# Building to Last Experiences & Best Practices from a Long-Standing ETD Program

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### Building to Last

- Launched in 2004 for ETDs
  - Dissertations by 2006
  - Theses & Reports by 2007
- ❖Joint program between campus IT and the library when started
  - Run on DSpace Platform
    - Currently on version 5X
    - Server run through Amazon Web Services
    - ❖ XML UI Mirage 1
- ❖ ETDs 2004-Present 5,549
- ❖Theses & Dissertations Pre-2004 − 11,528



### Today's Roadmap

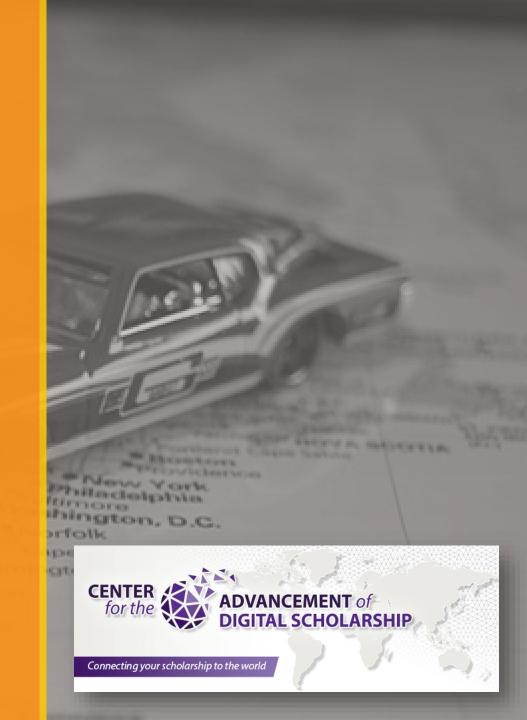
#### **Overview**

- Roles
- Workflow
- Support Services

#### **Good Practices**

- Copyright Services
- ETD Licensing
- Keeping Things Running

**Managing Risks** 



### Roles

#### **Graduate School**

Dean of Graduate School

Role: Chief decision maker

Senior Degree Specialist

Role: Liaison, Chief problem solver

Junior Degree Specialists

Role: Review ETDs (Accept/Reject)

#### <u>Library</u>

IR Manager – Library liaison, Chief fixer

Copyright Support

Cataloging

Preservation – DPN, Duracloud

Library IT

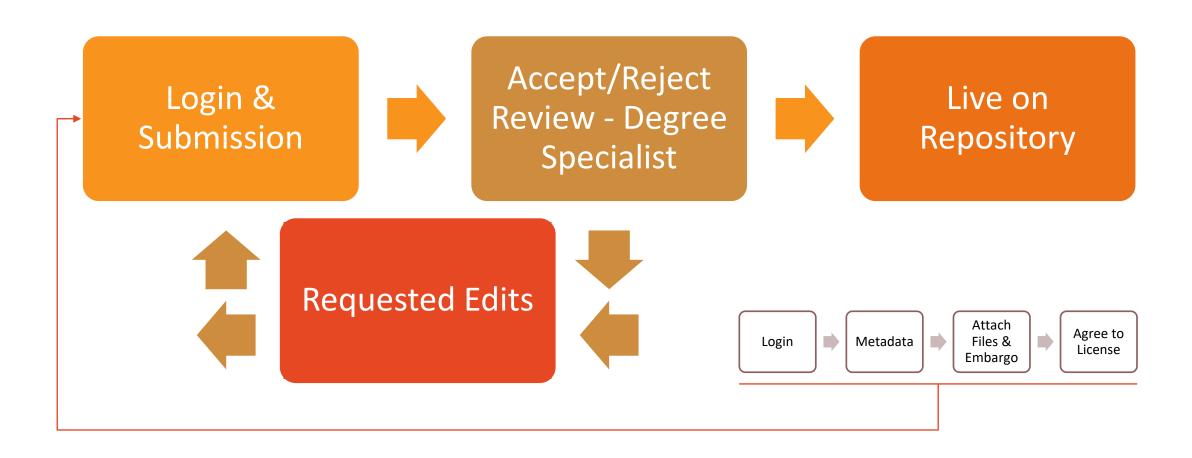
- Maintains repository uptime
- Customization and deploys updates

#### **Information Technology**

**Information Specialist** 

Role: Front end go-to person, IT liaison

### Workflow



### Workflow - Dissertation



### Why Not Go Through ProQuest?

- License integrity better with current workflow
- Stronger case in author rights (some cases)
  - ProQuest considered commercial?
- Easier to divest from ProQuest (if needed)

#### **Downside**

More work for graduate students

Via API or Harvest

Login & Submission



Accept/Reject Review



**ProQuest** 

Alternative Workflow

### Support Services

#### FORMATTING & FRONT-END

- Templates and formatting
- Reviewing ETD & supplemental files, working with image or audio files
- Converting your Word or LaTeX file to PDF
- IR Log-in troubleshooting & ETD submission

#### <u>COPYRIGHT</u>

- Reusing content in ETDs
- -Author rights
  - How to clear published works for ETDs

#### CONTACT OPTIONS







#### **Good Practices**

## Copyright Services



Connecting your scholarship to the world

- Ru-using Content in Theses, Dissertations, and Reports
  - 1. Educating how to do copyright status research-Public Domain
  - 2. Understanding Creative Commons Licenses
  - **3.** Educating on the four factors of Fair Use
  - 4. Advising on how to do permission requests

- **♦• Advising & educating on K-State copyright policies**
- Publishing Author Rights
  - **♦• What rights do authors have after publishing**
  - Implications for Theses, Dissertations, and Reports
    - Pre-ETD Publishing
    - Post-ETD Publishing

### Framework - U.S. Copyright

1. Does it have copyright protection?



2. Does it have an existing license that covers my use?



3. Can I rely on an exemption, such as fair use?



4. Do I need to seek permission or purchase a license?

Rights

License

Exemption

**Permission** 

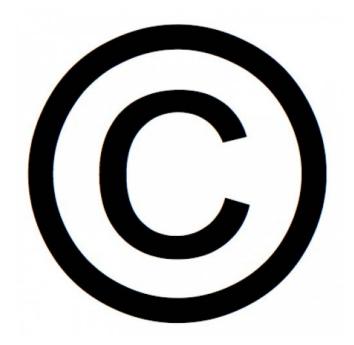
### Copyright Services

#### Maintain or point to web resources for self-help

#### Key Areas:

- Basic copyright information
- Fair use
- Author Rights
- Registering for Copyright
- Plagiarism vs Copyright
- Institutional copyright policies
- Copyrightability of Tables, Charts, and Graphs
- The Code of Best Practices in Fair Use for the Visual Arts
- Survey instruments and standardized tests
- Requesting permission

#### Scalability is the name of the game!



# Publishing – Using Thesis, Dissertation, or Report Content

#### **BEFORE** THESIS, DISSERTATION, OR REPORT

- ❖ Navigable but hazardous
- Potential for signing over copyright to content **needed** to complete ETD
- Students should know about copyright and author rights before deciding to publish
- Copyright should be a consideration throughout ETDR creation
- Knowledge of how to request permission from journal/publisher

#### AFTER THESIS, DISSERTATION, OR REPORT

- \*Relatively easier process
- Copyright stays with the graduate student & is intact if they wish to pursue publication
- Students should make publisher or journal aware ETD is openly available
  - Journals or publishers do not normally consider openly available ETDRs as prior publication

### Author Rights - ETDs

#### **Journal Trends**

- Reliance on Copyright Clearance Center
- Minimal permissions staff
  - Long response times
- Varied policy interpretations
  - ProQuest?
- Lack explicit permissions or policies for thesis and dissertation use
- Green OA/Self-archiving polices double as ETD permissions







### ETD Licensing

Standard non-exclusive distribution License

License records are a good thing

- Will help if we ever change systems
- Policy documentation as a supplement

Offer Creative Commons license options?

Scalability is the roadblock

We mandate that all ETDs are made open access. (Post-embargo)

- Implications?
- How strong is the license if we force graduate students to accept it?

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# Adventures in Formatting

Google/Search for:

"Effects of Information and Communication Technologies on the Work-Life Balance of Resident Assistants"

<u>Link</u>



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Center for the Advancement of Digital Scholarship 118 Hale Library Manhattan KS 66506

(785) 532-7444 cads@k-state.edu

#### Effects of information and communication technologies on the work-life balance of resident assistants

Winters, Danielle

Information and Communication Technologies, or ICTs (Golden, 2013) have become prevalent in the modern professional's life. Clark (2000) uses work/life border theory to explain the ways in which individuals manage the so-called borders between personal and professional life, and this study applies work/life border theory to better understand the work-life balance perceptions of one particular group: university resident assistants (RAs). As RAs, the participants of this study face rather unique struggles in managing boundaries between personal life and private life, due to the fact that they live and work in the same physical locations. Results indicate that many RAs have adopted the use of ICTs, which allows them to leave the physical space of the dormitories. However, this study shows the fascinating double-bind such ICT-centered schedule management can create: although use of ICTs allows for schedule management and time away from the physical work-space of the dorms, RAs remain on-call and reachable at all times.

#### Description:

Kirmser Undergraduate Research Award - Individual Non-Freshman category, honorable mention

Keywords: Information and communication technologies; Work-life balance; Double-bind; Segmentation; Integration

Major Professor: Dr. Gregory Paul

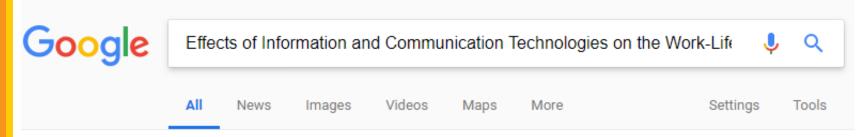
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### "Ummmmm...?"



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K-REx is available at http://krex.ksu.edu. Effects of information and communication technologies on the work-life balance of resident assistants. Danielle Winters.

### "How Widespread is This?!"

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"Oh...Oh No"



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Kaiblinger's upper bound  $\rho 2(n)$  is achievable, with  $Mn(\Phi \rho \alpha + 1) = pp\alpha$  if  $p\alpha || n$ ,  $\alpha \ge 0$ . Kaiblinger's lower bound  $\rho 1(n)$  follows at once from his observation that if p ...

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### Formatting & Standards

- Standardize, standardize
- Create a common denominator for ETDs, apply it to everyone.
- Theses and dissertations are stuck in the past.
- Doing something special? Make sure it's achievable and sustainable.

Your title here in sentence case

by

Your Official Name (as KSIS record appears)

(previous degrees with format, punctuation, and date order, as shown in examples below)

B.S., Kansas State University, 2010

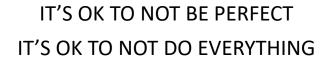
M.S., University of Kansas, 2012

AN ABSTRACT OF A DISSERTATION

submitted in partial fulfillment of the requirements for the degree

### Keeping Things Running







MAINTAIN AND STRENGTHEN RELATIONSHIPS AT EVERY OPPORTUNITY



FIND WAYS TO DO LESS WORK

### Keeping Things Running



Keep your paper copies!



Retroactive digitization is a risk.

How risk averse is your institution?



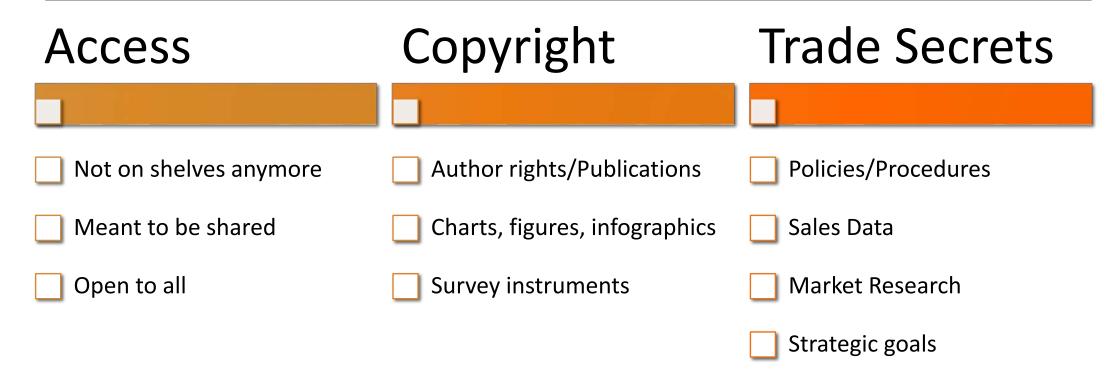
Setup on-demand for authors.

- -License
- -Digitization
- -Upload to IR



The library and/or the IR Manager needs to be full partners.

### Managing Risk



### Managing Risk

#### **Copyright Education. Get the word out!**

- Graduate students AND their advisors
- Get invited to information sessions, seminars, program updates, committees
- Provide self-serve information
- Someone in the library should have copyright expertise

#### **Trade Secrets**

- How do you share something that's not meant to be shared? Scrub the document?
  - Remove identifiable people, products, and groups.
  - Falsify data
- What are the implications of making something open access with falsified information?

### Questions

#### Ryan Otto

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