $G$  for every open subset  $G$  of  $X$  with  $Y \subset G$ .
- (c)  $X_Y$  is paracompact.
- (d)  $Y$  is 2-paracompact in  $X_Y$ .
- (e)  $Y$  is paracompact itself and weakly  $P$ -embedded in  $X$ .

Combining Lemmas 2.2 and 2.6, we have

**Proposition 2.7.** *Suppose  $Y$  is Hausdorff in  $X$ . If  $Y$  is Aull-paracompact in  $X$ , then  $Y$  is strongly collectionwise normal in  $X$ .*

A space  $X$  is *almost compact* if for every pair of disjoint zero-sets  $Z_0, Z_1$  in  $X$ , either  $Z_0$  or  $Z_1$  is compact. Note that a Tychonoff space  $X$  is almost compact if and only if  $|\beta X \setminus X| \leq 1$ , where  $\beta X$  is the Stone-Ćech compactification of  $X$ . As was mentioned in the introduction, Bella and Yaschenko [8] proved the following theorem.

**Theorem 2.8** ([8]). *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is weakly  $C$ -embedded in every larger Tychonoff (or equivalently, regular) space.
- (b)  $Y$  is weakly  $C$ -embedded in every larger Tychonoff (or equivalently, regular) space containing  $Y$  as a closed subspace.
- (c)  $Y$  is either Lindelöf or almost compact.

Theorem 2.8 was improved to the following.

**Theorem 2.9** ([18]). *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is weakly  $P^\gamma$ -embedded in every larger Tychonoff (or equivalently, regular) space.
- (b)  $Y$  is weakly  $P^\gamma$ -embedded in every larger Tychonoff (or equivalently, regular) space containing  $Y$  as a closed subspace.
- (c)  $Y$  is either Lindelöf or almost compact.

Using Theorem 2.8, Bella and Yaschenko [8] further proved the following theorem, which was independently proved by Matveev et al. [24]. As was pointed



out in [18], with Lemma 2.1, Theorem 2.10 directly follows from Theorem 2.8 for the case when  $Y$  is Tychonoff. For the case when  $Y$  is regular, since each of conditions from (a) to (d) induces normality of  $Y$  itself, Theorem 2.10 also follows from Lemma 2.1 and Theorem 2.8.

**Theorem 2.10** ([8], [24]). *For a Tychonoff (respectively, regular) space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is strongly normal in every larger Tychonoff (respectively, regular) space.
- (b)  $Y$  is normal in every larger Tychonoff (respectively, regular) space.
- (c)  $Y$  is normal in every larger Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (d)  $Y$  is either Lindelöf or normal and almost compact.

Similarly, Theorem 2.9 and Lemma 2.2 imply the following theorem. Notice that spaces satisfying (d) are collectionwise normal.

**Theorem 2.11** ([18]). *For a Tychonoff (respectively, regular) space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is strongly collectionwise normal in every larger Tychonoff (respectively, regular) space.
- (b)  $Y$  is collectionwise normal in every larger Tychonoff (respectively, regular) space.
- (c)  $Y$  is collectionwise normal in every larger Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (d)  $Y$  is either Lindelöf or normal and almost compact.

**Remark 2.12.** Combining Proposition 2.5 and Theorems 2.10, 2.11, it is clear that “strongly normal” (respectively, “strongly collectionwise normal”) can be replaced by “2-normal” (respectively, “2-collectionwise normal”) in Theorem 2.10 (respectively, Theorem 2.11).

Moreover, Theorem 2.9 and Lemma 2.6 imply the following theorem: (b) $\Leftrightarrow$ (c) $\Leftrightarrow$ (d) was actually obtained by Arhangel’skii and Genedi [4] and Gordienko [15] (see also [2, Theorems 52 and 53] or [3, Theorem 7.10]), (a) $\Leftrightarrow$ (d) was pointed out by Yamazaki in [29]. Note that almost compact paracompact Hausdorff spaces are Lindelöf.

**Theorem 2.13** ([4], [15], [29]). *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is Aull-paracompact in every larger Tychonoff (or equivalently, regular) space.
- (b)  $Y$  is 2-paracompact in every larger Tychonoff (or equivalently, regular) space.

- (c)  $Y$  is 2-paracompact in every larger Tychonoff (or equivalently, regular) space containing  $Y$  as a closed subspace.
- (d)  $Y$  is Lindelöf.

**Remark 2.14.** Yamazaki [28] showed that the following are equivalent for a Hausdorff space  $Y$ :

- (a)  $Y$  is weakly  $C$ -embedded (or equivalently, weakly  $P$ -embedded) in every larger Hausdorff space.
- (b)  $Y$  is weakly  $C$ -embedded (or equivalently, weakly  $P$ -embedded) in every larger Hausdorff space containing  $Y$  as a closed subspace.
- (c)  $Y$  is either compact or every continuous real-valued function on  $Y$  is constant.

Hence, applying Lemmas 2.1 and 2.2, if we replace all “Tychonoff” in Theorems 2.10, 2.11 and 2.13 by “Hausdorff”, the conditions (d) of each theorems are replaced by “ $Y$  is compact” (see also [28], [29]).

### 3. Quasi- $C^*$ -, $C$ - and $P^\gamma$ -embeddings

Let us introduce extension properties called quasi- $C^*$ -,  $C$ - and  $P$ -embeddings, which will play basic roles in study of 1- (collectionwise) normality.

Let  $X$  be a space and  $\mathcal{E} = \{E_\alpha \mid \alpha \in \Omega\}$  a collection of subsets of  $X$ . Then  $\mathcal{E}$  is said to be *uniformly discrete* in  $X$  if there exist a collection  $\{Z_\alpha \mid \alpha \in \Omega\}$  of zero-sets of  $X$  and a discrete collection  $\{G_\alpha \mid \alpha \in \Omega\}$  of cozero-sets of  $X$  such that  $E_\alpha \subset Z_\alpha \subset G_\alpha$  for each  $\alpha \in \Omega$  ([9]).

Let us now define that a subspace  $Y$  of a space  $X$  is *quasi- $C^*$ -embedded in  $X$*  if for each pair  $Z_0, Z_1$  of disjoint zero-sets of  $Y$ , there exist open subsets  $G_0, G_1$  of  $X$  such that  $\{G_0, G_1\}$  is discrete in  $X$  and  $Z_i \subset G_i$  for  $i = 0, 1$ .

A subspace  $Y$  of a space  $X$  is said to be *quasi- $P^\gamma$ -embedded in  $X$*  if for each uniformly discrete collection  $\{Z_\alpha \mid \alpha < \gamma\}$  of zero-sets of  $Y$ , there exists a discrete collection  $\{G_\alpha \mid \alpha < \gamma\}$  of open subsets of  $X$  such that  $Z_\alpha \subset G_\alpha$  for each  $\alpha < \gamma$ . A subspace  $Y$  is *quasi- $P$ -embedded in  $X$*  if  $Y$  is quasi- $P^\gamma$ -embedded in  $X$  for every  $\gamma$ . In case  $\gamma = \omega$ , quasi- $P^\omega$ -embedding is called *quasi- $C$ -embedding*.

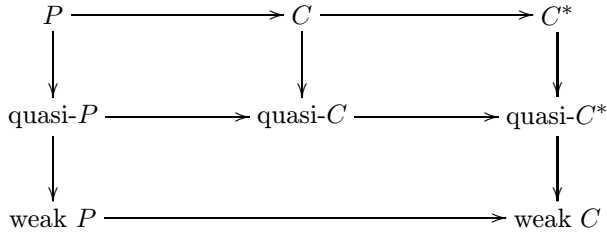
Definitions of quasi- $C^*$ -embedding and quasi- $P^\gamma$ -embedding should be compared with the following results obtained in [9], [18] and [19].

**Lemma 3.1** ([9]). *A subspace  $Y$  of a space  $X$  is  $P^\gamma$ -embedded in  $X$  if and only if every uniformly discrete collection of subsets of  $Y$  of cardinality  $\leq \gamma$  is also uniformly discrete in  $X$ .*

**Lemma 3.2** ([18]). *A subspace  $Y$  of a space  $X$  is weakly  $C$ -embedded in  $X$  if and only if for each pair  $Z_0, Z_1$  of disjoint zero-sets of  $Y$ , there exist disjoint open subsets  $G_0, G_1$  of  $X$  such that  $Z_i \subset G_i$  for  $i = 0, 1$ .*

**Lemma 3.3** ([19]). *A subspace  $Y$  of a space  $X$  is weakly  $P^\gamma$ -embedded in  $X$  if and only if for each uniformly discrete collection  $\{E_\alpha \mid \alpha < \gamma\}$  of zero-sets of  $Y$  there exists a pairwise disjoint collection  $\{G_\alpha \mid \alpha < \gamma\}$  of open subsets of  $X$  such that  $E_\alpha \subset G_\alpha$  for each  $\alpha < \gamma$ .*

By Lemmas 3.1, 3.2 and 3.3, we have the following implications.



The following examples show that none of reverse implications above is true.

**Example 3.4.** (1) Let  $X$  be the Tychonoff plank  $(\omega_1 + 1) \times (\omega + 1) \setminus \{\langle \omega_1, \omega \rangle\}$  and  $Y$  the right edge  $\{\langle \omega_1, n \rangle \mid n < \omega\}$  of  $X$ . Then  $Y$  is weakly  $P$ -embedded, closed, but not quasi- $C^*$ -embedded in  $X$ .

(2) Let  $X_i = ((\omega_1 + 1) \times (\omega_1 + 1) \setminus \{\langle \omega_1, \omega_1 \rangle\}) \times \{i\}$  for  $i = 0, 1$ . Let  $X$  be the space obtained from  $X_0 \oplus X_1$  by identifying two points  $\langle \omega_1, \alpha, 0 \rangle$  and  $\langle \omega_1, \alpha, 1 \rangle$  for each  $\alpha < \omega_1$ , and let  $q : X_0 \oplus X_1 \rightarrow X$  be the resulting quotient map. Let  $Y = q(\omega_1 \times \{\omega_1\} \times \{0, 1\})$ .

To prove that  $Y$  is quasi- $P$ -embedded in  $X$ , let  $\{E_\alpha \mid \alpha \in \Omega\}$  be a uniformly discrete collection of zero-sets of  $Y$ . Let  $E_\alpha^i = \{t \in \omega_1 \mid \langle t, \omega_1, i \rangle \in E_\alpha\}$  for each  $\alpha \in \Omega$  and  $i = 0, 1$ . Since  $Y$  is countably compact, we may assume  $\Omega$  is finite. Since  $\omega_1$  is normal, for  $i = 0, 1$ , there is a discrete collection  $\{V_\alpha^i \mid \alpha \in \Omega\}$  of open subsets of  $\omega_1$  such that  $E_\alpha^i \subset V_\alpha^i$  for every  $\alpha \in \Omega$  and  $i = 0, 1$ . Set for every  $\alpha \in \Omega$ ,

$$U_\alpha = q(\bigcup_{i=0,1} ((V_\alpha^i \times (\omega_1 + 1) \times \{i\}) \cap \{\langle \beta_1, \beta_2, i \rangle \mid \beta_1 < \beta_2 \leq \omega_1\})).$$

It is easy to see that  $\{U_\alpha \mid \alpha \in \Omega\}$  is a discrete collection of open subsets of  $X$  satisfying  $E_\alpha \subset U_\alpha$  for every  $\alpha \in \Omega$ . This shows  $Y$  is quasi- $P$ -embedded in  $X$ .

On the other hand,  $Y$  is not  $C^*$ -embedded in  $X$ .

(3) Let  $\Lambda = \beta\mathbb{R} \setminus (\beta\mathbb{N} \setminus \mathbb{N})$ . It is well-known that the subspace  $\mathbb{N}$  of the space  $\Lambda$  is  $C^*$ -embedded closed, but not  $C$ -embedded in  $\Lambda$  (see [13]). In fact,  $\mathbb{N}$  is not quasi- $C$ -embedded in  $\Lambda$ .

(4) Bing's example  $G$  [10] gives a normal space  $X$  containing a closed discrete subset  $F = \{f_\alpha \mid \alpha < \omega_1\}$  which admits no disjoint collection  $\{U_\alpha \mid \alpha < \omega_1\}$  of open subsets of  $X$  such that  $f_\alpha \in U_\alpha$  for every  $\alpha < \omega_1$ . Hence,  $F$  is  $C$ -embedded, but not weakly  $P$ -embedded in  $X$  ([18]).

**Remark 3.5.** Using Example 3.4, let us return to discuss reverse implications given in Proposition 2.5. First, observe Lemmas 2.1, 2.2 and also Proposition 3.6 below. A non-normal subspace  $Y$  of a paracompact Hausdorff space  $X$  is 1-paracompact, but not strongly normal in  $X$ .

In (1) of Example 3.4,  $Y$  is Aull-paracompact, but not 1-normal in  $X$ . Hence,  $Y$  is 2-paracompact, but not 1-collectionwise normal in  $X$ . In (3) of Example 3.4,  $\mathbb{N}$  is 1-normal but not  $1-\omega$ -collectionwise normal in  $\Lambda$ . In (4) of Example 3.4,  $F$  is 1-normal and strongly normal, but not collectionwise normal in  $X$ . Examples for other reverse implications are easy to see.

Yamazaki [30] constructed a  $T_1$ -space  $X$  and a subspace  $Y$  such that  $Y$  is normal in  $X$ , but not 2-normal in  $X$ . We do not know similar examples under higher separation axioms. Furthermore, it is unknown whether 2-normality implies  $2-\omega$ -collectionwise normality, or whether collectionwise normality implies 2-collectionwise normality.

**Proposition 3.6.** *For a subspace  $Y$  of a space  $X$ , the following statements hold. If  $Y$  is closed in  $X$ , each of them reverses.*

- (a) *If  $Y$  is itself  $\gamma$ -collectionwise normal and quasi- $P^\gamma$ -embedded in  $X$ , then  $Y$  is  $1-\gamma$ -collectionwise normal in  $X$ .*
- (b) *If  $Y$  is itself normal and quasi- $C^*$ -embedded in  $X$ , then  $Y$  is 1-normal in  $X$ .*

The proof of Proposition 3.6 is easy and omitted. Trivially, the reverse implications need not be true unless  $Y$  is closed.

In [6], Aull defined that a subspace  $Y$  of a space  $X$  is  $\alpha$ -paracompact in  $X$  if for every collection  $\mathcal{U}$  of open subsets of  $X$  with  $Y \subset \bigcup \mathcal{U}$ , there exists a collection  $\mathcal{V}$  of open subsets of  $X$  such that  $Y \subset \bigcup \mathcal{V}$ ,  $\mathcal{V}$  is a partial refinement of  $\mathcal{U}$  and  $\mathcal{V}$  is locally finite in  $X$ . Note that  $\alpha$ -paracompactness of  $Y$  in  $X$  implies Aull-paracompactness of  $Y$  in  $X$ , and the converse does not necessarily hold.

Related to  $\alpha$ -paracompactness, let us recall the following results in [21] and [22, Theorem 1.3].

**Theorem 3.7** ([21]). *A Hausdorff (respectively, regular, Tychonoff) space  $Y$  is  $\alpha$ -paracompact in every larger Hausdorff (respectively, regular, Tychonoff) space containing  $Y$  as a closed subspace if and only if  $Y$  is compact.*

**Theorem 3.8** ([22]). *For a closed subspace  $Y$  of a regular space  $X$ ,  $Y$  is 1-paracompact in  $X$  if and only if  $Y$  is  $\alpha$ -paracompact in  $X$ .*

Theorems 3.7 and 3.8 immediately induce the following:

**Corollary 3.9.** *For a Tychonoff (respectively, regular) space  $Y$ , the following statements are equivalent.*

- (a)  *$Y$  is 1-paracompact in every larger Tychonoff (respectively, regular) space.*

- (b)  $Y$  is  $\alpha$ -paracompact in every larger Tychonoff (respectively, regular) space.
- (c)  $Y$  is 1-paracompact in every larger Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (d)  $Y$  is  $\alpha$ -paracompact in every larger Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (e)  $Y$  is compact.

**Lemma 3.10.** *Let  $Y$  be an almost compact Tychonoff space. If  $Y$  is contained in a regular space  $X$ , then  $Y$  is quasi- $P$ -embedded in  $X$ .*

PROOF: Let  $\{Z_\alpha \mid \alpha \in \Omega\}$  be a uniformly discrete collection of zero-sets of  $Y$ . We may assume that  $\Omega = \{0, 1\}$  and  $Z_0$  is compact since  $Y$  is almost compact. Since  $X$  is regular, there exist open subsets  $G, H$  of  $X$  such that  $Z_0 \subset H \subset \overline{H} \subset G$  and  $\overline{G} \cap Z_1 = \emptyset$ . Then  $\{H, X \setminus \overline{G}\}$  is discrete in  $X$ . □

**Theorem 3.11.** *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is quasi- $P$ -embedded in every larger Tychonoff space.
- (a')  $Y$  is quasi- $P$ -embedded in every larger Tychonoff space containing  $Y$  as a closed subspace.
- (b)  $Y$  is quasi- $C$ -embedded in every larger Tychonoff space.
- (b')  $Y$  is quasi- $C$ -embedded in every larger Tychonoff space containing  $Y$  as a closed subspace.
- (c)  $Y$  is quasi- $C^*$ -embedded in every larger Tychonoff space.
- (c')  $Y$  is quasi- $C^*$ -embedded in every larger Tychonoff space containing  $Y$  as a closed subspace.
- (d)  $Y$  is almost compact.

In the above conditions from (a) to (c'), “Tychonoff” can be replaced by “regular”.

PROOF: Assume that  $Y$  is Tychonoff. Then, the implications (a) $\Rightarrow$ (a') $\Rightarrow$ (b') $\Rightarrow$ (c') and (a) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (c') are obvious. To complete the proof, we show the implications (c') $\Rightarrow$ (c) $\Rightarrow$ (d) $\Rightarrow$ (a).

(c') $\Rightarrow$ (c). Let  $X$  be a Tychonoff space containing  $Y$  as a subspace, and let  $Z_0, Z_1$  be disjoint zero-sets of  $Y$ . Let  $D(2^{|X|})$  denote the discrete space of cardinality  $2^{|X|}$ , and  $A(2^{|X|})$  the one-point compactification of  $D(2^{|X|})$ . Put  $W = (X \times A(2^{|X|})) \setminus ((X \setminus Y) \times \{\infty\})$ , where  $\infty$  is the non-isolated point of  $A(2^{|X|})$ . Then  $Y$  is homeomorphic to  $Y \times \{\infty\}$  which is a closed subset of  $W$ . By (c'), there exist open subsets  $G_0, G_1 \subset W$  with  $\{G_0, G_1\}$  discrete such that  $Z_i \subset G_i$  for  $i = 0, 1$ . For every  $a \in Z_0$  and  $b \in Z_1$ , take finite subsets  $E_a, F_b$  of  $D(2^{|X|})$  such that

$$\{a\} \times (D(2^{|X|}) \setminus E_a) \subset G_0 \quad \text{and} \quad \{b\} \times (D(2^{|X|}) \setminus F_b) \subset G_1.$$

Since the cardinality of  $(\bigcup_{a \in Z_0} E_a) \cup (\bigcup_{b \in Z_1} F_b)$  is less than  $2^{|X|}$ , we can take  $\alpha^* \in D(2^{|X|}) \setminus (\bigcup_{a \in Z_0} E_a \cup \bigcup_{b \in Z_1} F_b)$  so that  $Z_i \times \{\alpha^*\} \subset (X \times \{\alpha^*\}) \cap G_i$  for  $i = 0, 1$ . Then, the collection  $\{(X \times \{\alpha^*\}) \cap G_0, (X \times \{\alpha^*\}) \cap G_1\}$  is discrete in  $W$ . Define  $G'_i = \{x \in X \mid \langle x, \alpha^* \rangle \in G_i\}$  for  $i = 0, 1$ . Then,  $\{G'_0, G'_1\}$  is a discrete collection of open subsets in  $X$  and  $Z_i \subset G'_i$  for  $i = 0, 1$ .

(c) $\Rightarrow$ (d). Assume that  $Y$  is not almost compact. There exist disjoint zero sets  $Z_0, Z_1$  in  $Y$  such that neither  $Z_0$  nor  $Z_1$  is compact. Pick  $x_i \in \overline{Z_i}^{\beta Y} \setminus Z_i$  for  $i = 0, 1$ . Let  $X$  be the space obtained from  $\beta Y$  by identifying two points  $x_0$  and  $x_1$ , and let  $q : \beta Y \rightarrow X$  be the resulting quotient map. Then  $X$  is compact Hausdorff and  $Y$  is obviously a subspace of  $X$ . By assumption (c), there exist open subsets  $G_0, G_1$  of  $X$  such that  $\overline{G_0}^X \cap \overline{G_1}^X = \emptyset$  and  $Z_i = q(Z_i) \subset G_i$  for  $i = 0, 1$ . We may assume  $q(x_0) = q(x_1) \notin \overline{G_0}^X$ . Then  $X \setminus \overline{G_0}^X$  is an open neighborhood of  $q(x_0)$ . Hence,  $x_0 \notin \overline{Z_0}^{\beta Y}$ , a contradiction.

(d) $\Rightarrow$ (a) follows from Lemma 3.10.

In the case  $Y$  is embedded in every larger regular space, it suffices to show (d) $\Rightarrow$ (a), and this is obvious. □

By Proposition 3.6 and Theorem 3.11, we have

**Corollary 3.12.** *For a Tychonoff (respectively, regular) space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is 1-collectionwise normal in every larger Tychonoff (respectively, regular) space.
- (a')  $Y$  is 1-collectionwise normal in every larger Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (b)  $Y$  is 1-normal in every larger Tychonoff (respectively, regular) space.
- (b')  $Y$  is 1-normal in every Tychonoff (respectively, regular) space containing  $Y$  as a closed subspace.
- (c)  $Y$  is normal and almost compact.

In Corollary 3.12, (b') $\Leftrightarrow$ (c) also follows from the following result in [24].

**Theorem 3.13** ([24]). *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a) Any disjoint closed subsets of  $Y$  are completely separated in every larger Tychonoff space.
- (b) For any larger Tychonoff space  $X$  containing  $Y$  as a closed subspace and any disjoint closed subsets  $F_0, F_1$  of  $Y$ , there exist disjoint open subsets  $G_0, G_1$  of  $X$  such that  $F_i \subset G_i$  for  $i = 0, 1$  and  $\overline{G_0}^X \cap \overline{G_1}^X = \emptyset$ .
- (c)  $Y$  is normal and almost compact.

For the Hausdorff case, we have the following.

**Theorem 3.14.** *For a Hausdorff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is quasi- $C^*$ -embedded in every larger Hausdorff space.
- (b)  $Y$  is quasi- $C^*$ -embedded in every larger Hausdorff space containing  $Y$  as a closed subspace.
- (c) Every continuous real-valued function on  $Y$  is constant.

In (a) and (b), “quasi- $C^*$ -embedded” can be replaced by “quasi- $P$ -embedded” or “quasi- $C$ -embedded”.

PROOF: We only prove (b) $\Rightarrow$ (c). Suppose that there exists a continuous real-valued function  $f$  on  $Y$  such that  $f$  is not constant. Then we choose distinct points  $y_0, y_1$  in  $Y$  such that  $f(y_0) \neq f(y_1)$ .

Let  $X_i = ((\omega_1 + 1) \times (\omega + 1) \setminus \{(\omega_1, \omega)\}) \times \{i\}$  and  $q_i : X_i \rightarrow X_i / (\{\omega_1\} \times \omega \times \{i\})$  be the quotient map obtained by collapsing  $\{\omega_1\} \times \omega \times \{i\}$  to one point, for  $i = 0, 1$ . Let  $X$  be the space obtained from  $(X_0 / (\{\omega_1\} \times \omega \times \{0\})) \oplus (X_1 / (\{\omega_1\} \times \omega \times \{1\})) \oplus Y$  by identifying two points  $\langle \alpha, \omega, 0 \rangle$  and  $\langle \alpha, \omega, 1 \rangle$  for each  $\alpha < \omega_1$  and by identifying two points  $q_i(\{\omega_1\} \times \omega \times \{i\})$  and  $y_i$  for  $i = 0, 1$ . Then  $X$  is Hausdorff and  $Y$  is closed in  $X$ . Put  $Z_i = f^{-1}(f(y_i))$  for  $i = 0, 1$ . Then  $Z_0, Z_1$  are disjoint zero-sets of  $Y$ . By (b), there exist open subsets  $G_0, G_1 \subset X$  with  $\{G_0, G_1\}$  discrete such that  $Z_i \subset G_i$  for  $i = 0, 1$ . But, by the construction of  $X$ ,  $\overline{G_0}^X \cap \overline{G_1}^X \neq \emptyset$ , a contradiction. □

By Theorem 3.14 and Proposition 3.6, we have

**Corollary 3.15.** *For a Hausdorff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is 1-collectionwise normal (or equivalently, 1-normal) in every larger Hausdorff space.
- (b)  $Y$  is 1-collectionwise normal (or equivalently, 1-normal) in every larger Hausdorff space containing  $Y$  as a closed subspace.
- (c)  $|Y| \leq 1$ .

Finally we consider a condition under which 2-paracompactness implies 1-paracompactness. We say a subspace  $Y$  of a space  $X$  is  $T_4$ - (respectively,  $T_3$ -) embedded in  $X$  if for every closed subset  $F$  of  $X$  disjoint from  $Y$  (respectively,  $z \in X \setminus Y$ ),  $F$  (respectively,  $z$ ) and  $Y$  are separated by disjoint open subsets of  $X$ . The idea of these notions already appeared in Aull [6].

The following result refines Theorem 3.8; the implication “(b)  $\Rightarrow$   $Y$  is  $T_4$ -embedded in  $X$ ” is due to Aull [6, Theorem 6]. By using this fact, Lupiañez and Outereño [22, Lemma 1.2 and Theorem 1.3] essentially proved the implications (a) $\Rightarrow$ (c) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (a).

**Proposition 3.16.** *Let  $X$  be a space and  $Y$  a subspace. Then the following statements are equivalent.*

- (a)  $Y$  is 1-paracompact in  $X$  and  $T_3$ -embedded in  $X$ .
- (b)  $Y$  is  $\alpha$ -paracompact in  $X$  and for every  $y \in Y$  and every closed subset  $F$  of  $X$  with  $F \cap Y = \emptyset$ , there exists an open subset  $U$  of  $X$  such that  $y \in U \subset \overline{U}^X \subset X \setminus F$ .
- (c)  $Y$  is 2-paracompact in  $X$  and  $T_4$ -embedded in  $X$ .

**Corollary 3.17.** *A closed subspace  $Y$  of a regular space  $X$  is 1-paracompact in  $X$  if and only if  $Y$  is 2-paracompact in  $X$  and  $T_4$ -embedded in  $X$ .*

**Proposition 3.18.** *For a Tychonoff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is  $T_4$ -embedded in every larger Tychonoff space.
- (b)  $Y$  is  $T_4$ -embedded in every larger Tychonoff space containing  $Y$  as a closed subspace.
- (c)  $Y$  is compact.

In (a) and (b), “Tychonoff” can be replaced by “regular”.

PROOF: We only prove (b) $\Rightarrow$ (c).

Assume that  $Y$  is  $T_4$ -embedded in every larger Tychonoff space containing  $Y$  as a closed subspace and  $Y$  is not compact. Then pick  $x_0 \in \beta Y \setminus Y$ . Let  $D(2^{|\beta Y|})$  denote the discrete space of cardinality  $2^{|\beta Y|}$ , and  $A(2^{|\beta Y|})$  the one-point compactification of  $D(2^{|\beta Y|})$ . Put  $X = (\beta Y \times A(2^{|\beta Y|})) \setminus ((\beta Y \setminus Y) \times \{\infty\})$ , where  $\infty$  is the non-isolated point of  $A(2^{|\beta Y|})$ . Then  $Y$  is homeomorphic to  $Y \times \{\infty\}$  which is a closed subset of  $X$ . Put  $F = \{x_0\} \times D(2^{|\beta Y|})$ . Then  $F$  is a closed subset of  $X$  disjoint from  $Y$ . By (b), there exist disjoint open subsets  $G_0, G_1$  of  $X$  such that  $Y \subset G_0$  and  $F \subset G_1$ . For each  $y \in Y$ , take a finite subset  $E_y$  of  $D(2^{|\beta Y|})$  such that  $\{y\} \times (D(2^{|\beta Y|}) \setminus E_y) \subset G_0$ . Since the cardinality of  $\bigcup_{y \in Y} E_y$  is not greater than  $2^{|\beta Y|}$ , we can take  $\alpha^* \in D(2^{|\beta Y|}) \setminus \bigcup_{y \in Y} E_y$  so that  $Y \times \{\alpha^*\} \subset (\beta Y \times \{\alpha^*\}) \cap G_0$  and  $\langle x_0, \alpha^* \rangle \in (\beta Y \times \{\alpha^*\}) \cap G_1$ . Then,  $(\beta Y \times \{\alpha^*\}) \cap G_0$  and  $(\beta Y \times \{\alpha^*\}) \cap G_1$  are disjoint. Define  $G'_i = \{x \in \beta Y \mid \langle x, \alpha^* \rangle \in G_i\}$  for  $i = 0, 1$ . Then,  $G'_0, G'_1$  are disjoint open subsets of  $\beta Y$  with  $Y \subset G'_0$  and  $x_0 \in G'_1$ . This is a contradiction.  $\square$

Theorem 2.13, Propositions 3.17 and 3.18 give an alternative proof of Corollary 3.9.

In case  $Y$  is Hausdorff, we have

**Proposition 3.19.** *For a Hausdorff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is  $T_4$ -embedded in every larger Hausdorff space.



- (b)  $Y$  is  $T_4$ -embedded in every larger Hausdorff space containing  $Y$  as a closed subspace.
- (c)  $Y = \emptyset$ .

PROOF: We only prove (b) $\Rightarrow$ (c). Assume  $Y \neq \emptyset$ . Take  $y \in Y$  and let  $T = (\omega_1 + 1) \times (\omega + 1) \setminus \{(\omega_1, \omega)\}$ . Consider the quotient space  $Z$  obtained from  $T \oplus Y$  by identifying the right edge  $\{\omega_1\} \times \omega$  of  $T$  and  $y$  to one point. Let  $q : T \oplus Y \rightarrow Z$  be the natural quotient map. Then,  $Z$  is Hausdorff and  $Y$  is homeomorphic to  $q(Y)$  which is a closed subset of  $Z$ . But,  $Y$  is not  $T_4$ -embedded in  $Z$ . Hence (b) implies (c).  $\square$

A similar proof provides the following proposition, and this should be compared with Theorem 3.7 and Corollary 3.9.

**Proposition 3.20.** *For a Hausdorff space  $Y$ , the following statements are equivalent.*

- (a)  $Y$  is 1-paracompact in every larger Hausdorff space.
- (b)  $Y$  is 1-paracompact in every larger Hausdorff space containing  $Y$  as a closed subspace.
- (c)  $Y = \emptyset$ .

#### 4. On 1-metacompactness of a subspace in a space

In this section, we prove an extension of Corollary 3.9.

A subspace  $Y$  of a space  $X$  is said to be 1-metacompact in  $X$  if for every open cover  $\mathcal{U}$  of  $X$ , there exists an open refinement  $\mathcal{V}$  of  $\mathcal{U}$  such that  $\mathcal{V}$  is point-finite at every  $y \in Y$  ([20]).

A space  $X$  satisfies the *discrete finite chain condition* (DFCC, for short) if every discrete collection of non-empty open subsets of  $X$  is finite (see [23], for example). Recall that a Tychonoff space  $X$  is pseudocompact if and only if  $X$  satisfies the DFCC. It is also known that a Tychonoff space  $X$  is compact if and only if  $X$  is pseudocompact and metacompact ([26], [27]). Furthermore, a regular space  $X$  is compact if and only if  $X$  satisfies the DFCC and is metacompact ([26]).

According to [2], in [4], Arhangel'skii and Genedi remarked the following fact: *Let  $Y$  be a countable dense subset of a regular space  $X$ . Then  $Y$  is 1-metacompact (or equivalently, 1-paracompact) in  $X$  if and only if  $X$  is Lindelöf.*

The following result is based on this fact.

**Lemma 4.1.** *Let  $Z$  be an arbitrary separable space and  $Y$  an arbitrary non-DFCC space.*

*Let  $D = \{d_n \mid n \in \mathbb{N}\}$  be a countable dense subset of  $Z$ ,  $\{U_n \mid n \in \mathbb{N}\}$  a countable discrete collection of non-empty open subsets of  $Y$  and  $A = \{y_n \mid n \in \mathbb{N}\}$*

a countable subset of  $Y$  such that  $y_n \in U_n$  for each  $n \in \mathbb{N}$ . Let  $X$  be the quotient space obtained from  $Y \oplus Z$  by identifying  $y_n$  with  $d_n$  for each  $n \in \mathbb{N}$ .

If  $Y$  is 1-metacompact in  $X$ , then  $Z$  is Lindelöf.

Moreover, if  $Y$  and  $Z$  are Tychonoff (respectively, regular), then  $X$  is also Tychonoff (respectively, regular).

PROOF: Let  $q : Y \oplus Z \rightarrow X$  be the quotient map. Since  $Y$  is homeomorphic to  $q(Y)$ ,  $Y$  is viewed as a subspace of  $X$ . Put  $p_n = q(y_n) = q(d_n)$  for each  $n \in \mathbb{N}$ , and let  $P = \{p_n \mid n \in \mathbb{N}\}$ . Note that  $A$  is a closed discrete subset of  $Y$ .

Suppose  $Y$  is 1-metacompact in  $X$ . Let  $\mathcal{G}$  be an open cover of  $Z$ . For each  $G \in \mathcal{G}$ , put  $V_G = q(G \cup \bigcup\{U_n \mid d_n \in G\})$ . Define  $\mathcal{V} = \{V_G \mid G \in \mathcal{G}\} \cup \{q(Y \setminus A)\}$ . Then  $\mathcal{V}$  is an open cover of  $X$ . Since  $Y$  is 1-metacompact in  $X$ ,  $\mathcal{V}$  has an open refinement  $\mathcal{W}$  which is point-finite at every  $x \in q(Y)$ . For each  $W \in \mathcal{W}$  with  $W \cap q(Z) \neq \emptyset$ ,  $W$  must contain some element of  $P$  since  $D$  is dense in  $Z$ . Then,  $\mathcal{U}$  has a countable subcover because  $\mathcal{W}$  is point-finite at  $p_n$  for every  $n \in \mathbb{N}$ . Hence,  $Z$  is Lindelöf.

Let us prove that if  $Y$  and  $Z$  are Tychonoff, then  $X$  is also Tychonoff. Assume  $Y$  and  $Z$  are Tychonoff. Let  $x \in X$  and  $U$  be a neighborhood of  $x$  in  $X$ .

If  $x \in q(Z)$ , pick  $z \in q^{-1}(x) \cap Z$ . Then, there exists a neighborhood  $W$  of  $z$  in  $Z$  such that  $q(W) \subset U$ . For every  $m \in \mathbb{N}$  with  $d_m \in W$ , there exists a neighborhood  $V_m$  of  $y_m$  in  $Y$  such that  $q(V_m) \subset U$ . Here, we may assume that  $V_m \subset U_m$ . Define  $G = q(\bigcup\{V_m \mid d_m \in W\} \cup W)$ . Since  $Z$  is Tychonoff, there exists a continuous function  $f : Z \rightarrow \mathbb{I}$  such that  $f(z) = 1$  and  $f(Z \setminus W) \subset \{0\}$ . Because  $Y$  is also Tychonoff, for each  $m \in \mathbb{N}$  with  $d_m \in W$ , there exists a continuous function  $g_m : Y \rightarrow \mathbb{I}$  such that  $g_m(y_m) = f(d_m)$  and  $g_m(Y \setminus V_m) \subset \{0\}$ . Then, define a function  $h : X \rightarrow \mathbb{I}$  by

$$h(a) = \begin{cases} \sum_{d_m \in W} g_m(q^{-1}(a)), & \text{if } a \in q(Y) \setminus P, \\ f(q^{-1}(a)), & \text{if } a \in q(Z) \setminus P, \\ f(d_n), & \text{if } a = p_n \in P. \end{cases}$$

Then,  $h$  is continuous and  $h(x) = 1$ ,  $h(X \setminus G) \subset \{0\}$ .

In case  $x \notin q(Z)$ , that is  $x \in q(Y) \setminus P$ , since  $A$  is closed in  $Y$ , it is easy to find a continuous function  $g : X \rightarrow \mathbb{I}$  such that  $g(x) = 1$  and  $g(X \setminus U) \subset \{0\}$ . Therefore,  $X$  is Tychonoff.

If  $Y$  and  $Z$  are regular, we can similarly prove that  $X$  is regular. □

**Theorem 4.2.** A Tychonoff (respectively, regular) space  $Y$  is 1-metacompact in every larger Tychonoff (respectively, regular) space if and only if  $Y$  is compact.

PROOF: The “if” part is obvious.

To prove the “only if” part, assume that  $Y$  is 1-metacompact in every larger Tychonoff (respectively, regular) space but not compact. Since  $Y$  itself is metacompact,  $Y$  does not satisfy the DFCC. Thus, there exists a countable discrete

collection  $\{U_n \mid n \in \mathbb{N}\}$  of non-empty open subsets of  $Y$ . For each  $n \in \mathbb{N}$ , take  $y_n \in U_n$ , and let  $A = \{y_n \mid n \in \mathbb{N}\}$ . Then  $A$  is a discrete closed subset of  $Y$ .

Let  $Z$  be an arbitrary separable, non-Lindelöf Tychonoff (respectively, regular) space, for example,  $Z$  is the  $\Psi$ -space in [13, 5I]. Let  $D = \{d_n \mid n \in \mathbb{N}\}$  be a countable dense subset of  $Z$ . Now, let  $X$  be the space constructed in Lemma 4.1. Then,  $X$  is Tychonoff (respectively, regular) and  $Y$  is not 1-metacompact in  $X$  by Lemma 4.1.  $\square$

Theorem 4.2 extends the following result due to E. Grabner et al. [16]: *A normal space  $Y$  is 1-metacompact in every larger regular space if and only if  $Y$  is compact.*

To prove the Hausdorff case, we need the following well-known fact: *A Hausdorff space  $X$  is compact if and only if  $X$  is countably compact and metacompact.*

**Theorem 4.3.** *Let  $Y$  be a Hausdorff space. Then  $Y$  is 1-metacompact in every larger Hausdorff space if and only if  $Y$  is compact.*

PROOF: The “if” part is obvious.

To prove “only if” part, assume that  $Y$  is 1-metacompact in every larger Hausdorff space but not compact. Then,  $Y$  is not countably compact. Hence, there exists a countable discrete closed subset  $A = \{a_n \mid n \in \mathbb{N}\}$  of  $Y$ . Let  $Z$  be an arbitrary separable, non-Lindelöf Hausdorff space with a countable dense subset  $D = \{d_n \mid n \in \mathbb{N}\}$ . Define  $X$  be the quotient space obtained from  $Y \oplus Z$  by identifying  $a_n$  with  $d_n$  for each  $n \in \mathbb{N}$ .

Then, it is easy to see that  $X$  is Hausdorff. Define  $U_n = (Y \setminus A) \cup \{y_n\}$  for each  $n \in \mathbb{N}$ . Then, similarly to the proof of Lemma 4.1,  $Y$  is not 1-metacompact in  $X$ .  $\square$

**Remark 4.4.** In contrast with (a) $\Leftrightarrow$ (c) in Corollary 3.9, consider an analogous statement that a Tychonoff (respectively, regular, Hausdorff) space  $Y$  is 1-metacompact in every larger Tychonoff (respectively, regular, Hausdorff) space containing  $Y$  as a closed subspace. This means, however, nothing but that  $Y$  is metacompact.

## 5. On 1-subparacompactness of a subspace in a space

It was defined in [25] that a subspace  $Y$  of a space  $X$  is 1-subparacompact in  $X$  if for every open cover  $\mathcal{U}$  of  $X$ , there exists a  $\sigma$ -discrete collection  $\mathcal{P}$  of closed subsets of  $X$  with  $Y \subset \bigcup \mathcal{P}$  such that  $\mathcal{P}$  is a partial refinement of  $\mathcal{U}$ .

In [25], Qu and Yasui asked a question as follows: *Let  $X$  be a regular space and  $Y$  a subspace of  $X$ . Is it true that if  $Y$  is 1-paracompact in  $X$ , then  $Y$  is 1-subparacompact in  $X$ ?*

The following theorem is a negative answer to this question.

**Theorem 5.1.** *There exist a Tychonoff space  $X$  and a subspace  $Y$  of  $X$  such that  $Y$  is 1-paracompact in  $X$  but not 1-subparacompact in  $X$ .*

PROOF: Let  $X$  be the set  $(\omega_2 + 1) \times (\omega_1 + 1) \setminus \{\langle \omega_2, \omega_1 \rangle\}$ . For  $\alpha \in \omega_1$  and  $\beta \in \omega_2$ , define  $G_\alpha = (\omega_2 + 1) \times \{\alpha\}$  and  $H_\beta = \{\beta\} \times (\omega_1 + 1)$ , respectively. Define a topology on  $X$  as follows. For  $\alpha \in \omega_1$ , a neighborhood base at  $\langle \omega_2, \alpha \rangle$  is the family of all sets of the form  $G_\alpha \setminus E$ , where  $E$  is a finite subset of  $\omega_2 \times \{\alpha\}$ . For  $\beta \in \omega_2$ , a neighborhood base at  $\langle \beta, \omega_1 \rangle$  is the family of all sets of the form  $H_\beta \setminus F$ , where  $F$  is a finite subset of  $\{\beta\} \times \omega_1$ . All other points of  $X$  are isolated in  $X$ . The construction of  $X$  is based on an example in [11]. Let  $Y = \omega_2 \times \omega_1 \subset X$ .

Let us prove that  $Y$  is 1-paracompact in  $X$ . To prove this, let  $\mathcal{U}$  be any open cover of  $X$ . For every  $\alpha \in \omega_1$ , take a finite subset  $E_\alpha$  of  $\omega_2 \times \{\alpha\}$  such that  $\langle \omega_2, \alpha \rangle \in G_\alpha \setminus E_\alpha$  and  $G_\alpha \setminus E_\alpha$  is contained in some  $U \in \mathcal{U}$ . Similarly, for every  $\beta \in \omega_2$ , take a finite subset  $F_\beta$  of  $\{\beta\} \times \omega_1$  such that  $\langle \beta, \omega_1 \rangle \in H_\beta \setminus F_\beta$  and  $H_\beta \setminus F_\beta$  is contained in some  $U \in \mathcal{U}$ . Then, the collection

$$\{G_\alpha \setminus E_\alpha \mid \alpha \in \omega_1\} \cup \{H_\beta \setminus F_\beta \mid \beta \in \omega_2\} \cup \{\{\beta, \alpha\} \mid \alpha \in \omega_1, \beta \in \omega_2\}$$

is an open refinement of  $\mathcal{U}$  which is locally finite at every  $y \in Y$  in  $X$ . Hence,  $Y$  is 1-paracompact in  $X$ .

Now, we shall show that  $Y$  is not 1-subparacompact in  $X$ . Let  $\mathcal{U} = \{G_\alpha \mid \alpha \in \omega_1\} \cup \{H_\beta \mid \beta \in \omega_2\}$ . Then,  $\mathcal{U}$  is an open cover of  $X$ . Assume that there is a collection  $\mathcal{P} = \bigcup_{n \in \mathbb{N}} \mathcal{P}_n$  of closed subsets of  $X$  with  $\bigcup \mathcal{P} \supset Y$  such that  $\mathcal{P}$  is a partial refinement of  $\mathcal{U}$  and  $\mathcal{P}_n$  is discrete in  $X$  for every  $n \in \mathbb{N}$ . For any  $n$ , let

$$\mathcal{P}_n^0 = \{P \in \mathcal{P}_n \mid (\exists \beta \in \omega_2) P \subset H_\beta\}, \mathcal{P}_n^1 = \{P \in \mathcal{P}_n \mid (\exists \alpha \in \omega_1) P \subset G_\alpha\} \setminus \mathcal{P}_n^0.$$

Note that  $\mathcal{P}_n = \mathcal{P}_n^0 \cup \mathcal{P}_n^1$ . For each  $n \in \mathbb{N}$  and each  $\alpha \in \omega_1$ ,  $\{P \in \mathcal{P}_n^0 \mid P \cap G_\alpha \neq \emptyset\}$  is finite. Since  $|P \cap G_\alpha| \leq 1$  for each  $P \in \mathcal{P}_n^0$ ,  $(\bigcup \mathcal{P}_n^0) \cap G_\alpha$  is finite. Therefore,  $(\bigcup_{n \in \mathbb{N}} \bigcup \mathcal{P}_n^0) \cap G_\alpha$  is countable, and hence  $V_\alpha^0 = \{\lambda \in \omega_2 \mid \langle \lambda, \alpha \rangle \in (\bigcup_{n \in \mathbb{N}} \bigcup \mathcal{P}_n^0) \cap G_\alpha\}$  is also countable. Therefore, we can take  $\beta^* \in \omega_2 \setminus \bigcup_{\alpha \in \omega_1} V_\alpha^0$ . Since  $H_{\beta^*} \cap Y \subset \bigcup_{n \in \mathbb{N}} \bigcup \mathcal{P}_n^1$ , we can take  $n \in \mathbb{N}$  so that  $(\bigcup \mathcal{P}_n^1) \cap H_{\beta^*}$  is uncountable.

On the other hand,  $\{P \in \mathcal{P}_n^1 \mid P \cap H_{\beta^*} \neq \emptyset\}$  is finite and  $|P \cap H_{\beta^*}| \leq 1$  for each  $P \in \mathcal{P}_n^1$ . This is a contradiction. Hence,  $Y$  is not 1-subparacompact in  $X$ . This completes the proof. □

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DOCTORAL PROGRAM IN MATHEMATICS, GRADUATE SCHOOL OF PURE AND APPLIED SCIENCES, UNIVERSITY OF TSUKUBA, TSUKUBA, IBARAKI 305-8571, JAPAN

*E-mail*: shinji@math.tsukuba.ac.jp

TOKYO GAKUGEI UNIVERSITY SENIOR HIGH SCHOOL, SETAGAYA, TOKYO 154-0002, JAPAN

*E-mail*: ryoken@gakugei-hs.setagaya.tokyo.jp

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