

April 2012

# Integrated Farming System

Alexander M. Becker  
*Worcester Polytechnic Institute*

Daniel Caddell  
*Worcester Polytechnic Institute*

Reuben Abner Gutierrez  
*Worcester Polytechnic Institute*

Follow this and additional works at: <https://digitalcommons.wpi.edu/mqp-all>

---

## Repository Citation

Becker, A. M., Caddell, D., & Gutierrez, R. A. (2012). *Integrated Farming System*. Retrieved from <https://digitalcommons.wpi.edu/mqp-all/1556>

This Unrestricted is brought to you for free and open access by the Major Qualifying Projects at Digital WPI. It has been accepted for inclusion in Major Qualifying Projects (All Years) by an authorized administrator of Digital WPI. For more information, please contact [digitalwpi@wpi.edu](mailto:digitalwpi@wpi.edu).



# Integrated Farming System

Major Qualifying Project  
Submitted to the faculty of  
**Worcester Polytechnic Institute**  
In partial fulfillment of the  
requirements for the Degree of Bachelor of Science

*Respectfully Submitted By:*  
Alex Becker – CS 2012  
Daniel Caddell – RBE 2012  
Reuben Gutierrez – RBE 2012

**Advisor:**

Prof. Michael Ciaraldi

---

April 26, 2012

---

## **Abstract**

People heavily rely on large-scale farms to grow and ship produce to their local markets. This has become an issue today due to the high transportation costs associated with bringing copious amounts of produce to crowded urban areas. The goal was to design an automated farming system that alleviates these issues. The constructed model focused on the caring aspects of the overall system. This prototype has proven the feasibility of the system's design to save space while reducing crop costs.

## Acknowledgements

We would like to acknowledge the people and following organization for their valuable input towards this project.

1. Prof. Michael Ciaraldi
2. Prof. Pam Weathers
3. Prof. Luis Vidali
4. Worm's Way

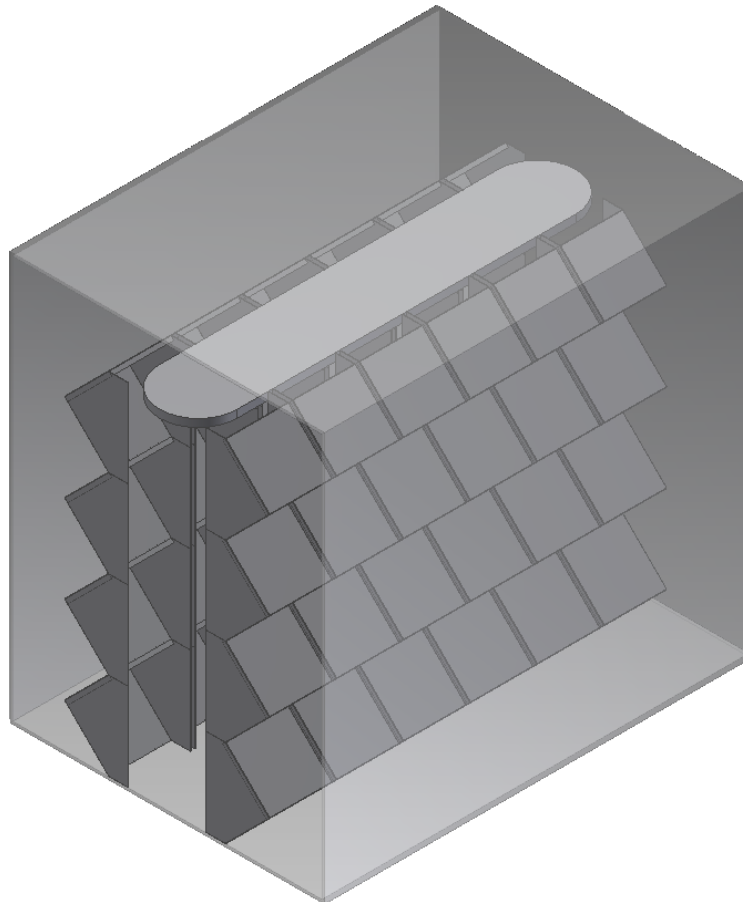
## Executive Summary

People heavily rely on large-scale farms to grow and ship produce to their local grocery stores. These farms are not always domestic and can be located on the other side of the world. This has become an issue today due to the high transportation costs associated with bringing copious amounts of produce to crowded urban areas as well as to areas that cannot sustain themselves with the local land. Furthermore, the preservatives that are required to allow produce to make it to market inflate this cost. In addition to these economic costs, there are the environmental impacts associated with transporting across these distances. Our goal is to solve these issues by bringing the farms to these areas through an automated farming system. This system will be enclosed either in its own facility or housed within another existing building. The key is to minimize the amount of real estate required for these systems relative to how much land would be needed by a comparable farm.

During the project, we identified and researched key areas of development that would help us achieve our goal. During the research process we determined the project was too broad for our group to accomplish within our time constraints, so we decided to focus on the caring aspect of the design because it is the root of the system.

With a much more focused idea in mind we went through several conceptual designs. The final design was a horizontal carousel system as shown in Figure 1. The key advantages of this design are the all-in-one panels, the dedicated areas for peripherals, and the single reservoir. The all-in-one panels allow for plants to be seeded, cared for, and harvested without the need of transplanting. With the dedicated areas on the ends, the peripherals such as the seeder and

harvester are allowed to work without affecting the other plants. The single reservoir removes the need to have every nutrient solution available for every type of plant that exist.



**Figure 1: Horizontal Carousel System**

To test this design, we constructed a prototype that simulates two panels as well as an application that can manage multiple systems. Once assembled, we experimented with this setup, which ultimately demonstrated the ability to care for plants by successfully cultivating healthy looking plants as shown in Figure 2. It also brought to light aspects of the design that required more thought than anticipated. After analyzing our results we compiled a list of issues to fix and work that can be done.



**Figure 2: Bean Plants After 5 Weeks**

In the end, our project supports the plausibility of an all-in-one, fully automated farming system. The key to this automation process lies in the panel design, which removes the need to transplant. That being said there is much work to be done to refine this system. On top of that, the additional peripherals must be designed and integrated with this existing caring module. These tasks leave room for future teams to come in and add in their own ideas to this system. During the course of this project, our team has learned the delicacies in maintaining a plant's health. The hope is these lessons will be properly passed along so the future researchers will not be caught up in plant testing and focus on the system design. As a last note, the importance of this project is not the feasibility in building one today, but the plausibility of having one at all. There will come a time where we as a civilization will have to grow our food within our cities. It is important that when that day arrives, we have an infrastructure set up, ready to fill our food needs.

# Table Of Contents

- Abstract .....i**
- Acknowledgements ..... ii**
- Executive Summary ..... iii**
- Table Of Contents ..... vi**
- Table Of Figures ..... vii**
- Table of Tables ..... vii**
- Chapter 1 - Introduction ..... 1**
- Chapter 2 - Background..... 4**
  - 2.1 - Transportation..... 4
  - 2.2 - Plant Anatomy ..... 5
  - 2.3 - Lighting ..... 7
  - 2.4 - Crop Systems ..... 9
  - 2.5 - Harvesting Technologies..... 12
  - 2.6 - Microcontrollers ..... 13
  - 2.7 - Sensors ..... 14
- Chapter 3 – Methodology ..... 17**
  - 3.1 - Project Goal ..... 17
  - 3.2 - System Design..... 18
  - 3.3 - Prototype Design ..... 25
  - 3.4 – Software Design ..... 40
  - 3.5 – Tasks ..... 46
- Chapter 4 - Results ..... 48**
  - 4.1 - Prototype Results ..... 48
- Chapter 5 – Recommendations ..... 52**
- Chapter 6 – Conclusion ..... 55**
- References ..... 56**
- Appendix A: UML Diagram ..... 59**
- Appendix B: Additional Conceptual Sketches ..... 60**
- Appendix D: Electrical Box ..... 62**
- Appendix E: Prototype Budget..... 63**
- Appendix F: Prototype Images..... 64**



## Table Of Figures

Figure 1: Horizontal Carousel System	iv
Figure 2: Bean Plants After 5 Weeks	v
Figure 3: Box Concept Sketch	20
Figure 4: Pivoting Panel Sketch	21
Figure 5: Continuous Conveyor Sketch	22
Figure 6: Horizontal Carousel Sketch	23
Figure 7: Side Frame	27
Figure 8: Wooden Frame	27
Figure 9: Wooden Frame Hole Spacing	28
Figure 10: Completed Wooden Frame	29
Figure 11: 4' x 6' Acrylic Sheet Cut Specifications	30
Figure 12: Enclosure in Construction	31
Figure 13: Enclosure Back Door and Sealant Placement	32
Figure 14: Piping System Block Diagram	33
Figure 15: Sprayers Attached to Back Door	34
Figure 16: Electrical Box Circuits	37
Figure 17: Electrical Box	38
Figure 18: Sensor waterproofing, from left to right, pH and E.C. sensors	40
Figure 19: Plant Manager Window	44
Figure 20: System Controller Interface	45
Figure 21: 2nd Week Mark: Green Bean Seedling	50
Figure 22: 5th Week Mark: Green Bean and Basil Plants	50
Figure 23: 2nd Week Mark: Carrots	51

## Table of Tables

Table 1: Wooden Frame Materials	26
Table 2: Wooden Frame Cuts	26
Table 3: Enclosure Materials	30
Table 4: Piping Materials	33
Table 5: Electrical Box Materials	36
Table 6: Sensors Information	39
Table 7: Waterproofing Sensor Materials	39

## Chapter 1 - Introduction

People heavily rely on large-scale farms to grow and ship produce to their local grocery stores. These farms are not always domestic and can be located on the other side of the world. This has become an issue today due to the high transportation costs associated with bringing copious amounts of produce to crowded urban areas as well as to areas that cannot sustain themselves with the local land. Furthermore, the preservatives that are required to allow produce to make it to market inflate this cost. In addition to these economic costs, there are the environmental impacts associated with transporting across these distances. Our goal is to solve these issues by bringing the farms to these areas through an automated farming system. This system will be enclosed either in its own facility or housed within another existing building. The key is to minimize the amount of real estate required for these systems relative to how much land would be needed by a comparable farm.

Within the United States, the two main providers of produce are farms that are located relatively distant from urban areas, and imports from other countries. In both cases transportation costs are added onto the value of the crop being delivered. In recent years the number of farms across the nation has decreased which can contribute to some of the increasing costs of domestic produce. This can also be the cause of it being cheaper to import produce; however, the cost that is paid is on the environment. By bringing the farms into urban areas, the transportation costs are effectively rendered negligible. This allows for more affordable produce as well as less impact on the environment.

Today there are multiple groups working to solve the issues involved with today's farming situation. Two key figures are Dickson Despommier and Richard Stoner. Both of these

men advocate the idea that the cities should house the farms that provide for them. The difference is the techniques that they utilize to achieve this goal. Despommier proposes to use a hydroponics system to feed and care for crops within large vertical farms. Hydroponics is a soilless growing method that has the plants' roots sitting in a running stream of nutrient solution. These vertical farms call for whole buildings to be used to grow enough produce to support the entire city. Stoner decided to utilize an aeroponics system to feed his crops that sit on top of growing panels. Aeroponics is similar to hydroponics; however, instead of the roots sitting in the solution, the nutrients are delivered through a fine mist that is sprayed directly onto the roots. Overall, both of these ideas are examples of high density farming, which is ideal for growing crops in urban areas.

Given that alternative farming methods are already available and methods have been formulated to implement them, what further research is necessary? The techniques that are available solve the issue that conventional farming poses. However, they require the users to understand the intricacies of plant care. Our solution eliminates that need by automating the whole process. By turning to automation, the amount of human labor required to tend to this system is greatly reduced. This is crucial for urban areas since city-dwellers do not want to become farmers. Automating alternative farming methods is equivalent to bringing tractors and harvesters to traditional farms. This is a delicate area considering previous attempts to automate the farming process have not produced promising results. In contrast to the work done towards moving farms into cities that center on hydroponics, our project attempts to utilize the benefits of aeroponics. Aeroponics is a fairly new field compared to the alternatives, which made this project risky; however, the benefits of being easier to automate certainly justify that decision.

The aim of this project was to design an Integrated Farming System (IFS) that would be able to automatically seed, care for and harvest crops without any user assistance. The idea is the user inputs what type of crop they desire, how much of that crop they want and by what date they wish the produce to be ready. Our team designed the full system, but focused primarily on the caring aspects of the design. We felt the rest of the design decisions would be dependent upon the caring module and indeed this was the case. The design was made so that later groups that work on this project will be able to implement their own ideas when it comes to the harvesting and seeding operations. We constructed a prototype with most of the environmental controls, as well as all of the core nutrient controls implemented for the purposes of proof of concept, as well as a template for future teams. Although not large, the prototype shows that this overall system is plausible as well as expandable due to its modular design.

## Chapter 2 - Background

Background research was conducted in order to identify key areas of development that would help us achieve our goal. This chapter will discuss the two main problems motivating this project. Furthermore, we discuss basic plant information, primary farming methods, and technologies needed to implement our solution.

### 2.1 - Transportation

It can be seen that the market values of crops have been steadily increasing in recent years (USDA). Although there are many factors that contribute to this rising cost, one of the most influential is the transportation costs involved in bringing the crops to market. Transportation costs can be broken down into two categories: economic costs and environmental costs. The economic costs are highly influenced by the mode of transportation chosen. On top of that, if these crops are from foreign countries there are tariffs, which further inflate the cost. Finally the distance these crops must travel adds specifically to the fuel costs associated with the corresponding transportation method. The environmental costs are constantly increasing the more we must ship our food. This not only comes from the cost of transportation itself, but also the use of pesticides and fertilizers polluting the land for years.

The transportation method used to move the crop has the most impact on the crop's overall market value. Domestic goods are dominated by land travel and increase in price the further the market is from the farm that grew the plant. Occasionally these goods are flown in by air, which is one of the most expensive methods of transportation. Not only is this method expensive, but according to the Food Miles report (2007), the imports by airplanes have a

substantial impact on global warming pollution. Finally transporting goods by sea, although cheaper due to the bulk of products on board, is actually much more “expensive” when it comes to our environment. It is estimated that fifteen of the world’s largest container ships emit as much pollution as all of the world’s 760 million cars (Evans, 2009).

## **2.2 - Plant Anatomy**

Plants have complex systems that help them grow. It is important to know what these systems are, how they work, and how they react to their environment. This information is especially important when the goal is to automate the growing process.

### **Plant growth**

Plants have several requirements to achieve growth (Schmidt, n.d.). These requirements are: physical support, adequate temperature, oxygen, water, mineral nutrients and lighting. Plants need a good physical support system to help them grow vertically and get their leaves in position to obtain sunlight. Plants usually use their roots to do this. The system works well in soil but causes problems in soilless growing methods, which will be discussed later. Adequate temperature is a big requirement, because plants only grow well within a restricted range and this range varies depending on the season. When temperatures are too high or too low it can cause abnormalities and reduce crop yields. Plants acquire the oxygen they need for transpiration through their roots. The transpiration process allows the plants to uptake the water and nutrients that they need.

Water is required by many of the systems within a plant (Schmidt, n.d.). For this reason plants consume significant amounts of water. However the quality of the water also affects the process, for example, water with salt concentrations greater than 320 parts per million will cause

an imbalance of nutrients, which leads to poor plant growth. The essential elements that the plants absorb through the roots are: nitrogen, phosphorus, potassium, calcium, magnesium and sulfur. Depending on the type, the plant will require a balanced amount of these nutrients to grow properly. They also need light to hit their leaves in order to achieve photosynthesis.

## Photosynthesis

Photosynthesis is the process in which the plant converts the energy in the sunlight into chemical energy that the plant can use (Vermaas, 1998). In this process pigments called chlorophylls absorb the blue and red spectrum of the light and carotenoids absorb the blue-green spectrum. The light energy captured by these pigments is used to break down water ( $H_2O$ ) and other elements obtained from the roots, returning oxygen ( $O_2$ ), Adenosine Triphosphate (ATP) and Nicotinamide Adenine Dinucleotide Phosphate (NADPH). Then the plant, through a light independent reaction, uses ATP and NADPH to reduce carbon dioxide ( $CO_2$ ) to glucose, which the plant can use and store.

## Plant Cycles

Plant cycles can be simplified to the following seven stages: Pollination, Fertilization, Seed Formation, Seed dispersal, Germination, Continued growth and back to Pollination (“7-Stage Explanation”, 2010). Pollination starts with an insect, animal, the wind or the plant itself by carrying the pollen grains to the female’s plant ovule. Once the pollen grain reaches the ovule fertilization begins. The seed starts forming inside the mother plant and it then continues to grow either inside a fruit if the plant type is angiosperm or it can grow outside in the bracts of cones if it is gymnosperm. Seed dispersal starts when the fruit is ripened or the cone had dropped. Wind, animal or insects spread the seeds. When the conditions are right, the germination process begins. During germination the seed produces parts such as the roots, stem and leaves, which are

required for it to grow. The plant will continue to gather nutrients and create new cells and parts until it reaches maturity. After it reaches maturity the plant will produce its own flowers to begin pollination and the cycle starts over.

## **2.3 - Lighting**

Light is an important aspect of the plant's life cycle. Plants use light to break down water and nutrients to form energy that they have use and store. The sun usually provides the necessary light that the plants need. When the goal is to have a controlled environment, this does not work to well since the sun is hard to control. For that reason it is important to know how to artificially create light and how this light affects the plants.

### **Incandescent and Fluorescent**

For plants to grow properly, the light received must mimic natural sunlight (“Artificial Lights”, 2012). Sunlight contains the entire spectrum of light that the plants need for photosynthesis. The phosphor coating inside the bulb of incandescent and fluorescent lights determine the spectrum. This layer generates a focused set of colors, which is why a two-bulb fixture is recommended to ensure that the plants receive the required spectrum. With artificial lighting, it is important to know the correct dosage of light per day. The amount varies per plant, but if they don't receive enough light they will not grow quickly enough. Conversely, if they receive too much light they will wilt.

Two of the main differences between incandescent and fluorescent lights are their efficiency and cost (“Artificial Lights”, 2012). Incandescents are inexpensive in comparison to fluorescents but they are also highly inefficient since they need more energy to produce the same amount of light. Incandescent lights also tend to produce significant amounts of heat, which can



endanger the plant, while fluorescent lights hardly emit any heat. Furthermore, the color ranges produced by these two types of lights vary greatly. Incandescents produce mostly red light whereas fluorescents produce mostly blue light. However, there are many different variants of fluorescent lights, some of which are able to produce near full spectrum.

### **High Pressure Sodium**

High Pressure Sodium (HPS) lights produce light mostly on the orange color spectrum (The Gain, 2011). This type of lighting is mostly used for flowering plants, but it lacks blue hues, which plants require for vegetation. HPS lights are usually used in tandem with metal halide lamps. Metal Halide lamps focus more on the blue spectrum. This combination accounts for both of the necessary spectrums to stimulate plant growth. HPS lights are durable, last as long as eighteen months, and produce six times as much light as incandescents. However, they also run at higher temperatures requiring cooling systems.

### **Light-Emitting Diode for growing**

Using Light-Emitting Diodes (LED) for growing is one of the newest ideas in this field (Nate, 2012). The concept behind using LEDs for growing is that they only focus on the color spectrums required to stimulate plant growth. The key is that LEDs emit extremely focused colors, enabling higher customizability when combined with other colored LEDs.

Currently there are many disadvantages for using this technology (Nate, 2012). One of the most important is the quality of the crops. The crops grown with LEDs tend to be lower quality than the crops grown using other lighting technologies mainly because most LEDs aren't powerful enough to produce the lumens necessary for plant growth. Another great disadvantage of LEDs is the initial cost, which compared to other technologies is simply too high.

The main advantage of LEDs is that the technology is improving rapidly (Nate, 2012). Historically speaking, if we compare the timelines of LEDs to any of the other lighting technologies, we can see that LEDs show great promise. Currently LED prices are high but they are rapidly dropping and soon will be within reasonable levels. Additional advantages of LEDs are the reduction in power consumption, lack of heat generation, and significantly longer lifespans.

## 2.4 - Crop Systems

In order to improve plant growth and reliability, research needs to be done in what environments plants can be grown in. This section delves into the details of soil-based and hydroponic systems.

### Soil

Soil is a naturally occurring medium that normally contains nutrients needed to grow plants (“Agricultural Technology”, 2012). It is also the most commonly used medium. Also, this is the most natural method to grow crops. However, current farming techniques abuse this medium. One downfall is that soil is slow to replenish its nutrients because it relies on biological decay and rocks. So to fix this, fertilizers are commonly used. Furthermore, since crops grown in soil tend to be exposed to the surrounding elements, pests have the potential to run rampant in not only the air but the soil as well. Farmers also use pesticides to counter this issue. These pesticides contain various substances, the most disturbing being toxic elements such as lead, mercury, and arsenic.

To make matters worse, there tends to be a lot of water runoff since soil only retains so much water. Although containment basins solve the water shortage problem (Hong, 2009), they

create many new ones. The most notable issues are that over time the acidity, temperature, and concentration of the water can vary greatly. To top that off, these areas can be great breeding grounds for plant pathogens.

To make matters even worse, the water that runs off tends to contain leftover fertilizer and pesticide, which can pollute other ecosystems. Runoff fertilizer can promote the growth of unwanted organisms, which have the potential to clog irrigation plumbing and invade other ecosystems. Furthermore, the excess pesticides also pollute the surrounding land with toxic inorganic substances. However, not all hope is lost because green houses can help alleviate many of these issues by creating a more enclosed system that regulates the temperature, humidity, and water. Regardless, soil is still very messy to work with.

## Hydroponics

Hydroponics not only solves the messiness of soil but also increases yields by adding more control of the environment. This method uses just a nutrient solution to feed the plants. To suspend the plants and create a water buffer, processed mediums tend to be used. The primary types are: clay pebbles (commonly known as hydroton), rock wool, mined minerals (perlite and vermiculite), coconut fiber, and even more organic mixes that look like but are not considered soil (“Growing Medium”, 2008). Each of these mediums have their own advantages and disadvantages, but the most notable medium as of today is coconut fiber. This byproduct of the manufacturing processes of coconuts has great water retention while also maintaining a larger oxygen capacity.

The key to hydroponics is the method of surrounding the roots with this nutrient solution (“Basic Hydroponic Systems”, 2008). The most notable techniques are: water culture, ebb and

flow, drip, and nutrient film technique (N.F.T). The simplest form of hydroponics is a water culture system. This technique floods the roots all the time with oxygen enriched nutrient solution. Although some plants thrive in the system such as lettuce, most other plants don't grow well. Ebb and flow operates on a cycle where the roots are flooded with nutrient solution in a basin for a period of time and then left suspended in the air by draining the basin for another period of time. The drip system uses lines from a pump that travels to every plant on a tray or basin. Lastly, the nutrient film technique pumps nutrient solution into a declining rail of plants, which uses gravity to direct a thin film of nutrient solution over suspended roots. Although drip systems are the most common today, there is no winning technique.

## **Aeroponics**

A more recent variant of hydroponics is Aeroponics, which was developed by Dr. Hillel Soffer back in the early 1980s (“Aero Hydroponics The Way of The Future”, 2008). This technique offers even more control over plant growth. Instead of constantly flooding the roots of the crops, Aeroponics uses a fine mist of nutrient solution with an approximate diameter of around 10 microns per droplet (Weathers; Zobel 1992). The key to the success of this technique revolves around increasing the level of dissolved oxygen around the roots.

Unfortunately, it is not that simple. Since the mist is thinly coating the roots, the Aeroponic system now relies on how fast the plants can absorb the nutrients. If too much nutrient solution coats the roots, the plant absorbs the nutrients in the coating, but it leaves a shield of nutrient-depleted water, which inhibits the ability to provide more nutrients to the roots. Essentially, it boils down to determining how long the on/off spray cycle lasts for every plant in the system, which can be very tedious and error-prone. Regardless of the sensitivity, this technique is still used today because of its increased product yields when done correctly.

There are three types of systems used: low-pressure, high-pressure, and Fogponics. Low-pressure systems are not true Aeroponics solutions since the droplet size is too large and can run into issues like the water shield mentioned above. High-pressure Aeroponics uses water systems that operate at around 40 to 100 psi. These commercially available systems, such as AgriHouse's Genesis series, generate droplets with diameters of around 10 to 50 microns ("Hydro-Atomizing Spray Jets & Fittings", 2011). Lastly, the most effective Aeroponics system, known as Fogponics, uses ultrasonic vibrations of piezoelectric crystals to generate a nutrient enriched fog (Weathers; Zobel, 1992). This technique has the potential to solve the issue of water coating the roots. Since the droplet size is so tiny, the boundary effect is reduced significantly. Unfortunately, the system not only has higher initial costs due to how expensive fog emitters are, but also requires extra energy to vibrate the crystals. Overall Aeroponics is a fairly new technique and still has a ways to go, but the results seem very promising.

## **2.5 - Harvesting Technologies**

In order for any farming system to be useful there must be a way to harvest the crops that it produces. At first, harvesting crops was all done by hand. When the world became more industrialized and the need for manpower in other sectors was prevalent, more mechanized harvesters were invented. For example, during the American Civil War, it was estimated that each of Cyrus McCormick's horse-pulled reapers, which freed up five men for military service (Hounshell, 1984). As time progressed more complex machines, such as combine harvesters were created. They began to run off of other power sources besides mules and horses. The introduction of steam engines and later the internal combustion engine, paved the way for the first modern tractors. From here self-propelled combines made their debut in the 1930s (Constable, Somerville, 2003). These advances not only made the harvesting process more

efficient, they freed up land otherwise used to support the animals previously needed to move these machines.

Today, crops are mainly harvested using the brute force approach that mechanization brought to agriculture. A somewhat recent development is the John Deere Power Source Tomato Harvester (High-Tech Tomato Harvesting). This unit is specialized for tomatoes similar to how combines of the past were designed for wheat crop. These brute force methods are not restricted to their original application of harvesting from giant fields. The same design can be brought to a more precise level to accommodate much smaller plots. An overlooked example is the cylinder (reel) lawn mower. This device utilizes the same principle combine heads use to keep cut material within the desired area. In both cases a fixed cutting blade cuts the plant and the rotating reel keeps the product from slipping back onto the ground.

Beyond the brute force methods employed today is a more surgical route that could precisely pick each crop individually. Although time consuming, this would allow for a much more delicate process as well as ensure each crop was properly harvested. There is only a handful of precision harvesting units such as the unit developed by Robotic Harvesting (Robotic Harvesting, 2011). Beyond these current designs there are technologies that can be applied to harvesting. These include electrostatic adhesion and a beanbag grabber.

## **2.6 - Microcontrollers**

To control these apparatuses, some form of a processing unit is necessary. Microcontrollers are an important aspect of robotics applications. They are the brains of the operation that listen to the sensors and activate the actuators. It is important to know what types of microcontroller there exist and what their functionalities are.

## Arduino

Arduino is an open-source prototyping platform that geared towards anyone interested in creating an interactive environment (Arduino, 2012). Arduino has its own programming language and its own development environment. This microcontroller has the capability to be stand-alone but it can also communicate with a computer through software. This microcontroller architecture has many different boards that are readily available. Some of the well-known models are the Arduino Uno, the Mega2560 and the Nano. The boards can also be expanded with a vast assortment of shields that serve other functions such as an Ethernet shield and even a wireless shield.

## DyIO

The Dynamic Input / Output (DyIO) from Neuron Robotics is a piece of hardware that allows users to quickly connect peripherals to a computer (Neuron Robotics, 2012). These peripherals can be sensors, LEDs and servos. Essentially, the DyIO acts as an IO relay. It also uses Java as its programming language and has been tested to work on all major operating systems.

## 2.7 - Sensors

Sensors are also an important aspect of any robotic system. They are the feedback mechanisms that allow the system to properly interact with its environment. It is important to have a good understanding of what these sensors are and how they work.

### Temperature Sensors

There are two main types of temperature sensors - contact and non-contact sensors (Smith, 2008). Furthermore, contact temperature sensors can be divided into thermocouples and thermistors. Thermocouples sensors use the Seebeck effect, which has to do with temperature

changes affecting the output voltage of electrical circuits to determine the temperature.

Thermistor sensors are the most commonly used. They have a predictable resistance that is affected by temperature. Resistance changes can easily be determined using electrical laws. On the other hand, non-contact sensors can sense the temperature of an object at a distance. These sensors use Planck's Law, which has to do with the thermal radiation released by an object. By knowing the thermal radiation of the object the temperature can be determined.

## Humidity Sensors

The main principle that most humidity sensors use to detect humidity is to track the changes of electrical properties of semiconductor materials (Adrian, 2007). In a capacitor-based system the semiconductor material will absorb or release water depending on the relative humidity and will affect the charge in the capacitor. Similarly, in resistive-base systems the change in the material will affect the resistances of the system. Based on this change the relative humidity can be calculated.

## pH Sensors

A pH sensor indicates how acidic or basic a solution is (Anthoni, 2005). There is a scale that ranges from 0 to 14, where lower values indicate acidity whereas high values indicate how basic a solution is. Seven is considered neutral. Water tends to fluxuate around this number.

The underlying value behind this scale is the hydrogen ion concentration. This is measured using a measuring and a reference electrode. The measuring electrode is sensitive to the hydrogen ions, which develops a voltage that is directly related to the hydrogen ion concentration of the solution. The reference electrode provides a stable differential and is used to compare against the measuring electrode.



## Electrical Conductivity Sensor

Electrical Conductivity (E.C.) is defined as the ability of a substance to conduct electricity. This reading is measured in Siemens per meter (“Water Conductivity”, 2011). The more conductive the solution is, the higher the ion concentration. The concept of testing conductivity is similar to the pH measurement. There is the anode (positive terminal), and a cathode (a negative terminal). When both of these are placed into the solution and a voltage is applied on the anode, a current would establish between the nodes. The current will vary depending on the concentration. Higher current values indicate higher conductivity and vice-versa. This value also is used to approximate parts per million within a solution. This value is crucial to know when caring for plants since drastic levels of concentration can kill the plant.

## Chapter 3 – Methodology

Now that we understand plant needs, and know about necessary hardware to implement a solution, we can now compile a design that solves our initial problem. This chapter describes the steps involved in creating our design and implementing a prototype of that design.

### 3.1 - Project Goal

This project started with the grand ambition of having a fully realized system that would be able to accomplish the three aspects of farming: seeding, caring and harvesting. The designs revolved primarily around having an all-in-one caring module that would be visited by seeding and harvesting units. However, as we delved further into the caring conditions for this design we realized that having a fully operational unit was overzealous. Considering the seeding and harvesting units were dependent on the caring module we focused our efforts on designing the module and ensuring the plants would be able to survive within its closed environment.

The caring system that the team envisioned would be able to provide various degrees of nutrition as well as environmental conditions for any type of crop the user requested. In order to achieve this level of variability and accuracy, it was necessary to understand all of the elements involved with a plant's nutrition as well as environment. The team discovered that nutrient solutions already existed for various categories of plants. This made it simple to design a system that would maintain a solution concentration for the type of plant it was growing. Similarly, ideal environmental conditions were already known for various crops with elements such as day and night cycles, temperature and humidity. For these variables the team needed to design environmental controls such that the ideal levels were maintained within certain thresholds.

Once the team understood the constraints that the crops put on the system we needed to narrow down the variety of crops that the caring module could sustain. The reasoning behind this decision was that although it was possible to design a system capable of growing any type of crop, our time constraints did not permit such a design. It was decided that the caring module would be able to house small to medium size plants no larger than two feet in height. Now that the physical size of plants to be grown on the modules was decided the next step involved which types of plants. There were many to consider such as bush plants as well as those with stalks and even root plants. The goal was to conceive a design which would encompass the widest variety of crops. Although this limits the system's overall goal, it allowed the team to focus on the actual problem of designing the control systems rather than be worried about the physical intricacies of the design.

As this project progressed the overall goal shifted from that of showing the economic feasibility of automating the farming process to that of showing the fact that it is possible. During several interviews with plant biologists, we discovered that the automation of farming had been tried in the past and had not produced positive results. This could have happened for several reasons, in particular the costs necessary to sustain such a facility might have been too great at the time. Whatever the reasons, the team felt this type of research must be done in order to ensure the continued sustainability of our species. The point of this project was to provide proof that automated farming is possible and that within a few years the technology will be affordable such that these systems would become feasible.

### **3.2 - System Design**

The biggest objective of this project is to show that the automation of farming is possible. In order to prove that idea the team had to design the system so that it could be easily automated.

This required the engineering thought of how to accomplish different tasks such as seeding and harvesting from the same module without disturbing the other crops. It also became important for the caring module to be an all-in-one system, which is without the need to transplant seedlings to a maturing area. After the physical system is decided upon it is just as important to generate a comparable software application. Considering the outlook that the users do not need to become farmers, the application was designed in a way that would be quick to learn as well as have little room for human error. Finally considering the team was focused on the caring aspect, it was essential to leave room for additional peripherals to interact with the module.

### **Nutrition Method**

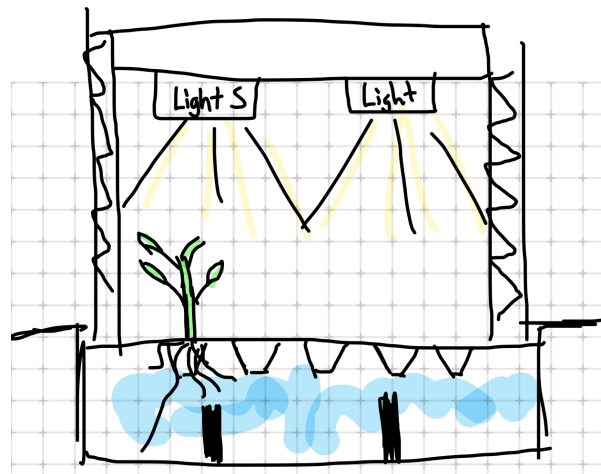
Figuring out which growing method would be used was the first decision made by the team. This set the stage for the rest of the design and would influence our further decisions. The three methods to choose from were traditional farming, hydroponics and aeroponics. Traditional farming, although known to work, would be too messy and cumbersome for an automated system to be effective. The decision quickly fell between hydroponics and aeroponics. These two methods are fairly similar; they do not require soil and they utilize nutrient solutions to feed their plants. The key difference was the ease in which aeroponics could be automated. Despite both methods being automatable, currently hydroponics requires the transplanting of seedlings. Although transplanting is recommended for aeroponics as well, the team decided to use aeroponics as an all-in-one solution.

### **Design Concepts**

There were several conceptual designs the team went through before the final design was decided upon. The first was a box design similar to small plant enclosures that can be bought today. In order to be more space and energy efficient the team came up with a pivoting panels

design. This system would have panels like those used by Richard Stoner. The design that would lead up to the actual system would be the continuous conveyor. This idea scrapped the panels approach but kept with the Ferris wheel idea, depicted in Figure 4, so that crops could still be seeded in one area and harvested in a separate one.

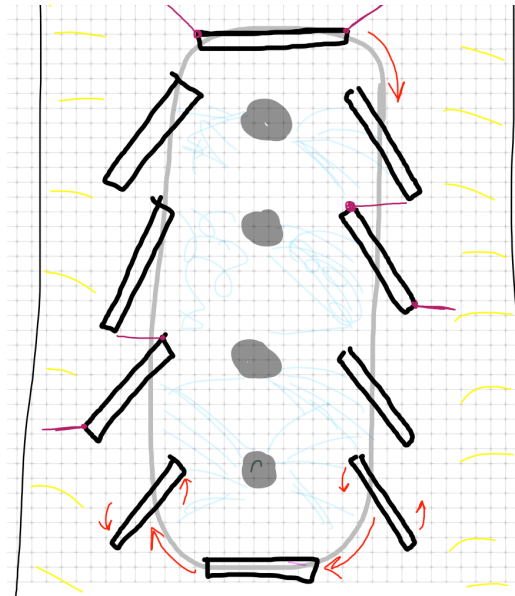
### *Box Concept*



**Figure 3: Box Concept Sketch**

The team's original idea stemmed from growing boxes that can be bought in plant stores. These boxes would originally have been utilizing a hydroponics approach. Later on it was discovered that Aeroponics best fit the automation requirements. Once aeroponics was chosen the decision was between ordinary mist heads and an ultrasonic method. We had found a lot of research concerning ultrasonic misting methods and decided they would be the best fit. Despite the change the box still remained a plausible idea. These containers would be fitted to rails that could slide out so the unit was movable throughout the entire system through a series of rail lines. The disadvantage came from having to disengage the electrical and plumbing lines every time the unit needed to be moved. The lighting scheme was also rather expensive considering every unit needed its own light source.

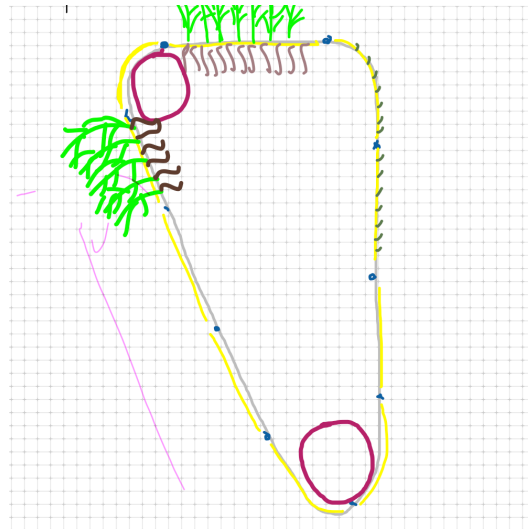
## Pivoting Panels



**Figure 4: Pivoting Panel Sketch**

The next design incorporates a radical change with how the plants are stored. As seen in Figure 4, the plants sit atop panels that are attached to a Ferris wheel like module. Also the lighting is now positioned alongside the module rather than individually to each panel. The most important change is the shared misting system in the middle of the module. This dramatically altered the design of the overall system from this point onward. This forced the development of thresholds for the nutrient solution but also eased the automation so that it need not be as precise as originally intended. Lastly, the module would rotate the panels at given intervals according to the life cycle of the plant type currently occupying the unit. As Figure 4 shows, the seeds would be inserted at the top and the crops would be harvested just before the seeding panel. This allows a constant flow of crops rather than larger harvests less frequently. The main downside to this design was the pivoting motion itself. Although it helped shield the plant's roots from the light sources, if the plants hung over the edge before the module pivoted the panel the other direction, the plant would then be hanging over into the root section and not receive light or be able to be harvested properly.

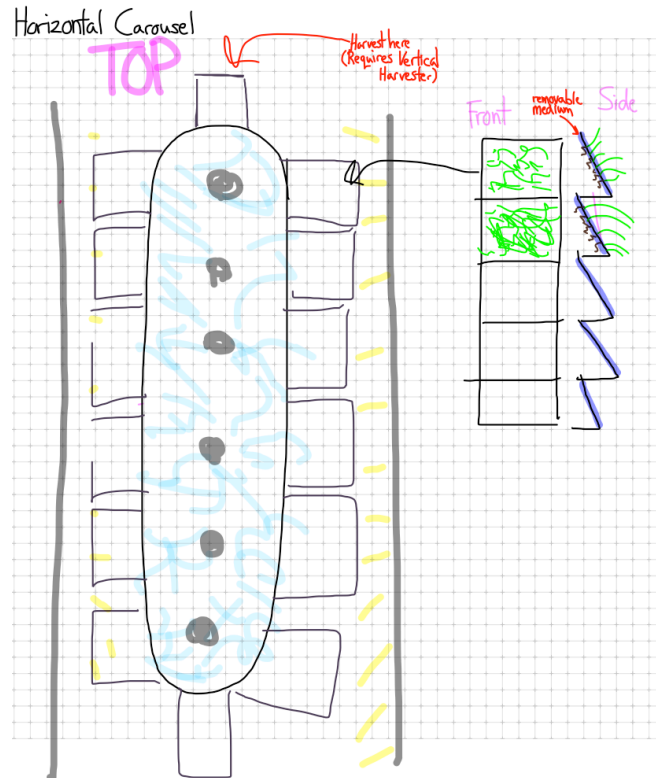
## *Continuous conveyor*



**Figure 5: Continuous Conveyor Sketch**

The continuous conveyor was the group's last design before the actual one used for the project. This system utilized many of the attributes set forth by the pivoting panels such as the ultrasonic misting as well as the continuous production model. The main difference is the fact that no panels are used at all, but rather a continuous sheet of material that the plants can grow on. This way the whole module can be cleaned rather quickly since there is only one removable piece. Also, there is no worry about the plants being exposed to the mist since the material separates the two zones. Although this idea seemed great conceptually, the idea of the plants changing their orientation as drastically as the Figure 5 shows was worrying and delved into an area where there is no real research. The team decided to move on from that idea based on the fact that there would be too many variables that we would be unable to account for given the lack of available information.

## Carousel System Design



**Figure 6: Horizontal Carousel Sketch**

The final concept for the caring system was an elongated horizontal carousel design. This unit would have multiple modules going around its perimeter as shown in Figure 6. Each of these modules would contain four panels stacked vertically in a column where crops would be grown. On each stretched side of the carousel there would be lighting fixtures. Within the center of the carousel would be the misters that provide the nutrients to the plants roots, similar to the continuous conveyor design. The ends of the carousel are designated for peripherals that the team did not design. For example, when the system is further developed and a harvester and seeder are added they will need easy access to the modules in a space efficient manner. In order to keep the footprint for each carousel to a minimum the columns will be able to be rotated around the carousel along a rail. Once the requested column is at one of the two end areas the carousel stops and the peripherals perform their tasks. After they are finished the columns return



to their original orientation so that each panel is receiving the proper nutrients. While the carousel is shifting the modules, the misters are inactive. The key to this design is the reservoir system implemented into each carousel.

Each carousel will have a single reservoir that will contain the nutrient solution used by every panel. In order for this to be a possibility, plants with similar nutrient needs will be placed together on the same carousel. Although each plant's ideal conditions might not be met, there will be thresholds for how much their specific constraints may vary. This helps automate the process considering that the system will not need to have every concentration of solution available for every type of plant that exists. This is where the control systems come into play. The reservoir's solution will have two requirements: pH and nutrient concentration thresholds. These levels will be monitored with the use of a pH sensor and an EC (electric conductivity) sensor respectively. In terms of the environmental controls, temperature and humidity are concrete values that must be maintained. Less intuitive is the fact that there must be constant airflow so that no bacteria or fungi are allowed to settle on the plants and infect the entire module.

This design goes further than a single carousel that can only grow plants with similar nutrient needs. Each carousel will have its own microcontroller that will act as an I/O relay. None of the control system's calculations will be done locally. Instead, each carousel will be connected to a centralized server that will simultaneously maintain each carousel. With this highly centralized design, it will be easier to locate problems should any arise. More importantly, all the automation is written into one program rather than multiple systems that must constantly communicate. By having each device accounted for by the server this allows room for future additions such as the harvester and seeder directly into the scheduler.

## **Application Goals**

As for the server application, the design should be more generic to increase flexibility such as handling modules other than the carousel design. Furthermore, the user should be able to easily manage an entire system of farming modules. To accomplish this task the application must be flexible enough to handle different types of module designs, varying plant needs, and user input efficiently. Essentially many of these requirements point towards a network of simple controllers that act as the arms and legs of a server in a star topology. These simple controllers send sensory data to the server, and enable or disable actuators such as fans, lights, and pumps based on feedback from the server. The server analyzes the sensory data from these controllers, determines the most efficient actions, and commands the controllers to act on its schedule.

### **3.3 - Prototype Design**

Using the carousel design and application requirements, the team created a prototype to prove that it was possible. It contained all the main concepts of the system design but simplifications were made to allow its construction within the team's limited time and budget. The prototype design includes a full implementation of the spray system, the pump system, control of the reservoir's solution and server-to-unit communication. It also included limited implementation of the environmental controls, panel design and application design. The construction of the prototype can be divided into seven sections.

#### **Wooden Frame**

The group started with the construction of a wooden frame that supported a single column from the system design. This frame was also used to enclose the location of the plants, which allowed the group to have an approximation of a controlled environment. The materials

needed to build the frame are shown in Table 1. The materials needed to be cut to the dimensions shown in Table 2.

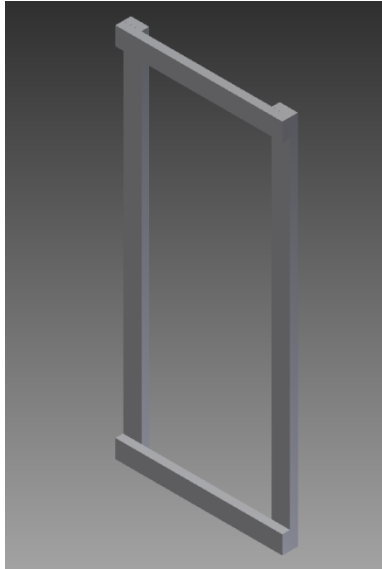
**Table 1: Wooden Frame Materials**

<b>Wooden Frame</b>	
<b>2''x 4''x 10' Wood</b>	10
<b>2-1/2'' Exterior Grade Screw</b>	40
<b>Roll of Reflective Insolation</b>	1
<b>3/8-16 Hex Head Bolt 2'' Long</b>	6
<b>3/8-16 Washer</b>	6
<b>3/8'' T50 Staples</b>	As needed

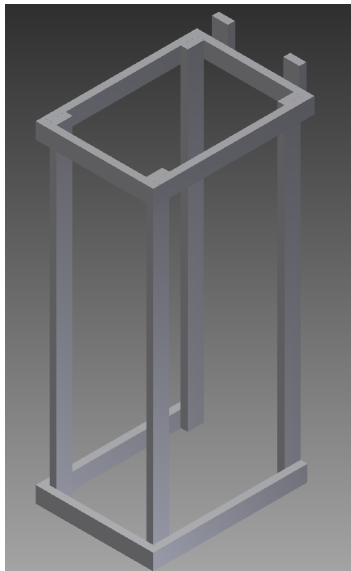
**Table 2: Wooden Frame Cuts**

<b>Wood</b>	
<b>6' Segment</b>	4
<b>32'' Segment</b>	4
<b>25-1/2'' Segment</b>	3
<b>7-1/2'' Segment</b>	2
<b>22-1/2'' Segment</b>	1
<b>Reflective Insolation</b>	
<b>32'' x 32'' Sheet</b>	2
<b>25'' x 6' Sheet</b>	1
<b>32'' x 6' Sheet</b>	1
<b>6'' x 6' Sheet</b>	1

The team started by putting the side frames together using two of the 32'' and 6' wood segments. The group used two screws per connection. To complete the frame, the team constructed two side frames that are shown in Figure 7. Then the team completed the frame by using the three 25-1/2'' segments to attach the two side frames as shown in Figure 8. Additionally the group added the 7-1/2'' segments, twelve inches apart, which hold the electrical box.

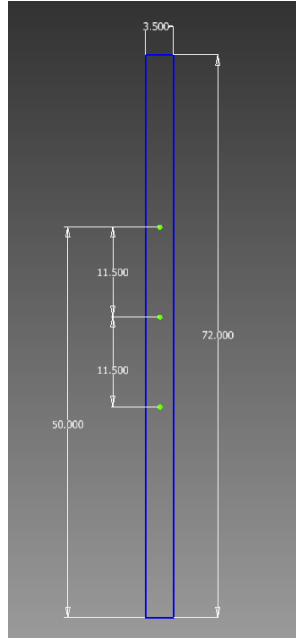


**Figure 7: Side Frame**



**Figure 8: Wooden Frame**

The following step on the construction of the wooden frame was to add the ½” holes for the bolts. These bolts will hold the enclosure in place. The spacing of the holes is shown in Figure 9 and these were placed in the two back columns of the frame displayed in Figure 8.



**Figure 9: Wooden Frame Hole Spacing**

The last step was to add the reflective insulation to the inside of the frame while making sure that the wood was not exposed to the enclosed environment, which could get quite humid. The team used the two 32'' x 32'' sheets of insulation as doors to allow the team to see inside. All of the sheets were attached to the wood using staples with the exception of the doors, which used Velcro tape. The complete frame is shown in Figure 10.



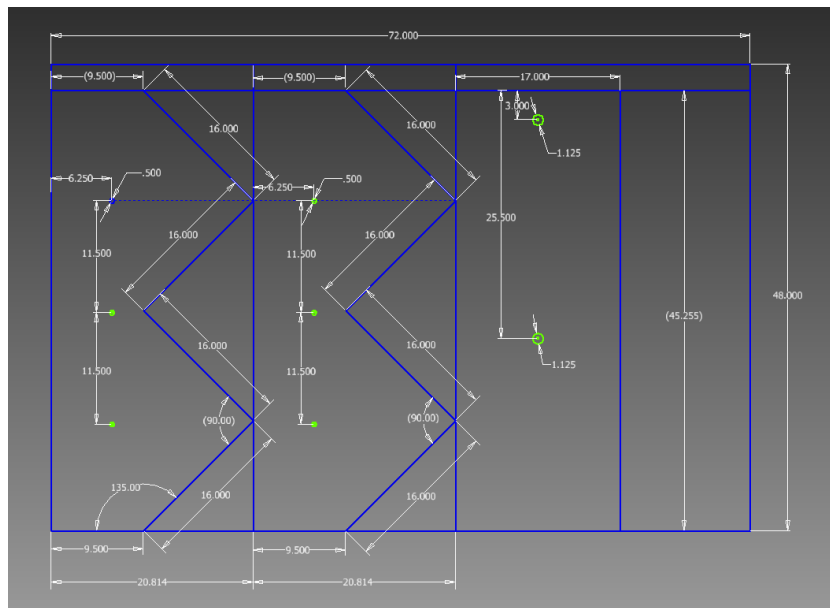
**Figure 10: Completed Wooden Frame**

## **Enclosure**

After the frame was constructed, the team started to work on the enclosure. In the horizontal carousel the revolving columns enclose the center area in order to keep the mist in. For the prototype, the group made it simple by enclosing the area directly behind the column. The team also made the decision to only have two panels in the prototype, which made it quicker and cheaper to build. The materials used to create the enclosure are detailed in Table 3. The 4' x 6' sheet of acrylic needed to be cut using the specification shown in Figure 11

**Table 3: Enclosure Materials**

<b>Enclosure</b>	
<b>4'x6' Sheet of Acrylic</b>	<b>1</b>
<b>9-1/2''x 16-1/2'' Sheet of Acrylic</b>	<b>2</b>
<b>16-1/2'' x 16-1/2'' Sheet of Acrylic</b>	<b>2</b>
<b>90° Door Locks</b>	<b>4</b>
<b>3/8-16 Hex Head Bolt 1'' Long</b>	<b>6</b>
<b>3/8-16 Bolt Coupler</b>	<b>6</b>
<b>3/8-16 Washer</b>	<b>12</b>



**Figure 11: 4' x 6' Acrylic Sheet Cut Specifications**

Having all of the pieces needed, the group proceeded with assembling the enclosure. The team used a bonding agent specifically designed to adhere the acrylic pieces together. Figure 12 shows the pieces glued together.



**Figure 12: Enclosure in Construction**

As seen at the bottom of Figure 12, there is an angled piece placed specifically for the prototype. This piece directs the condensed water into a slit, which drops down into the reservoir. After putting the enclosure together the team added the 1'' bolts, washers and couplers to it. The head of each bolt is inside of the enclosure and it goes through a washer, the acrylic, another washer and attaches to the coupler. These couplers would align with the holes in the frame so that the 2'' bolts could lock the enclosure into place.

The next part of the enclosure that the team worked on was the back door. The team used 90° door locks to hold and lock the door. Additionally, the team added a sealing strip on the edges where the door made contact with the enclosure to ensure that the mist doesn't escape. The placing of the locks as well as the placement of the strips is shown in Figure 13.





**Figure 13: Enclosure Back Door and Sealant Placement**

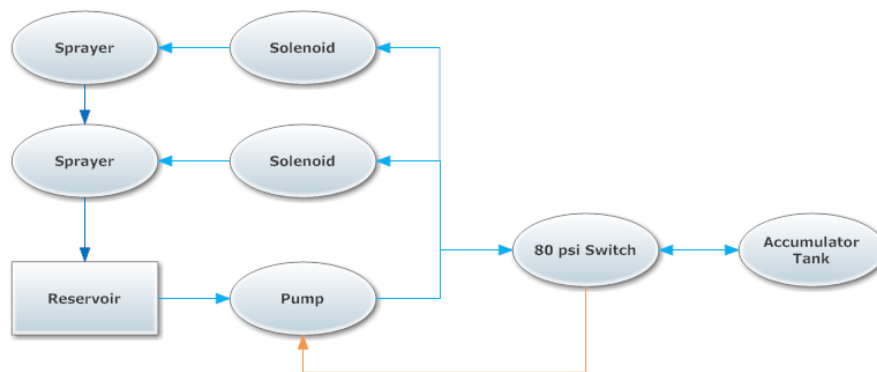
With the structure of the enclosure ready the team started to create panels. The panels were made out of cheesecloth and egg-crate (with ½” holes) lighting sheet that were cut into 15” squares. To assemble the panels, the team placed one layer of egg-crate, three layers of cheesecloth and two more layers of egg-crate. These layers were then zip-tied together to form a rigid structure. To support the panels, 2” strips of acrylic were glued to the interior walls of the enclosure openings.

## Plumbing

The plumbing system is the part of the prototype that delivers the mist of nutrients to the roots. This system involves the sprayers, the pump, and the recycling of water. The materials needed to construct this system are detailed in Table 4.

**Table 4: Piping Materials**

Piping System	
Aquatec Pressure Boost Pump CDP 6800	1
24 VAC 1000mA Transformer	1
1-½ Gallon Accumulator Tank	1
12 VAC ¼” Solenoid	2
80 psi Pressure Switch	1
½” PVC Mist Head	8
27 Gallon Strong Box	1
Quick Connect ¼” Tee Splitter	1
Quick Connect ¼” Y Splitter	1
Quick Connect ¼” Valve	2
Quick Connect ¼” to ½” PVC converter	2
½” PVC Tee Splitter	2
½” PVC 90° Elbow	4
½” PVC 45° Elbow	4
½” PVC Cap	4
¼” Tubing	As needed
½” PVC Piping	As needed
Zip Ties	As needed

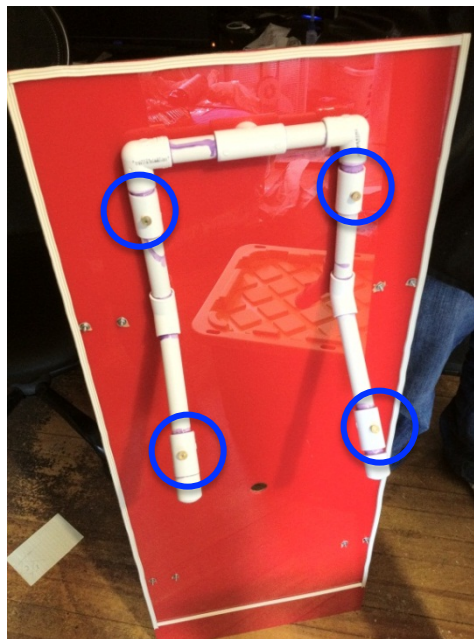


**Figure 14: Piping System Block Diagram**

The system can be explained in the block diagram described in Figure 14. It explains how the components are connected to one another and how the system recycles the water.

In this system, the pump is on until the switch detects that the accumulator tank is at 80 pounds per square inch (psi) of pressure. Once the pressure is settled the pump will turn off but when the switch detects a loss in pressure the pump will turn on again. This will occur whenever the solenoids are turned on, which is determined by the software. Once the solenoids are on, the mist will hit the panels and the excess will fall down to the reservoir where the pump can reuse it.

The system primarily uses ¼” flexible tubing to connect the components. However, the sprayers are made of ½” PVC piping because the team decided that the sprayers needed to have a rigid structure, which flexible tubing cannot provide. Figure 15 shows the shape of the sprayers and how they are attached to the back door. The layout, depicted in Figure 15, was chosen so that the mist could be properly distributed to the panels.



**Figure 15: Sprayers Attached to Back Door**

## **Lighting and Ventilation**

The lighting and ventilation aspects of the prototype are simple. The team did a considerable amount of research related to artificial lighting but the only option that seemed to be possible and affordable at the time was fluorescent lighting. Fixtures for this type of lighting were easily available. To add this fixture a quick modification to the frame was done to allow the team to attach a hook where the light was suspended. The ventilation was simple as well; the team added a fan at the bottom of the enclosed area of the frame. This fan allowed air circulation and acted as a temperature and humidity controller.

## **Nutrient Solution**

For the reservoir control the team was able to control the concentration and the pH levels of the nutrient solution. The way to change the concentration and pH levels is through four different mixtures. The pH is changed through adding commercially available pH up and pH down solutions depending on the situation. The concentration is changed through adding more concentrated nutrient solution if the concentration is low or adding water to dilute the concentration. The team accomplished this goal by having submersible pumps in the solution containers. The system would then determine which mixture to add and activate the appropriate pump.

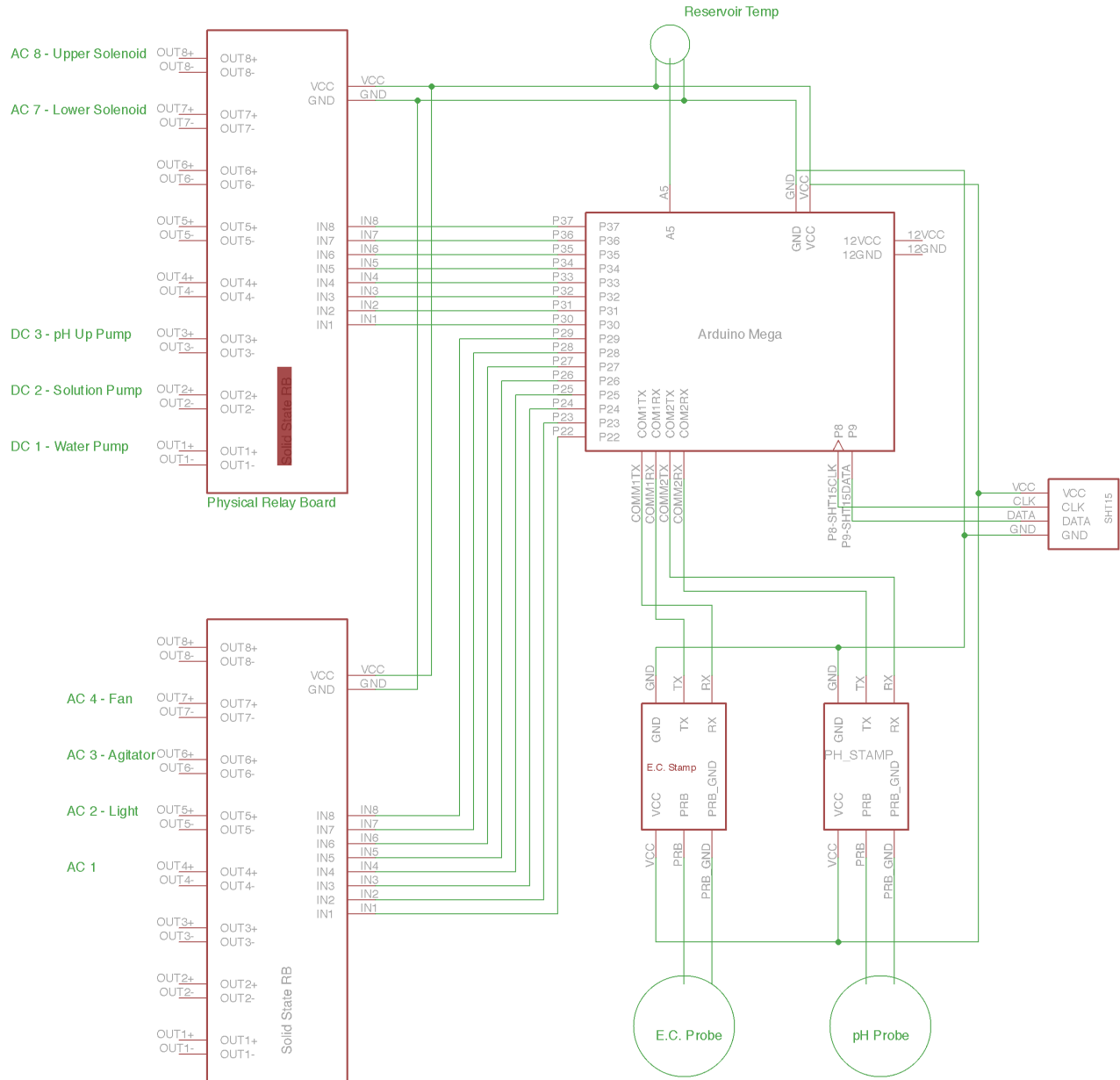
## **Electrical Box**

The electrical box protects the power supplies, microcontroller, and associated circuitry. All of the sensors and actuators of the prototype were connected to this box through easily accessible terminals. The materials needed to construct the box are detailed in Table 5.

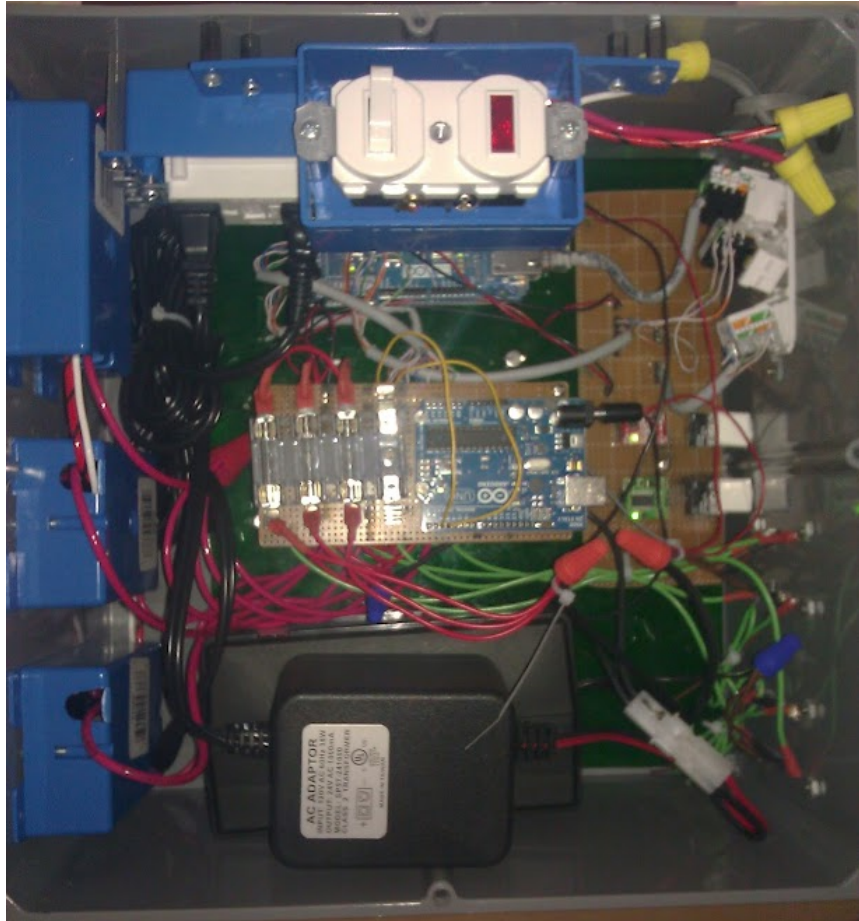
**Table 5: Electrical Box Materials**

<b>Electrical Box</b>	
<b>Weather Resistant 12''Square Box 6'' Height</b>	<b>1</b>
<b>Power Cable</b>	<b>1</b>
<b>Power Switch with LED</b>	<b>1</b>
<b>Ground Fault Interrupter</b>	<b>1</b>
<b>Power Outlet</b>	<b>3</b>
<b>Outlet Enclosure</b>	<b>5</b>
<b>Outlet Protected Plating</b>	<b>4</b>
<b>24 VAC 1000mA Transformer</b>	<b>1</b>
<b>12 VDC 6A Transformer</b>	<b>1</b>
<b>Arduino Mega 2560 with Ethernet Shield</b>	<b>1</b>
<b>8-Output Relay Board</b>	<b>2</b>
<b>Atlas Scientific pH Sensor Stamp</b>	<b>1</b>
<b>Atlas Scientific EC Sensor Stamp</b>	<b>1</b>
<b>4-Output Speaker Terminal</b>	<b>2</b>
<b>Fuse Holder</b>	<b>1</b>
<b>Solder less Board</b>	<b>1</b>

A standard 120VAC power outlet powers the box. One of its first safety features is the power switch, which turns on and off the entire system. This switch also has a LED that indicates when the power is on. The switch powers a Ground Fault Interrupter outlet, which powers the two transformers that are within the box, as well as the four other outlets. The 12 VDC 6A Transformer powers the Arduino Mega, which is the micro processing board that the team chose. The Arduino powers the rest of the components of the box as displayed in Figure 16.



**Figure 16: Electrical Box Circuits**



**Figure 17: Electrical Box**

All of the elements of the box are attached to a false bottom. This allowed the team to remove the main components if there was a need to. The layout of the box is simple; the Arduino is on the top of Figure 17 underneath the power switch enclosure. In the middle, are the two relay boards stacked vertically (which can't be seen) and on top there is a layer of solderless board that allowed the team to attach the fuse holders. On the right of the figure there is a board that corresponds to the circuitry of the sensor probes. On the bottom the transformers are located and were attached to one another using Velcro.

## Sensors

The sensors that the team selected for the prototype were lab grade E.C. and pH sensors from Atlas Scientific. A temperature/humidity sensor was also needed for the environmental controls as well as a submersible temperature sensor to know the temperature of the reservoir. The details of the sensors are shown in Table 6.

**Table 6: Sensors Information**

<b>Sensors</b>	
<b>Atlas Scientific E.C. 0.1K probe</b>	1
<b>Atlas Scientific pH probe</b>	1
<b>SHT15 Temperature/humidity</b>	1
<b>Atlas Scientific ENV-TMP</b>	1

The E.C. and pH sensors from Atlas Scientific were composed of two main elements; the stamps, which were installed in the electrical box, and the probes. The probes were designed so that only the tip of the probe needed to be in contact with the solution. This was a problem for the team because the water level of the reservoir varies over time. The group decided to go with a solution that waterproofed the probe except the tip of it. The materials needed for this solution are detailed in Table 7. This allowed the probes to sit at the bottom of the reservoir. The assembled attachments are shown in Figure 18.

**Table 7: Waterproofing Sensor Materials**

<b>Waterproofing sensors</b>	
<b>3/4" PVC Pipe 2' Long</b>	2
<b>3/4" to 1/2" PVC Converter</b>	2
<b>1/2" PVC Extension</b>	2
<b>1/2" O-Ring</b>	4





Figure 18: Sensor waterproofing, from left to right, pH and E.C. sensors

### 3.4 – Software Design

Now we had to implement the software behind the control systems. This involves finding the right tools to develop with, what hardware to use, and how to structure the system virtually.

#### Tools

To fulfill our application goals, we needed to find hardware that can be easily networked and software that is open enough to operate on most platforms while maintaining a persistent environment state. For our prototype, we chose Java because it is cross-platform compatible, supports networking, and provides many tools/libraries.

#### Database Management

Among the tools provided with Java is the Java persistence API. This framework manages relational data and maps it to objects. This enables the developer to easily manage virtual representations in this farming system. However, there is a small performance cost for maintaining reliability. To minimize this issue, we selected eclipselink as our persistence

provider that utilizes an embedded H2 database. This combination is great because it uses open source technologies and according to “JPA performance benchmark” is one of the best performing JPA/DBMS combinations (“Eclipselink Performance Summary”, 2012).

To help speed up the development process, we chose to work in the NetBeans IDE. This development environment contains an easy-to-use debugger and a great user interface builder. In addition, the H2 database plug-in and auto complete of syntax help with the learning of the JPA language and structure. As for code management, we set up a git server to track changes and synchronize development machines.

## **Hardware**

As for hardware, we chose the Arduino as our embedded platform because it is a very expandable and inexpensive microcontroller. The Arduino Mega 2560 has 16 analog inputs, and 54 I/O pins of which 14 provide PWM output and 8 provide 4 UART serial communication lines (Arduino, 2012). In addition, this platform also has an Ethernet Shield, which enables the microcontroller to handle network communication via TCP or UDP easily. This feature is crucial because it allows multiple controllers to be connected to a server. Furthermore, it is simpler to develop a device since the Arduino Ethernet Library already handles packet transport and a stack.

## **Neuron Robotics**

In order to send remote procedure calls, we needed a compact and reliable messaging protocol. Instead of creating our own we found Neuron Robotics’ bowler communications system to fit these requirements. This protocol is intended for embedded systems with various methods of communicating with a host (Neuron Robotics, 2012). Each bowler packet can contain a maximum of 256 bytes of data, 4 of which identify the remote procedure call. These

bowler packets make up a bowler transaction, which defines how a bowler host and device communicate. The most common type of transaction is a synchronous transaction where a host sends a remote procedure call to a device and waits for a packet with the same identifier from the device. This redundancy ensures a more reliable connection, which is absolutely crucial in the system. On the other hand asynchronous transactions involve only one device sending a packet. Although this is less reliable, it enables the device to send status updates that are less important. This method of communication is very helpful for certain sensors such as the pH and E.C. sensors because you don't want to interrupt the device when waiting for readings that take time on the order of seconds. In addition to the structure of the bowler communications system, Neuron Robotics also provides an SDK for the Java platform that encapsulates connecting to a device, and transactions between the host and the device.

## **Design**

With all the tools in place we are able to design a Java application that can control multiple devices easily and reliably while maintaining persistent storage of user settings and system status. In our prototype Java application, a framework has been created for a more expandable system but for the moment it only controls the prototype.

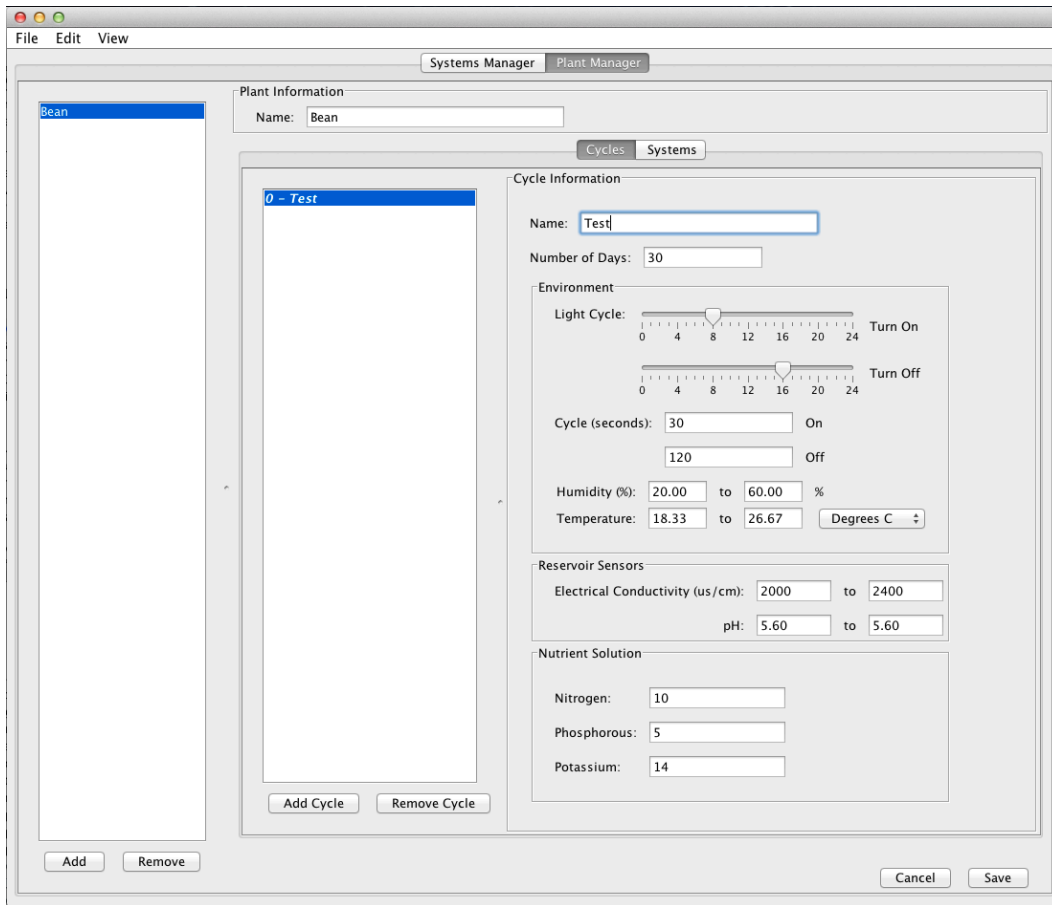
## **Physical versus Virtual systems**

One of the issues we needed to overcome was the separation of the physical device and the virtual system. Generating entities/objects that map directly to elements in the physical system solved this problem. When put together, these objects create a virtual model of the system.

## Model

The model is split up into five entities. The IFS Module entity tracks unique attributes such as its name, a list of columns (panels), the associated device entity as well as the current tasks. The device entity handles the hardware identifier (MAC address) and a virtual instance of the physical device. This instance uses NeuronRobotics' SDK to connect to the bowler device, which in the prototype is the Arduino.

As for the column entities (panels), each one tracks what plant is currently on it, and when it was planted. The plant entity tracks the name and cycles of the plant such as initial seeding, week 1, week 2, and harvest. Each plant cycle contains all the environment settings necessary to care for the plants during a given period of time.



**Figure 19: Plant Manager Window**

Some of the settings as shown in Figure 19 are: a user readable name, how long the cycle lasts, how long the spray nozzles should remain on and off, when to turn the lights on and off, thresholds for environmental sensors, thresholds for reservoir sensors, and nutrient information ideal for the plant.

## Controller

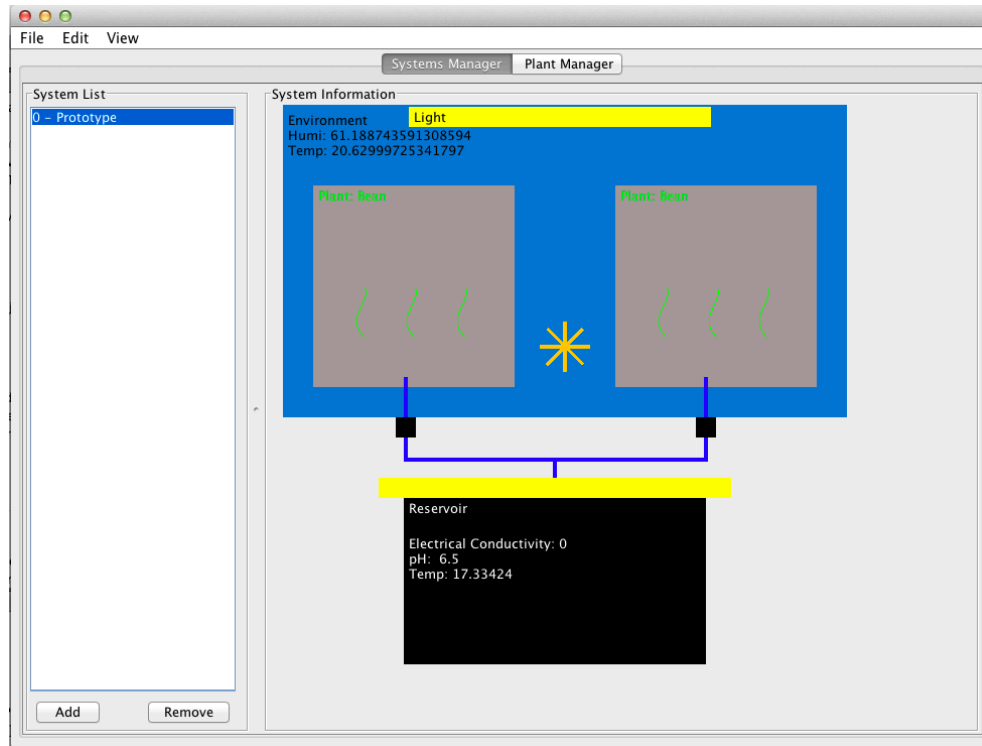


Figure 20: System Controller Interface

To further increase flexibility, we implemented the model-view-controller pattern. Here's a walk-through the program. The main application first creates a system controller and a plant manager. Once the plant manager is initialized, it retrieves all of the plants in the database. This is then rendered in the main window. On the other hand, the system controller generates the system model by looking up what modules are connected to the system, what devices are connected to those modules, and attempts to connect to those devices. Once the devices are connected, the controller then looks up the panels that are associated to that device and schedules maintenance tasks such as the spray cycle and reservoir calibration. Once initialized, the main window then looks up the modules and displays them as a list in the system manager. Figure 20 illustrates the monitoring functionality of the application and Appendix A contains a simplified diagram of this structure.

### 3.5 – Tasks

As mentioned before, tasks are assigned during the module initialization phase. To minimize performance issues these tasks should be multithreaded to reduce CPU cycles while still maintaining monitoring performance. Please keep in mind that the terms column and panel are used interchangeably because our prototype simulates columns by assigning a column to each panel. In this section, the details of the specific tasks are described.

#### Light and Spray Tasks

The spray task is quite simple. This task looks at its assigned column, gets the current plant cycle, and determines whether or not the nutrient spray nozzles should be on for that given panel. It then sends a command to turn on the solenoid for that column using the physical device object passed in as an argument. The light task also is quite simple and follows a similar pattern. The difference is that this task is called every minute. This ensures that the light remains on or off despite the unlikely event of a device restart.

#### Module Environment Task

The other tasks are fairly more complex. The environment task tracks the humidity and temperature within the environment enclosure and adjusts the air accordingly using some set of conditioning units. For our prototype, all we could afford was a fan that turned on and off based on the SHT15 humidity and temperature readings as well as a 1 min. on 5 min. off cycle. We decided that a 3 min. on and 5 min. off cycle would be sufficient to simulate wind. In addition there is a control loop that overrides the cycle. If the temperature is too high the fan always remains on, and off if it is too low. As for the humidity, if the humidity is too high the fan is always on, and off if it is too low. However since temperature is more important, the temperature always takes priority.

## Reservoir Calibration Task

The last task that needs to be performed is maintaining the nutrient solution, which we call the reservoir calibration task. This task checks the reservoir sensors, the EC and pH, every hour. If the threshold on either of these sensors is exceeded, the calibration cycle begins.

First the E.C. is calibrated. If the E.C. is too high water is selected. If the E.C. is too low, the solution is selected. After the E.C. is calibrated, the pH is calibrated. If the pH is too low, the water is selected, and if the pH is too high, the solution is selected. Once the solution is selected, the control loop begins.

There are five variables in this loop:  $x_{\text{previous}}$ ,  $x_{\text{measured}}$ ,  $x_{\text{desired}}$ ,  $t_{\text{previous}}$ , and  $t_{\text{new}}$ . The loop is first initialized with  $x_p$  set to the current sensor measurement,  $x_d$  set to an average of the thresholds of the selected sensor, and  $t_p$  set to 3 seconds. Now the calibration loop begins. The pump for the solution is then turned on for  $t_p$  seconds. Then the control waits for 30 minutes with the agitator pump on. After the settling,  $x_{\text{measured}}$  is then set to the current sensor reading. The amount of time needed to turn on the pump again is then calculated using the following formula:

$$t_n = t_p \frac{(x_d - x_m)}{(x_m - x_p)}$$

This equation essentially calculates the rate of change in the sensor reading and determines how much more time it would take to reach the desired level based on the difference between the desired reading and the current measurement. The variables  $t_p$  and  $x_p$  are then set to  $t_n$  and  $x_m$  and the loop repeats. To prevent the unit from overshooting too many times, this loop is only allowed to run a maximum of two times before stopping indefinitely while sending warning signs to the user until the readings come back to normal.



## Chapter 4 - Results

This chapter contains all of the data and finding gathered from the prototype. The results were obtained using the methods described in the methodology chapter. The prototype proved its functionality by providing healthy looking plants. It also brought to light aspects of the design that required more thought than anticipated.

### 4.1 - Prototype Results

In order to show results in time, we started testing the prototype while the electrical box and the software application were under construction. We used an Arduino Uno to act as a simple timer for controlling the solenoids. Other missing functionalities were done manually.

The design was primarily created to accommodate bush plants, stalk plants, and root plants, which did not exceed two feet in height. To test these attributes we obtained basil seeds for a bush plant, green bean for a stalk plant and carrot seeds for a root plant.

The panels of the prototype were divided into quadrants. On the bottom panel, green beans were placed on the top right and bottom left quadrants and basil seeds were place in the rest. On the top panel, carrots were placed on the top two quadrants and additional basil was place on the bottom half. The reasoning for this was to test if the panels had weak growing areas. During our test, there were no indications that this was true. However, because of the design of the system, the excess solution from the top panel passes through the bottom panel and this cause it to have more exposure to the nutrient solution.

All of the seeds showed great germination phases and quickly became seedling. However, the most noticeable growth was demonstrated by the green beans. After two weeks in the

prototype, the bean looks as shown in Figure 21. The carrots were introduced to the system sometime after this point because we wanted to system to be more stable. At the five-week mark the beans and the basil look great as displayed in Figure 22. The carrots also look healthy at their two-week mark as shown in Figure 23.



**Figure 21: 2nd Week Mark: Green Bean Seedling**



**Figure 22: 5th Week Mark: Green Bean and Basil Plants**



### Figure 23: 2nd Week Mark: Carrots

At that time the nutrient solution needed to change. The electrical box and software application were mostly done at this point and they were we used to calibrate the nutrient solution. However, at that time we did not realize that the E.C. probe interfered with the reading of the pH probe. This occurs because the E.C. probe is constantly passing a current through the solution causing the pH probe to read a higher value. The pH level that we intended to have was 6.0 but with the false reading, it was actually at around 3.0, which was really acidic. The plants were not able to fully recover pass this event.

The original design of the control system used PID to fix the error in the solution. However, we found that the chemical reactions that occur when trying to move pH and E.C. up or down took a long settling time - approximately thirty minutes. This posed a problem with the PID controller, which demands immediate change. To solve this problem we simply used a P controller.

As we were using the pH Up and Down in our system we found that the smallest amount of these solutions would change the pH level a lot. This meant that our pumps needed to be extremely accurate in the amount of pH solution that they introduce to the system. This was a problem because the pumps that we obtained were not accurate enough. We tried to solve this issue by using an equation that self-calibrates the P value and our P controller.

We conducted a quick experiment to test the amount power that the prototype drew. We tested the unit the kilowatt-hours over 12 hours. The result of this quick test was that the unit consumes an average of 85 watts per hour during the day and 45 watts per hour over the night. Knowing this information and using a day-night cycle of 17-7, we calculate the daily average energy consumption to be 1.760 kilowatt-hours a day.

## Chapter 5 – Recommendations

After analyzing our results we compiled a list of issues to fix and work that can be done.

1. Fixes
  - a. Prevent dripping on the sides of the reservoir
  - b. Use peristaltic pumps for the concentrated nutrient solutions
    - i. Variable output
    - ii. Unit is not submerged
  - c. Reservoir calibration
    - i. Account for the pH logarithmic scale in the equation
    - ii. Insert ability to add pH up and down
  - d. Ethernet library on Arduino has difficulty with DHCP
    - i. No more than 1 hop or else the device can't be seen
  - e. Implement detection of loss of host to stop sending asynchronous responses on the bowler device end because If it is left on, causes inability to connect to the device
  - f. Refine bowler device firmware
    - i. Currently only supports UDP
    - ii. Make connection more reliable
    - iii. Improve the structure
  - g. Implement detection of a device restart
  - h. Redefine server and bowler device roles

- i. Server sends changes of settings to device rather than sending every command over the network
      - 1. More reliable in case of a server crash or device restart
    - ii. Bowler device saves changes onto micro SD card slot on Ethernet shield
      - 1. Not EEPROM because it is not replaceable and has limited number of writing cycles. Micro SD is replaceable
  - i. Control the power of the E.C. probe since it affects the pH reading drastically
  - j. Add in a bypass line the accumulator to the reservoir to purge the accumulator on occasion
    - i. Even though the reservoir might be calibrated the accumulator is not
    - ii. It may take a lot of time to see changes depending on how many plants are being fed
  - k. Add method of detecting water level of the reservoir
  - l. Add in a pH probe buffer cycle
- 2. Future Work
  - a. Build a functional horizontal carousel module
  - b. Implement a harvester
  - c. Implement a seeder
  - d. Devise method of intercommunication between devices for harvester and seeder
  - e. Implement an efficient planner for creating a steady flow of crops
    - i. Also determines scheduling of tasks for harvester and seeders
  - f. Research more into lights
    - i. LEDs

- ii. HFS (high pressure sodium lights)
  - iii. Ratios
  - iv. Cost analysis
- g. Research into better environment settings for other plants
- h. Implement other types of caring systems to account for larger plants
- i. Obtain and experiment with Arabidopsis (common test plant)
- j. Experiment with a sturdier underlying plastic mesh instead of just the water-soaking medium, such as cheesecloth to support the plant
- k. Add cleaning cycle of spray nozzles to prevent clogging

## Chapter 6 – Conclusion

In the end, our project opens up the plausibility of an all-in-one, fully automated farming system. The key to this automation process lies in the panel design, which removes the need to transplant. That being said there is much work to be done to refine this system. On top of that, the additional peripherals must be designed and integrated with this existing caring module. These tasks leave room for future teams to come in and add in their own ideas to this system. During the course of this project, our team has learned the delicacies in maintaining a plant's health. The hope is these lessons will be properly passed along so the future researchers will not be caught up in plant testing and focus on the system design.

To help future teams we have left starting points for them to develop their own ideas. Our overall design allows free creation for the remaining aspects of the IFS. Given the extensive research put into this project by our team, any incoming team starting to develop this system further would have resources to guide them through the ideas that have already been put forth. Finally the application itself allows for the insertion of different devices, so implementing the new peripherals should be easier.

Even if future teams are not able to create a cost-effective solution for our initial problem within a few iterations, which is expected. The goal of this project in the long term is to show that a fully realized automated farming system is possible. If they are able to make it affordable that is a great step forward. The importance of this project is not the feasibility in building one today, but the plausibility of having one at all. There will come a time where we as a civilization will have to grow our food within our cities. It is important that when that day arrives, we have an infrastructure set up, ready to fill our food needs.



## References

Adrian, P. (2007). A Novel Conductive Humidity Sensor. Retrieved 04/25, 2012, from <http://www.sensorsmag.com/sensors-mag/a-novel-conductive-humidity-sensor-1336>

Aero Hydroponics The Way of The Future. (2008). Retrieved 12/05, 2011, from [http://simplyhydro.com/aero\\_hydro.htm](http://simplyhydro.com/aero_hydro.htm)

Agricultural Technology. (2011). Encyclop dia Britannica Online Academic Edition: Encyclop dia Britannica Inc.

Alterieri, M. A. (2000). Modern Agriculture: Ecological impacts and the possibilities for truly sustainable farming. Retrieved Dec 12, 2011, from [http://nature.berkeley.edu/~agroeco3/modern\\_agriculture.html](http://nature.berkeley.edu/~agroeco3/modern_agriculture.html)

Anthoni, J. F. (2005). pH Meter Principles. Retrieved 04/25, 2012, from <http://www.seafriends.org.nz/dda/ph.htm>

Arduino. (2012). Retrieved 04/25, 2012, from <http://arduino.cc/en/>

Basic Hydroponic Systems. (2008). 2011, from <http://simplyhydro.com/system.htm>

Converting Pump to Pressure. Retrieved 02/04, 2012, from [http://www.engineeringtoolbox.com/pump-head-pressure-d\\_663.html](http://www.engineeringtoolbox.com/pump-head-pressure-d_663.html)

Deyle, T. (2009). Electroadhesive Robot Climbers. Retrieved 11/14, 2011, from <http://www.hizook.com/blog/2009/08/06/electroadhesive-robot-climbers>

Deyle, T. (2010a). Electroadhesive Robot Grippers from SRI International. Retrieved 11/14, 2011, from <http://www.hizook.com/blog/2010/10/28/electroadhesive-robot-grippers-sri-international>

Deyle, T. (2010b). "Jamming" Robot Gripper Gets Official: Article Published in PNAS. Retrieved 11/14, 2011, from <http://www.hizook.com/blog/2010/10/25/jamming-robot-gripper-gets-official-article-published-pnas>

EC/TDS/PPM Meter On Limited Budget. (2006). from [http://blea.ch/wiki/index.php/PPM\\_Meter](http://blea.ch/wiki/index.php/PPM_Meter)  
EclipseLink Performance Summary. (2012). Retrieved 03/04, 2012, from <http://www.jpab.org/EclipseLink.html>

Fears, S. (2008a). Monoculture vs Polyculture Farming Methods. Retrieved 04/22, 2012, from <http://true-progress.com/monoculture-vs-polyculture-farming-methods-84.htm>

Fears, S. (2008b). Monoculture vs. Polyculture Farming Methods. Retrieved 12-12, 2011, from <http://true-progress.com/monoculture-vs-polyculture-farming-methods-84.htm>

Food miles: How far your food travels has serious consequences for your health and the climate. Natural Resources Defense Council.

Gardening in a bucket, growing plants upside-down. 9 Plants to plant in it. Retrieved 12-12, 2011, from <http://www.juicymaters.com/2011/07/gardening-in-a-bucket-growing-plants-upside-down-9-plants-to-plant-in-it/>

Gregory, M. (2010). Photosynthesis. Retrieved 4/22, 2012, from <http://faculty.clintoncc.suny.edu/faculty/michael.gregory/files/bio%20101/bio%20101%20lectures/photosynthesis/photosyn.htm>

Growing Mediums. (2008). Retrieved 09/15, 2011, from <http://simplyhydro.com/growing1.htm>

Growing Plant under Artificial Lights. Retrieved 04/25, 2012, from [http://primexgardencenter.com/wp-content/uploads/2011/03/caresheets\\_ArtificialLight.pdf](http://primexgardencenter.com/wp-content/uploads/2011/03/caresheets_ArtificialLight.pdf)

Hounshell, D. A. (1984). From the American System to Mass Production, 1800-1932: The Development of Manufacturing Technology in the United States. Baltimore, Maryland: Johns Hopkins University Press.

How Do Plants Grow? A 7-Stage Explanation of What Makes Plants Grow. (2010). GrowingAnything.com.

Hydro-Atomizing Spray Jets & Fittings. (2011). Retrieved 12/05, 2011, from <http://www.aeroponics.com/aero6j.htm>

Hydroponic Plants - What To Grow? (2009-2011). Retrieved 11/08, 2011, from <http://www.hydroponics-simplified.com/hydroponic-plants.html>

Lab, B. (2007). Nominal EC Values for Hydroponic Crops. Retrieved 2/12, 2012, from <http://www.getbluelab.com/Articles/Nominal+EC+Values+for+Hydroponic+Crops.html>

Lipstein, D. Don's LED Faq.

Nate. (2011). LED Grow Lights Review. Retrieved 04/25, 2012, from <http://ledgrowlightsreview.org/the-quick-guide-to-led-grow-lights/>

Paxton, A. The Food Miles Report.

PH Meter. (2010). Retrieved 11/07, 2011, from [http://blea.ch/wiki/index.php/PH\\_Meter](http://blea.ch/wiki/index.php/PH_Meter)  
Plant List - Grown in Genesis Series Aeroponics System. from <http://www.biocontrols.com/aero20.htm>

Plant Parts. (2009). Retrieved 4/22, 2012, from <http://www.mbgnet.net/bioplants/parts.html>  
, PPMs/EC for various plants. (2007). PPMs/EC for various plants. <http://forums.bghydro.com/showthread.php?167-PPM-Guide>

Robotics, N. (2012). DyIO. Retrieved 04/25, 2012, from <http://neuronrobotics.com/neuronrobotics/store/dyio/>

Scientific, A. Retrieved 2/12, 2012, from <http://www.atlas-scientific.com>

Smith, S. (2008). How Does a Temperature Sensor Work? Retrieved 04/25, 2012, from [http://www.ehow.com/how-does\\_4928076\\_temperature-sensor-work.html](http://www.ehow.com/how-does_4928076_temperature-sensor-work.html)

The Gain, P. (2011). Grow Light Reviews - The High Pressure Sodium (HPS) Light. Types of Plants: Seed Bearing Plants. (2010). Retrieved 12-12, 2011, from <http://plantspages.com/seedbearingplants.htm>

Urban Hydroponic Growers Union. Retrieved 12-12, 2012  
USDA: FRUIT AND VEGETABLE MARKET NEWS PORTAL. (2011). Retrieved 11/14, 2011, from [http://marketnews.usda.gov/portal/fv?paf\\_dm=full&paf\\_gear\\_id=1200002&startIndex=1&dr=1&shipHighLow=true](http://marketnews.usda.gov/portal/fv?paf_dm=full&paf_gear_id=1200002&startIndex=1&dr=1&shipHighLow=true)

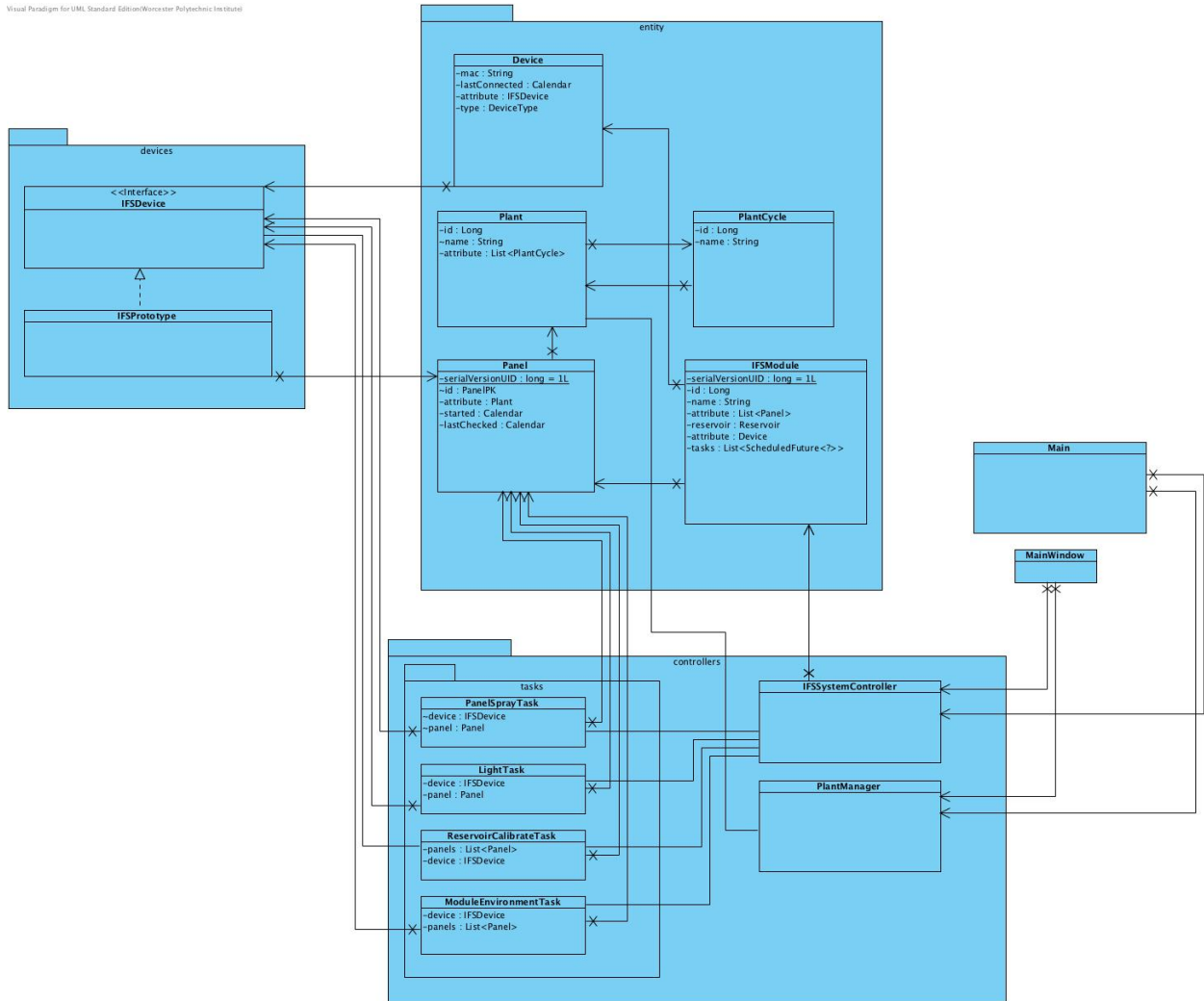
Vermaas, W. (1998). An Introduction to Photosynthesis and Its Applications. Retrieved 04/22, 2012, from <http://bioenergy.asu.edu/photosyn/education/photointro.html>

Water Conductivity. (2011). Retrieved 04/25, 2012, from <http://www.lenntech.com/applications/ultrapure/conductivity/water-conductivity.htm>

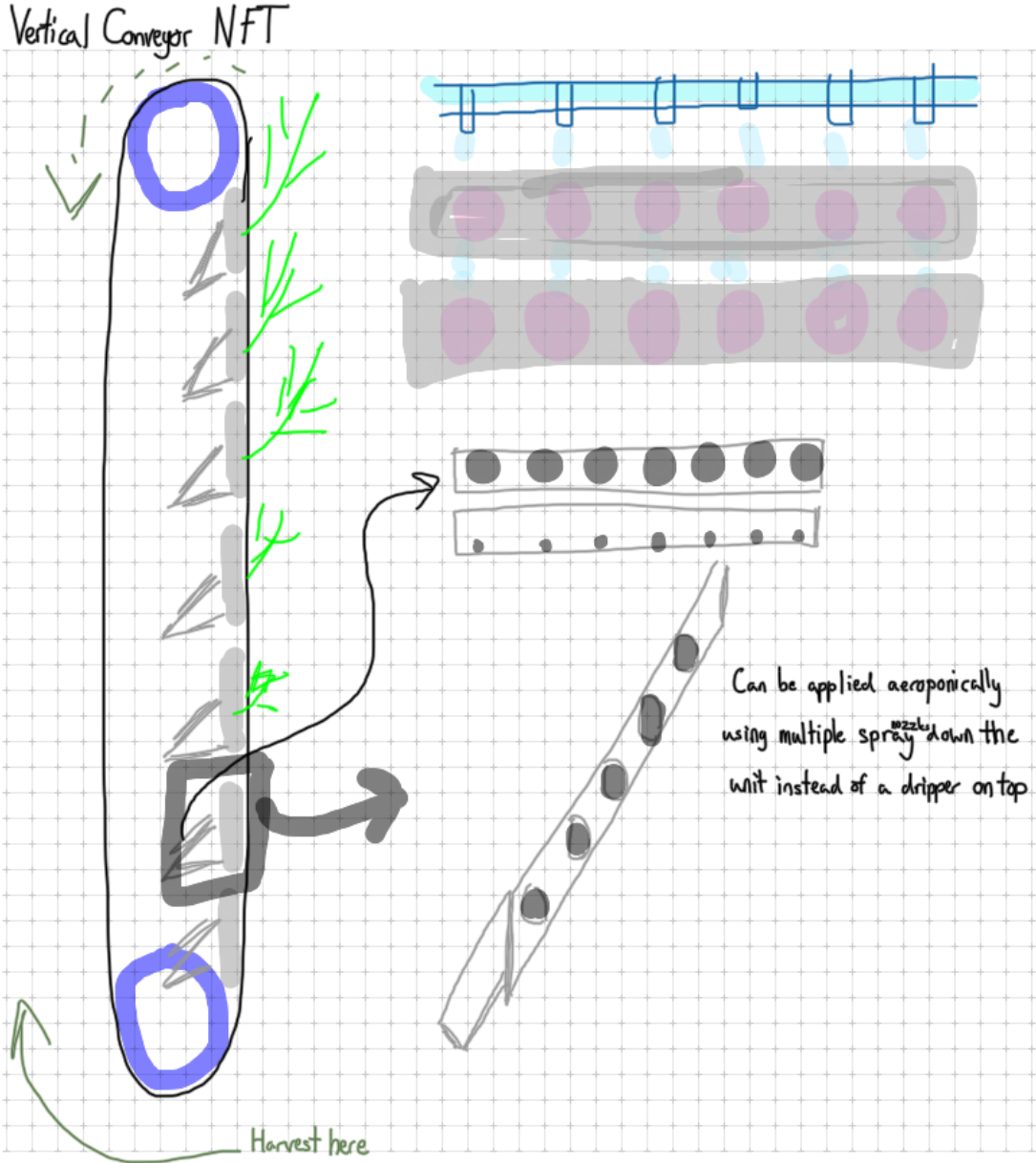
Weathers, P. J., & Zobel, R. W. (1992). *Aeroponics For the Culture of Organisms, Tissues, and Cells*. Pergamon Press plc.

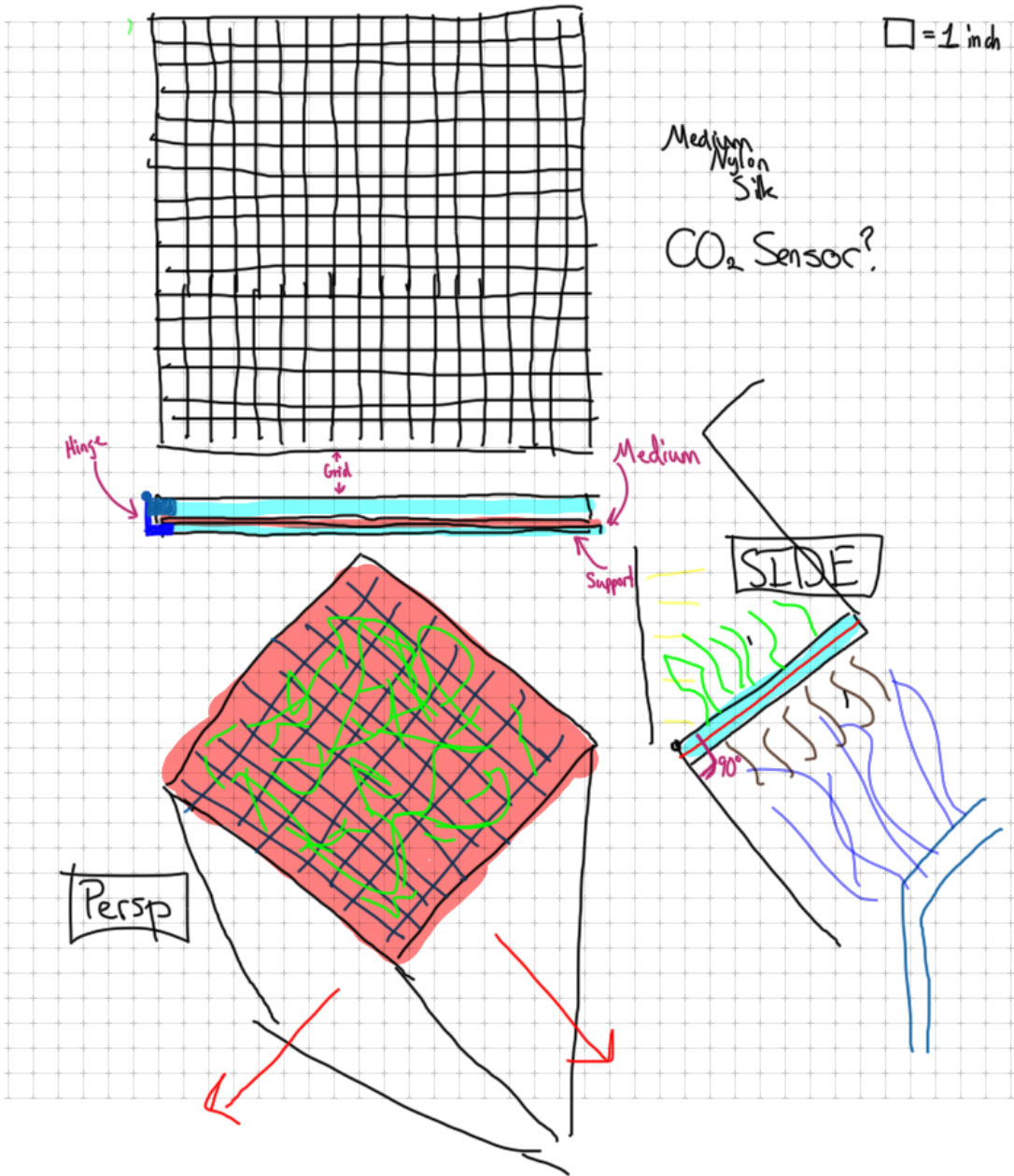
# Appendix A: UML Diagram

Visual Paradigm for UML Standard Edition@Worcester Polytechnic Institute

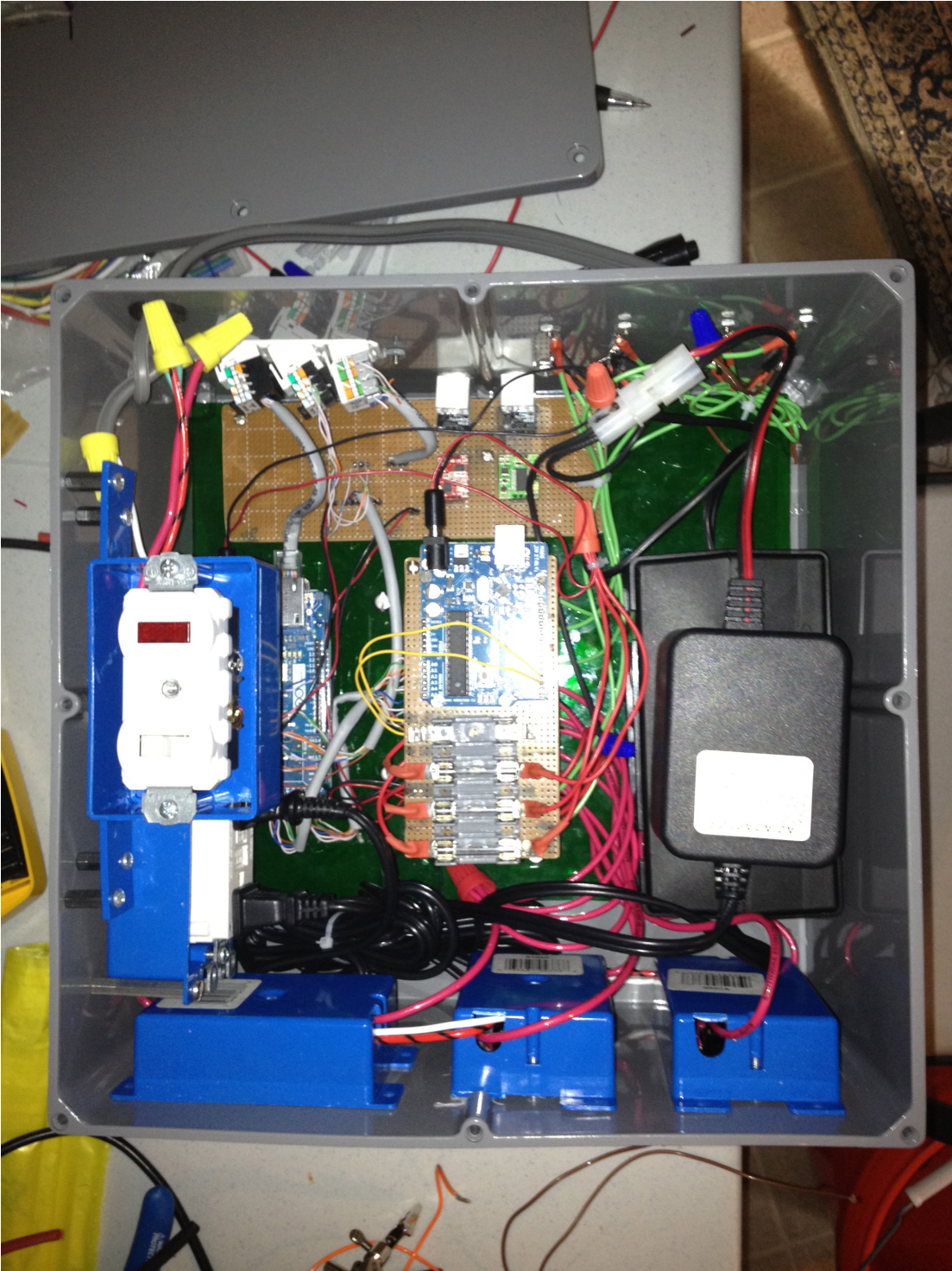


# Appendix B: Additional Conceptual Sketches

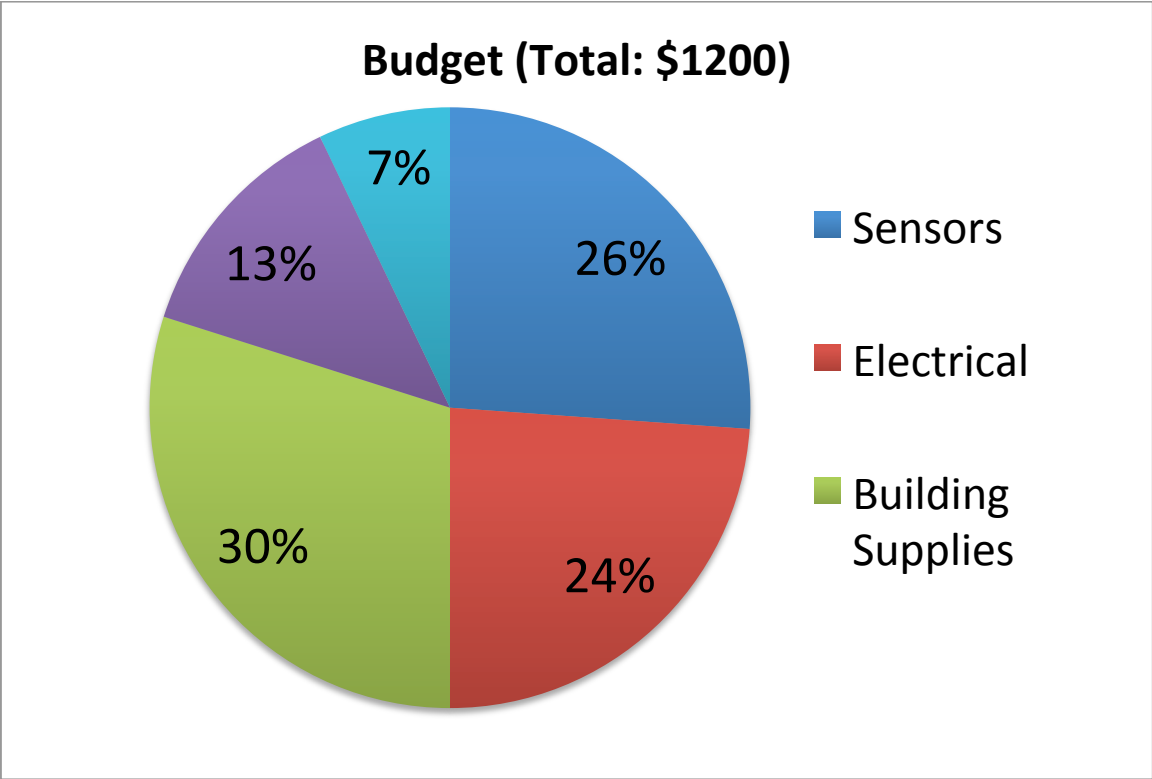




**Appendix D: Electrical Box**

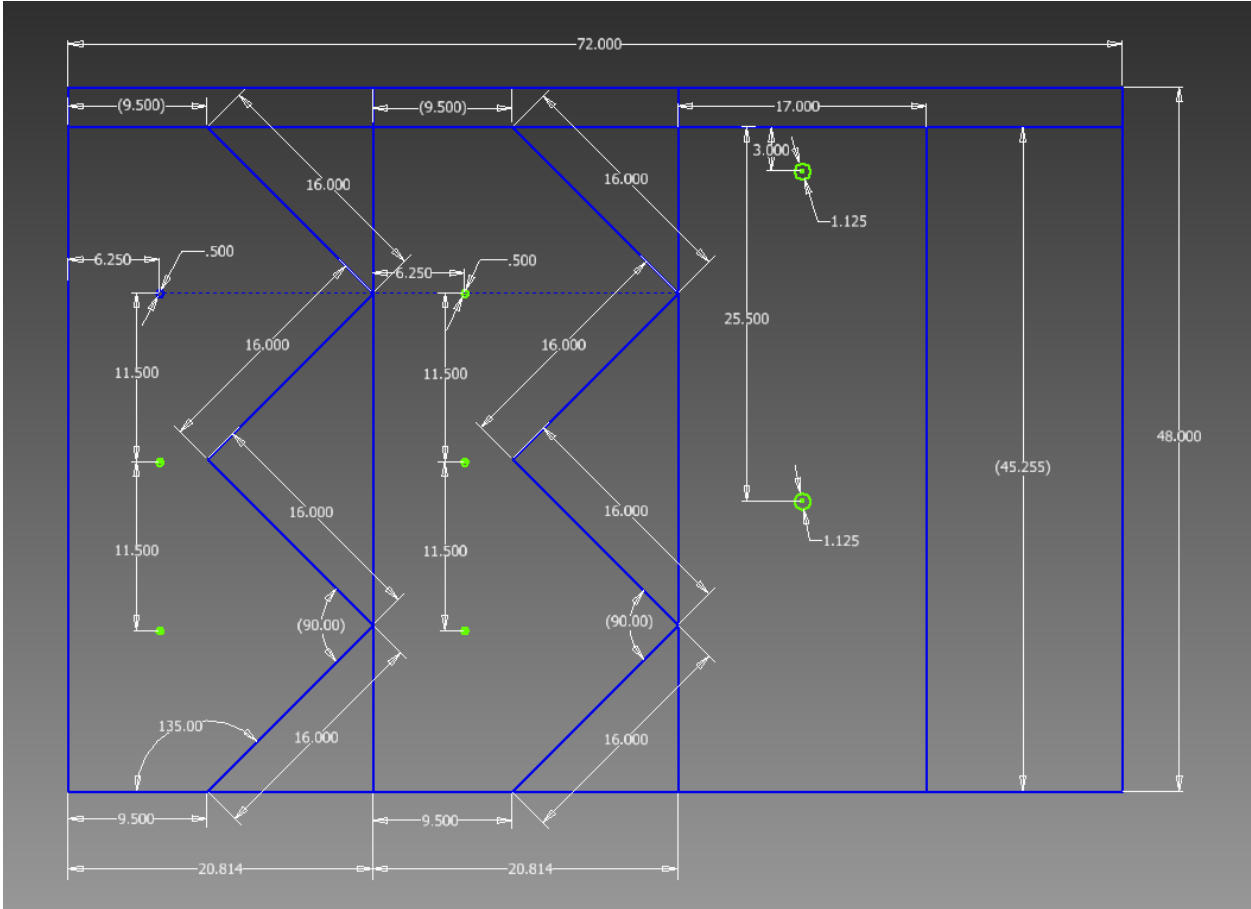


# Appendix E: Prototype Budget





# Appendix F: Cut Specifications



Appendix E: Prototype Images



