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RESEARCH PAPER



Screening of primary gp120 immunogens to formulate the next generation polyvalent DNA prime-protein boost HIV-1 vaccines

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ABSTRACT

Our previous preclinical studies and a Phase I clinical trial DP6-001 have indicated that a polyvalent Env formulation was able to elicit broadly reactive antibody responses including low titer neutralizing antibody responses against viral isolates of subtypes A, B, C and AE. In the current report, a panel of 62 gp120 immunogens were screened in a rabbit model to identify gp120 immunogens that can elicit improved binding and neutralizing antibody responses and some of them can be included in the next polyvalent formulation. Only about 19% of gp120 immunogens in this panel were able to elicit neutralizing antibodies against greater than 50% of the viruses included in a high throughput PhenoSense neutralization assay when these immunogens were tested as a DNA prime followed by a fixed 5-valent gp120 protein vaccine boost. The new polyvalent formulation, using five gp120 immunogens selected from this subgroup, elicited improved quality of antibody responses in rabbits than the previous DP6-001 formulation. More significantly, this new polyvalent formulation elicited higher antibody responses against a panel of gp70V1/V2 antigens expressing V1/V2 sequences from diverse subtypes. Bioinformatics analysis supports the design of a 4-valent or 5-valent formulation using gp120 immunogens from this screening study to achieve a broad coverage against 16 HIV-1 subtypes.

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Introduction


After close to three decades' effort, it is becoming clear that the heterologous prime-boost vaccination approach is the one showing the greatest promise among a long list of vaccination approaches tested for HIV vaccine development. RV144 trial using an ALVAC vector prime and recombinant gp120 protein boost is the only trial of an HIV vaccine where a modest reduction in the risk of HIV acquisition was observed.¹ Almost at the same time, a Phase I clinical trial DP6-001 conducted in healthy adult volunteers, using the DNA prime- recombinant gp120 protein boost strategy developed by our team, showed robust immunogenicity including 100% response rate of high level HIV-1 antigen specific antibody and T cell immune responses.^{2,3} Sera from immunized human sera had low titer neutralizing antibody responses against relatively difficult to neutralize viruses from subtypes A, B, C and E although the Nab titers were poor against the standard Tier 2 viral isolates.² It is possible that DP6-001 formulation using a polyvalent Env formulation in both DNA prime and protein boost immunizations was able to elicit broad antibody responses confirming findings from our preclinical study that the polyvalent formulations were more effective than monovalent formulations in eliciting broader neutralizing antibody responses.⁴

However, five gp120 immunogens included in DP6-001 were randomly selected based on the available Env sequences from primary HIV-1 isolated in the mid-1990s. With more primary HIV-1 Env sequences available in the last 10–15 years, it is an important question to understand whether these Env proteins have the same level of immunogenicity in eliciting antibody responses, especially the ability to elicit neutralizing antibody responses, and such information can guide the rational selection of next generation polyvalent Env formulations.

In the current study, we first conducted a high-throughput immunogenicity study in the rabbit model to compare the abilities of individual gp120 immunogens delivered in the form of DNA vaccines to elicit neutralizing antibody responses when the DNA-immunized animals received a fixed protein boost which includes five recombinant gp120 proteins. Our previous studies have demonstrated that recombinant Env proteins alone are not effective in eliciting reliable neutralizing antibodies yet the inclusion of a DNA priming step was able to greatly enhance the immunogenicity of Env proteins to elicit improved Nab.⁵⁻⁸

Our data indicated not every gp120 has the same immunogenicity and only about 10–15% Env was capable of eliciting immune sera neutralizing more than 50% viral isolates in a

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 Supplemental data for this article can be accessed on the [publisher's website](#).

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study panel. Based on this analysis, a new polyvalent Env formulation was produced consisting of five new gp120 immunogens selected from the current screening study. This new polyvalent gp120 formulation showed enhanced potency and improved breadth of antibody responses, in comparison with the previous DP6-001 polyvalent formulation. The new polyvalent gp120 formulation was also able to elicit broader anti-V2 antibody responses which may be involved in ADCC functions. Data presented in this report support the production and testing of the next generation polyvalent Env formulation in a human study.

Result

Immunogenicity testing of a panel of 62 gp120 DNA vaccines in a DNA prime-protein boost design to produce immune rabbit sera for neutralizing activity analysis

In our previous DP6-001 Phase I clinical study in healthy adult volunteers, the polyvalent Env formulation delivered by the DNA prime-protein boost approach was highly immunogenic including the potent and broadly cross reactive Env-specific antibody responses in 100% of the volunteers based on solid phase antibody assays including ELISA and Western Blot analyses.² Furthermore, immune sera from this study demonstrated weak neutralizing antibody responses in a high-throughput TZM-bl neutralization assay against a diverse panel of primary HIV-1 isolates from subtypes A, B, C and AE which are not easy to neutralize.⁹⁻¹¹ However, the titers of Nab activities were relatively low against this panel of primary viruses and the same set of human immune sera was not able to neutralize the standard Tier 2 viruses.²

In the current study, we investigated the possibility of using a new polyvalent Env formulation to generate improved protective antibody responses. The original DP6-001 formulation included four primary gp120 immunogens (92UG037.8 of subtype A, 92US715.6 of subtype B, 96ZM651 of subtype C and 93TH976.17 of subtype AE) and one TCLA gp120 immunogen (Bal) (Supplement Table 1). They were included in the DP6-001 formulation randomly because there were limited intact gp120 molecular clones available at the time. In contrast, the current study included 62 HIV-1 gp120 immunogens covering subtypes A, B, C, D, F, G and CRF01_AE (AE) including one consensus AE (Table 1). These Env sequences were originally cloned from HIV-1 infected patients in various geographic regions including Africa, North America, South America, Europe and Asia and from different tissues including plasma, PBMCs, lymph nodes, and brains^{9,10,12-27} (Table 1). The number of gp120 immunogens included in this panel is not same among different subtypes because several rare subtypes have less available molecular clones than other subtypes. More importantly, it is recognized that HIV genotypes could not well predict the neutralizing antibody subtypes.²⁸

Because the central objective of the current study is to screen among a large panel of gp120 immunogens and understand whether any particular gp120 has improved ability in eliciting antibody responses than the previous DP6-001 formulation, the study was designed to focus on gp120 immunogens with such potential. Individual gp120 sequences were cloned into

Table 1. List of the original HIV-1 viruses from which gp120 DNA vaccines were produced.

<i>Envelope of HIV-1 strains</i>	<i>Subtype</i>	<i>Tissue of Isolation</i>	<i>Origins</i>	<i>GenBank#</i>	<i>Reference</i>
92RW020.5	A	PBMC	Rwanda	U08794	12
92UG037.8	A	PBMC	Uganda	U09127	12
CA1	A	PBMC	Cameroon	X80438	21
NA20-B27	B	Brain	UK	NA	13
NA420-LN85	B	Lymph node	UK	NA	13
NA420-B42	B	Brain	UK	NA	13
NA118-B12	B	Brain	UK	NA	13
NA118-LN27	B	Lymph node	UK	NA	13
NA20-B76	B	Brain	UK	NA	13
NA20-B59	B	Brain	UK	NA	13
NA420-LN40	B	Lymph Node	UK	NA	13
P6B33	B	Brain	UK	NA	13
6101LN	B	PBMC	USA	AY835434	9
5768.04	B	PBMC	US	AY835435	9
QH0692.42	B	PBMC	Trinidad	AY835439	9
QH0515.01	B	PBMC	Trinidad	AY835440	9
SS1196.01	B	PBMC	US	AY835442	9
BG1168.01	B	PBMC	US	AY835443	9
PVO-04	B	PBMC	Italy	AY835444	9
AC10.0.29	B	PBMC	US	AY835446	9
92US715.6	B	PBMC	USA	U08451	12
AC10.44	B	PBMC	UK	NA	23
Yu-2	B	Brain	USA	M93258	20
89.6	B	PBMC	USA	M96155	15
JR-FL	B	Brain	USA	HIVU63632	20
Ba-L	B	Lung	USA	JQ715387	20,22
H78639	B	PBMC	USA	NA	16
ADA	B	PBMC	USA	AY426119	24
AD8	B	PBMC	USA	AF004394	25
6535.3	B	PBMC	USA	AY835438	9
REJ4541.67	B	Plas ma	USA	AY835449	9
RHPA4259.7	B	Plas ma	USA	AY835447	9
CAAN5352	B	Plas ma	USA	AY835452	9
WITO4561	B	Plas ma	USA	AY835451	9
TRO.11	B	PBMC	Italy	AY835445	9
SC422661.8	B	Plas ma	Trinidad	AY835441	9
TRJO4551.58	B	Plas ma	USA	AY835450	9
THRO4156.18	B	Plas ma	USA	AY835448	9
SF162	B	CSF	USA	EU123924	20
Du123.6	C	PBMC	S. Africa	DQ411850	10
Du156.12	C	PBMC	S. Africa	DQ41185	10
93MW965.26	C	PBMC	Malawi	U08455	12
92BR025.9	C	PBMC	Brazil	U09126	12
96ZM651.2	C	PBMC	Zambia	AF286224.1	26
96BW01B22	C	PBMC	Bots wana	AF110961	27
96BW15C02	C	PBMC	Bots wana	AF110974	27
CAP45.2	C	Plas ma	S. Africa	DQ435682	10
DU172.17	C	PBMC	S. Africa	DQ411853	10
DU422.1	C	PBMC	S. Africa	DQ411854	10
ZM214M.PL15	C	Plas ma	Zambia	DQ388516	10
ZM109F.PB4	C	PBMC	Zambia	DQ388514	10
CAP210.2	C	Plas ma	S. Africa	DQ435683	10
ZM53M.PB12	C	PBMC	Zambia	AY423984	10
ZM135.PL10a	C	Plas ma	Zambia	AY42407	10
ZM197M.PB7	C	PBMC	Zambia	DQ388515	10
ZM233M.PB6	C	PBMC	Zambia	DQ388517	10
ZM249M.PL1	C	Plas ma	Zambia	DQ388514	10
92UG021.16	D	PBMC	Uganda	U27399	12
93TH976.17	CRF01_AE	PBMC	Thailand	U08458	12
AE-Cons	CRF01_AE	NA	NA	NA	NA
93BR020.17	F1	PBMC	Brazil	U27401	12
92UG975.10	G	PBMC	Uganda	U27426	12

the same DNA vaccine vector pJW4303 and used as the priming vaccine in a standard DNA prime-protein boost strategy in rabbits (Fig. 1), which we have shown to be superior to DNA or protein immunization alone.^{2,4-8,29} The reason to include a fixed 5-gp120 protein as the boost has multiple considerations: 1) it will take significant time and resources to produce over 60

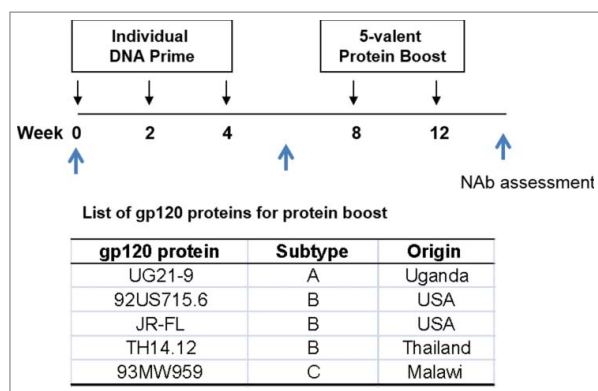


Figure 1. The rabbit immunization and serum collection schedules for individual gp120 immunogen screening study.

individual recombinant gp120 proteins, and the variation on the quality and purity of these proteins may further impact the immunogenicity results; and 2) a polyvalent Env formulation is more capable to expand the breadth of antibody responses than a single Env protein boost. A polyvalent Env formulation may also minimize the bias if only one fixed protein is used as the boost. The inclusion of these five gp120 proteins in the boost was based on the availability of highly purified quality and a large quantity supply.

New Zealand White (NZW) rabbits were first immunized by one of 62 individual DNA vaccines three times by a gene gun followed by two boost immunizations with a fixed 5-gp120 protein mix (UG21-9, 92US715.6, JR-FL, TH14-12 and 93MW959) delivered subcutaneously along with the Incomplete Freund's Adjuvant (IFA). IFA was used in the current study because it was used in previous published studies from our group so the data from the current study can be compared with the previous data. Sera were collected before immunization and after either DNA prime or protein boost. The neutralizing antibody analysis was done by a high throughput commercial "PhenoSense Assay" which included a target panel of 13 primary viral isolates (92RW020 and 94UG103 of subtype A, AC10.0.29, PVO.4, QH0692.42, SC422661.8, and JRCSF of subtype B, 93IN905 and 98CN006 of subtype C, 92UG046 and 94UG114 of subtype D, and 92TH021 and CMU02 of subtype AE) and 3 TCLA strains (MN, NL43 and SF162).

Neutralizing activities of immune rabbit sera elicited with different gp120 DNA prime

Among 62 gp120 immunogens tested in this single DNA prime and 5-valent gp120 protein boost standard design, they can be divided into three subgroups based on the breadth of neutralizing activities (Table 2). The first subgroup of 12 gp120 immunogens (about 19.3% of this group) elicited rabbit immune sera neutralized more than 50% of the viral isolates in this testing panel, albeit at low titers. The second subgroup of 10 (16.1%) neutralized between a quarter to up to one half of the viral isolates (25–49%). The remaining 40 (64.5%) gp120 immunogens elicited much narrow neutralizing activities (6–24% of the viral isolates).

Table 2. The neutralizing antibody breadth induced by various gp120 DNA vaccine prime in rabbits.

Envelope	Subtype	No. of PV neutralized	% of PV neutralized
WITO4561	B	1	6%
NA420-B42	B	2	13%
QH0515.01	B	2	13%
PVO-04	B	2	13%
REJ4541.67	B	2	13%
TRO.11	B	2	13%
TRJO4551	B	2	13%
THRO4156	B	2	13%
ADA	B	3	19%
NA420-LN85	B	3	19%
NA118-B12	B	3	19%
P6B33	B	3	19%
QH0692.42	B	3	19%
BG1168.01	B	3	19%
Yu-2	B	3	19%
Ba-L	B	3	19%
H78639	B	3	19%
AD8	B	4	25%
AC10.0.29	B	4	25%
89.6	B	5	31%
RHPA4259	B	5	31%
CAAN5352	B	5	31%
SC422661.8	B	5	31%
SF162	B	5	31%
5768.04	B	6	38%
SS1196.01	B	6	38%
NA420-LN40	B	6	38%
92US715.6	B	8	50%
NA118-LN27	B	9	56%
AC10.44	B	9	56%
6535.3	B	10	63%
NA20-B59	B	11	69%
6101LN	B	12	75%
NA20-B27	B	13	81%
NA20-B76	B	14	88%
JR-FL	B	14	88%
92RW020.5	A	1	6%
CA1	A	2	13%
92UG037.8	A	7	44%
Du123-06	C	2	13%
92BR025.9	C	2	13%
96ZM651.2	C	2	13%
ZM53M.PB12	C	2	13%
ZM135M.PL10a	C	2	13%
ZM233M.PB6	C	2	13%
Du156-12	C	3	19%
96BW01B22	C	3	19%
96BW15C02	C	3	19%
DU422.1	C	3	19%
ZM214M.PL15	C	3	19%
ZM109F.PB4	C	3	19%
CAP210.2	C	3	19%
ZM197M.PB7	C	3	19%
ZM249M.PL1	C	3	19%
CAP45.2	C	4	25%
DU172.17	C	4	25%
93MW965.26	C	15	94%
92UG021.16	D	14	88%
93TH976.17	A/E	2	13%
AE-cons	A/E	8	50%
93BR020.17	F1	7	44%
92UG975.10	G	3	19%

This panel of pseudoviruses (PV) included three TCLA strains (MN, NL43 and SF162), and 13 primary isolates from subtype A (92RW020, 94UG103), B (AC10.0.29, PVO.4, QH0692.42, SC422661.8, JRCSF), C (93IN905, 98CN006), D (92UG046, 94UG114), and AE (92TH021, CMU02). Based on the % PV neutralized, there are three subgroups: the red highlighted (12 gp120 immunogens, 19%) is the subgroup with broad NAb (>= 50% of PV neutralized); the yellow highlight (10 gp120 immunogens, 16%) is the subgroup with moderate NAb (25–49% of PV neutralized); and remaining (40 gp120 immunogens, 65%) is the subgroup with narrow NAb (< 24% of PV neutralized).

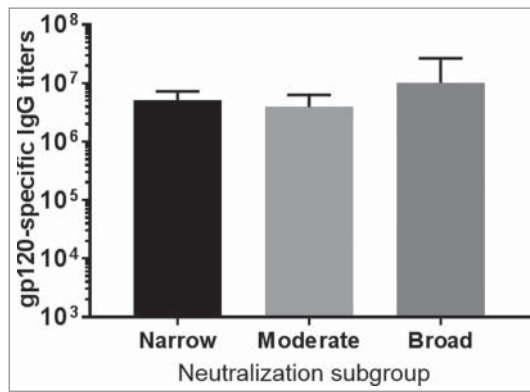


Figure 2. The gp120-specific antibody titers as measured by ELISA. Rabbit sera from individual gp120 screening study were tested against the fixed five gp120 proteins use in the boost. The end titration titers shown are the averages with standard deviation of each neutralization subgroup (narrow, moderate or broad).

To evaluate whether stronger neutralizing antibody responses correlated with higher binding antibody responses, titers of gp120-specific antibody responses for the above three subgroups were measured. Overall there was no significant difference in titers of binding antibody responses among three neutralization subgroups (Fig. 2). Therefore, gp120 immunogens in the best neutralizing antibody subgroup did not elicit unusually higher antibody responses than gp120 immunogens in other subgroups, and the level of binding antibody responses was not responsible for neutralizing antibody responses.

The gp120 immunogens that were best able to elicit Nab responses are from different subtypes and do not appear to be clustered closely based on the gene sequence analysis (Fig. 3). The neutralizing antibody profile was further analyzed for its relationship to the sources of gp120 immunogens (Fig. 4). In the best Nab subgroup, there are similar numbers of gp120

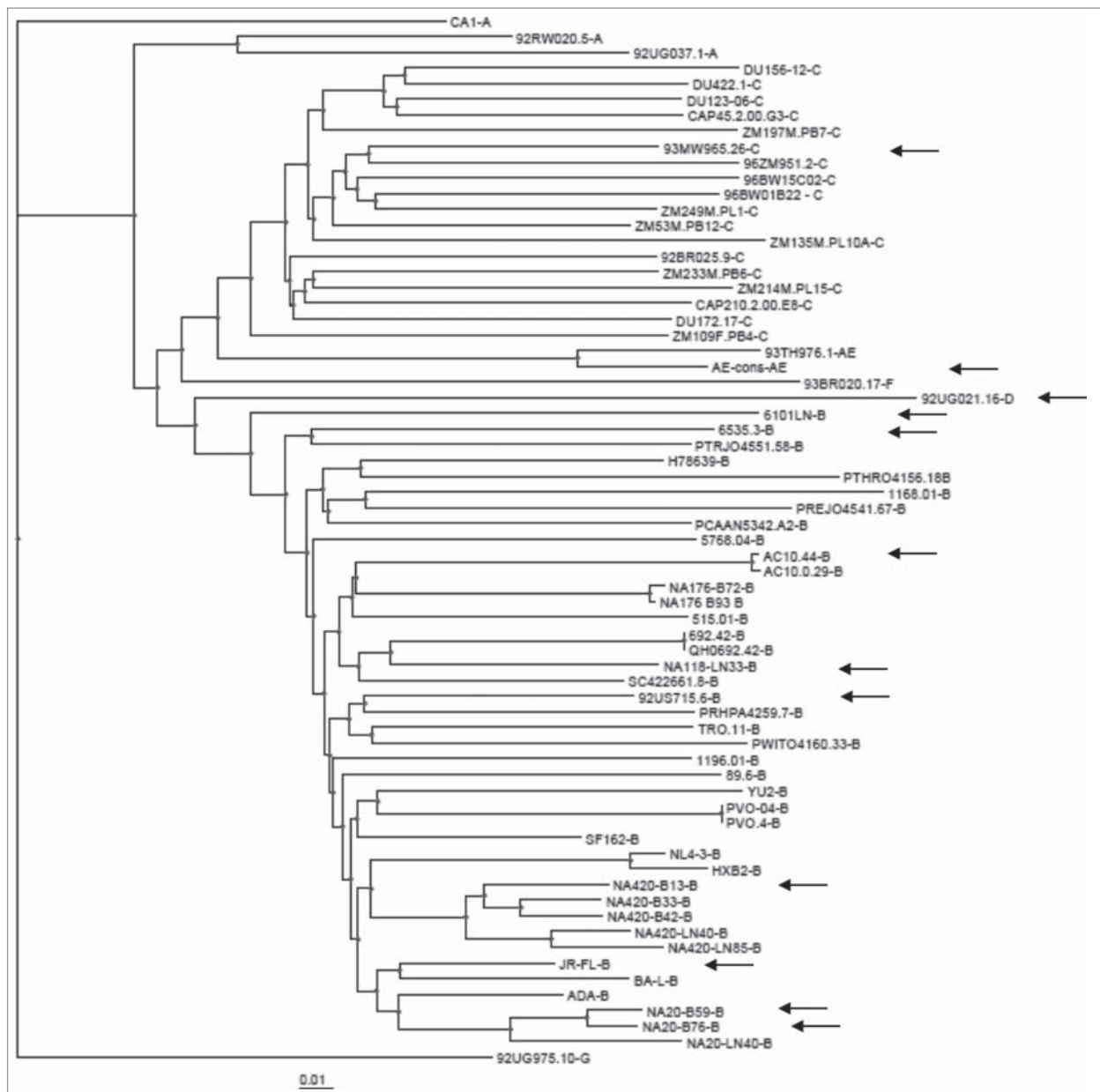


Figure 3. The phylogenetic tree analysis of the amino acid sequences of 62 gp120 DNA immunogens included in the screening study. The arrows indicate those gp120 immunogens in the broad NAB subgroup.

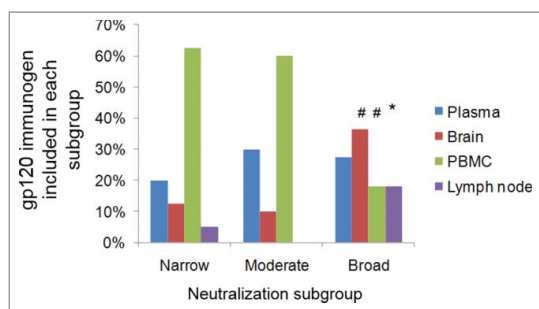


Figure 4. The tissue sources of gp120 immunogens identified in different Nab subgroups. The numbers shown are percentages of each source in a particular subgroup. The sum of all sources within one subgroup equals to 100%. The statistical significance is calculated using Fisher exact test for the difference of one source between broad and moderate subgroups, or between broad and narrow subgroups, # indicating $p < 0.01$ and * indicating $p < 0.05$.

immunogens from all four major tissue sources (plasma, brain, PBMC and lymph node), with no indication that any particular source may be responsible for the improved breadth of Nab responses. When different sources are analyzed, PBMC represented a higher percentage of gp120 immunogens (60%) in both moderate and narrow Nab subgroups. But this may only reflect the fact that the PBMC source gp120 immunogens are the majority of gp120 immunogens included in the current study (Table 1). On the other hand, most of brain source and lymph node source gp120 immunogens in the current study are found in the best Nab subgroup (Fig. 4). Due to the small sample size, this observation will need future studies to establish any true correlation.

The V1/V2 loop of HIV-1 Env proteins is the region with the highest sequence variation including the length of V1/V2 as previously published.³⁰ Lengths of the V1 and V2 loops among the gp120 did not correlate with breadth of neutralization (supplement Fig. 1).

Table 3. New 5-valent gp120 immunogens.

Immunogen	Subtype	HIV-1 isolate
gp120-A2	A	92UG037.8
gp120-JRFL	B	JR-FL
gp120-C2	C	93MW965.26
gp120-D	D	92UG021.16
gp120-AE	CRF01_AE	NA (Consensus)

Antibody responses elicited with the new 5-valent gp120 DNA prime-protein boost formulation

Next, the new polyvalent vaccine was formulated with five gp120 immunogens selected from the broad Nab group to examine whether the new formulation is able to elicit better neutralizing antibody responses than the previous DP6-001 formulation. One gp120 immunogen from each of five major subtypes (subtypes A, B, C, D and AE) was selected from the broad Nab group (Table 3) to expand the coverage of HIV-1 viral Env spectrum. The preliminary antigenicity analysis using ELISA with four representative mAbs demonstrated that these five gp120 immunogens preserved broad epitopes such as those recognized by the CD4bs mAb VRC-01, glycan specific mAbs 2G21 and PGT128. The subtype AE gp120 immunogen even showed good binding by PG9, a well-known trimer specific mAb PG9. The overall high levels of binding were observed except subtype D gp120 immunogen which had much lower binding with VRC-01 and PGT128 (Fig. 5).

The more complete binding affinities of these five gp120 immunogens were measured with a bigger panel of gp120-specific human and rabbit mAbs covering a wide range of epitopes (including C1, C2, C5, V2, V3, CD4bs and glycan) and the IgG-CD4 fusion protein, showing an overall high level of binding affinities (Table 4) except a few epitopes on gp120

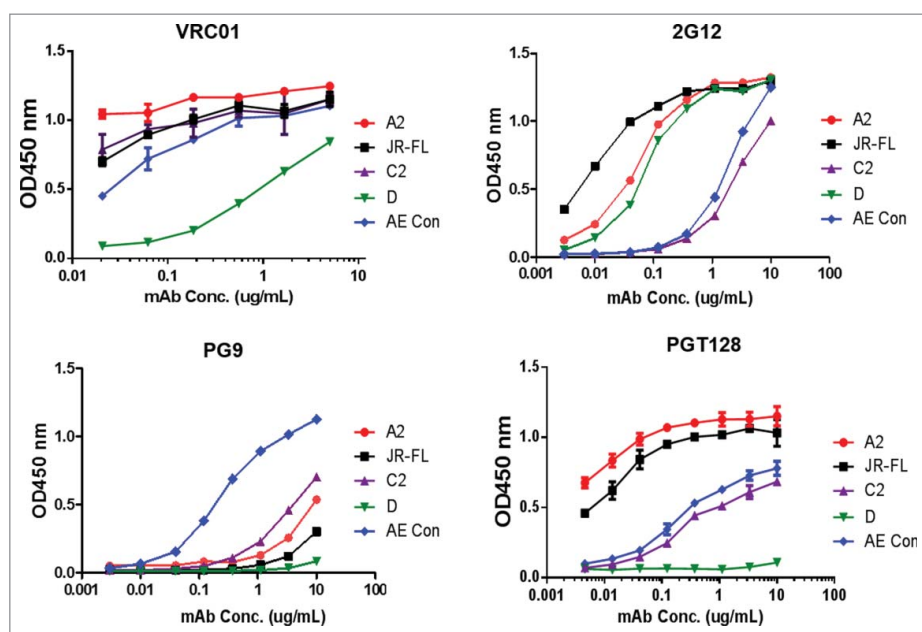


Figure 5. The antigenicity analysis (binding antibody) of the 5 gp120 immunogens included in the new polyvalent formulation by gp120-specific bnAbs VRC01, 2G12, PG9 and PGT128 in serial dilutions. ELISA was run with individual gp120 proteins (A2, JR-FL, C2, D or AE con) coated on the microtiter plates as indicated. Curves are shown as the average of two duplicate wells.

Table 4. Antigenicity analysis of new 5-valent gp120 immunogens.

mAb	Epitope	Host	Octet Qke binding affinity (KD(M))				
			gp120-A	gp120-B	gp120-C	gp120-D	gp120-AE
IgG-CD4	CD4bs	human	2.56E-09	6.40E-09	1.12E-07	NB	1.84E-08
VRC01	CD4bs	human	1.73E-09	1.4E-09	2.29E-08	NB	5.45E-09
PGT128	Glycan	human	6.96E-10	2.10E-09	2.03E-08	ND	1.72E-08
2G12	Glycan	human	1.36E-10	<1E10-12	7.38E-10	2.00E-10	4.64E-10
2158	V2	human	6.46E-09	3.26E-09	2.46E-08	ND	3.05E-08
R15	C1	rabbit	1.97E-09	9.92E-10	1.22E-08	1.97E-09	1.38E-09
R20	V3	rabbit	2.48E-09	9.25E-11	8.58E-07	2.48E-09	1.99E-09
R53	C4	rabbit	1.54E-09	1.97E-09	3.98E-09	1.79E-09	2.49E-09
R13	C5	rabbit	1.89E-09	4.36E-10	1.23E-08	1.68E-09	4.01E-09

NB – no binding; ND– not done.

immunogen from subtypes D which is not unexpected given the significant difference between subtype D and other HIV-1 M group subtypes.

The relative immunogenicity between DP6-001 and the new 5-valent was compared in rabbits using the standard three-time DNA immunizations followed with twice protein immunizations using the matched five gp120 immunogens. The levels of gp120-specific binding antibodies as measured by standard ELISA were very similar between two polyvalent formulations. Both formulations are highly immunogenic in eliciting high titer gp120-specific IgG responses (Fig. 6a). However, further studies identified the difference in quality of antibody responses. Our previous studies in rabbits and human trial demonstrated that the DNA prime-protein boost approach is capable of eliciting CD4bs antibodies. In the current study, the new polyvalent formulation was able to induce higher levels of CD4bs antibody responses than the previous DP6-001 formulation based on the competition of CD4bs mAb b12 (Fig. 6b).

The neutralizing activities of rabbit immune sera were analyzed by two different types of assays. The first is the high throughput commercial PhenoSense assay by Monogram. Individual serum titration curves showed an upward shift of new polyvalent rabbit sera when compared with that of the DP6-001 sera against 5 viruses in this assay (Fig. 7a). The second neutralization study was conducted by the TZM-bl assay conducted at Duke University. Two Tier 1A TCLA and four Tier 2 viruses were included in the TZM-bl assay. In both assays, the neutralizing activities of the new polyvalent Env formulation

rabbit sera were clearly higher than the rabbit sera elicited by the DP6-001 formulation (Fig. 7).

An additional neutralization assay was conducted against a panel of selected viruses including those from different standard tiers (Fig. 8). At 1:10 serum dilution, rabbit sera elicited by the new polyvalent formulation neutralized about 40% of viruses in this panel while the previous DP6-001 vaccine rabbit sera neutralized only slightly above 20% of viruses.

The profile of epitope-specific antibody responses in rabbit sera elicited by the new polyvalent formulation was analyzed by peptide array binding. It showed a pattern of antibody responses against epitopes spreading to all constant and variable domains including the V2 domain in multiple gp120 immunogens (Fig. 9). Further detailed analysis was done using the gp70V1/V2 chimeric antigen system expressing V1/V2 domains from gp120 immunogens included in both DP6-001 and the new polyvalent formulations. Sera elicited by the new polyvalent formulation had higher antibody responses against this group of gp70V1/V2 antigens than the sera elicited by DP6-001 formulation (Fig. 10). Because ADCC activities against V2 region was found to be an immune correlate of protection in RV144 studies, the ability of new 5-valent formulation to elicit higher antibody responses against diverse V1/V2 immunogens is highly significant.

The current study further supports the value of an improved polyvalent gp120 formulation. Because of the extremely high mutation rate of HIV-1 viruses, it has been one of the key challenges in developing an HIV vaccine that can cover enough breadth of circulating HIV viral isolates. We used a bioinformatics model to see the impact of polyvalency to the coverage

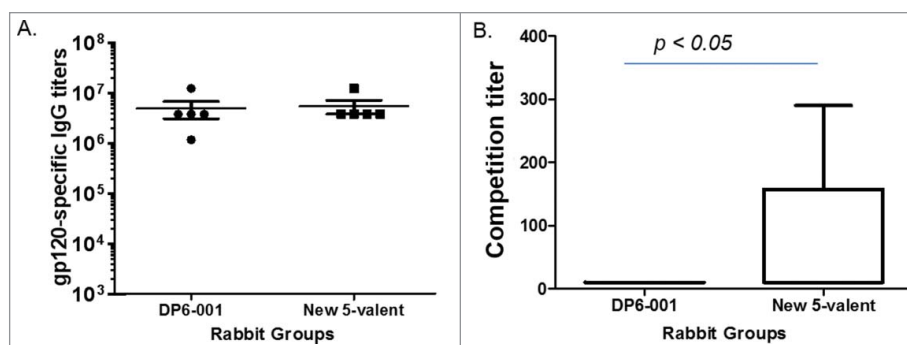


Figure 6. Analyses of antibody responses in rabbit immune sera elicited by either DP6-001 or the new polyvalent formulation. (A) The gp120-specific antibody titers were measured by ELISA against the autologous 5 gp120 proteins included in either DP6-001 or new polyvalent formulation. (B) The ability of rabbit sera to outcompete binding of CD4bs-specific mAb b12 to JR-FL & VSV-G pseudotyped viruses. Competition titer is defined as the serum dilution preventing 50% of pseudoviral binding to the ELISA plate. The statistical analysis was done with t-test.

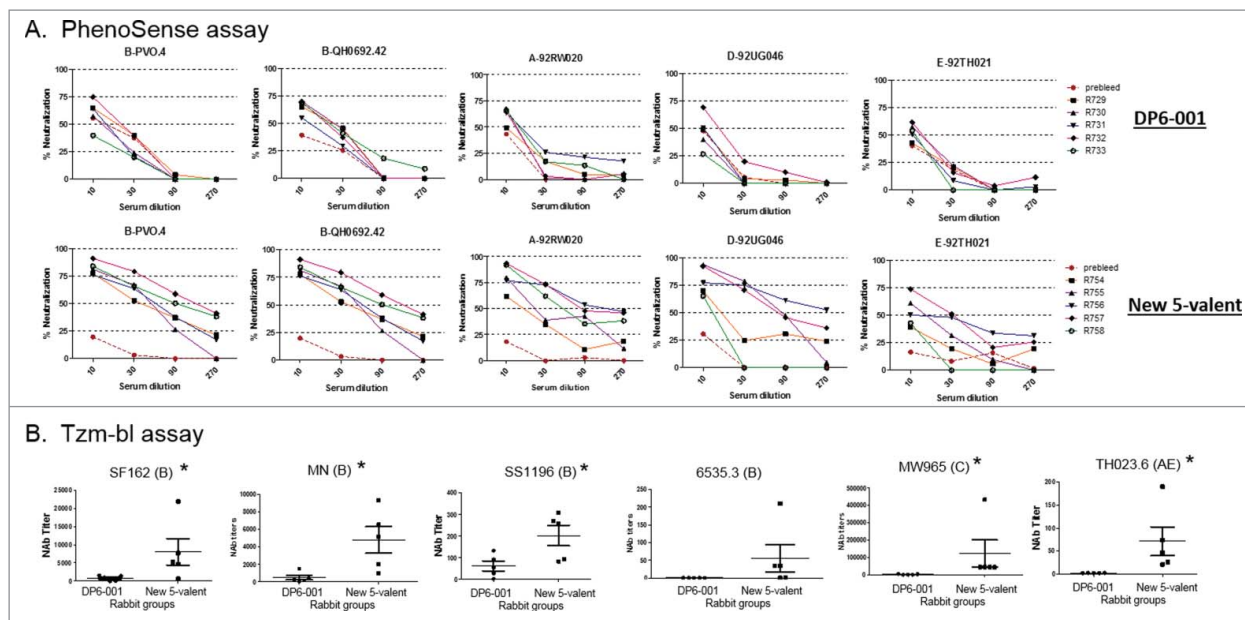


Figure 7. Neutralizing activity in rabbit sera elicited by either DP6-001 and new polyvalent formulation. (A) Percent of inhibition by rabbit immune sera in the PhenoSense assay. Each curve represent serum from one rabbit. (B) Neutralizing titers in rabbit immune sera as tested by Tzm-bl assays. Five rabbit sera were tested for each formulation. The mean titers with standard deviations are shown. NAb titer is defined as the serum dilution capable of inhibiting 50% of viral infection. Rabbit sera collected two weeks after the final boost immunization were tested for their ability to neutralize various viruses. The statistical significance ($p < 0.05$) is indicated with *** , using one way ANOVA.

of circulating HIV-1 isolates (Fig. 11). In this model, the sequence homology was analyzed between gp120 sequences included in a polyvalent formulation and the consensus sequences from each of the 16 key subtypes of HIV-1 M group. The heat map method was used to show the level of homology. It is clear that the homology is very low when only one gp120 immunogen is tested – only the matching subtype shows some degree of homology but not to any other subtypes. When the valency is increased from two-valent to five-valent, the homology increased to over 90% to any subtype. Actually, even

four-valent formulation is already able to achieve high homology, especially the one without the subtype D (A+B+C+AE). The results from the bioinformatics model and the neutralizing data reported here provide the direction for future HIV vaccine development.

Discussion

In the last three decades, HIV vaccine development has put major emphasis on the optimal vaccine delivery approaches

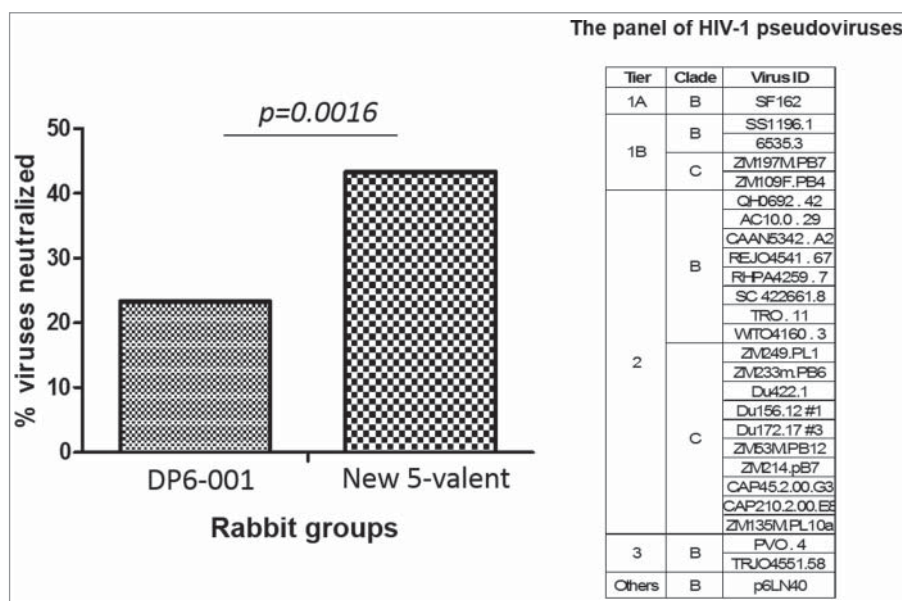


Figure 8. Neutralizing breadth of rabbit sera elicited by DP6-001 and new 5-valent gp120 vaccines against a panel of 26 HIV-1 pseudoviruses as shown in Tzm-bl assay. The percentage of virus neutralized was calculated based on the positive neutralization events of 5 rabbits/each group against 26 viruses. The positive NAb is defined as the serum capable of inhibiting $\geq 50\%$ of viral infection at $\geq 1:10$ serum dilution. The statistical significance is indicated and analyzed using Fisher exact test. Rabbit sera collected two weeks after the final boost immunization were used for the assay.

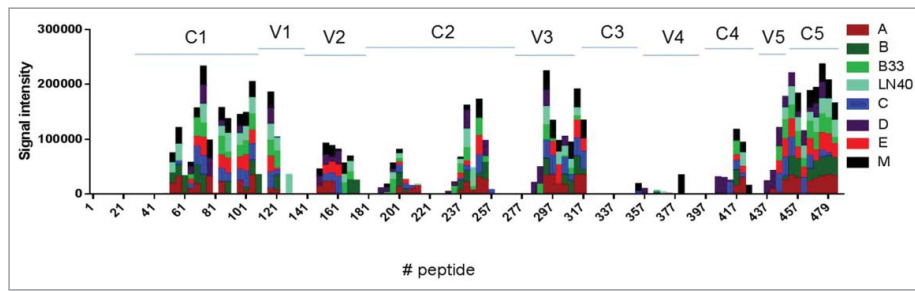


Figure 9. Evaluation of serum antibodies binding in new 5-valent vaccine immunized rabbit to linear peptides spanning gp120 antigens by JPT peptide microarray. The peptides were 15-mer with 11-overlap. The peptide panels A, B, C, D and E represent autologous immunogens in the new 5-valent vaccine: 92UG037.8 (subtype A), JR-FL (subtype B), 93MW965.26 (subtype C), 92UG021.16 (Subtype D), and AE consensus (CRF_01 AE). Peptide panel M is the Group M consensus sequence. The peptide panels LN40 and B33 are two additional subtype B viruses. The signal intensities were the group mean of the normalized values with pre-bleed background subtracted. Rabbit sera collected two weeks after the final boost immunization were used for peptide microarray assay.

and the induction of broad neutralizing antibody responses. The unexpected protection results of RV144 trial suggested the heterologous gene-based vaccine prime and recombinant protein vaccine boost offers an alternative approach based on non-neutralizing antibodies. An improved polyvalent Env formulation offers potential value in augmenting the protection afforded in RV144 which only included Env immunogens from two subtypes. Our early rabbit immunogenicity study showed that randomly selected polyvalent gp120 formulations were able to elicit statistically significant higher neutralizing antibody responses in rabbit immune sera than any of the individual gp120 immunogens included in those polyvalent formulations.⁴

The 5-valent DP6-001 formulation tested in a subsequent Phase I human clinical study elicited broadly reactive antibody responses against gp120 antigens from subtypes A to G and low titer but broad neutralizing antibody responses in a high throughput PhenoSense assay.² Recently, ALVAC/Pentavalent gp120 protein (B/E/E/E/E) vaccine with increased diversity of gp120 motifs in the immunogen elicited a broader antibody response and enhanced protection in a SHIV challenge model in macaques,³¹ supporting the importance to develop polyvalent HIV-1 vaccines.

In the current study, we investigated the possibility to further improve the quality of antibody responses based on the

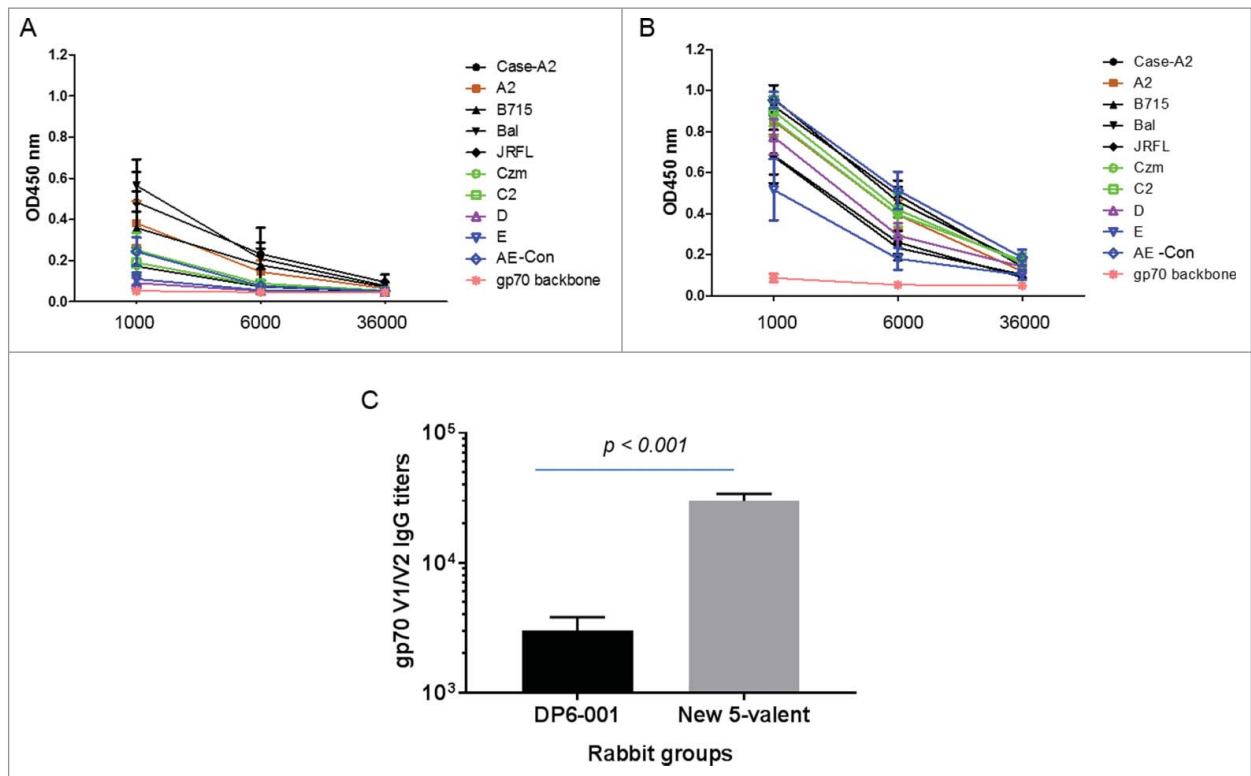


Figure 10. ELISA analysis of gp70V1/V2-specific antibody responses in rabbit sera induced by either DP6-001 (Panel A) or the new 5-valent vaccines (Panel B). Ten individual gp70V1/V2 scaffold proteins were used as coating antigens covering subtypes A, B, C, D and AE. The gp70V1/V2 antigens autologous to the DP6-001 were A2 (92UG037.8, subtype A), US715 (92US715.6, subtype B), Ba-L (subtype B), Czm (96ZM9651, subtype C) and AE (93TH967, CRF_01 AE). The gp70V1/V2 antigens autologous to the new 5-valent were A2 (92UG037.1, subtype A), JR-FL (subtype B), C2 (93MW965.26, subtype C), D (92UG021.16 Subtype D) and AE-con (AE consensus, CRF_01 AE). The Case-A2 gp70V1/V2 is a commonly used subtype B antigen as the reference control. The empty gp70 vector was used as the negative control. Rabbit sera collected two weeks after the final boost immunization were used in this study. Each curve represents one rabbit serum from each immunogen group against one gp70V1/V2 antigen. Panel C shows the mean antibody titers between A and B with statistical significance indicated using the t-test.

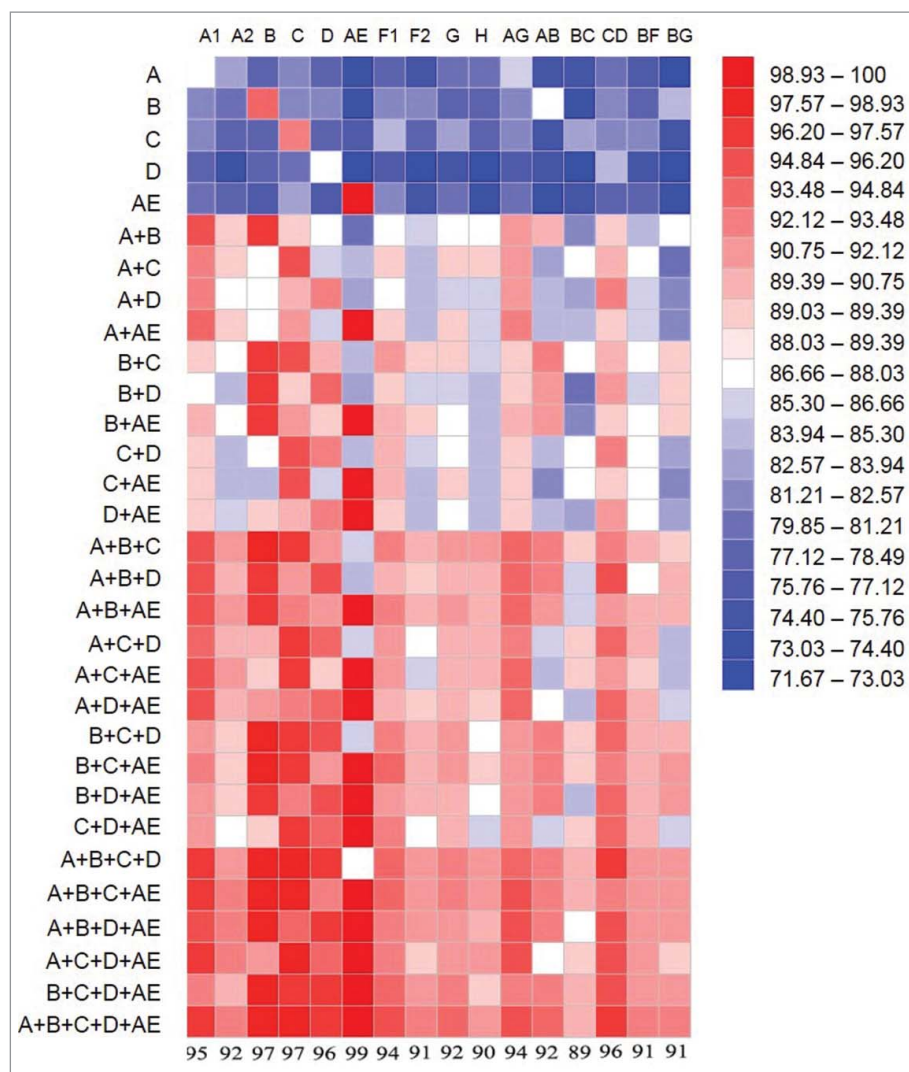


Figure 11. Bioinformatics analysis on the coverage between various gp120 immunogen formulations and the consensus sequences of HIV-1 subtypes. The A, B, C, D and AE indicated at the left represent the new 5-valent gp120 immunogens: 92UG037.8 (subtype A), JR-FL (subtype B), 93MW965.26(subtype C), 92UG021.16 Subtype D), and AE consensus (CRF_01 AE). Sixteen HIV-1 subtypes are indicated on the top, representing the consensus sequences of each subtype. Heat map analysis was used to indicate the level of coverage, with warmer color indicating higher levels of coverage. Numbers indicated at the bottom of heat map are the percentages of sequence coverage between the five-valent gp120 formulation and 16 different subtypes.

optimal selection of gp120 immunogens in a new polyvalent formulation. Several important pieces of information were learned. First, it appears that not all the gp120 immunogens are created equal in their ability to elicit high quality antibody responses as measured by neutralizing activities. Second, the new polyvalent formulation with selected gp120 immunogens was able to elicit better neutralization antibodies than the original DP6-001 which was randomly formulated. While the sera elicited by the new polyvalent formulation still could not neutralize the standard Tier 2 panel of viral isolates, the improvement on neutralizing antibodies against other viruses was clear including both breadth and potency of Nab using the sera elicited by DP6-001 as the control. Third, the new polyvalent formulation was also able to elicit higher antibody responses against a diverse group of gp70V1/V2 antigens. As we learned from RV144 trial, ADCC mediated through V2 epitopes may correlate to the protection efficacy.³² It is possible that a better gp120 immunogen may have the structure features that are beneficial to the induction of both Nab and ADCC responses. IgA may affect ADCC as learned from RV144 but there are

many IgA subtypes in rabbit which makes the measurement of rabbit IgA more difficult.³³ Future human studies are needed to establish the improvement of ADCC with the new polyvalent formulation.

The current study was unable to identify the exact factors contributing to those 19% gp120 immunogens that are able to prime the immune system to elicit better neutralizing antibody responses. It is possible that the tissue sources from which the original HIV-1 primary viruses were isolated may play a role because gp120 immunogens isolated from the brain and lymph are mainly discovered from this subgroup. It was reported in literature that HIV-1 viruses isolated from different tissues may have differences in target cell type preference (T cell tropic vs. macrophage tropic), affinity to CD4 and sensitivity to different neutralizing mAbs.³⁴⁻³⁶ We further observed that a pair of two primary gp120 immunogens isolated from the same patients with high sequence homology but different CD4 affinity and profile of Nab mAb sensitivity showed different immunogenicity in eliciting Nab responses.³⁷ Future studies need to understand the impact of tissue sources to the selection of viral Env

products for viral fitness and/or structure modifications such as type of glycosylation.

The bioinformatics model analysis conducted in the current report pointed to the theoretical basis for a polyvalent Env HIV vaccine. While the current analysis is oversimplified by using a consensus gp120 sequence to represent a full subtype of gp120 sequences, the collection of 16 consensus gp120 sequences does cover the diverse scope of HIV-1 gp120 immunogens. It is quite interesting to see that the coverage by the new 5-valent gp120 formulation against these 16 HIV-1 subtypes can reach greater than 90. However, the sequence homology between any one of these five gp120 immunogens to these 16 subtype consensus gp120 sequences was very low unless to its autologous subtype. With the increase on the numbers of gp120 immunogens in a formulation, the coverage to 16 subtypes was improved. These data validated the polyvalent formulation as a key principle in vaccinology and provided strong argument to the use of polyvalent formulation design even to a highly mutated pathogen like HIV-1. A number of recent studies also demonstrated the effectiveness of polyvalent Env formulations even such formulations only including multiple Env immunogens from 1–2 subtypes.^{31,38}

The bioinformatics analysis also showed that if subtype D is not considered a major target viral subtype, then the four-valent formulation including four gp120 immunogens selected from the current study will also achieve a very high percentage of coverage against different subtypes. A clinical formulation based on these four gp120 immunogens (subtypes A, B, C and AE) has been successfully produced from CHO cells under GMP and is scheduled to be tested as a matched polyvalent DNA prime – protein boost vaccine in a clinical trial to be run by the HIV Vaccine Trial Network (HVTN). Data from future clinical studies will further validate the findings learned from the current rabbit immunogenicity study.

Materials and methods

DNA vaccines

Sixty-two individual plasmid DNA vaccines expressing HIV-1 gp120 proteins from subtypes A, B, C, D, AE, F and G (Table 1) were constructed utilizing the same vector pJW4303 at NheI and BamHI sites under the tPA leader.³⁹ The expression of gp120 proteins from each individual DNA vaccine was verified by transient transfection of 293T cells and Western blot analysis as previously described.⁴ The DNA vaccines were purified using QIAGEN Mega DNA prep kit (Valencia, CA) for rabbit immunization studies.

Recombinant gp120 proteins

Nine recombinant gp120 proteins, HIV-1 subtypes A (92UG037.8, UG21-9), B (92US715.6, JR-FL, TH14-12), C (93MW959, 93MW965.26), D (92UG021.16) and AE (consensus) (Supplement Table 1), included in the current study were produced from stably transfected CHO cell lines as previously described.^{2,4} CHO cell cultures were harvested and gp120 proteins were purified over a lectin column, and further

polished by the ion-exchange and size-exclusion chromatography as needed. The quality of purified gp120 proteins was verified by SDS-PAGE and Western blot analysis. These gp120 proteins were used as the protein boost immunizations in rabbit studies, and as antigens to evaluate the Env-specific antibody responses by ELISA, and the binding affinity analysis by using the forteBio Octet QKe system.

gp70V1/V2 scaffold proteins

Nine recombinant gp70V1/V2 proteins were generated in the current study. The V1/V2 regions from HIV-1 subtypes A (92UG037.8), B (92US715.6, JR-FL, Case-A2), C (96ZM965, 93MW965.26), D (92UG021.16) and AE (93TH967, consensus) was individually PCR-amplified from relevant gp120 DNA vaccines, and subcloned into the mammalian expression vector pJW4303 containing the MLV gp70 backbone⁴⁰ at the KpnI and BamHI cloning sites. The V1/V2 was fused at the C-terminal of the gp70 protein with a 6xHis tag at N-terminus of the fusion protein. After DNA sequencing verification of the construct and large DNA prep using QIAGEN kit, the 293T cells were transfected with each gp70V1/V2 plasmid DNA to express the gp70V1/V2 proteins. The transfected 293T cell supernatant was harvested at 72 hours after the transfection and gp70V1/V2 proteins were purified by using a nickel affinity column. The purity of gp70V1/V2 proteins were verified by SDS-PAGE and their reactivity was analyzed by Western-blot analysis using a homemade anti-V1/V2 polyclonal rabbit serum.

Env-specific antibodies

The CD4 binding site specific monoclonal antibody, VRC01,⁴¹ was obtained from the AIDS Research & Reference Reagent Program. The glycan specific mAb PGT128⁴² was provided by International AIDS Vaccine Initiative (IAVI) and the V2 quaternary mAb PG9⁴³ was provided as a gift from Dr. Dennis Burton at Scripps Institute. The glycan mAb, 2G12,⁴⁴ were purchased from Polymun (Klosterneuburg, Austria). The V2-specific mAb 2158⁴⁵ was produced by Dr. Susan Zolla-Pazner's group at Mount Sinai School of Medicine. The rabbit mAbs R15 (C1), R20 (V3), R53 (C4) and R13 (C5) were generated in-house from gp120 immunized rabbit.^{46,47}

Rabbit immunization

New Zealand White (NZW) rabbits of 6–8 weeks' old were used in the current study with two DNA prime-protein boost study designs. The rabbits were purchased from Harlan Laboratories (Indianapolis, IN) and housed in the animal facility managed by the Department of Animal Medicine at the University of Massachusetts Medical School (UMMS) in accordance of the protocol approved by UMMS' Institutional Animal Care and Use Committee (IACUC).

In the first gp120 antigen screening study, each rabbit received one individual DNA vaccine prime followed by a fixed five gp120 protein mix boost consisting of gp120 proteins from HIV-1 subtypes A (UG21-9), B (92US715.6, JR-FL, TH14-12) and C (93MW959). In the first study, each group included three NZW rabbits. In the second polyvalent formulations

comparison study, each rabbit received either the DP6-001 or the new polyvalent DNA prime followed by the boost of gp120 proteins matching the polyvalent gp120 immunogens used in the DNA priming. In the second study, each group included five NZW rabbits.

In both studies, rabbits received three DNA immunization at Weeks 0, 2, and 4, followed with the protein boosts delivered at Weeks 8 and 12. Sera were collected two weeks prior to the first immunization and two weeks after each animal immunization. DNA vaccines were delivered by a Bio-Rad Helios gene gun using 1-micron gold beads at a ratio of 2 μg of DNA per milligram of gold beads. Each animal received 36 μg of DNA at each immunization time point. For boost immunization, 50 μg of gp120 proteins (10 μg for each gp120 protein) was diluted in 500 μL PBS and mixed with 500 μL Incomplete Freund's Adjuvant (IFA). The 1 mL adjuvanted protein solution was then injected subcutaneously into the back of rabbits at multiple sites.

Enzyme linked immunosorbent assay (ELISA)

ELISA was run to detect gp120 protein or gp70-V1/V2 protein specific IgG responses in 96 well microtiter plates as previous described.^{4,8} Serially diluted rabbit immune sera were added to plates coated with either gp120 or gp70-V1/V2 proteins, followed by incubation with biotinylated anti-rabbit IgG and a streptavidin horseradish peroxidase subsequently. For gp120 antigenicity analysis, the plates coated with various gp120 proteins were incubated with Env-specific human mAbs at different concentrations. Then the plates were incubated with biotinylated anti-human IgG and a streptavidin horseradish peroxidase subsequently.

The plates were finally developed for 3 min in 100 μL of a 3,3',5,5'-tetramethylbenzidine substrate. The OD values were read after stopping the reaction with 2N H_2SO_4 . Endpoint titers of rabbit sera were defined as the last dilution of a serially diluted serum sample with greater than double the background optical density of a preimmune serum sample.

CD4bs-specific mAb b12 competitive binding assays

Competitive binding assays were performed as previously described.^{48,49} JR-FL pseudovirus and Vesicular Stomatitis Virus (VSV) glycoprotein were produced with the pSG3^{ΔEnv} backbone in 293T cells. Briefly, after coating the microtiter plates with 50 μL of mAb b12 at 5 $\mu\text{g}/\text{mL}$ for 1 hr at room temperature, the serially diluted rabbit sera was incubated with pseudovirus correlating to 2.5 ng of p24/well for 1 hr. Then, the virus/sera mixture was incubated on the ELISA wells for 3 hrs at room temperature. Plates were then overlaid with 10,000 TZM-bl cells per well and incubated for 48 hrs at 37°C. Luciferase activity was determined per the manufacturer's instruction (Promega). Data is reported as the serum dilution at which the luciferase signal is reduced by 50% compared to a serum negative control.

HIV-1 neutralization assays

Two types of HIV-1 neutralization assays were performed in this study. The first neutralization assay (PhenoSense Assay,

Monogram, Inc) used recombinant viruses pseudotyped with HIV-1 envelope proteins and a firefly luciferase indicator gene.^{2,50,51} The panel of HIV-1 pseudoviruses included three TCLA strains (MN, NL43 and SF162), and 13 primary isolates including subtype A (92RW020 and 94UG103), B (AC10.0.29, PVO.4, QH0692.42, SC422661.8, and JRCSF), C (93IN905 and 98CN006), D (92UG046 and 94UG114), and AE (92TH021 and CMU02). Rabbit sera elicited from either one gp120 DNA prime – 5-valent protein boost, or 5-valent DNA prime and 5-valent protein boost (DP6-001 or the new polyvalent formulation) were tested in this high throughput neutralization assay with starting serum dilution at 1:10. The rabbit prebleed was used as the negative control and human HIV-1 infected patient serum N16 was used as the positive control. Neutralizing activity was calculated as the percent inhibition of viral replication (luciferase activity) at each antibody dilution compared with the prebleed-negative control: % inhibition = $(1 - (\text{luciferase} + \text{Ab}/\text{luciferase} - \text{Ab})) \times 100$. The specificity control was composed of a virus pseudotyped with an aMuLV envelope. An HIV-serum combination was considered to have positive neutralization if the inhibition of HIV was at least 50% and >3X higher IC₅₀ than the same plasmas tested with aMuLV while the prebleed was not scored positive.

The second TZM-bl cell based neutralization assay was done as previously described,^{6,11} either at Dr. Montefiori's lab at Duke University or in house at UMMS. The assays done at Duke titrated the neutralizing antibody titers against HIV pseudoviruses from subtype B (SF162, MN, SS1196, 6536.3), C (MW965) and AE (TH023.6). The in house neutralization assays were done against a panel of 14 subtype B pseudoviruses and 12 subtype C pseudoviruses as listed (Fig. 8), covering Tiers 1A, 1B, 2 and 3, using DP6-001 or new polyvalent immunized rabbits at 1:10 serum dilution. Neutralization was calculated as the percent change in luciferase activity in the presence of pre-immune sera versus that of luciferase activity in the presence of immune sera $((\text{Preimmune RLU} - \text{Immune RLU})/(\text{Preimmune RLU})) \times 100$.

ForteBio Octet QK^e assay

The binding kinetics of mAbs to gp120 protein were studied using a ForteBio Octet QK^e instrument in a 96-well format following the manufacturer's instructions. Protein A coated sensors (ForteBio) were loaded with Env-specific rabbit mAbs R15 (C1), R20 (V3), R53 (C4) and R13 (C5), or protein G coated sensors were coated with IgG-CD4, Env-specific mAbs VRC01 (CD4bs), PGT128 (Glycan), 2G12 (Glycan) or 2158 (V2), at 10 $\mu\text{g}/\text{mL}$ diluted in the kinetics buffer (PBS/0.1%BSA/0.002%Tween 20). After capture, a 1-min wash in loading buffer removed excess unbound Ab to establish a new baseline signal. The biosensor tip was then put into wells containing the selected gp120 proteins at three-fold serially diluted concentrations from 100 to 11 nanomolar (nM), in loading buffer. The mAb-Env protein association on-rate and off-rate were measured. K_D values were calculated as the ratio of off-rate/on-rate. The sensorgrams were corrected with the blank reference and fit with the software ForteBio Data Analysis package 7.0 using a 1:1 binding model with the global fitting function.

Linear epitope mapping by peptide microarray

To map the linear epitope recognition in immune rabbit sera, the rabbit sera collected at 2 weeks after the second protein boost and pre-bleed as control were analyzed against a library of HIV-1 Env linear peptides using JPT peptide microarray as previously reported.^{52,53} Peptide libraries consisting of 15-mers overlapped by 12 amino acids were printed on glass slides, covering the full length of consensus gp120 Env from HIV-1 group M consensus, subtypes A, B, C, D and CRF01_AE including gp120 derived from vaccine strains. Briefly, the peptide microarray was performed using a Tecan HS4000 Hybridization WorkStation. All arrays were blocked with Superblock T20 PBS blocking buffer for 0.5 hour at 30°C with heat inactivated plasma diluted 1:100 in SuperBlock T20. The arrays were incubated for 45 minutes at 30°C with anti-IgG Cy5 secondary antibody (1.5 ug/ml final concentration). PBS containing 0.1% Tween was used for each of the washes between all steps. Arrays were scanned at 635 nm using an Axon GenePix 4300 Scanner (Molecular Devices, Sunnyvale, CA, USA) at a PMT setting of 600, 50% laser power. Images were analyzed using GenePix Pro 7 software (Molecular Devices).

Bioinformatics analysis

The coverage percentages of monovalent, two-valent, three-valent, four-valent, and five-valent gp120 matching current circulating HIV-1 isolates, including Clade A1, A2, B, C, D, E, F1, F2, G, H, AG, AB, BC, CD, BF and BG, were determined. The heatmap of coverage percentage was constructed by JColorGrid software⁵⁴ with input gp120 protein sequences.

Statistical analyses

To analyze the statistical significance of antibody responses induced by different vaccination regimens, One Way ANOVA, t-test and Fisher Exact tests were performed. The significance difference was considered when $p < 0.05$.

Abbreviations

DP6-001	an HIV-1 DNA prime-protein boost vaccine
Env	HIV-1 envelope glycoprotein
ELISA	enzyme-linked immunosorbent assay
HIV-1	human immunodeficiency virus type 1

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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