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# Au@*h*-Al<sub>2</sub>O<sub>3</sub> analogic yolk-shell nanocatalyst for highly selectively synthesis of biomass-derived <sub>D</sub>-xylonic acid via regulation of structure effect

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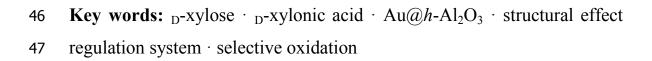
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#### 24 Abstract

Selective oxidation of biomass-based monosaccharides into value-added sugar acids is highly desired, yet limited success of producing <sub>D</sub>-xylonic acid has been achieved. Here, we report an efficient catalyst system, Au nanoparticles anchoring on the inner wall of hollow Al<sub>2</sub>O<sub>3</sub> nanospheres  $(Au@h-Al_2O_3)$ , which could catalyze the selective oxidation of <sub>D</sub>-xylose into  $_{\rm D}$ -xylonic acid under base-free conditions. The mesoporous Al<sub>2</sub>O<sub>3</sub> shell as adsorption sites first adsorbed <sub>D</sub>-xylose, the interface of Au nanoparticles and Al<sub>2</sub>O<sub>3</sub> as active sites spontaneously dissociated O<sub>2</sub>, and the exposed Au nanoparticles surface as catalytic sites drove the transformation. With this catalyst system, the valuable <sub>D</sub>-xylonic acid was produced with excellent yields in the aerobic oxidation of p-xylose. Extensive works showed that the Au@h-Al<sub>2</sub>O<sub>3</sub> is an efficient catalyst with highly stability and recycling. 



#### 48 Introduction

Use of biomass-derived feedstocks provides an environmentally friendly 49 and sustainable route for the production of chemicals. Organic acid is a 50 versatile, renewable chemical with a wide industrial application profile 51 both as a solvent and as a building block.<sup>1,2</sup> It is also widely used in food, 52 textile.<sup>3,4</sup> and pharmaceutical, cosmetic, detergent, polymer, 53 54 Transformation of biomass into value-added organic acids is highly desired, but is still very limited owing to the lack of efficient catalytic 55 system and method. Recently, monosaccharide oxidation (especially 56 selective oxidation), as a crucial element of organic acid production, 57 offers an attractive option on the conversion of biomass resources into 58 chemicals and sugar acids. 59

<sub>D</sub>-Xylose, one of the main sugar units in hemicelluloses, is the 60 second most abundant sugar in nature.<sup>5</sup> Many efforts have been made on 61 utilizing of <sub>D</sub>-xylose by fermenting<sup>6</sup> or other processes to obtain fuels and 62 chemicals.<sup>7-10</sup> Among these products, <sub>D</sub>-xylonic acid is one of the top 30 63 high-value chemicals identified by the US Department of Energy. It is a 64 key compound for the preparation of 1,2,4-butanetriol or energetic 65 materials 1,2,4-butanetriol trinitrate.<sup>11</sup> Currently, as a concrete additive, 66 <sub>D</sub>-xylonic acid can effectively improve concrete dispersion.<sup>12</sup> Furthermore, 67 p-xylonic acid can be used as an efficient biocatalyst for organic 68 transformations.<sup>13</sup> Other applications of <sub>D</sub>-xylonic acid have been 69 reported in food, pharmaceutical, and agriculture.<sup>14,15</sup> Therefore, 70 innumerous scientists have devoted to themselves to the synthesis of <sub>D</sub>-71 xylonic acid research. Among all the literatures, microbial production of 72 <sub>D</sub>-xylonic acid plays a significant role in the synthesis of <sub>D</sub>-xylonic acid. 73 As early as 1946, Lockwood and Nelson reported that the production of 74 <sub>D</sub>-xylonic acid could occur in the Pseudomonas.<sup>16</sup> Later, a lot of studies 75

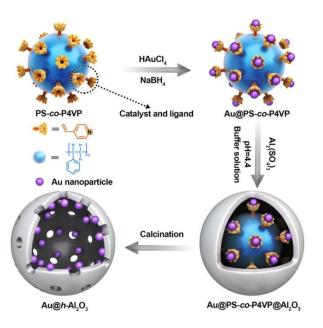
have found that <sub>D</sub>-xylonic acid could be produced in the oxidative 76 metabolism of <sub>D</sub>-xylose by some archaea and bacteria.<sup>9, 17-20</sup> But until now, 77 commercial production of <sub>D</sub>-xylonic acid has not been developed, 78 reflecting the current limited market for <sub>D</sub>-xylonic acid. The reason lies in 79 two facts: the one is that many others oxidizing enzymes are produced by 80 bacteria strains which directly contribute to the conversion of other sugars 81 present in lignocellulosic hydrolysates, the other is that the engineered 82 yeast strains have low <sub>D</sub>-xylonic acid accumulation rate and vield.<sup>9</sup> 83 Besides, industrial scale production of <sub>D</sub>-xylonic acid needs the high cost 84 of peptone and/or yeast extract media as nitrogen sources, which decide 85 that the production methods are impractical. Furthermore, although the 86 87 application of chemical synthesis in industrial production is widespread, few studies have been reported for the synthesis of p-xylonic acid by 88 chemical synthesis. 89

90 Au-based catalysts are found to be superior to Pt, Pd and Pt/Pd bimetallic catalysts for the oxidation of glucose to gluconic acid,<sup>21</sup> as Au 91 nanoparticles (NPs) have low sensitivity to oxygen poisoning and high 92 activity in a wide range of pH values. However, the free Au NPs with 93 high surface energy are easy to agglomerate, which affect its activity. To 94 avoid this drawback, functional supports with a strong interaction with 95 Au,<sup>22-26</sup> pores<sup>27-30</sup> and core/yolk-shell architecture<sup>31-33</sup> are developed to 96 improve the stability of Au NPs. The core/yolk-shell architecture featured 97 the advantages of high permeability, low density, large surface area, 98 multifunctionality, and high loading capacity,<sup>31, 34-38</sup> Furthermore, 99 modification of the core/yolk-shell structure to give a nanocatalyst with 100 metal NPs embedded on its inner wall can not only improve the stability 101 of metal NPs, but also generate a synergistic effect on the interface 102 between the metal NPs and the shell.<sup>39,40</sup> 103

Herein, we report a new catalyst of Au NPs anchoring on the inner 104 wall of mesoporous hollow  $Al_2O_3$  nanospheres, prepared via the 105 technique of core/yolk-shell architecture. The Au@h-Al<sub>2</sub>O<sub>3</sub> catalyst can 106 selectively oxidize <sub>D</sub>-xylose to <sub>D</sub>-xylonic acid. The correlation between 107 the unique structure and catalytic activity of  $Au@h-Al_2O_3$  was revealed 108 through comparative experiments. The adsorption sites were investigated 109 by a technique called quartz crystal microbalance with dissipation 110 monitoring (OCM-D) and DFT calculations. The active sites for the 111 spontaneous dissociation of  $O_2$  were studied by DFT calculations. The 112 catalytic sites of Au@h-Al<sub>2</sub>O<sub>3</sub> were verified by the comparison 113 experiment of sulfhydryl coated surface of Au NPs. 114

### 115 **Results and discussion**

The synthetic scheme of the Au(a)h-Al<sub>2</sub>O<sub>3</sub> is shown in Fig. 1. A soap-free 116 emulsion polymerization method was adopted to prepare a core/shell 117 structure of PS-co-P4VP,<sup>41</sup> which was then used as scaffold for the 118 immobilization of Au NPs. The dispersed Au NPs were then anchored 119 onto the PS-co-P4VP core/shell microspheres by coordination of Au<sup>3+</sup> 120 (from HAuCl<sub>4</sub>) with the P4VP shell first and then by reduction with 121 NaBH<sub>4</sub>.<sup>41</sup> Afterwards, uniform layers were gradually growing onto the 122 surface of Au@PS-co-P4VP by controlling the precipitation process in a 123 buffer solution of formic acid-ammonium formate.<sup>42</sup> Finally, the resultant 124 NPs were calcined to remove PS-co-P4VP and allow the Au NPs to be 125 distributed on the inner wall of the hollow Al<sub>2</sub>O<sub>3</sub> sphere. In this process, 126 PS-co-P4VP microspheres played a dual role, a scaffold for 127 immobilization of dispersed Au NPs<sup>41</sup> and a template for the synthesis of 128 Al<sub>2</sub>O<sub>3</sub> coating. 129



131

**Fig. 1** Schematic illustration of the synthetic route of  $Au(a)h-Al_2O_3$ .

The morphology and chemical composition of the intermediate and 132  $Au@h-Al_2O_3$  were first characterized by scanning electron microscope 133 (SEM). As shown in Fig. 2A, the pure PS-co-P4VP microspheres with an 134 average size of about 490 nm were observed. As compared with the PS-135 co-P4VP microspheres, Au@PS-co-P4VP microspheres showed no 136 significant difference on morphology and size (Fig. 2B). The elements of 137 Au@PS-co-P4VP were mainly Au, C, O and N (the inset of Fig. 2B), 138 indicating that Au NPs were successfully deposited on the surface of PS-139 140 co-P4VP. After the precipitation of  $Al_2O_3$  on the surface of Au@PS-co-P4VP microspheres (using  $Al_2(SO_4)_3$  as the precursor of  $Al_2O_3$ ), a thin 141 shell was coated to produce Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> microspheres, 142 which was confirmed by a slight increase in the size from 490 to 510 nm 143 (Fig. 2C, 2H). The elemental mapping of O, Al, Au, C and N (Fig. 2I-2M) 144 further evidenced the successful preparation of Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> 145 microspheres. After Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> NPs were calcined at 550 146 °C, the polymeric template was removed, and Au NPs were left on the 147 inner wall of hollow Al<sub>2</sub>O<sub>3</sub> sphere, producing Au@h-Al<sub>2</sub>O<sub>3</sub> (Fig. 2D and 148

Supporting Information Fig. S1). Only Al, Au and O were observed in the EDX area scanning of  $Au@h-Al_2O_3$ , which indicates that the cavity structure was achieved after the calcination (Fig. 2E-G).

The transmission electron microscopy (TEM) image of pure PS-co-152 P4VP microspheres in Fig. S2A is consistent with the SEM results. In the 153 TEM images of Fig. S2B, the Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> microspheres 154 155 with a diameter of ~510 nm. The inter bright spots represent the PS-co-P4VP core; the dark spots indicate the Au NPs, while the peripheral dark 156 circle corresponds to Al<sub>2</sub>O<sub>3</sub> shell. After PS-co-P4VP core was removed, 157 the shell (of 10 nm in thickness) and the cavity were clearly observed by 158 TEM and STEM (Fig. 3A and 3B); and Au NPs with a diameter of ~1.87 159 nm were observed on the inner wall of Al<sub>2</sub>O<sub>3</sub> microspheres (the inset in 160 Fig. 3B). Besides the diameter of Au NPs slightly increased from 1.87 to 161 2.20 nm (Supporting Information Fig. S3C), and no significant difference 162 in size was observed for  $Au@h-Al_2O_3$  after being used for 10 times 163 (Supporing Information Fig. S3A, S3B and S4), indicating the high 164 stability of Au@h-Al<sub>2</sub>O<sub>3</sub>. The Au element analyzed with XPS is shown in 165 Fig. 3D. The signal of Au 4f can be resolved into two peaks. The binding 166 energies of Au  $4f_{5/2}$  and Au  $4f_{7/2}$  locating at 87.7 and 83.9 eV indicate Au<sup>0</sup>, 167 while the 89.6 and 86.3 eV demonstrate Au<sub>2</sub>O<sub>3</sub>, respectively.<sup>43</sup> There was 168 no significant difference in Au valence for  $Au(a)h-Al_2O_3$  before and after 169 catalysis for p-xylose oxidation. For the freshly prepared Au(a)h-Al<sub>2</sub>O<sub>3</sub>, 170 the peaks locating at 83.9 and 87.5 eV reveal only the valence of Au 171 species. After being used for the synthesis of <sub>D</sub>-xylonic acid, the peaks 172 shift slightly to the lower binding energies at 83.5 and 87.3 eV 173 174 (Supporting Information Fig. S5), respectively, indicating that the valence of Au species did not change much during the catalytic oxidation process. 175 In addition, the difference in O 1s and Al 2p spectra for  $Au(a)h-Al_2O_3$  was 176

not significant before and after being used for <sub>D</sub>-xylose oxidation 177 (Supporting Information Fig. S6). The Au contents determined by ICP-178 MS were 2.80 wt% and 2.49 wt% for Au@h-Al<sub>2</sub>O<sub>3</sub> before use and after 179 10 catalytic cycles, respectively, indicating that  $Au@h-Al_2O_3$  has 180 excellent stability (Supporting Information Table S1). Fig. S7A shows the 181 HAADF-STEM spectrum of Au@h-Al<sub>2</sub>O<sub>3</sub>. The white spots indicate the 182 Au NPs and the peripheral white circle corresponds to the  $Al_2O_3$  shell. 183 The elemental mapping of O. Al and Au in Au@h-Al<sub>2</sub>O<sub>3</sub> are shown in 184 Fig. S7B-D, demonstrating the actual distributions of O, Al, and Au 185 elements in the catalyst. Fig. 3C shows the HRTEM image of Au@h-186 Al<sub>2</sub>O<sub>3</sub>. There were mainly two types of lattice fringes with interplanar 187 spacing of 2.32 and 2.0 Å, corresponding to (111) and (200) crystal 188 planes of Au NPs, respectively. The inset in Fig. 3C shows the diffraction 189 pattern of Au NPs. To illustrate the position of Au NPs in Au@h-Al<sub>2</sub>O<sub>3</sub>, a 190 STEM spectrum of Au NP is given in Fig. S8A; then a line scan of the 191 Au NP is shown in Fig. S8B, which gives a preliminary explanation of 192 the position of Au NPs in Au(a)h-Al<sub>2</sub>O<sub>3</sub>. Fig. 3F shows the XRD pattern 193 of Au@h-Al<sub>2</sub>O<sub>3</sub> catalyst. Au@h-Al<sub>2</sub>O<sub>3</sub> displays a good crystallinity and 194 the diffraction peaks at  $2\theta = 38.27^{\circ}$ ,  $44.6^{\circ}$ ,  $64.68^{\circ}$  and  $77.55^{\circ}$  were 195 assigned to (111), (200), (220) and (311) reflections of the gold lattice, 196 well agreeing with the results from Fig. 3C. The  $10^{\text{th}}$  reused Au@h-Al<sub>2</sub>O<sub>3</sub>, 197 Au/Al<sub>2</sub>O<sub>3</sub> and other Au catalysts (Supporting Information Fig. S4F and 198 S9a-d) also showed the similar diffraction peaks. 199

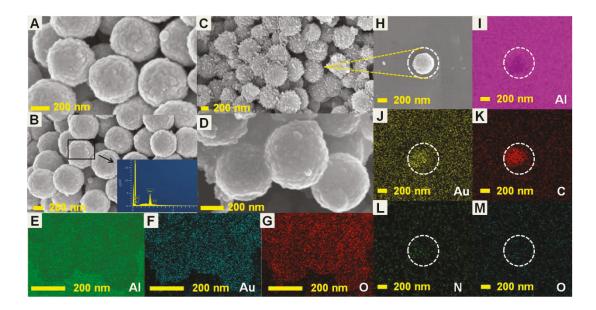


Fig. 2 SEM of PS-co-P4VP (A), Au@PS-co-P4VP (B) (the inset is the EDX of Au@PS-co-P4VP), Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> (C, H), Au@h-Al<sub>2</sub>O<sub>3</sub> (D) and element mapping images of Au@h-Al<sub>2</sub>O<sub>3</sub>: Al element (E), Au element (F), and O element (G), the element mapping images of Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub>: Al element (I), Au element (J), C element (K), N element (L) and O element (M).

To further determine the distribution patterns of Al, O and Au in 206  $Au@h-Al_2O_3$ ,  $Au@h-Al_2O_3$  with 10 times HAuCl<sub>4</sub> addition was prepared 207 and the obtained products were named  $Au@h-Al_2O_3-10$ . Besides an 208 obvious increase in diameters of Au NPs (the inset in Supporting 209 210 Information Fig. S10B), no significant difference was observed between Au@*h*-Al<sub>2</sub>O<sub>3</sub>-10 and Au@*h*-Al<sub>2</sub>O<sub>3</sub> (Supporting Information Fig. S10A-E). 211 The elemental mapping of  $Au@h-Al_2O_3-10$  in Fig. S10F-H gave a clear 212 213 distribution of O, Al and Au. The TEM and STEM of the cross section 214 diagram of Au(a)h-Al<sub>2</sub>O<sub>3</sub>-10 visually showed the distribution of Au NPs (Fig. 3E, 3H and Supporting Information Fig. S11). The line scan 215 216 spectrum and elemental mapping of the cross section diagram of Au(a)h-Al<sub>2</sub>O<sub>3</sub>-10 further presented the actual distributions of O, Al, and Au 217 elements (Fig. 3G and 3I-3K). All the results demonstrated that Au NPs 218 are well distributed on the inner wall of the Al<sub>2</sub>O<sub>3</sub> hollow sphere. 219

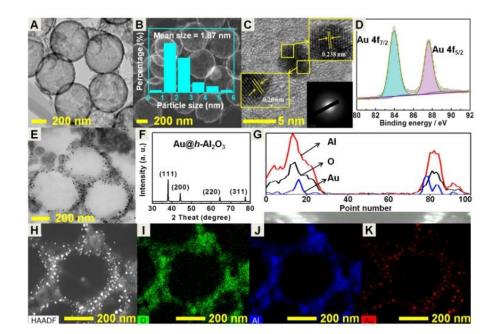


Fig. 3 TEM and STEM of Au@h-Al<sub>2</sub>O<sub>3</sub> (A, B). The inset B is the size distributions of
Au NPs from 300 particles. (C) High-resolution TEM image of Au@h-Al<sub>2</sub>O<sub>3</sub> (the
inset is the diffraction patterns of Au NPs). (D) Au 4f spectra for Au@h-Al<sub>2</sub>O<sub>3</sub>. (E)
TEM of the cross section diagram of Au@h-Al<sub>2</sub>O<sub>3</sub>-10. (F) The XRD pattern of
Au@h-Al<sub>2</sub>O<sub>3</sub>. (G) The line scan of cross section diagram of Au@h-Al<sub>2</sub>O<sub>3</sub>-10. (H)
STEM-HAADF image of the cross section diagram of Au@h-Al<sub>2</sub>O<sub>3</sub>-10 and element
mapping images: (I) O element, (J) Al element, and (K) Au element.

The N<sub>2</sub> sorption isotherms of Au/Al<sub>2</sub>O<sub>3</sub>, Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub> 228 and Au@h-Al<sub>2</sub>O<sub>3</sub> exhibit type IV isotherm patterns (Supporting 229 Information Fig. S12), suggesting the presence of mesopores in these 230 materials. Compared to Au/Al<sub>2</sub>O<sub>3</sub> and Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub>, Au@h-231 Al<sub>2</sub>O<sub>3</sub> showed more uniform and well-developed mesopores with a 232 233 diameter of 2.19 nm, favoring the diffusion of reactants and products in 234 and out of the catalyst. The BET results showed that the surface area of Au@h-Al<sub>2</sub>O<sub>3</sub> increases from 10.09 to 100.45 m<sup>2</sup>/g after removing PS-co-235 P4VP, indicating a higher contact area between  $_{D}$ -xylose and Au@h-236 Al<sub>2</sub>O<sub>3</sub>. Fig. S13 shows no significant difference in the thermal weight loss 237 between Au(ah-Al<sub>2</sub>O<sub>3</sub> and Au/Al<sub>2</sub>O<sub>3</sub>, demonstrating that the catalysts 238 have a good thermostability. Although weight loss occurrs to Au@PS-co-239

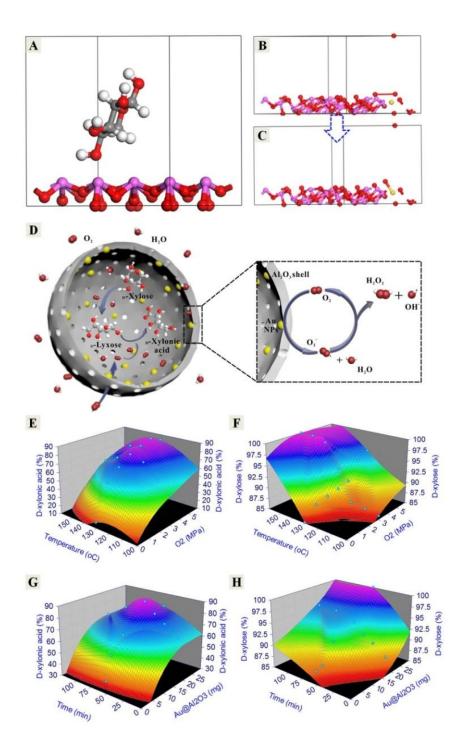
P4VP@Al<sub>2</sub>O<sub>3</sub> when the temperature was higher than 350  $^{\circ}$ C, it has no impact on the aerobic oxidation of <sub>D</sub>-xylose because the reaction was carried out in the temperature range of 100-160  $^{\circ}$ C.

Prior to comprehensively investigate the catalytic activity of Au(a)h-243  $Al_2O_3$  on the aerobic oxidation of <sub>D</sub>-xylose to <sub>D</sub>-xylonic acid, the affinities 244 of <sub>D</sub>-xylose to various surfaces (Al<sub>2</sub>O<sub>3</sub>, silica, and gold) were compared 245 by a technique of quartz crystal microbalance with dissipation monitoring 246 (QCM-D). Fig. S14 shows that the frequency change of Al<sub>2</sub>O<sub>3</sub> surface is -247 7.3 Hz after water rinsing, which is equivalant to  $129.2 \text{ mg/m}^2$ , higher 248 than those of silica (-1.4 Hz and 24.8  $mg/m^2$ ) and gold (-4.9 Hz and 86.7 249  $mg/m^2$ ) surfaces. The results suggest that Al<sub>2</sub>O<sub>3</sub> has a much stronger 250 251 affinity to p-xylose than silica and gold.

The adsorption energy of  $_{D}$ -xylose on Al<sub>2</sub>O<sub>3</sub> was calculated by DFT 252 methods using DMOL3 module from Materials Studio 2017.44 A model 253 of the dehydrated  $Al_2O_3$  (110) surface (Fig. 4A), the same as that used by 254 Falamaki and co-workers,<sup>45</sup> was chosen to investigate the interactions 255 256 between  $_{\rm D}$ -xylose and the Al<sub>2</sub>O<sub>3</sub> crystal. The adsorption energy of  $_{\rm D}$ xylose on Al<sub>2</sub>O<sub>3</sub> (110) was -70 kJ/mol. In addition, the dissociation 257 energy O<sub>2</sub> on the interface of Au NPs and Al<sub>2</sub>O<sub>3</sub> shell was also calculated 258 by DFT as -245.68 kJ/mol (Fig. 4B and 4C), suggesting that the O<sub>2</sub> 259 molecule can be dissociated spontaneously on the interface of Au NPs 260 and Al<sub>2</sub>O<sub>3</sub> shell. The generated negative oxygen ion is expected to react 261 with water to produce oxidizing substances. These results indicate that it 262 is feasible to synthesize p-xylonic acid from p-xylose catalyzed by 263  $Au(a)h-Al_2O_3$ . 264

For the aerobic oxidation of  $_{\rm D}$ -xylose to  $_{\rm D}$ -xylonic acid catalyzed by Au@h-Al<sub>2</sub>O<sub>3</sub>, the reaction was carried out at different temperatures (100-

160 °C) in the presence of  $O_2$  (3.0 MPa). As shown in Table S2, the yield 267 of p-xylonic acid first significantly increased from 38.6% to 83.3% when 268 reaction temperature was raised from 100 to 130 °C (Supporting 269 Information Table S2, entries 1-4), and then gradually decreased as the 270 temperature reached 160 °C (Supporting Information Table S2, entries 4-271 7). The decreasing yield may be due to the production of byproducts (e.g., 272 formic acid, acetic acid and lactic acid) at high temperature. The 273 selectivities of <sub>D</sub>-xylonic acid increased first and then decreased with the 274 increasing temperature (Fig. 4E), while the conversion of <sub>D</sub>-xylose 275 increased with the increase of temperature (Fig. 4F), implying 276 endothermic nature of the overall reaction. Thus, 130 °C was chosen as 277 278 the optimal reaction temperature. After that, the reaction was tested under different O<sub>2</sub> pressure. It was found that only 14.7% of <sub>D</sub>-xylonic acid was 279 obtained when air was used as oxidizer (Supporting Information Table S3, 280 entry 1). As the  $O_2$  pressure increased to 3.0 MPa, the yield remarkably 281 increased from 14.7% to 83.3% (Supporting Information Table S3, 282 entries 1-6). A slight decrease of <sub>D</sub>-xylonic acid yield (79.6%) 283 (Supporting Information Table S3, entry 7), however, was observed when 284  $O_2$  pressure increased to 4.0 MPa. The selectivity of <sub>D</sub>-xylonic acid under 285 different  $O_2$  pressure also showed similar change to that of the yield of <sub>D</sub>-286 287 xylonic acid, and the conversion of p-xylose increased with the increase of O<sub>2</sub> pressure (Supporting Information Fig. 4E and 4F). 288



**Fig. 4** The model of <sub>D</sub>-xylose adsorption energy on  $Al_2O_3$  (110) (A) and  $O_2$ dissociation energy on the interface of Au and  $Al_2O_3$  in Au@*h*-Al<sub>2</sub>O<sub>3</sub> (B and C) calculated by DFT (grey: C, white: H, pink: Al, red: O, yellow: Au). (D) Proposed reaction mechanism for the base-free oxidation of <sub>D</sub>-xylose to <sub>D</sub>-xylonic acid catalyzed by Au@*h*-Al<sub>2</sub>O<sub>3</sub> (left) and oxygen dissociation reaction mechanism (right). The selectivity of <sub>D</sub>-xylonic acid (E) and conversion of <sub>D</sub>-xylose catalyzed (F) by Au@*h*-Al<sub>2</sub>O<sub>3</sub> under different temperatures and oxygen pressures. The selectivity of <sub>D</sub>-

297 xylonic acid (G) and conversion of <sub>D</sub>-xylose (H) catalyzed by Au@*h*-Al<sub>2</sub>O<sub>3</sub> under
298 different reation times and catalyst dosages.

The dosage (relative to 0.25 g  $_{\rm D}$ -xylose) of Au@h-Al<sub>2</sub>O<sub>3</sub> also played 299 an important role in the aerobic oxidation of <sub>D</sub>-xylose to <sub>D</sub>-xylonic acid. 300 The yield of  $_{\rm D}$ -xylonic acid increased with increasing dosage of Au@h-301 Al<sub>2</sub>O<sub>3</sub> (Supporting Information Table S4, entries 1-4). An increase in 302 dosage from 20 to 30 mg, however, did not lead to a significant increase 303 in the yield of <sub>D</sub>-xylonic acid (Supporting Information Table S4, entries 304 4-5). It is possible that  $_{\rm D}$ -xylose molecules adsorbed on the Al<sub>2</sub>O<sub>3</sub> shell 305 306 became unstable species (more active), which reduced the activation energy of the reaction. Similar trend was observed for the selectivity of <sub>D</sub>-307 xylonic acid, as shown in Fig. 4G. The conversion of <sub>D</sub>-xylose increased 308 with increasing dosage of Au@h-Al<sub>2</sub>O<sub>3</sub> (Fig. 4H). Therefore, 20 mg of 309  $Au@h-Al_2O_3$  is optimal for this reaction. Furthermore, the effect of 310 reaction time on the oxidation of <sub>D</sub>-xylose was also examined. With the 311 growth of reaction time, both the yield (Supporting Information Table S5, 312 313 entries 1-4) and selectivity (Fig. 4G) increased, and then decreased after 60 min. This phenomenon might be due to the generation of byproduct 314 (e.g., formic acid and acetic acid) from the produced <sub>D</sub>-xylonic acid with 315 increasing reaction time (Supporting Information Table S5, entries 4-6). 316 The conversion of <sub>D</sub>-xylose also increased with the increase of reaction 317 time (Fig. 4H). Furthermore, the <sub>D</sub>-xylonic acid yield and the conversion 318 of <sub>D</sub>-xylose decreased slightly from 83.3% to 80.5% and from 93.8% to 319 92.8% in the tenth recycling run, respectively. Thus, Au@h-Al<sub>2</sub>O<sub>3</sub> is 320 highly stable and recyclable (Supporting Information Fig. S15). 321

322

Entry	Al <sub>2</sub> O <sub>3</sub> -based Au catalyst	<sub>D</sub> -Xylonic acid (%)
1	Au/Al <sub>2</sub> O <sub>3</sub>	21.6
2	Au@PS-co-P4VP@Al <sub>2</sub> O <sub>3</sub>	17.2
3	Au@h-Al <sub>2</sub> O <sub>3</sub>	83.3
4	Au-SH-(CH <sub>2</sub> ) <sub>3</sub> -Si(OCH <sub>3</sub> ) <sub>3</sub> /Al <sub>2</sub> O <sub>3</sub>	9.6
5	Au-SH-(CH <sub>2</sub> ) <sub>3</sub> -Si(OCH <sub>3</sub> ) <sub>3</sub> @PS-co-P4VP@Al <sub>2</sub> O <sub>3</sub>	5.2
6	Au-SH-(CH <sub>2</sub> ) <sub>3</sub> -Si(OCH <sub>3</sub> ) <sub>3</sub> @h-Al <sub>2</sub> O <sub>3</sub>	13.0

Table 1. Base-free oxidation of <sub>D</sub>-xylose with structurally different Al<sub>2</sub>O<sub>3</sub>-based Au
 catalyst.<sup>a</sup>

326 <sup>[a]</sup> Typical reaction conditions: <sub>D</sub>-xylose (0.25 g), O<sub>2</sub> (3.0 MPa), water (25 mL),
327 Al<sub>2</sub>O<sub>3</sub>-based Au catalyst (20 mg), 130 °C, 60 min.

To better understand our catalyst, the catalytic efficiency of Au(a)h-328 329 Al<sub>2</sub>O<sub>3</sub> was compared with those catalysts that prepared in the literatures. A series of experiments were carried out at the optimal condition and the 330 results are presented in Table S6. Although there was no significant 331 difference in the conversion of  $_{\rm D}$ -xylose between Au(a/h-Al<sub>2</sub>O<sub>3</sub> and other 332 catalysts, the yield of <sub>D</sub>-xylonic acid (thus selectivity) was different. The 333 yield of <sub>D</sub>-xylonic acid catalyzed by  $Au(a)h-Al_2O_3$  was significantly 334 higher than those of other catalysts (Au/SBA-15<sup>46</sup>: 16.8%, Au@PS-co-335 P4VP: 11.4%, SiO<sub>2</sub>-SH-Au: 1.6%, C-SH-Au: 10.8%). Furthermore, the 336 effect of morphology of catalyst on the oxidation of p-xylose was also 337 investigated. As shown in Table 1, the yield of p-xylonic acid catalyzed 338 by Au/Al<sub>2</sub>O<sub>3</sub> was higher than that catalyzed by Au@PS-co-P4VP@Al<sub>2</sub>O<sub>3</sub>, 339 while Au@h-Al<sub>2</sub>O<sub>3</sub> showed a even higher yield than Au/Al<sub>2</sub>O<sub>3</sub><sup>47</sup>, 340 implying that the Au(a)h-Al<sub>2</sub>O<sub>3</sub> catalyst with special structure can 341 effectively promote the formation of <sub>D</sub>-xylonic acid. All these results 342

suggest that the Au@h-Al<sub>2</sub>O<sub>3</sub> has very high catalytic activity for the aerobic oxidation of <sub>D</sub>-xylose into <sub>D</sub>-xylonic acid compared to other catalysts. In addition, the possible reaction pathways and descriptions was given in supporting informatin (Table S7, Fig. S16 and Fig. S17)

To determine the catalytic sites of  $Au(a)h-Al_2O_3$ , we used 3-347 mercaptopropyltrimethoxysilane to treat the Au@h-Al<sub>2</sub>O<sub>3</sub>, and the 348 349 exposed Au NPs surface was covered with sulfhydryl groups. The resulting Au-SH-(CH<sub>2</sub>)<sub>3</sub>-Si(OCH<sub>3</sub>)<sub>3</sub>(a)h-Al<sub>2</sub>O<sub>3</sub> (Supporting Information 350 Fig. S18) was used to catalyze <sub>D</sub>-xylose. Only 13.0% yield of <sub>D</sub>-xylonic 351 acid was obtained, which well agrees with the results of Table S6 (entries 352 3 and 4). The phenomenon suggested that the catalytic sites of Au(a)h-353 Al<sub>2</sub>O<sub>3</sub> were the exposed Au NPs surface. Hence, we proposed a plausible 354 reaction mechanism of synthesis of <sub>D</sub>-xylonic acid from <sub>D</sub>-xylose 355 catalyzed by Au@h-Al2O3 (Fig. 4D). According to the early reports,48 356 hydrogen peroxide, as a byproduct, was produced from the aerobic 357 oxidation of glucose to gluconic acid. The hydrogen peroxide acted as an 358 oxidant to oxidize glucose. In this work, our DFT calculations showed 359 that the O<sub>2</sub> molecule was dissociated spontaneously on the interface of 360 Au NPs and  $Al_2O_3$  (Fig. 4B and 4C), and <sub>D</sub>-xylose was preferably 361 adsorbed on Al<sub>2</sub>O<sub>3</sub> (Fig. 4A and Supporting Information Fig. S14). The 362 resulting negative oxygen ion can react with water to produce  $O_2$ , 363 hydrogen peroxide and hydroxyl ions (Fig. 4D, right panel). Then the 364 isomerization reaction between <sub>D</sub>-xylose and chain intermediate may 365 occur in the presence of hydroxyl ions. Finally, the chain intermediate 366 was oxidized by hydrogen peroxide to produce <sub>D</sub>-xylonic acid. 367

#### 368 **Conclusions**

In summary, we demonstrated a highly effective chemical method for the 369 synthesis of p-xylonic acid from p-xylose, catalyzed by Au(a)h-Al<sub>2</sub>O<sub>3</sub>. 370 The catalytic oxidation of <sub>D</sub>-xylose was facile with high yields. The 371 correlation between the unique structure and catalytic activity of Au(a)h-372 Al<sub>2</sub>O<sub>3</sub> was revealed through comparative experiments. The Al<sub>2</sub>O<sub>3</sub> shell 373 played a dual role in the stabilization of Au NPs and the adsorption of <sub>D</sub>-374 xylose. DFT calculations revealed that the unique structure between Au 375 NPs and  $Al_2O_3$  provided a synergism for spontaneous dissociation of  $O_2$ , 376 and hence promoted the formation of <sub>D</sub>-xylonic acid. At the condition of 377 130 °C, 3.0 MPa O<sub>2</sub> and 60 min reaction time, the <sub>D</sub>-xylose conversion 378 was 93.8%, and the <sub>D</sub>-xylonic acid yield was 83.8%. Compared with other 379 380 Au supported catalysts (e.g. Au/SBA-15, SiO<sub>2</sub>-SH-Au, Au@PS-co-P4VP, etc.), Au@h-Al<sub>2</sub>O<sub>3</sub> showed selectivity toward the production of <sub>D</sub>-xylonic 381 acid. Au@h-Al<sub>2</sub>O<sub>3</sub> was stable after 10 rounds of catalytic recycling. This 382 work provides a new facile route to selectively oxidize monosaccharides 383 into value-added sugar acids such as p-xylonic acid, using a type of 384 hermit crab typological nanocatalyst  $Au(a)h-A_2O_3$ . 385

386 Experimental

### 387 Synthesis of Au@*h*-Al<sub>2</sub>O<sub>3</sub> nanocatalyst

The styrene was pretreated before use. First, a certain amount of commercially available styrene was added into 10% sodium hydroxide solution, and the system was placed stably for 10 min at 4 °C. The process was replicated for 3 times. Thereafter, the styrene was washed with water and dried with anhydrous magnesium sulfate overnight. Finally, the pure styrene was obtained by vacuum distillation and kept at 4 °C.

The polystyrene-co-poly(4-vinylpyridine) (PS-co-P4VP) core-shell 395 microspheres were synthesized by one-stage, soap-free emulsion 396 polymerization.<sup>49</sup> Typically, 80 mmol of 4-vinylpyridine was mixed with 397 360 mL of water at room temperature, then 160 mmol of styrene was 398 added to the above system under magnetic stirring. The mixture was 399 stirred vigorously for another 30 min at room temperature. Thereafter, 400 1.30 g of  $K_2S_2O_8$  was added into the reaction system, and the pH of the 401 mixture was adjusted to 7 with 0.1 mol/L HCl aqueous solution. The 402 reaction system was then filled with nitrogen and carried out at 80 °C for 403 24 h under vigorous stirring conditions. Finally, the excessive volume of 404 0.1 mol/L NaOH aqueous solution was injected into the above colloidal 405 406 dispersion, and the obtained precipitates were washed with deionized water and then dried in a vacuum at 50 °C overnight. 407

408 0.480 g PS-*co*-P4VP was dispersed in HAuCl<sub>4</sub> aqueous solution and 409 stirred at room temperature for 17 h. After that, the pH of the mixture was 410 adjusted to 7 with 0.1 mol/L NaOH aqueous solution, and then a 5-fold 411 excess volume of 0.1 mol/L NaBH<sub>4</sub> aqueous solution was added drop 412 wise with vigorous stirring. The obtained Au@PS-*co*-P4VP core-shell 413 microspheres were collected by centrifugation, washed thrice with 414 deionized water, and dried in a vacuum at 80 °C.

A certain volume of formic acid-ammonium formate buffer solution (pH = 4.4) was first prepared. Then, a certain amount of Au@PS-*co*-P4VP core-shell microspheres and aluminum sulfate were added and dispersed by ultrasound for 15 min. The system was carried out at 70 °C for 2 h with vigorous stirring. The obtained Au@PS-*co*-P4VP@Al<sub>2</sub>O<sub>3</sub> was collected by centrifugation, washed with deionized water and ovendried at 80 °C overnight. The Au@*h*-Al<sub>2</sub>O<sub>3</sub> was obtained by calcinating Au@PS-*co*-P4VP@Al<sub>2</sub>O<sub>3</sub> at 550 °C for 4 h. Changing the concentration
of aluminum salts can easily tune the thickness of aluminum oxide shell.

Activity Tests. The catalytic synthesis of <sub>D</sub>-xylonic acid were 424 carried out in a 60 mL Teflon-lined stainless-steel autoclave. In a typical 425 procedure, 0.25 g <sub>D</sub>-xylose was dissolved in 25 mL water, and then a 426 certain amount of  $Au(a)h-Al_2O_3$  was added into the solution and dispersed 427 by ultrasound for 10 min. Then oxygen was purged into the reactor for 428 three times before the reactor being sealed and pressurized with oxygen. 429 The reaction was then heated to a required temperature for different times 430 under constant stirring (1000 rpm). After the completion of the reaction, 431 the autoclave was immersed in a water bath to cool down, and the oxygen 432 was expelled from the stainless-steel autoclave at the same time. Finally, 433 the sample was immediately syringed out, filtered and analyzed by high-434 performance liquid chromatography (HPLC, Agilent 1260 series) with a 435 UV detector. 436

#### 437 **Conflicts of interest**

- 438 The authors declare no conflict of interest.
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