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ON SUBMULTIPLICATIVE NONNEGATIVE FUNCTIONALS ON LINEAR MAPS OF LINEAR FINITEDIMENSIONAL NORMED SPACES

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It is shown that a certain inequality involving submultiplicative functionals and norms of linear maps cannot be strengthened by diminishing a certain constant.

0. For m = 1, 2, 3, ... define

$$(0,1) g(m) = \sup \{ \det (\alpha_{i,j}) \mid \alpha_{i,j} \in R^1, \ |\alpha_{i,j}| \leq 1, \ i, j = 1, 2, ..., m \}.$$

Throughout this paper (Y, φ) , (Y_i, φ_i) , i = 1, 2, 3, ... will denote a linear finitedimensional normed space (i.e. Y is a linear space and $\varphi(y)$ is the norm of $y \in Y$). Let $A: Y_1 \to Y_2$ be linear and assume that $0 < \dim Y_1 = \dim Y_2$. In [1], section 1 there was introduced a map Dt, which assigned to any such triple $((Y_1, \varphi_1), (Y_2, \varphi_2), A)$ a nonnegative real. (See Note 0,1.) This real was in [1] denoted – for sake of brevity – by DtA, in this paper it will be denoted by $Dt(\varphi_1, \varphi_2, A)$. It was shown in [1] that the map Dt has the following properties:

- (0,2) Let $\varphi_2(Ay) = \varphi_1(y)$ for $y \in Y_1$. Then $Dt(\varphi_1, \varphi_2, A) = 1$.
- (0,3) Let dim $Y_1 = \dim Y_2 = \dim Y_3$, let $A: Y_1 \to Y_2$, $B: Y_2 \to Y_3$ be linear. Then $Dt(\varphi_1, \varphi_3, B \circ A) = Dt(\varphi_1, \varphi_2, A) \cdot Dt(\varphi_2, \varphi_3, B)$.
- (0,4) Let $V_1 \supset V_2 \supset \ldots \supset V_m$ be linear subspaces of Y_1 ,

dim
$$V_j = m - j + 1$$
, $\varkappa_j \in R^1$, $j = 1, 2, ..., m$

and assume that $\varphi_2(Ay) \leq \varkappa_i \varphi_1(y)$ for $y \in V_j$. Then

$$Dt(\varphi_1, \varphi_2, A) \leq g(m) \varkappa_1 \cdot \varkappa_2 \ldots \varkappa_m$$
.

(If $A|_{V_i}$ is the restriction of A to V_i and

$$||A|_{V_j}|| = \sup \{\varphi_2(Ay) \mid y \in V_j, \ \varphi_1(y) \le 1\},\$$

then the last inequality may be rewritten as

 $Dt(\varphi_1, \varphi_2, A) \leq g(m) \|A|_{V_1}\| \cdot \|A|_{V_2}\| \cdots \|A|_{V_m}\| \cdot)$

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(0,5) Let $(Y_1, \varphi_1), (Y_2, \varphi_2)$ be Euclidean spaces (i.e. let there exist bilinear forms ψ_i on Y_i such that $\varphi_i^2(y) = \psi_i(y, y)$ for $y \in Y_i$, i = 1, 2). Then

$$Dt(\varphi_1, \varphi_2, A) = |\det A|$$

(det $A = \det(\psi_2(Ae_i, f_j))$, e_i , i = 1, 2, ..., m being an orthonormal basis in Y_1 and f_j , j = 1, 2, ..., m being an orthonormal basis in Y_2).

Write $Dt_m(\varphi_1, \varphi_2, A)$ instead of $Dt(\varphi_1, \varphi_2, A)$ to emphasize that dim $Y_1 = m =$ = dim Y_2 so that the map Dt_m is the restriction of Dt to such triples $((Y_1, \varphi_1), (Y_2, \varphi_2), A)$ that dim $Y_1 = m =$ dim Y_2 . In [1] the map Dt was used to derive some properties of systems of operator equations, which in [2] were applied to linear functional differential equations. By the same method as in [1] stronger results on systems of operator equations would be obtained, if - for some m - the map Dt_m could be replaced by a map ϑ satisfying (1,1), (1,2), (1,3) with h < g(m). The aim of this paper is to show that no such map ϑ exists (cf. Theorem 1,1).

Note 0,1. Let there be recalled the definition of *Dt*. Let dim $Y_1 = m = \dim Y_2$ and let \hat{Y}_i , i = 1, 2 be the space of *m*-linear skew symmetric forms (exterior *m*-forms) on Y_i . Introduce the norm $\hat{\varphi}_i$ on \hat{Y}_i by

$$\hat{\varphi}_i(\eta) = \sup \{ \eta(y_1, ..., y_m) \mid y_j \in Y_i, \ \varphi_i(y_j) \leq 1, \ j = 1, 2, ..., m \}.$$

Define $\hat{A}: \hat{Y}_2 \to \hat{Y}_1$ by $(\hat{A}\eta)(y_1, ..., y_m) = \eta(Ay_1, ..., Ay_m)$ and Dt by $Dt(\varphi_1, \varphi_2, A) = \sup \{\hat{\varphi}_1(\hat{A}\eta) \mid \eta \in \hat{Y}_2, \, \hat{\varphi}_2(\eta) \leq 1\}.$

As \hat{Y}_i is a one-dimensional linear space, it may be seen that

(0,6)
$$Dt(\varphi_1,\varphi_2,A) = \hat{\varphi}_1(\hat{A}\eta), \quad \text{if} \quad \eta \in \hat{Y}_2, \quad \hat{\varphi}_2(\eta) = 1.$$

Note 0,2. A map ζ , which has analogous properties as Dt, may be introduced as follows: Let dim $Y_1 = m = \dim Y_2$. By F. JOHN, [4] there exists to (Y_i, φ_i) , i = 1, 2 a positive definite quadratic form $\tilde{\psi}_i$ on Y_i such that the ellipsoid $U_i =$ $= \{y \in Y_i \mid \tilde{\psi}_i(y) \leq 1\}$ contains the unit ball $K_i = \{y \in Y_i \mid \varphi_i(y) \leq 1\}$ and has the least possible volume; moreover, F. John proved in [4] that

(0,7)
$$\tilde{\psi}_i(y) \leq \varphi_i^2(y) \leq m^{m/2} \psi_i(y) \text{ for } y \in Y_i$$

 $\tilde{\psi}_i$ is unique (cf. Note 1,3). Let ψ_i be the corresponding bilinear form. Let e_j , j = 1, 2, ..., m be an orthonormal basis in Y_1 and let f_j , j = 1, 2, ..., m be an orthonormal basis in Y_2 . Define $\zeta(\varphi_1, \varphi_2, A) = |\det(\psi_2(Ae_i, f_j))|$. Obviously $\zeta(\varphi_1, \varphi_2, A)$ is independent of the choice of bases $\{e_i\}, \{f_j\}$ and it may be verified that (0,2)-(0,5) are fulfilled, if Dt is replaced by ζ and g(m) in (0,4) is replaced by $m^{m/2}$.

By the Hadamard inequality $g(m) \leq m^{m/2}$. Relations between the maps Dt and ζ are discussed in Notes 1,6 and 1,7.

1. Definition 1,1. For m = 1, 2, 3, ... and h > 0 let $\Theta(m, h)$ be the set of such maps 9, which assign to any triple $((Y_1, \varphi_1), (Y_2, \varphi_2), A)$, dim $Y_1 = m = \dim Y_2$,

 $A: Y_1 \to Y_2$ being linear, a nonnegative real. This real will be denoted – for sake of brevity – by $\vartheta(\varphi_1, \varphi_2, A)$. Moreover, it is assumed that any $\vartheta \in \Theta(m, h)$ fulfils the following conditions:

(1,1) Let $Y_1 = Y_2$, $\varphi_1 = \varphi_2$, Iy = y for $y \in Y_1$. Then $\vartheta(\varphi_1, \varphi_2, I) \ge 1$.

(1,2) Let $A: Y_1 \to Y_2, B: Y_2 \to Y_3$ be linear. Then

$$\vartheta(\varphi_1, \varphi_3, B \circ A) \leq \vartheta(\varphi_1, \varphi_2, A) \cdot \vartheta(\varphi_2, \varphi_3, B)$$
.

(1,3) Let $V_1 \supset V_2 \supset ... \supset V_m$ be linear subspaces of Y_1 , dim $V_j = m - j + 1$, j = 1, 2, ..., m. Then

$$\vartheta(\varphi_1, \varphi_2, A) \leq h \|A\|_{V_1} \| \cdot \|A\|_{V_2} \| \cdots \|A\|_{V_m} \|$$

 $(||A|_{V_j}||$ being defined in (0,4)). If dim Y = m, let $\Theta(m, h, (Y, \varphi))$ be the set of such maps σ that assign to any linear map $A: Y \to Y$ a nonnegative real $\sigma(A)$ so that (1,1), (1,2) and (1,3) are fulfilled for $Y_1 = Y_2 = Y$, $\varphi_1 = \varphi_2 = \varphi$, $\vartheta(\varphi, \varphi, A) = \sigma(A)$.

Theorem 1.1. If h < g(m), then $\Theta(m, h) = \emptyset$.

For $x = (x_1, ..., x_m) \in \mathbb{R}^m$ put $\tilde{\varphi}(x) = \sum_{j=1}^m |x_j|$; for $\vartheta \in \Theta(m, h)$ denote by $\pi(\vartheta)$ the restriction of ϑ to the set of triples $((\mathbb{R}^m, \tilde{\varphi}), (\mathbb{R}^m, \tilde{\varphi}), A)$ with $A : \mathbb{R}^m \to \mathbb{R}^m$ linear. Obviously $\pi(\vartheta) \in \Theta(m, h, (\mathbb{R}^m, \tilde{\varphi}))$ for every $\vartheta \in \Theta(m, h)$. Therefore Theorem 1,1 is a consequence of

Theorem 1.2. If h < q(m), then $\Theta(m, h, (\mathbb{R}^m, \tilde{\varphi})) = \emptyset$.

Theorem 1,2 follows directly from Theorems 1,3 and 1,4.

Theorem 1.3. If $\sigma \in \Theta(m, h, (Y, \varphi))$, then $\sigma(A) \ge |\det A|$ for any linear map $A: Y \to Y$.

Theorem 1,4. Let *m* be a positive integer, $\tilde{\varphi}(x) = \sum_{j=1}^{m} |x_j|$ for $x = (x_1, ..., x_m) \in \mathbb{R}^m$. If $A : \mathbb{R}^m \to \mathbb{R}^m$ is linear and *V* is a linear subspace of \mathbb{R}^m , define $||A|_V|| = \sup \{\tilde{\varphi}(Ax) \mid x \in V, \ \tilde{\varphi}(x) \leq 1\}$. Let $h \in \mathbb{R}^1$ be such that

(1,4)
$$|\det A| \leq h \cdot ||A|_{V_1}|| \cdot ||A|_{V_2}|| \cdots ||A|_{V_m}||$$

for any linear map $A : \mathbb{R}^m \to \mathbb{R}^m$ and for any chain $V_1 \supset V_2 \supset ... \supset V_m$ of linear subspaces of \mathbb{R}^m , dim $V_j = m - j + 1$, j = 1, 2, ..., m. Then

$$(1,5) h \ge g(m) \,.$$

Proof of Theorem 1,3: Assume that there exists such a linear map $A: Y \to Y$ that $\sigma(A) < |\det A|$. A is nonsingular and $\sigma(A) = \alpha |\det A|$, $0 < \alpha < 1$ ($\sigma(A) > 0$

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for A nonsingular by (1,1) and (1,2)). Find a positive integer k such that $\alpha^k < h^{-1} \cdot 2^{-m-2}$. By (1,1) and (1,2) again,

(1,6)
$$\sigma(A^k) < h^{-1} \cdot 2^{-m-2} |\det A^k|$$

If A^{-k} is represented by a matrix in Jordan canonical form, it is seen readily that there exists such a linear map $B: Y \to Y$ that

$$(1,7) ||A^{-k}B^{-1}|| < 2$$

(1,8)
$$|\det A^{-k}B^{-1}| > \frac{1}{2}$$

(1,9) All characteristic numbers λ_j , j = 1, 2, ..., m of B are distinct and can be ordered in such a way that $|\lambda_1| \ge |\lambda_2| \ge ... \ge |\lambda_m|$ and if $|\lambda_j| = |\lambda_{j+1}|$, then $Im\lambda_j \ne 0$, $\lambda_j = \bar{\lambda}_{j+1}$ (i.e. if λ_j is real, then $|\lambda_k| > |\lambda_j|$ for k < j and $|\lambda_j| > |\lambda_k|$ for k > j; if $Im\lambda_j \ne 0$, then $\lambda_j = \bar{\lambda}_s$ for s = j - 1 or for s = j + 1 and $|\lambda_k| > |\lambda_j|$ for $k < \min(j, s), |\lambda_k| < |\lambda_j|$ for $k > \max(j, s)$). By (1,3) and (1,7) $\sigma(A^{-k}B^{-1}) < h \cdot 2^m$, hence by (1,2), (1,6) and (1,8) $\sigma(B^{-1}) \le \sigma(A^k) \sigma(A^{-k}B^{-1}) < 2^{-2} |\det A^k| = 2^{-2} |\det B^{-1}| \cdot |\det BA^k| < 2^{-1} |\det B^{-1}|$ and $\sigma(B^{-k}) < 2^{-k} |\det B^{-k}|$ for k = 1, 2, 3, ... By (1,1) and (1,2)

(1,10)
$$\sigma(B^k) > 2^k |\det B^k|, \quad k = 1, 2, 3, \dots$$

Find a basis u_1, \ldots, u_m in Y such that $\varphi(u_j) = 1, j = 1, 2, \ldots, m$ and

(1,11)
$$Bu_{j} = \lambda_{j}u_{j}, \text{ if } \lambda_{j} \text{ is real },$$
$$Bu_{j} = |\lambda_{j}| (u_{j} \cos \mu_{j} + u_{j+1} \sin \mu_{j}),$$
$$Bu_{j+1} = |\lambda_{j}| (-u_{j} \sin \mu_{j} + u_{j+1} \cos \mu_{j})$$

if $\lambda_i = \bar{\lambda}_{i+1}$, $\mu_j = \operatorname{Arg} \lambda_j$ (i.e. the matrix of B is "real-canonical").

It is seen readily that

(1,12)
$$\left|\det B^{k}\right| = \prod_{j=1}^{m} |\lambda_{j}|^{k}.$$

Obviously there exists a c > 0 such that

(1,13) if $x \in Y$, $\varphi(x) \leq 1$, $x = \sum_{j=1}^{m} \xi_{j} u_{j}$, then $|\xi_{j}| \leq c$, j = 1, 2, ..., m. Let V_{j} be the space spanned by $u_{j}, u_{j+1}, ..., u_{m}$. Let $y \in V_{j}, y = \sum_{s=j}^{m} \beta_{s} u_{s}, \varphi(y) \leq 1$. Then $B^{k}y = \sum_{s=j}^{m} \gamma_{s} u_{s}$ where (1.14) $y_{s} = \lambda^{k} \beta_{s}$ if λ_{s} is real.

(1,14)

$$\gamma_{s} = \lambda_{s}^{*}\beta_{s} \text{ if } \lambda_{s} \text{ is real },$$

$$\gamma_{s} = |\lambda_{s}|^{k} (\beta_{s} \cos k\mu_{s} - \beta_{s+1} \sin k\mu_{s}),$$

$$\gamma_{s+1} = |\lambda_{s}|^{k} (\beta_{s} \sin k\mu_{s} + \beta_{s+1} \cos k\mu_{s})$$

$$\text{if } \lambda_{s} = \bar{\lambda}_{s+1}, \quad k = 1, 2, 3, \dots$$

(1,13) and (1,14) imply that

(1,15)
$$|\gamma_s| \leq |\lambda_s|^k 2c \quad \text{for} \quad s = j, j + 1, \dots, m.$$

It follows from (1,15), (1,11) and (1,9) that

$$\varphi(B^{k}y) \leq \sum_{s=j}^{m} |\gamma_{s}| \leq 2c \sum_{s=j}^{m} |\lambda_{s}|^{k} \leq |\lambda_{j}|^{k} 4cm$$

so that

(1,16) $||B^k|_{V_j}|| \leq |\lambda_j|^k 4cm$.

By (1,3) and (1,16)

(1,17)
$$\sigma(B^k) \leq h(4c)^m m^m \prod_{j=1}^m |\lambda_j|^k$$

Hence (1,10) and (1,17) cannot hold simultaneously for sufficiently large k (cf. (1,12)) which makes the proof of Theorem 1,3 complete.

Proof of Theorem 1,4: Choose $\varepsilon > 0$ and find reals $d_1, d_2, ..., d_m$ such that $0 < d_m < d_{m-1} < ... < d_1$ and

(1,18)
$$d_m + ... + d_j \leq d_j(1 + \varepsilon)$$
 for $j = m, m - 1, ..., 1$.

Define

$$\begin{split} K &= \left\{ x \in R^m \mid \tilde{\varphi}(x) \leq 1 \right\}, \\ L &= \left\{ x \in R^m \mid \left| x_j \right| \leq m^{-1/2}, \ j = 1, 2, ..., m \right\}, \\ M &= \left\{ x \in R^m \mid \left| x_j \right| \leq d_j m^{-1/2}, \ j = 1, 2, ..., m \right\}. \end{split}$$

It is easy to see that there exists such a matrix $(b_{i,j})$ that $b_{i,j} \in \mathbb{R}^1$, $|b_{i,j}| = 1$ for i, j = 1, 2, ..., m and det $(b_{i,j}) = g(m)$ (cf. (0,1)). Let $e_j = (0, ..., 0, 1, 0, ..., 0)$ with 1 on the *j*-th place, j = 1, 2, ..., m. Let $B : \mathbb{R}^m \to \mathbb{R}^m$ be linear, B being represented by the matrix $(b_{i,j}/m^{1/2})$ with respect to the basis $\{e_j\}$ and let $D : \mathbb{R}^m \to \mathbb{R}^m$ be linear and let D be represented by diag (d_i) (diagonal matrix). It is easy to see that

(1,19)
$$\det D \circ B = \det D \cdot \det B = d_1 \cdot d_2 \cdot \dots \cdot d_m \cdot g(m) \cdot m^{-m/2},$$
$$B(K) \subset L, \quad D \circ B(K) \subset D(I) = M.$$

Define $W_1 = R^m$, $W_j = \{x = (x_1, ..., x_m) \in R^m \mid x_1 = ... = x_{j-1} = 0\}$, j = 2, 3,, m, $V_j = B^{-1}(W_j)$, $\varrho_j = \inf \{\lambda \in R^1 \mid \lambda \ge 0, \ \lambda K \supset M \cap W_j\}$, j = 1, 2, ..., m. Obviously $D \circ B(K \cap V_j) = D(W_j \cap B(K)) \subset D(W_j \cap L) = W_j \cap M, \ \|D \circ B|_{V_j}\| =$ $= \inf \{\lambda \in R^1 \mid \lambda \ge 0, \ \lambda K \supset D \circ B(K \cap V_j)\} \le \xi_j = (d_j + ... + d_m) m^{-1/2}$. Hence by (1,19), (1,4) and (1,18)

$$d_1 \cdot d_2 \cdot \ldots \cdot d_m \cdot g(m) \cdot m^{-m/2} = \det D \circ B \leq h \cdot \prod_{j=1}^{m} ||D \circ B|_{V_j}|| \leq h \cdot \prod_{j=1}^{m} [(d_j + \ldots + d_m) m^{-1/2}] \leq h \cdot d_1 \cdot d_2 \cdot \ldots \cdot d_m (1 + \varepsilon)^m m^{m/2}$$

and (1,5) holds, as $\varepsilon > 0$ is arbitrary.

Note 1,1. Let $\sigma \in \Theta(m, h, (Y, \varphi))$ fulfil

(1,20)
$$\sigma(B \circ A) = \sigma(B) \sigma(A)$$

for any linear maps $A: Y \to Y$, $B: Y \to Y$. Then $\sigma(A) = |\det A|$.

To show it observe that (1,1), (1,2) and (1,20) imply that $\sigma(I) = 1$ if Iy = y for $y \in Y$. If $A: Y \to Y$ is linear and singular, then $|\det A| = 0 = \sigma(A)$ by (1,3). If $A: Y \to Y$ is linear and nonsingular, then by Theorem 1,3 $\sigma(A) \ge |\det A| > 0$ and $\sigma(A^{-1}) \ge |\det A^{-1}| > 0$. $\sigma(A) > |\det A|$ would imply that $1 = \sigma(I) = \sigma(A) \sigma(A^{-1}) > |\det A|$. $|\det A^{-1}| = 1$.

Note 1,2. Let $\tilde{\psi}_i$, i = 1, 2 be positive definite quadratic forms on \mathbb{R}^m , $\tilde{\psi}_1 \neq \tilde{\psi}_2$ and for $\lambda \in \langle 0, 1 \rangle$ define

$$U_{\lambda} = \{ x \in \mathbb{R}^m \mid \lambda \, \tilde{\psi}_1(x) + (1 - \lambda) \, \tilde{\psi}_2(x) \leq 1 \}$$

and assume that vol $U_0 = \text{vol } U_1(\text{vol } U_{\lambda} = \int_{U_{\lambda}} dx_1 \dots dx_m)$. Then vol $U_{\lambda} < \text{vol } U_0$ for $\lambda \in (0, 1)$.

Let us show it. In a suitable coordinate system both $\tilde{\psi}_1$ and $\tilde{\psi}_2$ are represented by diagonal matrices so that without loss of generality it may be assumed that

$$\tilde{\psi}_1(x) = \sum_{i=1}^m \alpha_i x_i^2, \quad \tilde{\psi}_2(x) = \sum_{i=1}^m \beta_i x_i^2, \quad \alpha_i > 0, \quad \beta_i > 0, \quad i = 1, 2, ..., m,$$
$$(\alpha_1, ..., \alpha_m) \neq (\beta_1, ..., \beta_m).$$

For $\lambda \in \langle 0, 1 \rangle$ vol $U_{\lambda} = \omega \prod_{i=1}^{m} (\alpha_i + \lambda(\beta_i - \alpha_i))^{-1/2}$, ω being a suitable positive constant and

$$\prod_{i=1}^{m} \alpha_i = \prod_{i=1}^{m} \beta_i$$

Put $p(\lambda) = \prod_{i=1}^{m} (\alpha_i + \lambda(\beta_i - \alpha_i))$. The degree r of the polynomial p is equal to the number of *i*-s such that $\alpha_i \neq \beta_i$ and p has r real roots. Hence $dp/d\lambda$ has r - 1 real roots and there is just one root of $dp/d\lambda$ between the smallest positive root of p (which is greater than 1) and the largest negative root of p and therefore $p(\lambda) > p(0)$ for $\lambda \in (0, 1)$.

Note 1,3. Let $S \subset R^m$ be bounded. Then there exists an ellipsoid $U \subset R^m$ such that $U \supset S$ and U has the least possible volume (the proof is based on the compactness of a suitable set of ellipsoids, cf. [4]). U is unique by Note 1,2.

Note 1,4. Let $K = \{x = (x_1, ..., x_m) \in \mathbb{R}^m \mid |x_j| \le m^{-1/2}, j = 1, 2, ..., m\}, U =$ = $\{x = (x_1, ..., x_m) \in \mathbb{R}^m \mid \sum_{i=1}^m x_i^2 \le 1\}$. Then U is the ellipsoid of the least volume containing K. To show it, assume that for some symmetric positive definite matrix $(\gamma_{i,j}) U_1 = \{x \in \mathbb{R}^m \mid \sum_{i,j} \gamma_{i,j} x_i x_j \leq 1\}$ is the ellipsoid of the least volume containing K. If $\gamma_{k,l} \neq 0$ for some k, l, $k \neq l$, then define $\beta_{i,j} = \gamma_{i,j}$ if $i \neq k \neq j$ and if i = k = j, $\beta_{i,j} = -\gamma_{i,j}$ otherwise. It is easy to see that $U_2 = \{x \in \mathbb{R}^m \mid \sum_{i,j} \beta_{i,j} x_i x_j \leq 1\}$ containing K, vol $U_2 = \text{vol } U_1$ and U_1 cannot be the ellipsoid of the least volume containing K by Note 1,3. Similarly U_1 cannot be the ellipsoid of the least volume containing K unless $\gamma_{11} = \gamma_{22} = \ldots = \gamma_{m,m}$.

Note 1,5. Let $\vartheta \in \Theta(m, h)$ fulfil $\vartheta(\varphi_1, \varphi_3, B \circ A) = \vartheta(\varphi_1, \varphi_2, A) \vartheta(\varphi_2, \varphi_3, B)$ for any linear maps $A : Y_1 \to Y_2$, $B = Y_2 \to Y_3$, dim $Y_i = m$, i = 1, 2, 3. Let Y be a linear space, dim Y = m, let φ_4, φ_5 be norms on Y and let $I : Y \to Y$ be the identity map. Then

(1,21)
$$\vartheta(\varphi_4, \varphi_5, A) = \vartheta(\varphi_4, \varphi_5, I) |\det A|, \quad A: Y \to Y \text{ linear } .$$

This follows by Note 1,1.

Especially let
$$Y = R^m$$
, $K_4 = \{x \in R^m \mid \varphi_4(x) \le 1\}, \ \varphi_5(x) = (\sum_{i=1}^m x_i^2)^{1/2}$. Then

(1,22) $Dt(\varphi_4, \varphi_5, A) = Dt(\varphi_4, \varphi_5, I) |\det A|, A : Y \to Y |\text{linear},$

(1,23)
$$Dt(\varphi_4, \varphi_5, I) = \sup \{\det(y_j^{(i)}) \mid y^{(i)} = (y_j^{(i)}, ..., y_m^{(i)}) \in K_4, \quad i = 1, 2, ..., m\}.$$

To obtain (1,23), define $\eta \in \hat{Y}$ by

$$\begin{aligned} \eta(y^{(1)}, \dots, y^{(m)}) &= \det \left(y_j^{(i)} \right), \\ \phi_5(\eta) &= \sup \left\{ \eta(y^{(1)}, \dots, y^{(m)}) \mid y^{(i)} \in Y, \ \varphi_5(y^{(i)}) \leq 1, \ i = 1, 2, \dots, m \right\}. \end{aligned}$$

By Hadamard inequality $|\det(y_j^{(i)})| \leq \prod_{i=1}^m (\sum_{j=1}^m (y_j^{(i)})^2)^{1/2} = \prod_{i=1}^m \varphi_5(y^{(i)})$ so that $\hat{\varphi}_5(\eta) = 1$; by (0,6) $Dt(\varphi_4, \varphi_5, I) = \hat{\varphi}_4(\eta)$ and (1,23) holds.

Note 1,6. Let $\varphi_4(x) = m^{-1/2} \max |x_i|$, $\varphi_5(x) = (\sum_{i=1}^m x_i^2)^{1/2}$ for $x = (x_1, ..., x_m) \in \mathbb{R}^m$. Then

(1,24)
$$\zeta(\varphi_4, \varphi_5, A) = |\det A|, \quad A: \mathbb{R}^m \to \mathbb{R}^m \text{ linear },$$

(1,25)
$$Dt(\varphi_4, \varphi_5, A) = g(m) m^{-m/2} |\det A|, A: R^m \to R^m \text{ linear}$$

(1,24) follows directly from the definition of ζ (see Note 0,2) and Note 1,4. (1,25) is a consequence of (1,22) (1,23) and (0,1). For the properties of g see [3], Chapter 14 or [1], Note 1,2.

Note 1,7. Let $y^{(r)} = (y_1^{(r)}, y_2^{(r)})$, r = 1, 2, ..., 6 be the vertices of the regular sixangle K_6 in R^2 , $y^{(1)} = (0, 1)$, $y^{(2)} = (\frac{1}{2}, \frac{1}{2}\sqrt{3})$, $y^{(3)} = (-\frac{1}{2}, \frac{1}{2}\sqrt{3})$, $y^{(4)} = -y^{(1)}$, $y^{(5)} = -y^{(2)}$, $y^{(6)} = -y^{(3)}$. Let φ_6 be such a norm on R^2 that $\varphi_6(x) \leq 1$ iff $x \in K_6$.

Then

(1,26)
$$\zeta(\varphi_6, \varphi_5, A) = |\det A|, \qquad A: R^2 \to R^2 \quad \text{linear},$$

(1,27) $Dt(\varphi_6, \varphi_5, A) = \frac{1}{2} \sqrt{3} |\det A|, A : R^2 \to R^2 \text{ linear }.$

(1,26) follows from the definition of ζ and from the fact that $U = \{x \in R^2 | x_1^2 + x_2^2 \leq 1\}$ is the two-dimensional ellipsoid of the least area containing points $y^{(i)}$, i = 1, 2, ..., 6. This can be shown in an elementary way or from conditions (19a) - (19d) in [4]. (Conditions (19a) - (19d) of [4] are satisfied for $y_i^r = y_i^{(r)}$, $\lambda_0 = 3$, $\lambda_r = 1, r = 1, 2, ..., 6, s = 6$. It can be concluded in quite the same manner as in [4] that the area of any two-dimensional ellipsoid containing the points $y^{(r)}$, r = 1, 2, ..., 6 is at least equal to π ; it does not matter that $6 = s > \frac{1}{2}m(m + 3) = 5$.) (1,27) is a consequence of (1,22) and (1,23).

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