

Titre: Title:	Braces optimized with computer-assisted design and simulations are lighter, more comfortable, and more efficient than plaster-cast braces for the treatment of adolescent idiopathic scoliosis
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Date:	2014
Type:	Article de revue / Journal article
Référence: Citation:	Cobetto, N., Aubin, C.-É., Clin, J., Le May, S., Desbiens-Blais, F., Labelle, H. & Parent, S. (2014). Braces optimized with computer-assisted design and simulations are lighter, more comfortable, and more efficient than plaster-cast braces for the treatment of adolescent idiopathic scoliosis. <i>Spine Deformity</i> , 2(4), p. 276-284. doi: 10.1016/j.jspd.2014.03.005



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Version:	Version finale avant publication / Accepted version Révisé par les pairs / Refereed
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Document publié chez l'éditeur officiel

Document issued by the official publisher

Titre de la revue: Journal Title:	Spine Deformity (vol. 2, no 4)
Maison d'édition: Publisher:	Elsevier
URL officiel: Official URL:	https://doi.org/10.1016/j.jspd.2014.03.005
Mention légale: Legal notice:	"In all cases accepted manuscripts should link to the formal publication via its DOI"

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1 **Title:**

2 Braces Optimized with Computer-Assisted Design and Simulations Are Lighter, Comfortable and
3 More Efficient than plaster-casted braces for the treatment of Adolescent Idiopathic scoliosis

4
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25 Acknowledgements:

26 Project supported by the Natural Sciences and Engineering Research Council of Canada and the
27 Canadian Institutes of Health Research.

28

Abstract

29 **Study design**

30 Feasibility study to compare effectiveness of two brace design and fabrication methods for
31 treatment of adolescent idiopathic scoliosis: a standard plaster/cast method and a computational
32 method combining CAD/CAM (Computer Aided Design and Fabrication) and finite element
33 simulation.

34

35 **Objectives**

36 To improve brace design using a new brace design method.

37

38 **Summary of background data**

39 Initial in-brace correction and patient's compliance to treatment are important factors for brace
40 efficiency. Negative cosmetic appearance and functional discomfort resulting from pressure points,
41 humidity and restriction of movement can cause poor compliance with prescribed wearing
42 schedule.

43

44 **Methods**

45 15 consecutive patients with brace prescription were recruited. Two braces were designed and
46 fabricated for each case: a standard TLSO brace fabricated using plaster/cast method and an
47 improved brace for comfort (NewBrace) fabricated using a computational method combining a
48 CAD/CAM software (Rodin4D) and a simulation platform. 3D reconstructions of the torso and the
49 trunk skeleton were used to create a personalized finite element model, which was used for brace
50 design and predict correction. Simulated pressures on the torso and distance between the brace and
51 patient's skin were used to remove ineffective brace material situated at more than 6 mm of patient's
52 skin. Bi-planar radiographs of the patient wearing each brace were taken to compare their
53 effectiveness. Patients filled out a questionnaire to compare their comfort.

54

55 **Results**

56 NewBraces were 61% thinner and had 32% less material than standard braces with an equivalent
57 correction. NewBraces were more comfortable (11/15 cases) or equivalent (4/15 cases) than
58 standard braces. Simulated correction was simulated within 5° as compared to in-brace result.

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62 **Conclusions**

63 This study demonstrated the feasibility of designing lighter and more comfortable braces with an
64 equivalent correction as compared to standard braces. This design platform has the potential to
65 further improve brace correction efficiency and its compliance.

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67 **Level of evidence**

68 Level II

69

70 **Keywords**

71 Scoliosis; thoraco-lumbo-sacral orthosis; brace simulation; CAD/CAM; comfort

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89 **Title:**

90 Braces Optimized with Computer-Assisted Design and Simulations Are Lighter, Comfortable and
91 More Efficient than plaster-casted braces for the treatment of Adolescent Idiopathic scoliosis

92 **Introduction**

93 Adolescent Idiopathic Scoliosis (AIS) is a complex deformity of spine and rib cage. For moderate
94 spinal curvatures (Cobb angle 20° to 40°) an orthopaedic brace treatment is generally prescribed to
95 control curve progression. For thoraco-lumbar and lumbar curves, a common brace prescribed is a
96 thoraco-lumbo-sacral orthosis (TLSO)[1]. Bracing has been the mainstay regarding non-operative
97 treatment for AIS but has not gained complete acceptance; the treatment's long-term effectiveness
98 is still questioned[2, 3]. Other studies demonstrated bracing as an effective non-surgical treatment
99 to prevent curve progression compared to no bracing[4-8]. A correlation was found between
100 immediate in-brace correction and brace treatment's long-term effectiveness[9, 10]. Treatment's
101 final results depend on multiple factors; timing with adolescent growth curve acceleration phase,
102 initial brace correction, patient's flexibility, brace wear time and patient compliance to treatment[1,
103 11-13].

104 Negative cosmetic appearance, physical and functional discomfort resulting from pressure points,
105 humidity and restriction of movement can cause poor compliance with prescribed wearing
106 schedules[14-18]. Groups have studied brace wear time by embedding small temperature or
107 pressure sensors to the brace to record average wear time[1, 19-21]. Compliance ranged around
108 33% to 82% of prescribed wear time and 80% of patients had a tendency to overestimate their
109 compliance[20, 22, 23]. Studies suggest that brace efficiency is related to brace wear time. The
110 more patients complied with brace treatment, the better were their chances to obtain a positive
111 outcome[23-25].

112 Brace comfort is evaluated qualitatively by the patient during brace installation and at follow-up
113 visits. The comfort notion has a triple origin: psychological, physical and functional[26]. Pressure
114 and friction ulcers are frequent in brace that exerts excessive pressures. To our knowledge, no
115 published studies describe optimal pressure distribution and maximal pressures that can be applied
116 by brace in regard to patient's comfort. There are studies defining pressure pain thresholds for
117 different anatomical regions indicating that all body regions are not equally sensitive[27-31]. These
118 data do not consider AIS patient characteristics and brace design. Visser[32] studied brace
119 discomfort using a Visual Analog Scale (VAS) and pressure sensors. Results showed that

120 discomfort increases with the corrective pad height. Pham[33] used pressure sensors to investigate
121 daily activities pressure variations at different locations in brace. Comfort was not evaluated and
122 tolerable pressure thresholds remained unknown.

123 Finite element models (FEM) were developed to analyze brace biomechanics[34-37] and
124 rationalize brace design[38, 39]. Combined to a Computer-Aided-Design and Computer-Aided
125 Manufacturing (CAD/CAM) system, FEM now allows the simulation of brace correction, as well
126 as the computation of pressures applied[40]. A clinical evaluation of the in-brace predicted
127 correction using FEM was done on scoliotic patients[40]. So far this work did not include brace
128 design optimization to improve comfort and compliance.

129 The goal of this study was to improve the design of braces by integrating physical and functional
130 comfort criteria in this new brace design method.

131

132 **Materials and methods**

133 Experimental study design

134 15 female patients aged between 11 and 14 years were consecutively recruited over a 6 months
135 period. All participants received a AIS diagnosis, had a curve between 20° and 45° of Cobb angle,
136 an immature skeleton presenting a Risser sign of 0 or 1 and received a standard full-time TLSO
137 prescription. The study was approved by our institutional ethical committee and each participant
138 and their parents gave a written consent.

139 To compare brace effectiveness, two braces were designed and fabricated for each participating
140 patient: a standard TLSO Boston brace-type (StdBrace) and a TLSO brace computationally
141 improved for comfort (NewBrace). Both braces were installed on the patient by the same orthotist.
142 The StdBrace was fabricated using plaster/cast method. A mould of the patient's body was formed
143 for brace fabrication. A 5 mm foam layer and a heated copolymer sheet were moulded on the plaster
144 to create the brace shell. 15 mm corrective pads were added towards trochanter, thoracic and lumbar
145 regions. The NewBrace was fabricated using a CAD/CAM and simulation brace design method
146 linked to a carving machine. A polyurethane foam bloc was carved according to the CAD model
147 for the brace fabrication. A heated copolymer sheet was employed for brace shell thermoforming.
148 No foam layer and no corrective pads were added as the brace was including corrective regions in
149 its shape. The orthotist knew the study purpose but did not participate in the NewBrace design and

150 only intervened during installation (cutting edges and openings). Using the brace simulator, it was
151 possible to choose between horizontal and oblique tightening straps. The final strap orientation was
152 the result of brace optimization showing the best spinal correction.

153 Simultaneous bilateral low-dose radiographs (postero-anterior and lateral) (EOSTM, EOS imaging,
154 Paris, France) were taken with both braces to evaluate immediate brace efficacy. Following
155 correction indices were measured on the patient's spine: main thoracic (MT) and thoraco-
156 lumbar/lumbar (TL/L) Cobb angles, kyphosis (T4-T12) and lordosis (L1-L5) angles.

157 Brace design simulation

158 The CAD/CAM and simulation brace design method was based on the design platform described
159 by Desbiens-Blais[40]. A 3D reconstruction of the patient's spine, rib-cage and pelvis was done
160 using the calibrated postero-anterior (PA) and lateral (LAT) radiographs[41](Fig.1A). The patient's
161 external torso geometry was obtained using a surface topography system (3-dimensional Capturor,
162 Creaforminc, Levis, Canada)[41](Fig.1B). Radio-opaque markers visible on both X-rays and trunk
163 surface were a priori positioned on anatomical points of the patient's torso and used to register the
164 internal and external geometry reconstructions(Fig.1C). With a previously validated method, the
165 trunk's overall geometry was used to create a personalized FEM using Ansys 13.0 software package
166 (Ansys Inc., Canonsburg, PA, USA)[9, 39](Fig.1D). The FEM principal structure includes thoracic
167 and lumbar vertebrae, intervertebral discs, ribs, sternum, costal cartilages, pelvis, ligaments,
168 abdominal cavity and external soft tissues. The spine model can act in bending, flexion/extension
169 and torsion. Mechanical properties for anatomical structures were taken from published data
170 obtained on typical human cadaveric spine segments[37, 39, 40, 42-45]. A "corrected" model of
171 the patient's torso was generated by applying virtual forces on vertebrae, in such a way to realign
172 the spine in frontal plane. Since the patient's internal and external geometries are linked together,
173 forces applied on selected vertebrae created a correction of the external trunk model using an
174 iterative non-linear resolution method. This corrected torso geometry was introduced into a
175 CAD/CAM software specialized for orthoses design (Rodin4D, Groupe Lagarrigue, Bordeaux,
176 France) and used as a basis for the brace design. Using software's virtual tools, design parameters
177 were methodically tested to obtain a maximized spinal correction. Each time a parameter was
178 modified, brace installation was simulated to observe the effect on spinal correction. The
179 trochanteric pad location (right or left) was first tested. Depending of the type of curve, the
180 corrective regions were then incrementally accentuated by 5 mm until the simulated spinal
181 correction stays stable even with the corrective region depth increasing ($\pm 2^\circ$ Cobb angle). Material

182 was added in order to define relief zones for iliac crests. Using this strategy, between 5 to 10 designs
183 were iteratively simulated for each patient. Design showing the best biomechanical efficiency based
184 on in-brace spinal correction was selected.

185 The resulting brace was used to generate a brace FEM modeled by 4-node quadrilateral linear
186 elastic shell elements using polyethylene mechanical properties[24]. In order to model friction and
187 force transfer from the brace shell to the patient's trunk surface, a surface-to-surface contact
188 interface was made[46]. The simulation boundary conditions included a fixed pelvis in
189 rotation/translation. T1 vertebra was limited to the transverse plan movements. For each patient,
190 brace installation was simulated using the personalized FEM[47, 48].

191 Integration of comfort parameters in the brace design method

192 Brace installation simulation provided the spinal correction with main curves initial and predicted
193 in-brace Cobb angles, T4-T12 kyphosis and L1-L5 lordosis angles were computed using a validated
194 method[49]. Applied pressures on the torso and distance between the brace and patient's skin
195 surface were also computed (Fig.2).

196 Pressure threshold values, found in the literature, were established for anatomical regions of the
197 torso to represent maximum pressures that could be applied by the brace to be comfortable (Fig.3).
198 Applied pressures simulation was used to verify if the NewBrace design met the pre-establish
199 pressure thresholds.

200

201 Using the simulation of the distance between the brace and patient's skin (Fig.2D), brace material
202 situated at more than 6 mm of patient's skin was removed. This width was selected for the necessary
203 expansion related to the thorax breathing movement and to ensure that pressure regions were large
204 enough to avoid pressure points and pinching patient's skin. The shape of openings was determined
205 by the shape of regions included in the 6 mm limit (as shown on Fig.2D, green, yellow, orange and
206 red regions were included). Using this strategy, one-third of brace material covering abdomen was
207 removed and large openings were created on brace (at the opposite side of corrective areas and at
208 each iliac crest relief area) in order to lighten the brace design. The lightened brace design was
209 simulated again to verify biomechanical efficiency. Brace thickness and total surface area of both
210 braces were measured for comparison purposes. In order to biomechanically compare both braces'
211 immediate pressure application on patient's torso, a thin and flexible pressure mat was inserted
212 under both braces for a 30 second period acquisition[50]. Measured pressures were compared to

213 simulated pressures to assess the simulation tool. A questionnaire on comfort related to pressures
214 was developed and validated using a small sample of patients and professionals. For each brace, all
215 patients had to fill out the questionnaire. Using a color code (green, yellow and red) corresponding
216 to three different discomfort levels (respectively light, moderate and severe discomfort),
217 participants were asked to draw the location and intensity of discomfort felt during brace wear on
218 figures similar to those shown on Fig.3. An absence of color was considered as an absence of
219 discomfort.

220

221 **Results**

222 Average Cobb angle prior to bracing was 31° for the main thoracic curve (MT) and 32° for the
223 thoraco-lumbar/lumbar curve (TL/L). Average initial T4-T12 kyphosis and L1-L5 lordosis angles
224 were respectively 21° and 62° .

225 The NewBrace reduced Cobb angles by 42% (39% for MT curve and 49% for TL/L curve) which
226 were predicted with a difference of less than 5° by the simulation. The StdBrace reduced these
227 angles by 43% (42 % for MT curve and 45% for TL/L curve).

228 Mean kyphosis and lordosis angles were slightly less reduced with the NewBrace than with the
229 StdBrace (respectively 17° and 55° for the NewBrace vs. 16° and 51° for the StdBrace), which
230 were predicted by the simulation with a difference of less than 7° . Both braces corrected similarly
231 patient's balance. Mean initial imbalance was 10 mm and was corrected to 5 mm for the NewBrace
232 versus 4 mm for the StdBrace.

233 Globally, 92% of NewBrace measured pressures were similar to the simulation with regard to
234 pressure localization and intensity. Highest pressures were located at thoracic and lumbar regions
235 and at axillary and trochanter extensions. Comparison between simulated and measured pressures
236 is shown for a typical patient in Fig.4.

237 For 13 patients, NewBrace pressures did not exceed light or moderate discomfort (shown on
238 questionnaire figures). Eleven patients found the NewBrace more comfortable than the StdBrace.
239 Other 4 patients considered the Newbrace as comfortable as the StdBrace. Results obtained using
240 the questionnaire are summarized in Table 1.

241 The NewBrace did not include a foam layer and corrective pads; therefore, it was in average 61%
242 thinner than the StdBrace. Approximately 32% of the NewBrace material was removed to create

243 large openings. Detailed results for one clinical case comparing the NewBrace to the StdBrace are
244 shown on Fig.5.

245

246 **Discussion**

247 This study is a first attempt to define and include physical and functional comfort criteria in an
248 optimized brace design method using a FEM (brace simulator) associated to a CAD/CAM system.
249 The outcomes show that comfort integration is possible with consistent clinical results. This study
250 allows a further extension of the simulation platform established by Desbiens-Blais[40]. Results
251 demonstrate the feasibility of an approach to design braces with optimal efficacy while minimizing
252 discomfort parameters.

253 NewBrace correction was equivalent compared to StdBrace correction for all cases (in-brace Cobb
254 angle difference less or equal to 5°). The design platform allows testing different brace design
255 which can be useful to establish a personalized treatment strategy. The difference between
256 predicted and clinical results for frontal and sagittal angles can be partly explained by boundary
257 conditions imposed for the simulation. It can also be explained by the fact that a TLSO brace has
258 less control over the thoracic segment above T6[51]. This couldn't be considered by the simulation
259 since T1 was constrained by the boundary conditions.

260 Since the simulation tool helps optimizing immediate in-brace correction, results combining muscle
261 activation and passive forces and long-term progression of the deformity can still not be predicted.
262 However, the correlation between immediate in-brace outcomes and long-term treatment
263 effectiveness was already reported by different studies[6, 9, 11, 52]. Evaluation of only the braces'
264 immediate effect in terms of spinal correction and pressure application can be a limitation. Further
265 studies are required to analyze the mid- and long-term effectiveness of braces designed with the
266 computer approach. A RCT is currently underway in our institution to fully validate the efficiency
267 of braces resulting from this novel design approach.

268 NewBraces were more comfortable than StdBraces based on the pressures applied and the lightened
269 brace design. Since the torso geometry was acquired in a standing position, NewBraces were found
270 to better fit the patient's physiological shape (plaster mould was taken in a supine position). As it
271 was observed, positioning the patient in a supine position changed the patient's natural shape by
272 flattening the back and abdomen regions and creating greater pressures on rib cage and abdomen.

273 Using the brace simulator, it was also possible to observe pressure application in 3D like in the
274 study of Labelle et al [53]. Therefore, it could be possible to adjust the brace if needed.

275 Time allocated for the NewBrace design and installation was reduced in comparison to the
276 StdBrace (half of time needed for plaster method). The external geometry acquisition process was
277 also simplified. It was acquired during the medical visit and took less than one minute. In
278 comparison, the plaster mould method required 24h for plaster application and drying time. This
279 approach has a potential for the treatment of AIS patients requiring a TLSO, but in the current
280 format could have limited use in non-ambulant neuromuscular and early-onset-scoliosis patients.
281 However, these limitations could be overcome by adapting the geometry acquisition process using
282 a manual scanner and modifying the simulation process by changing boundary conditions.

283 Differences between simulated and measured pressures were mainly located at the pressure mat
284 extremities (at axilla, trochanter and gluteal regions). Aside from this technical detail, this lack of
285 data does not constitute an obstacle for validating the pressures predicted by the simulation.
286 Simulated pressures concurred with 92% of the clinically monitored pressures showing that the
287 pressure simulation can be used as a reliable tool to verify pressure thresholds or to predict intensity
288 and location of the corrective pressures, as also demonstrated by Labelle[53].

289 Using the same pressure thresholds for all patients remains a limitation since each person has a
290 different tolerance. Pressure thresholds data used for this study were collected from healthy subjects
291 and may not be adapted for AIS patients. Even if pressure thresholds were respected, patients still
292 felt discomfort. However, pressure thresholds can be used as a guide for brace design.

293

294 **Conclusion**

295 This study demonstrated the feasibility of integrating comfort parameters in brace design, while
296 maintaining biomechanical efficiency. This platform allowed the iterative design of improved brace
297 for comfort using a CAD/CAM system combined with a computational simulation tool. Each
298 patient received a standard TLSO brace and an improved brace for comfort and biomechanical
299 efficiency was clinically assessed using the 3D reconstruction of the spine and a patient's
300 measurement software. NewBraces were 61 % thinner and had 32% less material. They were
301 considered more comfortable in most instances. Simulated correction and pressures were similar to
302 those measured and NewBraces were equivalent in correction compared to StdBraces. This study
303 should be repeated with a larger sample of patients to pursue validation of the design platform and

304 verify the long-term effect of braces conceived with this computerized approach. Finally, we
305 demonstrated that this design platform has the potential to improve brace design by fully integrating
306 comfort parameters without compromising the correction.

307

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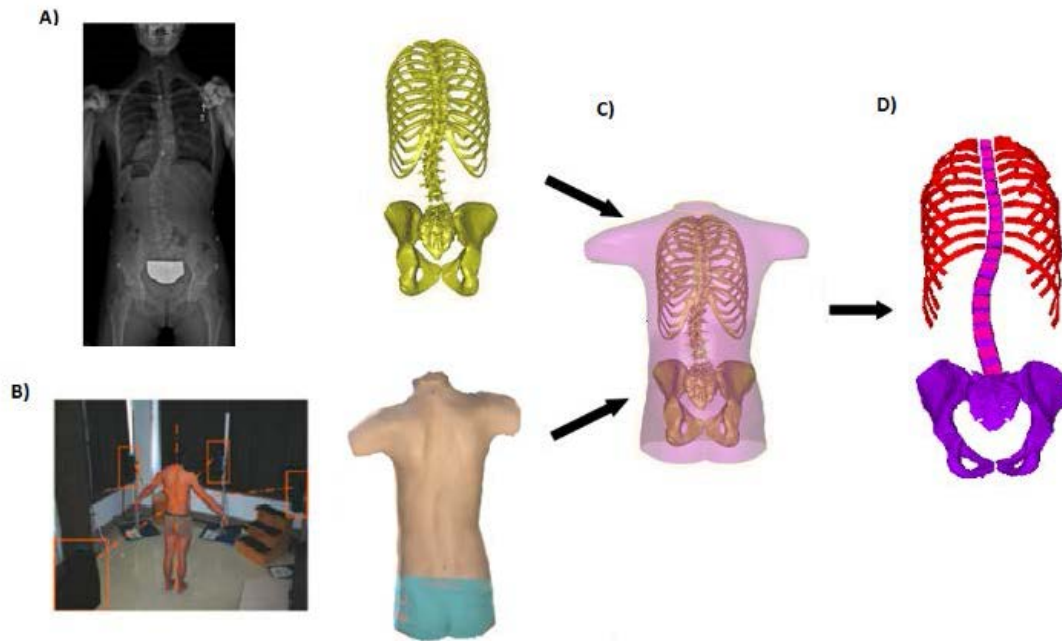
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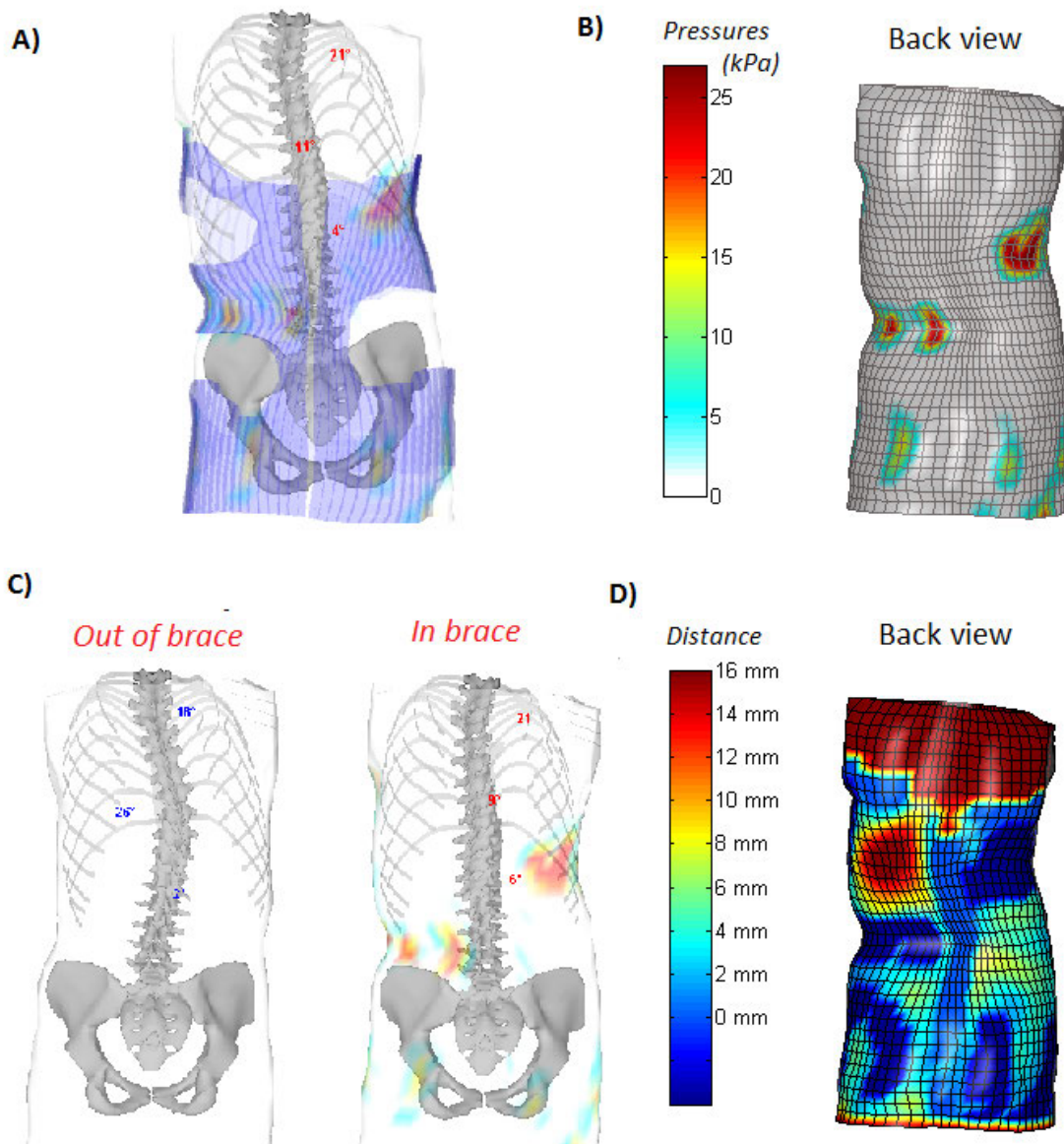
438 **Figures:**



439

440 Figure 1-A) Acquisition of the internal geometry using the bi-planar radiographic 3D
 441 reconstruction technique; B) Acquisition of the external geometry using a surface topography
 442 system; C) Geometries registration; D) Finite element model of the trunk (for clarity, only the skin,
 443 spine, partial ribs and pelvis are shown)

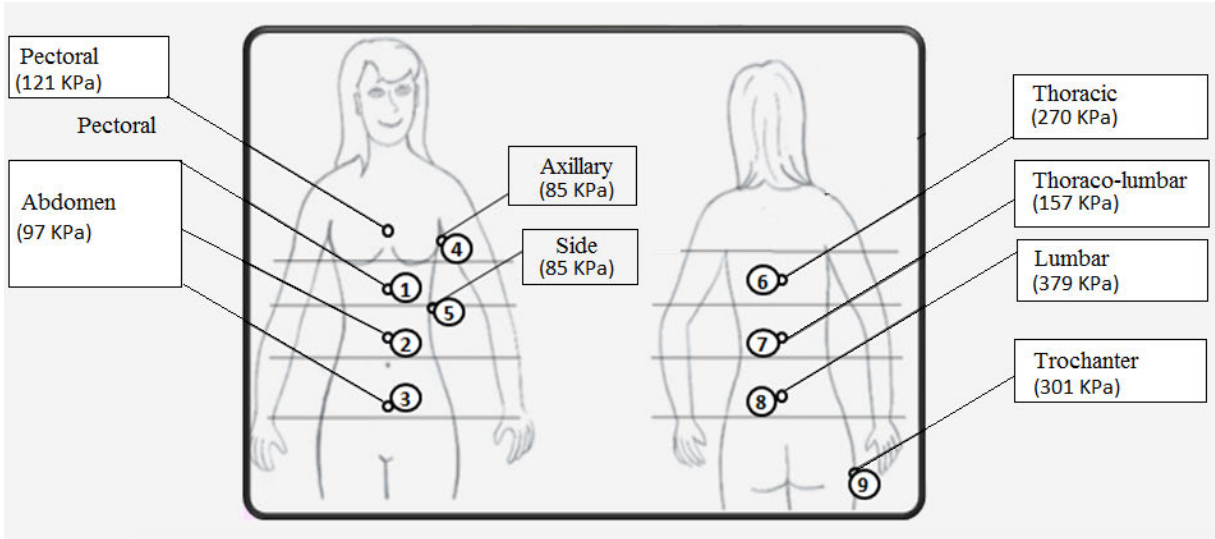
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446 Figure 2-A) Simulation of the brace installation; B) Simulation of the applied pressures (higher
 447 pressures are shown by orange and red areas); C) Simulation of the spine correction; D) Simulation
 448 of the distance between the brace shell and the patient's skin (the blue color represents the material
 449 in contact with the patient's skin and the green, yellow, orange and red colors represent the brace
 450 material situated at more than 6 mm of the patient's skin)

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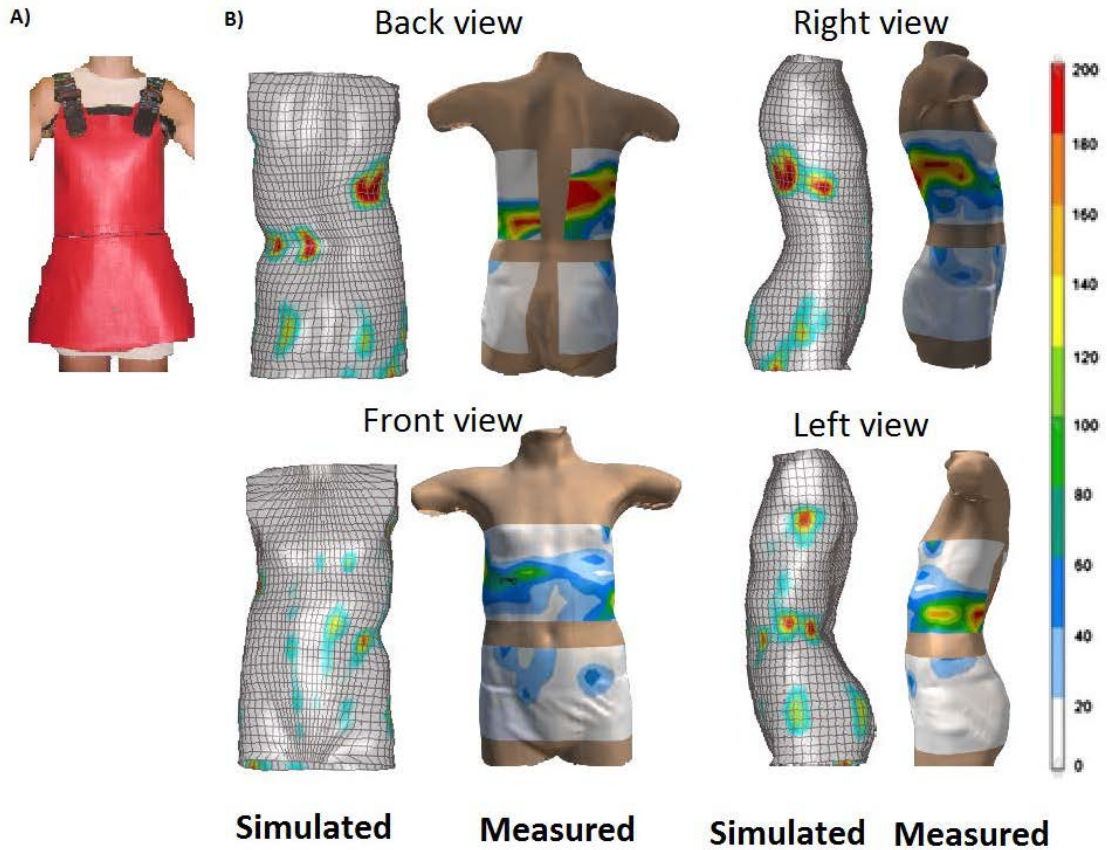
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453 Figure 3-Pressure thresholds used as a guide for brace design (the torso is divided in 9 anatomical
 454 regions for which a corresponding specific threshold was found)

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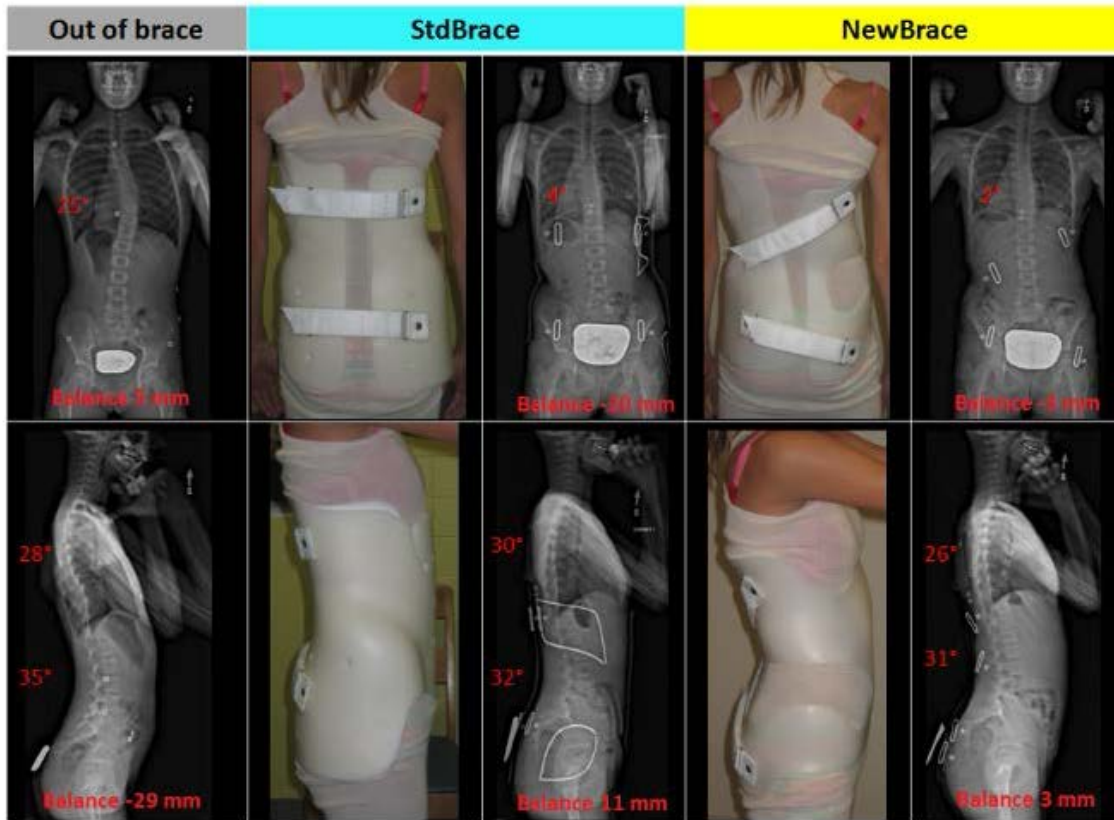
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459 Figure 4-A) The pressure mat worn by a patient (before installing the brace); B) An example of the
 460 comparison between the simulated and the measured pressures. For the simulated pressures, the
 461 grey color represents an area without pressures and higher pressures are shown by orange and red
 462 colors. For the measured pressures, the white color represents the area without pressures and higher
 463 pressures are shown by orange and red colors.

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466 Figure 5-Radiographic results for a typical patient: out of brace (initial curve), with the StdBrace
 467 and with the NewBrace, in the postero-anterior and lateral views. Patient's balance is shown in
 468 millimeters.

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478 Table1 -Questionnaire results obtained from each patient during brace installation. "No color"
 479 represents a region where no discomfort is felt. The green color represents a light discomfort, the
 480 yellow color a moderate discomfort and the red color a severe discomfort. Only the coloured
 481 regions are listed below.

PATIENT	STDBRACE		NEWBRACE	
	Anatomical Region	Level of discomfort	Anatomical Region	Level of discomfort
Patient 1	Thoracic, left side	RED	Thoracic, left side	YELLOW
Patient 2	Thoracic, right side Trochanter, left side	YELLOW GREEN	Thoracic, right side Trochanter, left side	NO COLOR GREEN
Patient 3	Thoraco-lumbar, right side	RED	Thoraco-lumbar, right side	RED
Patient 4	Lumbar, left side	YELLOW	Lumbar, left side	YELLOW
Patient 5	Axillary, left side Trochanter, right side	YELLOW GREEN	Axillary, left side Trochanter, right side	GREEN GREEN
Patient 6	Lumbar, left side	RED	Lumbar, left side	RED
Patient 7	Thoracic, right side Lumbar, left side	GREEN YELLOW	Thoracic, right side Lumbar, left side	NO COLOR YELLOW
Patient 8	Thoracic, right side	GREEN	Thoracic, right side	NO COLOR
Patient 9	Lumbar, left side	RED	Lumbar, left side	YELLOW
Patient 10	Lumbar, right side	YELLOW	Lumbar, right side	NO COLOR
Patient 11	Thoracic, right side Lumbar, left side	YELLOW GREEN	Thoracic, right side Lumbar, left side	NO COLOR YELLOW
Patient 12	Abdomen Lumbar, left side	GREEN GREEN	Abdomen Lumbar, left side	GREEN GREEN
Patient 13	Abdomen Thoracic, right side Lumbar, left side	YELLOW RED GREEN	Abdomen Thoracic, right side Lumbar, left side	GREEN NO COLOR YELLOW
Patient 14	Thoraco-lumbar, right side	YELLOW	Thoraco-lumbar, right side	GREEN
Patient 15	15 Lumbar, left side	RED	15 Lumbar, left side	NO COLOR

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483 Explanatory legend: GREEN = light discomfort, YELLOW = moderate discomfort, RED = severe
 484 discomfort

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