Community Standards for 3D Preservation (CS3DP)

Forum 1

Feb 5th, 9:00 AM - 11:20 AM

#### Presentation Panel on Preservation Best Practices

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## **Data Curation Best Practices**

Community Standards for 3D Data Preservation, Documentation, and Dissemination

Research data have value beyond their original purpose

## How big is big?

## R€ pı

· Byte: one grain of rice

Kilobyte: cup of rice

Megabyte: 8 bags of rice

Gigabyte: 3 Semi trucks

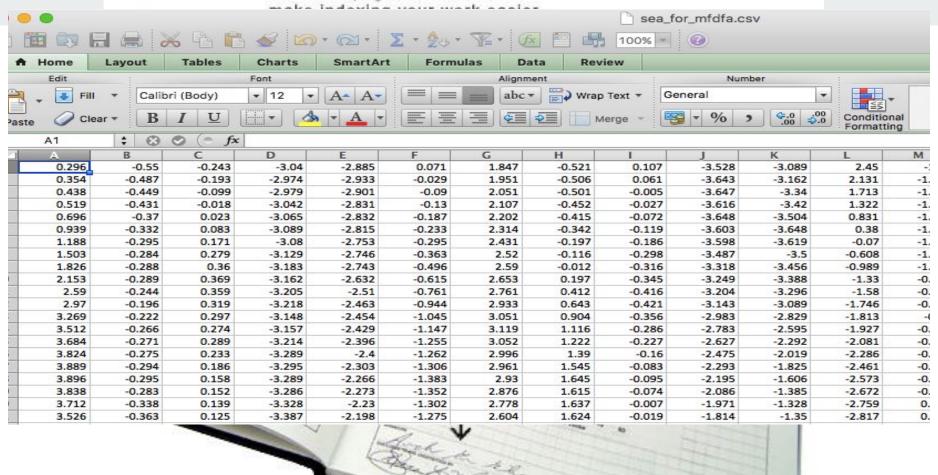
- Terabyte: 2 container
- ships
  - Petabyte: Blankets Manhattan
  - Source: David Wellman, LinkedIn
    - https://www.slideshare.net/dwellman/whatis-big-data-24401517/34-So\_what\_isvalue



al



#### book and page numbers



## Research data have value beyond their original purpose, but....

- There is a lot of data! For example...
- Data can be messy and incomprehensible (lack context!)
- Digital file formats are constantly at risk
- Most data never leaves their author's laptop ⇒ benign neglect



Re pu

CENSUS OF ENGLAND AND WALES, 1911. Large Schedule. (To be filled up by the Enurafter collection.) with space for 40 names Before writing on this Schedule please read the Examples and the Instructions given on the back of page 2, as well as the headings of the Columns. The entries should be written in Ink. The contents of the Schedule will be treated as confidential. Strict care will be taken that no infi nions, or for any other purpose INTERNATIONAL NIAGARA COMMITTEE NATIONALITY of every Person born in a Foreign Country. RELATIONSHIP dast Birthday Summary of Monthly Report of flow over Niagara Falls and of Diversions from the Niagara River and Welland Canal INFIRMITY. NAME AND SHRNAME PARTICULARS as to Maid of Mist Power Diversions, cms Outflow Ashland Ave reaty Share used Barge Flow over Niagara Falls, cms. Water If any person included in thi Lacor Chronology, Colubeting the Revenues Expenditure Chippawa Canal guage. CANADA USA Trans. (1) "British sub-Grass Discms. ject by parent-age."
"Naturalised (1) "Totally Robt Horse- Amer-Total Day/ Adam CDN Island cms. Welland WHE MANAGE THE PARTY charge Canada USA imum. Deaf," or "Dea Falls Moses shoe ican. flow Night cms. Beck Plants Pool, cms. hour British sub-inst " giving (2) "Totally 6 10 11 12 13 14 15 ject," giving year of natu(3) "Lunatic," 4 1918 2009 -9 1909 2018 0(2) 28(3) (4) " Imbecile," minded." 1410 229 1639 1418 0(2) nationality, state the infirmit PLOS ONE 30(3) state whether opposite that per son's name, and "French, Signature Wood Modifications Reveal Decomposer Community History "German," "Russian," etc. the age at which he or she became afflicted. 1359 256 1615 1418 0(2) 38(3) 100 2560 299 2859 2833 12(2) 1994 5429 1705 2584 290 2875 2832 2031 5508 1353 303 1655 1417 43(3) 80 1670 2548 322 2870 2833 31(2) 2112 5716 1387 1723 53(3) DAS (%) 2551 301 2852 31(2) 60 2102 5614 1334 320 1654 1515 2550 300 2850 2832 31(2) 1947 5342 1335 313 2557 294 2852 2794 V 31(2) 1412  $R^2 = 0.7522$ 1849 5136 1307 312 1619 1416 R 52(3) 1377 2546 2856 1810 5088 1333 329 1662 1436 50(3) 20 1923 5304 1286 281 1567 1418 16(2) 060 0.05 0.2 50(3) Sample weight (mg) 2015 5447 5616 1307 280 1587 1418 0(2) Fig 5. DAS sample size correlations. The DAS (wt%) values from wood collected with a 3/16ths Imperial drill bit from Radiata pine in New Zealand Godshire 401 revealed obvious value inflation with smaller sample weights, a pattern shown here among all replicate samples including natural variability and some brown rot. This supports conservatively using more than 100 mg of material for DAS, as suggested by Shortle et al. 2012 [40]. doi:10.1371/journal.pone.0120679.g005 wood sawdust extractable in some cases with the drill bit showed a biasing effect on DAS, an important consideration in sample weight requirements that corroborates the 100-101 mg ams. sample size used by Shortle et al. [40] (Fig. 5). If coupled with L:D, sample size requirements would be limited by Klason lignin needs, likely best with at least 1 g of field material (fresh wt). Collectively, the results from this trial reinforces the decay class II/III target from the lab trials (given proper field identification when sampling logs) and it demonstrates how a prelimi-R - minimum required flow over Falls. V - Treaty violation (To be filled up by the Enumerator.) [Continue on page 2.] Total to be carried forward to foot of page 2

## Some journals require data sharing









#### Example policy from PLOS

- Make all data underlying the findings described in their manuscript fully available without restriction.
- When submitting a manuscript online, authors must provide a Data Availability Statement.
- Refusal to share data and related metadata and methods in accordance with this policy will be grounds for rejection.
- Methods acceptable to PLOS journals with respect to data sharing are:
  - Deposit data into appropriate repository (strongly recommended).
  - Include data in Supporting Information files.
  - Data made available to all interested researchers upon request.
  - Data available from third party. The reasons for restrictions on public data deposition must be specified.

PLOS: http://www.plosone.org/static/policies#sharing

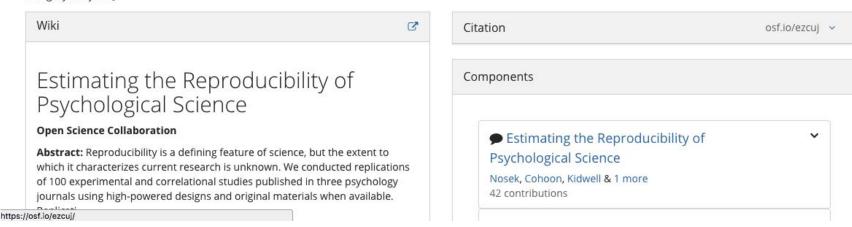
Contributors: Alexander A. Aarts, Christopher Jon Anderson, Joanna Anderson, Marcel A.L.M. van Assen, Peter Raymond Attridge, Angela Attwood, Jordan Axt, Molly Babel, Štěpán Bahník, Erica Baranski, Michael Barnett-Cowan, Elizabeth Bartmess, Jennifer Beer, Raoul Bell, Heather Bentley, Don van den Bergh, Leah Beyan, Bobby den Bezemer,

Denny Borsboom, Annick Bosco, Frank Bosco, Sara Bowman, Mark Brandt, Erin Braswell, Hilmar Brohmer, Benjamin T, Brown, Kristina Brown, Iovita Brüning,

Affiliated institutions: Center For Open Science, University of Virginia, Laura and John Arnold Foundation

Date created: 2012-04-01 10:49 AM | Last Updated: 2016-12-08 03:45 PM

Category: Project 😭



#### What is data curation?

Data curation is the active and on-going management of data through its lifecycle of interest and usefulness to scholarship, science, and education; curation activities enable data discovery and retrieval, maintain quality, add value, and provide for re-use over time. (UIUC, 2007)

- Based in archival best practice (GLAMs know how to do this!)
- Data repositories provide a technological foundation
- But many curation activities are not easily automated ⇒ need curators (people)

#### Well-curated data are...

- Easier for fellow scholars and future collaborators to understand
- More likely to be trusted
- The research they represent are more likely to be reproducible
- More likely to be properly cited
- Represent potential cost-savings
- Findable, accessible, interoperable, and reusable, or FAIR (Wilkinson et. al, 2016)

# Lots of different repositories curating data in lots of different ways....

TABLE 4.3

Examples of kinds of data repositories found in the United States.

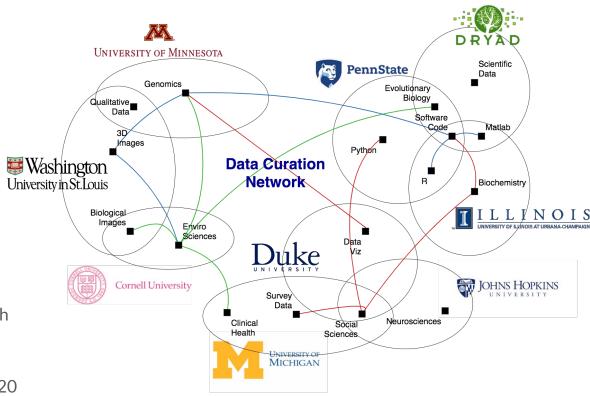
Kind of Repository	Examples
Federally Funded Data Centers	NASA Distributed Active Archives (DAAC), NOAA National Centers for Environmental Information (NCEI), National Snow and Ice Data Center (NSIDC), USGS Earth Resources Observation Systems (EROS) Data Center (EDC)
Federally Funded Research and Development Centers (FFRDC)	National Center for Atmospheric Research (NCAR), Jet Propulsion Lab (JPL), Oak Ridge National Laboratory (ORNL)
National Libraries	National Library of Medicine (NLM), National Agricultural Library (NAL), Library of Congress (LOC)
State and Local Agencies	State geological surveys, County planning offices
Thematic Repository	Long Term Ecological Research Network Information System (LTER NIS), Andrews Forest LTER (AND), National Snow and Ice Data Center (NSIDC), Maria Rogers Oral History Program
Domain Repository	Global Biodiversity Information Facility (GBIF), Inter-university Consortium for Political and Social Research (ICPSR), DataOne, Interdisciplinary Earth Data Alliance (IEDA)
Institutional Repository	Purdue University Research Repository (PURR), Data Repository for the University of Minnesota (DRUM)
Replication Repository	Dryad Digital Repository, Pangaea Data Library
Software Repository	GitHub, SourceForge
Commercial Archives	DigitalGlobe, Aerial photography companies, Resource exploration companies, Figshare
Private Archives	Huntington Library, Getty Research Institute

## **Data Curation Activities**

Research performed by the Data Curation Network

#### **Data Curation Network**

- Collaborative staffing model for curating research data
- Launched in 2016 with six institutions.
- One year planning 16-17 phase funded by Sloan Foundation
- Implementation phase (aiming for May 2018) will pilot the model with nine partner institutions
- Goal is to expand to all users in 2020



## What Curation Activities are Important?

DCN Researcher Study 2016 ⇒ identify where to invest focus of DCN

Method: Held focus groups (Oct-Nov 2016) at the 6 DCN partner institutions, asked researchers:

- 1. How important are data curation activities for your data?
- 2. What data curation activities are currently being done by you or a 3rd party?
- 3. If the data curation activity is being performed, how satisfied are you with the results?



Full list of activities: <a href="http://bit.ly/DCNcurationActivities">http://bit.ly/DCNcurationActivities</a>

#### **Data Curation Activities**

Code review

Contextualize

Documentation

Embargo

File Format Transformations

Persistent Identifier

**Quality Assurance** 

Use Analytics

File Audit

File Inventory or Manifest

File validation

Metadata

Metadata Brokerage

Rights Management

Risk Management

.....more

We identified and We identified 47 Data defined 47 Data Curation Activities

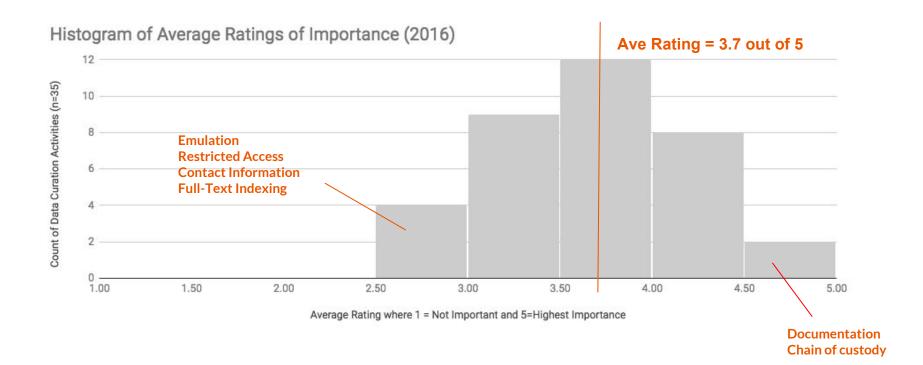
Sources: CASRAI Dictionary, Research Data Alliance (RDA) Terms Definition Tool, Digital Curation Center (DCC) Glossary, [book] *Curating Research Data: Handbook of Current Practice,"* ICPSR Glossary of Social Science Terms, Research Data Canada Glossary, Digital Preservation Coalition Glossary, and Society of American Archivists Terms Glossary.

### Mixed Methods Approach



[Preprint] Johnston, Lisa R., Jake R. Carlson, Cynthia Hudson-Vitale, Heidi Imker, Wendy Kozlowski, Robert K. Olendorf, and Claire Stewart. 2017. "How Important is Data Curation? Gaps and Opportunities for Academic Libraries" Submitted for publication.

## DCN Researcher Study 2016 (n=91)



## DCN Researcher Study 2016 (n=91)

Most Important Activities\* (4 out of 5)

- (Create) Documentation (4.6)
- Secure Storage (4.4)
- Quality Assurance (4.3)
- Persistent Identifier (4.3)
- Software Registry (4.1)
- Data Visualization (4.0)
- File Audit (4.0)
- (Create) Metadata (4.0)
- Versioning (3.9)
- Contextualization (3.9)
- Code Review (3.9)
- File Format Transformations (3.9)

<sup>\*</sup> Rated by more than one DCN focus group from our 2016 Study

#### DCN Researcher Results 2016 (n=91)

Most Important Activities\* (4 out of 5)

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- (Create) Metadata (4.0)
- Versioning (3.9)
- Contextualization (3.9)
- Code Review (3.9)
- File Format Transformations (3.9)

Not Happening for Majority of Researchers

- Persistent Identifier (37% happens)
- Software Registry (41% happens)
- File Audit (16% happens)
- Contextualization (38% happens)
- Code Review (38% happens)

<sup>\*</sup> Rated by more than one DCN focus group from our 2016 Study

#### DCN Researcher Results 2016 (n=91)

Most Important Activities\* (4 out of 5)

- (Create) Documentation (4.6)
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Not Happening for Majority of Researchers

- Persistent Identifier (37% happens)
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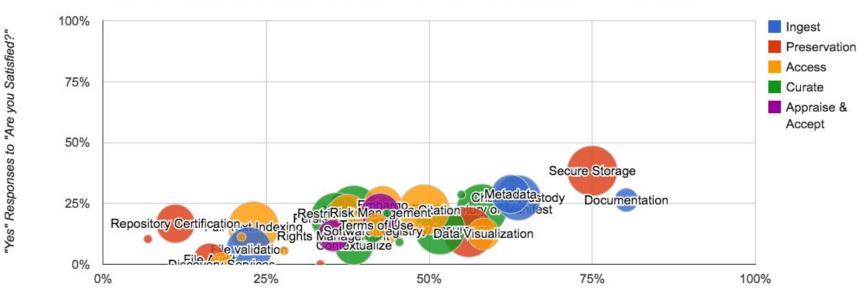
#### Happening, but not satisfactorily

- **Documentation** (26% satisfied),
- **Secure storage** (38% satisfied),
- Quality Assurance (14% satisfied),
- Data Visualization (12.5% satisfied),
- Metadata (29% satisfied)
- **Versioning** (13% Satisfied)
- File Format Transformations (29% satisfied)

<sup>\*</sup> Rated by more than one DCN focus group from our 2016 Study

## Result: No Activity was Satisfying the Majority

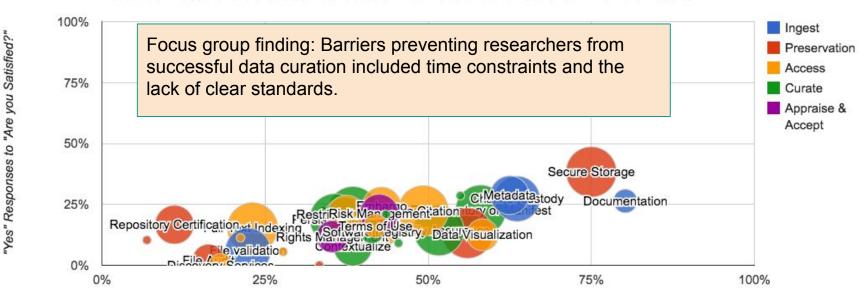
#### Percent of Researchers that use Data Curation Activities and are "Satisfied" With the Results



"Yes" Responses to "Is this happening with your data?"

## Result: No Activity was Satisfying the Majority

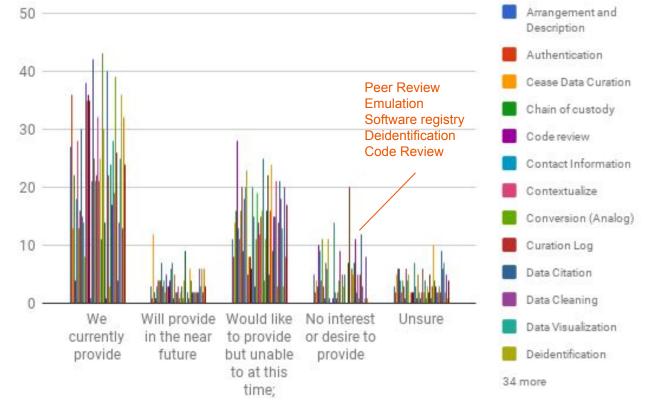
#### Percent of Researchers that use Data Curation Activities and are "Satisfied" With the Results



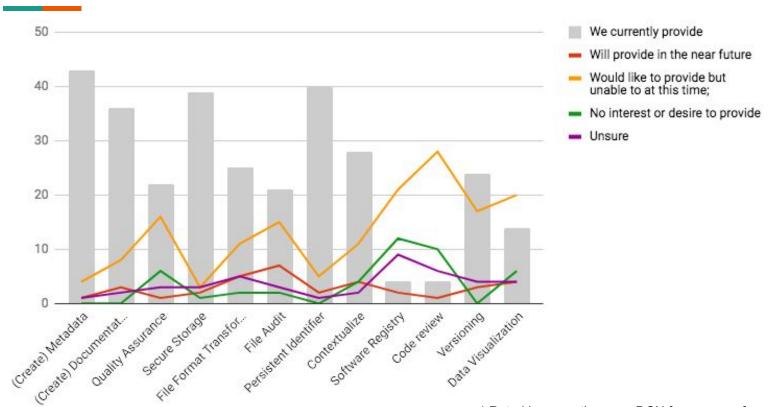
"Yes" Responses to "Is this happening with your data?"



SPEC Kit #354: We asked 80 ARL Institutions to self-assess their support for 47 different data curation activities ranging from ingest activities to preservation actions.



## How are the most important Data Curation Activities\* supported at n=49 ARL institutions?

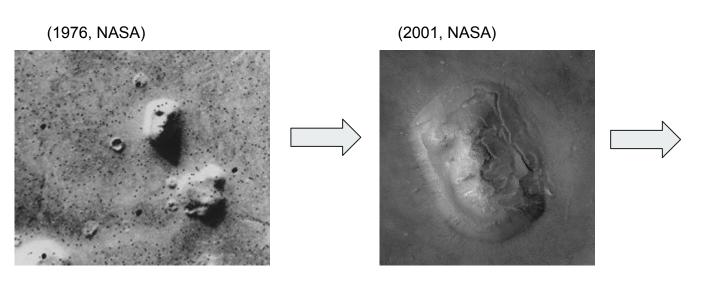


<sup>\*</sup> Rated by more than one DCN focus group from our 2016 Study

## Some Observations to Get Us Started

3d Data Preservation, Documentation, and Dissemination

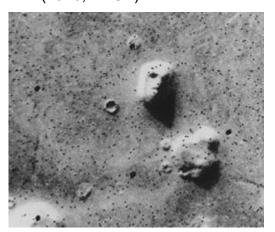
## **3D Data Preservation Observations**



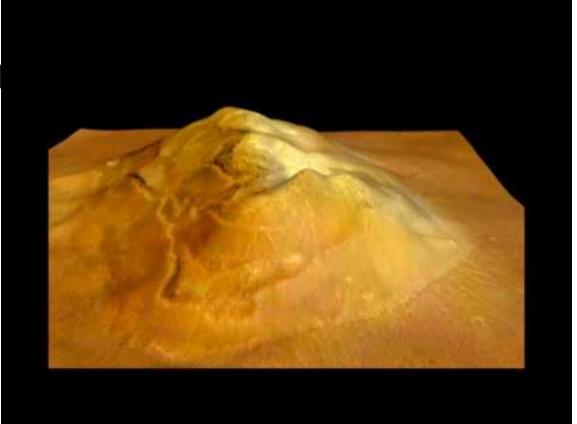
With 3D Data (2006, ESA)

## 3D Data Preservat

(1976, NASA)

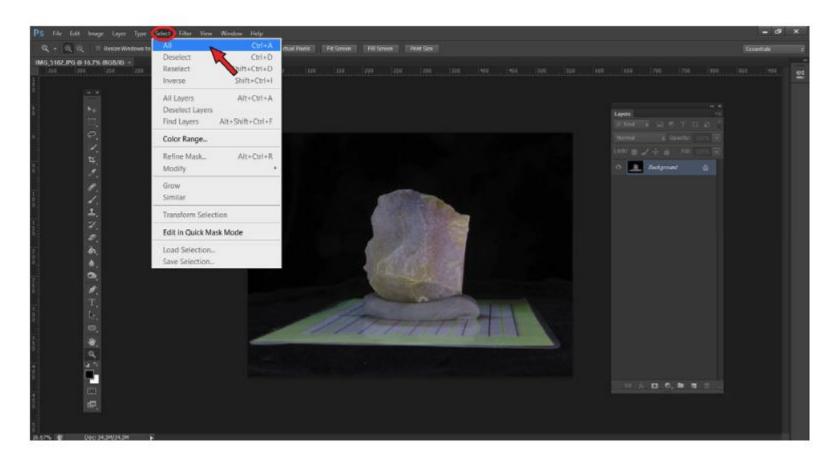








#### 3. Click Select → All.



#### **3D Data Preservation Observations**

- 1. Preservation starts at ingest.
- 2. You can't preserve what you don't have.
- 3. Can I run the files? Can future users?
  - What software is required. Is this documented?
  - Will this software be around for future users?
  - What OA alternatives exist?

#### 3D file format

#### Contents [hide]

- 1 Introduction
- 2 List of file formats
  - 2.1 3DS 3D Studio
  - 2.2 BLEN BLENDER
  - 2.3 DAE COLLADA
  - 2.4 DXF AutoCAD
  - 2.5 FBX Autodesk exchange
  - 2.6 geoTIFF
  - 2.7 gITF
  - 2.8 LWO Lightwave
  - 2.9 OBJ
  - 2.10 OFF
  - 2.11 PLY
  - 2.12 PTS
  - 2.13 PTX
  - 2.14 SC1 Sculptris
  - 2.15 SCL Pro/engineer
  - 2.16 SKP Google sketchup
  - 2.17 STL
  - 2.18 TRI
  - 2.19 V3D
  - 2.20 WRL VRML
  - 2.21 X3D
  - 2.22 X3DV

#### **3D Data Preservation Observations**

- 1. Preservation starts at ingest.
- 2. You can't preserve what you don't have.
- 3. Can I run the files? Can future users?
- 4. What exactly is the plan for long-term preservation?
  - Digital Preservation Framework
  - Establish a policy for various data formats and understand how 3D data fit within this broader policy. Update often! (e.g., don't ignore the new)



#### Digital Preservation Management:

Implementing Short-term Strategies for Long-term Problems

**Workshop Home** 

Registration

**Instructors** 

**Topical Workshops** 

**Digital Preservation Management Model Document** 

Version 3.0 Digital Curation and Preservation Framework: Outline

Developed by Nancy McGovern, last revised September 2014



#### Trusted Digital Repositories: What are they and how you become one

Contacts: John Faundeen Clara Brown Keith Kirk

The Trusted Digital Repository Working Group is part of the USGS Fundamental Science Practices Advisory Committee (FSPAC) Data Preservation Subcommittee

#### How to apply for TDR Certification:

- Obtain TDR application from TDR WG.
- Organizational unit completes application,
- 3. Submits to TDR WG,
- Submission Review, Keith Kirk, Clara Brown & John Faundeen (Representing FSPAC Data Preservation Subcommittee and TDR WG)
- TDR status granted or submission returned for process modification,
- Submission Status Spreadsheet
- · Maintained by TDR WG
- Trigger for Re-Certification in Three Years

"A trusted digital repository is one whose mission is to provide reliable, long-term access to managed digital resources to its designated community, now and in the future."

(source: https://www.oclc.org/content/dam/research/activities/trustedrep/repositories.pdf)

#### To obtain certification as a Trusted Digital Repository (TDR) the repository must meet the following criteria:

- 1. The repository has an explicit mission to provide access to and preserve data in its domain.
- 2. The repository maintains all applicable licenses covering data access and use and monitors compliance.
- 3. The repository has a continuity plan to ensure ongoing access to and preservation of its holdings.
- The repository ensures, to the extent possible, that data are created, curated, accessed, and used in compliance with disciplinary and ethical norms.
- The repository has adequate funding and sufficient numbers of qualified staff managed through a clear system of governance to effectively carry out the mission.
- The repository adopts mechanism(s) to secure ongoing expert guidance and feedback (either in-house, or external, including scientific guidance, if relevant).
- 7. The repository guarantees the integrity and authenticity of the data.
- The repository accepts data and metadata based on defined criteria to ensure relevance and understandability for data users.
- 9. The repository applies documented processes and procedures in managing archival storage of the data.
- The repository assumes responsibility for long-term preservation and manages this function in a planned and documented way.
- 11. The repository has appropriate expertise to address technical data and metadata quality and ensures that sufficient information is available for end users to make quality-related evaluations.
- 12. Archiving takes place according to defined workflows from ingest to dissemination.
- 13. The repository enables users to discover the data and refer to them in a persistent way through proper citation.
- 14. The repository enables reuse of the data over time, ensuring that appropriate metadata are available to support the understanding and use of the data.
- 15. The repository functions on well-supported operating systems and other core infrastructural software and is using hardware and software technologies appropriate to the services it provides to its Designated Community.
- 16. The technical infrastructure of the repository provides for protection of the facility and its data, products, services, and users.

WG Members
Lance Everette
Ben Wheeler
Clara Brown Co-chair
David Boldt
John Faundeen Co-chair
Keith Richmond
Kelly Haberstroh
Natalie Latysh
Rex Sanders
Sofia Dabrowski
Tara Bell

#### Criteria Sources Reviewed:

- ✓ U.S. Federal RIM Maturity Model,
- Digital Curation Centre Checklist for Evaluating Data Repositories,
- NOAA Unified Framework,
- ✓ Data Seal of Approval,
- √ ISO 16363-2012 Module 8, Becoming a Trusted Digital Repository,
- LoC National Digital Stewardship Alliance,
- ✓ Data Seal of Approval / World Data System







## Thanks!

https://sites.google.com/site/datacurationnetwork