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# Perceptual attention as the locus of transfer to nonnative speech perception

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## Abstract

One's native language (L1) is known to influence the development of a nonnative language (L2) at multiple levels, but the nature of L1 transfer to L2 perception remains unclear. This study explored the hypothesis that transfer effects in perception come from L1-specific processing strategies, which direct attention to phonetic cues according to their estimated relative functional load (RFL). Using target languages that were either familiar (English) or unfamiliar (Korean), perception of unreleased final stops was tested in L1 English listeners and four groups of L2 English learners whose L1s differ in stop phonotactics and the estimated RFL of a crucial cue to unreleased stops (i.e., vowel-to-consonant formant transitions). Results were, overall, consistent with the hypothesis, with L1 Japanese listeners showing the poorest perception, followed by L1 Mandarin, Russian, English, and Korean listeners. Two exceptions occurred with Russian listeners, who underperformed Mandarin listeners in identification of English stops and outperformed English listeners in identification of Korean stops. Taken together, these findings support a cue-centric view of transfer based on perceptual attention over a direct phonotactic view based on structural conformity. However, transfer interacts with

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prior L2 knowledge, which may result in significantly different perceptual consequences for a familiar and an unfamiliar L2.

*Keywords:* selective perception routine, language transfer, unreleased stops, cue weighting, information value, functional load, coarticulation

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## 1. Introduction

### 1.1. L1 influence on L2 perception

An enduring question in the study of second language (L2) acquisition has been the manner in which the phonological system of the native language (L1) constrains the development of an L2, especially an L2 to which a listener was not exposed until late in life. Although it is clear that adult L2 learners maintain access to at least some of the cognitive resources that contribute to successful L1 acquisition (see, e.g., Flege, 1995), they also tend to experience interference from their L1 knowledge, resulting in performance deficits vis-a-vis L1 speakers that are widely documented in the speech perception literature (Bradlow & Pisoni, 1999; Cutler, 2001; Cutler et al., 2008; Nábělek & Donahue, 1984). This phenomenon of crosslinguistic influence (in particular, of an L1 on an L2) is often referred to as TRANSFER (Altenberg, 2005; Bohn, 1995; Odlin, 1989).

The fact that different L1 backgrounds lead to disparate outcomes with the same L2 suggests that what gets transferred in L2 learning are specific aspects of L1 knowledge; however, the precise nature of transferred L1 knowledge is not well understood. In particular, there is no general consensus regarding the basis of transfer effects observed in L2 speech, although various bases have been described in the literature (e.g., Polka, 1991, 1992): phonetic (a mismatch between the fine-grained phonetic properties of a target L2 category or structure and those of its L1 correspondent<sup>1</sup>), phonemic (a mismatch between a target L2 segment and the

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<sup>1</sup>A review of the issues involved in identifying this L1 correspondent is outside the scope of this paper. However, it should be noted that, at least for experienced L2 learners, the identification of crosslinguistic correspondents is probably not based solely on phonetic proximity, but rather heavily influenced by higher-level phonological considerations (Chang, 2015; Chang et al., 2011).

22 L1 inventory), and phonotactic (a mismatch between a target L2 structure and L1  
23 distributional patterns). The relative importance of these factors was the subject  
24 of a study by Davidson (2011b) comparing L1 Catalan, English, and Russian lis-  
25 teners on perception of nonnative consonant clusters (generated by removing the  
26 first vowel in multisyllabic sequences of Catalan). Results showed that Russian  
27 listeners (familiar with the widest variety of clusters from their L1) were better at  
28 discriminating between the presence and absence of a cluster than Catalan listen-  
29 ers, who were in turn better than English listeners. Crucially, the Russian advan-  
30 tage occurred in spite of the fact that certain test consonants and all the phonetic  
31 implementations were nonnative (namely, those of Catalan), suggesting that “the  
32 presence of the relevant phonological structure in one’s native language is perhaps  
33 the most important predictor of discrimination ability” (Davidson, 2011b, p. 280).

34 Another study that examined both phonetic and phonological influences of the  
35 L1 on L2 perception is Cho and McQueen’s (2006) investigation of stop percep-  
36 tion by L1 Korean and Dutch listeners. The goal of this study was to examine two  
37 accounts of L2 perception: a “phonological superiority” hypothesis linking L2  
38 perception to (non)conformity of the L2 target with L1 constraints, and a “pho-  
39 netic superiority” hypothesis linking L2 perception to the richness of the cohort  
40 of cues to the L2 target. To this end, listeners were tested on their ability to detect  
41 word-final voiceless stops in American English (a familiar L2 for both L1 groups)  
42 and Dutch (an unfamiliar L2 for the Korean group), both when the stops were re-  
43 leased and when they were “dereleased” (i.e., unreleased because the release was  
44 spliced off). The results showed that, for both target languages, Korean listeners  
45 detected unreleased stops (which conform to the L1 pattern of final non-release,  
46 but are signaled by a weaker cohort of cues) more rapidly than released stops (sig-

47 naled by a richer cohort of cues); however, their detection accuracy was higher  
48 for released stops, albeit only in English. In contrast, Dutch listeners detected  
49 released stops (which conform to the L1 pattern of final release) more rapidly  
50 and/or more accurately than unreleased stops. These findings were thus inter-  
51 preted as supporting the “phonological superiority” hypothesis, while evincing an  
52 effect of cue richness given sufficient familiarity with the target L2.<sup>2</sup>

53 Although the above findings were given a phonological explanation, the ques-  
54 tion remains as to *how* phonological constraints such as phonotactic restrictions  
55 influence the perception of L2 speech. One approach to this question is to place  
56 speech perception squarely in the purview of phonology and, therefore, to ac-  
57 count for perception using the same kinds of formal constraints used to account  
58 for phonological phenomena more generally (see, e.g., Escudero, 2009; Steriade,  
59 2009). This type of account has, in fact, been used to explain transfer effects  
60 in perception, including L2 “perceptual illusions” (Berent et al., 2007; Dupoux  
61 et al., 1999; Parlato-Oliveira et al., 2010) and “perceptual assimilation” of sound  
62 sequences (Hallé & Best, 2007; Hallé et al., 1998). For example, the case of L1  
63 Japanese speakers perceiving an illusory vowel within L2 consonant clusters was

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<sup>2</sup>Note, however, that because the L1 pattern considered in this study can be interpreted as a fact about phonetic realization—i.e., the quality of final stops, as opposed to their (non)occurrence—the results may also reflect a phonetic kind of L1 transfer effect, even if the difference in phonetic realization between Korean and English arises through a categorical phonological process of laryngeal neutralization in Korean and a more variable type of process in English. What is crucial—because it could lead to difficulty in L1 Korean listeners’ perception of English final stops—is the degree of perceived phonetic disparity between Korean and English final stops (cf. Park & de Jong, 2017, for perceptual mapping data suggesting that L1 Korean listeners perceive both released and unreleased English coda stops as unlike Korean stops).

64 attributed to a phonotactic ban against the clusters in the L1; similarly, L1 Man-  
65 darin speakers' tendency to misperceive English *can't* as *can* was interpreted as a  
66 "clear direct effect of their native language's ban on /nt/ clusters" (Ernestus et al.,  
67 2017, p. 60). As such, this view of L2 perceptual deficits is referred to here as the  
68 DIRECT PHONOTACTIC VIEW. The core of this view, crucially, is its linking of  
69 the difficulty of perceiving an L2-specific target *x* (where *x* may be a phoneme,  
70 a sequence of phonemes, or a subphonemic feature) *directly* to *x*'s partial or total  
71 absence from the L1, exemplified in the proposal that "if a learner's L1 grammar  
72 lacks the phonological feature that differentiates a particular non-native contrast,  
73 he or she will be unable to perceive the contrast" (Brown, 1998, p. 136; see also  
74 Brown, 2000).<sup>3</sup> Thus, the logic of this view is that poor L2 perception of *x* arises  
75 because *x does not occur* in the L1, resulting in the listener either not expecting  
76 or failing to listen for *x* in the L2.

77 In contrast to the direct phonotactic view, there is an alternative, cue-based  
78 approach to explaining L2 perceptual patterns related to phonotactics. In fact, a  
79 cue-based explanation of the Russian advantage in Davidson (2011b) is alluded  
80 to by Davidson, who observed that "[i]f a contrast such as /#fət/~/#ft/ exists in  
81 a language, listeners would have to closely attend the acoustic information corre-  
82 sponding to the schwa. However, if a language only allows one of these possibil-  
83 ities, then the production of the other sequence may be treated as a less optimal  
84 but potential variant of the phonotactics that do exist" (p. 279). In other words,  
85 perhaps the Russian advantage in discriminating clusters from non-clusters is not  
86 due to L1 phonotactics *per se*, but rather to the pattern of targeted perceptual at-

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<sup>3</sup>This type of view was also reflected in the "contrastive analysis" approach to predicting L2 difficulties (Lado, 1957), which was based on the (non)occurrence in the L1 of an L2 target.

87 tention (PA) resulting from the L1 phonology. Russian listeners' L1 experience  
88 has tuned their perception to devote more PA to the properties of a vocalic inter-  
89 val between initial consonants because the presence of an intervening vowel has  
90 significant linguistic consequences (e.g., making a different word) with consonant  
91 sequences of all different types, whereas Catalan and English listeners' L1 experi-  
92 ence has resulted in less PA to this vocalic interval because this is not as important  
93 in their respective L1s (which allow a comparatively limited set of clusters). Since  
94 this account links L2 perception to L1-specific attunement to phonetic cues (rather  
95 than directly to L1 phonotactics), it is referred to here as the CUE-CENTRIC VIEW.  
96 Note that this view does not reject the existence of phonotactics, which are under-  
97 stood to be part of what shapes PA to a cue in a given language. Rather, it does not  
98 base predictions for L2 perception on L1 phonotactics *in the first instance*. The  
99 predictions of this view come instead from a cue-based level of analysis, which  
100 thus subsumes certain "indirect effects" of L1 phonotactics such as (for a given  
101 L2 contrast) "difficulties interpreting the subsegmental cues because these cues  
102 do not occur or have different functions" in the L1 (Ernestus et al., 2017, p. 50).

103 In short, the L1 knowledge transferred to L2 perception can be conceptual-  
104 ized either in terms of categorical phonotactic constraints or in terms of gradient  
105 attunement to phonetic cues; however, although categorical phonotactics are part  
106 of the linguistic conditions that make a phonetic cue more or less important in  
107 a given language, the coarseness of categorical phonotactics limits the empirical  
108 power of the direct phonotactic view. In particular, the kind of contrastive analy-  
109 sis at the heart of the direct phonotactic view predicts only two types of transfer:  
110 "negative" transfer, which results in a perceptual decrement relative to L1 listen-  
111 ers (e.g., Goto, 1971; Sheldon & Strange, 1982), and "neutral" transfer, which



112 results in performance comparable to L1 listeners' (e.g., Iverson et al., 2003).  
113 However, under certain conditions L1 influence may also manifest as "advanta-  
114 geous" transfer, which results in better-than-native perception (e.g., Bohn & Best,  
115 2012; Chang & Mishler, 2012; Hallé et al., 1999). Such a NATIVE-LANGUAGE  
116 TRANSFER BENEFIT does not follow from phonotactic comparisons across lan-  
117 guages (because, once a target is allowed to occur in a given context, it is not  
118 meaningful to talk of it being "more allowed" in that context in the L1 vs. L2),  
119 but is amenable to an explanation in terms of PA to phonetic cues.

120 A cue-centric view of transfer, however, has to account for the multidimen-  
121 sional nature of speech, which typically contains, for each contrast, multiple pos-  
122 sible phonetic cues. So how do listeners sort out the multiple aspects of the speech  
123 signal to which they could attend? This is one of the main questions addressed in  
124 the automatic selective perception (ASP) framework for understanding crosslin-  
125 guistic speech perception (Strange, 2011; cf. the overlapping PRIMIR framework  
126 of Werker & Curtin, 2005). According to ASP, L1 acquisition involves the devel-  
127 opment of "selective perception routines" (SPRs) that allow perception to be tar-  
128 geted, automatic, and robust in adverse conditions. SPRs are critical to becoming  
129 a skilled L1 listener; however, they are also the source of L1 interference in per-  
130 ception of an L2, which often requires the listener to attend to different properties  
131 of the speech signal than required by the L1 and/or to integrate them differently.  
132 Crucially, ASP posits that older learners maintain access to the language-general  
133 processing abilities evident in childhood. However, use of these abilities is af-  
134 fected by two factors: task demands (with high demands causing default to autom-  
135 atized, L1-specific SPRs) and L2 experience (with extensive experience leading  
136 to "phonologization" of L2 perception; see, e.g., Levy & Strange, 2008).

137 *1.2. Relative functional load of a cue*

138 In addition to properties of the perceiver (e.g., experience) and task (e.g., de-  
139 mands), properties of the stimulus are also likely to influence speech processing.  
140 In particular, two properties of a cue may affect the degree to which listeners  
141 attend to it: FUNCTIONAL LOAD and ACOUSTIC RICHNESS. The information-  
142 theoretic notion of functional load is usually applied to phonological contrasts  
143 (e.g., Martinet, 1933; Wedel et al., 2013), but may also be extended to the phonetic  
144 cues that distinguish them. If a contrast's functional load is the unique burden that  
145 it shoulders in distinguishing lexical items (measured in terms of minimal pairs  
146 differing in that contrast), then a cue's functional load can be thought of as its  
147 unique burden in distinguishing phonological contrasts; therefore, this goes up as  
148 the number of contrasts involving that cue increases, and down as the number of  
149 other cues helping to distinguish those contrasts increases. Note that this concept  
150 of a cue's functional load is inherently relative, because in order to estimate the  
151 unique burden of one cue given the multidimensional nature of speech, it is neces-  
152 sary to take into account other contributing cues; therefore, for clarity this concept  
153 is referred to here as RELATIVE FUNCTIONAL LOAD (RFL).

154 How does one estimate RFL of a given cue  $x$ ? According to the above descrip-  
155 tion, to increment RFL for each contrast that  $x$  distinguishes, one should divide  
156 by the number of other cues to that contrast; however, the load of each cue in the  
157 cohort probably depends on its availability, with a cue that is variably available  
158 shouldering less of a load than a cue that is always available. Therefore, it is rea-  
159 sonable to posit that the RFL for one cue accounts for the contributions of other  
160 cues according to their availability.<sup>4</sup> To illustrate what this means mathematically,

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<sup>4</sup>The accuracy of RFL estimation will, therefore, be limited by our knowledge of what belongs

161 a sketch of a formula for RFL is provided in (1), where RFL of cue  $x$  is expressed  
162 as a function of  $a_x$  (availability of  $x$  as a proportion of time),  $c$  (number of con-  
163 trasts distinguished by  $x$ ),  $\omega_y$  (number of other cues to current contrast  $y$ ), and  $a_z$   
164 (availability of the current other cue  $z$ ).

$$165 \quad (1) \quad RFL_x = a_x \cdot \sum_{y=1}^c \left( 1 - \frac{\omega_y}{1 + \sum_{z=1}^c 1 \cdot a_z} \right)$$

166 RFL estimation, using (1) to predict a crosslinguistic hierarchy, is exemplified  
167 in Section 1.3. Note that, for one specific contrast, RFL is similar to the notion  
168 of “cue weighting”; however, RFL is a broader concept since it incorporates the  
169 linguistic work of cuing multiple contrasts across the language.

170 As for acoustic richness, this refers to a language-general notion of informa-  
171 tion density. For example, independent of RFL, a stop’s release burst is an acous-  
172 tically rich cue to place of articulation because it provides several clues to place:  
173 temporal, amplitudinal, and spectral (e.g., dorsal bursts tend to show longer dura-  
174 tion, higher amplitude, and higher-frequency energy than labial ones). In contrast,  
175 formant transition cues to place provide mainly spectral information. This dispar-  
176 ity in acoustic richness explains why, although burst cues have lower RFL than  
177 transition cues with respect to distinguishing final stops in English (due to vari-  
178 able availability of bursts in English), when the two are pitted against each other  
179 in cross-spliced stimuli, L1 English listeners tend to follow the burst cues (Wang,  
180 1959). In other words, acoustic richness may override RFL with respect to direct-  
181 ing attention to a cue. However, in the present study this will not be relevant, as  
182 the materials purposefully avoid setting up a conflict between different cues.

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in the cohort of cues to any given contrast.

183 *1.3. The present study*

184 The study reported in this article endeavored to test a cue-centric view of L1  
185 transfer based in RFL against a direct phonotactic view, focusing on the case of  
186 final stop perception. In regard to investigating transfer effects, final stop con-  
187 trasts are useful to consider for three reasons. First, final stops are well-attested in  
188 the languages of the world, and the three cues—preceding vowel duration, vowel-  
189 to-consonant (VC) formant transitions, and release burst—occur, broadly, in any  
190 language that has stops (since they also occur in VCV sequences). Second, cues to  
191 place of articulation (transition and burst) are not temporally confounded like cues  
192 to many other L2 contrasts, so their respective perceptual effects can be separated  
193 more easily. Third, VC transitions, as an outcome of coarticulation, constitute a  
194 universal cue to final stops given that coarticulation is a universal phenomenon  
195 (Lindblom & MacNeilage, 2011). As previously mentioned, a release burst pro-  
196 vides another, acoustically rich cue to stop identity, but may not always be avail-  
197 able. In American English, for example, final stops are often unreleased (Byrd,  
198 1993; Davidson, 2011a; Kang, 2003; Rositzke, 1943), while in Korean, final stops  
199 are consistently unreleased (Sohn, 1999).<sup>5</sup> Unreleased final stops thus provide an  
200 ideal testing ground for a study of transfer effects, since the perception of place in  
201 an unreleased stop relies on one highly available cue—VC transitions—to which

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<sup>5</sup>Although Kim and Jongman (1996) describe Korean final stops as often having a (weak) release, note that they examined a specific utterance-medial context in which an alveolar stop was embedded before a velar stop, which is likely to cause the first stop to be incidentally released due to the articulatory coordination involved in the alveolar-to-velar transition. Others (including Cho and McQueen, 2006) have described Korean final stops as unreleased, and this was consistent with the Korean recordings for the present study (Section 2.3), which showed a 0% rate of release.

Table 1: Summary of L1 properties relevant to L2 perception of unreleased final stops. Phonemic and phonotactic properties are labeled in binary fashion (i.e., – or +); cue-centric properties, in incremental fashion (where – denotes the lowest degree). RFL = relative functional load.

Type	Property	Japanese	Mandarin	Russian	English	Korean
phonemic	vowel length contrast	+	–	–	–	–
phonotactic	stop contrast / ___#	–	–	+	+	+
phonotactic	nasal contrast / ___#	–	+	+	+	+
cue-centric	RFL of vowel duration	++	+	+	+	+
cue-centric	RFL of VC transition	–	+	++	+++	++++
cue-centric	RFL of final stop burst	–	–	++	+	–

202 any individual whose L1 contains VC(V) sequences would have been exposed.

203 Thus, the present study examined L2 perception of unreleased final voiceless  
 204 stops to address two main research questions. First, is L2 perception of unreleased  
 205 final stops influenced primarily by L1 transfer of categorical phonotactics or of  
 206 perceptual attention to cues (**Q1**)? Second, how is L1 transfer in L2 perception of  
 207 unreleased final stops influenced by prior knowledge of the target L2 (**Q2**)?

208 To address Q1, this study compared listeners from five different L1 back-  
 209 grounds: Japanese, Mandarin, Russian, American English, and Korean. These  
 210 languages were selected because of their diverse phonemic, phonotactic, and cue-  
 211 centric properties (see Table 1), which lead to differences in predicted percep-  
 212 tual attention (PA) to the crucial cue to unreleased stop identity (i.e., VC tran-  
 213 sitions). Assuming that the role of VC transitions in cuing place contrasts in  
 214 initial/prevocalic position is relatively small (because in this position place con-  
 215 trasts are cued by perceptually stronger CV transitions and, for stops, an acous-

216 tically rich release burst), the following discussion abstracts away from the RFL  
 217 associated with initial place contrasts and focuses on the RFL of distinguishing  
 218 final place contrasts. In Japanese, VC transitions draw the least PA because they  
 219 carry the lowest RFL (namely, 0): the only consonant allowed word-finally is the  
 220 “placeless” nasal, while the only consonants allowed syllable-finally are always  
 221 homorganic to the following onset consonant (Iwasaki, 2013), which means that  
 222 there are effectively no final place contrasts. In Mandarin, VC transitions draw  
 223 more PA due to a slightly higher RFL, which follows from one place contrast  
 224 between final nasals /n ŋ/ (a contrast that is also cued by covariation of the pre-  
 225 ceding vowel; Duanmu, 2007). Per (1), and assuming that the vowel quality cue is  
 226 always available, this means that the RFL of VC transitions ( $RFL_{VC}$ ) in Mandarin  
 227 is approximately 0.5 ( $= 1 \cdot \sum_1^1 (1 - \frac{1}{1 + \sum_1^1 1 \cdot 1})$ ). In Russian, VC transitions draw  
 228 yet more PA due to the higher RFL of distinguishing at least four place contrasts,  
 229 among final nasals /m n/ and plosives /p t k/ (possibly also /m<sup>j</sup> n<sup>j</sup> p<sup>j</sup> t<sup>j</sup>/) (Timber-  
 230 lake, 2004). However,  $RFL_{VC}$  remains relatively low, because the VC transitions  
 231 share the burden of cuing the plosive contrasts with a consistently available burst  
 232 (Davidson & Roon, 2008; Jones & Ward, 1969; Zsiga, 2003). Counting the three  
 233 primary points of articulation,  $RFL_{VC}$  comes to around 2 (0.5 from the nasals +  
 234 1.5 from the plosives;  $1 \cdot \sum_1^3 (1 - \frac{1}{1 + \sum_1^1 1 \cdot 1}) = 1.5$ ). In English, VC transitions draw  
 235 more PA than in Russian due to a higher RFL, which follows from a higher num-  
 236 ber of final place contrasts (among /m n ŋ p t k b d g/) and the lower availability of  
 237 the burst cue. Assuming an overall burst availability of approximately 0.5 (David-  
 238 son, 2011a; Kang, 2003),  $RFL_{VC}$  in English comes to around 3.5, including a  
 239 contribution from nasal contrasts of 1.5 ( $= 1 \cdot \sum_1^3 (1 - \frac{1}{1 + \sum_1^1 1 \cdot 1})$ ) and a contribu-  
 240 tion from plosive contrasts of 2 ( $= 1 \cdot \sum_1^6 (1 - \frac{1}{1 + \sum_1^1 1 \cdot 0.5})$ ). Finally, in Korean,

241 VC transitions draw the most PA because they have the highest RFL, cuing place  
242 contrasts among final /m n ŋ/ and /p t k/ (in the latter case, as the *sole* cue since a  
243 burst is not available; Sohn, 1999).  $RFL_{VC}$  in Korean thus comes to around 4.5,  
244 including a contribution from nasal contrasts of 1.5 ( $= 1 \cdot \sum_1^3 (1 - \frac{1}{1+\sum_1^1 1.1})$ ) and  
245 a contribution from plosive contrasts of 3 ( $= 1 \cdot \sum_1^3 (1 - \frac{0}{1+0})$ )

246 Predictions in regard to Q1 diverge under the direct phonotactic and cue-  
247 centric views because of a difference in their underlying logic. On the one hand,  
248 the direct phonotactic view attributes L2 perceptual deficits to nonconformity with  
249 L1 phonotactics; therefore, how well L2 listeners can perceive unreleased final  
250 stops (of the unmarked, voiceless variety) should follow primarily from whether  
251 or not the natural class of L1 stops (i.e., [–sonorant, –continuant]) is allowed fi-  
252 nally.<sup>6</sup> On the other hand, the cue-centric view attributes L2 perceptual deficits to  
253 the (lack of) motivation to attend to a crucial auditory cue, which is closely related  
254 to the cue’s RFL in the L1; therefore, L2 listeners’ ability to perceive unreleased  
255 final stops should follow primarily from  $RFL_{VC}$  in the L1. These two views thus  
256 predict different outcomes for Q1. Under the direct phonotactic view, all L2 lis-  
257 teners who speak an L1 disallowing final stops (e.g., Japanese, Mandarin) should  
258 be equally poor at perceiving unreleased final stops because the phonotactic hand-  
259 icap imposed by their L1s is the same. In contrast, under the cue-centric view, L2  
260 listeners subject to the same L1 phonotactic constraint are still likely to show per-  
261 ceptual variation due to differences among L1s in  $RFL_{VC}$ . That is, L2 listeners  
262 should be poor at perceiving unreleased final stops only insofar as  $RFL_{VC}$  in their

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<sup>6</sup>Variants of this view incorporating constraints on other features (e.g., place of articulation features) are discussed further in Section 4, where it is shown that these alternative formulations of the relevant phonotactic constraints do not significantly alter the empirical coverage of this view.

263 L1 is low (which would discourage attending to VC transitions). This predicts, for  
264 example, that L1 Japanese and Mandarin listeners will not be equally poor at per-  
265 ceiving unreleased final stops; rather, Mandarin listeners should be better because  
266 of the higher  $RFL_{VC}$  in Mandarin.

267 Given the linguistic differences outlined in Table 1, there were three specific  
268 predictions that followed from the cue-centric view. **P1**, in regard to a familiar  
269 L2 (English), was that perceptual success with L2 unreleased final stops would  
270 be correlated with the PA devoted to VC transitions in listeners' L1; therefore,  
271 the following cline of success was predicted (from lowest to highest): Japanese <  
272 Mandarin < Russian < Korean. Note that one part of this cline (Japanese < Ko-  
273 rean) is supported by data in Tsukada et al. (2007), where unreleased stops from  
274 Thai and released and unreleased stops from Australian English were better dis-  
275 criminated by Korean than Japanese listeners. As for the complementary case of  
276 perceiving the *absence* of a final stop, a useful cue to (non)occurrence of a coda  
277 other than VC transitions is vowel duration, which tends to be shorter in closed  
278 than in open syllables crosslinguistically (Katz, 2012; Maddieson, 1985). Since  
279 vowel duration also marks a phonemic length contrast in Japanese (Tajima et al.,  
280 2008) but not in Mandarin, the RFL of vowel duration is higher in Japanese (Ta-  
281 ble 1); this should result in Japanese listeners attending to vowel duration more  
282 than Mandarin listeners, which could compensate for, or even overcome, their  
283 lack of PA to VC transitions with respect to detecting final stop occurrence. Con-  
284 sequently, **P2** was that Japanese listeners would be no worse (and possibly better)  
285 than Mandarin listeners at telling that a speech stimulus did not end in /p t k/.

286 In regard to Q2, following from ASP's notion of SPRs and a positive rela-  
287 tionship between L2 experience and phonologization of L2 perception, it was



288 hypothesized that negative transfer would be more evident in the perception of  
289 an unfamiliar, as opposed to familiar, L2, as an unfamiliar L2 would not yet be  
290 associated with any L2-specific SPRs. Consequently, listeners were tested on  
291 perception of unreleased final stops in two L2s: English (familiar) and Korean  
292 (unfamiliar). Since greater transfer of L1 SPRs was expected in perception of  
293 Korean, it followed that a relative lack of PA to VC transitions in the L1 should  
294 particularly disadvantage listeners in perception of Korean. Thus, **P3** was that  
295 group differences between L1s where the RFL of VC transitions is lower (i.e.,  
296 Japanese, Mandarin) vs. higher (i.e., Russian, English, Korean) would be larger  
297 in the perception of Korean than in the perception of English.

## 298 **2. Methods**

### 299 *2.1. Participants*

300 Participants in the perception experiments were five groups of listeners with  
301 different L1s: American English (NEng), Japanese (NJpn), Korean (NKor), Man-  
302 darin Chinese (NMnCh), and Russian (NRus). The NEng and NKor groups were  
303 those from Chang (2016). All listeners were recruited from the Greater Washing-  
304 ton, DC and New York metropolitan areas, gave informed consent, and were paid  
305 for their participation. Due to a lack of the proper equipment, participants were  
306 not able to undergo formal audiometric evaluation; however, their background  
307 questionnaires indicated no history of hearing, speech, or language impairments.<sup>7</sup>  
308 The five groups consisted of an equal number of participants, who were gender-  
309 matched and comparable in mean age (early to late 20s; see Table 2).

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<sup>7</sup>The full list of items on this questionnaire is publicly accessible via the Open Science Frame-  
work at <https://osf.io/pb26g/>.

Table 2: Summary of characteristics of the five L1 groups: total number of participants, number of females, mean age at the time of study, mean age upon first arrival in the U.S. (AoAr), and mean years of speaking L2 English (standard deviations in parentheses). NA = not applicable.

L1 group	<i>n</i> total	<i>n</i> female	Age (yr)	AoAr (yr)	Years speaking English
English (NEng)	28	16	21.3 (5.3)	NA	NA
Japanese (NJpn)	28	16	29.6 (8.5)	26.2 (8.2)	12.3 (5.6)
Korean (NKor)	28	16	26.1 (6.5)	19.7 (6.5)	11.9 (5.7)
Mandarin (NMnCh)	28	17	23.3 (2.2)	21.4 (2.2)	10.0 (4.6)
Russian (NRus)	28	16	29.8 (8.9)	25.0 (6.7)	11.6 (7.6)

310 The L2 English (NJpn, NKor, NMnCh, NRus) groups consisted of late learners  
311 of English (age of onset of 7 or later) who had come to the U.S. as young adults,  
312 with similarly advanced mean ages of arrival. These groups reported having spo-  
313 ken English for similar lengths of time (10+ years on average), which did not  
314 differ significantly [Kruskal-Wallis  $\chi^2(3) = 1.362$ , n.s.]. The NJpn, NMnCh, and  
315 NRus groups consisted of, respectively, native Japanese speakers raised primarily  
316 in Japan, native Mandarin speakers born and raised in mainland China or Taiwan,  
317 and native Russian speakers born and raised in Russia, Ukraine, or another repub-  
318 lic of the former Soviet Union. These groups had no experience with languages  
319 containing obligatorily unreleased stops (including Korean and varieties of Chi-  
320 nese with final glottal stops). The NKor group consisted of native Korean speakers  
321 who were born and raised primarily in South Korea and had no experience with  
322 languages containing unreleased final stops other than Korean and English.

323 The L1 English (NEng) group consisted of native English speakers who were  
324 born and raised in the U.S. in English-speaking households and reported limited

325 knowledge and use of other languages. Eleven NEng participants reported speak-  
326 ing only English, while the other 17 reported being able to speak at least one other  
327 language (Farsi, French, Japanese, Mandarin, Russian, and/or Spanish); the latter  
328 participants, however, had learned these other languages formally after childhood  
329 (mean length of study 5.0 yr) and tended to report low current proficiency, using  
330 descriptors such as “not fluent” and “only slight knowledge”. No NEng partici-  
331 pants reported fluency in or regular use of another language for communicative  
332 purposes. Crucially, like the NJpn, NMnCh, and NRus groups, the NEng group  
333 had no experience with languages containing obligatorily unreleased stops.

334 The NEng and NKor groups each played the role of a control group in the ex-  
335 periment(s) targeting their respective native language. In the English perception  
336 experiments, there were four L2 groups familiar with the target language and the  
337 NEng group served as an L1 control group, while in the Korean perception experi-  
338 ment, there were four L2 groups unfamiliar with the target language and the NKor  
339 group served as an L1 control group. Thus, it should be noted that, unlike NEng  
340 listeners, NKor listeners were not “functionally monolingual” L1 listeners (since  
341 they knew and used English on a regular basis) and were not tested on an unfamil-  
342 iar language. This difference between the NEng and NKor groups is unimportant  
343 for the current study, however, because the goal is to examine patterns of *rela-*  
344 *tive* performance in each of the two language conditions, as opposed to absolute  
345 performance over both language conditions.

## 346 2.2. *Stimuli*

347 The auditory stimuli were those used in Chang and Mishler (2012) and Chang  
348 (2016) and are summarized in Table 3. The stimuli for Experiment 1 consisted  
349 of 48 minimal pairs of monosyllabic English words differing in the presence and

Table 3: Korean and English stimuli used in Experiments 1–3. Real words in Experiment 1 are given in English orthography; nonce words in Experiments 2–3 are given in IPA transcription.

Experiment	Stimulus items
1 (English discrimination)	<i>weep-wheat, whip-wit, rape-rate, cap-cat, hoop-hoot, taupe-tote, pop-pot, pup-putt, tripe-trite, tarp-tart, warp-wart, kelp-Celt; seat-seek, sit-sick, bait-bake, net-neck, rat-rack, loot-Luke, oat-oak, cot-cock, mutt-muck, bite-bike, Bart-bark, port-pork; chic-sheep, lick-lip, peck-pep, wreck-rep, tack-tap, slack-slap, coke-cope, soak-soap, shock-shop, pike-pipe, hike-hype, hark-harp; keep-key, type-tie, ripe-rye, gulp-gull; beet-bee, suit-sue, mart-mar, silt-sill; peek-pee, make-may, lake-lay, spike-spy; ape, dupe, hop, cup, quit, great, tot, curt, cheek, slick, lock, cork, new, row, four, hell</i>
2 (English identification)	'ɪʌzɪp', 'ɪʌzɪt', 'ɪʌzɪk', 'ɪʌzɪ, 'ɪʌzʊp', 'ɪʌzʊt', 'ɪʌzʊk', 'ɪʌzʊ, 'ɪʌzʌp', 'ɪʌzʌt', 'ɪʌzʌk', 'ɪʌzʌ, 'ɪʌzɛɪp', 'ɪʌzɛɪt', 'ɪʌzɛɪk', 'ɪʌzɛɪ, 'ɪʌzɔʊp', 'ɪʌzɔʊt', 'ɪʌzɔʊk', 'ɪʌzɔʊ, 'ɪʌzʌɪp', 'ɪʌzʌɪt', 'ɪʌzʌɪk', 'ɪʌzʌɪ, ɪə'zɪp', ɪə'zɪt', ɪə'zɪk', ɪə'zɪ, ɪə'zʊp', ɪə'zʊt', ɪə'zʊk', ɪə'zʊ, ɪə'zʌp', ɪə'zʌt', ɪə'zʌk', ɪə'zʌ, ɪə'zɛɪp', ɪə'zɛɪt', ɪə'zɛɪk', ɪə'zɛɪ, ɪə'zɔʊp', ɪə'zɔʊt', ɪə'zɔʊk', ɪə'zɔʊ, ɪə'zʌɪp', ɪə'zʌɪt', ɪə'zʌɪk', ɪə'zʌɪ, ɪə'zʌɪp', ɪə'zʌɪt', ɪə'zʌɪk', ɪə'zʌɪ
3 (Korean identification)	mjurɪp̚, mjurɪt̚, mjurɪk̚, mjurɪ, mjurɪp̚, mjurɪt̚, mjurɪk̚, mjuru, mjurap̚, mjurat̚, mjurak̚, mjura, mjurɛp̚, mjurɛt̚, mjurɛk̚, mjurɛ, mjurop̚, mjurot̚, mjurok̚, mjuro, mjurɛp̚, mjurɛt̚, mjurak̚, mjurɛk̚, mjurɛ, mjurɪp̚, mjurɪt̚, mjurɪk̚, mjurɪ

350 place of articulation of a final voiceless stop (e.g., *beet*, *bee*; *weep*, *wheat*). The  
351 set of words was selected such that most of the English vowels were represented  
352 and the two phonological forms in each pair had comparable spoken frequen-  
353 cies (differing by less than an order of magnitude). Spoken frequency estimates  
354 were calculated using data from the Corpus of Contemporary American English  
355 (Davies, 2008) and took into account all words with the same phonological form  
356 (e.g., spoken frequency of the form /bit/ was taken to be the sum total of those  
357 for *beet* and *beat*). The /p/-, /t/-, /k/-, and non-stop-final words selected had,  
358 respectively, mean spoken frequencies of 23.8, 20.2, 50.5, and 82.0 words per  
359 million (wpm) and were distributed roughly equally among low-frequency (< 1  
360 wpm), mid-frequency (1–10 wpm), and high-frequency (> 10 wpm) items.

361 The stimuli for Experiment 2 consisted of 56 disyllabic English nonce words  
362 that varied by final consonant, vowel, and stress. Items followed a C<sub>1</sub>V<sub>1</sub>C<sub>2</sub>V<sub>2</sub>(C<sub>3</sub>)  
363 template (C = consonant, V = vowel) and were made to be identifiably English-  
364 like by filling the first two consonant slots with English consonants absent from  
365 L2 listeners' L1 inventories: the voiced alveolar approximant /ɹ/ (C<sub>1</sub>), which  
366 is absent from all of the non-English L1s, and the voiced alveolar fricative /z/  
367 (C<sub>2</sub>), which is absent from Mandarin and Korean. The first vowel (V<sub>1</sub>) was a mid  
368 central unrounded vowel (stressed /ʌ/ or unstressed [ə]), while the second vowel  
369 (V<sub>2</sub>) ranged over the rhymes /i u ə eɪ oʊ aɪ aɪ/. Point vowels /i u ə/ were included  
370 because they each have a parallel in the inventories of the other languages, while  
371 /eɪ oʊ aɪ aɪ/ were included because one or more of these is absent from Japanese,  
372 Korean, and Russian (which contain either no or a limited set of diphthongs).  
373 Finally, the third consonant slot (C<sub>3</sub>) varied among /p/, /t/, /k/, and zero (i.e.,  
374 absence of a final stop). With the alternation of primary stress between initial

375 and final syllables, this resulted in 56 nonce words (7 possible final rhymes x 4  
376 possible codas x 2 possible stress patterns), such as [ʔə'zitʔ] and [ʔʌzitʔ].

377 The stimuli for Experiment 3 consisted of 28 disyllabic Korean nonce words  
378 that varied by final consonant and vowel. As in Experiment 2, all items followed  
379 a C<sub>1</sub>V<sub>1</sub>C<sub>2</sub>V<sub>2</sub>(C<sub>3</sub>) template. These stimuli were originally constructed with the  
380 NEng listeners in mind (to make the perceptual task as easy as possible for them;  
381 see Chang, 2016), so they were made to depart as little as possible from English  
382 phonology (while remaining consistent with Korean phonology) by filling the first  
383 two consonant slots with Korean consonants that also occur in English: the voiced  
384 bilabial nasal /m/ (C<sub>1</sub>), which occurs in all the other languages, and the voiced  
385 alveolar flap [ɾ] (C<sub>2</sub>), an allophone of /l/ which also occurs in Japanese and Rus-  
386 sian. The first vowel (V<sub>1</sub>) was a high back rounded vowel with a palatal on-glide  
387 ([ju]), while the second vowel (V<sub>2</sub>) ranged over the seven-vowel inventory of  
388 modern Korean: /i u a ε o ʌ i/ (Chang, 2012). As in Experiment 2, the final con-  
389 sonant slot (C<sub>3</sub>) varied among /p/, /t/, /k/, and zero. This resulted in 28 nonce  
390 words (7 possible final vowels x 4 possible codas), such as [mjuratʔ].

391 Creation of the auditory stimuli was performed in two steps. In the first step,  
392 the target items were produced by native speakers and audio-recorded. The En-  
393 glish stimuli were recorded by two male native speakers of American English (age  
394 19 and 25 yr), who were raised in Maryland and had no experience with a language  
395 containing obligatorily unreleased stops. The Korean stimuli were recorded by a  
396 male native speaker of Korean (age 32 yr) born and raised in Seoul. All recordings  
397 were made in the U.S. in a sound-attenuated booth at 44.1 kHz with 24-bit resolu-  
398 tion, using a Zoom H4N mobile audio recorder and an Audix HT5 head-mounted  
399 condenser microphone positioned approximately 2 cm to the left of the talker's

400 mouth. Items for Experiments 1–2 were presented via English spelling (with the  
401 stressed syllable underlined for the nonce items), and items for Experiment 3 via  
402 Korean spelling, on randomized individual index cards three times. To regulate  
403 the rate of presentation, a Qwik Time QT-3 metronome was used to present items  
404 at a rate of approximately one every two seconds.

405 In the second step, speech tokens were selected containing the coarticulatory  
406 transitions of interest from among the three repetitions of each stimulus. Although  
407 both released and unreleased blocks of tokens were collected of the English items,  
408 released tokens ultimately provided the basis for the English stimuli (in both En-  
409 glish perception experiments) because the presence of a release burst made it clear  
410 that the oral closure of the final stop consonant was realized (whereas unreleased  
411 tokens were sometimes realized with just a glottal stop). Additionally, previous re-  
412 search comparing the perception of unreleased stops and “dereleased” stops (i.e.,  
413 released stops with the release burst removed) in English found the two to be very  
414 similar (Lisker, 1999; Malécot, 1958). Thus, to approximate unreleased stops in  
415 the English stimuli while ensuring the presence of VC formant transitions, re-  
416 leased tokens were used and edited in Praat (Boersma & Weenink, 2011) to re-  
417 move the final release burst. The Korean tokens were produced as unreleased, so  
418 they did not undergo editing to remove a release burst. Both English and Korean  
419 stimuli were furthermore normalized in Praat to a peak intensity of 0.99.

420 To check that the nonce word stimuli actually contained the variation in vowel  
421 duration that serves as a cue to the presence of a coda consonant, the duration  
422 of the final vowel in each of the 140 stimuli for Experiments 2–3 was measured  
423 in Praat via visual inspection of a wide-band spectrogram, by marking vowel on-  
424 set and offset, respectively, at the first point and last point where all of the first

425 three formants ( $F_1$ ,  $F_2$ ,  $F_3$ ) were clearly visible. These acoustic data showed that  
426 the nonce word stimuli did in fact contain the expected durational variation. Fi-  
427 nal vowels in English stop-final stimuli were significantly shorter than those in  
428 English non-stop-final stimuli, both for the first talker [ $M_{stop.final} = 162$  ms,  
429  $M_{non.stop.final} = 238$  ms; Welch-corrected two-sample  $t(15.4) = -5.533, p <$   
430  $.0001$ ] and for the second talker [ $M_{stop.final} = 148$  ms,  $M_{non.stop.final} = 272$   
431 ms; Welch-corrected two-sample  $t(14.2) = -6.187, p < .0001$ ]. The same pat-  
432 tern held for the Korean stimuli [ $M_{stop.final} = 117$  ms,  $M_{non.stop.final} = 193$  ms;  
433 Welch-corrected two-sample  $t(8.1) = -6.355, p < .001$ ].

### 434 2.3. Procedure

435 All listeners were tested in a quiet room at an American university. In all, they  
436 completed three experiments in a single session, in numerical order with inter-  
437 vening breaks. The tasks were first explained (in listeners' L1, with the excep-  
438 tion of the NMnCh and NRus groups due to the lack of Mandarin- and Russian-  
439 speaking experimenters), and listeners were then specifically instructed to listen  
440 carefully to the stimuli and to respond as quickly and accurately as possible. Stim-  
441 uli were presented on a computer running E-Prime 2.0 using high-quality binaural  
442 headphones, and listeners entered their responses on a Psychology Software Tools  
443 Model 200A serial response box connected to the computer.

444 Since the goal of all three experiments was to examine language transfer in  
445 speech perception while abstracting away from effects of semantic context, most  
446 of the design features were meant to encourage listeners to process the stimuli  
447 at a phonological (i.e., not merely psychoacoustic) level, with minimal top-down  
448 influence. The English experiments were focused on listeners' phonologically  
449 informed perception as L2 users, either with (Experiment 1) or without (Experi-



450 ment 2) the aid of long-term phonological representations associated with lexical  
451 items. Thus, the default experimental paradigm used was sound identification in  
452 non-words, a metalinguistic task that forces listeners to think about phonological  
453 categories, and this was the task used in Experiment 2 and the Korean experiment  
454 (Experiment 3). On the other hand, because lexical frequency was not relevant  
455 for the research questions (and, in fact, presented a potential source of interfer-  
456 ence which could obscure between-group differences in L1 transfer), the English  
457 experiment with lexical stimuli (Experiment 1) used the discrimination paradigm  
458 with frequency-balanced word pairs to avoid unintended effects of lexical frequen-  
459 cies; however, a long inter-stimulus interval (ISI) as well as talker variability were  
460 used to encourage discrimination at a phonological level (see, e.g., Flege, 2003).

461 In Experiment 1, listeners completed a speeded AX categorial discrimination  
462 task (Flege, 2003) with English words (“speeded” refers to the instructions to  
463 listeners to respond both accurately and as quickly as possible). Words in each  
464 pair were uttered by different talkers, each trial consisting of the presentation of a  
465 trial counter on screen for 1 sec, the playing of the first word (A), a 1-sec ISI, and  
466 then the playing of the second word (X). A listener’s response indicated whether  
467 X was the same word as A or a different word. The experiment began with 12  
468 practice trials and moved on to 192 test trials (96 “same” trials and 96 “different”  
469 trials), which were divided into two randomized blocks with an even distribution  
470 of “same” and “different” trials spanning both possible talker orders.

471 In Experiment 2, listeners completed a speeded one-interval, four-alternative  
472 forced choice (4AFC) identification task with English nonce words. To increase  
473 the difficulty of this task (since all listeners were familiar with English) and thereby  
474 lower the likelihood of ceiling performance (which would have the undesirable

475 effect of obscuring between-group differences), the task incorporated sentence  
476 embedding as well as alternation between different talkers. On each trial, a trial  
477 counter was presented on screen for 1 sec and then a randomly selected precursor  
478 was played (either *This word is...*, *Now the word is...*, or *The next word is...*), fol-  
479 lowed by one of the 56 nonce words. A listener's response indicated whether the  
480 final sound of the last word was /p/, /t/, /k/, or something else ("other"). The  
481 experiment began with eight practice trials and moved on to three randomized  
482 blocks of 56 test trials. In the first block, trials were spoken by the first talker; in  
483 the second block, by the second talker; and in the final block, by either talker.

484 In Experiment 3, listeners completed a similar 4AFC identification task with  
485 Korean nonce words. Since all listeners except the NKor listeners were unfa-  
486 miliar with Korean, these stimuli were presented in isolation and uttered by one  
487 talker only (i.e., features increasing difficulty in Experiment 2 were not incorpo-  
488 rated here). Thus, absolute levels of performance in Experiment 3 are not directly  
489 comparable to those in Experiment 2; however, this is not a problem because the  
490 crucial variable in all experiments is not absolute performance, but *relative* per-  
491 formance (compared to other groups). The structure of each trial in Experiment  
492 3 was similar to that of trials in Experiment 2, consisting of the presentation of a  
493 trial counter on screen for 1 sec and then the playing of one of the 28 nonce words.  
494 As in Experiment 2, a listener's response indicated whether the final sound of the  
495 word was /p/, /t/, /k/, or something else ("other"). The experiment began with  
496 eight practice trials and moved on to three randomized blocks of 28 test trials.

497 **3. Results**

498 *3.1. Experiment 1: Stop discrimination in English*

499 The data from Experiment 1 were analyzed in terms of  $d'$ , a unitless mea-  
500 sure of perceptual sensitivity to stimulus changes (i.e., discrimination ability) that  
501 accounts for response bias (Macmillan & Creelman, 2005).<sup>8</sup> A higher  $d'$  is in-  
502 terpreted as reflecting more successful perception. For each participant, two  $d'$   
503 scores were calculated: one for discrimination of “stop/stop” contrasts (i.e., word  
504 pairs differing in the place of a final stop, such as *weep* vs. *wheat*), and one for  
505 discrimination of “stop/zero” contrasts (i.e., word pairs differing in the presence  
506 of a final stop, such as *beet* vs. *bee*). For the first  $d'$  score, “hits” and “false  
507 alarms” were, respectively, correct responses on “different” stop/stop trials (e.g.,  
508 *weep/wheat*) and incorrect responses on “same” stop/stop trials (e.g., *weep/weep*).  
509 For the second  $d'$  score, “hits” and “false alarms” were, respectively, correct re-  
510 sponses on “different” stop/zero trials (e.g., *beet/bee*) and incorrect responses on  
511 “same” stop/stop trials (e.g., *beet/beet*) and zero/zero trials (e.g., *bee/bee*).

512 Inspection of the  $d'$  scores using the Shapiro-Wilk test of normality (Shapiro &  
513 Wilk, 1965) suggested that although nine out of the ten sets of scores (from 5 lis-  
514 tener groups x 2 contrast types) were normally distributed [ $W > 0.956, p > .290$ ],  
515 the NJpn group’s scores on stop/stop contrasts were not [ $W = 0.922, p = .039$ ];  
516 therefore, non-parametric statistics (namely, the Kruskal-Wallis one-way analy-  
517 sis of variance; Kruskal & Wallis, 1952) were used in R (R Development Core  
518 Team, 2015) to test for between-group differences in discrimination performance.

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<sup>8</sup>All data from Experiments 1–3 (in trial-by-trial format) are publicly accessible via the Open Science Framework at <https://osf.io/e5qsj/>.

519 There were two factors: Group (NEng, NJpn, NKor, NMnCh, NRus), a between-  
520 participants factor, and Contrast (stop/stop, stop/zero), a within-participants fac-  
521 tor. Additional pairwise tests comprised only the four planned comparisons be-  
522 tween adjacent groups on the predicted cline of perceptual success for each con-  
523 trast (as opposed to all 20 comparisons); therefore, multiple-comparisons correc-  
524 tion of  $p$ -values was not performed to avoid increasing the chance of type II error.

525 Given P1 as well as the L1 status of the NEng group, the predicted cline of  
526 success for stop/stop discrimination was NJpn < NMnCh < NRus < NKor < NEng,  
527 while that for stop/zero discrimination was {NJpn, NMnCh} < NRus < NKor <  
528 NEng. Figure 1 shows the marked differences in  $d'$  scores that emerged among the  
529 four L2 English groups in comparison to the NEng group for both contrast types,  
530 which resulted in a main effect of Group [Kruskal-Wallis  $\chi^2(4) = 66.267, p <$   
531  $.0001$ ]. A main effect of Contrast [Kruskal-Wallis  $\chi^2(1) = 87.565, p <$   
532  $.0001$ ] arose due to the fact that stop/zero contrasts (mean  $d' = 1.57$ ) were discriminated  
533 better than stop/stop contrasts (mean  $d' = 0.84$ ) by all groups. When the data  
534 were further examined by contrast type, a significant effect of Group was found  
535 both for stop/stop contrasts [Kruskal-Wallis  $\chi^2(4) = 71.409, p <$   
536  $.0001$ ] and for stop/zero contrasts [Kruskal-Wallis  $\chi^2(4) = 49.459, p <$   
537  $.0001$ ].

538 Since there were significant effects of Group on  $d'$  scores for both contrast  
539 types, between-group comparisons were conducted for both contrast types to iden-  
540 tify the source of these effects. On stop/stop contrasts, NJpn listeners had the  
541 lowest  $d'$  scores (mean of 0.44), followed by NMnCh listeners (mean of 0.56),  
542 NRus listeners (mean of 0.81), NKor listeners (mean of 1.17), and NEng listen-  
543 ers (mean of 1.24). This hierarchy was as predicted, although pairwise compar-  
isons revealed that the NJpn-NMnCh and NKor-NEng differences were not sig-

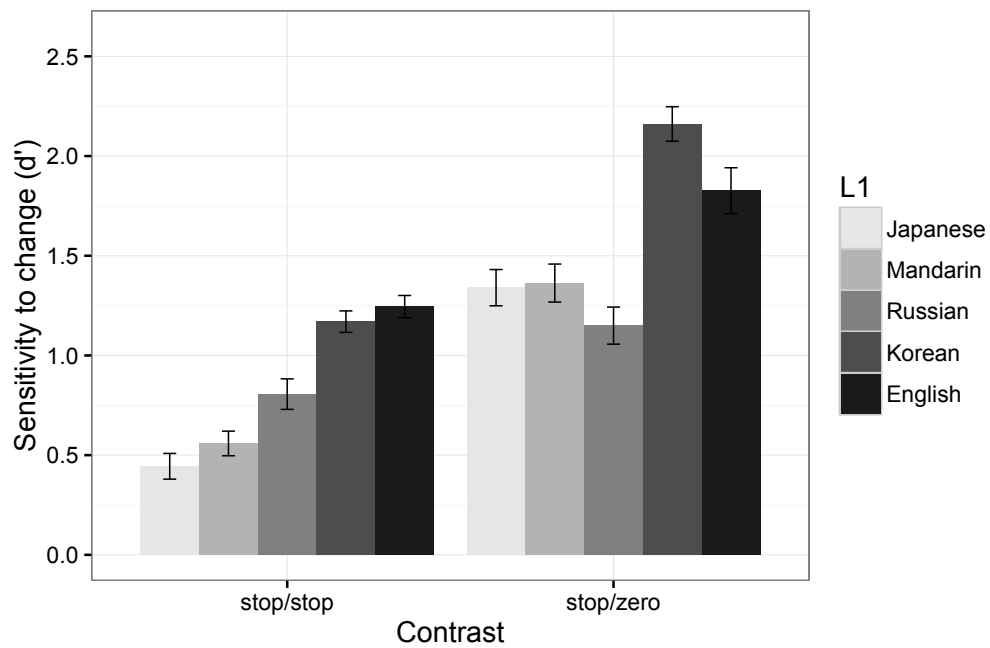


Figure 1: Perceptual sensitivity ( $d'$ ) in Experiment 1 (English discrimination), by contrast type and L1 group. “Stop/stop” and “stop/zero” refer to minimal pairs differing in final stop (e.g., *weep*, *wheat*) or presence of a final stop (e.g., *beet*, *bee*), respectively. Chance performance (50% correct overall) corresponds to a  $d'$  of 0. Error bars mark  $\pm 1$  standard error of the mean over participants.

544 nificant [Kruskal-Wallis  $\chi^2(1) < 1.170$ , n.s.]. However, the NMnCh-NRus dif-  
545 ference [Kruskal-Wallis  $\chi^2(1) = 5.339$ ,  $p < .05$ ] and the NRus-NKor difference  
546 [Kruskal-Wallis  $\chi^2(1) = 11.304$ ,  $p < .001$ ] were both significant. In short,  $d'$   
547 scores on stop/stop contrasts showed the following hierarchy of perceptual sen-  
548 sitivity: {NJpn, NMnCh} < NRus < {NKor, NEng}. Overall, these results are  
549 more consistent with the cue-centric view (which predicts the difference between  
550 NRus and NKor/NEng) than the direct phonotactic view (which predicts only the  
551 difference between NJpn/NMnCh and NRus/NKor/NEng).

552 On stop/zero contrasts, the five groups showed a different relative ordering of  
553  $d'$  scores. The group with the lowest  $d'$  scores here was the NRus group (mean of  
554 1.15), followed by the NJpn group (mean of 1.34), the NMnCh group (mean of  
555 1.36), the NEng group (mean of 1.83), and the NKor group (mean of 2.16). The  
556 fact that NRus listeners'  $d'$  scores here were lower, instead of higher, than NM-  
557 nCh listeners' was unexpected, although pairwise comparisons revealed that nei-  
558 ther the NMnCh-NRus difference nor the NJpn-NMnCh difference was significant  
559 [Kruskal-Wallis  $\chi^2(1) < 2.274$ , n.s.]. The NRus-Kor difference [Kruskal-Wallis  
560  $\chi^2(1) = 32.148$ ,  $p < .001$ ] was significant and in the expected direction, whereas  
561 the NKor-NEng difference [Kruskal-Wallis  $\chi^2(1) = 4.401$ ,  $p < .05$ ] was signifi-  
562 cant and in the opposite direction of the prediction. Thus,  $d'$  scores on stop/zero  
563 contrasts showed the following hierarchy of perceptual sensitivity: {NJpn, NM-  
564 nCh, NRus} < NEng < NKor. Overall, these results are also more consistent with  
565 the cue-centric view than the direct phonotactic view: the cue-centric view both  
566 predicts the failure of NMnCh listeners to outperform NJpn listeners and is able to  
567 account for the better-than-native perception of NKor listeners, whereas the direct  
568 phonotactic view incorrectly predicts a NMnCh advantage over the NJpn group

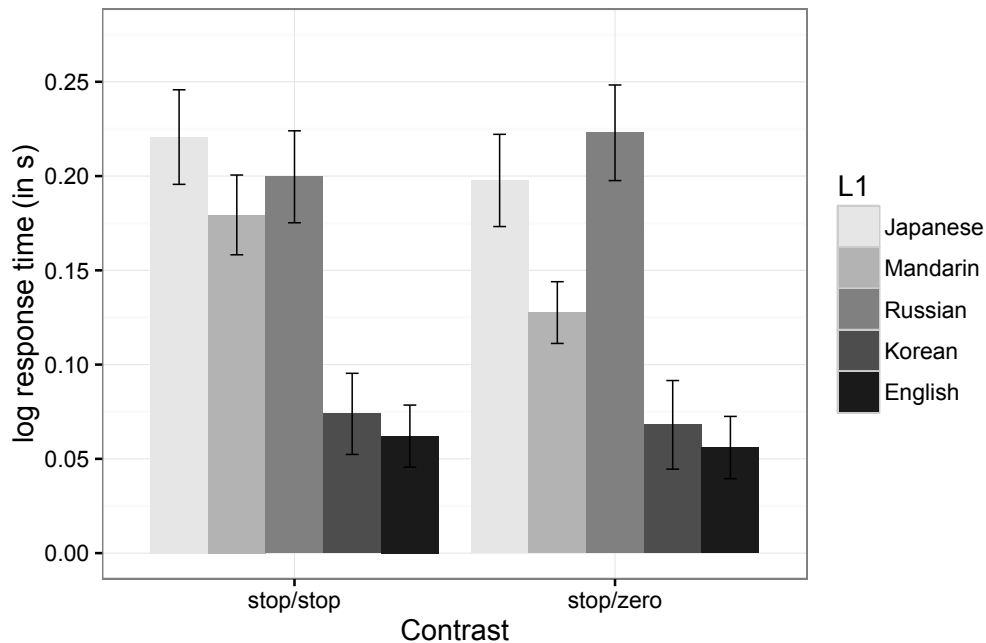


Figure 2: Log response time for correct “different” responses in Experiment 1 (English discrimination), by contrast type and L1 group. “Stop/stop” and “stop/zero” refer to minimal pairs differing in final stop (e.g., *weep*, *wheat*) or presence of a final stop (e.g., *beet*, *bee*), respectively. Error bars mark  $\pm 1$  standard error of the mean over participants.

569 and is unable to explain the NKor advantage over the NEng group.<sup>9</sup>

570 To check whether the group differences in  $d'$  scores could be accounted for  
 571 in terms of a speed-accuracy trade-off (e.g.,  $d'$  scores in one group being low be-  
 572 cause of a higher error rate arising from faster responses), response times (RTs)  
 573 were also examined, following exclusion of extreme RTs greater than 2.5 stan-  
 574 dard deviations from each participant’s mean (6% of the data; see, e.g., Sumner  
 575 & Samuel, 2009) and log transformation to correct for positive skew (Newell &

---

<sup>9</sup>Note that the lack of difference between NMnCh and NRus is not predicted under either view.

576 Rosenbloom, 1981). Figure 2 shows the average log RTs for correct discrimina-  
577 tion judgments across groups and contrast types. There was no effect of Contrast  
578 on RTs [Kruskal-Wallis  $\chi^2(1) = 0.640$ , n.s.], but a significant effect of Group  
579 [Kruskal-Wallis  $\chi^2(4) = 70.893$ ,  $p < .0001$ ], reflecting the overall similarity  
580 of RTs across the two contrast types and the substantial variation of RTs across  
581 groups. Crucially, however, the pattern of RT differences provided no indication  
582 that differences in  $d'$  were attributable to differences in RTs. On the contrary,  
583 groups that achieved higher  $d'$  scores consistently did so with RTs that were either  
584 not significantly different from, or in fact faster than, RTs of groups with lower  $d'$   
585 scores (e.g., NKor/NEng vs. NJpn/NMnCh/NRus, on both contrast types).

### 586 3.2. Experiment 2: Stop identification in English

587 The data from Experiment 2 were analyzed by building a logistic mixed-  
588 effects regression model of the log odds of correct identification (Dixon, 2008;  
589 Jaeger, 2008) in R (R Development Core Team, 2015). Higher odds of correct  
590 identification are interpreted as reflecting more successful perception. Starting  
591 with random-effect terms for Participant and Item, the model was augmented  
592 incrementally by fixed-effect terms for Final (stop, non-stop; reference level =  
593 stop), Group (NEng, NJpn, NKor, NMnCh, NRus; reference level = NEng), and  
594 a Final x Group interaction. All variables were treatment-coded, and the ref-  
595 erence level of the Group variable was set to contrast each of the L2 English  
596 groups with the L1 English (i.e., NEng) group. The basic model with only ran-  
597 dom intercepts by Participant and by Item was improved by adding the Final term  
598 [ $\chi^2(1) = 132.130$ ,  $p < .0001$ ], the Group term [ $\chi^2(4) = 56.310$ ,  $p < .0001$ ], and  
599 the Final x Group interaction [ $\chi^2(4) = 176.940$ ,  $p < .0001$ ]. Thus, the final model  
600 of English identification performance [ $n = 23520$ , log-likelihood =  $-10892$ ] in-



Table 4: Fixed-effect terms in the logistic mixed-effects model of the likelihood of accuracy in Experiment 2 (English identification). Significance codes: \*  $p < .05$ , \*\*  $p < .01$ , \*\*\*  $p < .001$ .

Predictor	$\beta$	$SE$	$z$	$p$	
(Intercept)	0.364	0.177	2.061	.039	*
Final: non-stop	3.769	0.300	12.581	< .001	***
Group: NJpn	-1.148	0.201	-5.700	< .001	***
Group: NMnCh	-0.398	0.201	-1.983	.047	*
Group: NRus	-1.009	0.202	-5.006	< .001	***
Group: NKor	0.247	0.201	1.226	.220	
Final: non-stop x Group: NJpn	-0.097	0.247	-0.394	.694	
Final: non-stop x Group: NMnCh	-1.490	0.236	-6.320	< .001	***
Final: non-stop x Group: NRus	0.954	0.284	3.355	< .001	***
Final: non-stop x Group: NKor	-0.741	0.275	-2.693	.007	**

601 cluded all three fixed effects, summarized in Table 4.<sup>10</sup>

602 As in Experiment 1, the predicted cline of success for stop identification was  
603 NJpn < NMnCh < NRus < NKor < NEng, while that for non-stop identification  
604 was {NJpn, NMnCh} < NRus < NKor < NEng. Figure 3 shows the considerable

<sup>10</sup>Note that all of the final models for Experiments 2–3 contained a parsimonious random-effects structure including only random intercepts (as opposed to the maximal random-effects structure with all possible random intercepts and slopes) because attempts to build models with more complex random-effects structures either failed to converge or yielded models that showed signs of overparameterization and/or less stable fit, consistent with concerns in the literature regarding maximal models for actual psycholinguistic data (e.g., Bates et al., 2015). More complex models, moreover, did not generate results for the fixed effects that were substantially different from those of parsimonious models. Therefore, the results reported below are from the parsimonious models.

605 cross-group variation that was found in this experiment. Model results (Table 4)  
606 revealed that NEng listeners accurately identified English final stops with higher  
607 than 50-50 odds [ $\beta = 0.364, z = 2.061, p < .05$ ]; however, they were much more  
608 likely to identify final non-stops (as “other” sounds) accurately [ $\beta = 3.769, z =$   
609  $12.581, p < .0001$ ], and this was the case for all groups. Consistent with the  
610 results of Experiment 1, NJpn listeners had the lowest accuracy of all groups on  
611 final stops, and the NJpn group, as well as the MnCh and NRus groups, were all  
612 significantly less likely than NEng listeners to identify final stops accurately [ $\beta$ s  
613  $< -0.397, z$ s  $< -1.982, p$ s  $< .05$ ]; NKor listeners, by contrast, did not differ  
614 significantly from NEng listeners [ $\beta = 0.247, z = 1.226, n.s.$ ].

615 To test additional group comparisons that were not evident in the main model  
616 in Table 4, alternative models were built with the same overall structure but with  
617 different reference levels for Group and/or Contrast. A model with NJpn set as  
618 the reference level of Group showed that NMnCh listeners were significantly more  
619 likely to be accurate on final stops than NJpn listeners [ $\beta = 0.772, z = 3.187, p <$   
620  $.01$ ], whereas NRus listeners were not [ $\beta = 0.143, z = 0.589, n.s.$ ]. A second  
621 model with NRus set as the reference level of Group showed that NMnCh listeners  
622 were more likely to be accurate on final stops than NRus listeners as well [ $\beta =$   
623  $0.661, z = 2.511, p < .05$ ]. In short, results on final stops showed the following  
624 cline of perceptual success: {NJpn, NRus} < NMnCh < {NKor, NEng}.

625 As for final non-stops (i.e., sonorants), all groups found these relatively easy  
626 to identify accurately as “other” sounds and showed near-ceiling performance on  
627 these stimuli. Nevertheless, a model with ‘non-stop’ set as the reference level of  
628 Contrast revealed that the NJpn and NMnCh groups were both significantly less  
629 likely to be accurate on final non-stops than the NEng group [ $\beta$ s  $< -1.820, z$ s

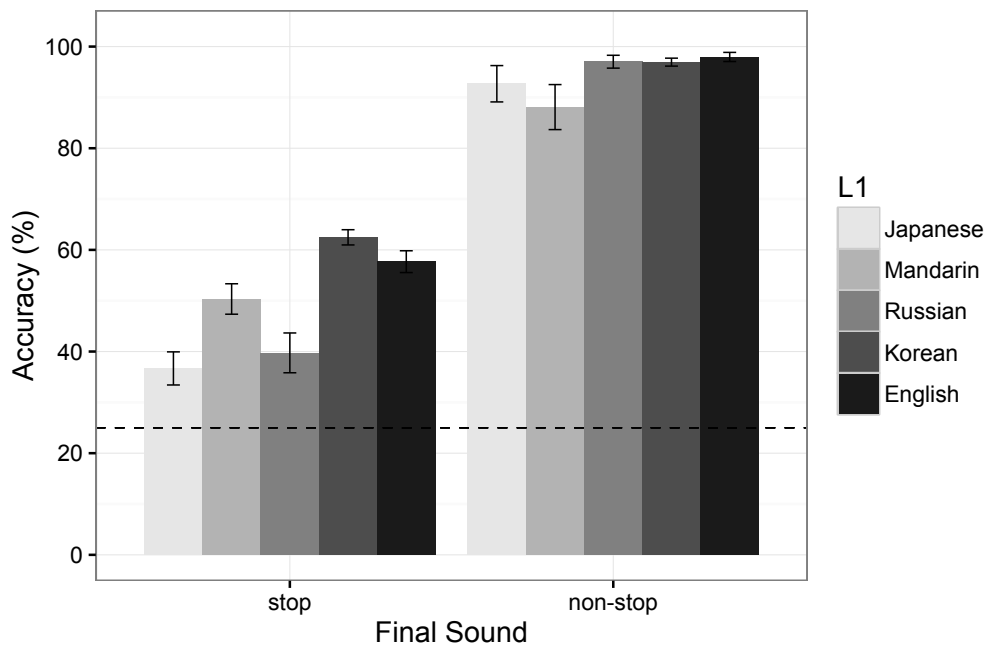


Figure 3: Percent accuracy in Experiment 2 (English identification), by final sound type and L1 group. “Stop” and “non-stop” refer, respectively, to final unreleased stops and to final non-stops (correctly identified as the “other” category, i.e. not /p t k/). Error bars mark  $\pm 1$  standard error of the mean over participants. The dotted line marks the level of chance performance.

630  $< -2.083, ps < .05$ ]. However, the NJpn and MnCh groups were not significantly  
631 different from each other on final non-stops, as shown in a second model with  
632 ‘non-stop’ as the reference level of Contrast and NJpn as the reference level of  
633 Group [ $\beta = 0.573, z = 0.628, n.s.$ ]. In short, results on final non-stops showed the  
634 following cline of perceptual success: {NJpn, NMnCh}  $<$  {NRus, NKor, NEng}.

635 Notably, the observed differences between groups on final stops were rela-  
636 tively consistent across vowel contexts. When the analysis considered only those  
637 items where the second (final) vowel was one of the point vowels, the overall  
638 pattern of results was found to remain the same. In other words, reducing the  
639 crosslinguistic disparity between the vowels in the L2 target items and the vowels  
640 of the various L2 listeners’ L1s did not significantly change the results, suggest-  
641 ing that the overall pattern of between-group differences (on final stops especially)  
642 was not due to differences in crosslinguistic similarity of vowels.

643 Accuracy on final stops, however, showed considerable variation according  
644 to place, largely attributable to the diverse response biases evident in listeners’  
645 errors (Figure 4). Although NKor listeners showed relatively little bias, NEng  
646 listeners, as described in prior work (Chang, 2016; Chang & Mishler, 2012), were  
647 biased to respond “t” for stop-final stimuli. This bias was consistent with the fact  
648 that /t/ is the stop most likely to occur without release in American English, and  
649 was also found in all groups’ errors on non-stop-final stimuli (although less so for  
650 the NMnCh group). Unlike NEng listeners, NJpn and NRus listeners were both  
651 heavily biased to respond “other” for stop-final stimuli, which may indicate that  
652 to their ears these stimuli did not sound like they ended in a stop; this would be  
653 consistent with the strong implication of release for stops in Japanese and Russian.  
654 The bias toward “other” was evident in NMnCh listeners, too, but less strongly, as

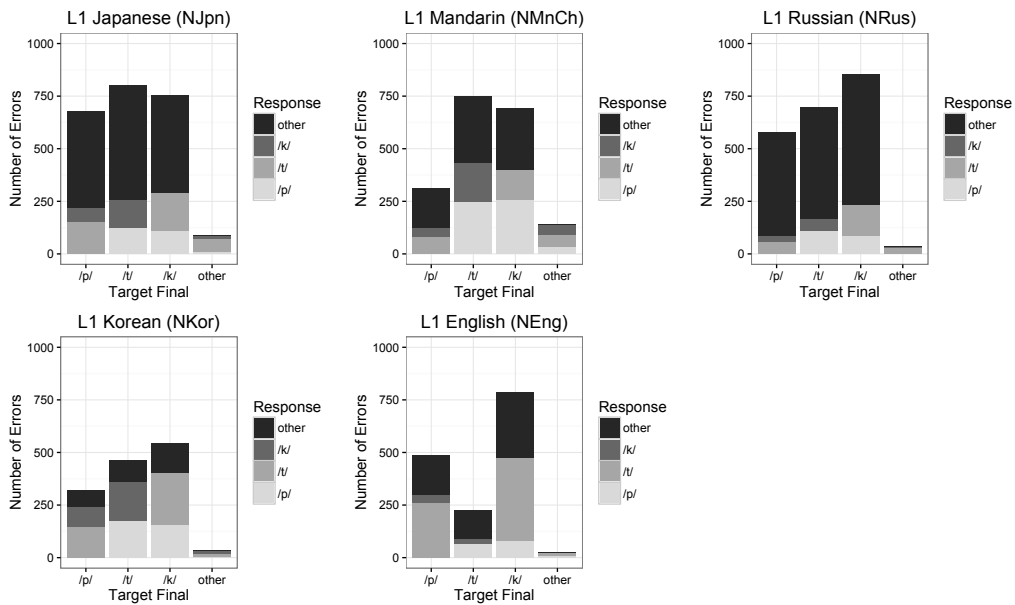


Figure 4: Total error counts in Experiment 2 (English identification), by group, target, and response. The groups are the five L1 groups; the targets and responses correspond to the four answer choices (/p/, /t/, /k/, “other”). For each target, error types are presented in order from bottom to top, shaded progressively darker according to response (with incorrect /p/ responses at the bottom in the lightest gray and incorrect “other” responses at the top in black).

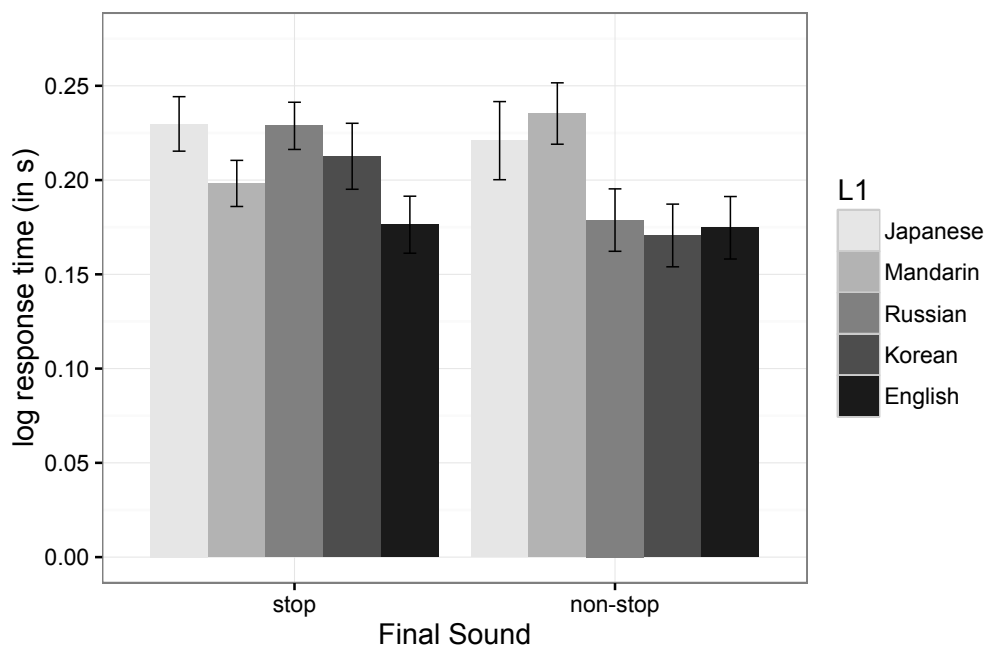


Figure 5: Log response time for correct responses in Experiment 2 (English identification), by contrast type and L1 group. “Stop” and “non-stop” refer, respectively, to final unreleased stops and to final non-stops (correctly identified as the “other” category, i.e. not /p t k/). Error bars mark  $\pm 1$  standard error of the mean over participants.

655 they were also inclined to respond “p” for stop-final stimuli.

656 As in Experiment 1, RTs in Experiment 2 were examined to check whether  
 657 group differences in identification accuracy could be attributed to differences in  
 658 response speed. The average log-transformed RTs for correct identification judg-  
 659 ments are shown in Figure 5 (excluding extreme data points greater than 2.5 stan-  
 660 dard deviations from each participant’s mean, which comprised 9% of the data).  
 661 There was no effect of Contrast on RTs [Kruskal-Wallis  $\chi^2(1) = 2.348$ , n.s.], but  
 662 a significant effect of Group [Kruskal-Wallis  $\chi^2(4) = 12.659$ ,  $p < .05$ ]. Again,  
 663 however, the specific pattern of group differences in RTs only supported the ac-

664 curacy results: groups that achieved higher accuracy showed RTs that were either  
665 not significantly different from, or faster than, the RTs of groups that achieved  
666 lower accuracy (e.g., NEng vs. NJpn/NMnCh on non-stop-final stimuli).

### 667 3.3. Experiment 3: Stop identification in Korean

668 The data from Experiment 3 were subjected to the same analysis as the data  
669 from Experiment 2: logistic mixed-effects regression on the log odds of correct  
670 identification. As in Experiment 2, higher odds of accuracy are interpreted as re-  
671 flecting more successful perception. The model-building procedure was the same,  
672 starting with random-effect terms for Participant and Item and augmenting the  
673 model incrementally with fixed-effect terms for Final (stop, non-stop; reference  
674 level = stop), Group (NEng, NJpn, NKor, NMnCh, NRus; reference level = NKor),  
675 and a Final x Group interaction. As in Experiment 2, all variables were treatment-  
676 coded, and the reference level of the Group variable was set to contrast each of  
677 the groups unfamiliar with the target language (Korean) with the L1 Korean (i.e.,  
678 NKor) group. The basic model with only random intercepts by Participant and  
679 by Item was improved by adding the Final term [ $\chi^2(1) = 24.080, p < .0001$ ],  
680 the Group term [ $\chi^2(4) = 81.941, p < .0001$ ], and the Final x Group interaction  
681 [ $\chi^2(4) = 118.200, p < .0001$ ], so the final model [ $n = 11760$ , log-likelihood  
682 =  $-5114$ ] included all of these fixed effects, summarized in Table 5.

683 Given the L1 status of the NKor group in Experiment 3, the predicted cline of  
684 success for stop identification was NJpn < NMnCh < NRus < NEng < NKor, while  
685 that for non-stop identification was {NJpn, NMnCh} < NRus < NEng < NKor.  
686 Figure 6 shows that there was variation among the groups in their identification  
687 performance in Korean, too. Model results (Table 5) revealed that NKor listen-  
688 ers were highly likely to identify Korean final stops accurately [ $\beta = 2.122, z =$

Table 5: Fixed-effect terms in the logistic mixed-effects model of the likelihood of accuracy in Experiment 3 (Korean identification). Significance codes: \*  $p < .05$ , \*\*  $p < .01$ , \*\*\*  $p < .001$ .

Predictor	$\beta$	$SE$	$z$	$p$	
(Intercept)	2.122	0.247	8.580	< .001	***
Final: non-stop	2.081	0.450	4.629	< .001	***
Group: NJpn	-1.992	0.255	-7.825	< .001	***
Group: NMnCh	-1.995	0.254	-7.845	< .001	***
Group: NRus	-0.552	0.257	-2.147	.032	*
Group: NEng	-1.318	0.255	-5.176	< .001	***
Final: non-stop x Group: NJpn	1.301	0.371	3.511	< .001	***
Final: non-stop x Group: NMnCh	-0.886	0.325	-2.722	.006	**
Final: non-stop x Group: NRus	1.330	0.484	2.749	.006	**
Final: non-stop x Group: NEng	-0.076	0.348	-0.217	.828	



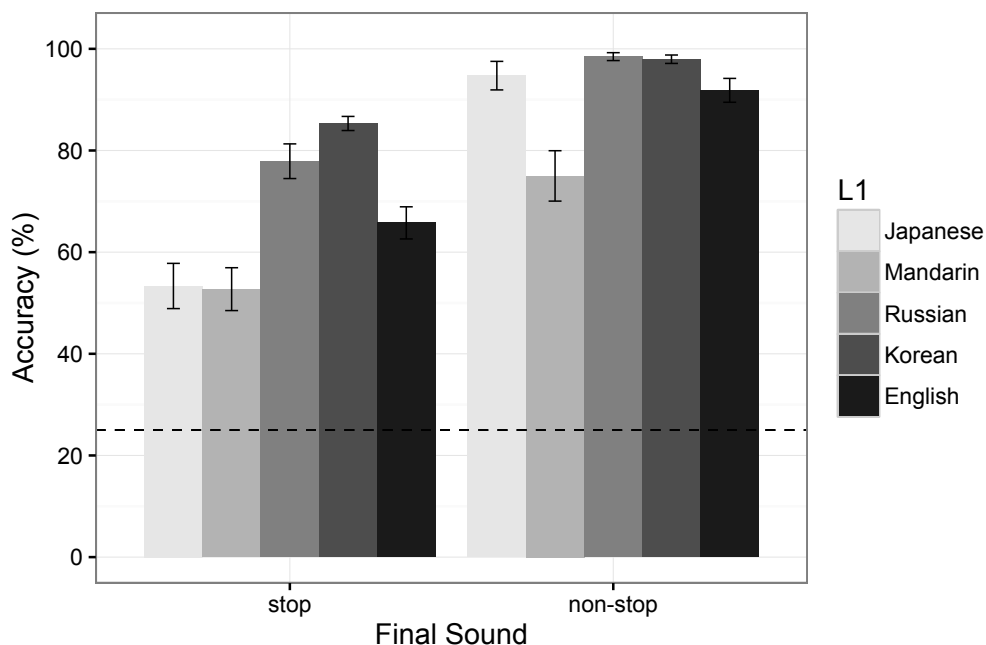


Figure 6: Percent accuracy in Experiment 3 (Korean identification), by final sound type and L1 group. “Stop” and “non-stop” refer, respectively, to final unreleased stops and to final non-stops (correctly identified as the “other” category, i.e. not /p t k/). Error bars mark  $\pm 1$  standard error of the mean over participants. The dotted line marks the level of chance performance.

689 8.580,  $p < .0001$ ]; however, they were still more likely to identify final non-stops  
 690 (as “other” sounds) accurately [ $\beta = 2.081, z = 4.629, p < .0001$ ], and this was  
 691 true of all groups. In comparison to the NKor group, all other groups were signif-  
 692 icantly less likely to identify final stops accurately [ $\beta_s < 0.551, z_s < 2.146, p_s$   
 693  $< .05$ ], but there were further differences among them.

694 To test additional group comparisons that were not evident in the main model  
 695 in Table 5, alternative models were built with the same structure but different ref-  
 696 erence levels for Group and/or Contrast. NJpn and NMnCh listeners were the least  
 697 likely to be accurate on final stops (showing nearly identical levels of accuracy,

698 contrary to P1), and a model with NJpn set as the reference level of Group showed  
699 that NRus and NEng listeners were both significantly more likely to be accurate  
700 on final stops than the NJpn group [ $\beta_s > 0.674$ ,  $z_s > 2.573$ ,  $ps < .05$ ]. Alternative  
701 models with NMnCh or NEng set as the reference level of Group further  
702 showed that NEng and NRus listeners were both significantly more likely to be  
703 accurate on final stops than NMnCh listeners [ $\beta_s > 0.675$ ,  $z_s > 2.585$ ,  $ps < .01$ ],  
704 and that NRus listeners were more likely to be accurate on final stops than NEng  
705 listeners [ $\beta = 0.768$ ,  $z = 2.900$ ,  $p < .01$ ]. Thus, results on final stops showed the  
706 following cline of perceptual success: {NJpn, NMnCh} < NEng < NRus < NKor.

707       Similar to Experiment 2, most groups found final non-stops (i.e., sonorants) in  
708 Korean relatively easy to identify accurately as “other” sounds. The exception was  
709 the NMnCh group, which failed to reach 80% accuracy on non-stop-final stimuli.  
710 A model with ‘non-stop’ set as the reference level of Contrast showed that the  
711 NMnCh group was significantly less likely to be accurate on final non-stops than  
712 the NKor group [ $\beta = -3.743$ ,  $z = 5.164$ ,  $p < .0001$ ], as was the NEng group  
713 [ $\beta = -2.078$ ,  $z = 2.802$ ,  $p < .01$ ]; however, the NJpn and NRus groups were not  
714 significantly different from the NKor group [ $|\beta| < 0.785$ ,  $|z| < 0.986$ , n.s.]. A  
715 second model with ‘non-stop’ as the reference level of Contrast and NMnCh as  
716 the reference level of Group showed that the NEng group was still more likely to  
717 be accurate on final non-stops than the NMnCh group [ $\beta = 1.667$ ,  $z = 2.812$ ,  $p <$   
718  $.01$ ], while a third model with ‘non-stop’ as the reference level of Contrast and  
719 NJpn as the reference level of Group showed that the NEng group was marginally  
720 less likely to be accurate on final non-stops than the NJpn group [ $\beta = -1.283$ ,  $z =$   
721  $-1.884$ ,  $p = .059$ ]. In short, results on final non-stops showed the following cline  
722 of perceptual success: NMnCh < NEng < {NJpn, NRus, NKor}.

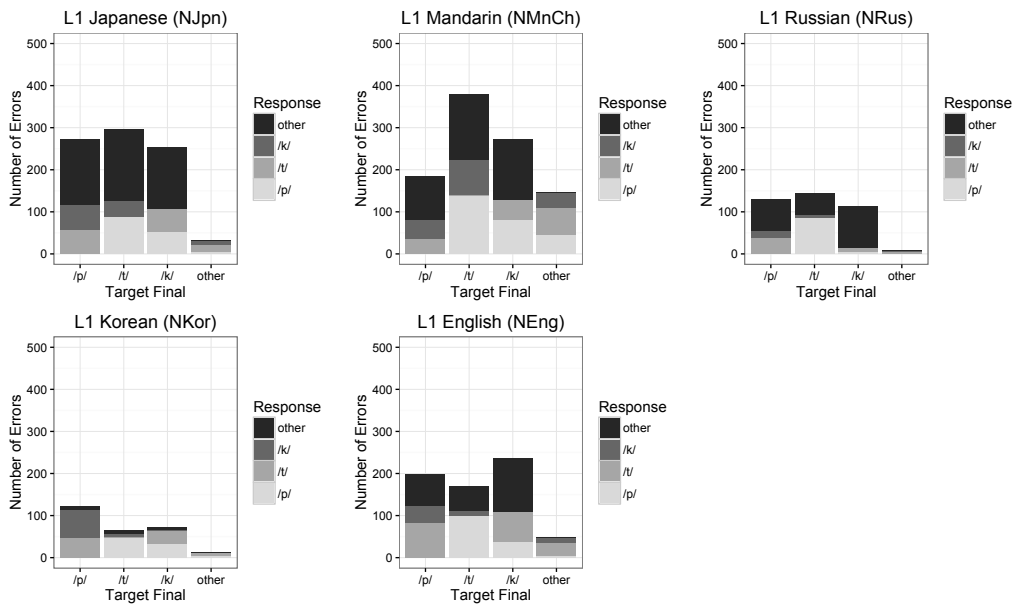


Figure 7: Total error counts in Experiment 3 (Korean identification), by group, target, and response. The groups are the five L1 groups; the targets and responses correspond to the four answer choices (/p/, /t/, /k/, “other”). For each target, error types are presented in order from bottom to top, colored progressively darker according to response (with incorrect /p/ responses at the bottom in the lightest gray and incorrect “other” responses at the top in black).

723 As in Experiment 2, the overall patterns in Experiment 3 remained the same  
724 when results were limited to items with a final point vowel; however, again there  
725 was considerable variation on final stops according to place due to different re-  
726 sponse biases across groups. Error analyses (Figure 7) showed no clear bias for  
727 NKor listeners other than toward “p” errors on final /t/. NEng listeners again  
728 showed a bias to respond “t”, but less strongly here, as their most common error on  
729 final /k/ was instead to respond “other”. NJpn and NMnCh listeners were again  
730 biased to respond “other” for stop-final stimuli (although somewhat less strongly  
731 than in Experiment 2). NRus listeners, too, were biased to err by responding  
732 “other”, except in the case of final /t/, where their most common error was to  
733 respond “p”. What was most striking about NRus listeners’ errors here, however,  
734 was their rarity, which resulted in the NRus group being 38% more accurate on  
735 the Korean stops in Experiment 3 than on the English stops in Experiment 2.

736 In Experiment 3 as well, RTs were examined to check whether group dif-  
737 ferences in accuracy could be attributed to differences in response speed. The  
738 average log-transformed RTs for correct identification judgments are shown in  
739 Figure 8 (excluding extreme data points greater than 2.5 standard deviations from  
740 each participant’s mean, which comprised 7% of the data). There was no effect  
741 of Contrast on RTs [Kruskal-Wallis  $\chi^2(1) = 0.312$ , n.s.], but a significant effect  
742 of Group [Kruskal-Wallis  $\chi^2(4) = 35.626$ ,  $p < .0001$ ]. As in Experiment 2, how-  
743 ever, the specific pattern of group differences in RTs strengthened the findings on  
744 accuracy: groups that achieved higher accuracy showed RTs that were either not  
745 significantly different from, or faster than, the RTs of groups that achieved lower  
746 accuracy (e.g., NRus/NKor/NEng vs. NJpn/NMnCh on stop-final stimuli).

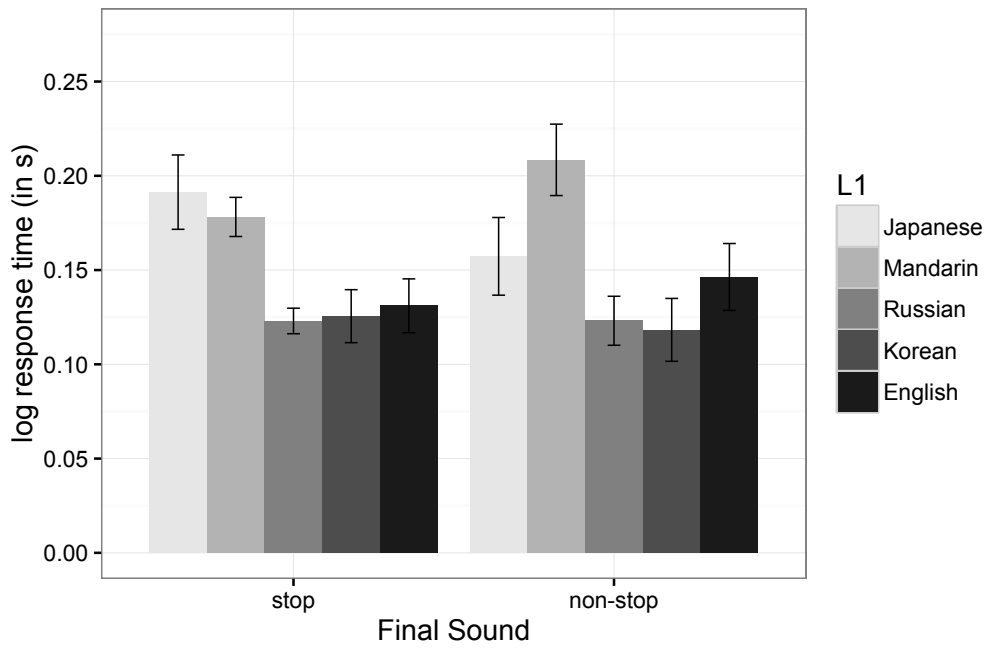


Figure 8: Log response time for correct responses in Experiment 3 (Korean identification), by contrast type and L1 group. “Stop” and “non-stop” refer, respectively, to final unreleased stops and to final non-stops (correctly identified as the “other” category, i.e. not /p t k/). Error bars mark  $\pm 1$  standard error of the mean over participants.

#### 747 **4. Discussion**

748 In regard to Q1 in Section 1.3, the results of Experiments 1–3 (summarized  
749 in Table 6) provided more support for the cue-centric view than the direct phono-  
750 tactic view of L1 transfer in L2 speech perception. In the discrimination of un-  
751 released stop contrasts in English (Experiment 1), the patterning of L2 listener  
752 groups was consistent with the predicted cline of perceptual success (i.e., P1:  
753 NJpn < NMnCh < NRus < NKor), although the difference between NJpn and  
754 NMnCh listeners did not reach significance. In the discrimination of the presence  
755 vs. absence of an unreleased stop, the NKor group displayed greater sensitivity  
756 than the L1 listener (NEng) group as well. In the identification of unreleased stops  
757 in English (Experiment 2), there was a similar cline of perceptual success, except  
758 that the NRus group underperformed the NMnCh group. The NRus group also  
759 showed an unexpected pattern of performance in the identification of unreleased  
760 stops in Korean (Experiment 3), where they diverged from the predicted cline of  
761 perceptual success by outperforming the NEng group.

762 Although one aspect of the results, the failure of NMnCh listeners to out-  
763 perform NJpn listeners on final stops in Experiment 3, contradicts P1 from the  
764 cue-centric view, there are three aspects of the results that cannot be explained  
765 under the direct phonotactic view: (1) the advantageous transfer (i.e., native-  
766 language transfer benefit) evident in NKor listeners' better-than-native sensitivity  
767 to stop/zero contrasts in English, (2) NMnCh listeners' advantage over NJpn lis-  
768 teners in identification of unreleased stops in English, which supports P1, and (3)  
769 NJpn listeners' advantage over NMnCh listeners in identification of the absence  
770 of a final voiceless stop in Korean, which supports P2. This is because the direct  
771 phonotactic view provides no way of deriving native-language transfer benefits

Table 6: Summary of results in Experiments 1–3. NJpn = L1 Japanese; NMnCh = L1 Mandarin Chinese; NRus = L1 Russian; NKor = L1 Korean; NEng = L1 American English.

Experiment	Condition	Observed cline of perceptual success
1 (English discrimination)	stop/stop contrasts	{NJpn, NMnCh} < NRus < {NKor, NEng}
	stop/zero contrasts	{NJpn, NMnCh, NRus} < NEng < NKor
2 (English identification)	final stops	{NJpn, NRus} < NMnCh < {NKor, NEng}
	final non-stops	{NJpn, NMnCh} < {NRus, NKor, NEng}
3 (Korean identification)	final stops	{NJpn, NMnCh} < NEng < NRus < NKor
	final non-stops	NMnCh < NEng < {NJpn, NRus, NKor}

772 or, at a more basic level, differences between nonnative listeners whose L1s have  
 773 the same high-ranked constraint against the target L2 configuration.<sup>11</sup> The cue-

<sup>11</sup>Although it is possible for the same target L2 configuration to be ruled out in various ways in the L1, a different formulation of the relevant L1 phonotactic constraints does not change the core limitation of the direct phonotactic view: namely, a level of analysis that is too coarse for fine-grained predictions about L2 perception. For example, Mandarin stop phonotactics can be formulated in three ways, in view of the ban on final /m/: (1) a manner-specific place constraint \*[+sonorant, labial]#, complementing a general manner constraint \*[-sonorant, -continuant]#, (2) a general place constraint \*[labial]#, complementing a manner-specific place constraint \*[-sonorant, coronal/dorsal]#, and (3) a general place constraint \*[labial]#, overlapping a general manner constraint \*[-sonorant, -continuant]#. All three formulations reflect the fact that certain place features are more free to occur in final position in Mandarin compared to others (e.g., \*[labial]# is maximally restrictive, whereas \*[-sonorant, coronal]# is less restrictive), but none speaks to the cohort of cues that need to be attended to in order to perceive those features. In other words, phonotactic constraints, with their focus on linguistic targets rather than the perceptual cues that are necessary to recover those targets, are fundamentally underinformative when it comes to perception.

774 centric view, by contrast, is able to account for these effects straightforwardly as  
775 the product of listeners' gradient L1 attunement to a crucial auditory cue.

776 Each of these three findings merits further comment. The first finding is dis-  
777 cussed in greater detail in Chang (2016), which reports data from heritage Korean  
778 listeners that supports the interpretation of the NKor group's relatively weak ad-  
779 vantage over the NEng group in English perception as indeed the result of L1  
780 transfer from Korean. In short, heritage Korean listeners of the same age and ed-  
781 ucation level as the NEng group show a much stronger advantage, outperforming  
782 NEng listeners by a significantly greater margin on both stop/zero discrimination  
783 and stop identification with response speeds that tend to be faster. These results  
784 suggest that, despite the inherent opportunity cost of exposure to Korean (which  
785 necessarily reduces the amount of exposure to the target language, English), her-  
786 itage Korean listeners, as well as NKor listeners, extract a generalizable perceptual  
787 benefit from their experience attending to VC transitions in Korean. Again, this  
788 kind of native-language transfer benefit does not follow from the direct phonotac-  
789 tic view, but is easily explained under the cue-centric view.

790 As for the second and third findings involving the differences in performance  
791 between the NJpn and NMnCh groups, note that these findings cannot be an arti-  
792 fact of differences in L2 proficiency, education level, or other variables that might  
793 be related broadly to improved perception because the directionality of the group  
794 difference is inconsistent across conditions for the same target language (English)  
795 and, moreover, within the same experiment (Experiment 2). That is to say, if a  
796 hypothetically higher English proficiency level is what led to the NMnCh group  
797 outperforming the NJpn group in English stop identification, this should have led  
798 to better performance on non-stop-final stimuli, too. Therefore, the observed pat-



799 tern on non-stop-final stimuli, where it is the NJpn group outperforming the NM-  
800 nCh group, rules out an explanation of the NJpn-NMnCh disparities in terms of  
801 differences in uncontrolled factors that would globally affect English perception.

802 Instead, it is argued that the NJpn-NMnCh disparities are due to the different  
803 ways in which L1-specialized perception routines bias NJpn and NMnCh listen-  
804 ers' processing of L2 speech. In the case of Japanese, little perceptual attention  
805 (PA) is devoted to VC transitions because these carry a low relative functional load  
806 (RFL). Before a word-final consonant, they do not cue a contrast because none  
807 exists; before a word-medial consonant or consonant sequence (which is always  
808 followed by a vowel), they cooccur with CV transitions and/or the release burst of  
809 a prevocalic stop, both arguably stronger cues. On the other hand, Japanese SPRs  
810 involve high PA to vowel duration, due to its high RFL as the marker of a length  
811 contrast (cf. /kado/ 'corner' vs. /ka:do/ 'card', /kaze/ 'wind' vs. /kaze:/ 'tax-  
812 ation'; Tajima et al., 2008). In the case of Mandarin, more PA is devoted to VC  
813 transitions due to their higher RFL in Mandarin. Unlike Japanese, Mandarin does  
814 contrast consonants in word-final position, even if the contrast is limited to sono-  
815 rants (/n ŋ/, which have some weak internal cues, /ɹ/, depending on dialect, and  
816 /j w/; Duanmu, 2007, 2014) and there is some covariation of vowel quality with  
817 the coda. However, Mandarin SPRs do not include much PA to vowel duration  
818 due to a low RFL; Mandarin has no length contrast, and other contrasts involv-  
819 ing duration, such as tone contrasts (see, e.g., Chang & Yao, 2007), are signaled  
820 by strong primary cues (e.g., voice pitch, voice quality). Thus, the picture that  
821 emerges from considering the RFL of, and resulting PA to, VC transitions and  
822 vowel duration in Japanese and Mandarin is one that predicts exactly the comple-  
823 mentary disparities between NJpn and NMnCh listeners in English perception:

824 more PA to VC transitions for NMnCh listeners is reflected in better identification  
825 of final stops, while more PA to vowel duration for NJpn listeners is reflected in  
826 better identification of the absence of a final stop (i.e., an “open” syllable quality).

827 In regard to Q2 about the interaction of L1 transfer with L2 familiarity, those  
828 listeners whose L1s did not provide much motivation to attend to VC transition  
829 cues were indeed relatively more disadvantaged when the target language was un-  
830 familiar (Experiment 3). This was evident in the larger decrements in accuracy on  
831 final stops for NJpn and NMnCh listeners (compared to NRus, NEng, and NKor  
832 listeners) in Experiment 3 than in Experiment 2, supporting P3. With the excep-  
833 tion of the NJpn-NEng difference (which was actually larger in Experiment 2), all  
834 other group differences between the NJpn and NMnCh groups on the one hand and  
835 the NRus, NEng, and NKor groups on the other hand were larger in Experiment  
836 3 (mean difference of 23% in Experiment 3 vs. 10% in Experiment 2), a pattern  
837 that could not be explained in terms of speed-accuracy tradeoffs. These findings  
838 are thus consistent with the view (of several theoretical frameworks, such as ASP  
839 and the Ontogeny Phylogeny Model; see Major, 2001) that L1 transfer decreases  
840 over the course of L2 acquisition with the development of an L2 system. For lis-  
841 teners whose L1 provides good reason (i.e., high RFL) to attend to VC transitions  
842 (e.g., NKor), transfer of L1 SPRs to L2 perception is less detrimental, and can  
843 even be advantageous, since these SPRs devote substantial PA to VC transitions.  
844 However, for listeners whose L1 provides little reason to attend to VC transitions,  
845 transfer of L1 SPRs to L2 perception is especially negative because in these SPRs  
846 VC transitions are largely ignored. Consequently, acquiring knowledge of the tar-  
847 get L2 (including appropriate perceptual attunement to VC transitions) stands to  
848 particularly benefit listeners who are most at risk for negative transfer.

849        Although Experiments 2–3 differed in design in a few ways, comparing the  
850 results from these two experiments by group reveals two patterns. First, accuracy  
851 on final stops was higher in Experiment 3 than in Experiment 2 for all groups  
852 (as expected from the isolated presentation format, strictly monophthongal vowel  
853 contexts, and unitary talker used in Experiment 3). Second, the increase in ac-  
854 curacy from Experiment 2 to 3 differed considerably across groups. Whereas  
855 the NMnCh and NEng groups showed small increases in accuracy (2% and 8%,  
856 respectively), the NJpn, NRus, and NKor groups showed significantly larger in-  
857 creases (17–38%). By comparison, the absence of a final voiceless stop was iden-  
858 tified with similarly high accuracy in Experiment 3 relative to Experiment 2 by  
859 the NJpn, NRus, and NKor groups (increases of 1–2%), but with lower accu-  
860 racy by the NEng and NMnCh groups (decreases of 6–13%). However, the most  
861 salient disparity in performance between the two experiments was the nearly 40%  
862 difference in accuracy on final stops for the NRus group, the result of their lower-  
863 than-expected accuracy in English and higher-than-expected accuracy in Korean.

864        This raises the question of why NRus listeners showed these unexpected pat-  
865 terns of performance. One potential explanation for NRus listeners’ unexpectedly  
866 poor identification in English is an overgeneralization of burst occurrence in En-  
867 glish. Perhaps, for example, the consistent realization of final stops as released  
868 in Russian biased NRus listeners to pick up on released tokens of final stops in  
869 English, resulting in L2 SPRs in which VC transitions were given inappropriately  
870 low PA. Using such ineffectual L2 SPRs to perceive the English stimuli would ac-  
871 count for NRus listeners’ poor English identification performance; however, under  
872 this account, they should also have underperformed in English discrimination and  
873 Korean identification (which they did not). In other words, NRus listeners’ perfor-

874 mance in Experiments 1 and 3 strongly suggests that they were capable of using  
875 VC transition cues, but this ability was blocked in Experiment 2 for some reason.

876 The reason that ASP would offer for this kind of blocking in Experiment 2,  
877 but not in Experiment 1, is the difference in task demands between Experiments  
878 1 and 2: Experiment 2 was more difficult due to the more detailed identification  
879 response required, the non-word status of the target items, and the embedding of  
880 these items within a sentence-length utterance. Consequently, it is possible that  
881 NRus listeners performed relatively worse (including worse than NMnCh listen-  
882 ers) in Experiment 2 because of increased task demands that caused them to revert  
883 to (ill-suited) L1 SPRs. This could only make sense, however, if the NRus group  
884 was more affected by the demands of Experiment 2 than the other groups were,  
885 which would in turn imply that NRus listeners had lower English proficiency (and,  
886 thus, less ability to cope with higher demands in an English perceptual task). Un-  
887 fortunately, formal proficiency scores for the participants are not available; how-  
888 ever, it is worth noting that compared to the NMnCh group that outperformed  
889 them in Experiment 2, the NRus group was, on average, older at the time of study  
890 [Welch-corrected two-sample  $t(30.2) = 3.773, p < .001$ ], older upon arrival in  
891 the U.S. [Welch-corrected two-sample  $t(32.8) = 2.718, p < .05$ ], and more vari-  
892 able in age, age of arrival, and time speaking English (see Table 2). These facts are  
893 consistent with a scenario in which the NRus group had lower English proficiency,  
894 though without actual proficiency data we can only speculate on this point.

895 As for NRus listeners' exceptionally accurate identification in Korean, this re-  
896 sult suggests that NRus listeners were not only capable of utilizing VC transition  
897 cues (as mentioned above), but in fact more attuned to VC transition cues than  
898 NEng listeners were in the perception of an unfamiliar L2. Given the comparative

899 estimates of RFL and PA outlined at the beginning of this article, this reversal of  
900 the NRus and NEng groups on Korean is surprising. Note that a higher estimation  
901 of RFL of VC transitions in Russian (based on including plain-palatalized con-  
902 trasts in the count of contrasts<sup>12</sup>) would predict only that NRus listeners should  
903 be more attuned to VC transitions across the board. However, the NRus group  
904 outperformed the NEng group only on Korean, suggesting that these two groups  
905 responded to the unfamiliarity of this language in different ways: whereas the  
906 NEng group appeared to transfer L1 SPRs from English, the NRus group appeared  
907 instead to retune their perception or revert to a language-general perceptual mode.

908 This disparity between the NEng and NRus groups raises a number of inter-  
909 esting questions. For example, what factors encourage the favorable perceptual  
910 adaptation seen in the NRus group but not the NEng group? Furthermore, given  
911 that NRus listeners seem not to transfer L1 SPRs from Russian to perceive Ko-  
912 rean, why do they not transfer L2 SPRs from English? A burgeoning area of  
913 cross-language speech research is the investigation of third-language (L3) phonol-  
914 ogy (Gallardo del Puerto, 2007; Onishi, 2013; Wrembel, 2014), which points to  
915 an alternate possibility for perception of Korean (technically an L3 for the NRus  
916 group): L2 transfer rather than L1 transfer. The fact that perception of an L2 is  
917 positively correlated with perception of an L3 (Onishi, 2013) is consistent with

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<sup>12</sup>Although Russian has the same major places of articulation in stops as English (i.e., labial, coronal, dorsal), there may be effectively more place contrasts in Russian because final labial and coronal stops can occur in both plain (“hard”) and palatalized (“soft”) versions (Timberlake, 2004). Since these secondary articulations can be regressively assimilated by preceding consonants (see, e.g., Barry, 1992; Daniels, 1972), it is possible that they leave a trace in a preceding vowel as well, which would increase the RFL of VC transitions in Russian.

918 the view that L2 transfer is one type of transfer that can occur in L3 acquisition.  
919 Nevertheless, L2 transfer was not readily identifiable in NRus listeners' perfor-  
920 mance, as evident in the lack of similarity between their outcomes in Experiments  
921 2 and 3. Thus, while there is at least one proposal in the L2 speech literature for  
922 how L1 transfer interacts with universal processes in L2 acquisition (Ontogeny  
923 Phylogeny Model; Major, 2001), more research is needed to understand how L2  
924 transfer interacts with both of these factors over the course of L3 acquisition.

## 925 **5. Conclusion**

926 To return to the direct phonotactic and cue-centric views articulated at the be-  
927 ginning of this paper, recall that ostensibly phonotactic transfer (as in Davidson,  
928 2011b) was also able to be explained in terms of attentional transfer—namely,  
929 transfer of SPRs shaped by the RFL of acoustic cues in the L1. In the case of  
930 Russian listeners' superior cluster/non-cluster discrimination, for example, this  
931 finding could be attributed to either of two kinds of advantage that Russian lis-  
932 teners have over Catalan/English listeners: (1) relative freedom from constraints  
933 against consonant clusters (the direct phonotactic view), or (2) greater perceptual  
934 attunement to acoustic cues contained in the vocalic interval between consecutive  
935 consonants, which distinguish between consonant adjacency vs. non-adjacency  
936 (the cue-centric view). In fact, insofar as the phonological patterns of L2 listen-  
937 ers' L1 conspire to either limit or enhance the amount of perceptual attention paid  
938 to the crucial cues associated with an L2 target, it will generally be possible to  
939 reframe apparent cases of phonotactic transfer in L2 perception as cases of cue-  
940 based attentional transfer.

941 Despite this empirical overlap, however, this study has shown that direct phono-

942 tactic and cue-centric views of transfer do not necessarily converge on the same  
943 predictions; in particular, the direct phonotactic view may not lead to the right  
944 predictions without being supplemented by the insights of the cue-centric view.  
945 If transfer must be able to occur at a cue-based level to make the right predic-  
946 tions, though, this raises the question of whether L2 perception is ever influenced  
947 by transfer at an unambiguously phonotactic (i.e., truly abstract) level. Some re-  
948 searchers suggest that abstract—and even innate—phonological knowledge must  
949 play a role in L2 perception (e.g., Berent et al., 2007; Berent & Lennertz, 2010);  
950 however, previous findings interpreted in terms of an abstract effect may often  
951 not reflect abstract knowledge per se (cf. Peperkamp, 2007), and it is clear that  
952 L2 perception must, in any case, engage attention to subphonemic details (Wilson  
953 et al., 2014). Addressing this question satisfactorily may thus require languages  
954 that show larger mismatches between phonotactics and the RFL of cues, which  
955 are likely to involve typologically unusual patterns. In future work, for example,  
956 it would be interesting to examine listeners of two unusual types of L1s (see Table  
957 7): (1) Type A, which disallows /p t k/ finally, but otherwise allows many place  
958 contrasts among final sonorants without strong internal cues (e.g., nasals), and (2)  
959 Type B, which allows /p t k/ finally, but only released, and no other final place  
960 contrasts.<sup>13</sup> The properties of Type A languages are disadvantageous at the level  
961 of phonotactics, but advantageous at the level of the cue, while the properties of

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<sup>13</sup>By crowding the space of coda contrasts with several places of articulation within one manner of articulation instead of maximally utilizing a few places across (multiple) manners, Type A languages run counter to a typological preference for featural economy (Clements, 2003; Martinet, 1968). By allowing coda consonants but limiting these to obstruents, Type B languages run counter to a typological preference for sonorants—in particular, nasals—as syllable codas (Blevins, 2004).

Table 7: Summary of phonotactic and cue-centric properties of Type A and B languages in terms of their potential consequences for perceiving L2 final voiceless stops (/p t k/) that are unreleased. The crucial variable at the level of phonotactics is whether or not /p t k/ are allowed finally; the crucial variable at the level of the cue is the relative functional load (RFL) of VC transitions.

Language type	Variable	Potential effect
Type A	PHONOTACTIC: final /p t k/ disallowed	<i>disadvantageous</i>
	CUE-CENTRIC: high RFL of VC transitions, due to many final place contrasts among sonorants without strong internal cues (e.g., /m ŋ n ŋ ɲ ŋ ɳ/)	<i>advantageous</i>
Type B	PHONOTACTIC: final /p t k/ allowed	<i>advantageous</i>
	CUE-CENTRIC: low RFL of VC transitions, due to few final place contrasts (limited to released /p t k/)	<i>disadvantageous</i>

962 Type B languages are essentially the reverse. According to the cue-centric view,  
 963 Type A speakers should be better at perceiving L2 unreleased stops because they  
 964 are biased to attend to VC transitions, and their advantage over Type B speakers  
 965 should be greater when the L2 is unfamiliar as opposed to familiar. Importantly,  
 966 however, this is the prediction only in case the L1 transitions are similar enough  
 967 to the L2 transitions that the L1 bias is in fact helpful. As shown by Tsukada et al.  
 968 (2007), L2 listeners who speak various L1s with unreleased stops do not show the  
 969 same degree of native-language transfer benefit in perception of L2 final stops,  
 970 which may be related to crosslinguistic variability in patterns of coarticulation  
 971 and, thus, in the phonetic quality of VC transitions in the L1.

972 To be clear, it is not the claim of this paper that phonotactic constraints of the  
 973 L1 play no role in L2 learning. There is abundant evidence that L1 phonolog-



974 ical patterns, including phonotactics, influence L2 production (for a review, see  
975 Broselow & Kang, 2013), and whether abstract L1 patterns clearly distinct from  
976 processing biases may additionally influence L2 perception remains an open ques-  
977 tion (though cf. Boomershine et al., 2008). The point is rather that a cue-centric  
978 view of transfer makes better predictions in regard to L2 perception than a di-  
979 rect phonotactic view. Thus, it is argued here that the question to ask in regard  
980 to L1 influence in L2 perception is not *whether* the target is permitted in the L1,  
981 but rather *how much* the relevant acoustic cues are attended to in the L1 (which  
982 involves considering their RFL). This level of analysis is different from that in  
983 current frameworks of L2 perception, such as the gestural level in PAM-L2 (Best  
984 & Tyler, 2007) and the position-specific allophonic level in SLM (Flege, 1995).  
985 Furthermore, it involves broad consideration of a cue's function across the L1.  
986 For example, as outlined in Section 1.1, estimating the RFL of VC transition cues  
987 involves considering not just the VC transition cues to the exact target structure  
988 (final voiceless stops), which may not occur in the L1, but rather VC transitions  
989 in general (i.e., in any context that may increase their unique linguistic burden).

990 In closing, the contribution of the present study to the literature on L2 acqui-  
991 sition and nonnative speech perception is in the cue-centric view of L1 transfer  
992 as an issue about gradient biases to attend to acoustic cues as well as acquired L2  
993 knowledge. For the comparative purposes of this study, perceptual attention to a  
994 cue, and its basis in the cue's RFL, were considered mainly in comparative terms,  
995 based on a working quantitative definition of RFL. However, recent work quanti-  
996 fying the notion of functional load for contrasts (Kang & Johnson, 2014; Wedel  
997 et al., 2013) provides some insight into how RFL for cues might be quantified  
998 more precisely in future work. The examination of RFL for cues as a factor shap-

999 ing SPRs for the L1, the transfer of L1 SPRs to L2 perception, and the interaction  
1000 of L1 SPRs with L2 SPRs and universal processes in L3 perception promises to  
1001 shed new light on both native and cross-language speech development and the  
1002 ways in which native perceptual processes can and cannot be adapted to suit the  
1003 requirements of a new language.

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