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Measurement of the Multi-TeV Neutrino Interaction Cross-Section with IceCube Using Earth Absorption

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The IceCube Collaboration

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The IceCube Collaboration*

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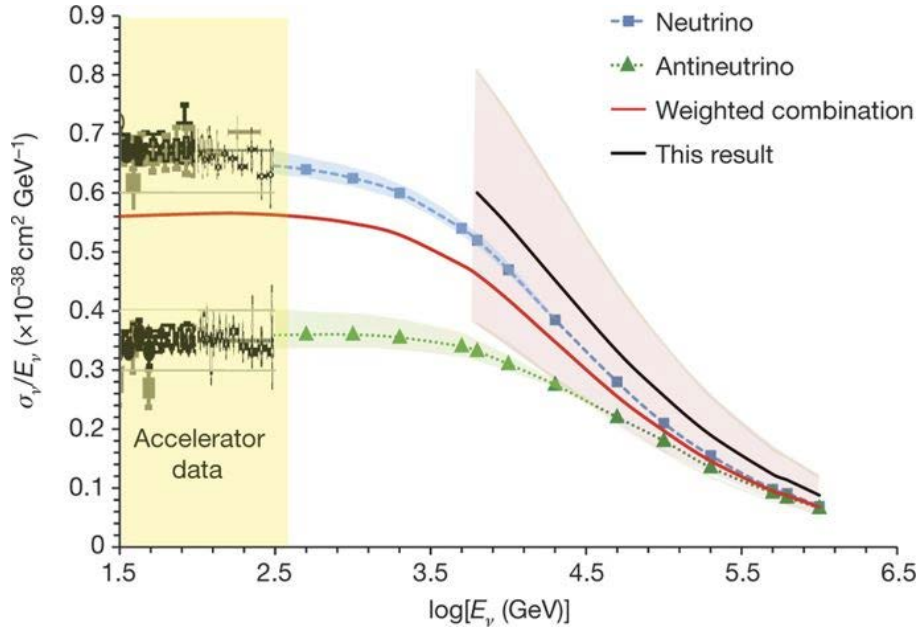
Abstract

Neutrinos interact only very weakly, so they are extremely penetrating. The theoretical neutrino–nucleon interaction cross-section, however, increases with increasing neutrino energy, and neutrinos with energies above 40 teraelectronvolts (TeV) are expected to be absorbed as they pass through the Earth. Experimentally, the cross-section has been determined only at the relatively low energies (below 0.4 TeV) that are available at neutrino beams from accelerators^{1,2}. Here we report a measurement of neutrino absorption by the Earth using a sample of 10,784 energetic upward-going neutrino-induced muons. The flux of high-energy neutrinos transiting long paths through the Earth is attenuated compared to a reference sample that follows shorter trajectories. Using a fit to the two-dimensional distribution of muon energy and zenith angle, we determine the neutrino–nucleon interaction cross-section for neutrino energies 6.3–980 TeV, more than an order of magnitude higher than previous measurements. The measured cross-section is about 1.3 times the prediction of the standard model³, consistent with the expectations for charged- and neutral-current interactions. We do not observe a large increase in the cross-section with neutrino energy, in contrast with the predictions of some theoretical models, including those invoking more compact spatial dimensions⁴ or the production of leptoquarks⁵. This cross-section measurement can be used to set limits on the existence of some hypothesized beyond-standard-model particles, including leptoquarks.

Main

The cross-section for neutrino interactions with matter is very small. Neutrinos are usually regarded as particles that will go through anything⁶. However, the neutrino–nucleon interaction cross-section is expected to increase with energy. Until now, the cross-section has only been measured up to a neutrino energy of 370 GeV (Fig. 1; $\log(370) = 2.57$) because it has been limited by the available accelerator neutrino beams¹. In this range, the cross-section rises linearly with energy.

Figure 1: Neutrino cross-section measurements.



Measured neutrino charged-current interaction cross-sections σ_ν , divided by the neutrino energy E_ν , from accelerator experiments are shown, along with error bars showing their combined 1σ statistical and systematic uncertainty, from ref. [1](#) and from this work. The blue and green lines are the standard model predictions for muon neutrinos ν_μ and antineutrinos $\bar{\nu}_\mu$, respectively, with the uncertainties on the deep-inelastic cross-sections shown by the shaded bands³. The red line corresponds to the expected mixture of ν_μ and $\bar{\nu}_\mu$ in the IceCube sample. The black line shows our result, assuming that the charged- and neutral-current cross-sections vary in proportion, and that the ratio between the actual cross-section and the standard model prediction does not depend on energy. The pink band shows the total 1σ (statistical plus systematic) uncertainty. The cross-section increases linearly with energy up to about 3 TeV ($\log(3,000)=3.48$), after which this increase is moderated and the cross-section becomes roughly proportional to $(E_\nu)^{0.3}$ owing to the finite W^\pm and Z^0 masses.

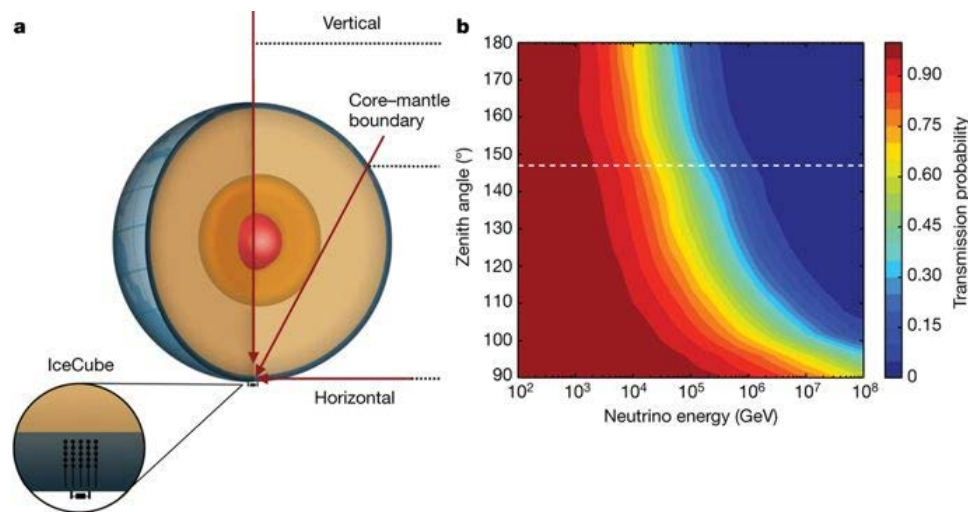
In the standard model of particle physics, neutrinos interact with quarks through charged-current and neutral-current interactions, mediated by W^\pm and Z^0 bosons, respectively. At neutrino energies above 10 TeV, the finite W^\pm and Z^0 masses are expected to moderate the increase in cross-section, leading to a slower rise at higher energies. These cross-sections also reflect the densities of partons (quarks and gluons) within the nuclear targets. Accelerator neutrino experiments have mainly probed the densities of partons with Bjorken- x values (the fraction of the total nucleon momentum carried by a quark or gluon) above about 0.1. In this x range, there are more quarks than antiquarks, so the interaction cross-section of the antineutrino is about half that of the neutrino. Higher-energy experiments probe lower Bjorken- x values, where sea quarks predominate, and the difference between the neutrino and antineutrino cross-sections is reduced.

At high energies, new processes beyond the standard model may appear. Some theories invoke new spatial dimensions, which are curled up on a distance scale r . At momentum transfers comparable to $\hbar c/r$, where \hbar is the reduced Planck constant and c is the speed of light in vacuum, the neutrino cross-section rises dramatically^{4,7}. In some grand unified or technicolour theories, leptoquarks may couple to both quarks and leptons; for example, a second-generation leptoquark couples to both muon neutrinos

and quarks. The interaction cross-section increases considerably at neutrino–quark centre-of-mass energies that correspond to the mass of the leptoquark⁵.

Our measurement uses naturally occurring atmospheric and astrophysical neutrinos to extend neutrino interaction cross-section measurements to multi-teraelectronvolt energies by observing neutrino absorption in the Earth. [Figure 2](#) shows the principle of the measurement. Atmospheric neutrinos, produced by cosmic-ray air showers below the Earth’s horizon, are the dominant source of neutrinos used for this analysis. Astrophysical neutrinos produced by distant sources are the largest contribution at energies above 300 TeV. High-energy neutrinos that deeply traverse the Earth are absorbed, whereas near-horizontal neutrinos provide an essentially absorption-free reference⁹. The contribution of atmospheric neutrino oscillations is negligible at teraelectronvolt energies and is not included here.

Figure 2: Neutrino absorption in the Earth.



Measured neutrino charged-current interaction cross-sections σ_{ν} , divided by the neutrino energy E_{ν} , from accelerator experiments are shown, along with error bars showing their combined 1σ statistical and systematic uncertainty, from ref. [1](#) and from this work. The blue and green lines are the standard model predictions for muon neutrinos ν_{μ} and antineutrinos $\bar{\nu}_{\mu}$, respectively, with the uncertainties on the deep-inelastic cross-sections shown by the shaded bands³. The red line corresponds to the expected mixture of ν_{μ} and $\bar{\nu}_{\mu}$ in the IceCube sample. The black line shows our result, assuming that the charged- and neutral-current cross-sections vary in proportion, and that the ratio between the actual cross-section and the standard model prediction does not depend on energy. The pink band shows the total 1σ (statistical plus systematic) uncertainty. The cross-section increases linearly with energy up to about 3 TeV ($\log(3,000)=3.48$), after which this increase is moderated and the cross-section becomes roughly proportional to $(E_{\nu})^{0.3}$ owing to the finite W^{\pm} and Z^0 masses.

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The idea of studying neutrino absorption in the Earth dates back to 1974 (ref. [10](#)), although most of the early papers on the subject proposed using absorption to probe the Earth’s interior¹¹. However, the density uncertainty^{[12,13,14,15](#)} for long paths through the Earth is only 1%–2%; this leads to less than 1% systematic uncertainty in the cross-section measurement, below the total uncertainty of the cross-section. Early work on the subject envisioned using accelerator-produced neutrinos for Earth tomography; the idea of using natural (astrophysical or atmospheric) neutrinos came later^{[16,17](#)}.

Neutrino absorption increases with neutrino energy, so that for 40-TeV neutrinos, the Earth’s diameter corresponds to one absorption length. By observing the change in the angular distribution of Earth-transiting neutrinos with increasing neutrino energy, one can measure the increasing absorption and, from that, determine the cross-section.

This analysis uses data collected with the IceCube detector^{[18](#)}, which is installed in the Antarctic ice cap at the South Pole. The data were acquired during 2009 and 2010, when IceCube consisted of 79 vertical strings^{[19](#)}, each supporting 60 optical sensors (Digital Optical Modules, DOMs^{[20](#)}). The strings are arranged in a triangular grid, with 125 m between strings. The sensors are deployed at 17-m vertical intervals, at depths between 1,450 m and 2,450 m below the surface of the ice cap. Six of the strings are installed at the centre of the array, with smaller string spacing and with their DOMs clustered between 2,100 m and 2,450 m deep; this module is called ‘DeepCore’.

The DOMs detect Cherenkov light from the charged particles that are produced when neutrinos interact in the ice surrounding IceCube and the bedrock below. In this measurement, the 79-string detector recorded about 2,000 events per second. About 99.9999% of these were downward-going muons produced directly by cosmic-ray air showers above the horizon. The events were reconstructed using a series of algorithms of increasing accuracy and computational complexity^{[21,22](#)}. At each stage of

processing, a set of conditions was applied to eliminate background events. The final sample of 10,784 upward-going (zenith angle greater than 90°) events had an estimated background of less than 0.1%. Almost all of the background consisted of mis-reconstructed downward-going muons.

The neutrino zenith angles were determined from the reconstructed muon direction. The typical angular resolution was better than 0.6° , including the angular difference between the neutrino and muon directions. This small angular uncertainty does not affect the final result. The neutrino energies were much less well known than the zenith angles because we cannot determine how far from the detector the interaction occurred, so we do not know how much energy the muon lost before entering the detector. Therefore, this analysis used the muon energy as determined from the measured specific energy loss (dE/dx) of the muons. To improve the energy resolution, the muon tracks were divided into 120-m-long segments. The segments with the highest dE/dx values were excluded, and the truncated mean was determined from the remaining segments²³. The removal of large stochastic losses led to better resolution than that obtained with the untruncated mean. The muon energy values were determined to within roughly a factor of 2.

The cross-section was found by a maximum-likelihood fit, which compared the data, binned by zenith angle and muon energy, with a model that included contributions from atmospheric and astrophysical neutrinos. The cross-section entered the fit through the energy- and zenith-angle-dependent probability for the neutrinos to be absorbed as they pass through the Earth. This absorption probability depends on the nucleon density, integrated along the path of the neutrino through the Earth. We used the Preliminary Reference Earth Model to determine the density of the Earth¹². Thanks to seismic wave studies and tight constraints on the total mass of the Earth, the uncertainties in the integrated density were lower than a few per cent.

To account for neutral-current interactions, in which neutrinos lose a fraction of their energy, we modelled neutrino transmission through the Earth at each zenith angle in two dimensions: the incident neutrino energy and the neutrino energy near IceCube. The fit determined $R = \sigma_{\text{meas}}/\sigma_{\text{SM}}$, where σ_{meas} is the measured cross-section and σ_{SM} is the standard model cross-section from ref. 3. That calculation used quark and gluon densities derived from the Hadron-Electron Ring Accelerator (HERA) data to find the interaction cross-sections of neutrinos and antineutrinos with protons and neutrons, treating the Earth as an isoscalar target. The estimated uncertainty in the calculation was less than 5% for the energy range covered by this analysis. Because the calculation did not include nuclear shadowing, it might overestimate the cross-section for heavier elements, such as the iron in the core of the Earth. Experiments with 2–22-GeV neutrinos interacting with iron targets²⁴ and 20–300-GeV neutrinos interacting with neon²⁵ did not observe nuclear shadowing, but it may be present for higher-energy neutrinos²⁶.

The fitted charged-current and neutral-current cross-sections were assumed to be the same multiples of their standard model counterparts, and we ignored nuclear shadowing. The fitting procedure was repeated for different cross-section values (varying in steps of $\Delta R = 0.2$), leading to a parabolic curve of likelihood versus cross-section.

The flux model included conventional atmospheric neutrinos from π^\pm and K^\pm decay, prompt atmospheric neutrinos from the decay of charm/bottom hadrons and astrophysical neutrinos. Because the precise neutrino fluxes and spectra were imperfectly known, they were included as nuisance parameters in the fit, with the initial values and Gaussian uncertainties shown in [Table 1](#). Five parameters accounted for the atmospheric, prompt and astrophysical neutrino fluxes (Φ) and two spectral indices, for the

atmospheric and astrophysical fluxes (the prompt index is kept fixed). The other parameters were the kaon-to-pion (K/π) and muon neutrino-to-antineutrino ($\nu_\mu/\bar{\nu}_\mu$) ratios in cosmic-ray air showers, plus one parameter to account for the overall optical efficiency of the IceCube DOMs.

Table 1: Fitting parameters for the cross-section fit

Result	Baseline	Nuisance parameter input and uncertainty	Nuisance parameter fit result and uncertainty
$\Phi_{\text{conv}} \times \sigma$	Ref. 27 $\times R$	1.0 ± 0.25	0.92 ± 0.03
Φ_{conv} spectral index	Ref. 27 with knee	0.00 ± 0.05	0.007 ± 0.001
K/π ratio	Ref. 27 baseline	1.0 ± 0.1	1.05 ± 0.09
$\nu/\bar{\nu}$ ratio	Ref. 27 baseline	1.0 ± 0.1	1.01 ± 0.005
$\Phi_{\text{prompt}} \times \sigma$	Ref. 28 $\times R$	$0.0_{-0.0}^{+1.0}$	$0.5_{-0.34}^{+0.40}$
$\Phi_{\text{astro}} \times \sigma$	Ref. 8 $\times R$	2.23 ± 0.4	$2.62_{-0.07}^{+0.05}$
γ		-2.50 ± 0.09	-2.42 ± 0.02
DOM efficiency	IceCube baseline	1.0 ± 0.1	1.05 ± 0.01

The fitting parameters with their baseline are shown in the second column, along with the initial assumption and uncertainty input to the fit (third column) and the values returned by the fit (last column). The neutrino fluxes are for ν_μ and $\bar{\nu}_\mu$ only. For the astrophysical component, the baseline flux is $\Phi_{\text{astro}} \times (E_\nu/100 \text{ TeV})^\gamma 10^{-18} \text{ s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1}$. The three flux terms are multiplied by R ($R = \sigma_{\text{meas}}/\sigma_{\text{SM}}$) to remove the obvious linear correlation between the number of observed events and the cross-section, which exists even in the absence of absorption. γ is the astrophysical index, Φ_{conv} is the conventional atmospheric flux and Φ_{prompt} is the prompt atmospheric flux.

We used previous conventional and prompt atmospheric neutrino spectra from cosmic-ray air-shower simulations that were obtained from lower-energy neutrino data²⁷ and a colour dipole model calculation²⁸, respectively. We modified these spectra to account for the steepening of the cosmic-ray spectrum at the ‘knee’²⁹ (a steepening of the cosmic-ray spectrum at a cosmic-ray energy of around 3 PeV). Recent perturbative quantum chromodynamics calculations^{30,31,32} have found a lower prompt flux than in ref. 26. However, the prompt component is small and has little effect on this analysis, and the fitting results are compatible with both calculations and with existing upper limits²⁹ on the prompt flux. Finally, the astrophysical spectrum was obtained on the basis of a recent combined fit⁸. There is some disagreement between the spectral index derived from the combined fit and that obtained from a newer analysis²⁹, which was focused on through-going muon tracks from muon neutrinos (ν_μ); this discrepancy was treated as a systematic uncertainty that was due to the uncertain spectral index.

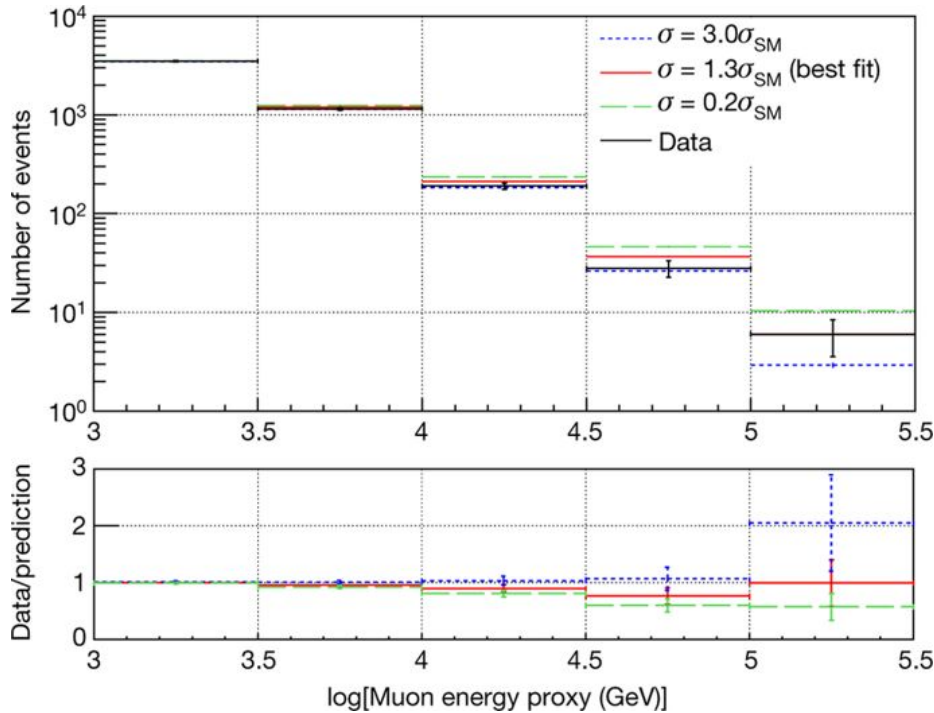
Because past measurements of the neutrino flux were based on the assumption that the standard model cross-section is correct, this fit uses the product of each flux with that cross-section to apply constraints directly from the previous data. As the cross-section rises, the fluxes must drop to preserve

the total number of events observed in previous experiments. The fit is thus sensitive to neutrino absorption in the Earth, and not to the total number of observed events.

The fit finds a cross-section $1.30^{+0.30}_{-0.26}$ times that of the standard model. The uncertainty is a mixture of the statistical uncertainty and the systematic errors from the uncertainties in the nuisance parameters. We isolate the statistical error by refitting with the nuisance parameters fixed to their preferred values, and find a statistical error of $+0.21$. The remainder of the fitting error, -0.18 after quadrature subtraction, is attributed to systematic uncertainty sources in the fit.

Figure 3 compares the measured muon energy proxy spectrum for zenith angles between 110° and 180° (where absorption is substantial) with three fits: the best-fit result (using the cross-section given above) and two comparison fits with cross-sections 0.2 and 3.0 times the standard model prediction. The spectrum steepens noticeably as the cross-section increases. We use the term ‘energy proxy’ because of the limited energy resolution.

Figure 3: Cross-section data compared with Monte Carlo model predictions.



Energy spectrum of the data (black points) and the best-fit results (red curve) with the cross-sections fixed to 0.2 (green) and 3.0 (blue) times that predicted by the standard model for events with zenith angles between 110° and 180° , where absorption is substantial, are shown in the top panel. The bottom panel shows the ratios of the data to the three Monte Carlo predictions. The error bars show the 1σ (statistical only) errors.

The other major detector-related uncertainty is due to the optical properties of ice. This was studied with separate dedicated simulations, in which the scattering and absorption lengths were varied by $\pm 10\%$. This led to a systematic uncertainty of -0.38 in the standard model cross-section. Four other systematic uncertainties were considered: uncertainty in the density distribution of the Earth $^{+0.30}$ [13,14,15](#)

(± 0.01), variations in atmospheric pressure at the neutrino production sites⁹ $\begin{pmatrix} +0.00 \\ -0.04 \end{pmatrix}$, uncertainties in the prompt and astrophysical neutrino spectral indices (± 0.10) and uncertainties in the angular acceptance of the IceCube DOMs $\begin{pmatrix} +0.04 \\ -0.00 \end{pmatrix}$. These systematic errors were then added in quadrature to the systematic uncertainties from the fit, giving a total systematic uncertainty of $\begin{matrix} +0.39 \\ -0.43 \end{matrix}$ times the prediction of the standard model.

The neutrino energy range in which this analysis is relevant was found by repeating the fit procedure with the absorption probability set to zero for neutrino energies below a certain threshold. As the threshold was gradually increased, the data and simulation diverged, and the quality of the fit was degraded. The threshold that corresponded to a likelihood increase of 1.0σ ($-2\Delta\text{LLH} = 1$, where ΔLLH is the change in the natural logarithm of the likelihood) was the minimum energy to which this analysis was sensitive. We repeated the process by turning off neutrino absorption above a gradually decreasing high-energy threshold to find the upper end of the energy range and obtained the energy range 6.3–980 TeV. This wide range reflects the combination of a neutrino flux that decreases rapidly with energy (partially compensated by an increasing cross-section and detection probability) with the relatively rapid increase in absorption with increasing energy.

[Figure 1](#) compares this measurement with previous measurements of neutrino cross-sections made at accelerator facilities. Ours is the first cross-section measurement at multi-teraelectronvolt energies, at which the effects of the finite W^\pm and Z^0 masses slow the increase of the cross-section with increasing energy. We measured the cross-section to be $1.30^{+0.21}_{-0.19}$ (statistical uncertainty) $\begin{matrix} +0.39 \\ -0.43 \end{matrix}$ (systematic uncertainty) times the prediction of the standard model for charged- and neutral-current interactions in the energy range from 6.3 TeV to 980 TeV ($\log[E_\nu \text{ (GeV)}] = 3.8\text{--}6.0$). We did not see a dramatic increase in cross-section, as predicted by models of beyond-standard-model physics, such as those involving extra dimensions⁴ or leptoquarks⁵.

Future optical Cherenkov experiments with IceCube or larger detectors, such as IceCube-Gen2³³ or Phase 2.0 of KM3NeT³⁴, should be able to extend this measurement to higher energies and study the energy dependence of the interaction cross-section of neutrinos. Future experiments that detect the radio emission from neutrino showers over volumes exceeding 100 km^3 using the ARA and ARIANNA technologies^{35,36} could observe the interactions of GZK neutrinos and extend the cross-section measurements up to energies of 10^{19} eV (ref. [37](#)). Experiments at these energies will have sensitivity to phenomena (very heavy leptoquarks, or additional dimensions with small spatial extent) beyond the standard model that occur at higher energies than those that can be probed at CERN's Large Hadron Collider.

Methods

The dataset used in this analysis was collected between 31 May 2010 and 13 May 2011, when the IceCube detector consisted of 79 strings. The data were processed with the standard IceCube calibration and reconstruction algorithms²², including energy determination using the truncated mean method⁹. A series of event selection criteria were applied to accept well-reconstructed upward-going track events with reconstructed muon-energy proxy²² above 1 TeV.

The events were then two-dimensionally binned in terms of zenith angle and muon-energy proxy and fitted by the combination of simulated events described in the main text. The simulated events were

generated with standard IceCube programs that simulated the flux of neutrinos propagated through the Earth and forced to interact in or near IceCube. The resulting particle showers were simulated and reconstructed using standard IceCube simulation programs. Simulations were run for several assumed neutrino cross-sections, as described in the main text, and the results were interpolated between these cross-sections. Uncertainties in the different neutrino flux parameters listed in [Table 1](#) were accounted for by using a weighting scheme for the simulated events. By adjusting the event weightings, different spectra could be simulated without rerunning the simulations.

Code availability

Proprietary codes used are embedded within the IceCube simulation framework and the IceTray framework. It is not practical to separate these and the codes are not therefore publicly available.

Data availability

The data used in this analysis are available online at http://icecube.wisc.edu/science/data/HE_NuMu_diffuse. The data were collected before 13 May 2011 (before run number 118175)²². That data release uses an energy proxy that is similar to, but not identical to, that used for the current analysis.

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