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Multiple-Modality Exercise And Mind-Motor Training To Improve Mobility In Older Adults: A Randomized Controlled Trial

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1 Multiple-modality exercise and mind-motor training to improve mobility in older
2 adults: A randomized controlled trial^{1,2}

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37 **Abstract**

38 **Objective:** To investigate the effects of multiple-modality exercise with or without additional
39 mind-motor training on mobility outcomes in older adults with subjective cognitive complaints.

40 **Methods:** This was a 24-week randomized controlled trial with a 28-week no-contact follow-up.
41 Community-dwelling older adults underwent a thrice -weekly, Multiple-Modality exercise and
42 Mind-Motor (M4) training or Multiple-Modality (M2) exercise with an active control
43 intervention (balance, range of motion and breathing exercises). Study outcomes included
44 differences between groups at 24 weeks and after the no-contact follow-up (i.e., 52 weeks) in
45 usual and dual-task (DT, i.e., serial sevens [S7] and phonemic verbal fluency [VF] tasks) gait
46 velocity, step length and cycle time variability, as well as DT cognitive accuracy.

47 **Results:** 127 participants (mean age 67.5 [7.3] years, 71% women) were randomized to either
48 M2 (n = 64) or M4 (n = 63) groups. Participants were assessed at baseline, intervention endpoint
49 (24 weeks), and study endpoint (52 weeks). At 24 weeks, the M2 group demonstrated greater
50 improvements in usual gait velocity, usual step length, and DT gait velocity (VF) compared to
51 the M4 group, and no between- or within-group changes in DT accuracy were observed. At 52
52 weeks, the M2 group retained the gains in gait velocity and step length, whereas the M4 group
53 demonstrated trends for improvement ($p = .052$) in DT cognitive accuracy (VF).

54 **Conclusions:** Our results suggest that additional mind-motor training was not effective to
55 improve mobility outcomes. In fact, participants in the active control group experienced greater
56 benefits as a result of the intervention.

57 **Keywords:** Dual-task gait, community-dwelling, multiple-modality, group-based exercise.

58 1. Introduction

59 Older adults with subjective cognitive complaints (SCC) are at increased risk for future mobility
60 impairment (Allali et al., 2016) and cognitive decline (Jessen et al., 2014; Kaup et al., 2015).

61 Self-reported SCC may be the first indicator of underlying cognitive impairment (Amariglio et
62 al., 2012; Chao et al., 2010; Jessen et al., 2010) and have been associated with poorer scores on
63 objective cognitive assessments (Amariglio et al., 2011), as well as cortical and hippocampal
64 atrophy (Saykin et al., 2006). In this perspective, SCC is a clinically-relevant phenomenon that
65 can serve to identify individuals at-risk for more serious forms of cognitive impairment and
66 dementia, and these cognitive complaints have been found to predict future neuropathological
67 progression towards the establishment of dementia (Kaup et al., 2015). The current efforts to
68 improve cognition and mobility in Alzheimer's disease and other dementias have been met with
69 relatively little success (Brookmeyer et al., 2007; Sperling et al., 2011). Thus, directing
70 interventions towards individuals who are at increased risk for future pathological cognitive
71 decline (e.g., those with SCC) prior to the establishment of underlying neuropathological
72 changes to the brain may provide the greatest clinical benefit (Livingston et al., 2017).

73 Cognitive deficits in older adults have been strongly associated with poor performance in several
74 spatiotemporal gait characteristics, including slow velocity and increased stride time variability
75 (Montero-Odasso et al., 2014). Moreover, slow gait velocity is an early indicator of cognitive
76 impairment (Verghese et al., 2014) and is related to shortened life span (Studenski et al., 2011).
77 Further, gait variability is associated with increased risk of falls (Beauchet et al., 2013, 2009),
78 and higher gait variability is more apparent in those with a greater degree of cognitive
79 impairment (Montero-Odasso et al., 2012). In fact, slower gait velocity and increased gait
80 variability were linked to accentuated cognitive decline 25 years after baseline assessment in a

81 recent retrospective investigation (MacDonald et al., 2017); however, the relationship between
82 cognitive functioning and gait performance has yet to be fully understood. The relationship is
83 thought to be mediated, at least in part, may be a result of poorer executive functioning (EF)
84 (Hausdorff et al., 2008) among healthy individuals (Allali et al., 2013) and those with severe
85 cognitive impairment (e.g., Alzheimer's disease) (Allali et al., 2007). The importance of
86 preserved EF in the cognitive control of gait becomes more evident under dual-task (DT)
87 conditions (e.g., walking and performing a concurrent cognitive task) (Smith et al., 2016; Yogev-
88 Seligmann et al., 2008), where individuals with poorer EF demonstrate the most dramatic gait
89 impairments (Allali et al., 2010).

90 Early prevention strategies (prior to the establishment of permanent cognitive impairment) that
91 effectively improve usual and dual-task gait performance in those at greater risk for cognitive
92 impairment may preserve functional independence, reduce fall risk (Demnitz et al., 2016;
93 Snijders et al., 2007), and attenuate the increasing burden on health care systems associated with
94 mobility disability and dementia (Prince M, Wimo A, Guerchet M, 2015; Sperling et al., 2011).
95 Thus far, increasing evidence has suggested that habitual participation in exercise programs may
96 lead to improvements in usual and DT gait parameters (Dorfman et al., 2014; Hortobágyi et al.,
97 2015), static and dynamic balance (Zanotto et al., 2014); with a greater effect on frail individuals
98 (e.g., fallers, musculoskeletal disorders) and in those with neurological conditions (e.g., mild to
99 moderate dementia) (Gobbo et al., 2014; Zanotto et al., 2014). For instance, in a recent
100 laboratory-based investigation conducted by our research group, older adults with cognitive
101 impairment, not dementia (CIND) (Plassman et al., 2011) who underwent a combined 26-week
102 DT gait and aerobic exercise (AE) intervention (40 min/day, 3 days/week) demonstrated
103 significant improvements in usual and DT gait velocity and step length (Gregory et al., 2017).

104 Despite promising evidence, the specific components of an exercise intervention that would
105 impart the greatest benefit to mobility impairments in older adults are yet to be defined (Young
106 et al., 2015). Furthermore, evidence is insufficient to conclude that a specific program of
107 cognitive training and/or exercise warrants prescription in individuals with SCC (Snowden et al.,
108 2011). Although the administration of exercise with (Plummer et al., 2015) or without
109 (Hortobágyi et al., 2015) additional DT gait training in previous exercise studies has been
110 associated with improved usual and DT gait performance, several aspects of these investigations
111 may raise concerns regarding the feasibility of exercise protocols administered in such laboratory
112 settings (i.e., translation to community settings). Further, most studies have failed to comply with
113 current guidelines for exercise in older adults with regards to exercise intensity, frequency and
114 duration (Hortobágyi et al., 2015; Plummer et al., 2015). These guidelines also emphasize the
115 importance of multiple-modality exercise programs over single-modality exercise programs to
116 enhance overall health and quality of life in the general population of older adults (Chodzko-
117 Zajko et al., 2009; Gregory et al., 2013), although evidence is still limited in more specific
118 groups (e.g., individuals with SCC). In addition, exploring the combination of multiple-modality
119 exercise with alternative, and perhaps more feasible (e.g., group-based, low-cost, and easily
120 administered), forms of mind-motor training (simultaneous cognitive and physical engagement)
121 on mobility outcomes may provide further support for optimal exercise interventions in older
122 adults at risk for cognitive and mobility impairment (Gregory et al., 2013).

123 Square-stepping exercise (SSE) is a group-based, low-intensity exercise program that has been
124 associated with improvements in lower extremity functional fitness and reduced fall risk in older
125 adults at high risk of falling (Shigematsu et al., 2008a). The SSE intervention is best
126 characterized as a visuospatial working memory task with a stepping response on a gridded floor

127 mat, and thus, may be considered as a novel form of mind-motor training (Gill et al., 2016).
128 Recent evidence suggests that SSE may yield improvements in global and domain-specific
129 cognitive functioning, including EF subdomains (i.e., attention and mental flexibility) in older
130 adults free of dementia (Shigematsu, 2014; Teixeira et al., 2013). Nonetheless, the additive
131 effects of SSE on usual and DT spatiotemporal gait characteristics in combination with multiple-
132 modality exercise warrants further investigation.

133 Hence, the purpose of this study was to examine the influence of group-based, multiple-modality
134 exercise combined with mind-motor training (i.e., SSE), in comparison to multiple-modality
135 exercise with additional balance, range of motion and breathing exercises on spatiotemporal gait
136 characteristics in community-dwelling older adults with SCC. We hypothesized that the addition
137 of a mind-motor component to the multiple-modality exercise intervention would lead to greater
138 improvements in the study outcomes compared to multiple-modality exercise alone, particularly
139 by influence of SSE on neural control of gait.

140 **2. Methods**

141 *2.1. Study design*

142 The M4 Study was a two-arm randomized controlled trial (RCT) implementing a 24-week
143 intervention program with a 28-week no-contact follow-up (Gregory et al., 2016). Assessments
144 were performed at baseline, 24 weeks (intervention endpoint) and 52 weeks (study endpoint).
145 After baseline assessments, participants were randomized to either the multiple-modality
146 exercise with mind-motor training intervention group (Multiple-Modality, Mind-Motor [M4]) or
147 to the multiple-modality exercise active control group (Multiple-Modality [M2]). The
148 randomization sequence was computer generated, and concealed envelopes were used to assign
149 group status. All assessors were blinded to group assignment.

150 2.2. *Participants*

151 Details of the M4 study participants and eligibility criteria have been published (Boa Sorte Silva
152 et al., 2017; Gregory et al., 2016). Briefly, the study included community-dwelling older adults
153 aged 55 years or older, who self-reported a cognitive complaint (defined answering positively to
154 the question “Do you feel like your memory or thinking skills have got worse recently?”)
155 (Barnes et al., 2013). Subjective cognitive complaints are defined as a subjective perception of
156 cognitive deterioration by an individual or their peers, even though the individual may seem to
157 perform well in neuropsychological tests, and may not demonstrate signs of objective cognitive
158 impairment (Amariglio et al., 2012; Chao et al., 2010; Jessen et al., 2010). As well, we included
159 individuals who were fully independent in functional activities (maximum score in the Lawton-
160 Brody Instrumental Activities of Daily Living scale [8/8]) (Lawton and Brody, 1969).
161 Individuals were excluded if they self-reported a diagnosis of dementia and/or scored < 24 on the
162 Mini-Mental State Examination (MMSE) (Folstein et al., 1975), had major depression, recent
163 history of severe cardiovascular conditions, any neurological and/or psychiatric disorders, or
164 were unable to comprehend the study letter of information.

165 The study was registered with ClinicalTrials.gov on 29 April 2014 (Identifier: NCT02136368).
166 The Western University Health Sciences Research Ethics Board approved this project and all
167 participants provided written informed consent prior to taking part in the study.

168 2.3. *Multiple-modality exercise intervention*

169 Participants in both groups received 45 minutes of group-based, standardized, multiple-modality
170 exercise, described in detail elsewhere (Gregory et al., 2016). The M4 group performed an
171 additional 15 minutes of mind-motor training (i.e., SSE), whereas the M2 group underwent 15
172 minutes of training focused on balance, range of motion and breathing exercises (i.e., active

173 control condition). In total, participants in both groups exercised 60 minutes/day, 3 days/week
174 for 24 weeks.

175 The multiple-modality exercise intervention incorporated a 5-minute warm-up, 20-minute
176 aerobic exercise (AE), 5-minute cool down, followed by 10 minutes of resistance training (see
177 Table e-1) and 5 minutes of stretching. AE intensity was prescribed via target heart rates (HR)
178 determined at baseline using the STEP™ tool (Stuckey et al., 2012). During the AE component,
179 participants were encouraged to keep their HR at 65-85% of their predicted maximum HR
180 (HRmax) and/or at a rating of 5-8 on the 10-point modified Borg Rating of Perceived Exertion
181 (RPE) scale (Chodzko-Zajko et al., 2009). HR monitoring was conducted part way through and
182 at the end of the AE component during each exercise session. Participants were instructed to
183 record HR and RPE immediately after each monitoring in a training log provided by the research
184 team. Target HR were recalculated at 12 weeks to adjust for progression in the AE training.

185 *2.3.1. Active control intervention*

186 The active control group underwent an additional 15 minutes of balance, range of motion and
187 breathing exercises, prior to the 5 minutes of stretching. This component of the intervention was
188 focused on low-intensity exercises without use of any additional loading (e.g., hand weights or
189 resistance bands), with HR maintained below target zone, and were deemed as a suitable active
190 control condition (Gregory et al., 2016). Participants performed 10 minutes of static (e.g.,
191 postures in narrow stance, tandem stance and single leg stance), dynamic (e.g., walk tandem line
192 on heels or toes) and functional balance (e.g., changing direction on cue, walking with head
193 turns). The session ended with 5 minutes of range of motion exercises (e.g., shoulder, hip and
194 wrist circles) and accompanied by either standing or sitting breathing exercises.

195 2.3.2. *Mind-motor training intervention*

196 In addition to the multiple-modality exercise intervention, participants within the M4 group also
197 performed SSE training (Shigematsu et al., 2008a), prior to the 5 minutes of stretching. The SSE
198 program is a group-based intervention performed on a gridded floor mat (2.5 m × 1 m)
199 containing 10 rows with 4 equal-sized squares per row. The training protocol entails the
200 reproduction of previously demonstrated complex stepping patterns on the SSE mat (see Figure
201 1). The stepping patterns are demonstrated by an instructor and participants are expected to
202 memorize, and further attempt to reproduce each stepping pattern by memory. Instructors could
203 not physically intervene, but in instances where participants were having difficulty reproducing
204 the SSE patterns, they were provided oral cues. There are more than 200 stepping patterns
205 created for SSE (Shigematsu et al., 2008a), and the complexity of these stepping patterns is given
206 according to the number of steps per pattern, as well as the order and direction of foot placement
207 across the SSE mat. In our study, the SSE sessions were carried out in groups of no more than 6
208 participants per mat. To ensure equal group progression throughout the program, the complexity
209 of the stepping patterns within each session was increased only when the majority of participants
210 (i.e., 75%) had successfully performed a given stepping pattern at least four times. The goal was
211 to progress through as many SSE patterns as possible over the 24-week intervention period.
212 Additionally, to create a positive social atmosphere, participants were encouraged to assist each
213 other, as necessary, by providing cues to accurately perform the stepping patterns.



214
215 **Figure 1.** Participants performing stepping patterns during a square-stepping exercise session.

216 *2.4. Data collection*

217 *2.4.1. Baseline variables*

218 Baseline assessments were performed after obtaining written informed consent and prior to
219 participant randomization. Neuropsychological assessments were performed using the MMSE,
220 the Montreal Cognitive Assessment (MoCA), (Nasreddine et al., 2005) and the Centre for
221 Epidemiological Studies Depression Scale (Lewinsohn et al., 1997). Participant clinical and
222 demographic data included: age, sex, race, medical history, weight, height, body mass index
223 (BMI), and 24-hour blood pressure. Additionally, cardiorespiratory fitness was assessed at
224 baseline (predicted maximal oxygen consumption [$p\dot{V}O_2$ max]) using the STEP tool (Stuckey et
225 al., 2012).

226 *2.4.2. Study outcomes*

227 The primary objective of the M4 Study was to investigate changes in global and domain-specific
228 cognitive functioning via the Cambridge Brain Sciences cognitive battery (Hampshire et al.,
229 2012), following the 24-week intervention period and a 26-week no-contact follow-up. The focus
230 of this article, however, is to report findings of our secondary outcome in which we investigated
231 changes in spatiotemporal gait characteristics. Please see Gregory et al., (2016) for further details
232 regarding the M4 Study.

233 Spatiotemporal gait characteristics were collected using a portable electronic walkway system
234 (GAITRite® System, 580 × 90 × 0.63 cm (L × W × H), scanning frequency of 60 Hz, Software
235 Version 4.7.1, CIR Systems, Peekskill, NY, USA). The GAITRite® is valid and reliable for gait
236 assessment in various populations, including older adults with and without mobility impairment
237 (Bilney et al., 2003; Montero-Odasso et al., 2009). Participants completed two usual walking
238 trials (i.e., walking at usual pace), followed by two separated walking trials under DT conditions
239 (i.e., phonemic verbal fluency [VF] and serial sevens [S7] tasks) at a self-selected walking
240 velocity. In the DT gait VF task, participants were instructed to name as many animals
241 (baseline), vegetables (24 weeks), and countries (52 weeks) as possible. For the S7 task,
242 participants were instructed to perform subtractions by sevens starting at 100 (baseline), 90 (24
243 weeks), and 80 (52 weeks). No instructions to prioritize gait performance or responses to the
244 cognitive tasks during the DT conditions were given to the participants. In each trial, participants
245 were instructed to start walking 1 m before and continue to walk until 1 m beyond the electronic
246 walkway, in order to measure steady-state walking. Gait performance over two walking trials
247 were averaged and used for analysis. The measures of interest were usual and DT (VF and S7)
248 gait velocity (cm/s), step length (cm), and cycle time variability (coefficient of variation [%])
249 (Montero-Odasso et al., 2014).

250 In addition, we were interested in cognitive performance under the DT gait conditions (i.e.,
251 accuracy). As such, following previous methods (Maclean et al., 2017a), DT cognitive accuracy
252 while dual-tasking was measured based on the number of correct cognitive responses (ccr)
253 provided by each participant during the two DT gait assessments. This number was then divided
254 by the time (s) taken for each individual DT condition. To adjust for performance errors, ccr/s
255 was finally multiplied by the ratio of correct responses to total responses. We discarded repeated

256 answers during each trial and did not consider answers that were deemed to be inappropriate or
257 incorrect (e.g., naming ‘cities’ instead of ‘countries’ during the DT gait VF trial at 52 weeks).

258 *2.5. Sample size calculations*

259 The sample size included in this study was calculated based on the primary outcome from the
260 larger RCT (i.e., difference between groups at 24 weeks in global cognitive functioning derived
261 from the computer-based Cambridge Brain Sciences cognitive battery) (Gregory et al., 2016;
262 Owen et al., 2010). Briefly, results from a previous meta-analysis indicated that exercise could
263 improve cognition with an moderate effect size ($d = 0.48$) (Colcombe and Kramer, 2003).
264 Although our study has a different design (e.g., intervention and outcome), we decided to take
265 this number into account. Therefore, a sample size of 52 participants per group would have an
266 80% power at the 5% significance level to detect a moderate effect size of 0.55 in cognition.
267 Considering a dropout rate of 20% during the 24-week intervention period, our final sample size
268 was estimated at 130 participants (65 in each group). In a recent meta-analysis (Hortobágyi et al.,
269 2015), multiple-modality exercise was associated with improvements in usual gait velocity in
270 healthy older adults with an effect size of $d = .77$. Thus, if gait velocity were used to estimate the
271 study sample size as the primary outcome, considering an 80% power at 5% significance level
272 and a dropout rate of 20%, we would need only 25 participants per group (50 participants in
273 overall) to detect a significant treatment effect.

274 *2.6. Statistical Analysis*

275 We conducted linear mixed models for repeated measurements (Fitzmaurice et al., 2011) to
276 assess differences between groups in mean change from baseline to 24 weeks. Within the
277 models, we also examined differences between groups from baseline to 52 weeks, and
278 differences within groups from baseline to 24 and 52 weeks. The terms included in the models

279 were: group, time, and group \times time. Time was modeled categorically using two indicator
280 variables representing each time point (baseline as reference category). All analyses were
281 performed using the intent-to-treat approach, including all randomized participants, regardless of
282 compliance with the program and follow-up assessments (Fitzmaurice et al., 2011). An
283 advantage of the mixed effects regression modeling approach is that it does not require each
284 participant to have the same number of measurements provided data are missing at random (i.e.,
285 after taking observed data into account, there are no systematic differences between participants
286 with complete data as compared to those with missing data). This is also an assumption made by
287 most multiple imputation methods (Fitzmaurice et al., 2011). We also performed a sensitivity
288 analysis including only those who completed the study assessments at all time points. As well,
289 for the main outcomes of the study, we conducted analyses adjusting for global cognitive
290 functioning at baseline (MoCA scores). Interpretation of study results were primarily based on
291 mean estimation and associated 95% confidence intervals. All analyses were performed using
292 IBM® SPSS® Statistics for Mac, Version 21 (Armonk, NY: IBM Corp).

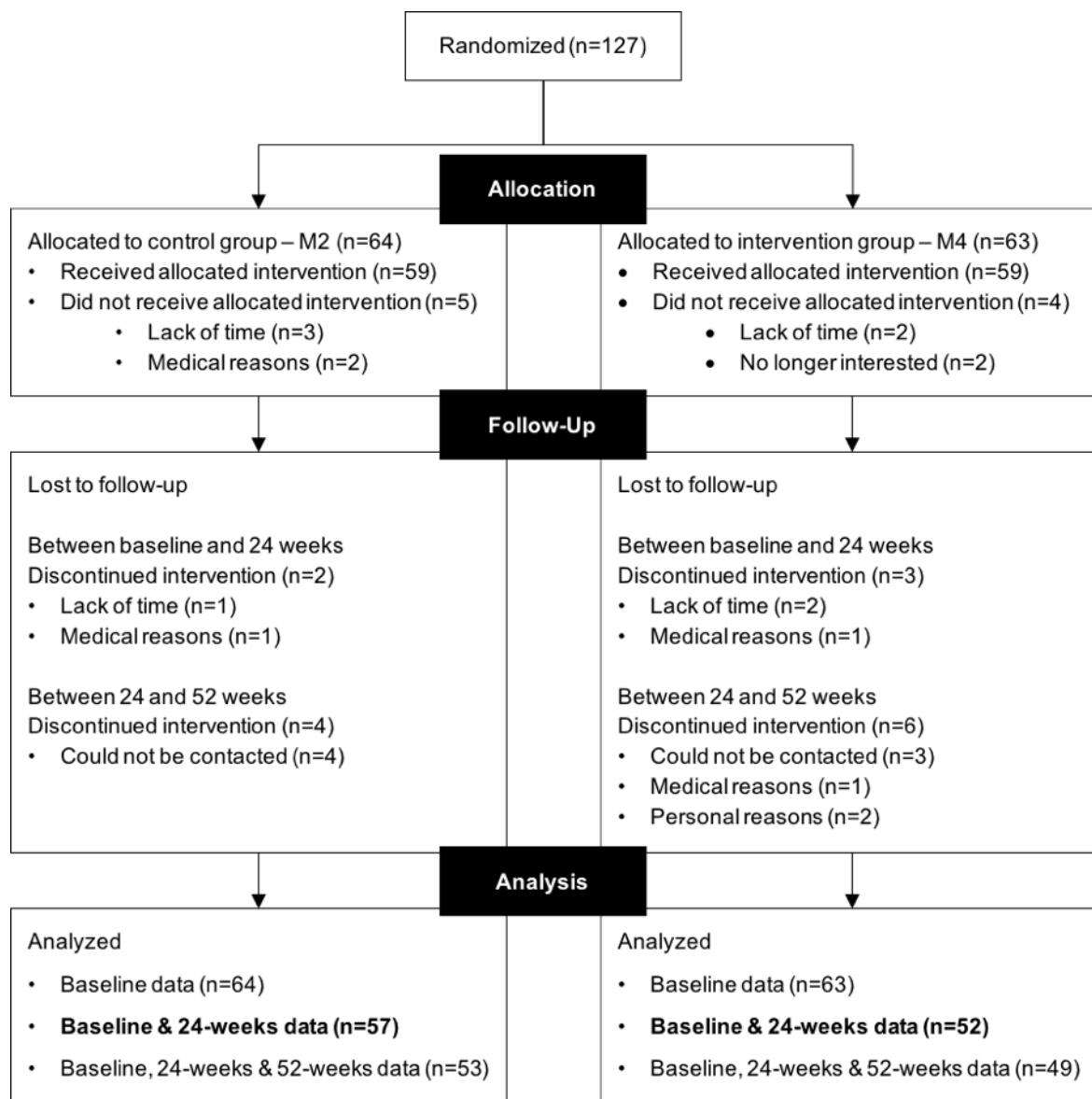
293 **3. Results**

294 *3.1. Enrollment, randomization, and adherence*

295 This study was conducted between January 13, 2014 and March 14, 2016. Participants were
296 enrolled in 4 waves of assessments and intervention over a period of 14 months. During the
297 screening process, 169 individuals were assessed for eligibility; 11 did not meet the inclusion
298 criteria and 31 declined to participate. Thus, 127 participants were included and randomized to
299 either the M2 (n=64) or M4 (n=63) groups, 109 participants attended assessments at 24 weeks,
300 and 102 returned for the final assessments at 52 weeks (see Figure 2). Participants had completed
301 the study and the average attendance to the exercise sessions was 72% for the M2 group (52 out

302 of 72 sessions) and 68% for the M4 group (49 out of out of 72 sessions). A two-sided
303 independent samples t-test revealed no significant differences between groups in participant
304 average attendance ($p = .3$). At the end of the intervention period, participants in the M4 group
305 had achieved the Advanced Level 3 of the SSE program, with stepping patterns ranging from 12
306 to 16 steps, and with steps performed in a broader range of directions (backwards, diagonal, and
307 backwards diagonal), as well as with stepping patterns incorporating wider and longer steps (3 to
308 5 squares between feet). Considering attendance level and program achievement, the SSE
309 program was shown to be feasible in this specific population (i.e., older adults with SCC) and no
310 study-related adverse events were recorded.

311 Table 1 provides the baseline descriptive characteristics of the 127 participants. In overall, the
312 study participants were mostly Caucasian, highly educated and presented with signs of cognitive
313 deterioration based on mean MoCA scores. Further observation of the domain-specific MoCA
314 scores revealed that participants in both groups showed low scores in the delayed-recall memory
315 composite, which indicate memory loss possibly underlying the nature of the self-reported SCC.
316 As well, even though participants involved in the study were high-functioning and lived
317 independently in the community, pVO_2max assessment yielded classification of 'poor' to 'fair'
318 cardiorespiratory fitness compared to age and gender reference values (Heyward and Gibson,
319 2014). The study outcomes at baseline are presented in Table 2, participants demonstrated high
320 gait velocity and low cycle time variability for age, indicating preserved function (Studenski et
321 al., 2011).



322
 323 **Figure 2.** Flow of participant in the 24-week randomized controlled trial with a 28-week no-contact follow-up. For
 324 the M4 group, data from 4 participants were missing at 24 weeks and, therefore, not included in analyses.

325 **Table 1.** Baseline demographics and clinical characteristics.

Variables ^{a,b}	M2 (n = 64)	M4 (n = 63)
Demographics		
Age, yr	67.4 (7.2)	67.6 (7.5)
Females	46 (71.9%)	44 (69.8%)
Caucasian	62 (98.4)	61 (96.8)
Education, yr	13.8 (3)	13.3 (2.7)
MoCA, score (/30) ^c	25.6 (2.4)	25.3 (2.7)
Visuospatial/Executive (/5)	4 (2)	4 (2)
Naming (/3)	3 (0)	3 (0)
Attention (/6)	6 (1)	6 (1)
Language (/3)	3 (0)	3 (0)
Abstraction (/2)	2 (0)	2 (0)
Delayed recall (/5)	3 (2)	3 (2)
Orientation (/6)	6 (0)	6 (0)
≤ 12 years of education	19 (30%)	15 (24%)
MMSE, score	29.2 (1)	29 (1.2)
CES-D, score	9.4 (7.4)	10 (8.9)
24-hour systolic BP, mmHg	129.6 (15.2)	126.5 (11.3)
24-hour diastolic BP, mmHg	74.2 (8.3)	72.2 (8.1)
Weight, kg	80.8 (17.7)	80 (13.8)
Height, m	1.65 (0.1)	1.65 (0.1)
BMI, kg/m ²	29.7 (6.2)	29 (4.1)
pVO ₂ max, ml/kg/min	26.8 (8)	27.1 (7.9)
Medical history, <i>n</i> (%)		
Hypertension	32 (50%)	36 (57.1%)
Hypercholesterolemia	23 (35.9%)	28 (44.4%)
Type 2 diabetes	5 (7.8%)	7 (11.1%)
Myocardial infarction	4 (6.3%)	5 (7.9%)
Atrial fibrillation	-	3 (4.8%)
Angina/coronary artery disease	1 (1.6%)	2 (3.2%)
Aneurysm	1 (1.6%)	2 (3.2%)
Former smoker	28 (44.4%)	29 (46%)
Current smoker	1 (1.6%)	1 (1.6%)

326 Abbreviations: M2, multiple-modality group; M4, multiple-modality, mind-motor group; MMSE, Mini-Mental

327 Status Examination; MoCA, Montreal Cognitive Assessment; CES-D, Centre for Epidemiological Studies

328 Depression Scale; BP, blood pressure; pVO₂max, predicted maximal oxygen consumption.

329 ^a Data presented either as mean (standard deviation) or no. (%) where applicable.

330 ^b There were no differences between groups in any of the baseline measurements.

331 ^c Domain-specific MoCA scores presented as median and interquartile range.

332 **Table 2.** Baseline study outcomes

Outcomes ^{a,b}	M2 (n = 64)	M4 (n = 63)
Usual gait		
Gait velocity, cm/s	116.5 (16.7)	116.6 (20.9)
Step length, cm	64.7 (7.9)	64.03 (9.8)
Cycle time variability, %, Mdn (IQR)	1.8 (1.5, 2.3)	2.08 (1.5, 2.8)
DT Gait (VF)		
Gait velocity, cm/s	97.6 (23.5)	94.6 (26.7)
Step length, cm	61.2 (8.7)	59.7 (10.8)
Cycle time variability, %, Mdn (IQR)	3.8 (2.3, 7)	4 (2.1, 8.1)
DT Gait (S7)		
Gait velocity, cm/s	88.9 (26.7)	85.4 (28.2)
Step length, cm	59.9 (10.2)	58.4 (10.6)
Cycle time variability, %, Mdn (IQR)	5 (2.7, 8.1)	4.6 (3, 7.1)
Secondary outcomes		
DT cognitive accuracy (VF), ccr/s	1.16 (.33)	1.02 (.33)
DT cognitive accuracy (S7), ccr/s	.40 (.35)	.37 (.36)

333 Abbreviations: M2, multiple-modality group; M4, multiple-modality, mind-motor group; Mdn, median; IQR,
 334 interquartile range, VF, verbal fluency task; S7, serial sevens task; CCR, rate of correct cognitive responses.

335 ^aData presented as mean (standard deviation) or otherwise indicated.

336 ^bThere were no differences between groups at baseline in any of the study outcomes.

337

338 3.2. Study outcomes

339 Table 3 shows differences between groups in estimated mean change from baseline to 24 and 52
 340 weeks in the study outcomes. At 24 weeks, the M4 group demonstrated inferior performance in
 341 usual gait velocity, usual step length, and DT gait velocity (VF) compared to the M2 group.
 342 Differences between groups in usual gait velocity remained significant and 52 weeks, favouring
 343 the M2 group. No other differences were seen in the remaining outcomes; however, the M4
 344 group demonstrated a trend for higher DT cycle time variability (VF) at 24 weeks ($p = .054$)
 345 compared to the M2 group.

346 Regarding within-group analyses, Figure 3 shows the estimated mean change from baseline to 24
 347 and 52 weeks. At 24 weeks, improvements were observed in usual gait velocity and usual step
 348 length among participants in the M2 group; whereas the M4 group demonstrated decline in DT
 349 step length (VF) at the same time point. Lastly, the M4 group demonstrated a trend for increased
 350 DT cognitive accuracy (VF) at 52 weeks ($p = .052$).

351 In addition, the sensitivity analysis, which included only participants who completed the study,
 352 did not change the main findings, except that it confirmed the trend for increased DT cycle time
 353 variability (VF) at 24 weeks ($p = .049$) in the M4 group compared to the M2 group (see
 354 Supplemental Table 1). As well, there results remained the same when adjusting for global
 355 cognitive functioning at baseline (MoCA scores).

356 **Table 3.** Differences between groups in the study outcomes

Outcomes ^b	Difference between groups in estimated mean change (95% CI) ^a					
	24 weeks		<i>p</i> Values		52 weeks	
Usual gait						
Gait velocity, cm/s	-10.1 (-15.8 to -4.4)	<.001*	.001**	-6.7 (-13.4 to -.05)	.048*	.044**
Step length, cm	-2.9 (-4.8 to -.1)	.003*	.003**	-2.1 (-4.2 to .1)	.06	.06**
Cycle time variability, % ^c	.02 (-.08 to .11)	.74	.72	-.01 (-.11 to .09)	.86	.89
Dual-task gait (VF)						
Gait velocity, cm/s	-7.9 (-15.5 to -.3)	.043*	.039**	-4.8 (-14.7 to 5)	.33	.32
Step length, cm	-1.8 (-4 to .5)	.11	.11	-.4 (-3 to 2.2)	.76	.74
Cycle time variability, % ^c	.15 (-.002 to .29)	.054	.052	.11 (-.06 to .27)	.19	.18
DT gait (S7)						
Gait velocity, cm/s	-7.3 (-15.9 to 1.2)	.09	.085	-7.5 (-17 to 1.9)	.11	.11
Step length, cm	-1.5 (-4 to 1)	.23	.22	-2.2 (-4.7 to .3)	.09	.085
Cycle time variability, % ^c	.11 (-.05 to .27)	.17	.15	.1 (-.07 to .27)	.23	.21
Secondary outcomes						
DT cognitive accuracy (VF), ccr/s ^d	-.05 (-.23 to .14)	.62	.58	.13 (-.04 to .31)	.14	.16
DT cognitive accuracy (S7), ccr/s ^d	-.04 (-.22 to .13)	.62	.64	.02 (-.16 to .19)	.86	.84

357 Reference category = M2.

358 Abbreviations: 95% CI, confidence interval; M2, multiple-modality group; M4, multiple-modality, mind-motor
 359 group; DT, dual-task; VF, verbal fluency task; S7, serial sevens task; CCR, rate of correct cognitive response.

360 ^a Calculated from linear mixed effects regression models that included group (M2 or M4), time (baseline, 24 and 52
 361 weeks), and group \times time interaction terms. A total of 13 models were conducted, corresponding to each outcome
 362 listed in the first column.

363 ^b M4 group: baseline, n=63; 24 weeks, n=52; 52 weeks, n=49. M2 group: baseline, n=64; 24 weeks, n=57; 52
 364 weeks, n=53.

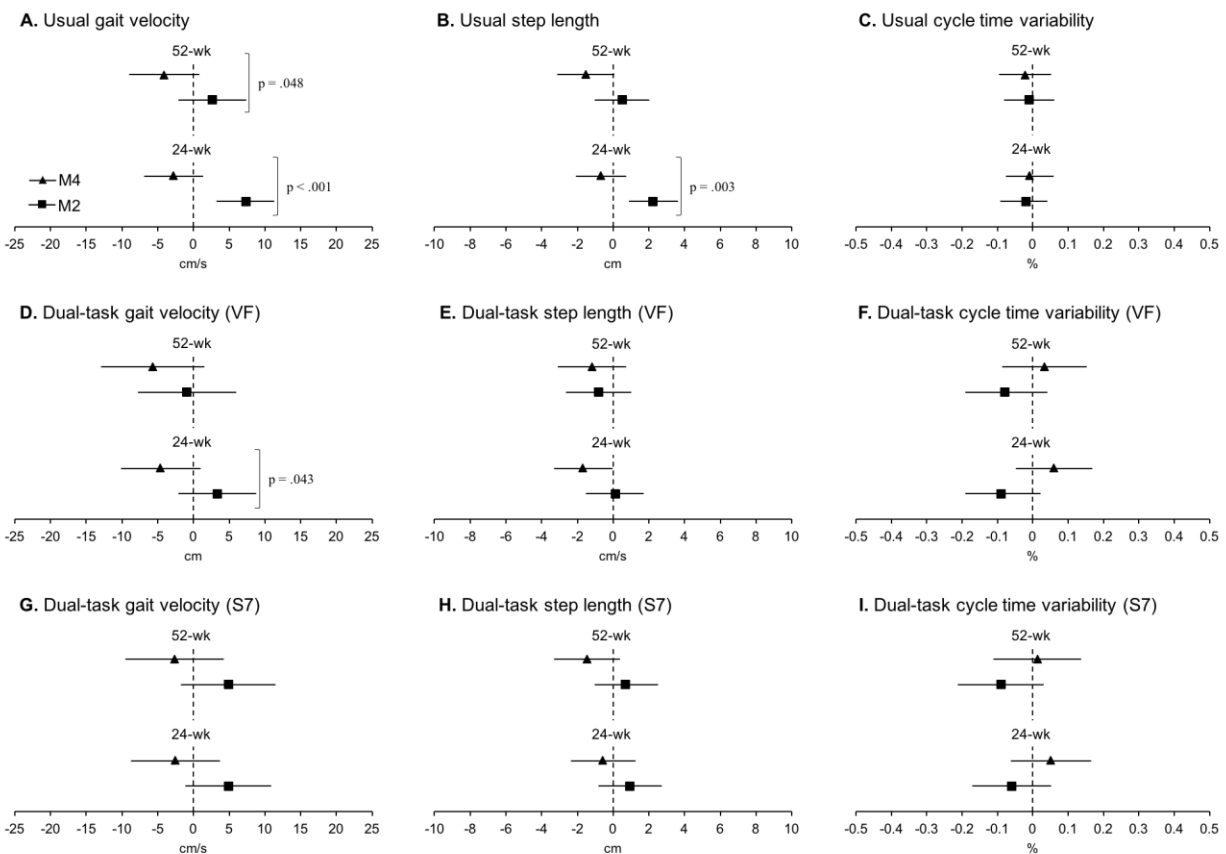
365 ^c Log transformation applied.

366 ^d Square root transformation applied.

367 * Significant differences between groups in estimated mean change from baseline.

368 ** Significant differences between groups in estimated mean change from baseline adjusted for MoCA scores.

369



370

371 **Figure 3.** Within-group estimated mean changes from baseline in the study primary outcomes. Solid squares (M2)
372 and triangles (M4) represent point estimated group mean change from baseline; bars represent associated 95%
373 confidence intervals. Confidence intervals not including zero (i.e., not crossing the vertical dotted line) indicate
374 significant differences from baseline. P value indicates significant differences between groups in estimated mean
375 change from baseline (see supplemental table 2 for specific). Abbreviations: M2, multiple-modality group; M4,
376 multiple-modality, mind-motor group. 24-wk, intervention endpoint; 52-wk, study endpoint

377 **4. Discussion**

378 The results of the current study indicated that the addition of mind-motor training (i.e., SSE) to a
379 standardized multiple-modality exercise intervention did not yield further improvements in
380 spatiotemporal gait characteristics and DT cognitive accuracy. Nonetheless, the multiple-
381 modality exercise intervention with additional balance, range of motion and breathing exercises
382 (i.e., M2) did impart improvements to usual gait velocity, step length, and DT gait velocity (VF)
383 at 24 weeks, and did retain the gains in usual gait velocity at 52 weeks. The changes observed in
384 the M2 group are in accordance with previous investigations (Hortobágyi et al., 2015; Plummer
385 et al., 2015). Results from a systematic review and meta-analysis indicated that multiple-
386 modality exercise interventions may yield clinically significant changes in gait velocity in older
387 adults (mean change 0.09 m/s or 8.4%) similar to our findings (0.07 m/s or 6.25%) (Hortobágyi
388 et al., 2015).

389 A surprising finding of the current study is that despite the fact that SSE was developed to
390 promote improvements in lower extremity functioning in at-risk older fallers (Shigematsu et al.,
391 2008a), it did not provide additional benefits to gait performance when added to the M2 exercise
392 component. From a neuromuscular point of view, the lack of improvement within the M4 group
393 may indicate that the specific biomechanical and/or physical requirements of SSE are not
394 intrinsically associated with the mechanisms underlying exercise-induced changes in gait

395 dynamics in older adults (Hausdorff et al., 2001a). Further, the fact that M2 group received
396 additional balance exercises may account for the superior gait performance in comparison to the
397 M4 group. Indeed, positive changes in gait performance following balance training in older
398 adults have been widely reported in the literature (Hortobágyi et al., 2015), and have been
399 associated with reduced risk for mobility impairment and falls (Sherrington et al., 2011). Taking
400 this perspective, even though previous studies (Shigematsu et al., 2008a, 2008b; Shigematsu and
401 Okura, 2006) indicated that SSE improved balance in older adults—which was the basis of our
402 hypothesis that SSE would impart similar or greater benefits than the additional balance
403 exercises—we failed to report such improvements.

404 It is important to mention, however, that the SSE program encompasses gradual progression in
405 complexity to perform the stepping patterns; this complexity is determined by the number of
406 steps performed, as well as the direction and length of the steps. Therefore, at a certain point in
407 the program (advanced phase), participants did perform stepping patterns requiring wider and
408 lengthier steps and thus, improvements in spatiotemporal gait characteristics could be expected.
409 As the key component of SSE is its simultaneous cognitive-physical demand, we argue that it
410 was a valid hypothesis to expect favourable changes in the study outcomes, particularly with
411 regards to DT gait measures.

412 In addition, it was hypothesized that the specific requirements of the SSE exercise would not
413 directly train the specific gait outcomes that were considered for this study, but would act more
414 specifically to train the control of gait on a more global scale. Among healthy populations, the
415 control of gait is rather automatic and very little attention and/or effort is needed for habitual
416 daily ambulation (Woollacott and Shumway-Cook, 2002). However, the SSE removes the
417 habitual automatic walking response, and forces participants to actively modify their gait to

418 successfully complete the task. This active modification of gait was also thought to be the key to
419 the potential effectiveness of the SSE among relatively pre-clinical patient populations; a
420 conscious modification of gait would potentially serve to strengthen the neural control of global
421 gait performance.

422 Exercise-induced improvements in gait performance are primarily attributed to gains in muscle
423 strength and neuromuscular control of the lower extremities (Hausdorff, 2005; Hausdorff et al.,
424 2001a, 2001b; Zhuang et al., 2014), especially with respect to gait velocity (Hortobágyi et al.,
425 2015). For instance, gains in gait velocity over a 22-week exercise intervention program were
426 associated with increased muscle strength in the hip flexors and ankle dorsiflexors muscles (Lord
427 et al., 1996). In the SSE sessions, the main goal was to complete the stepping pattern accurately,
428 however, time to complete the tasks was not a main priority of the program. In this scenario,
429 participants were expected to observe and retain information about the stepping patterns, then
430 proceed to their execution in order to maintain forward gait, at a relatively slow gait velocity,
431 regardless of participants' individual abilities. This may be understood as a lower-intensity set of
432 stimuli that did not reach the threshold to impart muscle adaptations and induce gains in gait
433 performance compared to the M2 group, which received additional balance exercises.

434 Additionally, the SSE stepping patterns were executed in a way that does not necessarily
435 correspond to the configuration of normal walking (e.g., backwards, lateral, and diagonal steps)
436 and may have negatively influenced the results within the M4 group, ultimately indicating task-
437 specific effects of the SSE intervention unrelated to normal walking.

438 Looking at our findings from a neurological/cognitive perspective, it was also expected that SSE
439 would improve DT gait parameters to a greater extent in the M4 group compared to the M2
440 group. Previous studies reported that SSE has been associated with improvements in EF

441 subdomains (i.e., attention and mental flexibility) (Shigematsu, 2014; Teixeira et al., 2013),
442 which are understood as primary cognitive functions and/or brain networks involved in DT gait
443 functioning (Yogev-Seligmann et al., 2008). Therefore, it was believed that even though SSE is
444 of lower physical intensity, it would enhance DT gait parameters by benefiting EF, via a more
445 neurological/cognitive pathway as opposed to a neuromuscular pathway, due to its high
446 cognitive demand. In reality, we observed that the M4 group showed a decay in one of the DT
447 gait velocity (VF) outcomes after the intervention, which led to statistically significant
448 differences between groups at 24 weeks.

449 Given that no changes in any other DT gait parameters (under either VF or S7 conditions) were
450 noted, it is possible that this singular between-group difference in DT gait velocity (VF) could be
451 explained by the same neuromuscular mechanisms described previously. That is, participants had
452 slower DT gait velocity (VF) probably due the lack of an overall effect of SSE on gait, and thus,
453 the DT component did not change that relationship. If SSE had a negative effect on the cognitive
454 aspect of the DT, it would have likely appeared in the other DT gait parameters, particularly
455 under the serial sevens condition (S7), since this task has been shown to be more cognitively
456 demanding than the VF task (Li et al., 2014). Furthermore, the measures of cognitive accuracy
457 recorded from both DT gait VF and S7 conditions did not differ between groups at 24 weeks,
458 which supports this hypothesis.

459 Nonetheless, we observed a trend for increased DT cycle time variability (VF) in the M4 group
460 that is worth discussing. Increased variability in gait parameters may be indicative of impairment in
461 cognitive control of gait, particularly EF (Springer et al., 2006), and has been associated with
462 increased risk of falling (Hausdorff, 2005). Although this finding may indicate an adverse effect
463 of SSE in the M4 group, it should be interpreted with caution. We did not measure EF in the

464 current study, therefore it is unknown whether adverse changes in DT cycle time variability was
465 associated with unfavorable changes in EF. Nonetheless, this assumption is unlikely given that
466 SSE has been associated with improve EF in previous studies (Shigematsu, 2014; Teixeira et al.,
467 2013). Rather, we argue that because of the above describe characteristics of the SSE program,
468 increased gait variability would likely result from a more cautious gait pattern developed in
469 response to performing stepping patterns requiring increased attention and concentration. In fact,
470 increased gait variability is a marker of cautious gait in fallers (Herman et al., 2005). It is
471 paramount, however, to bear in mind that the trends for increased DT cycle time variability were
472 nonexistent at 52 weeks, suggesting that, if any, the adverse effects of SSE on gait variability
473 would not permanent and would wear off after program session.

474 After the no-contact follow-up period, the M4 group demonstrated trends for improvements in
475 DT cognitive accuracy (VF); this was not seen in the M2 group. Aerobic-based and multiple-
476 modality exercise interventions have been shown to improve VF in this population under single
477 task conditions (Baker et al., 2010; Suzuki et al., 2012); however, under DT conditions, exercise-
478 induced changes in DT cognitive accuracy has not been fully explored. Thus, the trend for
479 improved performance of the M4 group in the VF task may be indicative of delayed-treatment
480 impact of the exercise intervention with additional SSE (Teixeira et al., 2013), although this
481 requires further exploration particularly with regards to clinical meaningfulness of these
482 measures. This finding would implicate superior efficiency in proper allocation of attention
483 resources to the cognitive task while maintaining stable gait velocity, which may be an
484 encouraging sign of improvements in EF, particularly in our sample of older adults with SCC
485 (Maclean et al., 2017b).

486 In sum, we speculate that the lack of SSE superior effects to drive between-group differences in
487 DT gait parameters may be due to two main reasons: 1) the short duration and different
488 frequency in which the mind-motor component was administered compared to previous studies
489 (Gill et al., 2016; Teixeira et al., 2013), along with the low-intensity aspect of the SSE
490 component; and 2) SSE could target specific cognitive functions/brain networks different from
491 those required under DT gait conditions and, therefore, a significant treatment effect could not be
492 expected under these circumstances. Another relevant factor to be taken into account when
493 interpreting our findings is participants' baseline characteristics. This is particularly important
494 given that participant health and functional status prior to the beginning of any given exercise
495 regimen can mediate the effect of exercise on gait performance (Hortobágyi et al., 2015). For
496 instance, in a study including patients with objective cognitive impairment, poorer baseline
497 motor performance was the only factor related to greater response to the exercise training (Hauer
498 et al., 2012). In this study, we recruited high-functioning community-dwelling older adults who,
499 despite reporting signs of early cognitive deterioration (i.e., SCC), already presented relatively
500 higher gait velocity and lower gait variability before the program, compared to population
501 parameters (Studenski et al., 2011). Consequently, the lack of improvement in the M4 group may
502 also be due the high-functioning aspect of our sample that would limit the extent to which the
503 relatively low-intensity SSE would impart additional benefits to gait performance (Hausdorff et
504 al., 2001a; You et al., 2009). In other words, this could indicate a dose-response relationship,
505 where a higher-intensity intervention would be necessary to observe significant changes in gait
506 parameters in high-functioning older adults, even in those with SCC (Hortobágyi et al., 2015;
507 Lopopolo et al., 2006). Moreover, past studies have shown that higher intensities of AE may
508 yield functional and morphological alterations in brain regions associated with the cognitive

509 control of gait (Berchicci et al., 2013) and improve usual gait and DT gait performance (Iuliano
510 et al., 2015; Snowden et al., 2011).

511 This study presents several limitations. The lack of a non-exercising control group impaired our
512 ability to control for the possible influence of external factors. Further, limitations regarding the
513 DT assessments are also noted, including: 1) the task performance was not randomized (i.e.,
514 usual gait followed by DT gait VF, and then DT gait S7); 2) performance on the secondary
515 cognitive tasks within the DT gait evaluation was not methodologically controlled (i.e., VF and
516 S7 tasks isolated, without the walking task). Thus, our ability to determine whether changes in
517 DT gait performance were similar to change in cognitive task (isolated VF and S7 tasks) is
518 limited. In addition, AE intensity was controlled based on participants indirectly monitoring their
519 own HR (i.e., via radial artery pulse), which could have created room for underestimations and
520 participants may have exercised at different intensities from what was prescribed. In addition,
521 due to our group-based intervention, we were not able to monitor progression in both exercise
522 groups to an individual level; therefore, it cannot be concluded with high confidence that each
523 individual performed at their optimal performance. Finally, individuals in this study were
524 predominantly Caucasian, well educated, functionally independent, and relatively healthy; thus,
525 results may not be generalized to other populations.

526 **5. Conclusions**

527 The current investigation explored the influence of multiple-modality exercise with either
528 additional mind-motor training or an active control intervention (e.g., additional balance, range
529 of motion and breathing exercise) on mobility outcomes in older adults with SCC. Our findings
530 demonstrated that additional SSE training was not effective to improve usual and DT
531 spatiotemporal gait characteristics compared an active control intervention. In fact, participants

532 enrolled in the active control group experienced greater changes in usual gait velocity, step
533 length and DT gait velocity after the 24-week intervention program.

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