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#### Rhetoric of Global Warming: Multimodal Arguments in Public and Scientific Contexts

A Major Qualifying Project Report:			
	Submitted to the Faculty of		
WORCESTER POLYTECHNIC INSTITUTE			
In partial fulfillment of the requirements for the			
Degree of Bachelor of Science			
by			
Eric Andresen			
	Submitted on: April 28, 2009		
Approved:			
Professor Lorraine Higgins	Professor Chrysanthe Demetry	/	

This report represents the work of one or more WPI undergraduate students submitted to the faculty as evidence of completion of a degree requirement. WPI routinely published these reports on its web site without editorial or peer review.

### **Abstract**

Public opinion about scientific issues guides behavior and policy decisions with local and global ramifications. This report analyzes techniques for communicating about scientific issues used in visual media aimed at two distinct audiences, scientific communities and the public. It compares their practices in samples from the current issue of global warming, using an article from the journal *Nature* as an example of scientific communication and the popular documentary *An Inconvenient Truth* as an example of public argumentation.

## Acknowledgements

I would like to thank Professors Chrysanthe Demetry and Lorraine Higgins for their helpful and timely advice throughout this project. Their support has been crucial to its success. I would also like to thank those who attended my presentation of this project. I greatly appreciate their interest in my findings.

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#### Introduction

Knowledge derived from scientific sources guides public action, facilitating societal changes like inhibiting the spread of diseases based on new medical knowledge or increasing work productivity based a technological advance. To motivate the public to adopt such behavioral changes, public advocates must translate findings from the scientific communities where they originate to the general public where they can be acted upon. Researchers rarely communicate directly with the public, leaving public advocates with the task of identifying important findings and communicating their content and relevance to lay audiences.

Media aimed at influencing public discourse are primarily multimodal: television and the internet combine visual and auditory signs while even traditional books and newspapers combine writing with images, graphs and diagrams. Media communicating scientific issues to the public often rely on visuals. Visual explanations increase the accessibility of technical concepts to unfamiliar audiences by fulfilling the communicator's chief objective, highlighting trends and parallels to more familiar concepts and developing a link to existing values. Communicators clarify this association by varying their modes of persuasion, employing data-driven visuals alongside purely emotive ones. While these practices can help audiences grasp the data being presented and can persuade them to care, they may also obscure or oversimplify the original data, creating potentially misleading impressions about their content. Despite visuals' prominent role in public argumentation about science, their potential to manipulate audiences makes their use controversial and demands closer investigation.

Global climate change is one recent issue that demands public discourse. Public concern about the issue has been increasing since the middle of the 20th century, when scientists began to observe its effects. As media aimed at public audiences intensified their focus on the phenomenon in recent

decades, coverage of the topic divided into two primary competing parties: one argues that the scientific consensus on the causes and effects of global climate change warrants preventive action, while a second argues not only that such a consensus does not exist, but also that proposed actions are unnecessary and potentially harmful. No single multimedia argument has polarized the public debate on this topic as much as Al Gore's 2006 documentary, *An Inconvenient Truth*, a presentation of verbal arguments supported by an array of visual evidence. The film employs not only video footage of Gore and his subject matter, but also the graphs, animations, maps and other material that he has gathered as evidence of his claims. Ranked fourth in the list of highest-grossing documentaries in American history, Gore's film thrust the demand for action into public view, prompting myriad attempts by opposing stakeholders to address and discredit his arguments. While none of these responses reached the level of influence of Gore's film, the criticisms are interesting and warrant further attention. How do and should visuals work in public arguments about science?

In this report, I will analyze the argumentative and visual content of Gore's *An Inconvenient*Truth and compare it to the use of argument and visuals in an example of scientific communication about the issue. This analysis seeks to reveal some of the primary differences between the two genres, public advocacy about scientific issues and communication about research findings within the scientific community. I intend to compare the types of visuals employed and the rhetorical role played by visuals in arguments in the two genres. Specifically, I address these questions:

- What arguments are being made? What are the primary claims and type of evidence used?
- How are the arguments supported by visual content? What roles do visuals play in the arguments?
- To what degree is visual evidence elaborated? Is it qualified? Are alternatives considered? Does
   verbal content further describe, explain or source evidence in visuals?

 What do these differences suggest about the genre of public advocacy about science and how it differs from scientific argument itself?

After analyzing the role of visuals in both the documentary and the scientific article, I will explore the implications of these two genres' differences on their methods of communication. I intend for this analysis to improve understanding of how public arguments are composed by revealing the strategies employed in a prominent example. I will highlight the strategies unique to public argument by contrasting it with those used in a scientific argument with a similar purpose.

## **Background**

This section introduces the media I will analyze and the context in which that analysis takes place. The public bases important decisions on the scientific knowledge that it receives through sources intended to translate that information to the public, and global warming exemplifies an issue about which the public must make these decisions. The documentary I have selected stands at the forefront of public discourse about global climate change, a controversial topic that exemplifies the communication between advocates about science and public audiences.

#### **Communicating Science to Public Audiences**

In their paper, "Why Should We Promote the Public Understanding of Science?", Geoffrey

Thomas and John Durant (1987) offer a definition of science they deem appropriate for the context of

public understanding: "scientific knowledge is knowledge that is produced by and in some sense bears

the seal of approval of the scientific community" (p. 5). They further define the scientific community as

the accepted group of individuals that produce new knowledge. The public can be therefore

distinguished from the scientific community by its initial ignorance of this new knowledge. The

knowledge must be communicated from the scientific community to the public.

Understanding of science by the public is almost universally accepted as positive and often as necessary in some way. Thomas and Durant classify the reasons for fostering a public understanding of science into nine categories, ranging from benefits to national strength to benefits to the individual intellect. Among these reasons is the benefit to democratic government, which they explain as the "[promotion of] more effective decision making" (p. 5). They argue that understanding of the scientific aspects of an issue contributes to the formation of a more informed viewpoint overall, a sign of good decision-making.

In their book, *Science in Public*, Jane Gregory and Steve Miller (1998) introduce instances that extend the need for a scientifically informed public from a factor in an informed view to the key factor in the decision-making process. Because scientific research is typically funded by federal grants, the question of how well-funded these pursuits become is at least indirectly decided by the voting public. Gregory and Miller offer the example of how in 1996, NASA's funding for planned missions to Mars was in jeopardy until it cultivated public interest in its work by releasing reports about the possibility that the planet once sustained some form of life, attracting public support for further research and attaching itself to presidential candidate Bill Clinton's election campaign. After the president was elected, the space agency received funding for its missions. In this case, the question answered by public understanding was whether demand for information from Mars warranted funding for NASA's missions.

More frequently, however, the public must employ its understanding of science in problems with outcomes whose direct effects extend beyond the scientific community. When facing a decision such as choosing to elect a ban on public smoking, the voting public must rely on scientists' assessment of all aspects of the situation, from the dangers of passive smoking to the economic impacts on bars and restaurants. Nearly all evidence used in such a decision originates in the scientific community, and in turn, this information is often filtered through some secondary source, such as a newspaper reporter.

#### **Global Climate Change**

Perhaps the most significant ongoing issue requiring communication from scientific communities to public audiences is that of global climate change. Scientists have reported rising global average temperatures since the mid-twentieth century, but reports have presented controversy within the scientific community regarding the causes and implications of this warming trend. Because many reports cite human activity among the causes and dire implications such as extreme weather, rising sea levels and extinction of species, governments and individuals have begun to act.

Global climate change refers to the current warming trends in global surface temperature and that of the lower atmosphere. Scientists have observed these increases over the last century and have found them accelerating in recent years: the eight warmest years recorded since 1850 have occurred in the last ten. Despite the strong overall trend in climate, its effects are quite varied and difficult to predict. Scientists anticipate more extreme weather events, though these may not always take the form of record-high temperatures (Schlein, 2009, p. 1). Increases in precipitation and the severity of storms may also occur.

Most scientists attribute the current trends in global climate change to the buildup of gases like carbon dioxide in the atmosphere. Known as greenhouse gases, they impede the escape of heat from the planet. Human industrial activity such as burning fossil fuels and deforestation contribute to the buildup, explaining the warming trend's increase alongside that of human industrialization.

In 1988, the United Nations Environmental Program and the World Meteorological Association created the Intergovernmental Panel on Climate Change (IPCC), an association of scientists whose goal was to objectively review and report the findings of the international scientific community to policymakers, students and experts (Intergovernmental Panel on Climate Change, 2007, p. 5). While the body conducts no research of its own, it advocates policy decisions such as the acceptance of the Kyoto Protocol, an agreement among industrialized nations to reduce their output of greenhouse gases. Its ratification by over 180 countries (UNFCCC, 2006, p. 1) indicates the panel's widespread acceptance in the international community. Nevertheless, the United States does not intend to ratify on the grounds that its lack of targets for developing nations would cause disproportionate harm to the US economy.

Despite widespread scientific acceptance of IPCC reports, dissenting opinions exist and are frequently cited in media about global climate change (Corbett & Durfee, 2004, p. 132). These may

challenge popular opinions about the efficacy of proposed action such as the Kyoto Protocol, the connections between human activity and climate change, the causes of the phenomenon or its effects.

#### **Overview of Subjects for Analysis**

This section introduces the two subjects of analysis, a popular documentary about global warming and a scientific article explaining findings on the influence of global warming on animal and plant activity. This includes brief overviews of their content, information about the film's creator and reception and information about the journal in which the article appeared. While these samples may not represent the methods of all content in their respective genres, I selected them on the basis of their influence on their intended audiences.

#### "Fingerprints of global warming on wild animals and plants"

As researchers present evidence of a growing trend of global warming, other researchers have turned the focus of their studies on its effects. One such study is documented in the article "Fingerprints of global warming on wild animals and plants," published in the January 2, 2003 issue of the scientific journal *Nature*. Researchers from the Center for Environmental Science and Policy, the American Bird Conservancy, the Department of Fisheries and Wildlife, the National Aeronautics and Space Administration and other institutions composed the authoring team. Described as a meta-analysis, the research overviews findings of 143 localized studies in order to study the effects of rising temperatures on global animal and plant activity. By documenting that roughly 80 percent of changing species have made a shift in the direction predicted for global warming scenarios, the authors assert that "there has probably been a discernable impact of recent global warming on animals and plants" (Root, Price, Hall, Schneider, Rosenzweig, & Pounds, 2003, p. 1). They go on to warn of more significant ecological impact as warming trends continue.

Nature is an international scientific journal that publishes peer-reviewed research with interdisciplinary relevance. First issued in 1869, the publication is now the "world's most highly cited interdisciplinary science journal," according to the 2007 Journal Citation Report Science Edition (Thomson Reuters, 2008). It aims to report significant advances in science and technology and to the larger scientific community and the public, but its audience is composed chiefly of research scientists.

I selected an article from this publication because of its influence on the scientific community as evidenced by its level of citation, as well as its intended purpose of informing its audience of relevant scientific advances. If this is the content that the scientific community deems relevant to public understanding of science, it will typify the information sources filtered by public advocates as they inform the public through popular media. Additionally, its method of employing visuals is typical of content of its type; while the article contains supplementary visual content, the verbal arguments are sufficiently developed to stand alone.

#### An Inconvenient Truth

Public concern about global warming saw a moderate rise in 2006 (Nisbet & Myers, 2007, p. 444) coinciding with the release of Al Gore's hit documentary, *An Inconvenient Truth*. Drawing on Gore's status as a public figure established in his 1993-2001 run as Vice President of the United States and loss in the historically close 2000 presidential race, the documentary follows as he presents a slideshow that argues that the global warming in recent decades has been caused by human activity and will have devastating effects on human populations and the environment unless governments and individuals take action to reverse the changes. He also reflects on the importance of maintaining the environment for future generations. The film won an Academy Award for best documentary feature in 2006, and the New York Times listed the accompanying book, whose content followed the movie closely, as the number one bestseller for the week of July 2 of that year. The enormous success of the film indicates

the level to which the public has been exposed to its arguments. I selected it as a sample of public argument because it is perhaps the most successful exposure of a scientific issue to public audiences in recent history, and its content remains relevant to an ongoing series of policy decisions regarding that issue.

#### Responses to An Inconvenient Truth

Despite the strong positive reception, the documentary also prompted significant criticism. To those viewing the issue as a political one, a perception that reports of contrary opinions has helped foster, Gore's work suffers from severe partisan bias. This criticism was the subject of a British legal case brought by school governor Stewart Dimmock, who objected to plans to show the film in schools, citing both one-sidedness and scientific inaccuracy. A high court judge ruled that both charges held merit, and that while the film could still be shown in schools, it should be accompanied by a disclaimer about it shortcomings. Among the nine significant inaccuracies the court cited were Gore's attribution of the melting of Kilimanjaro's snow, hurricane Katrina and the bleaching of coral reefs to global warming. The court ruled that while Gore's was "broadly accurate," evidence for these and other claims was insufficient (Adam, 2007, p. 1). This general acceptance despite minor scientific inaccuracies or exaggerations typifies the film's reception among those who accept the common scientific consensus about climate change.

The undeniable popularity of Gore's work prompted advocates for dissenting viewpoints about global climate change to launch myriad counterattacks. These ranged from innumerable weblogs and weblog posts to more formal, point-by-point attacks on Gore's content. In one such paper, entitled "Al Gore's Science Fiction: A Skeptic's guide to An Inconvenient Truth," environmental policy advocate Marlo Lewis (2007) argues that "nearly every significant statement Gore makes regarding climate science and climate policy is either one sided, misleading, exaggerated, speculative, or wrong" (p. 3),

outlining evidence with specific examples from Gore's work and citing opposing research. While the paper is displayed and discussed on numerous websites and is the basis for a slide presentation that aired on C-Span and an upcoming book, it and other responders to Gore's film have never reached the level of popularity that *An Inconvenient Truth* has enjoyed.

Other media questioning Gore's conclusions have taken a more similar form to that of Gore's film, such as director Martin Durkin's *The Great Global Warming Swindle*, a British television documentary. Without discussing Gore as specifically as Lewis, Durkin questions the overall conclusion that human action has caused the current global warming trend. In addition to disputing some of the evidence for this connection presented by groups like the IPCC, he also alleges that these groups discourage dissenting views. He also interviews scientists with alternative hypotheses about the causes and effects of global warming, as well as those arguing that proposed actions to curb emissions are not only unnecessary but harmful.

While the documentary has never approached the level of critical acclaim of Gore's work, those disputing mainstream views about climate change hail Durkin's work as a fitting counterpoint to *An Inconvenient Truth*. Advocates have offered copies to the British school system as a response to Gore's already circulating film (Leake, 2007, p. 1).

Like Gore's film, the release of this documentary prompted allegations of misinformation by those with opposing views. The film faced numerous scientific objections, even from the scientists featured on the program. Carl Wunsch, an oceanographer featured in Durkin's original release, alleged that the director "set out to imply, through the way he uses me in the film, the reverse of what I was trying to say" (Wunsch, 2007). In response to these and other complaints, many networks have aired edited versions, some omitting Wunsch's appearance. Others respond to broader complaints by

including disclaimers that some of the content may be controversial or inaccurate. Originally shown on British Channel Four, it has subsequently aired in Germany, Hong Kong, New Zealand and Australia.

#### **Visuals as Communication Tools**

Much of the communication between scientists or science reporters and the public is multimodal; that is, the ideas are communicated through not only verbal means, but also through some additional mode of communication, such as visuals (Kress & van Leeuwen, 2001, p. 24). This multimodal communication occurs wherever text is accompanied by graphics, including journals, newspapers, television and film. While the scientific illustration and other visuals accompanying scientific writing may communicate similar ideas, each has the capacity for independent communication. Scott Montgomery (200) states of visuals in *The Chicago Guide to Communicating Science*, "they don't just restate the data or reduce the need for prose, but offer a kind of separate "text" for reading and interpretation" (p. 113). What he calls their "pictorial rhetoric" is the source of their content. I outline methods for classifying this content in the following section.

## Methodology

This section outlines my approach to addressing my research questions. In the context of my two media samples, a popular documentary aimed at public audiences and an article in an influential journal aimed at a scientific audience, I will analyze the types of claims and evidence used, the roles and purposes for visuals used, and the ways in which evidence is elaborated and qualified. Here, I introduce the terminology and frameworks for classification I will apply to these subjects.

#### **Documenting Claims and Evidence**

Before analyzing visuals' role in public discourse about climate change, I first identified the arguments made by the participants in that discourse. As defined by Richard Fulkerson in his book, *Teaching the Argument in Writing*, "an argument is any set of two or more assertions in which one (or more) is claimed to offer support for another" (1996, p. 2). To further characterize the assertions within an argument, he uses a set of definitions for components of an argument established by British philosopher Stephen Toulmin, which I will use to describe arguments about global climate change.

#### **Argument Composition**

Toulmin proposes that an effective argument consists of three main components: claim, data and warrant. At the center of this model is the claim, an assertion that the arguer wishes the audience to accept and that the other assertions are provided to support. The primary support for that claim comes from data, which are additional assertions that would encourage the audience to accept the claim. To guide the audience from data to claim, the arguer either explicitly offers or implies a warrant, a general rule that allows data to support a claim. The following statements compose a simple argument: "That dog has no collar. It has no owner." In this case, the latter sentence is the claim, supported by the data in the first sentence. As is the case in some more readily accepted arguments,

the warrant is not provided, but rather implied: "Dogs with owners wear collars." The speaker might have offered a third sentence to explicitly state this if the audience was likely to need stronger persuasion or be unfamiliar with the rule stated in this warrant. Regardless of whether it is stated or unstated, however, the warrant is a necessary part of an argument under the Toulmin model.

Toulmin's terminology allowed me to classify the components of the arguments made in my subjects. I first summarized the major claims in the film and article. I then characterized the type of evidence used for each, to give the reader an overall sense of how each argument was composed. Finally, I grouped each visual by the claim to which they belonged and the component of that argument that they constituted, as defined by Toulmin: data, warrant and claim. To compare how each media sample uses visuals to support its claims, I prepared a bar chart of quantity of visual evidence offered for each claim, noting the most common types of visuals used and the most common roles they played in the argument.

#### **Argument Strategies**

After identifying the composition of each argument made in my two media samples, I will sample key pieces of evidence for a closer investigation of how they are linked to claims. Fulkerson offers six strategies for linking claims to data: argument for a generalization, argument from analogy, argument from sign, argument from principle, argument from authority and causal argument (1996). Each type draws upon a different type of warrant to reach the claim from the data, but the types are frequently used in conjunction with one another. Table 1 explains each strategy and offers an example:

Strategy	Warrant's Assertion	Example	
Argument for a Generalization	What is true of a proper sample, such as the one offered in the data, will also be true when extended to the whole.	Data: A television show was more popular than its competition among a sample of viewers, determined to be representative of viewers across the nation.  Claim: This television show is more popular across the nation.	
Argument from Analogy	Similarities between two situations extend beyond what has been observed in the data to what is stated in the claim.	Data: A television show with a similar format and airtime to a proposed show has been successful recently.  Claim: The proposed show will also be successful.	
Argument from Sign	The signs observed in the data indicate that the claim, an unobservable fact, is true.	Data: The suspect was found in possession of the stolen goods and had no alibi for the time of the theft. Claim: The suspect committed the theft.	
Argument from Principle	A principle, whose relevance to the situation is supported by the data, is generally acceptable.	Data: Americans value an individual's right to privacy. Claim: An American politician should not be bound to disclose details of her personal life.	
Argument from Authority	An authority's assertions in the data are sufficient evidence for the claims, given the backing of the authorities' reputation.	•	
Causal Argument	If one phenomena followed by another is frequently observed in the data, the former causes the latter, excepting any rebuttal cases.	falling asleep after exercising in the	

Table 1 Fulkerson's six strategies of argument in Toulmin's terms of warrant, data and claim

In addition to outlining these argument strategies, Fulkerson also offers methods of evaluating them. I will use these methods to determine how effectively key pieces of evidence are linked to the major claims. This will help me determine the degree to which my samples' claims are supported by data.

To evaluate an argument, Fulkerson recommends the STAR system: a reliable argument requires "a Sufficient number of Typical, Accurate and Relevant instances." Arguments with fewer of these

instances require more qualification (Falkerson, 1996, pp. 29-36). Reliable arguments from analogy require more "relevant similarities" between the things being compared, while relevant dissimilarity weaken its reliability. Assessing an argument from sign may also require judgment of the strength of the relationship between the sign and what it is said to indicate. These arguments can be deemed unreliable by revealing alternate means of producing the sign.

#### **Types of Claims**

Fulkerson offers an alternative to classifying arguments based on their strategies: stasis theory, a method that uses an argument's claim to identify its purpose. By synthesizing a range of proposed methods for classifying claims, Fulkerson has developed his own, which I will use to identify the purpose of each claim presented in my subjects. His categories for claims follow:

- Substantiation: These claims make objective assertions. They may offer the definition of a concept; the answer to a question of fact; or comparison, categorization or causal statements based on objective criteria. For example, a lawyer might define the legal criteria for an assault, compare the physical size of the defendant and the claimant, or claim that the claimant's injuries resulted from an altercation with the defendant.
- Evaluation: These claims make subjective assertions. They may offer judgments about morality,
   value, efficacy or desirability. For example, a lawyer may claim that striking someone
   significantly smaller is an especially immoral form of assault.
- Recommendation: These claims make suggestions for action. They generally offer a proposal for what should or must be done. For example, a lawyer may argue that the defendant receive the strictest sentence available.

Fulkerson notes that these types of claims are sequentially progressive. That is, the initial claims form a foundation on which the later ones rely. Claims of substantiation, once established, allow an

arguer to make further claims of evaluation. These claims then support any claims of recommendation. For example, once a lawyer defines a crime in general terms and then asserts that these criteria were met in the instance in question. These are claims of substantiation. The lawyer can then make evaluative assertions based on that substance, such as a claim that the defendant's actions were especially immoral. Finally, based on that judgment, the lawyer may make a claim of recommendation, suggesting that the defendant face the harshest penalty for those immoral, criminal actions. In some cases, claims of the same type may build upon one another, as the definition of the crime enables the lawyer in the last example to assert that the criteria were met. Both are claims of substantiation.

I will use these methods of classification to identify and compare the purposes of claims in my subjects. After identifying the claims, I will label each substantiation, evaluation or recommendation. From this, I will map how claims build upon one another in sequential progression. After classifying both the journal article and the film, I will compare the purposes of their claims, and how their claims interact. I will also compare the quantity of evidence supporting each, identifying the emphasis of their work's purpose.

#### **Determining Visuals' Roles and Purposes**

After documenting the claims and evidence presented in the two samples' arguments, I compared the communicators' strategies for utilizing visuals. For this, I developed a list including a description of each visual in each sample. For reference, I numbered the visuals in order of their first appearance in the film or article in a table. The complete lists can be found in Appendix A: Lists of Visual Content. I then established quantitative measures of the characteristics of the visual content as it would relate to the themes of my research.

#### **Form**

For each visual, I identified the form it took. Broadly categorized, these forms include quantitative and qualitative visuals. Qualitative visuals depict physical forms or general ideas, but do not involve numerical data. They include still images like photographs, drawings and paintings, as well as moving images like video and animation. In contrast, quantitative visuals depict numerical values and their trends. They include plots and charts derived from data sets, as well as tables of raw or summarized data, which Tufte calls quantitative displays.

Due to their reliance on symbolic representations, quantitative visuals rely on established methods of modeling numerical content. Typical methods include charts and plots, which use patterns in an image to represent values and trends. Some common types of charts and plots include bar charts, line charts, area charts and box plots.

In addition to labeling the types, I also indicated the level of detail provided in the visual, including whether specific values and axes were labeled and explained. In these quantitative visuals, exact values can be emphasized by including labels, while others may emphasize general trends by omitting these. Because perception can be influenced by these design choices, they constitute an opportunity for unethical manipulation. Omission of labels, exact values and titles may lead to oversimplification or may hide other methods of manipulation, such as alteration of scale or data grouping. Because of the implications of these choices, I indicated when each of these practices was applied. To compare the proportions of visual content that took each form, I used a pie chart emphasizing the distinction between quantitative and qualitative visuals.

#### **Rhetorical Appeals**

In looking at the roles that visuals play, it can help to identify the methods of persuasion used.

Aristotle describes three appeals that rhetors use to persuade. I categorized my subjects' arguments

based on their methods of persuasion, including both logic and rhetoric, drawing upon the distinctions between logic and rhetoric made by Aristotle. In their book, *Fundamentals of Argumentation Theory*, Frans van Eemeren, Rob Grootendorst, and Francisca Snoeck Henkemans (1996) outline these distinctions between these two approaches to argument: logic demands evidently true data and a valid warrant to arrive at a claim with certainty, while rhetoric aims to make a connection between data, warrant and claim that the audience finds persuasive (p. 33). Under Aristotle's model of the three rhetorical appeals, the task of providing convincing logic is only one method used in the overall task of producing a persuasive argument.

Aristotle proposed that in rhetoric, persuasive appeals comprised three categories: logos, the appeal to logic; pathos, the appeal to emotion; and ethos, the appeal to the presenter's character or reputation. Effective argument balances the three by presenting claims drawn from data through logical warrants, supported by appeals to emotion, and offered by an arguer established to be fair and credible. For example, an advertisement for children's cough medicine may include images of sick children being comforted as an appeal to pathos, favorable comparisons of the medicine's price and effectiveness to its competitors as an appeal to logos, and references to the company's long history in the business as an appeal to ethos.

I used these appeals to classify the arguments I identify in my subject material, allowing me to compare the roles of visual and verbal arguments in each of these appeals. After classifying how each visual factored into an argument, I identified which of the three rhetorical appeals it employed: ethos, pathos or logos. To do this, I indicated whether a given visual exhibited each of the appeals independently, allowing for cases in which a visual employed more than one theme.

#### **Identifying Qualification and Elaboration**

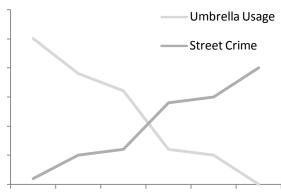
While the concepts of rhetoric are typically reserved for analysis of verbal arguments, their applications have also been extended to visual media. In their book, *Defining Visual Rhetorics*, Charles Hill and Marguerite Helmers explain that visuals are capable of presenting comparable assertions to those made by verbal arguments; in Toulmin's terms, visuals may offer claims for a viewer to accept or reject, as well as data in support of an argument. Thus, means of classifying verbal arguments, such as those offered by Fulkerson, will also be applicable to visual arguments. The quality of these arguments corresponds to how well their arguments integrate supporting visuals through qualification and elaboration.

#### **Qualifying and Rebutting**

In Toulmin's model, three additional components accompany the primary data, warrant and claim: backing, rebuttal and qualifier. In most arguments, the arguer limits the certainty of the claims by adding qualifiers. In my original example, the simple argument that a dog with no collar has no owner, the claim could be amended to the more realistic, "It probably has no owner," recognizing that the warrant will not allow the user to accept the claim based on the data in all cases. Qualifiers can range from "possibly" to "certainly," depending on the likelihood that the warrant will hold. The arguer may also limit the claim's certainty by offering rebuttals, cases in which the data and the warrant may not lead to the conclusion. In the example, the speaker may add "unless it has lost its collar," offering a scenario in which the data is true, yet the claim is not. Finally, if the arguer anticipates that the audience may disagree with the warrant, backing may help support it. In our example, the speaker may cite a local law requiring that all pets to be licensed and wearing a tag.

#### **Supporting Arguments Visually**

According to Hill and Helmers, "visual arguments are typically enthymemes — arguments with gaps left to be filled in by the participation of the audience." In Toulmin's terms, this means that one or more of the components of an argument are missing from the visual argument, leaving the viewer to



**Figure 1** An example of how correlation may falsely imply causality

draw the connection between the existing ones, such as inferring the intended warrant or claim. While this may detract from the strength of the logical link between data, warrant and claim, it offers the audience a chance to adapt the argument to their understanding, increasing its persuasiveness. This strategy is especially helpful when this missing component is the argument's weakest

link. If that component is easy to disprove, the same becomes true of that argument as a whole. Thus, leaving that component unstated but implied strengthens the argument. For example, if an advertisement depicts athletes performing outstanding feats while wearing a specific brand of sportswear, the implied claim is that the brand enables its wearer to perform outstanding feats. If stated, this claim could be easily disproved, but when it is merely implied, the audience may create the association offered without consciously recognizing the argument.

If left unfilled, gaps in the argumentation process may mislead the audience. Therefore, a responsible communicator must complete any argument presented to minimize the risk of leading the audience to accept claims that have not been fully established by the data or whose warrant does not properly link them to the data. For example, if a communicator presented Figure 1 as a graph of the strong inverse relationship between umbrella usage and the incidence of street crime, discussion of that inverse relationship could imply causality: umbrella usage prevents street crime. While the

communicator may not state this false claim, it could be implied through enthymeme if no claim were made in such a discussion.

Because data direct the audience to accept or reject an argument's claim, the quality of that data must be established. Working from historical examples of visual evidence's role in crucial decisions, visual communication expert Edward Tufte concludes that clear and scientific displays of data correspond to clear and scientific reasoning: "if displays of data are to be thoughtful and revealing, then the logic of the display must reflect the logic of the analysis." To effectively create quality visuals based on quality logic, he and others (Bouvée & Thill, 2008) recommend:

- Documenting data sources: To establish that data are worthy of consideration as evidence of a claim, the communicator should reveal how and by whom the data were gathered. For example, a chart of data about income levels collected in the US Census would warrant consideration that data collected in a voluntary response survey at a single time and location would not. While the methods used by which the Census was conducted may not be included, the ethos of this institution is strong enough that most viewers would accept that its methods would have been appropriate to the study.
- Documenting data characteristics: To ensure that the audience shares the communicator's interpretation of visual evidence and to avoid enthymemes, an accompanying explanation of the key features of the visual and their implications may strengthen its effectiveness. If figure one were presented with no explanation, the inverse relationship between the two data sets and the possible implications may escape a viewer with low statistical literacy. As visual explanations become more complex or the relationships depicted become more subtle, the need for accompanying explanation increases. Further, Bouvée and Thill emphasize the importance of consistency between verbal and visual explanations. Not only can discrepancies between these

two information sources confuse or mislead the audience, but they can also detract from the communicator's credibility.

• Considering alternative explanations: To authentically establish a causal link between two phenomena, a communicator must not only establish a strong correlation between the two, but the cause must also be isolated as the only possible driver of the effect. For example, discussion of figure one may imply that umbrellas ward off street criminals, but proper consideration of alternative explanations would introduce the confounding factor of weather.

#### **Verbal Explanations**

To analyze the design quality of my subjects' material, I will seek and identify argumentative practices in their visual evidence. I will identify documentation of the sources and characteristics of each visual and look for consideration of alternative explanations. Greater evidence of these approaches will yield a more positive assessment.

I recorded the content of any verbal explanations that accompanied visuals. When this explanation included explicit references to visuals, I recorded the following:

- Description of characteristics: I indicated if the content of a visual was described verbally to clarify and reinforce its content or if the visual was left to deliver its message independently.
- Alternatives addressed: I noted if any accompanying verbal explanation addressed possible alternative interpretations of data to the one primarily presented, including any that were rebutted.
- Citation of data source: For visuals illustrating data, I identified if and how a source for that data was indicated. Because the source of a set of data can have direct bearing on its quality and reliability, a communicator is ethically bound to cite them. Information about how, when and by whom the data was gathered may also be relevant as the audience analyzes its content. I

noted where the source was indicated within the visual and where it was cited in the verbal explanation of the data. I also noted if no source was cited for some sets of data.

Using the data gathered in this analysis, I will follow with closer analysis of selected examples of key visuals in each media sample. I will explain how the rhetorical appeals are employed in each example by identifying the argument strategies employed in these visuals and their accompanying arguments.

#### **Logical Fallacies**

A rhetorically sound argument requires logical constructions that are persuasive but not necessarily valid, but fallacious logic may detract from this aspect of an argument's persuasiveness. In *Logical Fallacies*, Tim Holt categorizes these errors of logic into three types: fallacies of relevance, fallacies of ambiguity, and fallacies of presumption. In a fallacy of relevance, data are offered that do not directly apply to the claim being made. Examples include appeals to consequence, in which the data argue that accepting the claim will have positive consequences or rejecting it will have negative ones. In a fallacy of ambiguity, the data only appear to support the claim due to imprecise language. An example includes the straw man argument, in which a claim denouncing a competing position is made based on data that misrepresent that position. In fallacy of presumption, claims are made based on faulty data. Examples include cum hoc or post hoc arguments, fallacies arising in causal argument when occurrences observed concurrently or in succession (respectively) are considered evidence of a claimed causal relationship without proper warrants for making these connections.

In each of Fulkerson's argument strategies, the arguer may also be more likely to make certain logical fallacies. Causal arguments present the danger of a *post hoc, ergo propter hoc* fallacy, the equation of a correlation with a cause. Arguments from authority risk the fallacy of appeals to authority, in which the arguer labels claims reliable because their source is an established expert.

I will use these methods to determine how effectively key logical arguments are composed to support the major claims. This will help me determine the strength of the arguments supporting these samples' claims.

#### **Summary**

Table 2 summarizes how I will use the results of my analysis to address each of the research questions I have posed. I will use the quantitative data from my analysis to address the first three questions, and I will draw upon these results to make conclusions about the fourth.

Question	1: What arguments are being made in each of the subjects?	2: How are the arguments supported by visual content?	3: How is visual evidence elaborated and qualified?	4: How do the genres of public advocacy and scientific argument relate?
Methods	<ul> <li>Summarized key claims</li> <li>Labeled purpose of claim (substantiation, evaluation, recommendation)</li> <li>Identified examples of typical argument strategies</li> </ul>	<ul> <li>Identified the rhetorical appeals made by each (ethos, pathos, logos)</li> <li>Identified number of visuals and types (qualitative, quantitative)</li> <li>Matched visuals with claims they supported</li> </ul>	<ul> <li>Identified if sources were documented</li> <li>Evaluated quantitative visuals' labels</li> <li>Identified where alternative explanations were posed</li> <li>Identified qualifying statements accompanying claims</li> <li>Identified examples of logical fallacies</li> </ul>	Compared findings between the two genres across the previous three categories

 Table 2 I will use certain aspects of my findings to answer specific research questions.

### **Results and Analysis**

After documenting the visual content in "Fingerprints of global warming on wild animals and plants" and *An Inconvenient Truth*, I gathered the following quantitative data about the characteristics of that content. Due to its primarily visual medium, the film contains vastly more visuals than the journal article: "Fingerprints of global warming on wild animals and plants" includes three visuals while I documented 77 visuals in *An Inconvenient Truth*. In the documentary, these visuals include the scenes that compose the film, as well as the visuals with which Gore supports his arguments within the film. In the article, these include a table summarizing the numerical data and two figures illustrating the trends in those data, a stacked bar graph and a forest plot of means and their standard errors.

I used these data to identify patterns in the types of claims, visual support and elaboration of evidence in my subjects. This analysis helped me respond two my three initial research questions, and by comparing these findings, I also drew conclusions about relationship between the genres of public advocacy and scientific argument.

#### What arguments are being made in each of the subjects?

In the two samples I investigated, I found that the purpose for the claims made in the journal's scientific argument differed substantially from those made in the film's public argument and that these differences extend into the type of support offered in each genre. The scientific argument focuses on questions of substantiation. While it also makes minor recommendations based on the substantiation, these appear in the concluding statements as potential applications of the research. This example shows that scientific argument's ultimate purpose is to inform its audience. To support these substantive claims, the authors use primarily quantitative visual evidence. In contrast, the public argument focuses on questions of policy or recommendation. To build a case for a recommendation or

policy, my example shows that public arguers follow a sequential progression from layers of substantiation and evaluation to arrive at the primary claim. To support these claims, they use both quantitative and qualitative visuals.

#### **Key Claims and Their Purposes**

To document the arguments made in each sample, I first identified the major claims. In the journal article, I identified two claims: (1) "there has probably been a discernable impact of recent global warming on animals and plants"; and (2) there is a "need for actions to modify conservation efforts and future planning to account for climate change, and to slow the projected rate of warming."

As Fulkerson demonstrated, different claims may serve different purposes, as these do. The first makes a claim of substantiation by offering an answer to a question of fact: has global warming impacted wild animals and plants? The second makes a claim of recommendation by calling for action in response to a question of policy: should preventative action be taken in response to global warming? Nine of the scientific article's ten paragraphs support the first claim, while the second claim is introduced only in the final paragraph and relies heavily upon the first. The imbalanced emphasis favoring the claim of fact indicates that the scientific article's overall purpose is substantive.

Furthermore, the secondary recommendation claim is underdeveloped; under Fulkerson's model, a fully developed recommendation claim should utilize sequential progression by stemming not only from substantiation, but also from evaluation. The article makes no attempt to evaluate the moral content any of the content it discusses, sacrificing that potential to strengthen its recommendation in favor of a stronger substantive emphasis. The article's purpose is to provide its audience with factual information, leaving evaluative judgment and ultimately the choice of action to the audience.

In the documentary, I identified five claims: (1) the global climate is warming; (2) humans' emissions of greenhouse gases are causing global warming; (3) global warming has and will continue to

have devastating consequences on the environment and human populations; (4) to allow global warming to continue would be "deeply unethical"; and (5) we must prevent global warming by changing our way of thinking and our emissions.

Under Fulkerson's model, the purpose of the first three claims is substantive, the fourth is evaluative, and the final claim makes a recommendation. Unlike the scientific article's claims, these five create a sequential progression toward the final claim. The final claim bases its recommendation on the evaluation made in the fourth. That evaluation judges the moral value of facilitating the conditions established in the previous two substantive claims. These two claims rely factually on the first. That is, Gore's call to preventative actions relies upon the established immorality of humans' contribution to the established devastating consequences of the emissions that he established to be the cause of the global warming that he established to be occurring. Through this sequence, the film places its ultimate emphasis on the final claim, the recommendation. The film's purpose is to provide its audience with the necessary motivation to guide their choice of action.

#### How are the arguments supported by visual content?

In the journal article, all three visuals serve as evidence of the authors' first claim. The article's visual content is entirely quantitative, consisting of a table of numerical summaries of the meta-analysis, a stacked bar chart showing the frequency of each level of change observed, and a forest plot summarizing the individual mean changes among species and a combined mean, along with their confidence intervals for each. All three included sufficient labeling to determine values to a relevant level of precision. For example, one can observe from the stacked bar graph in Figure 2 that a combined 18 species changed by a decrease of three days over the past ten years or that one significant and one nonsignificant species exhibited a -14 day change.

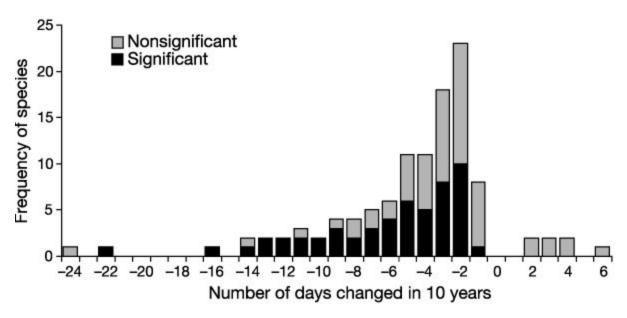


Figure 2 A stacked bar chart of species displaying behavioral change in the Nature article

This supports the substantive emphasis I identified while analyzing the types of claims made in the journal. As visuals provide emphasis and reinforcement of the key claims in an argument, the focus on visual support for the first claim indicates that it is the author's primary focus. By excluding qualitative visuals, the authors further emphasize the substantive content of their article.

The documentary contains both quantitative and qualitative visual content. Of its 77 visuals, 21 are quantitative, including 9 line charts, 6 bar charts, 5 area charts, and 1 numeric table. Of these 21 quantitative visuals, 8 were sufficiently labeled to allow the audience to determine relevant values.

Only overall trends were visible in the remaining 13. Figure 3 shows two charts that are typical of Gore's quantitative evidence. The pair of charts on the left include labeled axes that enable the reader to ascertain exact values, while the chart on the left does not label values for its temperature trend.

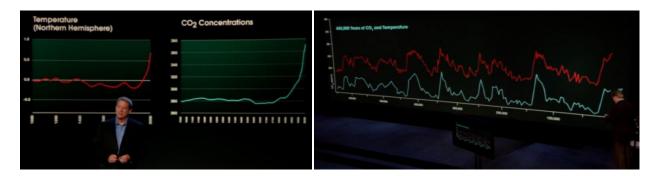


Figure 3 Gore using graphs to argue a causal relationship

The remaining 56 visuals are qualitative, including 23 photographs, 11 videos, 10 animations, 8 maps, 2 non-numeric tables, and 1 illustration. Figure 4 shows two photographs that are typical Gore's qualitative evidence.



**Figure 4** Gore argues that receding glaciers signify a global warming trend.

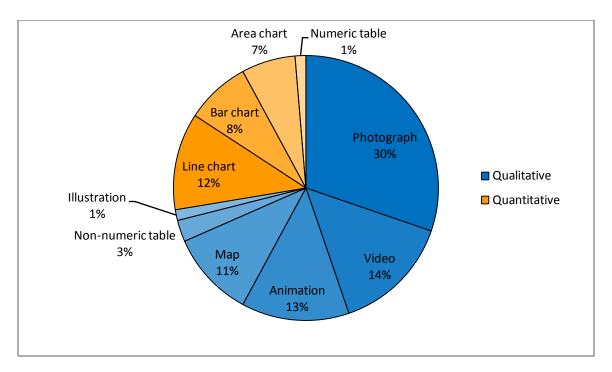


Figure 5 Types of visuals used in An Inconvenient Truth

Of the 77 visuals I identified in the documentary, 6 support the first claim, 12 support the second claim, 36 support the third claim, 14 support the fourth claim, 4 support the fifth claim and 5 support all claims. Gore therefore contributes the most evidence to support the claim that global warming has and will continue to have devastating consequences on the environment and human populations. Visuals supporting all claims did so by bolstering the ethos of Gore as a presenter, illustrating some quality that made him a worthy teacher of the content of his claims. While many of his visuals support his ethos, these five visuals served no other purpose. For example, Figure 6 shows Gore during his time as Vice President of the United States. While irrelevant to claims regarding global warming, the images build Gore's ethos by showing him in an distinguished position of public service.



Figure 6 Vice President Gore greeting a crowd of supporters

Of the 21 quantitative visuals I identified in the documentary, 2 support the first claim, 4 support the second claim, 10 support the third claim, 5 support the fourth claim, and none support the fifth claim or all claims. This distribution is approximately even, proportional to the overall distribution of visual evidence.

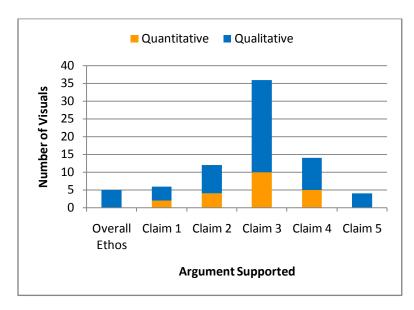


Figure 7 Quantity of visuals supporting each argument in An Inconvenient Truth

The documentary's third claim, which asserts that global warming's consequences have been and will continue to be devastating, receives substantially more evidence than his other four claims.

Considering the claims' purposes, this indicates that the film's emphasis lies in establishing a moral

imperative to act. Because the fourth, evaluative claim makes that assertion about the moral value of allowing such a situation to exist, its impact relies on the reality of such a situation. While the straightforward matter of linking immorality to facilitating catastrophe, claim four, requires relatively little support, establishing the reality of that catastrophe requires substantially more evidence.

The journal's scientific argument uses primarily logical appeals, supported by some ethical ones, like authors' credentials in the bylines and the source documentation in the references section. Their visual evidence is solely demonstrative with no evident pathos. In contrast, public argument uses a balance of all three appeals, and places greater emphasis on evidence that illustrates as well as demonstrates.

#### **Rhetorical Appeals**

As evidence in an argument, all of the visual evidence made a rhetorical appeal. Visuals make varied rhetorical appeals in *An Inconvenient Truth*, while the *Nature* article employs exclusively logical and ethical appeals.

In the article, all three visuals appeal to logos, while 2 of the 3 make secondary appeals to ethos. The visuals in the documentary make a greater variety of appeals, with some visuals simultaneously making multiple appeals. For example, Figure 2 appeals to logos by offering numerical evidence that the bulk of species have made the hypothesized shift.

Of the 77 visuals I identified in the documentary, many rely on multiple appeals. 42 appeal to ethos, 53 appeal to pathos, and 49 appeal to logos. For example, the photographs in Figure 4 and the charts in Figure 3 make logical appeals by offering evidence for the existence and cause of global warming, respectively. Each also makes an additional appeal: the photographs make a pathetic appeal to a sense of loss as these natural wonders diminish, while the charts appeal to the ethos of scientifically-derived numerical data.

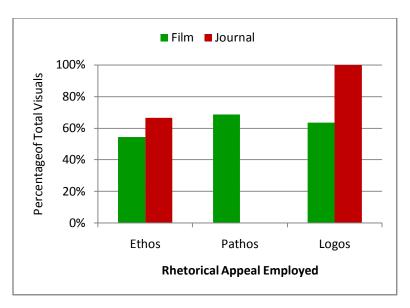


Figure 8 Quantity of visuals employing each visual appeal in the film and journal

## **Purposes for Visuals**

The journal article's visual content is entirely evidentiary. Given their focus on logical appeals to support substantive claims, this emphasis on evidentiary visuals serves the overall function of informing the audience about the content with minimal evaluation. All three visuals exhibited their data with sufficient clarity to allow the audience distinguish individual values and discern patterns from them.

In Figure 9, the authors offer a summary table of exact values to support their claim that "there has probably been a discernable impact of recent global warming on animals and plants," allowing the audience to derive their own conclusions based on the data. This visual logically demonstrates the claims made in the verbal content, but simultaneously bolsters the ethos of the presenters by offering proof not only that they have based their claims on quantitative evidence, but also that they have followed proper methodology by using statistical procedures like confidence intervals to account for appropriate levels of uncertainty.

Table 1 Summary statistics for meta-analyses										
	Significant species	Nonsignificant species	Combined species							
Number of species changing	586	882	1,468							
Number changing in expected direction	482	708	1,190							
Percentage in expected direction	82.3%	80.4%	81.1%							
90% confidence interval for percentage in expected direction	73.4-88.6%	70.5-87.4%	74.2-86.5%							
Effect size (δ)	-0.09	-0.23	-0.23							
90% confidence interval for δ	-0.12 to -0.06	-0.30 to -0.14	-0.29 to -0.17							
Standard error for δ	0.0004	0.0023	0.0014							
Correlation coefficient (r)	-0.05	-0.12	-0.12							
90% confidence interval for r	-0.06 to -0.03	-0.16 to -0.07	-0.15 to -0.09							
Standard error for r	0.0002	0.0012	0.0007							

A breakdown of values for those species or groups of species that were found, in the studies examined, to have statistically significant trends for various traits and for those that were not statisticall significant. In addition, values are listed for the combination of these two categories of species or species groups.

Figure 9 A numerical table summarizing the article's quantitative evidence

The documentary's visual content not only offers evidence, but also warrants. Because Gore intends to address public audiences, his talk includes a class of visual that the article directed at scientists does not: visuals teaching warrants. These visuals instruct the audience about the fundamental background concepts of climate, the environment and climate change that enable viewers to understand the implications of his arguments; Toulmin explains that warrants link his evidence to his claims. In an early example, Gore explains how the atmosphere traps heat and warms the planet, an essential concept that links carbon dioxide levels to global temperature. Where the *Nature* audience may have understood a warrant like this, Gore broadened his potential audience by offering such explanations, a key to a public argument's success.

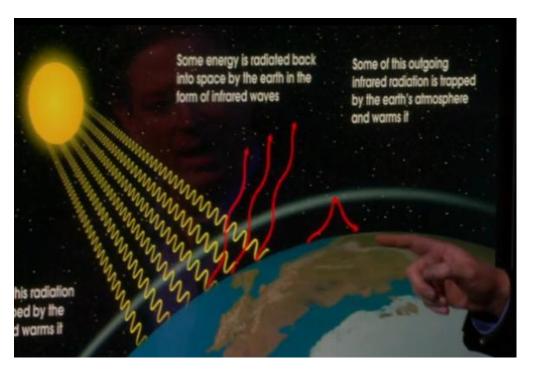


Figure 10 A visuals used to teach the basic concepts of global warming in An Inconvenient Truth

Throughout the film, Gore also supports his verbal arguments with visual evidence, increasing the effectiveness of their rhetorical appeals. They range from the pathetic appeals made by illustrating the piteous condition of a swimming polar bear unable to find ice to the ethical appeals made by offering video of Gore greeting a cheering crowd. While these images do not offer data to build a logical argument, *An Inconvenient Truth* often uses data to reinforce the ethos of the speaker or a data source. Showing Gore or one of his advisors like Revelle consulting quantitative data, even when that data is impossible for the audience to interpret, builds the impression that they have based their viewpoints on a careful review of evidence.



Figure 11 Charts conveying ethos by showing that the rhetors' mastery of the information

### **Argument Strategies**

The film employs the full spectrum of argument strategies that Fulkerson offers, including causal arguments, arguments from sign, arguments from authority, arguments from principle, arguments for a generalization, and arguments from analogy. While the journal also employs a variety of argument strategies, it does not use arguments from principle, and it does not directly support its key claims with arguments from authority.

Gore frequently argues from sign to support his first claim, that the global climate is warming. He uses images of receding glaciers as visual evidence of this trend. He argues that glaciers in various parts of the world have noticeably receded over the period of several, which can be taken as a sign that the global climate has changed. He also uses these images as evidence that global warming has and will continue to have devastating consequences on the environment. Other arguments from sign include many of the charts with insufficient labeling to reveal exact values. For example, even the charts in Figure 11 show a rising slope, a sign of increase.

When arguing his second claim, that humans' emissions of greenhouse gases are causing global warming, Gore presents two pairs of graphs that compare trends in carbon dioxide concentrations with those in global temperature. He first shows side by side graphs of the thousand-year trends of each, verbally emphasizing the similarities: "you can see how closely they fit together." He then shows the two trends over 650,000 years on the same graph, one above the other. He explains, "when there is more carbon dioxide the temperature gets warmer, because it traps more heat from the sun inside." Here, Gore has employed a causal argument strategy, as he argues that the changing carbon dioxide levels have caused corresponding changes in temperature.

In this same example, Gore has also made an argument from generalization, as he has used data from specific locales to make general claims about the global environment. In his first pair of graphs, he uses data from mountain glaciers in North American. In the second, he uses data from core ice samples from Antarctica. Arguing by generalization, he claims that these trends could be extended to describe the general trends in global carbon dioxide and temperature levels over these periods.

Throughout his film, Gore uses arguments of authority to reinforce claims that other arguments have not fully established. After comparing the pairs of graphs linking carbon dioxide levels to temperatures, he admits that the relationship is "complicated," but insists that scientists have made the link. He establishes the scientific expertise of his sources by emphasizing the credentials of his data sources, not only noting their institutional affiliations, but going as far as establishing their dedication to science and strong personal character. Furthermore, he establishes the unanimity of scientists' opinions on the subject, stating that a representative sample of peer-reviewed journals found no dissenting opinions on the consensus of human-caused climate change.

In the journal article, the authors use causal argument to attribute the global warming trends to the observed patterns in behaviors in wild animals and plants. They argue that their analysis indicates

argument, the authors use a meta-analysis of other researchers' data sets, whose validity they establish through arguments of authority. When discussing how they selected sources for these data, they note that they used only sources with reliable methodology and mention their approval by the IPCC, a prestigious and reputable organization.

The journal's authors extend their primary, substantive argument to make a secondary recommendation claim using an argument of analogy: "Clearly, if such climatic and ecological changes are now being detected when the globe has warmed by an estimated average of only 0.6 °C, many more far-reaching effects on species and ecosystems will probably occur in response to changes in temperature to levels predicted by IPCC, which run as high as 6 °C by 2100." Here, the analogy draws similarities between the observed situation, the current climate, and a hypothetical one, the IPCC's proposed climate for 2100. The authors argue that they could extend these similarities to conclude that a similar, yet more extreme, rise in average temperature would create a similar, yet proportionally more extreme, change in behavior.

## How is visual evidence elaborated and qualified?

In this section, I will show that the two samples use two distinctly contrasting approaches to qualification and elaboration. The journal's scientific argument uses thoroughly documented and labeled visuals, with exact values visible for the audience's scrutiny. Sources are always available. Alternative explanations are posed and addressed explicitly and thoroughly. Claims are conspicuously qualified. In contrast, the film's public argument uses less thoroughly documented and labeled visuals, though they are usually sourced. Exact values are seldom visible. Alternative explanations are rarely posed, and claims are not qualified.

### **Verbal Explanations**

Of the three visuals in the journal article, all are accompanied by verbal explanations of their content. These explanations address alternative explanations for the trends in the data and cite sources for the data depicted.

Of the 21 quantitative visuals I identified in the documentary, 15 are accompanied by verbal explanations of their content. In two cases, these explanations include discussion of alternatives, and one of them cited a source for the visuals. An additional nine visuals include a source citation within the text displayed but not spoken.

#### **Rebuttals and Qualifiers**

In the journal article, authors pose and logically reject alternative explanations for the observed trends and qualify their claims to address the possibility of an unconsidered alternative. They argue that factors like "habitat modification, pollinator loss and exotic species introductions" can be disregarded, as these forces would create "localized or multidirectional patterns" inconsistent with the unidirectional patterns documented globally. Despite their rejection of these alternatives, the authors recognize that their proposed explanation may also be incorrect by affixing "probably" to each statement of their primary substantive claim. They also exhibit such cautious uncertainty in their use of statistical visual evidence. While the accompanying visuals strongly reinforce their primary claim, they include not only the data points for mean values, but also tails indicating the standard error for those means. The relatively tight tails on each of the data points indicate relative certainty, but their inclusion indicates the possibility of uncertainty.

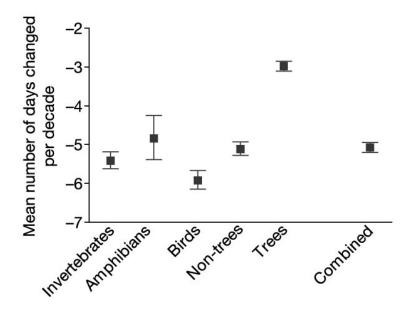


Figure 12 Statistical methods like standard error tails used as qualification in the journal

In the documentary, Gore seldom presents alternative explanations for the evidence presented, and does not qualify his claims. Visuals include none of the statistical qualifiers offered in the journal article. In the few cases in which he presents alternative explanations, Gore dismisses them quickly, usually employing logical fallacies to do so. For example, when he displays his area chart of the past thousand years' temperature trends, he dismisses the claim that current warming trends compare to a harmless warming period during the Middle Ages without addressing the content of that argument. Instead, he appeals to ridicule by introducing the idea in a mocking tone, laughing along with his audience at the relative size of that period when compared with the current warming period. While the graph he presented may have constituted all the evidence necessary for a logical rebuttal of that argument, he dismisses the need for such a rebuttal by stating, "There's just no comparison." In another case, Gore employs a straw man fallacy by summing up opponents' responses to increases in carbon dioxide levels as "So?" before appealing again to ridicule by laughing at the possibility the gas levels could be harmless. In reality, opponents have presented detailed theories about the effects of increased carbon dioxide levels, such as scenarios in which the gas buildup benefits the growth of plants.

While Gore may	again possess the evidence	e necessary to refute such	arguments, he avoids	them with
logical fallacies.				

## How do the genres of public advocacy and scientific argument compare?

The two genres are substantially different in their approach to arguing about global warming. These differences stem from the purposes of their claims and audience. Scientific argument intends to inform the public indirectly by informing the scientific community about an issue. To do so, it relies on claims of substantiation, only expanding to recommendation to propose possible implications of the substantive content or recommend further research; these recommendations are intended to emphasize the importance of that content more than to be followed as policy.

Because logical arguments are most effective at supporting claims of substantiation, these dominate the evidence in scientific arguments. Pathetic arguments support evaluative claims, so these are neglected in scientific argument and integrated into public arguments. Ethical arguments bolster the credibility of a rhetor, thus supporting claims of all purposes, so they are used in both. Qualitative and illustrative visuals are especially effective at making pathetic arguments, so they are used heavily in public and not scientific argument.

The two genres of argument are also shaped by expectations about their audience. Because scientific argument primarily addresses the scientific community, it can afford to forgo teaching visuals and focus solely on demonstrative visuals, which effectively support substantive claims. Conversely, public argument cannot assume any level of existing expertise on the part of its audience, so it must establish concepts and evaluation through teaching and illustrative visuals. Public arguments capitalize this lack of expertise by identifying the experts who posses it and ascribing substantive claims to them. As the audience lacks the expertise to question them, labels, exact values and qualifications are less relevant than the assertion that experts support them.

## **Conclusion**

By analyzing subjects from two different genres in their approach to arguing about global warming, I was able to contrast two distinct approaches to argumentation. The journal limited the majority of its content to developing a single substantive claim, employing solely quantitative visuals for support. Conversely, the film built multiple claims upon one another in a sequential progression that arrived eventually at a claim of recommendation. To support this sequence, it offered a full spectrum of visual evidence, from qualitative visuals appealing by sign to pathos to quantitative visuals logically developing a causal relationship, with many others fulfilling intermediate roles. The rhetorical practices I observed, both in the formation and defense of arguments in the verbal content and in the support of those arguments in visual data, revealed that scientific and public arguments differ substantially in their purpose and approach.

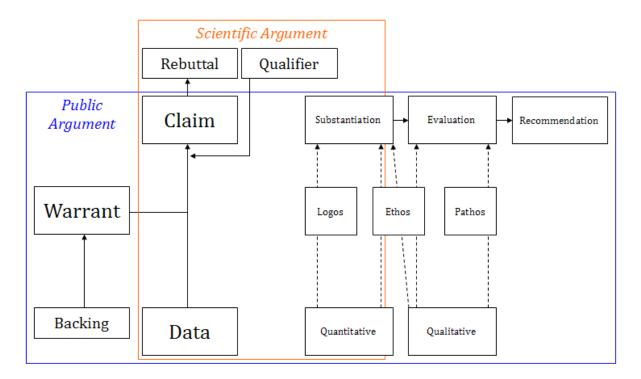


Figure 13 Summary of the domains of scientific and public arguments

I summarize these differences in Figure 13. The scientific argument limits its argumentative strategies due to its narrow focus, the claim of substantiation. It employs mostly logical appeals about factual claims, while also establishing the authors' ethos as scientists with the credibility needed to make such claims. In science, such credibility is established by addressing uncertainty, alternatives and methods deemed satisfactory in the scientific community. The public argument addresses a full range of claims with a full range of data and strategies for connecting them, including logos, pathos and ethos. It makes logical claims about factual assertions but also makes emotional ones evaluating the moral content of those facts. To establish the author's authority to make both of these types of appeals, ethos establishes that the author possesses the moral character to make evaluations and is suitably well-informed about the issue to make factual claims about it. Unlike in science, the assertion that one is well informed is sufficient; elaboration of methods and consideration of uncertainty or alternatives can be omitted. The key differences in their approach to persuading audiences within these domains can be seen in the content that is unique to each domain, including the scientific argument's rebuttals and qualifiers, which are key to establishing their ethos, and the public argument's warrants, which are needed when the audience lacks a common background like the scientific community's.

Their approach to audience constituted a key difference in the purpose of the two arguments: while the scientific argument focused solely on building the strength of its appeal to a specific, narrow audience with predictable expectations about methods, the public argument balanced its focus between broadening the audience to which it appealed and building a strong argument that could be accessed by that broad audience. The public argument accomplished this by providing ample warranting linking its data to its claims where some of its audience may lack the expertise required to make the link otherwise. The division of its purpose became more apparent as it also sacrificed some of the components of a strong argument to make its argument more entertaining and accessible. It neglected qualifiers completely, avoided thorough logical rebuttals in favor of ridiculing opposing views, and

reduced the details of its quantitative data to the level of overall trends. While all three of these strategies reduced the arguments overall resilience to counterargument, they may broaden the argument's appeal to public audiences by reducing the appearance of uncertainty and streamlining the argument to appeal to its audience's interest in entertainment.

Because the scientific audience demands an argument that exhibits close adherence to scientific principles, this argument disclosed the level of its uncertainty with qualifiers, the unbiased approach it took with thorough rebuttals of the alternative explanations it considered, and the scientifically sound methods it used by displaying the exact quantitative values behind the trends it observed. Following and appreciating these arguments requires a level of knowledge, expertise and familiarity with scientific argument that limits their audience significantly, indicating that audience size does not hold the same value in scientific argument that it does in public argument.

While its attempts to broaden its audience created significant gaps in the public argument, I found that it used a recurrent appeal to ethos to bridge them. By building the credibility of its rhetor as both a student of science and a dedicated and selfless servant of public and family, the argument established his ability to make both substantive and evaluative assertions. When some of the details supporting these assertions were omitted, the endorsement of such a credible rhetor assured the audience that they existed.

Among the more critical scientific audience, ethos does not offer the same freedom of omission that it does in public arguments. Scientific arguments must establish both the credibility of their rhetors to merit their consideration, but additional assertions of ethos afford the arguers no extra privileges, due to the unique role of ethos in science: the degree to which public rhetors are informed dictate their ethos, while a scientific rhetor either follows established protocols or does not. While the rhetors' ethos is established in the scientific argument by offering educational credentials and affiliations with

institutions, this does not grant them the same freedom to omit the less compelling aspects of their argument, as it would in a public setting.

The success of these strategies can be seen in the reception of the two arguments: the scientific argument was published in a respected journal and cited frequently, while the public argument was popular among audiences and discussed in personal and political contexts. By raising awareness and discussion of its issue, a public argument can successfully motivate individual and public policy action centered on its core ideas. Communicating with visuals can facilitate this motivation by connecting explanations of logical concepts to the evaluation needed to provide relevance. Due to its success in that regard, *An Inconvenient Truth* offers a model for effective rhetorical strategies in the genre of public argument.

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## **Appendix A: Lists of Visual Content**

#	Description
1	Table entitled "Table 1: Summary statistics for meta-analysis"
2	Bar chart captioned "Figure 1: Frequency distribution of species and groups of species with a temperature-related trait changing by number of days in 10 years."
3	Box plot captioned "Figure 2: Means ± s.e.m. of days changed for the given groups of species."

Figure 14 List of visual content in the Nature article

#	Description
1	Video of peaceful nature scenes
2	Video of Gore lecturing to an engaged audience
3	Video of Al Gore looking presidential
4	Video of Gore looking over data at his computer
5	Video of Gore traveling
6	Video of industrial activity
7	Photographs, animations of Earth from space
8	Animation of continents connecting
9	Photograph of thin, stretched atmosphere
10	Animation of radiation processes that enable global warming
11	Animation excerpt from "Futurama"
12	Line chart entitled "CO <sub>2</sub> levels"
13	Photographs of Revelle looking professorial
14	Video of early experiments
15	Charts and tables of data from Revelle's work
16	Photographs of glaciers, before and after
17	Video of cracking glaciers
18	Video of core drilling
19	Line chart entitled "Northern Hemisphere Temperature (C°)"
	Line charts entitled "Temperature (Northern Hemisphere)" and
20	"CO₂ Concentrations" side by side
21	Line chart entitled "650,000 Years of CO₂ and Temperature"
22	Videos of Gore lobbying
23	Photographs of Gore looking after his hospitalized son
24	Bar and line chart referred to as "atmospheric temperature since our civil war"
25	Map of heat wave intensity
26	Table of entitled "2003 Heat Wave in Europe, Estimated Dead"
27	Area and line chart entitled "Predicted and Observed Ocean Temperatures"
28	Photographs of hurricanes, tornados and typhoons
29	Line chart entitled "Hurricane Intensity Grows as Oceans Heat Up"
30	Video of Katrina's devastation
31	Bar chart entitled "Number of storms and floods"
32	Bar chart entitled "Number of Major Flood Events by Continent and Decade, Europe"
33	Photographs of flooding, drought
34	Map entitled "Trend in Annual Precipitation"
35	Map of Lake Chad's recession
36	Animation of evaporation process
37	Photographs from Gore's childhood

38	Photograph of crack in Greenland ice shelf
39	Photographs of damage to buildings, pipeline and trees caused by melting permafrost
40	Line chart entitled "Alaska Winter Tundra Travel Days"
41	Line chart entitled "Sea-ice extent has dropped by $^{\sim}1.5$ million km since 1970"
42	Animations of reflection/absorption of sunlight on ice/open water
43	Animation of polar bear unable to find ice
44	Maps of ocean and wind currents
45	Area charts entitled "Shifts in Seasons" describing changes in animal behavior
46	Photographs of tree damage caused by beetles
47	Animation entitled "Mosquitos Move to Higher Elevations"
48	Photographs of coral reefs, before and after
49	Photographs of viruses appearing
50	Photographs of animals disappearing
51	Map of Antarctic breakup
52	Photographs of Larsen Ice Shelf breakup, over time
53	Animation explaining moulin melting
54	Photograph of Moulin melting
55	Map of Greenland melting
56	Maps of sea level rise if Greenland melted
57	Area chart entitled "Global Population"
58	Bar chart entitled "Food Demand"
59	Bar chart entitled "Growing Demand for Water"
60	Photograph of forest growth on border between Haiti and Dominican Republic
61	Photograph of lights, burning and gas flares across the globe
62	Photographs and paintings of tools changing over time
63	Photographs of diverted bodies of water, before and after
64	Area chart entitled "Contributions to Global Warming"
65	Bar chart entitled "Carbon Emissions Per Person/Region"
66	Animation of frog in boiling water
67	Animation of 928 articles reviewed to find no disagreement
68	Photograph of advertisement for cigarettes
69	Photograph of edited document
70	Viewgraph entitled "Balance" showing a scale balancing gold bars and the Earth
71	Line chart entitled "Fuel Economy and GHG Emissions Standards Around the World"
72	Area chart entitled "U.S. Emissions"
73	Table entitled "Kyoto Ratified By:"
_	

74	Map entitled "US States Taking the Lead on Global Warming"
75	Table entitled "U.S. Cities Supporting Kyoto:"
76	Photographs of great moments in U.S. history
77	Line chart entitled "The CPC Success Story"

**Figure 15** List of visual content in *An Inconvenient Truth* 

# **Appendix B: Classifications of Visual Content**

Format, Description	Quantitative	Purpose		Claim	Source Cited?		Verbal Explanation		Data Visibility		Appeal		
	Quantitative	E	W	Supported	Visual	Verbal	Description	Alternatives	Labels	Values	E	Р	L
Table entitled "Table 1: Summary statistics for meta- analysis"	Х	х		1	Х		х	х	х	Х			х
Bar chart captioned "Figure 1: Frequency distribution of species and groups of species with a temperature-related trait changing by number of days in 10 years."	х	х		1	х		Х	х	х	х			х
Box plot captioned "Figure 2: Means ± s.e.m. of days changed for the given groups of species."	Х	х		1	Х		х	Х	х	Х	х		х

Figure 16 Classifications of visual content in the Nature article

Format, Description	Quantativa	Purpose		Claim	Source Cited?		Verbal Explanation		Data Visibility		Appeal		
	Quantative	E	w	Supported	Visual	Verbal	Description	Alternatives	Labels	Values	E	P	L
Video of peaceful nature scenes		Χ		5								Χ	
Video of Gore lecturing to an engaged audience		Х		All							Х	Х	
Video of Al Gore looking presidential		Х		All							Х		
Video of Gore looking over data at his computer		Х		All							Х		
Video of Gore traveling		Х		All							Χ		
Video of industrial activity		Х		2								Χ	
Photographs, animations of Earth from space		Х		5								X	
Animation of continents connecting		Х		4							Х	Χ	
Photograph of thin, stretched atmosphere		Χ		2								Χ	
Animation of radiation processes that enable global warming			х	2			х				Х		Х
Animation excerpt from "Futurama"		Х		2								Х	
Line chart entitled "CO₂ levels"	X	Х		2		Х	X		Х		Х	Х	X
Photographs of Revelle looking professorial		Х		2							Χ		
Video of early experiments		Х		2							Х		
Charts and tables of data from Revelle's work		Χ		2						Х	Х		
Photographs of glaciers, before and after		Х		1		X	X					X	X
Video of cracking glaciers		Х		1								Х	Х
Video of core drilling		Х		1							Х		X
Line chart entitled "Northern Hemisphere Temperature (C°)"	х	Х		1			х	х			х		Х
Line charts entitled "Temperature (Northern Hemisphere)" and "CO <sub>2</sub> Concentrations" side by side	Х	Х		2			х			х	Х		Х

			ı	1	1		T	1				
Line chart entitled "650,000 Years of CO₂ and Temperature"	х	x		2		x	X	х		х		х
Videos of Gore lobbying		Х		All						Х		
Photographs of Gore looking after his hospitalized son		Х		5						Х	Х	
Bar and line chart referred to as "atmospheric												
temperature since our civil war"	Х	X		1						X		X
Map of heat wave intensity				3				Х		Х		Х
Table of entitled "2003 Heat Wave in Europe,	Х	Х		3	х	Х				Х	Х	Х
Estimated Dead"	^	^		3	^	^				_ ^	^	^
Area and line chart entitled "Predicted and Observed	Х	Х		3	х	X		х		Х	Х	Х
Ocean Temperatures"	^	^		3	^	^		^		^	^	^
Photographs of hurricanes, tornados and typhoons		X		1		X				X	X	
Line chart entitled "Hurricane Intensity Grows as	X		Х	2	X					Х		Х
Oceans Heat Up"			^		^					^		^
Video of Katrina's devastation		X		3							X	
Bar chart entitled "Number of storms and floods"	X	Х		3		X		X	X	X	Х	Х
Bar chart entitled "Number of Major Flood Events by	X	X		3	X			X	X	X	Х	х
Continent and Decade, Europe"	^							^	^			
Photographs of flooding, drought		Х		3							Х	
Map entitled "Trend in Annual Precipitation"		X		3						X		X
Map of Lake Chad's recession		X		3	Х	X					Х	
Animation of evaporation process		X		3		X				X		Х
Photographs from Gore's childhood		X		5						Х	Х	
Photograph of crack in Greenland ice shelf		X		3		X					X	X
Photographs of damage to buildings, pipeline and		Х		3		X					x	х
trees caused by melting permafrost											,	
Line chart entitled "Alaska Winter Tundra Travel Days"	X	X		3	Х	X		Х	X	X		Х
Line chart entitled "Sea-ice extent has dropped by ~1.5 million km since 1970"	Х	Х		3	Х	х		Х		Х		х
Animations of reflection/absorption of sunlight on ice/open water			х	3						х		х
Animation of polar bear unable to find ice		Х		3		X					Х	
Maps of ocean and wind currents		Х		3		X			Х	Х		Х
Area charts entitled "Shifts in Seasons" describing				_								
changes in animal behavior	X	X		3		X				Х		Х
Photographs of tree damage caused by beetles		Х		3							Х	Χ
Animation entitled "Mosquitoes Move to Higher		v		3		X				х	V	V
Elevations"		Х		3		X				Х	Х	Х
Photographs of coral reefs, before and after		Х		3							Х	Х
Photographs of viruses appearing		Х		3							Χ	
Photographs of animals disappearing		Х		3							Χ	
Map of Antarctic breakup		Х		3	Х	Х					Х	Х
Photographs of Larsen Ice Shelf breakup, over time		Х		3	Х	X					Χ	Х
Animation explaining Moulin melting		Х		3		X					Х	Х

Photograph of Moulin melting		Х		3			Х				Χ	Х
Map of Greenland melting		Х		3			Х				Х	Х
Maps of sea level rise if Greenland melted		Х		3			Х				Х	Х
Area chart entitled "Global Population"	Х	Х		3	Х		Х	Х	Х	Х	Х	Х
Bar chart entitled "Food Demand"	Х	Х		3				Х		Х	Х	Х
Bar chart entitled "Growing Demand for Water"	Х	Х		3				Х		Х	Х	Х
Photograph of forest growth on border between Haiti and Dominican Republic		х		3							х	х
Photograph of lights, burning and gas flares across the globe		х		3							х	х
Photographs and paintings of tools changing over time			Χ	3							Х	Х
Photographs of diverted bodies of water, before and after			Х	3							Х	Х
Area chart entitled "Contributions to Global Warming"	Х	Х		4	Х		Х	Х	Х	Х	Х	Χ
Bar chart entitled "Carbon Emissions Per Person/Region"	Х	х		4	х		х	Х	Х	Х	Х	Х
Animation of frog in boiling water			Χ	4			Х				Х	
Animation of 928 articles reviewed to find no disagreement		х		2		Х	х		Х	Х		Х
Photograph of advertisement for cigarettes			Χ	4			Х				Х	
Photograph of edited document		Х		4			Х				Х	
Viewgraph entitled "Balance" showing a scale balancing gold bars and the Earth		х		4		Х	х				Х	
Line chart entitled "Fuel Economy and GHG Emissions Standards Around the World"	Х	х		4			х	Х	х	Х	х	х
Area chart entitled "U.S. Emissions"	Х	Х		4				Х	Х	Х	Х	Χ
Table entitled "Kyoto Ratified By:"		Х		4			Х				Х	Х
Map entitled "US States Taking the Lead on Global Warming"		х		4			х				Х	х
Table entitled "U.S. Cities Supporting Kyoto:"		Х		4			Х				Х	Х
Photographs of great moments in U.S. history		Х		4			Х				Χ	
Line chart entitled "The CPC Success Story"	Х		Χ	4			Х	Х		Х		Х

Figure 17 Classifications of visual content in An Inconvenient Truth