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# Observed mode shape effects on the vortex-induced vibration of bending dominated flexible cylinders

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## Abstract

The structural mode excitation of bending-dominated flexible cylinders undergoing vortex-induced vibrations was investigated using multivariate analysis of the excited empirical modes. The response of the bending-dominated cylinders was compared with the response of a tension-dominated cylinder using the same analysis technique. Experiments were conducted in a recirculating flow channel with a uniform free stream with Reynolds numbers between 650 and 5500. Three bending-dominated cylinders were tested with varying stiffness in the cross-flow and in-line directions of the cylinder in order to produce varying structural mode shapes associated with a fixed 2:1 (in-line:cross-flow) natural frequency ratio. A fourth cylinder with natural frequency characteristics determined through applied axial tension was also tested. The spanwise in-line and cross-flow responses of the flexible cylinders were measured through motion tracking with high-speed cameras. Global smooth-orthogonal decomposition was applied to the spatio-temporal response for mode identification. Measured responses are compared with the analytic response of a beam subjected to a uniform periodic loading. Both the analytic and experimental results show that for excitation of low mode numbers, the cylinder is unlikely to oscillate with an even mode shape in the in-line direction due to the symmetric drag loading, even when the system is tuned to have an even mode at the expected frequency of vortex shedding. In addition, no mode shape changes were observed in the in-line direction unless a mode change occurs in the cross-flow direction, implying that the in-line response is a forced response dependent on the cross-flow response. An even mode oscillation (i.e. second mode) in the in-line direction is observed to be excited in the tensioned cylinder, however this is only observed in a hysteretic response region, resulting in a pedaling mode response. The results confirm observations from previous field and laboratory experiments, while demonstrating how structural mode shape can affect vortex-induced vibrations.

**Keywords:** Vortex-induced vibration, Flexible cylinder, Multivariate analysis, Mode shape

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## 1. Introduction

The vortex-induced vibration (VIV) of long, flexible structures is a complex problem due to the large number of variables that can contribute to the coupled response of the structure with the surrounding fluid (Sarpkaya, 2004). While a significant number of experimental studies have been devoted to characterizing the fundamental fluid-structure interaction for an elastically mounted rigid circular cylinder undergoing vortex-induced vibrations (Bearman, 1984; Sarpkaya, 2004; Williamson and Govardhan, 2004; Bearman, 2011), the spanwise effects of flexible structures have been more difficult to quantify due to the complexity of additional variables associated with flexible, continuous systems that are capable of multi-modal responses.

In the single degree of freedom spring-mass-dashpot model for vortex-induced vibrations, the forcing function resulting from vortex shedding may be represented as a phase shifted harmonic function to the first order approximation (Sarpkaya, 2004). Assuming a sinusoidal response to the system, one can show that the amplitude and frequency

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21 of a cylinder undergoing vortex-induced vibrations in purely cross-flow excitation are functions of the motion of the  
22 cylinder and the resulting forces acting on the cylinder in phase with the acceleration and velocity of the body. The  
23 force in phase with acceleration alters the effective mass of the system, while the fluid force in phase with velocity  
24 alters the effective damping of the system. Since these fluid force terms are functions of the motion of the body, the  
25 frequency at which the body oscillates may constantly change in time, however this frequency is often fairly constant  
26 when observed in laboratory experiments. Using integral quantities of the forces in phase with velocity and accelera-  
27 tion, one can consider the system to have an effective natural frequency that is dependent on the fluid force in phase  
28 with acceleration.

29 In contrast to a single degree of freedom system, the natural frequencies of a continuous system are not only  
30 related to the stiffness and mass of the physical structure, but also are dependent on the particular spanwise shape of  
31 the oscillating structure. For example, an infinite string contains an infinite number of natural frequencies with each  
32 frequency corresponding to a particular spanwise shape. In VIV, the relative motion of vortices shed from the structure  
33 in relation to the motion of the body determines the phasing and magnitude of forces exerted on the body, hence for a  
34 continuous structure, the particular shape of the structure oscillation must have an effect on the resulting forces exerted  
35 on the structure. If we model a continuous system undergoing VIV similar to the 1-DOF system undergoing VIV, this  
36 would imply that the mode shapes corresponding to particular natural frequencies of the structure must be excited  
37 when that natural frequency is excited (or slightly modified by the added mass). The problem with this assumption is  
38 that since the fluid forces are dependent on the body oscillation and vice versa, there is no guarantee that the resulting  
39 fluid forces will drive a motion that is consistent with the analytic structural mode shape in a vacuum.

40 The complexity of the flow-induced vibration of flexible cylinders is evident in the variety in the types of re-  
41 sponses that are observed for these types of structures. For instance, the flow-induced vibration of flexible structures  
42 may undergo complex three-dimensional vibrations, experiencing traveling waves (Marcollo et al., 2011) and chaotic  
43 motions (Modarres-Sadeghi et al., 2011). Sarpkaya (2010) discusses such complexities and effects of additional VIV  
44 parameters on the dynamic response. A variety of studies on marine risers (Lie and Kaasen, 2006; Chaplin et al.,  
45 2005; Trim et al., 2005; Vandiver et al., 2005) have shown that long, flexible structures exhibit similar forcing from  
46 vortex shedding as that observed for rigid cylinders, where vortex shedding leads to an oscillating drag force with a  
47 dominant frequency that is twice the oscillating lift force frequency. The laboratory experiments conducted by Pas-  
48 sano et al. (2010), Huera-Huarte et al. (2014) and field experiments conducted by Vandiver et al. (2005), Vandiver  
49 and Jong (1987) showed that for long flexible structures subjected to vortex-induced vibrations, it is possible to excite  
50 different modes in in-line and cross-flow directions separately, as observed from the frequency of the response and  
51 reconstructions of the spatial shape of the structure. In particular, Huera-Huarte et al. (2014) examined very low mass  
52 ratios  $\sim 1$ , where the response frequency can vary significantly due to forcing in phase with the acceleration of the  
53 body.

54 In an effort to model the effects of different modal excitations in flexible cylinders, Dahl et al. (2006) investigated  
55 the effect of differing natural frequency ratios (in-line to cross-flow) on an elastically mounted rigid cylinder. The  
56 cylinder was allowed to oscillate both in cross-flow and in-line directions while the natural frequency in each direction  
57 was tuned with different values to model a long structure excited with different structural modes in each direction.  
58 These experiments demonstrated response behaviors that consisted of preferred figure-eight type motions where the  
59 cylinder moves upstream at the top and bottom of its orbital motion, which can contribute to large third harmonic  
60 forcing of the structure in the lift direction (Dahl et al., 2007). Similar studies by Srinil et al. (2013) and Kang and Jia  
61 (2013) have demonstrated similar behaviors and expanded understanding of frequency ratio effects on a rigid cylinder  
62 response for frequency ratios less than one, where tear drop shape motions may be observed with multifrequency  
63 excitation of the structure in the in-line direction. Dahl et al. (2010) observed similar behaviours for rigid cylinders at  
64 supercritical Reynolds numbers.

65 This paper attempts to systematically test the effects of vortex-induced vibrations on the expected modal re-  
66 sponse of a flexible body by tuning several beams to have specific frequency properties for specific structural mode  
67 shapes. The purpose of these experiments is to illustrate differences in the response of a flexible structure from an  
68 elastically-mounted rigid structure due to the spanwise excitation of the flexible structure. Comparisons are made  
69 with a bending-dominated structure and tension dominated structure, with the modal response analyzed empirically  
70 through multivariate analysis. In the experiments, three flexible cylinders were designed and tested to understand the  
71 dynamic relationship between the cylinder's structural characteristics and the modal response. Assuming that one can  
72 control the modal response of a flexible cylinder by controlling the structural characteristics (this is a significant as-

73 sumption since the fluid-structure interaction will inherently change these effective properties), it is possible to excite  
 74 the flexible cylinder with a particular mode shape. For example, in the present experiments, a plastic beam with a  
 75 particular cross-section and material characteristics was used to tune the structural mode characteristics, encouraging  
 76 the cylinder to oscillate with a desired mode shape when the frequency of that particular mode shape is reached by  
 77 anticipating the forcing frequency in the in-line direction to be twice the frequency in the cross-flow direction.

78 One may expect a cylinder to oscillate with first mode shape (half sinusoidal) when it is excited with a forcing  
 79 function at the first mode frequency, and second mode shape (full sinusoid) when it is excited with the second mode  
 80 frequency; however, if the flow is uniform, can even modes (asymmetric modes) in the in-line direction be truly  
 81 excited? Vandiver and Jong (1987) argued that these modes would not be excited due to the distribution of the forcing  
 82 function. If these even modes cannot be excited, what body motions will be observed and which frequencies will  
 83 dominate the motion? This study aims to systematically understand this behavior through a set of experiments using  
 84 specifically crafted model cylinders. The cylinders are placed in a uniform flow to observe the resulting response over  
 85 a range of reduced velocities. The results are compared with experiments for a tension-dominated system (Gedikli  
 86 and Dahl, 2017) (see Fig. 1a) in which the experimental setup is identical to the current system.

## 87 2. Methods

88 Experiments were conducted in a recirculating flow channel that is located at the University of Rhode Island's  
 89 Narragansett Bay campus. The channel test section is made of glass featuring a downstream viewing window, allowing  
 90 for visual motion tracking of the test apparatus within the test section. In the experiments, tests were conducted for  
 91 flow speeds between 0.1-0.7  $m/s$ , where free surface disturbances due to the operation of the flow channel were  
 92 negligible.

93 Figure 1 shows the top view of the test cylinders that were mounted across the viewing walls of the flow channel.  
 94 Fig.1(a) shows the tension dominated cylinder and Fig.1(b) shows the second mode excitation of a bending dominated  
 95 cylinder as an example response where  $T$  represents the applied tension and  $U$  represents the flow speed. Flow is  
 96 uniform moving from left to right.

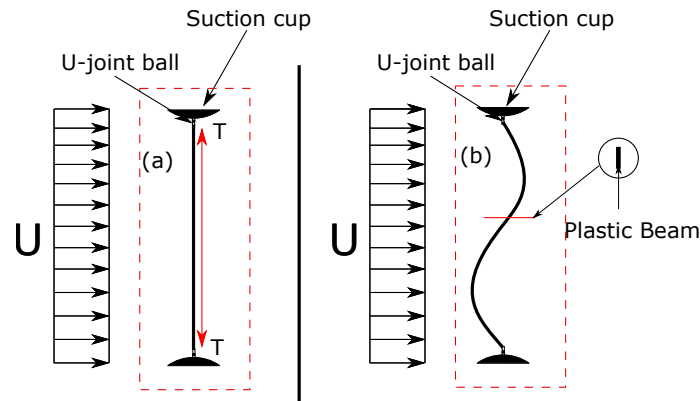


Figure 1: Schematic drawing of top view of the flow channel. Idealized in-line even mode excitation for (a) tension (see Gedikli and Dahl (2017)) and (b) bending dominated cylinder under uniform flow.  $T$  is the initial tension applied to the cylinder.

### 97 2.1. Cylinder design and experiments

98 Dahl et al. (2010) showed that in combined in-line and cross-flow oscillations, the in-line frequency of motion  
 99 naturally adjusts to be twice the cross-flow frequency over a large range of non-dimensional flow speeds where the  
 100 motion of the body can be characterized by a singular frequency in the cross-flow direction while the in-line has twice  
 101 the cross-flow frequency. Using this information, structural beam characteristics in the present study were chosen  
 102 such that there would be a 2:1 (in-line to cross-flow) frequency ratio between the excited structural mode shapes. This  
 103 was achieved by varying the cross-sectional dimensions of a beam that was then molded inside a urethane cylinder.

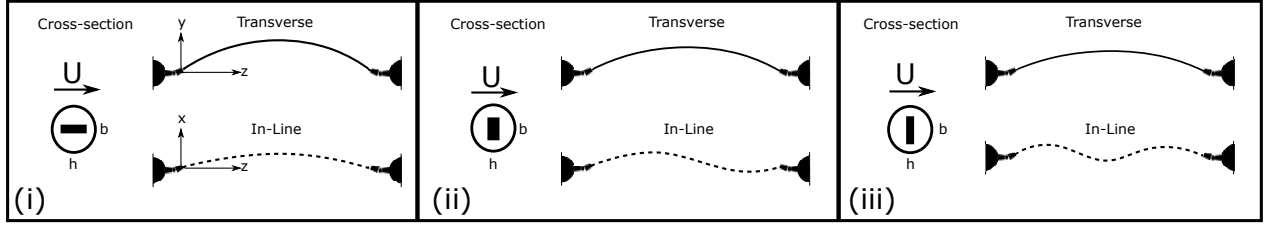


Figure 2: Idealized structural modes for the bending dominated test cylinders. Cylinders are constructed to have a frequency ratio of 2:1 between the in-line and cross-flow directions. (i) Cylinder 1: First mode in-line, first mode transverse, (ii) Cylinder 2: Second mode in-line, first mode transverse, (iii) Cylinder 3: Third mode in-line, first mode transverse.

104 To investigate the effects of the combined in-line and cross-flow spatial modal response, the tuned structure's in-  
 105 line mode shape was varied while keeping the cross-flow structural mode constant. In the experiments, 4 cylinders  
 106 were tested, where cylinder 1 was tuned to have a first mode in-line and first mode cross-flow with an in-line natural  
 107 frequency twice the cross-flow natural frequency. Cylinder 2 was tuned to have a first mode shape in the cross-flow  
 108 and second mode in the in-line with the in-line natural frequency twice the cross-flow natural frequency. Similarly,  
 109 cylinder 3 was tuned to have a first mode shape in cross-flow and a third mode shape in in-line with an in-line natural  
 110 frequency twice the cross-flow natural frequency as illustrated in Fig.2. Lastly, cylinder 4 was a tensioned cylinder  
 111 made of urethane rubber with no beam inside (see Gedikli and Dahl (2017) for details of the experiment). Figure 2  
 112 illustrates the idealized mode shapes of each test cylinder with different beam cross-sections.

113 Beam dimensions were chosen according to a simply-supported tensioned beam with natural frequencies as:

$$f_n = \sqrt{\frac{EI\pi^2 n^4}{4ML^4} + \frac{Tn^2}{4ML^2}} \quad (1)$$

114 where  $E$  is the modulus of elasticity,  $I$  is the area moment of inertia,  $n$  is the mode number,  $M$  is the mass per  
 115 unit length, and  $T$  is the static tension. The applied tension for cylinders 1,2 and 3 was negligible compared with  
 116 the stiffness of the beams, hence the second term in Eq.1 can be neglected for those beams. The simplified natural  
 117 frequency equation for cylinders 1,2 and 3 can be written as:

$$f_n = \frac{\pi n^2}{2} \sqrt{\frac{EI}{ML^4}} \quad (2)$$

118 where  $n$  varies depending on the desired mode number, and  $I$  varies depending on the orientation and dimensions  
 119 of the beam molded inside the cylinder. The area moment of inertia in the in-line ( $I_x$ ) and cross-flow ( $I_y$ ) was different  
 120 to achieve the desired frequency characteristics of the beam. Using Eq.3, the required beam sizes were determined  
 121 for specific combinations of structural modes in a vacuum. The calculated cylinder characteristics and dimensionless  
 122 parameters are shown in Table 1 for each cylinder.

$$I = \frac{ML^4}{E} \left( \frac{4f_s^2}{\pi^2 n^4} \right), \text{ where } I \rightarrow I_x = \frac{bh^3}{12}, I_y = \frac{b^3h}{12} \quad (3)$$

123 To mount the cylinder in the flow channel, a universal ball joint was attached to a suction cup on each end of  
 124 the test cylinder. End-plates were mounted at the location of the u-joint in order to inhibit three-dimensional flow  
 125 irregularities at the ends of the cylinder. The suction cups allowed the test cylinder to be mounted horizontally in the  
 126 flow channel by mounting directly to the glass walls. The test cylinders were aligned with respect to the still water  
 127 free surface using a laser. Each test cylinder was marked with 23 – 25 white dots, evenly distributed with spacings of  
 128 1 cm along the span. The cylinder motion was captured using two synchronized Phantom V10 high speed cameras,  
 129 operating at a frame rate of 250Hz. Motion tracking software (ProAnalyst) was used to determine the displacement  
 130 of each data point in the in-line and cross-flow directions. The software works based on sub-pixel accuracy where  
 131 the mean position of each data point is tracked with an error margin less than 1% in all directions. A more detailed  
 132 description of the experimental setup and details of the motion tracking routine are documented in Gedikli and Dahl  
 133 (2017).

Table 1: Cylinder characteristics and dimensionless parameters.

Parameter (Abbrev., Unit)	Equation	Cylinder 1	Cylinder 2	Cylinder 3	Cylinder 4
Cylinder Type	-	Bending	Bending	Bending	Tension
Cylinder Material	-	Urethane	Urethane	Urethane	Neoprene
Beam Material	-	Plastic	Plastic	Plastic	None
Diameter ( $D, mm$ )	-	6.35	6.35	6.35	6.35
Cylinder Length ( $L, mm$ )	-	250	250	250	250
In-line beam width ( $h, mm$ )	-	1.27	2	2.25	None
Cross-flow beam width ( $b, mm$ )	-	2.5	0.04	0.508	None
Initial Tension ( $T, N$ )	-	-	-	-	0.15
Blockage Ratio ( $BR$ )	$T/H$	1.66	1.66	1.66	1.66
Aspect Ratio ( $AR$ )	$L/D$	41	41	41	41
Mass Ratio ( $m$ )	$4m/(\rho\pi LD^2)$	1.1	1.05	1.02	3.7
Reynolds Number ( $Re$ )	$UD/\nu$	1500-5500	1700-5400	1600-4700	650-3500
Sampling frequency ( $f_{samp}, Hz$ )	-	250	250	250	250
In-line natural frequency ( $f_{IL}, Hz$ )	Mode 1	34	7	1.82	3
	Mode 2	136	28	7.3	6
	Mode 3	306	63	16.4	12
Cross-flow natural frequency ( $f_{CF}, Hz$ )	Mode 1	17	14	8.2	3
	Mode 2	68	56	32.8	6
	Mode 3	153	126	73.8	12

### 3. Amplitude Response

Fig. 3 shows the maximum RMS amplitude response for each tested cylinder as a function of the reduced velocity. The top image shows the cross-flow RMS response amplitude over the entire cylinder span and the bottom image shows the in-line RMS response amplitude over the entire cylinder span.

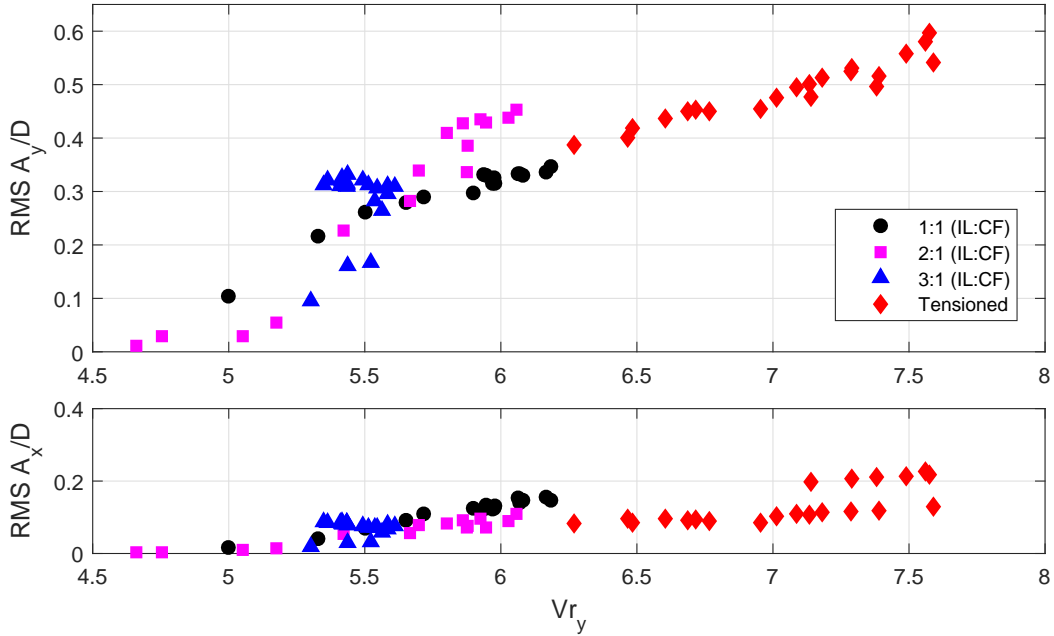


Figure 3: RMS amplitude response over the span as a function of reduced velocity. Colors indicate separate test cylinders. Black circle shows cylinder 1, purple square shows cylinder 2, blue triangle shows cylinder 3 and red diamond shows the tensioned cylinder (cylinder 4).

As seen in Fig.3, the tension dominated cylinder 4 (red diamond) was observed to have the highest cross-flow RMS amplitude response among all the cylinders tested, reaching a maximum amplitude at reduced velocity of 7.6, near the highest flow speed tested. Alternately, cylinder 1 (black circle) and cylinder 2 (purple square) reached maximum cross-flow RMS amplitude at reduced velocities of 6.3 and 6, respectively, over the same range of tested flow speeds. Although the tests were performed over a similar range of flow speeds with parameters tuned to achieve similar reduced velocities, cylinder 3 (blue triangle) was observed to oscillate in a very narrow band region between

144 reduced velocity values of 5.3 and 5.65. The observed maxima in the response curves typically occur at the highest  
 145 flow speeds, where additional tests could not be conducted at higher speeds due to limitations of the flow channel.

146 The in-line amplitude responses show similar trends to the cross-flow responses where cylinders 1 and 2 have  
 147 increasing amplitude responses with increasing reduced velocity. However, unlike the cross-flow response, cylinder  
 148 1 reaches a higher amplitude response in the in-line direction than cylinder 2, opposite from the observed cross-flow  
 149 response. This is likely due to changes in phasing between the in-line and cross-flow responses due to the different  
 150 frequency characteristics of the beams.

151 The response for Cylinder 3 consists of two distinct regions of clustered points in the cross-flow response, indicat-  
 152 ing two separate types of response. One region lies in between the RMS amplitudes of 0.25 and 0.35. In this region,  
 153 the cylinder oscillates with 2:1 (in-line:cross-flow) frequency ratio and has a typical figure eight type of response. The  
 154 second region is apparent between the RMS amplitudes of 0.1 and 0.2. In this region, the cylinder oscillates with  
 155 1:1 (in-line:cross-flow) frequency ratio, and the response motion resembles a tear drop shape. These responses are  
 156 discussed in more detail in subsequent sections.

157 The response for Cylinder 4 also consists of two response regions, with the regions more distinct in the in-line  
 158 response for reduced velocities in between 7.2 and 7.7. Unlike cylinder 3, the two response regions in the motion of  
 159 cylinder 4 is due to a mode transition and change in response along the span of the cylinder. Below a nominal reduced  
 160 velocity of 7.2, the cylinder oscillates with a dominant first mode in both directions, and above the nominal reduced  
 161 velocity of 7.2, the dominant first mode switches to second mode in cross-flow and to some combination of second  
 162 and third mode in the in-line direction. It is important to note that Cylinder 4 exhibits a hysteric response as a function  
 163 of increasing or decreasing the flow speed in the flow channel, which is discussed in Gedikli and Dahl (2017).

#### 164 4. Frequency Analysis

165 The normalized frequency response in the in-line and cross-flow directions are shown as a function of normalized  
 166 reduced velocity for each cylinder in Figs. 4 and 5.

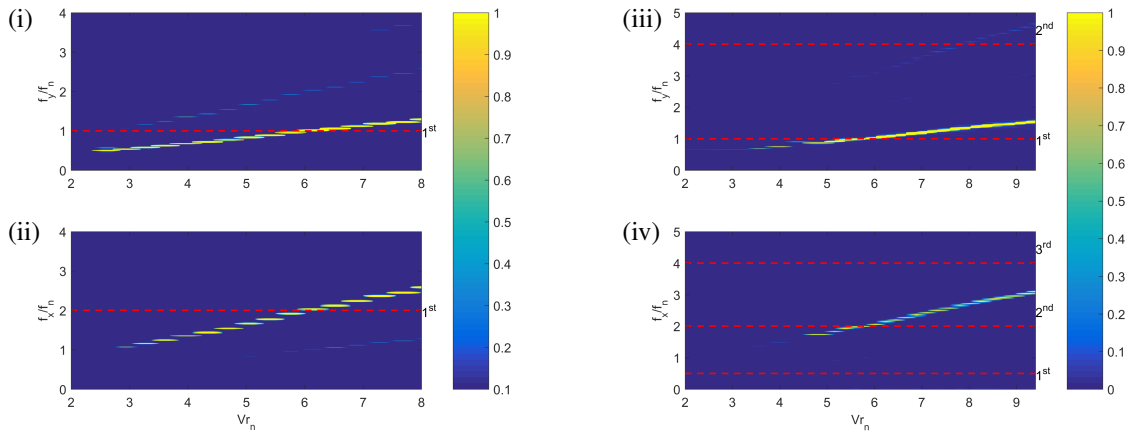


Figure 4: Frequency spectra as a function of nominal reduced velocity for cylinder 1 and cylinder 2. All spectra are normalized by the respective cylinder's fundamental frequency in the cross-flow direction. The magnitudes of the spectra are normalized by the maximum power spectral density over the range of experiments. (i) Frequency response for cylinder 1 in cross-flow. (ii) Frequency response for cylinder 1 in in-line. (iii) Frequency response for cylinder 2 in cross-flow. (iv) Frequency response for cylinder 2 in in-line. Red dashed lines indicate the structural natural frequencies in the respective directions of each individual plot. The structural mode that is associated with a particular frequency is noted on the right side of each subplot.

167 The left two images in Fig.4 show frequencies for cylinder 1 and the right two images in Fig. 4 show frequencies  
 168 for cylinder 2 in the cross-flow and in-line directions. In the cross-flow direction, the frequency analysis shows that the  
 169 dominant frequency increases with flow speed and does not level off at the natural frequency, consistent with observed  
 170 responses for low mass ratio cylinders. In addition, there are higher harmonic frequency components present. In the



171 in-line direction, the dominant frequency is twice the frequency in the cross-flow direction for all the flow speeds  
 172 tested with small lower frequency components present in the response at higher reduced velocities.

173 Similar to cylinder 1, the frequency content for cylinder 2 displays a 2:1 (in-line:cross-flow) dominant frequency  
 174 ratio that is observed for all flow speeds tested. There is also higher harmonic frequency content present in the cross-  
 175 flow direction at higher reduced velocity while higher harmonic components are not observed in the in-line direction.  
 176 It should be noted that, since all frequencies in Fig. 4 are normalized by the fundamental natural frequency in cross-  
 177 flow, then frequencies can be compared directly across plots, such that the in-line frequencies are typically observed  
 178 to be twice the cross-flow frequency. Dotted lines indicate the structural natural frequencies that were tuned for each  
 179 cylinder in order to achieve desired structural mode shapes with specific frequency combinations. For example, for  
 180 cylinder 2, in the in-line direction (Fig. 4 (iv)), the lowest dotted line corresponds to a first mode in the in-line  
 181 direction, but the frequency of this mode is one half the fundamental frequency in the cross-flow direction, hence  
 182  $f_x/f_n = 0.5$  for this line, while the second mode for this cylinder has  $f_x/f_n = 2$ . For the cylinder responding with  
 183 frequency content near a particular dashed line, one may expect the cylinder to take on the particular structural mode  
 184 shape associated with that frequency; however, multivariate analysis of the spatial response of the cylinders will show  
 185 that this is not the case, despite the clear presence of a 2:1 frequency relationship between the in-line and cross-flow  
 186 directions for both of these cylinders and the observation of response frequencies that pass through different structural  
 187 mode frequencies.

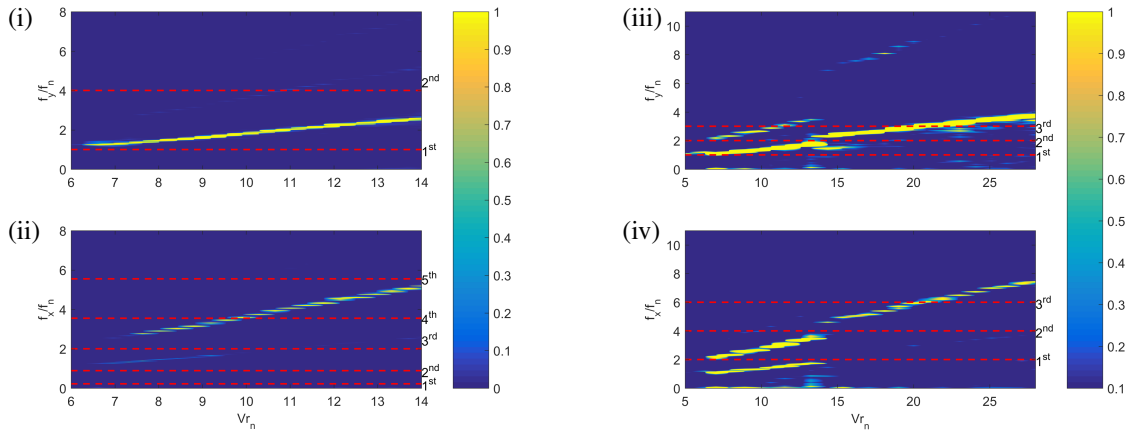


Figure 5: Frequency spectra as a function of nominal reduced velocity for cylinder 3 and cylinder 4. All spectra are normalized by the respective cylinder's fundamental frequency in the cross-flow direction. The magnitudes of the spectra are normalized by the maximum power spectral density over the range of experiments. (i) Frequency response for cylinder 3 in cross-flow. (ii) Frequency response for cylinder 3 in in-line. (iii) Frequency response for cylinder 4 in cross-flow. (iv) Frequency response for cylinder 4 in in-line. Red dashed lines indicate the structural natural frequencies in the respective directions of each individual plot. The structural mode that is associated with a particular frequency is noted on the right side of each subplot.

188 Figure 5 shows the cylinder 3 (left two images) and cylinder 4 (right two images) frequency content in the in-  
 189 line and cross-flow directions normalized by the cross-flow fundamental natural frequency. Similar to cylinder 1 and  
 190 cylinder 2, the dominant frequency for cylinder 3 in the cross-flow direction increases as the flow speed increases. In  
 191 addition, there are higher harmonic frequencies present, although they are not strong in the cross-flow direction. In  
 192 the in-line direction, at very low reduced velocity, the dominant frequency is equal to the frequency in cross-flow up to  
 193 the nominal reduced velocity of 7.3. For higher reduced velocities, the dominant in-line frequency becomes twice the  
 194 cross-flow frequency. It should be noted that cylinder 3 was designed with the intention of exciting the third structural  
 195 mode shape in the in-line direction and first structural mode in the cross-flow direction. In the in-line direction,  
 196 the dominant frequency is never observed to take the third in-line mode value (at two times the cross-flow first mode  
 197 frequency). The system avoids oscillating at this frequency as seen in a frequency jump that occurs at nominal reduced  
 198 velocity of 7.3. Instead, the system oscillates with a lower frequency in the in-line direction, then switches to a higher  
 199 frequency, avoiding the structural third mode frequency altogether. This illustrates the significance of specific in-line  
 200 and cross-flow mode combinations, as complex interactions between the wake and structure can significantly alter the

201 expected response of the system.

202 The two images on the right side of Fig.5 show the frequency spectra for cylinder 4 (tensioned cylinder) which is  
 203 based on the displacement data from Gedikli and Dahl (2017). In contrast to the three bending dominated cylinders,  
 204 cylinder 4 shows significant regions with multi-frequency content. In addition, the test range of the reduced velocities  
 205 for this cylinder is much larger due to the lower natural frequencies, hence a larger region of the frequency response is  
 206 shown. In particular, cylinder 4 displays first and second mode frequency components for the same nominal reduced  
 207 velocities up to the nominal reduced velocity of 14, where the in-line and cross-flow excitation frequencies start to  
 208 get close to the second structural mode frequencies. Above the reduced velocity value of 14, the cylinder displays  
 209 different response characteristics due to a mode change in the cross-flow direction, this is accompanied by a distinct  
 210 change in the excitation frequencies, where a jump occurs in the in-line direction.

211 *4.1. Dynamic response relationship between in-line and cross-flow*

212 In order to obtain a more complete understanding of the total cylinder response, the in-line and cross-flow span-  
 213 wise response, phase angle between in-line and cross-flow along the span, center point frequencies, and center point  
 214 Lissajous figures are shown for selected reduced velocities of each cylinder. The phase angle distribution was calcu-  
 215 lated using the inner product method as described in Gedikli and Dahl (2017).

216 *4.1.1. Cylinder 1*

217 For cylinder 1, the test cylinder was tuned to try to excite the first structural mode in both directions (in-line  
 218 and cross-flow) where the first structural mode frequencies had a relation of 2:1 (in-line:cross-flow). The frequency  
 219 response in Fig.4 showed that cylinder 1 vibrates near the frequency associated with the fundamental modes in both  
 220 directions. Two separate flow speed cases are selected to expand on characterizing the dynamic response of the  
 221 cylinder. The test case for  $V_{rn} = 4.6$  is chosen for comparison as the observed response frequency lies below the  
 222 structural first mode frequency and the test case for  $V_{rn} = 6.8$  is chosen as the observed response frequency lies above  
 223 the structural first mode frequency, as indicated with the dotted line in Fig. 4. The phase averaged spanwise response  
 224 amplitude and Lissajous figures for the center points are shown in Fig. 6 for both of these cases.

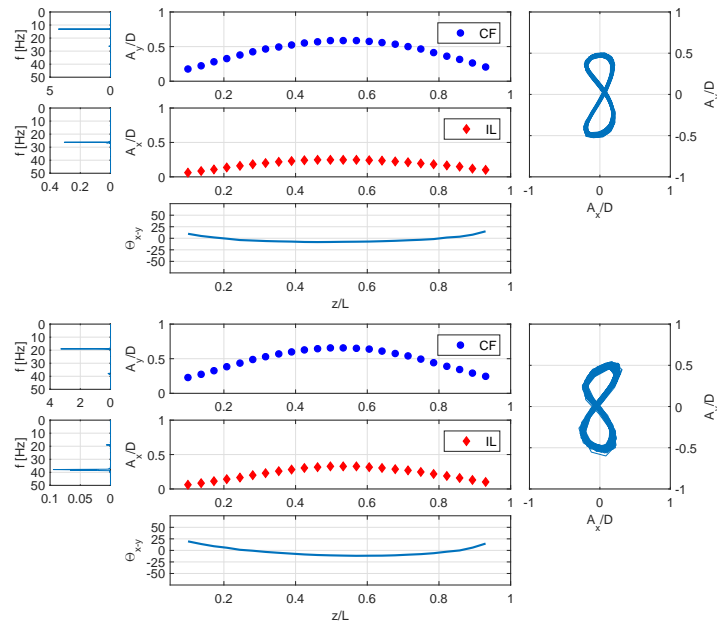


Figure 6: Spanwise response of cylinder 1, showing the frequency spectrum for the center point in cross-flow and in-line directions, the maximum spanwise response in the cross-flow and in-line directions, the computed phase between in-line and cross-flow motions, and the Lissajous figure of the center point. Top image:  $V_{rn} = 4.6$ . Bottom image:  $V_{rn} = 6.8$ .

225 As expected, the cylinder oscillates with a shape similar to a dominant first mode in both in-line and cross-flow  
 226 directions. In these cases, the Lissajous figure at the cylinder center point shows a figure eight shape with a phase  
 227 angle close to zero, with slight changes to the phase as one moves outwards from the center. The figure eight orbital  
 228 motion of the cylinder is consistent with the type of motion observed for rigid elastically mounted cylinders Dahl et al.  
 229 (2006). This is an expected observation, since the excited spanwise mode shape in the in-line direction was tuned to  
 230 be the same as in the cross-flow direction in this case.

#### 231 4.1.2. Cylinder 2

232 For cylinder 2, the test cylinder was tuned to try to excite the first structural mode shape in the cross-flow direction  
 233 and the second structural mode shape in the in-line direction with a 2:1 (in-line:cross-flow) ratio between the structural  
 234 mode frequencies. Based on Fig.4, the frequencies of the cylinder response lie very close to these tuned structural  
 235 mode frequencies for the tested speed range. It was expected that the system would therefore oscillate with a first  
 236 mode shape in the cross-flow direction and a second mode shape in the in-line direction. Test cases for  $V_{rn} = 5.6$  and  
 237  $V_{rn} = 8.6$  are shown as examples to demonstrate the response of cylinder 2.

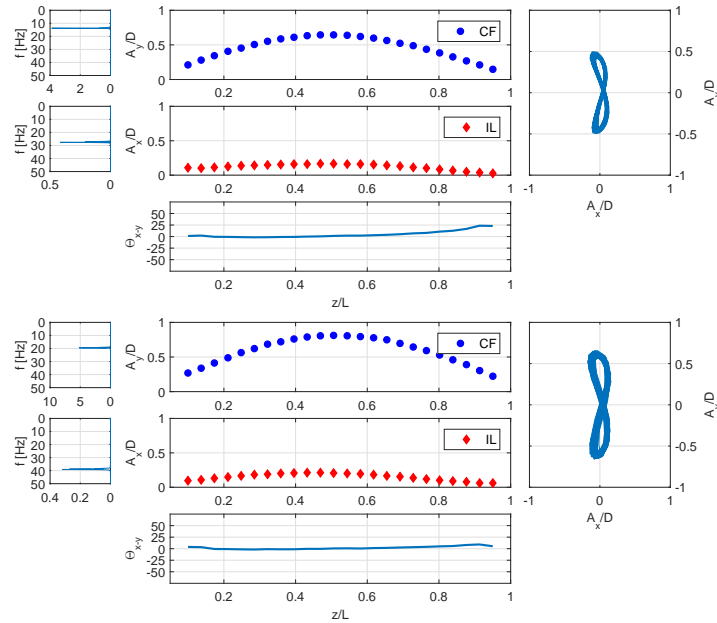


Figure 7: Spanwise response of cylinder 2, showing the frequency spectrum for the center point in cross-flow and in-line directions, the maximum spanwise response in the cross-flow and in-line directions, the computed phase between in-line and cross-flow motions, and the Lissajous figure of the center point. Top image:  $V_{rn} = 5.6$ . Bottom image:  $V_{rn} = 8.6$ .

238 Fig. 7 shows the spanwise response of cylinder 2 at  $V_{rn} = 5.6$  and  $V_{rn} = 8.6$  along with the Lissajous figures at the  
 239 center point. At these flow speeds, a 2:1 oscillation frequency ratio is observed between in-line and cross-flow motion,  
 240 as evident in the curved figure eight Lissajous figures. The phase between in-line and cross-flow motion is observed to  
 241 be near zero in both cases. Of note, is that although the cylinder is excited with a first mode in the cross-flow direction  
 242 as expected, the response in the in-line direction is different than anticipated. Although the frequency of the response  
 243 in the in-line direction is twice the frequency of the cross-flow direction, the spanwise shape of the response in the  
 244 in-line direction is similar to a half sinusoid shape, with only slight asymmetries near the end points. In these cases,  
 245 the mean deflection of the cylinder due to drag has been removed, such that these responses only show the magnitude  
 246 of the oscillation in the in-line direction.

247 This behavior was observed to be consistent for all reduced velocities tested, such that the in-line response of  
 248 cylinder 2 was never observed to take on a full sinusoidal second mode shape. Since higher speeds could not be  
 249 tested, it is unclear if this behavior would hold at speeds where the second mode in the cross-flow direction begins  
 250 to be excited. It is important to note, however that based on this case, representing vortex-induced vibrations as a

251 resonant vibration occurring separately in the in-line and cross-flow directions would be incorrect, since the second  
 252 structural mode shape is not excited. Instead, it appears the cylinder undergoes a forced in-line motion, which happens  
 253 to occur near the second mode natural frequency, but due to the spanwise uniform loading of the cylinder in drag, the  
 254 second mode shape is not excited. This observation is consistent with observations by Vandiver and Jong Vandiver  
 255 and Jong (1987) where a similar behavior was observed in the field testing of a long cable in a uniform current.

### 256 4.1.3. Cylinder 3

257 Cylinder 3 was tuned to excite the first structural mode in the cross-flow direction and the third structural mode  
 258 in the in-line direction with a 2:1 (in-line:cross-flow) ratio between structural mode frequencies. Since it was found  
 259 with cylinder 2 that an asymmetric second mode could not be excited under the experimental conditions, it was  
 260 hypothesized that by tuning the in-line direction to be excited with a higher odd mode shape, the system may respond  
 261 with an excitation of the higher odd mode. An analytic model of the structural characteristics for cylinder 3 indicated  
 262 that the cylinder would pass through several modes up to the fourth structural mode in-line while still encountering a  
 263 range of frequencies close to the first mode in the cross-flow direction.

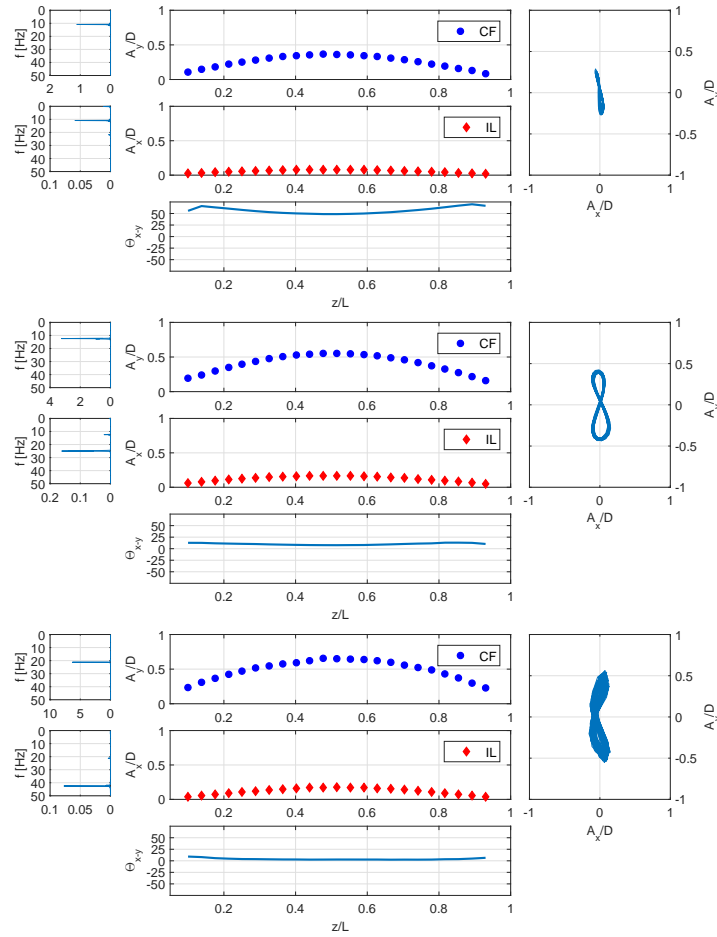


Figure 8: Spanwise response of cylinder 3, showing the frequency spectrum for the center point in cross-flow and in-line directions, the phase averaged maximum spanwise response in the cross-flow and in-line directions, the computed phase between in-line and cross-flow motions, and the Lissajous figure of the center point. Top image:  $V_{rn} = 7.3$ . Middle image:  $V_{rn} = 8.4$ . Bottom image:  $V_{rn} = 14.1$ .

264 Figure 8 shows the spanwise response of cylinder 3 at three different normalized reduced velocity values,  $V_{rn} =$   
 265  $7.3$ ,  $V_{rn} = 8.4$ , and  $V_{rn} = 14.1$ . The lowest reduced velocity is in a region, as indicated by Fig. 5, where the response  
 266 frequency in the in-line direction is equal to the frequency in the cross-flow direction. The other two cases show the

267 response just after the dominant frequency in the in-line direction switches to be twice the cross-flow frequency. The  
268 last test case shows the response at the highest reduced velocity tested to demonstrate how the response changes with  
269 an increase in reduced velocity.

270 The top image of Fig. 8 shows the response at  $V_{rn} = 7.3$  where the dominant in-line and cross-flow response  
271 frequencies are equal. The Lissajous figure in this case shows a squished tear drop shape response, where the in-line  
272 motion is slightly larger at the bottom of the orbit than at the top. This type of asymmetric response has been observed  
273 previously in experiments on elastically mounted rigid cylinders, where the in-line natural frequency is tuned to have  
274 a frequency lower than the cross-flow natural frequency (Kang and Jia, 2013). Based on the natural frequencies of  
275 the cylinder in the in-line direction, the frequency of oscillation for this test case ends up being closest to the second  
276 mode frequency in the in-line direction, which is equal to the first mode frequency in the cross-flow direction. This  
277 results in a response with frequencies being the same in both directions, although the second spanwise mode shape is  
278 not excited in the in-line direction. Instead, similar to cylinder 2, the spanwise in-line response resembles a half sine  
279 shape (although with only very small amplitude motion in the in-line direction).

280 The middle image in Fig. 8 shows the spanwise response of cylinder 3 at nominal reduced velocity of 8.4 where  
281 the cylinder has transitioned to oscillate with a 2:1(in-line:cross-flow) frequency relation. Figure 5 shows that cylinder  
282 oscillates with a frequency close to the third mode in the in-line direction and a frequency close to the first mode in  
283 the cross-flow direction at this reduced velocity. Similar to cylinder 2, the expected frequency relation is achieved in  
284 exciting the response of the cylinder, but again, the spanwise response of the cylinder does not follow the structural  
285 mode shape if the system were undergoing resonance at these frequencies. The cylinder again displays a spanwise  
286 shape similar to a half sine in both the in-line and cross-flow directions, rather than having a third mode shape in the  
287 in-line direction.

288 The bottom image in Fig.8 shows the spanwise response of cylinder 3 at nominal reduced velocity of 14.1. This  
289 case is similar to the previous case, demonstrating a 2:1 frequency relation between the in-line and cross-flow motion,  
290 but a half sinusoid spanwise shape. The resulting cross-flow frequency for this reduced velocity is directly between  
291 the first and second mode frequencies of the structure. If higher flow speed tests were possible with this setup, it  
292 is anticipated that the second mode of the structure in the cross-flow direction would be excited with the fifth mode  
293 in-line being the closest structural mode to the in-line excitation frequency.

294 With the relatively short span cylinder tested and optical motion tracking techniques, higher mode excitation of  
295 the cylinder is not observable by simply observing the spanwise response. Additionally, due to the nonlinear coupling  
296 of the fluid and structure, it is difficult to quantify particular modes being excited based simply on the structural modes  
297 of the cylinder, so multivariate analysis techniques are employed later to quantify the empirical modes excited in the  
298 structure.

#### 299 4.1.4. Cylinder 4 - Tensioned cylinder

300 Figure 9 shows the spanwise response of cylinder 4 for increasing flow speeds as in Gedikli and Dahl (2017). The  
301 top image in Figure 9 shows the response at  $V_{rn} = 10.6$  and bottom image shows the response at  $V_{rn} = 18.1$ . These two  
302 reduced velocity values were selected based on the frequency response shown in Fig.5, where at  $V_{rn} = 10.6$ , the test  
303 cylinder oscillates with two dominant frequencies in both the in-line and cross-flow directions. The multiple dominant  
304 frequencies observed for this case are the same in both the in-line and cross-flow directions and are partly a function  
305 of the slight asymmetry in the response of the cylinder, as evident in the Lissajous figure. The spanwise response in  
306 both in-line and cross-flow directions demonstrate a first mode shape based on observation of the magnitude of the  
307 spanwise response, although some asymmetry does exist over the span. Since the dominant frequencies at these flow  
308 speeds lie closest to the first mode of the structure in the cross-flow direction, it is expected that the spanwise shape in  
309 the cross-flow direction resembles a first mode, however, similar to cylinder 2, the frequency in the in-line direction  
310 lies closest to the second mode, yet the spanwise shape does not strongly demonstrate a second mode shape.

311 The bottom image in Fig.9 shows the spanwise response for cylinder 4 at  $V_{rn} = 18.1$ . At this flow speed, the  
312 excitation frequency in the cross-flow direction is close to the second mode structural natural frequency and the  
313 response in the cross-flow direction has changed to resemble a second mode shape in the cross-flow direction. The  
314 response in the in-line direction appears to have second and third mode components based on the shape of the response,  
315 despite a single dominant frequency for the response. Multivariate analysis is used to further elucidate the modal  
316 excitation of the structure based on empirical modes.

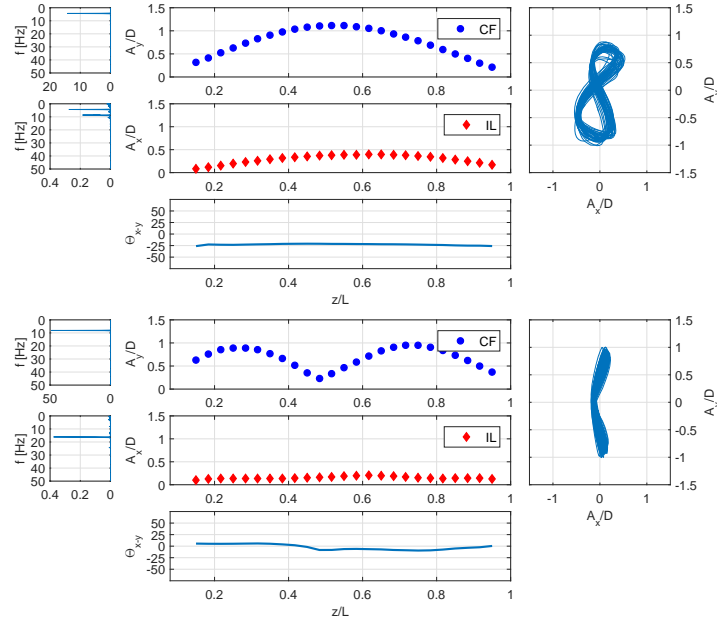


Figure 9: Spanwise response of cylinder 4, showing the frequency spectrum for the center point in cross-flow and in-line directions, the maximum spanwise response in the cross-flow and in-line directions, the computed phase between in-line and cross-flow motions, and the Lissajous figure of the center point. Top image:  $Vr_n = 10.6$ . Bottom image:  $Vr_n = 18.1$ .

317 One important observation from all the cylinders tested, is that even though the cylinder has a frequency that may  
 318 correspond to a higher structural mode in the in-line direction (second mode for example), if there is no change in the  
 319 cross-flow spanwise mode as flow speed is increased or if the response of the structure is at a frequency that is closest  
 320 to the first mode frequency, there is no observed spanwise mode shape change in the in-line direction. For example,  
 321 as with cylinder 4, a change in the shape of the in-line direction response is only observed after the cross-flow motion  
 322 has undergone a spanwise mode shape change due to excitation of a higher structural mode. These results are only  
 323 observed for the low mode number flexible cylinders that are tested in the current experiments, however care has  
 324 been taken to maintain a uniform current and uniform loading of the structure in the flow channel by performing the  
 325 experiments horizontally in the flow channel. Vertical orientation of the cylinder could introduce asymmetries to the  
 326 loading through gravitational effects or effects of the free surface.

327 In addition to higher modes in the in-line direction being delayed based on the mode excitation in the cross-flow  
 328 direction, the present experiments show that it is difficult to excite asymmetric mode shapes in the in-line direction  
 329 under a uniform current loading, although asymmetric mode shapes can be excited in the cross-flow direction. It is  
 330 not possible to claim that this observation is true under all flow conditions, especially since slight asymmetries to an  
 331 experiment or typical asymmetries that may exist in a field experiment could possibly excite asymmetric modes.

332 These findings are based primarily on analysis of the observed maximum response over the span. In order to  
 333 further understand which dominant modes are excited, particularly in the in-line direction, it is necessary to decom-  
 334 pose the observed responses into dominant empirical modes to clearly see the contribution of each mode in the total  
 335 response. Empirical modes are chosen to characterize the spanwise response of the cylinders in order to avoid re-  
 336 quiring particular mode shapes for a phenomenon that is well known to be nonlinear. For this purpose, the recently  
 337 developed smooth orthogonal decomposition (SOD) (Gedikli et al., 2017) is used to characterize the modal response  
 338 of the different cylinders.

### 339 5. Multivariate analysis - SOD based VIV mode analysis

340 Proper orthogonal decomposition (POD) has been widely used in structural vibration modal identification and is  
 341 shown to converge to the actual vibration modes if the mass distribution in the linear dynamical systems is uniform

(i.e., when structural modes form an orthogonal basis for the linear system's phase space) as shown by Feeny and Kappagantu (1998). Alternatively, if the actual mass matrix is known, POD can identify the true vibration modes by premultiplying the motion data by the mass matrix. Due to the uniform distribution of the measurement dots along the beam, the mass distribution of the corresponding discrete system is expected to be uniform in the air (i.e., one expects a uniform diagonal mass matrix). However, when placed in a fluid, the mass matrix is no longer uniform or constant due to the spanwise added mass, and the structural modes are no longer expected to form an orthogonal basis. In contrast, Chelidze and Zhou (2006) showed that smooth orthogonal decomposition (SOD) does not require the knowledge of mass distribution to converge to the actual vibration modes and is expected to be better at resolving structural modes responsible for this type of fluid structure interaction.

The main difference of such multivariate methods than traditional Fourier based mode decomposition is that Fourier based mode decomposition assumes a base modal shape. The empirical multivariate methods used in the present paper do not require any prior knowledge of the mode shape as an input parameter. The resulting empirically determined mode shapes may be similar to a sinusoidal mode shape depending on the loading of the cylinder and for highly non-linear systems, such as low mode number flexible cylinders with multiple excited modes, these methods can be advantageous for identifying the dominant empirical modes.

Previously, Gedikli and Dahl (2017) applied local POD analysis and Gedikli et al. (2017) applied global POD and SOD to a similar VIV dataset, comparing the results of these two techniques on the analysis of a flexible cylinder undergoing VIV. In the local analysis, the POD method was applied to individual cylinder responses to obtain empirical mode shapes for each individual reduced velocity. In the global analysis, the method is applied to all cylinder time histories over all reduced velocities, creating one large input data matrix, to obtain a single global response describing the system modes. The global analysis method effectively determines an average modal behavior of the system over the measurements in the data set. In both studies, the methods identified the cylinder's most dominant empirically determined mode shapes; however, Gedikli et al. (2017) showed that by applying the global SOD method, the proper ordering of empirical modes based on energy content could be achieved. Therefore, in this study we use the global SOD method for empirical modal analysis of the cylinders.

### 5.1. Description of smooth orthogonal decomposition (SOD)

In the SOD method, the displacement data matrix,  $X$ , is constructed from all the experimentally measured time histories from the cross-flow and in-line measurements.  $X \in R^{m \times 2n}$ , where  $X$  is the combined in-line and cross-flow data matrix,  $m$  is the number of total time samples, and  $n$  is the number of points recorded along the span of the cylinder. Using the forward difference method, one can construct a new data matrix  $V = DX \in R^{m \times 2n}$  which includes in-line and cross-flow velocities. With the new velocity data matrix, the phase space representation of the total response can be obtained as  $Y = [X, V] \in R^{n \times 4n}$ .

SOD identifies the subspaces ( $\psi$ ) where the scalar field projection  $q = Y\psi$  is maximally smooth, while having maximal variance. Defining the smoothness of the projection as

$$h(\psi; k) = \frac{1}{M} (D^k Y \psi)^T D^k Y \psi \quad (4)$$

where  $D^k$  is the  $k^{\text{th}}$  order derivative matrix based on forward difference ( $k = 3$  for this application), SOD translates into the following optimization problem:

$$\max_{\psi} q(\psi)^T q(\psi) = \max_{\psi} (Y\psi)^T Y\psi, \quad (5)$$

subject to

$$\min_{\psi} (D^k q(\psi))^T D^k q(\psi) = \min_{\psi} (D^k Y \psi)^T D^k Y \psi. \quad (6)$$

The corresponding SOD problem can be solved by generalized singular value decomposition:

$$Y = UC\Phi^T = Q\Phi^T, \quad D^k Y = ZS\Phi^T = D^k Q\Phi^T, \quad (7)$$

where  $U$  and  $Z$  are unitary matrices;  $C$  and  $S$  are diagonal matrices; columns of the square matrix  $\Phi$  contain smooth orthogonal modes (SOMs); columns of  $Q = UC$  are smooth orthogonal coordinates (SOCs);  $\lambda_i = C_{ii}/S_{ii}$  are smooth

382 orthogonal values (SOVs); and  $\Psi = \Phi^{-T}$  are smooth projective modes (SPMs) that form a bi-orthogonal set with  
383 SOMs.

## 384 5.2. Energy contribution and empirical VIV modes

385 It was identified previously that the response of the system in the in-line direction appears to be driven by the  
386 response of the system in the cross-flow direction. When there is a uniform in-flow distributed over the span of  
387 the cylinder, the dominant shape of the in-line response tended to remain a half sine shape unless the cross-flow  
388 mode shape changed, in which case, the in-line response would display a combination of higher mode shapes. This  
389 phenomenon is investigated further by employing an empirical modal analysis to the cylinder 2 and cylinder 4 datasets.  
390 These data sets are chosen since they both have frequency characteristics such that the second structural mode in the  
391 in-line direction is tuned to have twice the frequency of the first mode in the cross-flow direction, although cylinder 2  
392 is bending dominated and cylinder 4 is tension dominated.

393 In order to assess the dominant empirical modes present in the data set, the data for each cylinder is split into  
394 subsets over which the smooth orthogonal decomposition is applied. For cylinder 2, the mode shapes are calculated  
395 using flow speeds corresponding to excitation frequencies below the natural frequency of the cylinder ( $V_m < 5.5$ ,  
396 labeled as (a) in Figure 10) and for flow speeds corresponding to excitation frequencies above the natural frequency  
397 of the cylinder ( $V_m > 5.5$ , labeled as (b) in Figure 10). The global SOD analysis is separately performed over  
398 these ranges of experiments. The choice of separating the data based on the cylinder natural frequency is somewhat  
399 arbitrary, but it allows one to see how the dominant empirical mode behavior changes if one is observing the system  
400 excitation below the first structural mode frequency and above the first structural mode frequency (where the system  
401 may be approaching excitation of the second structural mode). In this way, one can compare the ordering of dominant  
402 modes present and one may observe if how different modes become dominant over different ranges of data.

403 Similarly, the data set for cylinder 4 is divided into two parts for computing the SOD. For cylinder 4, the data is  
404 divided into speeds for  $V_m < 15$  (labeled (a) in Figure 11) and speeds for  $V_m > 15$  (labeled (b) in Figure 11). This  
405 dividing point is chosen since the system is observed to undergo a mode change in the cross-flow direction at this  
406 speed, hence the lower speeds correspond to a dominant half-sine-like excitation in cross-flow and the higher speeds  
407 correspond to a dominant full-sine-like excitation in cross-flow.

408 In applying the SOD method, the separately measured in-line and cross-flow responses are used in computing  
409 the mode shapes, hence each mode shape consists of a cross-flow portion and an in-line portion. The SOD method  
410 also allows for computation of the frequency associated with each mode. In the global SOD method, the resulting  
411 frequency corresponds to an average dominant frequency over the range of experiments used in computing the mode  
412 shapes. These average frequencies are given in Figures 10 and 11 for each mode shape to illustrate the average  
413 frequency associated with that modal response of the cylinder. In some cases, there are multiple dominant frequencies  
414 for a single mode (for example, if a mode is composed of both a significant in-line response and a significant cross-flow  
415 response where each direction has a different dominant frequency), then multiple dominant frequencies are reported.

416 Figure 10(i) shows the energy fraction of the first 10 subspace dimensions from SOD along with the corresponding  
417 frequencies for the first 6 dominant smooth orthogonal modes of cylinder 2. As previously described, the energy frac-  
418 tion labeled as (a) shows the modal components for low speeds (below  $V_m = 5.5$ ), while the line labeled as (b) shows  
419 the same computation using speeds higher than  $V_m = 5.5$ . It is immediately apparent that in either decomposition  
420 of the response, the majority of energy is comprised by the first four empirical modes. Figure 10(ii) shows the first  
421 six smooth orthogonal modes for the lower speed (a) data set and Figure 10(iii) shows the first six smooth orthogonal  
422 modes for the higher speed (b) data set. Since the first four modes contain the majority of energy in the system,  
423 one only needs to consider these modes. The first two modes correspond to a pure cross-flow motion of the system  
424 with the associated average frequency, this shape is consistent with what one might consider the expected first mode  
425 structural response of the system. The third and fourth modes for group (a) (Figure 10(ii)) correspond to a purely  
426 in-line response of the system with a half-sine-like shape and twice the frequency of the pure cross-flow modes. For  
427 the higher flow speeds, group (b) (Figure Figure 10(iii)), the first two modes are very similar. The higher frequency of  
428 these modes corresponds to the higher excitation frequencies at the higher flow speed. The third mode, however shows  
429 a pure cross-flow, full-sine-like shape, which demonstrates that the system may be near to transitioning to exciting  
430 a second mode in the cross-flow direction (i.e. some of the higher flow speed experiments had sufficient responses  
431 containing some second mode excitation in order to reorder the empirical modes based on the system velocity rather  
432 than just energy content, an effect of using the SOD method). From the low speed data, the closest empirical mode



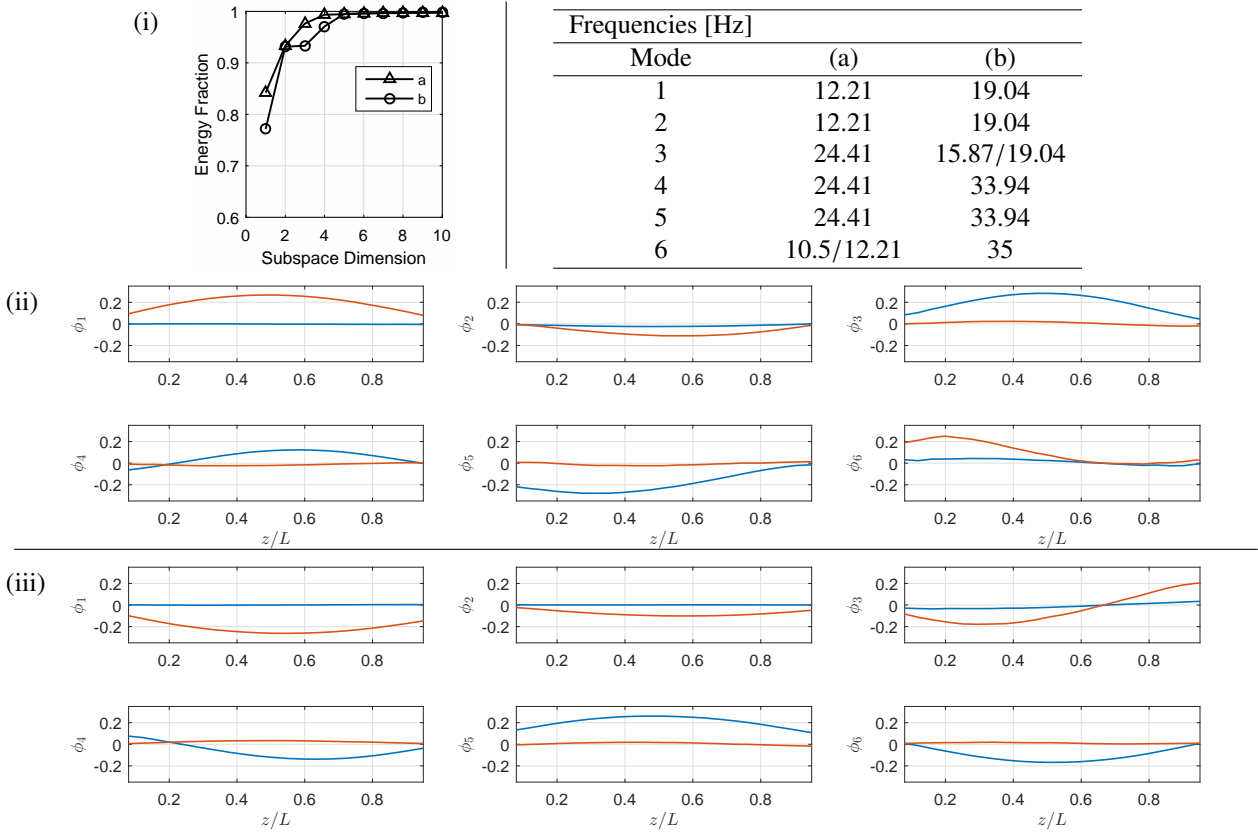


Figure 10: (i) Energy fraction in first 10 subspace dimension, (ii) First 6 SOMs for cylinder 2 where input data matrix includes the dataset up to  $V_{rm} = 5.5$ . (iii) First 6 SOMs for cylinder 2 where input data matrix includes dataset after  $V_{rm} = 5.5$ . Red is cross-flow, blue is in-line.

433 corresponding to this type of shape was mode 6. Mode 4 in group (b) is similar to mode 4 in group (a), while mode 5  
 434 from group (b) corresponds with mode 3 from group (a). The same modes appear to be present, but are just reordered  
 435 based on the smoothness of the decomposition. The main thing to note from these decompositions is that the dominant  
 436 in-line modes (mode 3 and 4 in group (a), and modes 4 and 5 in group (b)) remain with a half sine shape when the  
 437 dominant cross-flow modes have a half sine shape (modes 1 and 2 in both groups). Despite the frequencies of the  
 438 in-line modes corresponding to frequencies close to the structural second mode frequency, the shape of these modes  
 439 remains symmetric, corresponding to the shape of the dominant cross-flow mode. Unfortunately, due to limitations  
 440 of the experimental setup, the flow speed could not further be increased, where it would be expected that the second  
 441 structural mode in the cross-flow direction would be excited. This is due to the frequency relation of the bending  
 442 dominated system. For cylinder, 4, it was possible to have a system with a larger range of modes covered by the  
 443 allowable range of speeds in the flow channel.

444 Figure 11(i) shows the energy fraction of the first 10 subspace dimensions from SOD along with the corresponding  
 445 frequencies for the first 6 dominant smooth orthogonal modes of cylinder 4. Again, as previously described, the energy  
 446 fraction labeled as (a) shows the modal components for speeds below the cross-flow mode transition (below  $V_{rm} = 15$ ),  
 447 while the line labeled as (b) shows the same computation using higher speeds after the mode transition at  $V_{rm} = 15$ .  
 448 In group (a), the energy content of the modes is largely present in the first three modes, which as seen in Figure  
 449 11(ii), correspond to a pure cross-flow excitation with half sine shape (mode 1), a pure cross-flow excitation with full  
 450 sine shape (mode 2), and a combined in-line and cross-flow excitation with half sine shape with separate dominant  
 451 frequencies for each direction (mode 3). In contrast, the energy is distributed over the first 6 modes for group (b),  
 452 and as seen in Figure 11(iii), these modes contain more complex behaviors consisting of multiple frequencies and  
 453 complicated mode shapes. In group (b), the dominant mode is a pure cross-flow mode with full sine shape, similar

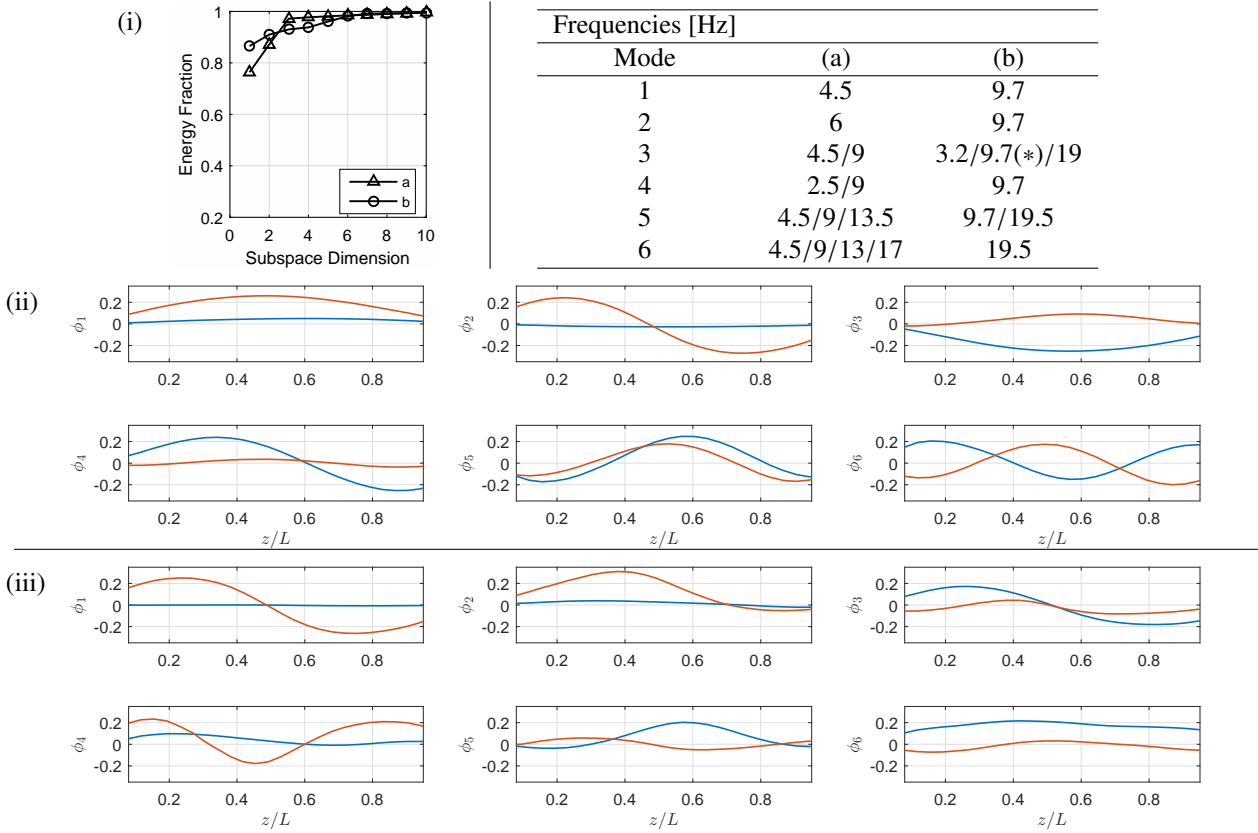


Figure 11: (i) Energy fraction in first 10 subspace dimension, (ii) First 6 SOMs for cylinder 4 where input data matrix includes the dataset up to  $V_m = 15$ . (iii) First 6 SOMs for cylinder 4 where input data matrix includes dataset after  $V_m = 15$ . Red is cross-flow, blue is in-line. (\*) is the most dominant frequency.

454 to the second structural mode in the cross-flow direction. In this case, the transition of the dominant mode to be the  
 455 second structural mode in the cross-flow direction enables the system to display more complex mode behavior in the  
 456 in-line direction. For example, mode 3 of group (b) shows a full sine shape and mode 5 displays a shape similar to  
 457 a third mode. This multimodal excitation of the in-line direction is only observed once the system has transitioned  
 458 between exciting the first cross-flow structural mode to the second cross-flow structural mode.

459 It must be noted that any analysis of a system using empirical modes is subject to flaws in the data acquisition and  
 460 available data and will always be difficult to interpret in terms of general behaviors for any similar system. It is only  
 461 our intention in this analysis to demonstrate how the dominant in-line modes change as a function of the dominant  
 462 cross-flow mode, since analysis of the raw amplitude response cannot give information about individual modes. One  
 463 can argue from this set that by observing similar behaviors in the separate bending-dominated and tension-dominated  
 464 systems, one may expect similar behaviors in systems with combined bending and tension.

## 465 6. Discussion

466 The cylinders studied in this experiment were designed specifically with the intention of exciting specific spanwise  
 467 mode shapes in order to study the effects of the spanwise response of the cylinder on VIV. By keeping the ratio of  
 468 natural frequencies between the in-line and cross-flow direction to be 2:1 while altering the structural mode shapes  
 469 associated with these frequencies, it was hypothesized that a different system response would be observed. In these  
 470 experiments, it was observed that in a uniform flow, when the excitation frequency from vortex shedding matches the  
 471 in-line natural frequency and that natural frequency corresponds to an asymmetric mode (2nd mode in this case), the  
 472 response will not take on the asymmetric mode shape but will still be excited with the twice the cross-flow frequency.

473 Vandiver and Jong (1987) observed a similar behavior in field experiments where excitation of specific odd mode  
 474 frequencies in the in-line direction were observed to take on a lower mode shape. This behavior was attributed  
 475 to the symmetric distribution of the drag force over the cylinder in a uniform flow, where due to the symmetry of  
 476 loading over the body, the forced oscillation would not allow for even modes to be excited. Consider the classical  
 477 problem of a viscously damped Euler-Bernoulli beam with pinned end conditions (Benaroya, 2004; Ginsberg, 2001;  
 478 Meirovitch, 2001). If one considers a uniform distribution of force over the span of the beam (consider this to be the  
 479 uniformly distributed drag force acting in the in-line direction of the cylinder), where the force is a harmonic function  
 480 applied with a frequency equal to the second mode natural frequency, one finds that the spanwise response will have  
 481 a symmetric shape similar to the shape observed in the present experiments (see Fig. 12). In fact, for any frequency  
 482 associated with an even mode, the spanwise response will be similar to the next lowest odd mode shape. This is a  
 483 well known phenomenon based on the modal analysis of beams, however the nonlinear coupling between the in-line  
 484 and cross-flow response of the flexible cylinder undergoing VIV leads to additional behaviors that are not predicted  
 485 by this simple dynamic beam theory.

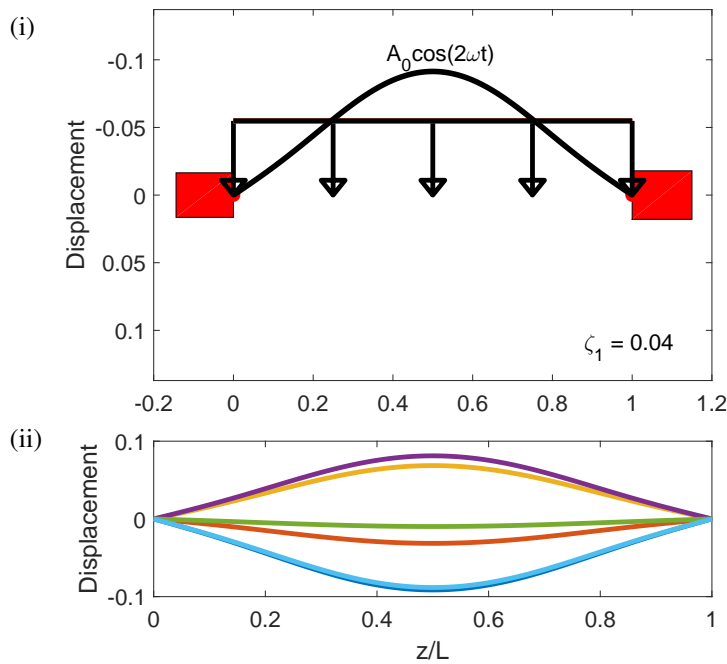


Figure 12: Euler-Bernoulli beam with uniformly distributed, time dependent load with frequency equal to the second mode structural natural frequency. Top image (i): Schematic of load distribution and resulting maximum structure spanwise response. Bottom image (ii): Structural response at different instances in time.

486 If one considers a beam where the uniformly distributed forcing function is applied with a frequency equal to the  
 487 third mode of the cylinder, one will find that although the distribution of the force does not match the mode shape  
 488 for that frequency, the beam will still respond with a spanwise response that resembles the third mode shape (see  
 489 Figure 13. The response amplitudes may not be as large as would happen if the distribution of the load followed the  
 490 third mode shape, but the spanwise response still takes this shape when we consider only loading in one direction.  
 491 In the case of the present experiments, there is a combined loading on the cylinder in both the cross-flow and in-  
 492 line directions due to vortex shedding in the wake of the cylinder. This combined load sets up an effective resonant  
 493 condition in the cross-flow direction, where the added mass of the system adjusts the effective natural frequency. Dahl  
 494 et al. (2010) found that for an elastically mounted rigid cylinder, the in-line added mass would adjust as well in order  
 495 to provide an effective resonant condition in the in-line direction. However, even when the in-line forcing frequency  
 496 matches the in-line natural frequency for a higher mode shape other than the cross-flow mode, as with cylinder 2  
 497 and 3, the in-line response is dominated by a half sine shape. This is observed to happen due to the half sine shape  
 498 that dominates the cross-flow response. This makes sense since the forcing functions applied in the cross-flow and

499 in-line directions are derived from the same physical phenomenon, the shedding of vortices in the wake, which causes  
 500 the responses in the separate directions to be coupled. One therefore can't separately consider the in-line response  
 501 from the cross-flow response, otherwise the resulting spanwise mode shape would be predicted incorrectly. Once the  
 502 cross-flow mode shifts to exciting a higher mode shape in the cross-flow direction, then one begins to see higher mode  
 503 responses in the in-line direction.

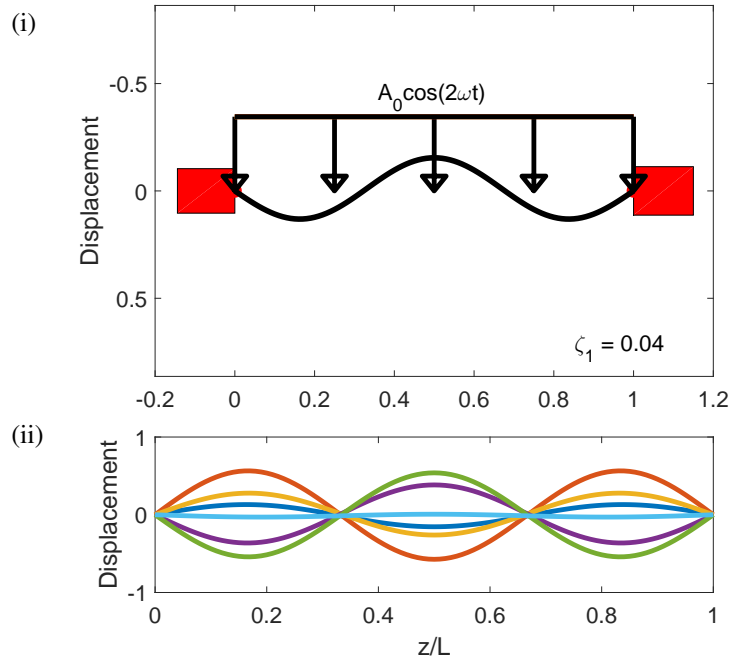


Figure 13: Euler-Bernoulli beam with uniformly distributed, time dependent load with frequency equal to the third mode structural natural frequency. Top image (i): Schematic of load distribution and resulting maximum structure spanwise response. Bottom image (ii): Structural response at different instances in time.

504 Although the observed in-line responses did not demonstrate strong even mode excitation in these experiments,  
 505 even modes could certainly be excited in the case of sheared flow, where an asymmetry of the flow speed would  
 506 result in an asymmetry to the distributed drag load. This may also be significant to flexible cylinder studies conducted  
 507 vertically in a towing tank or water tunnel. In conditions where a flexible cylinder pierces the water surface, a slight  
 508 asymmetry may occur in the loading of the structure due to the formation of waves at the free surface, which would  
 509 demonstrate asymmetric mode excitation that would not typically occur if the loading was purely symmetric. This has  
 510 general relevance in understanding responses observed in field or lab experiments studying the response of flexible  
 511 cylinders.

512 Additional interesting behaviors were also observed for specific cylinders. For example, cylinder 3 was tuned  
 513 so that the first mode in-line would correspond with the forcing frequency from vortex shedding in the transverse  
 514 direction, while the third structural mode will correspond with the vortex shedding frequency in the in-line direction.  
 515 Despite this tuning, the in-line direction undergoes a response with dominant first mode shape (due to the loading  
 516 distribution as described above). This is interesting, however, since in order to oscillate with the observed frequency  
 517 and mode, a linear treatment of the frequency response and adjustment of the effective natural frequency would require  
 518 an extremely large negative added mass, since the frequency of oscillation in the in-line direction is so far from the  
 519 natural frequency associated with the first mode. This is highly unlikely and the frequency transitions observed for  
 520 cylinder 3 are more likely to stem from non-linear resonant conditions from the coupling of vortex shedding effects  
 521 on the cross-flow and in-line response. Additionally, the transition of the cross-flow response of cylinder 4 from first  
 522 mode to second mode, that leads to a multi-mode response in the in-line direction implies that the in-line response  
 523 is a forced response dependent on the cross-flow response. Further studies to investigate the three-dimensional wake  
 524 in the presence of these transitions would help in understanding the importance of these couplings and would aid in

525 developing improved physical models of the wake for prediction of this phenomenon.

526 Finally, the transition between a 1:1 mode shape response and 2:3 mode shape response seen in the tensioned  
527 cylinder is not necessarily unique to the tensioned cylinder, since the natural frequency relation for the bending-  
528 dominated cylinder requires the natural frequencies to be further spaced from one another. Due to the limitations of  
529 the flow channel, higher speeds could not be tested to see if the transition to higher modes would follow a similar  
530 behavior for the bending-dominated systems.

531 The tension-dominated and bending-dominated systems in this study demonstrate some overall common behav-  
532 iors: despite frequency excitation in the cross-flow direction that is twice the frequency in the in-line direction and  
533 tuning natural frequencies to have specific mode shapes, it is difficult or not possible to significantly excite an asym-  
534 metric second mode shape in the in-line direction. There are several stipulations on this observation: 1) This phe-  
535 nomenon is limited to occur only under symmetric loading conditions (i.e. uniform loading), which are likely rarely  
536 seen in field operations, 2) the cylinder has to have a symmetric mass distribution, otherwise variation in the mass  
537 could result in system asymmetries, 3) in the present experiments, the mass ratio was small (close to 1), such that  
538 gravitational effects on the structure (i.e natural sagging of the cylinder) were minimal, and 4) the orientations of the  
539 test cylinders were always horizontal, hence small asymmetries that may occur by having the cylinder vertical are  
540 avoided (e.g. piercing through the free surface).

## 541 **7. Conclusion**

542 The objective of this experimental study was to observe the effects of a flexible cylinder's structural mode shapes  
543 on its response due to vortex-induced vibrations. Previously in field experiments, Vandiver and Jong (1987) observed  
544 that a flexible pipe would not be excited with even modes due to a uniformly distributed drag load along the span. This  
545 study tests this observation in controlled laboratory experiments and further investigates the effects of exciting specific  
546 mode shape combinations in the structure by systematically altering the cylinder structural characteristics using plastic  
547 beams molded inside flexible urethane cylinders. Each of the test cylinders had unique structural characteristics  
548 allowing the in-line mode shape to vary from one to three while keeping the cross-flow mode shape and ratio between  
549 the in-line and cross-flow frequencies 2:1.

550 This systematic study shows that even though a flexible cylinder may be tuned to oscillate with an asymmetric  
551 mode shape (i.e. second mode) in in-line, it is not possible to have an asymmetric mode shape due to symmetric drag  
552 loading in a uniform flow. Further, it is not possible to excite higher mode shapes in the in-line direction without  
553 a transition to a higher mode shape in the cross-flow direction. Asymmetric mode shapes may be possible if the  
554 drag force distribution is not symmetric. Multivariate analysis was used to analyze the contribution of higher order  
555 empirical modes, demonstrating how higher modes in the in-line direction become dominant after a mode transition  
556 in the cross-flow direction.

557 Further work is necessary to elucidate how general this behavior is in long flexible cylinders. Due to the relatively  
558 short aspect ratio of the cylinders and uniform flow in the present study, significant traveling wave responses on the  
559 cylinder were not observed, which would alter the generality of these observations. Additionally, three-dimensional  
560 visualization of the wake would help to quantify the fluid-structure coupling over the span as mode transitions occur.

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