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1 Complications Associated with Volar Locking Plate Fixation of Distal Radius Fractures

2 **INTRODUCTION:**

Distal radius fractures are among the most common orthopaedic injuries. Of 590,193
fractures of the upper extremity documented in the United States in 2009, distal radius fractures
were the most common.(1) Additionally, they comprise one-sixth of all fractures seen in the
emergency room.(2) In recent years, incidence of this already common fracture has continued to
increase in pediatric, adult, and elderly populations alike.(3)

However, despite the high incidence of distal radius fractures, consensus regarding 8 9 optimal treatment strategy still does not exist. Current treatment options include closed 10 reduction, closed reduction with percutaneous pinning, intramedullary fixation, external fixation, and various open reduction and internal fixation strategies. (4, 5) Of these, open reduction and 11 internal fixation with plates has seen a steady increase in use over the past two decades due to 12 purported faster functional recovery and better radiographic alignment, (6) although better 13 radiographic outcome does not necessarily translate to better long-term functional outcome in all 14 15 groups.(7, 8) During this time there has been a simultaneous decreased use of dorsal plating due to concerns for extensor tendon irritation,(9) and a concomitant increase in the use of volar 16 17 locked plating of distal radius fractures, particularly among newer surgeons.(10)

Volar locked plating of distal radius fractures has grown in popularity for several reasons including a consistent and reproducible surgical approach, broad range of fractures patterns that a volar plate can treat, and a reasonable complication profile compared to other operative fixation methods with a reportedly lesser rate of tendon injury.(11) However, there remain fractures that should not be treated with volar plating, including highly comminuted fractures which may be better managed with wrist arthrodesis.(12, 13) Additionally, the exact incidence and pattern of complications from volar locking plates for distal radius fractures are not well understood.

EVOLUTION OF VOLAR LOCKING PLATE TECHNOLOGY 26

27 Volar plating of distal radius fractures has been a surgical option since the introduction of AO principles of fracture fixation. Initially, volar plates were primarily indicated for buttress 28 reduction and fixation of volar shear fractures, aka volar Barton's fractures.(14, 15) (FIGURE 1) 29 30 Later, the indication for volar plating increased with the introduction of non-locked but lower profile volar plates with increased screw fixation options and smaller subchondral screws, such 31 32 as the volar T plate (FIGURE 2).(16) Although popular upon their introduction, these volar plates still required bicortical fixation of the distal subchondral screws resulting in prominent and 33 sharper screws dorsally putting the dorsal extensor tendons at risk.(17-19) The original non-34 locking plate design also required distal placement on the radius, therefore also putting at risk the 35 flexor tendons volarly.(20) 36

In 2000, Orbay et al.introduced volar fixed-angle locking plates in an effort designed to 37 38 provide stable internal fixation of distal radius fractures with the goals of earlier mobilization and less risk of tendon dysfunction (FIGURE 3).(21-23) This new locking plate design improved 39 resistance to stress and allowed for lower profile screws volarly recessed into the plate, as well 40 41 avoidance of screw prominence dorsally.(24)

Starting in 2005, variable-angle (polyaxial) locking screw technology has been 42 43 incorporated into locking volar plates (FIGURE 4).(25) This design allows the surgeon to direct 44 the angle and position of the screws instead of following pre-designated screw position. Moreover, the use of variable-angle locking screws allow placement of fixation within the radial 45 46 styloid, targeting higher quality bone. It also facilitated avoidance of joint penetration.(26) 47

COMPLICATIONS OF VOLAR PLATES

While the increased usage of volar plating was intended to avoid the high frequency of tendon complications in dorsal plating, these complications have not been eliminated entirely, and the volar plate is associated with additional complications that do not commonly occur with dorsal plates.(27) These complications include, but are not limited to: nerve dysfunction, tendon dysfunction, and hardware failure. The remainder of this review will focus on the complications associated with volar locked plating of distal radius fractures.

55

56 TENDON INJURY:

Extensor tendon injuries can result as a complication of distal radius fractures 57 independent of treatment method. (28-31) Recent systematic reviews have reported the incidence 58 of this extensor tendon rupture as 0.4% (6/1,359) with conservative management(32) and 1.5% 59 (15/1,032) with dorsal plating. (33) However, the incidence of this injury has been shown to be 60 61 substantial following volar plate fixation of distal radius fractures, with reported rates ranging from 0-4%.(34-39) While multiple extensor tendons are at risk, including the extensor digitorum 62 communis and extensor indicis, (40) the extensor pollicis longus (EPL) tendon is the most 63 64 frequently affected. Zenke et al(34) reported EPL rupture in 2% of a cohort of 286 patients treated with volar plating, while Tarallo et al(35) reported EPL rupture rate of 1% in a cohort of 65 66 303 patients.

Incidence of extensor tendon injury has also been shown to be related to not only
placement of excessively long screws that are prominent dorsally, but also from direct drill-bit
penetration and irritation from bone fragments or comminution dorsally (FIGURE 5).(41-43)
Methods to avoid extensor tendon injury revolve around attention to proper surgical technique,

accurate placement of the plate volarly, precise screw length selection to avoid dorsal screw 71 prominence, or the use of locking pegs instead of screws to avoid the sharp tip of a screw.(40, 72 73 44) Prompt implant removal is recommended upon early signs of extensor tendon irritation.(42) Flexor tendon injury is a major but uncommon complication of volar plating, as it does 74 not usually occur due to the longer distance between flexor tendons and the proximal volar 75 76 cortex of the distal radius, the recessed nature of the volar plate relative to the volar rim or watershed line of the distal radius, and the potential benefit of a repaired pronator quadratus over 77 the volar plate. (45) When flexor tendon rupture does occur, it most frequently affects the flexor 78 79 pollicis longus (FPL) tendon, although other tendons such as the flexor digitorum profundus may also rupture. (46) While one study has reported the rate of flexor tendon rupture to be as high 80 11%,(47) the majority of recent studies report no flexor tendon injury at all.(48-51) Brennan et 81 al(51) reported no cases of flexor tendon injury in a series of 151 patients treated with volar 82 plating. 83

84 The incidence of flexor tendon injury has been shown to be related to prominence of the implant relative to the watershed line of the distal radius, where the flexor tendons would lie 85 closest to the plate or its subchondral locking screws, malreduction of the fracture with residual 86 87 dorsal angulation increasing plate prominence, or loose or prominent subchondral locking screws (FIGURE 6).(52-56) Among the reported flexor tendon ruptures, the median interval between 88 89 surgery and rupture was 9 months (range 6-26), highlighting the importance of symptom 90 monitoring at final follow-up.(46) Additionally, the mean age of patients with flexor tendon injuries is 61 years with a female predominance.(46, 57) Factors that may avoid flexor tendon 91 92 injury include placement of the volar plate proximal to the watershed line, confirming that all 93 subchondral locking screws are locked and flush, and early removal of the plate upon signs of

tendon dysfunction such as pain, crepitus, or triggering.(58) Closure of the pronator quadratus 94 muscle over the plate has also been suggested to decrease incidence of flexor tendon injury.(59-95 61) However, there is no evidence that repair or closure of the pronator quadratus muscle is 96 efficacious when the distal radius is anatomically reduced and the volar plate is properly 97 positioned.(62-66) 98 99 In addition to tendon rupture, tenosynovitis is a frequent, though less severe, complication of volar plating. Among the available literature, rates of tenosynovitis appear to be 100 101 similar for both the extensor and flexor tendons, varying from 0-3.8% for extensor tendons and 102 0-3.8% for flexor tendons.(8, 35, 48, 51, 67) The methods for preventing these injuries are largely similar to those for preventing tendon rupture, but treatment relies largely on 103 symptomatic relief and immobilization rather than re-operation. 104

105

106 NERVE:

107 Carpal tunnel syndrome (CTS) is the most common nervous tissue-related complication associated with volar locked plating of distal radius fractures.(42, 68) However, CTS may occur 108 after distal radius fracture regardless of treatment method, with reported rates ranging from 0-109 110 20% with conservative management(69-72) and 0-14% with volar plating.(42, 48, 68, 73, 74) Zhao et al compared 7 treatment methods for distal radius fractures, including volar plating, and 111 112 found no significant difference in the incidence of CTS among the different treatment 113 options.(75) In a series of 282 patients treated with volar plating, Ho et al found an incidence of CTS of 3.2%,(76) which is similar to the 3.8% incidence in the general population.(77) With this 114 in mind, it is a challenge to determine the true incidence of CTS following volar plate fixation. 115 116 Moreover, determining this incidence is further complicated by the fact that a carpal tunnel

release (CTR) is often done prophylactically with volar plating of distal radius fractures in
various published series.(78, 79)

While the existence of a cause-and-effect relationship of volar plating and CTS is not 119 entirely clear, and performing prophylactic CTR remains controversial, it remains essential to 120 perform a thorough history and physical in all distal radius fracture patients to identify CTS 121 122 symptoms prior to operative management, and care should be taken not to injure the median 123 nerve during surgery.(80) In fact many of the median nerve injuries seen post-operatively are 124 likely related to intra-operative damage rather than compressive neuropathy and would not 125 respond to carpal tunnel release. Moreover, the risk factors for CTS after distal radius fracture should be understood and include: fracture severity and displacement resulting in nerve 126 contusion or compression (FIGURE 7), prolonged deformity and swelling, prominent hardware, 127 retractor placement intra-operatively, use of an extensile carpal tunnel approach rather than a 128 trans-FCR or Henry surgical approach,(81) and post-operative splinting or casting tight or in 129 130 wrist flexion.(82) Frequency of surgical carpal tunnel release following this complication varies widely, with release rates from 0-100% reported for CTS as a complication of both conservative 131 and operative management. 132

Beyond CTS, complex regional pain syndrome (CRPS), formerly known as reflex sympathetic dystrophy (RSD), is the second most commonly reported nervous tissue-related complication following volar plating of distal radius fractures, with reported incidence ranging from 0-9%.(37, 38, 42, 68, 83) However, due to its variable presentation and inconsistent diagnostic criteria, the exact incidence is difficult to compute. As CRPS is a challenging and potentially devastating complication, emphasis must be placed on early recognition and emergent treatment. The primary feature of CRPS is continued pain out of proportion to the injury, but it is

often associated with hyperesthesia, changes in skin blood flow, edema, and restriction ofmotion, among other manifestations.(84, 85)

142 Although a consensus for management of CRPS has yet to be established, there exists evidence in support of the use of pain management, intravenous immunoglobulin (IVIG), aerobic 143 exercise, intrathecal clonidine/baclofen, mirror therapy, and many other treatments. (86) Because 144 145 no single treatment option has emerged as being superior, clinicians should focus on starting multimodal therapy as early as possible. Some recent efforts have aimed at prevention with 146 147 prophylactic vitamin C supplementation. (87-89) In a systemic review and meta-analysis of 875 patients given prophylactic vitamin C after wrist fracture, Aïm et al found that daily 148 supplementation with 500 mg for 50 days leads to a significantly decreased risk of developing 149 CRPS (RR 0.54 [95% CI, 0.33-0.91]; P=0.02).(87) However, more recent studies have called 150 151 into question the efficacy of Vitamin C in preventing CRPS.(89, 90) Additional nervous-tissue-related complications with volar plating that have been 152 153 reported include injury to the palmar cutaneous branch of the median nerve(92, 93) and radial and ulnar nerve neuropathies, (74, 94) but these are all less frequent. 154

155

156 HARDWARE:

Hardware-related complications appear to be less common than both tendon and nerverelated complications with volar plating of distal radius fractures. Reported rates of hardware
complications range from 0-7%, with malunion being the most commonly reported problem.(38,
48, 51, 68, 74) (FIGURE 6 & 8) Other hardware-related complications include screw loosening,
intra-articular screw placement, loss of reduction, and broken plate and screws. In a prospective
study of 228 patients treated with volar plating, Lattmann et al reported a hardware complication

rate of 0.9% (2/228),(38) with both being a result of loss of reduction. A similarly large study by 163 Wichlas et al that retrospectively studied 225 patients treated by volar plate reported only one 164 hardware complication (malunion), resulting in a 0.4% (1/225) complication rate.(48) However, 165 a prospective study of 100 patients treated by volar plate conducted by Kato et al found a 166 hardware complication rate of 7% (7/100), all of which were malunions.(74) Care should be 167 168 taken intra-operatively to prevent malunion by ensuring anatomic reduction with minimal dorsal 169 angulation, proper placement of locking screws in subchondral bone, and sufficient depth of 170 screws. While bone grafting is often used for fractures with large bone gaps, they are associated 171 with significant morbidity,(95) and recent evidence supports the notion that bone grafting is not necessary with the use of volar plating.(35, 96, 97) If malunion occurs despite these measures, 172 corrective osteotomy should be performed as soon as the diagnosis is confirmed 173 174 radiographically.(98) 175 176 **OTHER COMPLICATIONS:** Other complications that may be encountered with volar plating of distal radius fracture 177 include but are not limited to finger or wrist contracture, chronic pain, trigger finger, or 178 179 radioulnar synostosis. Additionally, there are many inherent complications with any surgical operation including infection, incision dehiscence, cellulitis, abscess, and hematoma. Of these, 180 181 infection appears to be the most common, affecting between 0-2.6% of patients. (35, 48, 51, 83, 182 99) There exist also complications specific to any plating, that are not specific to the plate type, such as re-fracture or peri-prosthetic fracture secondary to new trauma. 183

184 Additionally, many patients have their plates removed for a number of reasons. While some

185 plates are removed as a result of other complications such as tendon rupture, infection, or

186 malunion, many patients request to have their plates removed for discomfort associated with the

187 retained plate, decreased range of motion, pain, or anxiety related to the plate. It appears as

though the all-cause incidence of hardware removal lies between 0-26%, (35, 48, 49, 51, 100)

189 with significant variation depending on clinician preference.

190

191 VOLAR PLATE SPECIFIC COMPLICATIONS:

192 Currently, a number of volar plate options exist, including fixed-angle and variable-angle193 locking plates, with their own potential complication profiles:

194

195 FIXED-ANGLE LOCKING PLATES:

Locking plates were initially introduced to manage fractures in osteoporotic bone, 196 bridging severely comminuted fractures, repairing articular fractures, and plating fractures that 197 cannot be plated on the tension side of the bone.(24) As they have grown in popularity, volar 198 199 locking plates are now the most frequently used form of implant used for internal fixation of distal radius fractures.(101) Reported rates of tendon complications range from 0-4.5%, with the 200 EPL being the most commonly affected tendon. (38, 48, 51, 74, 94, 99, 102, 103) In a 201 202 retrospective review of 206 patients treated with volar locking plates, Johnson et al found four cases of tendon rupture, including three EPL ruptures (1.5%) and one FPL rupture (0.5%).(103)203 204 Rates of nerve complications range from 0.4-14%, with CTS being the most commonly reported 205 type.(38, 48, 51, 74, 94, 99, 102, 103) One large multi-institutional retrospective study by Satake et al identified 694 patients treated by volar locking plate, of which only 4 cases of tendon 206 207 rupture (0.6%) were reported, while 18 cases of CTS (2.6%) and 3 cases of CRPS (0.4%) were 208 reported, indicating a greater incidence of nerve complications than tendon complications.(93)

Reported rates of hardware-related complications range from 0-7%, with malunion being the
most frequent.(38, 48, 51, 74, 94, 99, 102, 103)

Some studies have also directly compared the outcomes of volar non-locking and volar 211 locking plates. One study by Obert et al retrospectively compared 31 patients treated by volar 212 plating with non-locking screws to 121 patients treated by volar plating with locking screws and 213 214 found no significant difference in the overall complication rates of the two groups (16.1% vs. 16.5%). However, no patients in the non-locking group experienced tendon ruptures, while 6 215 216 patients (5%) in the locking group did.(104) Another smaller study by Schmelzer-Schmied et al 217 prospectively compared 15 patients treated with external fixation to 15 patients with non-locking and 15 patients with locking volar plates, finding that there were fewer complications in the volar 218 plate groups but no difference between non-locking and locking plates (6% vs. 13%).(105) 219 220 Zhang et al, in a retrospective comparison of 32 patients receiving non-locking to 25 patients receiving locking volar plates, found 10 complications (31%) in the non-locking plate group and 221 five in the locking plate group (20%). Similarly, there were fewer major complications requiring 222 re-operation in the locking plate group (1/25 vs. 4/32). No tendon ruptures were seen in either 223 group.(106) 224

225

226 VARIABLE-ANGLE LOCKING PLATES:

Variable-angle, or poly-axial, locking plates are designed to allow the surgeon flexibility
in placing the subchondral screws, and optimize placement of screws into the articular
fragments, target higher quality bone, and more precisely avoid joint penetration.(26) In theory,
this should allow for a more stable fixation and easier evasion of complications. In practice,

reported tendon complication rates vary from 0-4%, with extensor tendon rupture being the most

232	commonly reported complication.(49, 68, 83, 92, 107, 108) Reported rates of nerve
233	complications range from 0.3-8%, with carpal tunnel syndrome being most common.(49, 68, 83,
234	107-109) Hardware complication rates are reported between 0-4%, with many studies reporting
235	no hardware related complications at all.(49, 83, 92, 107, 108) Of the studies reporting hardware
236	complications, malunion, screw loosening, and intra-articular screws were the only types
237	witnessed.(68, 109) In a prospective study of 37 patients treated with variable angle volar
238	locking plates, Fowler and Ilyas reported one case of extensor tenosynovitis (3%) from
239	prominent dorsal screw penetration that required re-operation and one case of loosening and
240	backing out of subchondral variable-angle locking screws (3%) that did not require further
241	management. However, no nerve-related complications were reported.(11)
242	In addition, there have been multiple studies that have directly compared fixed and
243	variable-angle volar plates. In a retrospective chart review that included 60 patients receiving
244	fixed-angle plates and 148 receiving variable-angle plates, Mehrzad and Kim found that while
245	12% (7/60) of the patients in the fixed-angle group had hardware complications requiring re-
246	operation, none of the 148 patients receiving variable-angle plates had hardware complications
247	(p < 0.001).(110) Marlow et al, in a retrospective study that included 42 patients receiving fixed-
248	angle plates and 65 receiving variable-angle plates, found that 12% (5/42) had complications (2
249	reduced ROM, 1 EPL tendonitis, 2 malunion) in the fixed angle group, and 8% (5/65) had
250	complications (reduced ROM, 1 CTS, 2 CRPS) in the variable angle group, with no significant
251	difference between the two.(67)
252	

253 <u>CONCLUSION</u>:

All referenced studies used for complication rate computation are level I or II evidence, 254 and no case series or case-control studies were included. Based on the available literature, the 255 overall complication rate associated with volar locked plating of distal radius fractures is 256 substantial, however may be relatively low in comparison to other operative fixation methods. 257 While reported rates vary, nerve-related complications seem to be the most common type, 258 259 although many of these are likely attributable to the fracture itself and pre- or peri-operative 260 median nerve dysfunction, as opposed to the plate fixation. Tendon-related complications are the 261 next most common, with extensor tendons apparently more vulnerable than flexor tendons. 262 Hardware-related complications also occur, albeit less frequently, with malunion being the mostreported hardware failure. There has been much progress in the types of volar plates available. 263 The newer generation variable-angle plates may be associated with less hardware-related 264 complications as a result of more optimal placement of the screws/pegs into the distal fragments 265 than fixed-angle volar locking plates. 266

267 There are many limitations in interpreting the relevant data and comparing the various methods of distal radius fracture fixation. In addition to differing plate type preference among 268 different surgeons and institutions, it is likely that surgeon experience and technique play a large 269 270 role in the varying rates of complications. Also, no two fractures are the same, and while all of the fractures analyzed by these studies have been labeled as distal radius fractures, it remains a 271 272 challenge to know with certainty that reported complications are not related to the fracture 273 morphology and patient characteristics than the plate itself. While nearly all studies report the AO classification of the fractures studied, the reported complications are rarely if ever sorted out 274 275 by fracture type, thereby leaving room for confounding variables.

Volar plating of distal radius fractures appears to have a reasonable complication profile 276 and has emerged as a preferred method of internal fixation for distal radius fractures. However, 277 given their high costs and inconclusive functional benefits relative to conservative 278 management,(111) their costs and benefits should be addressed for all patients on an individual 279 basis. When choosing a volar plate, mixed angle locking plates were designed for use in 280 281 osteoporotic bone and severely comminuted fractures, and variable angle locking plates were designed to allow targeting of higher quality bone and avoid joint penetration. However, there 282 does not seem to be one type of volar plate that is clearly superior in terms of functionality or 283 284 complication rates.(67) Therefore, care should be taken to carefully choose a plate according to the patient's and fracture's characteristics in order to minimize complications. Similarly, careful 285 technique can likely significantly reduce the complication rates. As it appears that volar locked 286 plating of distal radius fractures will likely remain popular for the foreseeable future, ongoing 287 efforts should focus on plate designs and operative techniques that maximize functionality and 288 289 minimize opportunity for complication.

290

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593	LEGEND:
594	FIGURE 1
595	First generation stainless steel T-shaped volar buttress plate (Synthes, Paoli, PA), requiring
596	repair with 3.5 cortical and / or 4.0 cancellous screws.
597	
598	FIGURE 2
599	Second generation low profile and low contact volar plate (Synthes, Paoli, PA), requiring repair
600	with 2.7 cortical shaft screws and 2.4 subchondral screws or locking pegs.
601	
602	FIGURE 3
603	Anatomic fixed-angle volar locking plate (Hand Innovations, Miami, PA).
604	
605	FIGURE 4
606	Variable-angle volar locking plate (Globus, Audubon, PA), with locking subchondral screws or
607	pegs. (A) Anterior view (B) Lateral view.
608	
609	FIGURE 5
610	A fixed-angle volar locking plate with long subchondral screws with prominence dorsally,
611	ultimately resulting in extensor tendon rupture.
612	

613 FIGURE 6

614 Case of a locking volar plate with early post-operative loss of fracture reduction resulting in a malunion and secondary plate prominence on the volar side, ultimately resulting in flexor tendon 615 rupture. 616 617 FIGURE 7 618 Case of a high-energy distal radius fracture with displacement and swelling. Note the prominent 619 volar cortex (yellow circle) impinging on the median nerve with secondary nerve swelling and 620 contusion. 621 622 FIGURE 8 623 Fracture malunion due to a poorly positioned plate resulting in inadequate lunate fracture repair 624 625 and late fracture displacement of the lunate facet, referred to as facet escape, and volar

626 subluxation of the radiocarpal joint.