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Evidence of sound production by spawning lake trout (*Salvelinus namaycush*) in lakes Huron and Champlain

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Keywords: acoustic communication, vocalization, Salmonid, reproduction, charr

Abbreviation: digital spectrogram long-term acoustic recorders (DSG)

36 **Abstract**

37 Two sounds associated with spawning lake trout (*Salvelinus namaycush*) in lakes Huron and
38 Champlain were characterized by comparing sound recordings to behavioral data collected using
39 acoustic telemetry and video. These sounds were named growls and snaps, and were heard on
40 lake trout spawning reefs, but not on a non-spawning reef, and were more common at night than
41 during the day. Growls also occurred more often during the spawning period than the pre-
42 spawning period, while the trend for snaps was reversed. In a laboratory flume, sounds occurred
43 when male lake trout were displaying spawning behaviors; growls when males were quivering
44 and parallel swimming, and snaps when males moved their jaw. Combining our results with the
45 observation of possible sound production by spawning splake (*Salvelinus fontinalis* × *Salvelinus*
46 *namaycush* hybrid), provides rare evidence for spawning-related sound production by a
47 salmonid, or any other fish in the superorder Protacanthopterygii. Further characterization of
48 these sounds could be useful for lake trout assessment, restoration, and control.

49 **Introduction**

50 Lake trout (*Salvelinus namaycush*) interest biologists and fishery managers worldwide
51 because of their extraordinary diversity (Muir et al. 2015), recreational and commercial
52 importance (Muir et al. 2013), and invasiveness (Crossman 1995; Ruzyski et al. 2003; Hansen et
53 al. 2016). In the Laurentian Great Lakes, lake trout were historically the predominant top
54 predator and an important commercial fish species (Baldwin et al. 2009; Muir et al. 2013).
55 However, sea lamprey predation and overfishing led to near extirpation of lake trout in the early
56 1950s (Eschmeyer 1957; Muir et al. 2013). An extensive stocking program currently maintains
57 lake trout populations in many areas of the Great Lakes, because natural recruitment remains low
58 (Muir et al. 2013). In some large lakes of western North America such as Yellowstone and
59 Flathead, lake trout are a damaging invasive species (Koel et al. 2005). Describing cues involved
60 in reproduction could be beneficial by inspiring new management actions either to enhance
61 reproduction where populations are valued or tactics that increase removal where populations are
62 invasive (Zimmerman and Krueger 2009).

63 Many fishes possess adaptations related to the production and detection of acoustic
64 stimuli, and use acoustic stimuli to communicate, especially during reproduction (reviewed by
65 Zelick et al. 1999; Kasumyan 2009). All fishes whose auditory sensitivities have been evaluated
66 are able to detect low frequency sounds (up to 600 Hz; Popper 2003), with many species
67 possessing specialized adaptations to detect much higher frequencies (Mann et al. 2001; Popper
68 2003; Popper & Fay 2011). Further, many teleost fishes can produce sounds via direct contact
69 between bones, rapid contraction of specialized muscles near the swim bladder and pectoral
70 girdle, and plucking of tendons (Kaatz 2002; Ladich 2004; Amorim 2006; Ladich et al. 2006;
71 Kasumyan 2008). Eavesdropping on spawning fishes – termed passive acoustic sampling –

72 therefore can be a powerful approach to quantify spawning intensity, periodicity, and habitats for
73 a range of teleost species (Luczkovich et al. 2008).

74 A role of acoustic communication in reproduction has been hypothesized for lake trout
75 (Zimmerman and Krueger 2009), but has not been investigated. Lake trout spawn primarily at
76 night (Muir et al. 2012), which indicates that spawning behaviors may be guided by nonvisual
77 cues. Closely-related salmonids (*Coregonus lavaretus*, *C. nasus*, and *Salmo salar*) whose
78 auditory sensitivities have been evaluated detect low frequency sounds with relatively low
79 sensitivity compared to other species (Hawkins and Johnstone 1978; Amoser et al. 2004; Mann
80 et al. 2007). Closely-related species can have substantially different acoustic sensitivities (Mann
81 et al. 2001), so making comparisons among taxa is difficult. If lake trout also have low auditory
82 sensitivity, acoustic communication could still be effective because spawning activity in lake
83 trout may be associated with calm, likely low-noise, weather after storm events (Royce 1951;
84 Muir et al. 2012; Callaghan et al. 2016). Lake trout also spawn in aggregations, possibly making
85 even low sensitivity adequate for acoustic communication. Lake trout and brook trout (*S.*
86 *fontinalis*) hybrids (splake) have been reported to produce sounds during spawning, either as
87 active acoustic emissions or as a result of physical disturbance of substrate during spawning
88 (Berst et al. 1981; Esteve et al. 2008).

89 Our objective was to characterize sounds associated with lake trout spawning, given the
90 hypothesis that sounds are produced by spawning lake trout to coordinate reproduction. We
91 evaluated the predictions that follow as an initial test of this hypothesis: sounds associated with
92 lake trout spawning should (1) be present during the spawning period on spawning reefs at night
93 (when lake trout spawn; Muir et al., 2012), but not on non-spawning reefs, (2) be most common
94 when spawning behaviors are directly observed on spawning reefs, and (3) be detected in a

95 laboratory flume when spawning behaviors are observed. Prediction 1 was tested by deploying
96 autonomous acoustic recorders in northern Lake Huron in the Drummond Island Lake Trout
97 Refuge on well-characterized spawning and non-spawning reefs during the pre-spawning and
98 spawning season (Binder et al. 2015, 2016). Prediction 2 was tested by deploying a time-
99 synchronized acoustic recorder and video camera in Lake Champlain at a well-known spawning
100 reef and correlating the presence of lake trout and their reproductive behaviors to specific
101 sounds. Prediction 3 was tested by deploying a time-synchronized acoustic recorder and video
102 camera in a laboratory flume where lake trout were actively displaying spawning behaviors.

103

104 **Methods**

105 *Prediction 1: Sounds associated with lake trout spawning should be present during the spawning*
106 *period on spawning reefs at night, but not at nearby non-spawning reefs.*

107 *Hydrophone deployment*

108 Four digital spectrogram long-term acoustic recorders (DSG; Loggerhead Instruments
109 Inc., Sarasota, FL) were deployed in the Drummond Island Lake Trout Refuge between 16 Oct
110 2014 and 14 Nov 2014; two were deployed at locations where lake trout are known to spawn
111 annually and two were deployed at locations with similar substrate that are known not to be used
112 by lake trout for spawning (Fig. 1; Binder, personal observation). Evidence of spawning was
113 based on the presence of eggs. The DSGs were secured to concrete blocks using cable ties and
114 the hydrophone component of the DSG was positioned parallel to the bottom. To control for
115 environmental noise such as rain and waves, all sites were less than 3.5 m deep, had rocky
116 substrate, and were equally susceptible to wave action (large waves typically come from the
117 south and east at these sites). Based on egg surveys (S. Farha, personal observation), and fine-

118 scale positional acoustic telemetry tracking of 101 tagged lake trout detected during the 2014
119 spawning season (see Binder et al. 2016 for full methodological details), lake trout spawning
120 peaked between 27 October and 01 November, but trout were present on the reef starting in early
121 October and until at least mid-November when the hydrophones were removed (Binder et al.
122 2016).

123 *Data subsampling*

124 Limitations on data storage precluded continuous recording during the deployment
125 period, so the DSGs recorded three minutes out of every ten. For example, data were recorded
126 from 0800 to 0803, not recorded from 0803 to 0810, recorded again from 0810 to 0813, and so
127 on during the deployment period. DSG 1202 failed shortly after deployment, so data were only
128 available from one spawning reef. Analyzing all the sound files was not possible given the
129 staffing available, so the data were subsampled such that there were sufficient data on which
130 contrasts between location (spawning versus non-spawning), spawning period (pre-spawning
131 versus spawning), and time of day (night versus day) could be evaluated (Table 1).

132 *Data processing*

133 Individual sounds were discriminated and analyzed directly from the field recordings.
134 The software package Goldwave (<http://www.goldwave.com/features.php>, Goldwave Inc., St.
135 John's, Newfoundland) was used to visualize and archive sounds of interest. Before quantifying
136 the occurrence of specific sounds, random sections of data from different DSGs and times were
137 scanned to determine how many different types of sounds were present. For each type of sound
138 that showed repeatability in the data files, we archived representative examples and gave the
139 sound anthropomorphic descriptions such as growl, snap, or click.

140 After the initial qualitative survey to determine what sound types were present, the
141 number of occurrences of each sound type was quantified in 3-min intervals during specific dates
142 and times (Table 1). A single person reviewed and characterized sounds to reduce observer bias
143 between sampling periods.

144 *Data analysis*

145 For each type of sound classified, we manually evaluated whether the frequency of
146 occurrence of that sound, defined as the number of times each sound occurred during each 3 min
147 clip subsampled from each time period, varied with hydrophone deployment site (spawning or
148 non-spawning), period (pre-spawning or spawning), and time of day (night or day) using general
149 linear models. Specifically, to determine if a sound was more frequently observed at the
150 spawning site versus the non-spawning site during the spawning period at night, data IDs 2
151 (spawning) and 4+5 (non-spawning) as presented in Table 1 were contrasted. To determine if a
152 sound at the spawning site during the spawning period was more frequently observed at night
153 than during day, data IDs 2 and 3 were contrasted. To determine if a sound at the spawning site
154 at night was more common during the spawning season than during the non-spawning season,
155 data IDs 1 and 2 were contrasted. Model assumptions of residual heteroscedasticity were
156 evaluated and, if needed, data were square-root transformed (in this case growls and snaps
157 needed transformation).

158

159 *Prediction 2: Sounds associated with lake trout spawning will be most common when lake trout*
160 *and their spawning behaviors are observed.*

161 *Hydrophone and camera deployment*

162 Time-synchronized sound and video data were collected at a known lake trout spawning
163 site in Lake Champlain (Gordon Landing breakwall) to link specific sounds to the presence of
164 lake trout and their spawning behaviors. This was also done to investigate if other fishes such as
165 lake whitefish (*Coregonus clupeaformis*) and burbot (*Lota lota*) co-occur with spawning lake
166 trout at this site and could be the source of the sounds. The Gordon Landing breakwall is a small
167 spawning reef (570 m²) in 0.3-4.0 m of water with substrates consisting of angular rubble and
168 cobble (Ellrott and Marsden, 2004). A DSG and underwater camera (960H 170° Ultra-Wide
169 Angle Color Bullet Camera with 2.2mm lens, Speco Technologies, North Lindenhurst, New
170 York) connected to a shore-side DVR (Compact 4 Channel H.264 Mobile SD Card DVR
171 Recorder, Super Circuits, Austin, Texas) and monitor (Foldable TFT-LCD Color Monitor, E-
172 Best) were deployed from 31 Oct 2015 to 10 Nov 2015 at the outer end of the breakwall. This
173 site was chosen because it allowed us to tend and power the video equipment from land, which
174 allowed for longer video recording times. To obtain video images at night, 2 LED flood lights
175 (Laguna Power Glo, Laguna Ponds, Mansfield, MA) with red filter lenses (Laguna Color Lens,
176 Laguna Ponds, Mansfield, MA) were used to illuminate the reef without apparent disruption to
177 lake trout behavior. Divers deployed and retrieved the gear and did not observe lake trout eggs
178 when the equipment was deployed, but observed eggs when it was retrieved. The camera was
179 able to monitor approximately 25% of the reef, but the hydrophone likely detected all sounds
180 produced in association with spawning at that reef, although no range tests were conducted. As
181 such, sounds detected on the hydrophone could have been produced by lake trout that were not
182 visible on the camera, making correlation of specific sounds to specific behaviors tenuous.

183

184 *Data subsampling and analysis*

185 Poor video quality due to turbidity and condensation on the camera lens precluded
186 analysis of the complete video record. Sound data were also compromised at times by
187 environmental and anthropogenic noise (waves and boat traffic). Despite these challenges, high
188 quality video and sound data were obtained during most of the time between 08 Nov and 10 Nov,
189 so we subsampled time-synchronized video and hydrophone data during the 1-hr period after
190 each of the following times: 2000 on 08 Nov 2016, at 0000, 0600, 0800, 1200, and 1600 on 09
191 Nov 2016, and 0400 and 0900 on 10 Nov 2016, such that each 1-hr period was sampled once
192 between 08 Nov 2016 and 10 Nov 2016; by doing so, we were able to contrast sound and
193 behavior data though time across these three dates. The data from these hours were subsampled
194 in the same way as described for prediction one; three minutes out of every ten were sampled
195 where 0800 to 0803 was sampled and 0803 to 0810 was not sampled. We referenced previous
196 reports (Esteve et al. 2008; Muir et al. 2012; Binder et al. 2015) to define specific behaviors to
197 quantify: (1) follow: a lake trout swimming in the same direction within close proximity to
198 another swimming lake trout; (2) parallel swim: two lake trout swimming side by side, usually
199 very close to or touching one another, while keeping the same speed and directional movements;
200 (3) quiver: two or more lake trout position themselves near bottom, cease swimming, and one
201 fish initiates low-amplitude lateral vibratory movements, triggering quivering in the other fish
202 that may continue for two to three seconds; (4) bubble release: release of bubbles through the
203 gills or mouth; (5) nudge: one lake trout, with mouth closed, butts, snout hitting against the side
204 of another fish, which can be a gentle or aggressive behavior; (6) nip: one lake trout opens mouth
205 and closes jaws against a part of the body of another fish; (7) jockey: two or more males attempt
206 to occupy closest position to a single female while swimming just above the bottom; (8) mouth
207 snapping: a lake trout opens mouth and quickly closes jaws. A single observer reviewed and

208 characterized sounds (same person as prediction 1). A second observer estimated fish abundance
209 and the frequency of occurrence of individual behaviors. Using the underwater lights as
210 reference points, we only recorded fish and their behaviors if they were within 5 m of the camera
211 because that was the extent of our night viewing capabilities.

212 To determine if sounds heard on the hydrophones were related to specific spawning
213 behaviors, the number of specific sound types heard during each 3-min period (response
214 variable) was correlated with the number of lake trout and their display of spawning behaviors
215 (explanatory variables) during that same 3-min period using general linear models. Correlation
216 among explanatory variables (fish and their behavior) seemed likely, so Pearson rank correlation
217 analyses were conducted prior to developing a full model including all possible predictors. If
218 predictors were highly correlated, individual models contrasting the occurrence of a sound with a
219 single explanatory variable (e.g., fish, following behavior) were constructed. Candidate models
220 were evaluated using Akaike information criteria (AIC; Burnham and Anderson, 2002), where
221 weighted Akaike information criteria (wiAIC) were used to determine which explanatory
222 variable best explained variability in the response, where $wiAIC = -2\ln(L) + 2k$ (Wagenmakers
223 and Farrell 2004). Model assumptions of residual heteroscedasticity and normality were met
224 without transforming the response variables (snaps and growls).

225 *Prediction 3: Sounds associated with lake trout spawning will be detected in a lab when*
226 *spawning behaviors are observed.*

227 *Experimental flume and lake trout*

228 Laboratory experiments were conducted in the flume bioassay described in Buchinger et
229 al. (2015) during the nights of 13 Dec 16, 14 Dec 16, and 15 Dec 16 with sexually mature male
230 Seneca Strain lake trout obtained from United States Fish and Wildlife Service, Sullivan Creek

231 National Fish Hatchery and sexually mature female lake trout obtained directly from northern
232 Lake Huron via angling. Sex and reproductive state were determined by expression of gametes.
233 Briefly, the flume was 2.5 m × 1.85 m × 0.6 m, and had a water velocity of 0.014 m·s⁻¹, and
234 was supplied with Lake Huron water at 4° C that originated from a deep-water intake (25 m). To
235 provide spawning substrate, reefs were constructed (1.5 m × 0.85 m × 0.13 m) at the upstream
236 end of the flume using rock 10-20 cm in diameter. Each night, three males (680 mm – 835 mm)
237 and one female (660 mm - 710 mm) were placed into the flume from sunset to about 5 hours
238 after sunset. Lake trout behavior was observed using infrared lights (IRLamp6;
239 www.batmanagement.com) and overhead night-vision video (Axis Q1604). Sounds were
240 observed using a hydrophone (HTI-96-MIN; Sensitivity = 165dB/re 1µPa; High Tech Inc. Long
241 Beach MS, USA) and recorder (Tascam, Linear PCM Recorder, DR-05) that was time synched
242 with the video camera to the nearest second. The hydrophone was suspended 5 cm below the
243 water surface in the center of the experimental raceway.

244 *Data analysis*

245 The frequency of specific sound types and their association with specific lake trout
246 spawning behaviors were summarized. First, all sound data collected were reviewed using
247 Goldwave as described in the methods for predications 1 and 2. Then, an observer reviewed lake
248 trout behavior 2.5 sec before and after each specific sound, noting spawning behaviors
249 (following, parallel swim, quiver... etc) as described in the methods for prediction 2.

250

251 **Results**

252 *Prediction 1: Sounds associated with lake trout spawning should be present during the spawning*
253 *period on spawning reefs at night, but not at nearby non-spawning reefs.*

254 Nine distinct sounds were classified (knock, rock, growl, thump, click, snap, scrape, burp,
255 and gulp), of which snaps, growls, and gulps were heard exclusively at the Drummond Island
256 spawning reef, so only those three sounds were of interest as lake trout spawning sounds (Table
257 2). Gulps, while being exclusively detected at the spawning reef, were relatively rare, were
258 likely environmental noise, and were not heard at the Lake Champlain site (see prediction 2).
259 However, snaps and growls were recorded frequently at both locations and were regular in
260 acoustic structure and therefore were further characterized. Snaps and growls were similar in
261 duration (approximately 1.5 s; Fig. 2), but snaps had a stable frequency distribution up to
262 approximately 170 Hz without a clear dominant frequency within that range (Fig. 2D). Growls
263 were of lower frequency, with peak frequencies at 20 and 50 Hz and little energy above 100 Hz
264 (Fig. 2B).

265 During the spawning period on the Drummond Island reef, growls and snaps were heard
266 at higher rates at night than during the day (growls: $t = 7.32$, $p < 0.001$; snaps: $t = 3.57$, $p <$
267 0.001), whereas gulp rates did not vary with time (Table 2; gulps: $t = 0.17$, $p = 0.867$). The
268 frequency of growls was higher during the spawning period at night than during the pre-
269 spawning period at night (growls: $t = 7.38$, $p < 0.001$), but the frequency of snaps was higher
270 during the pre-spawning period than the spawning period ($t = 2.64$, $p = 0.009$). The frequency of
271 gulps did not differ between pre-spawning and spawning periods (gulps: $t = 0.32$, $p = 0.746$).

272

273 *Prediction 2: Sounds associated with lake trout spawning will be most common when lake trout*
274 *and their spawning behaviors are observed.*

275 As in Lake Huron, snaps and growls were also heard at the lake trout spawning site in
276 Lake Champlain during the spawning season and were most common at night (Fig. 3;

277 Supplemental sound files S1 and S2; Supplemental video 1). No other fish species were observed
278 on our camera except for a few schools of yellow perch (*Perca flavescens*) during the day;
279 American eels (*Anguilla rostrata*) were also observed at the site on a different camera. Gulps
280 were not heard and were thus dismissed as an artifact of the sampling location at the Drummond
281 Island spawning reef. Lake trout were observed on the camera at all times of day, but were much
282 more abundant during the night (Fig. 3). Of all the lake trout spawning behaviors quantified, only
283 following, parallel swimming, and jockeying were observed frequently (roughly between 5-40
284 individual behaviors each 3 min). An inability to consistently observe other spawning behaviors
285 was likely a function of the high density of lake trout present at night and the limited viewing
286 distance of the camera (lake trout courting and spawning can occur over tens of meters;
287 Supplemental video 1).

288 The number of fish, follows, parallel swims, and jockeying observed during each 3-min
289 period were positively correlated (Pearson correlation coefficient greater than 0.42 and p-value
290 <0.001 for all contrasts; Fig. 4), so AIC was used to determine which individual response
291 variable best explained variability in snaps and growls. For both snaps and growls, number of
292 lake trout observed best explained variability (Table 3). Of the other explanatory variables
293 evaluated, parallel swimming ranked second for explaining the number of snaps and jockeying
294 ranked second for explaining the number of growls.

295 *Prediction 3: Sounds associated with lake trout spawning will be detected in a lab when*
296 *spawning behaviors are observed.*

297 Snaps and growls were observed in a laboratory flume containing lake trout displaying
298 spawning behaviors (Table 4). Most sounds were observed when lake trout were moving (~70 –
299 80%) and most of the movement was attributed to the males (~60-80%; Table 4) rather than the

300 female. While specific sounds were not always associated with specific spawning behaviors,
301 about 50% of the snaps were associated with nudging and jaw movements (nips and snaps;
302 supplemental video 2) and about 70% of the growls were associated with quivering and parallel
303 swimming (Fig. 5; Supplemental video 3).

304 While reviewing the sound data, a third sound, herein named thump, was often heard
305 (Table 4). Thumps sounded similar to growls, with the primary difference being that thumps
306 were singular and growls resembled drawn-out drumming. Thumps were characterized as
307 sounds of approximately 0.1-0.15 s duration with peak frequency of 60-70 Hz and a rapid fall-off
308 of acoustic energy with increasing frequency above 100 Hz (Fig 6). Thumps were generally not
309 associated with a specific behavior and were heard when lake trout were following, parallel
310 swimming, nudging, moving their jaws, and quivering (Supplemental video 4). Although the
311 lake trout displayed mating behaviors in the flume, no eggs were deposited during these
312 experiments.

313

314 **Discussion**

315 Our results provided evidence for sound production by lake trout during reproduction.
316 Two sounds, snaps and growls, were recorded from populations of lake trout in northern Lake
317 Huron and Lake Champlain. Snaps and growls were observed exclusively at lake trout spawning
318 reefs, were more common at night, and were directly correlated with lake trout spawning
319 behaviors. Furthermore, snaps, growls, and thumps were heard in a laboratory flume at specific
320 times when lake trout displayed mating behaviors. Combining our results with the observation
321 of possible sound production by spawning splake (*Salvelinus fontinalis* × *Salvelinus namaycush*
322 hybrid; Berst et al. 1981), provided rare evidence for sound production by a salmonid, or any

323 other fish in the superorder Protacanthopterygii (Neproshin et al. 1974; Fine and Parmentier
324 2015).

325 The sounds recorded suggested sound-producing mechanisms other than simple physical
326 contact between lake trout and the substrate, or among conspecifics. Berst et al. (1981)
327 documented three sound types when observing spawning splake: (1) ‘clicks’, a sound between
328 500 and 1200 Hz with a duration of about 0.10 s and suspected to be produced by the jaw
329 closing, (2) ‘thumps’, a sound between 100 and 3000 Hz with a duration of 0.10-0.35 sec and
330 suspected of being produced by the swim bladder, and (3) a sound between 50 and 500 Hz and a
331 duration of 1.5 sec. The snaps and growls described in our study were much longer in duration
332 and lower in frequency than ‘clicks’ and ‘thumps’ described in Berst et al. (1981), and most
333 closely resemble sound (3) above. However, sound-generating mechanisms were not
334 investigated by Berst et al. (1981) nor in our study, and remain unknown. Nonetheless, the
335 “growls” presented here are similar in structure to sounds recorded from other species that use
336 swim bladder vibrations to produce sounds (Saucier and Balz, 1993; Connaughton and Taylor,
337 1995; Ramcharitar et al. 2006). Indeed, growls occurred at times in the lab when male lake trout
338 were parallel swimming, indicating that physical contact between two fish or fish and the
339 substrate may not be required to produce growls. The snap sounds were higher in frequency than
340 typical swim bladder sounds, but sounds produced by other fish species have often been reported
341 at these frequencies (reviewed in Ladich 2004; Kasumyan 2008). Snaps were also observed in
342 the lab when lake trout moved their jaws, but also when lake trout were nudging and when lake
343 trout were displaying no specific spawning behaviors. Both sounds recorded at the lake trout
344 spawning areas were also recorded frequently in the lab and were regular in acoustic structure,
345 suggesting that some of them were volitional sounds. The “thunps” recorded in our lab study are

346 of the same duration as sound (3) in Berst et al. al. (1981) and may represent the same sound
347 type. While burbot, another known sound-producing species, co-occur with lake trout, their
348 sounds are much different than the sounds recorded here and occur during the February
349 spawning period (Cott et al. 2014), so given these differences and the lack of burbot in our video
350 observations, we are confident that the sounds collected were not from burbot.

351 Communication associated with mating generally serves to find or select mates. Many
352 species use visual, olfactory, or auditory cues to attract or aggregate potential mates (Atema et al.
353 1988; Sargent et al. 1998). Lake trout may form spawning aggregations simply based on mutual
354 attraction to substrate that will support egg incubation (i.e., visual or hydrological cues, Marsden
355 and Krueger 1991); smell has been hypothesized to also play a role in attracting lake trout to
356 spawning sites (Foster 1985, Buchinger et al. 2015). However, sound likely transmits further in
357 water than visual cues of substrate, and could serve to aggregate lake trout at a spawning site.
358 Lake trout do not spawn en masse; females spawn with one to several males who have
359 accompanied them in pre-spawning movements (Muir et al. 2012). Behavioral theory suggests
360 that females of species that have a high investment in their gametes or offspring should be picky
361 about choosing mates (Clutton-Brock and Vincent 1991; Barbosa and Magurran 2006), but how
362 mate selection occurs in lake trout is unknown. Therefore, a second possible function of sound
363 production in lake trout may be an element of courtship signaling by males.

364 The seasonal and diel patterns of sound production, and spawning behaviors associated
365 with snaps and growls in the lab and field, hint at their source and behavioral relevance. At
366 Drummond Island Reef, snaps were more common during the pre-spawning period than the
367 spawning period despite similar numbers of lake trout being present (Fig. 1). We speculate that
368 snaps may be produced primarily by males, who aggregate at spawning locations several weeks

369 prior to spawning and the arrival of females (Muir et al. 2012); snaps may signal to females the
370 presence of spawning substrate, availability of a number of potential mates, and may also be an
371 aggressive signal among males. Indeed, our laboratory analysis found that snaps occur when
372 males close their jaw, often during male-to-male conflicts, but can also occur when males nudge
373 each other. Growls were relatively uncommon during the pre-spawning period, but very
374 common at night during the spawning period, and may be produced by either sex during
375 courtship or spawning. As such, they may be intentional signals that serve to attract mates or
376 repel competitors, or may simply be produced incidentally while expressing gametes; for
377 example, Pacific salmon gape widely during spawning (Esteve 2005), though any associated
378 sounds have not been recorded. Our laboratory experiments show that growls were most
379 common when quivering, but also occurred when male trout were parallel swimming (limited
380 physical contact). Interestingly, snaps and growls were predominantly detected at night, but
381 telemetry and video data from both populations show that lake trout were still present on the
382 spawning reefs during the day. Therefore, the presence of lake trout alone does not explain our
383 recordings of snaps and growls on spawning reefs; instead, sounds were associated with lake
384 trout that were actively spawning. While our results allow speculation on the behavioral function
385 of sounds produced by spawning lake trout, many questions remain regarding the mechanism
386 and context of sound production, and the detection and response to sounds produced by
387 conspecifics.

388 The quantity of snaps and growls detected at the Gordon Landing breakwall was 10 – 20
389 times greater than that detected at Drummond Island spawning reef, which may have been due to
390 a higher density of spawning lake trout at Gordon Landing. However, we do not know the actual
391 number of lake trout that used each reef, and so cannot accurately calculate lake trout density.

392 Regardless, we observed over 100 trout per minute within 5 m of our camera during the night at
393 Gordon Landing, so many lake trout were present. The exceptionally high density of lake trout at
394 the Gordon Landing breakwall in Lake Champlain resulted in lake trout obscuring the behaviors
395 of other individuals behind them. This, and the limited observational viewing distance of the
396 camera likely explained why we observed few spawning events at the Gordon Landing breakwall
397 like those described by Muir et al. (2012) and Binder et al. (2015)

398 Understanding the acoustic biology of lake trout may have direct implications for lake
399 trout managers and ecologists. In the broadest sense, describing cues used during reproduction
400 will offer insights into variables that drive recruitment and genetic diversity (Zimmerman and
401 Krueger 2009). In field applications, passive hydrophones could be used to survey locations and
402 timing of spawning, determine if spawning is correlated with environmental variables (changes
403 in temperature, wind, or waves), or gather species-specific and sometimes individual-specific
404 behavioral data (Rountree et al. 2006, references therein). Accurate and cost-effective
405 assessment data are needed to monitor lake trout restoration efforts in the Great Lakes and
406 control efforts in western North America. Passive acoustic monitoring seems especially viable
407 given that snaps and growls were associated with lake trout spawning behaviors (not just the
408 presence of non-spawning lake trout), and the sounds were observed in a diel pattern consistent
409 with lake trout spawning activity. Acoustic stimuli could also be used to increase use of artificial
410 or restored spawning habitats, as has been suggested for putative olfactory stimuli (Buchinger et
411 al. 2015) or concentrating trout in areas where they are being fished for control in western North
412 American lakes (Hansen et al. 2016). Combinations of olfactory and auditory stimuli could elicit
413 stronger behavioral responses (Kasurak et al. 2012). An understanding of the acoustic biology of
414 lake trout may also be relevant for policy makers. Anthropogenic noises often interfere with

415 acoustic communication in fishes (*e.g.*, shipping, offshore windmills, energy exploration; Popper
416 2003) and the same could be true for lake trout if they use sound to coordinate reproduction.

417 In summary, we provide evidence that sounds are produced by spawning lake trout and
418 these sounds could be an important aspect of their reproductive ecology. The mechanisms by
419 which these sounds are produced, the ability of conspecifics to hear the sounds produced, and the
420 ecological role of sound communication remain unclear. Continued research in the lab and field
421 will reveal whether monitoring or manipulating sounds may be useful for lake trout assessment,
422 restoration, and control, and provide insights into sound communication by taxa believed to rely
423 more on visual and chemical signals during reproduction.

424

425

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548 **Table 1.** Subsampling scheme for data obtained from digital spectrogram long-term acoustic
 549 recorders deployed in Lake Huron at Drummond Island Lake Trout Refuge during 2014.
 550 Acoustic recorders were deployed on lake trout spawning and non-spawning reefs (Location),
 551 and data were contrasted before and during the spawning season (Period; pre-spawning =
 552 16Oct14 and 18-20Oct14; spawning 28Oct14-01Nov14), between night and day (Time), and
 553 from spawning and non-spawning reefs. Within each time period, only 18 min per hour were
 554 sampled because of limitations of data storage. For example, during the 1200 hour, sounds were
 555 recorded from 1200 to 1203, not recorded from 1203 to 1210, recorded again from 1210 to 1213,
 556 and so on and so forth. The total hours sampled for each period are reported (Total Hours).
 557

Data ID	Hydrophone	Dates	Location	Period	Time	Total Hours
1	1206	16, 18-20 Oct	Spawning	Pre-spawning	0000-0203, 0400-0503	3.6 h
2	1206	28Oct-01Nov	Spawning	Spawning	0000-0203, 0400-0503	3.6 h
3	1206	28Oct-01Nov	Spawning	Spawning	1200-1403, 1600-1703	3.6 h
4	1205	28Oct-01Nov	Non-spawning	Spawning	0000-0203	2.4 h
5	1203	28Oct-01Nov	Non-spawning	Spawning	0000-0203	2.4 h

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559 **Table 2.** Mean number of each type of sound detected per 3 minutes of observation at different
 560 locations (non-spawning sites, n=2; spawning site, n=1), spawning periods (pre-spawning versus
 561 spawning), and times of day (day versus night) at in Lake Huron near Drummond Island during
 562 2014. Standard deviation of the mean is presented in parentheses. Down the column for each
 563 sound type, periods and times with different letters were significantly different as determined by
 564 general linear models.
 565

Site	Period	Time	Snap	Growl	Gulp
Non-spawning	Spawning	Night	0	0	0
Spawning	Spawning	Night	0.78 (0.98) b	1.67 (2.27) b	0.11 (0.55) a
Spawning	Spawning	Day	0.21 (0.46) a	0.25 (0.48) a	0.06 (0.25) a
Spawning	Pre-spawning	Night	1.22 (1.67) c	0.22 (0.47) a	0.09 (0.28) a
		F-statistic	18.2	36.9	0.1
		df	2/272	2/272	2/272
		P-value	<0.001	<0.001	0.889

566

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567 **Table 3.** Candidate models explaining variability in the number of snaps and growls (response
 568 variable) that were heard in Lake Champlain at the Gordon Landing breakwall during 2015.
 569 Possible explanatory variables included visual observations of the number of lake trout observed
 570 and their spawning behaviors (Following, Parallel swimming, and Jockeying). Ranks were
 571 determined by weighted AIC (Wi) which were calculated from differences (Delta i) in Akaike's
 572 Information Criterion (AIC) values.
 573

Response Variable	Explanatory Variable	F-statistic	p-value	R ²	AIC	Delta i	Wi	Rank
Snap	Trout	29.92	<0.001	0.34	357	0	0.83	1
Snap	Following	22.16	<0.001	0.25	363	5	0.08	3
Snap	Parallel swimming	22.68	<0.001	0.28	362	5	0.09	2
Snap	Jockeying	5.12	0.027	0.07	377	20	0.00	4
Growl	Trout	39.00	<0.001	0.41	394	0	0.83	1
Growl	Following	12.69	<0.001	0.18	413	19	0.00	4
Growl	Parallel swimming	22.64	<0.001	0.28	405	11	0.01	3
Growl	Jockeying	31.70	<0.001	0.36	399	5	0.11	2

574

575

576 **Table 4.** The number of snaps, growls and thumps heard per hour at night in a laboratory flume
 577 stocked with 3 sexually mature males and 1 sexually mature female lake trout. Also reported is
 578 the % of times that lake trout were observed moving in the flume when the sound was detected.
 579 If lake trout were moving during the sound, the number of times only males were moving is
 580 reported. Numbers in parentheses are the standard deviation.
 581

Sound	Number per hour	% of times fish moving when sound occurred	% of times only males were moving
Snap	6.4 (2.9)	67% (9%)	55% (16%)
Growl	9.5 (5.4)	77% (18%)	78% (20%)
Thump	12.0 (4.1)	80% (8%)	73% (20%)

582

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Figure Captions

583 **Fig. 1.** (a) Locations where digital spectrogram long-term acoustic recorders (DSG) were
584 deployed at Drummond Island Lake Trout Refuge. Bathymetry is illustrated by color coding.
585 The Lake Huron inset is not to scale. (b) The number of positions obtained from lake trout with
586 acoustic telemetry transmitters during the pre-spawning (open bars) and spawning period
587 (shaded bars) within 100 m of each DSG. (c) The number of positions obtained from lake trout
588 with acoustic telemetry transmitters during the spawning period during the day (open bars) and
589 night (shaded bars) within 100 m of each DSG. The numbers on top of the bars in (b) and (c) are
590 the number of individual males and females detected within 100 m of each DSG during the
591 specified period. The line within some bars in (b) and (c) illustrate the number of telemetry
592 positions obtained from males and females; below the line are detections from females. Acoustic
593 telemetry data are described in Binder et al. 2016.
594

595
596 **Fig. 2.** Waveforms (a & c) and frequency analysis (b & d) for representative growl (a & b) and
597 snap (c & d) sounds recorded from lake trout spawning reefs. Inset diagrams in b and d
598 represent the frequency distribution of each call from 0-500 Hz, the frequencies representing the
599 main call energy for both calls. Power spectra were created with a Fast Fourier transform (FFT)
600 filter size of 16384 with a Hanning window.
601

602 **Fig. 3.** Mean number of snaps, growls, and fish recorded during three days at a spawning site
603 associated with the Gordon Landing breakwall, Lake Champlain during November 2015.
604 Number of lake trout is presented as 0.1X the actual observations. Snaps and growls are
605 presented as the number recorded during 3-minute sampling intervals. Error bars represent the
606 standard deviation.
607

608 **Fig. 4.** Occurrence of snaps (top) and growls (bottom) as explained by the observed number of
609 lake trout follows, parallel swims, and jockeys at a spawning site associated with the Gordon
610 Landing breakwall, Lake Champlain during November 2015. Number of lake trout is presented
611 as 0.1X the actual observations. Snaps and growls are presented as the number recorded during
612 3-minute sampling intervals.
613

614 **Fig. 5.** Percent of snaps, growls, and thumps that occurred with specific lake trout spawning
615 behaviors (see methods) in an laboratory flume during December 2016. Jaw movement combines
616 both nips and snaps as defined in the methods. 'No behavior' means that lake trout were moving
617 in the flume, but not displaying any of the specific spawning behaviors defined in the methods.
618 Error bars represent the standard deviation.
619

620 **Fig 6.** Waveforms (a) and frequency analysis (b) for representative thump sounds recorded from
621 concrete raceways containing 3 male and 1 female lake trout. Inset diagram in b represents the
622 frequency distribution of the call from 0-500 Hz, with the frequencies representing the main call
623 energy. Power spectra were created with a Fast Fourier transform (FFT) filter size of 16384 with
624 a Hanning window.

625 **Supplementary Material for Johnson et al., *Can. J. Fish. Aquat. Sci.***

626

627 Sound file S1: Representative example of a snap as recorded at the Gordon Landing breakwall
628 spawning site in Lake Chaplain and illustrated in Figure 2a of the primary manuscript.

629

630 Sound file S2: Representative example of a growl as recorded at the Gordon Landing breakwall
631 spawning site in Lake Chaplain and illustrated in Figure 2b of the primary manuscript.

632

633 Sound file S3: Representative example of a thump as recorded in concrete raceways at
634 Hammond Bay Biological Station and illustrated in Figure 6 of the primary manuscript.

635

636 Supplementary video 1: Representative example of image and sound data captured from Lake
637 Champlain during 2015. Need sound amplification

638

639 Supplementary video 2: Representative examples of snaps and associated lake trout spawning
640 behaviors as observed in a laboratory flume.

641

642 Supplementary video 3: Representative examples of growls and associated lake trout spawning
643 behaviors as observed in a laboratory flume.

644

645 Supplementary video 4: Representative examples of thumps and associated lake trout spawning
646 behaviors as observed in a laboratory flume.

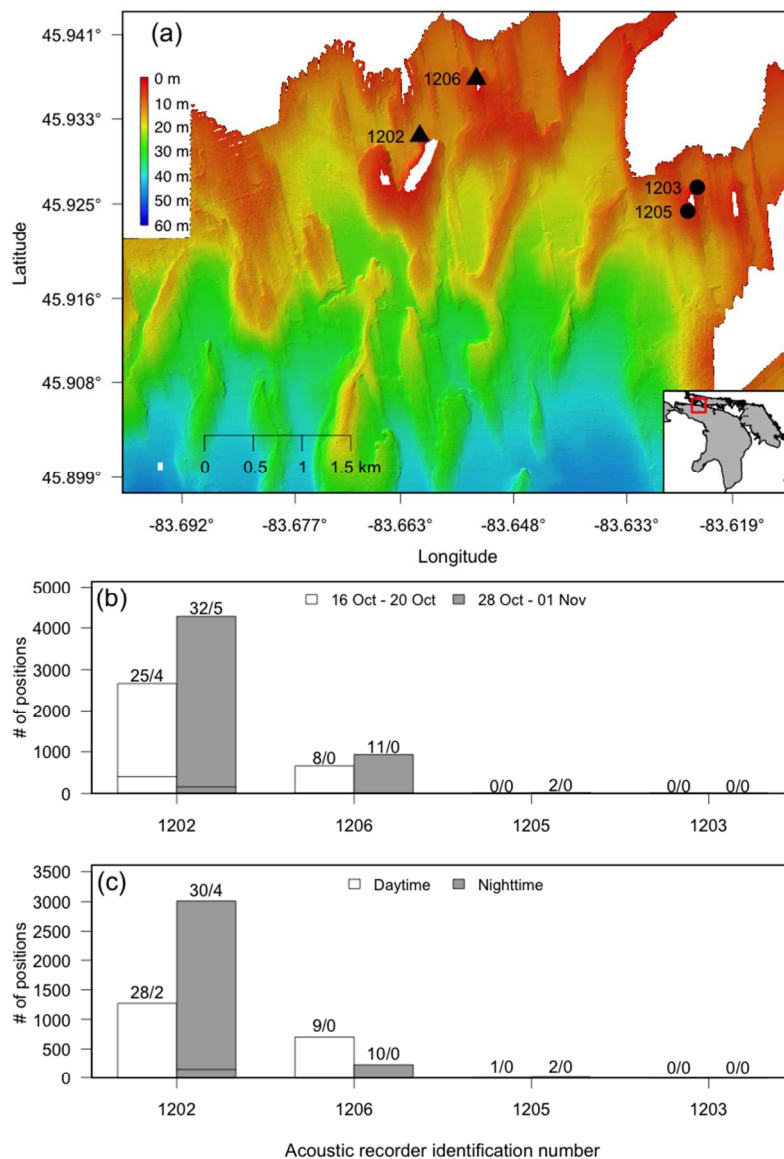


Fig. 1. (a) Locations where digital spectrogram long-term acoustic recorders (DSG) were deployed at Drummond Island Lake Trout Refuge. Bathymetry is illustrated by color coding. The Lake Huron inset is not to scale. (b) The number of positions obtained from lake trout with acoustic telemetry transmitters during the pre-spawning (open bars) and spawning period (shaded bars) within 100 m of each DSG. (c) The number of positions obtained from lake trout with acoustic telemetry transmitters during the spawning period during the day (open bars) and night (shaded bars) within 100 m of each DSG. The numbers on top of the bars in (b) and (c) are the number of individual males and females detected within 100 m of each DSG during the specified period. The line within some bars in (b) and (c) illustrate the number of telemetry positions obtained from males and females; below the line are detections from females. Acoustic telemetry data are described in Binder et al. 2016.

291x423mm (72 x 72 DPI)

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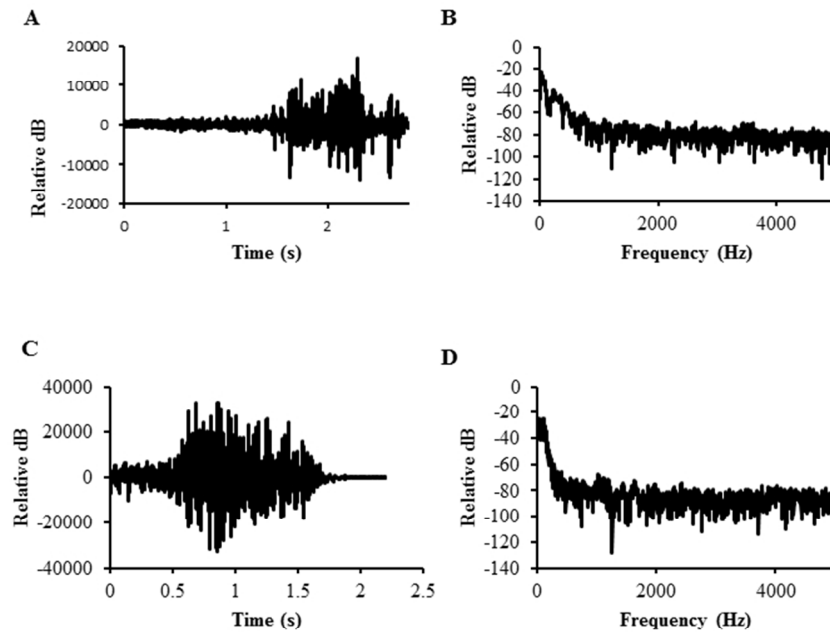


Fig. 2. Waveforms (a & c) and frequency analysis (b & d) for representative growl (a & b) and snap (c & d) sounds recorded from lake trout spawning reefs. Inset diagrams in b and d represent the frequency distribution of each call from 0-500 Hz, the frequencies representing the main call energy for both calls. Power spectra were created with a Fast Fourier transform (FFT) filter size of 16384 with a Hanning window.

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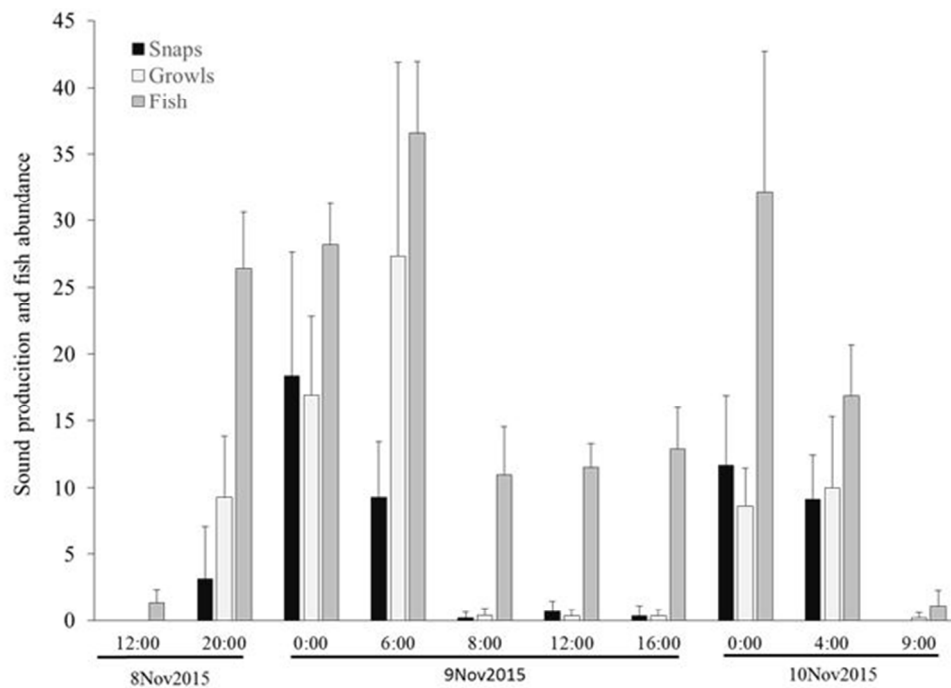


Fig. 3. Mean number of snaps, growls, and fish recorded during three days at a spawning site associated with the Gordon Landing breakwall, Lake Champlain during November 2015. Number of lake trout is presented as 0.1X the actual observations. Snaps and growls are presented as the number recorded during 3-minute sampling intervals. Error bars represent the standard deviation.

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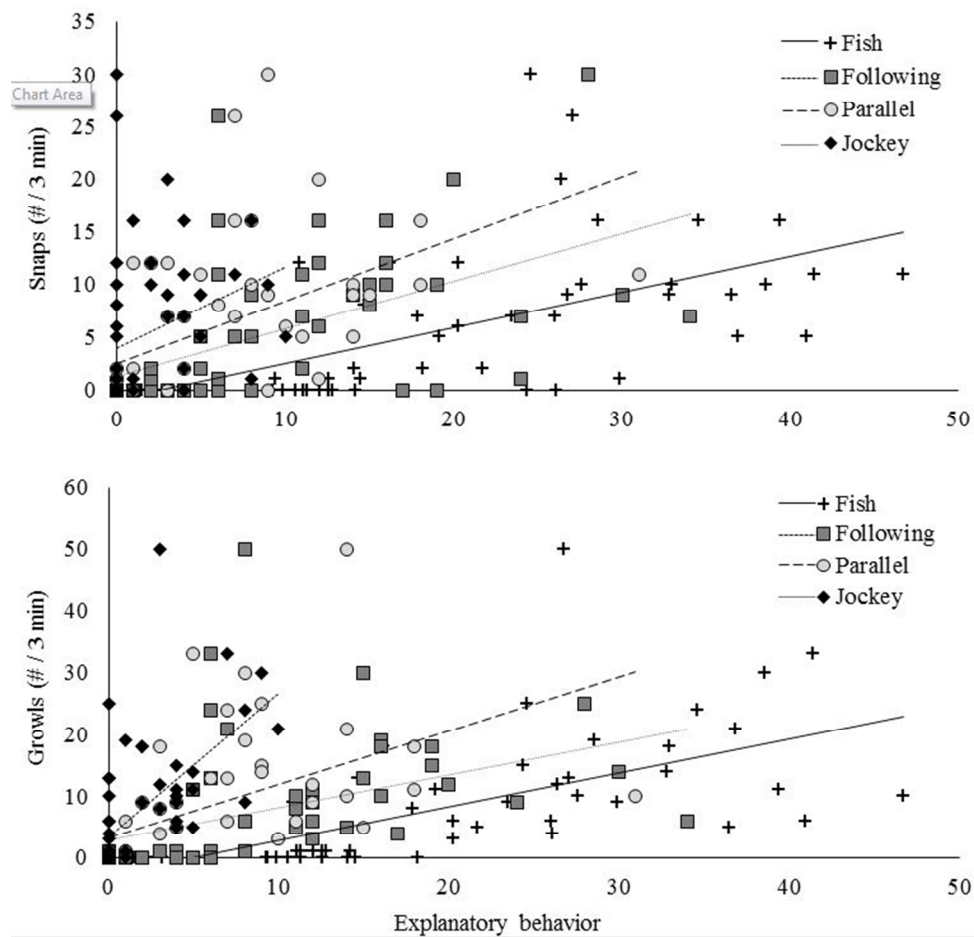


Fig. 4. Occurrence of snaps (top) and growls (bottom) as explained by the observed number of lake trout follows, parallel swims, and jockeys at a spawning site associated with the Gordon Landing breakwall, Lake Champlain during November 2015. Number of lake trout is presented as 0.1X the actual observations. Snaps and growls are presented as the number recorded during 3-minute sampling intervals.

208x196mm (96 x 96 DPI)

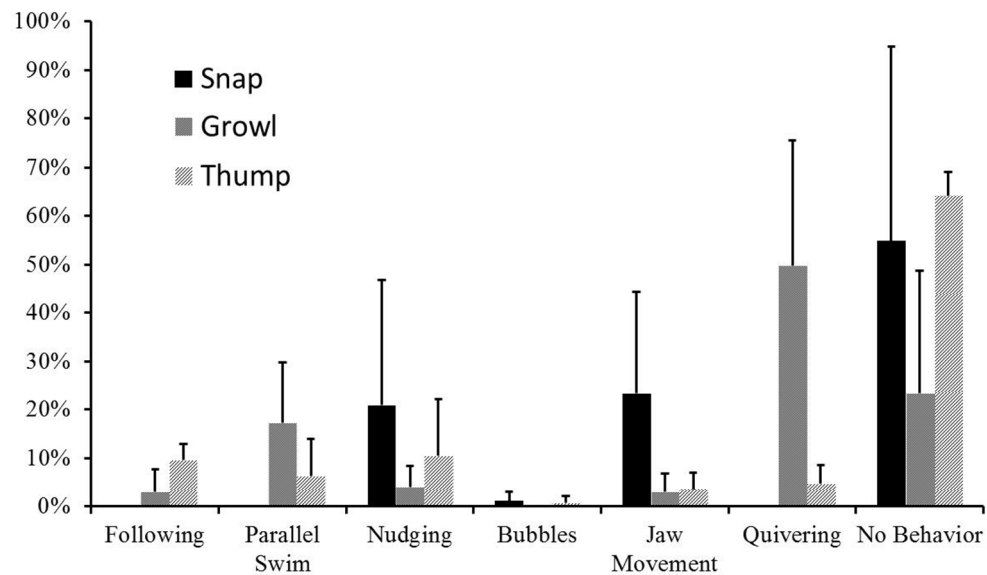


Fig. 5. Percent of snaps, growls, and thumps that occurred with specific lake trout spawning behaviors (see methods) in an laboratory flume during December 2016. Jaw movement combines both nips and snaps as defined in the methods. 'No behavior' means that lake trout were moving in the flume, but not displaying any of the specific spawning behaviors defined in the methods. Error bars represent the standard deviation.

201x119mm (150 x 150 DPI)

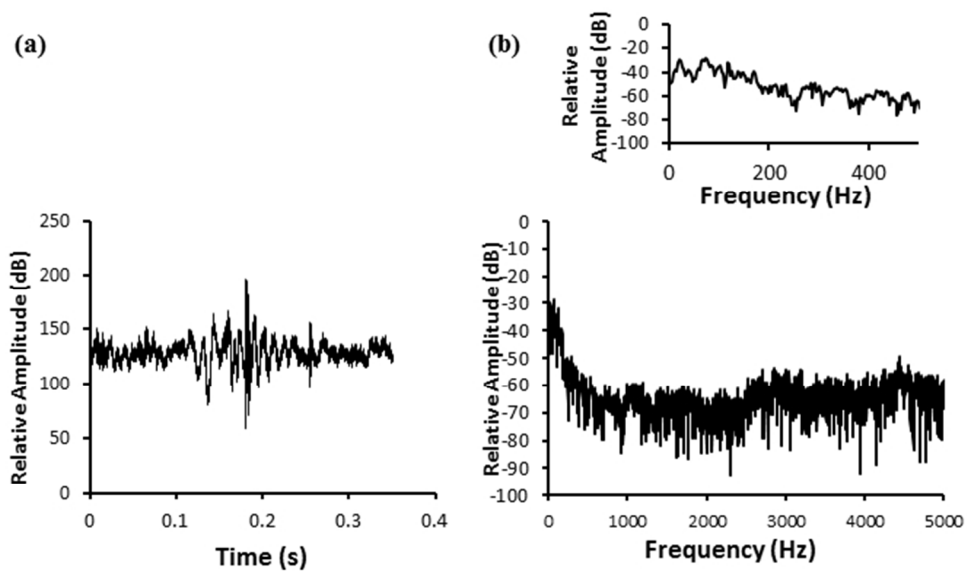


Fig 6. Waveforms (a) and frequency analysis (b) for representative thump sounds recorded from concrete raceways containing 3 male and 1 female lake trout. Inset diagram in b represents the frequency distribution of the call from 0-500 Hz, with the frequencies representing the main call energy. Power spectra were created with a Fast Fourier transform (FFT) filter size of 16384 with a Hanning window.

181x105mm (96 x 96 DPI)