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Advances in the application of amino acid nitrogen isotopic analysis in ecological and biogeochemical studies

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1 Advances in the application of amino acid nitrogen isotopic analysis

2 in ecological and biogeochemical studies

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38 Abstract

- 39 Compound-specific isotopic analysis of amino acids (CSIA-AA) has emerged in the last decade as a powerful approach for tracing the origins and fate of nitrogen in ecological and biogeochemical 40studies. This approach is based on the empirical knowledge that source AAs (*i.e.*, phenylalanine), 4142fractionate ¹⁵N very little (<0.5‰) during trophic transfer, whereas trophic AAs (*i.e.*, glutamic acid), are greatly (\sim 6-8‰) enriched in ¹⁵N during each trophic step. The differential fractionation of these 43two AA groups can provide a valuable estimate of consumer trophic position that is internally 44indexed to the baseline δ^{15} N value of the integrated food web. In this paper, we critically review the 45analytical methods for determining the nitrogen isotopic composition of AAs by gas 4647chromatography/isotope-ratio mass spectrometry. We also discuss methodological considerations for 48accurate trophic position assessment of organisms using CSIA-AA. We then discuss the advantages 49and challenges of the CSIA-AA approach by examining published studies including trophic position 50assessment in various ecosystems, reconstruction of ancient human diets, reconstruction of animal 51migration and environmental variability, and assessment of marine organic matter dynamics. It is 52clear that the CSIA-AA approach can provide unique insight into the sources, cycling, and trophic 53modification of organic nitrogen as it flows through systems. However, some uncertainty still exists 54in how biochemical, physiological, and ecological mechanisms affect isotopic fractionation of 55trophic AAs. We end this review with a call for continued exploration of the mechanisms of AA 56isotopic fractionation, through various studies to promote the evolution of the rapidly growing field 57of CSIA-AA.
- 58
- 59 Abbreviations
- 60 AA: amino acid, EAA: essential amino acid, SAA: source amino acids, TAA: trophic amino acids,
- 61 Ala: alanine, Arg: arginine, Asn: asparagine, Asp: aspartic acid, Cys: cysteine, His: histidine, Glu:
- 62 glutamic acid, Gly: glycine, Ile: isoleucine, Leu: leucine, Lys: lysine, Met: methionine, Phe:
- 63 phenylalanine, Pro: proline, Ser: serine, Thr: threonine, Trp: tryptophan, Val: valine, CSIA:
- 64 compound-specific isotope analysis, TFA: trifluoroacetic acid, TFAA: trifluoroacetic acid
- 65 anhydride, Pv: pivaloyl, MOC: methoxycarbonyl, iPr: isopropyl, GC/IRMS: gas
- 66 chromatography/isotope-ratio mass spectrometry, HPLC: high-performance liquid chromatography,
- 67 TP: trophic position, TDF: trophic discrimination factor, OM: organic matter, POM: particulate
- 68 organic matter, DOM: dissolved organic matter, THAA: total hydrolysable amino acid
- 69
- 70 Key words
- amino acid, nitrogen isotopic composition, trophic discrimination factor, trophic position, ecology,
- 72 biogeochemistry
- 73 **1. Introduction**

74

75The stable nitrogen isotopic composition of organisms was first applied in the field of biogeoscience 76more than half a century ago (e.g., Parwel et al., 1957; Hoering and Ford, 1960; Cheng et al., 1964). 77Miyake and Wada (1967) first reported that marine animals preferentially incorporate ¹⁵N relative to ¹⁴N during metabolic processing of dietary nitrogen. These initial findings were later confirmed in 78several seminal papers based on diet-controlled laboratory culture experiments and field studies that 79provided further evidence of ¹⁵N enrichment during heterotrophic processes (*e.g.*, DeNiro and 80 81 Epstein 1981; Minagawa and Wada 1984; Fry, 2006 and references therein). The stable nitrogen 82 isotopic composition provides unique insight into the dietary habits of animals, as well as biogeochemical cycling of nitrogen because ¹⁵N enrichment during trophic transfer integrates a 83 number of biochemical processes accompanying isotopic fractionation during nitrogen metabolism. 8485 The nitrogen isotopic composition of organisms provides a unique approach for describing the 86 dietary habits of animals, a macroscale ecological phenomenon. Beyond ecological studies, this approach has been widely applied to biogeochemical studies investigating the fate of nitrogen in 87 88 oceanographic, terrestrial and freshwater systems (e.g., Cline and Kaplan, 1975; Wada et al., 1975; 89 Wada, 1980; Altabet and Francois, 1994).

90 These early stable nitrogen isotope studies were based on bulk isotope analysis, which integrates across all nitrogen containing entities in a sample. While certainly informative for many 91applications, interpretation of bulk δ^{15} N data can be challenging as multiple independent factors 92including baseline isotope values, trophic transfer, and microbial degradation, all can influence bulk 93 δ^{15} N values. Compound-specific isotopic analysis of amino acids (CSIA-AA) has emerged as a 94powerful approach in many ecological and biogeochemical applications (e.g., Gaebler et al., 1963, 9596 1966; Macko and Estep, 1984; Macko et al., 1986, 1987), because the differential fractionation of 97 individual amino acids can disentangle the relative influences of baseline and trophic variability on consumer δ^{15} N values. The nitrogen in an organism is predominantly contained in proteins, which 98 99 are long chains of amino acids (AAs) linked by peptide bonds. Consequently, the CSIA-AA 100 approach is based on the fact that the nitrogen isotopic composition of individual AAs in organic 101 matter reflects isotopic fractionation associated with various biochemical reactions of different individual AA involved in nitrogen metabolism. An organism's δ^{15} N value also inherently reflects 102 103 the isotopic composition of inorganic nitrogen sources (e.g., nitrate, nitrite, ammonia, and urea) 104assimilated by primary producers at the base of the food web. With appropriate calibrations, 105CSIA-AA can therefore provide uniquely specific information about multiple aspects of nitrogen 106 metabolism in organisms and ecosystem properties. CSIA-AA now has a broad range of applications, 107 including the trophic position assessment of a broad range of consumers in aquatic (e.g., McClelland 108 and Montoya, 2002; Chikaraishi et al., 2009; 2014; Hannides et al., 2009, 2013; Bradley et al., 2014;

109 Gutiérrez-Rodríguez et al. 2014) and terrestrial ecosystems (Chikaraishi et al., 2010, 2014; Steffan et

- al. 2013), the identification of baseline isoscapes (the spatial pattern in isotopic signatures, Bowen,
- 111 2010) of nitrogen in marine systems, the assessment of the source and transformation of dissolved
- and detrital organic matter in marine waters and sediments (e.g., Lorrain et al., 2009; McCarthy et al.,
- 113 2007; Calleja et al., 2013; Hannides et al., 2013; Sherwood et al. 2014; Batista et al., 2014;
- 114 Vokhshoori et al., 2014), tracing of animal migration (e.g., Dale et al., 2011; Madigan et al., 2014,
- 115 2016), and the reconstruction of food resource consumption by ancient humans (e.g., Hare et al.,
- 116 1991; Fogel et al., 1997; Naito et al., 2013a; Styling et al., 2010). While these studies clearly
- demonstrated the potential of the CSIA-AA approach, they have also opened up many new questions that suggest a wide range of potential future applications, as well as areas that need further research to improve the interpretation of CSIA-AA data. Future work to address these case-specific problems
- to improve the interpretation of CSIA-AA data. Future work to address these case-specific problems
 and the associated overarching challenges will push the evolution of this rapidly growing field and
 improve CSIA-AA applications across a variety of scientific disciplines.
- 122This paper reviews the most recent information about CSIA-AA analytical methods and 123their applications to ecology, biogeochemistry, and related fields. It is an outcome of the workshop 124"Technical Issues Integrating Advanced Isotope Analyses into Ecological Studies" organized in association with the 10th International Conference on the Applications of Stable Isotope Techniques 125126to Ecological Studies (IsoEcol 10) held in Tokyo in April 2016. At the workshop, investigators with 127widely different expertise discussed a broad range of issues related to the CSIA-AA methods and 128reached the conclusion that it is now time to review both the analytical methods, as well as 129underlying theoretical grounding of CSIA-AA applications, as a guide for future research. The 130review covers many broad issues, but emphasis is placed on nitrogen isotopic composition of AAs 131where greatest consensus has been reached. We also discuss how carbon isotopic composition of 132AAs may also provide unique insights in ecological and biogeochemical studies and can be a 133 complementary approach to nitrogen CSIA-AA. The paper first explores analytical methodologies 134and related issues (Sections 2 and 3), then follows with applications and case studies in various 135fields (Section 4), before concluding with remarks addressing future perspectives and directions 136(Section 5).
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138 **2. Analytical Considerations**

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140 **2.1.** Amino acid extraction and separation

AAs in sample material, such as an organism's tissue (*e.g.*, muscle), are extracted by a simple hydrolysis procedure that breaks the peptide bonds of the constituent proteins. The hydrolysis is generally conducted with 6 to 12 M HCl at 100° to 150°C for 1 h to 1 day. The AAs are hydrophilic because of their short carbon skeletons and zwitterionic functional groups, including -COOH, -NH₂, -SH, -OH, and imino groups (-NH-). Hydrophobic molecules produced by acid

hydrolysis (*e.g.*, lipids) should be eliminated, for example, with organic solvents by liquid/liquid
extraction prior to derivatization procedures.

148In biological and most geochemical samples, AAs mostly exist as a "bound" form (e.g., 149protein and peptide), with "free" AAs being a minor fraction. Some biological samples, such as 150calcareous and siliceous fossils, aggregated microbial samples, soils, sediments, and some biological 151tissue, contain large amounts of interfering materials. In such samples, solid phase extraction is 152required before derivatization. Cation-exchange chromatography is an effective method of removing 153interfering materials from the extracts with sufficient recovery (e.g., Dowex WX-8, 200-400 mesh, 154Metges and Petzke, 1997; Biorad AG50 W-X8, 200-400 mesh, Hare et al., 1991; Takano et al., 1552010). Alternatively, target AAs can be separated by high-performance liquid chromatography 156(HPLC) equipped with the fraction collector (Broek et al., 2013; Takano et al., 2015; Bour et al., 1572016). Significant nitrogen isotopic fractionation or exchange may occur with some types of column 158resin (Macko et al., 1987; Hare et al., 1991; Styring et al., 2012) and therefore use of such a column 159resin (e.g., C18) should be avoided unless the isotopic fractionation is carefully evaluated. Finally, 160 for extremely complex geochemical sample matrixes, upstream HPLC isolation before derivatization

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2.2. AA derivatization for precise determination of nitrogen isotopic composition

(Broek et al., 2013) can be required to purify AA sufficiently for accurate CSIA-AA.

AAs require derivatization to reduce polarity and increase their volatility in order to be analyzed by GC/IRMS. The derivatization neutralizes polar carboxyl (-COOH), amino (-NH₂), and hydroxyl (-OH) groups in AAs by replacing active hydrogen atoms with nonpolar moieties, resulting in significant improvement in their chromatographic separation. Esterification of carboxyl groups with an alcohol under acidic conditions and subsequent acylation of the amino group (and simultaneous acetylation of hydroxyl group if AAs have a hydroxyl group) with an acid anhydride or acid chloride, is a common chemical reaction for the derivatization (Fig. 1a).

171Although a variety of reagents have been used over the last two decades, to our 172knowledge, the following three derivatization reagents are most widely used in ecological and 173geochemical studies: trifluoroacyl-isopropyl ester (TFA/AA/iPr, Fig. 1b, e.g., McCarthy et al., 2007; Popp et al., 2007), pivaloyl-isopropyl ester (Pv/AA/iPr, Fig. 1c, e.g., Metges et al., 1996; Chikaraishi 174175et al., 2007), and methoxycarbonyl (MOC) AA ester (Fig. 1d, e.g., Walsh et al., 2014; Yarnes and 176Herszage, 2017). The first step of the derivatizations to TFA/AA/iPr and Pv/AA/iPr is the same 177esterification with isopropanol to form the isopropyl esters of AAs. A major advantage of the use of 178 branched alcohol (*i.e.*, isopropanol) is that stable AA esters are obtained. The second step in the 179TFA/AA/iPr and Pv/AA/iPr derivatizations is acylation with trifluoroacetic acid anhydride (TFAA) 180 or pivaloyl chloride (Pv-Cl), respectively. Because three atoms of fluorine, which is highly 181 electrophilic, increase the nucleophilicity of the carboxyl carbon of TFAA, acylation with TFAA is

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much faster than that with Pv-Cl. MOC AA ester requires a rapid one-step derivatization, which allows esterification of the carboxyl group and acylation of the amino group simultaneously at room temperature within 5 min, although the hydroxyl group is not acetylated in this derivatization. The TFA/AA/iPr and Pv/AA/iPr require strict hydrophobic conditions, whereas MOC AA ester works well in both hydrophobic and hydrophilic conditions. Detailed derivatization procedures using each reagent are described in the literature (*e.g.*, Silfer et al., 1991; Sacks and Brenna, 2005; Chikaraishi et al., 2007).

- 189For all derivatizations, great care should be taken with respect to the chemical properties 190 of the reagents and derivatives. First, because the ester groups in these derivatives are exchangeable 191with water, no alcohols or other ester compounds, including many polar solvents, can be used. For 192example, ethyl acetate, a convenient polar organic solvent, can exchange the isopropyl or methyl 193 ester group in the AA derivatives with its ethyl ester group (Fig. 2a). In general, suitable solvents for 194 the derivatives include ethers (e.g., diethyl ether and tetrahydrofuran, although these solvents are 195highly flammable) or chlorinated methanes (e.g., dichloromethane and chloroform, although these 196solvents are toxic). Second, most derivative reagents should be used in strict accordance with 197 exposure controls. In particular, Pv-Cl is acutely toxic. Third, because esterified AAs are unstable in 198 O_2 and water, even at 0°C, the derivatives must be stored at -20°C or lower (without O_2 and water, if 199 possible) until isotope analysis. Although TFA/AA/iPr and Pv/AA/iPr esters (i.e., branched alcohol 200esters) are relatively stable at low temperature (Fig. S1), they only survive for a few days to weeks at 201room temperature. Finally, these derivatizations are not equally applicable to the isotopic 202 measurements of all 20 protein AAs. Arg, Asn, Cys, His, and Trp cannot be measured as 203 TFA/AA/iPr and Pv/AA/iPr derivatives because of degradation (including conversion to other 204compounds) or less-quantitative reaction during derivatization. Although MOC AA esters can be useful for the isotope measurement of most of these AAs (Asn, Cys, His, and Trp, except for Arg), 205206this derivatization is not appropriate for determining the isotope values of Glu, because two types of 207Glu derivatives are produced with distinct isotopic compositions (Fig. S2).
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2.3. Nitrogen isotopic measurements of AAs

In GC/IRMS, the nitrogen isotopic compositions of AAs are determined by analyzing the 210211 15 N: 14 N ratios of N₂ molecules generated by combustion-reduction of the derivatives. The instrument 212consists of a conventional gas chromatograph (GC) connected to a chemical reaction interface 213including combustion and reduction furnaces (Merritt and Hayes, 1994). Individual AA derivatives 214are separately eluted by GC, and combusted mainly into N₂, NO_x, CO₂, and H₂O) in a combustion 215furnace with CuO and NiO with Pt at 950°-1050°C. The NO_x generated by the combustion is 216subsequently reduced to N₂ in a reduction furnace with Cu at 550°-650°C, and the H₂O and CO₂ 217generated during the combustion are eliminated using a liquid nitrogen trap. A countercurrent drier

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- 218 can be used for H₂O elimination prior to the liquid nitrogen trap in some cases. To avoid isotopic
- 219 fractionation, a nucleophilic stationary phase (*e.g.*, HP-5: phenyl-methyl polysiloxane;
- 220 HP-INNOWAX: polyethylene glycols) is required for the GC separation of AA derivatives

221 (Chikaraishi et al., 2010).

- 222The nitrogen isotopic composition of AAs is expressed in the standard δ notation relative to atmospheric N₂ (δ^{15} N, ‰ vs. AIR), which is calibrated to the internationally recognized scale 223through comparison of the δ^{15} N values of multiple reference AAs. In a typical sequence, derivatives 224of reference mixtures of 5-14 AAs with known δ^{15} N values, which should cover the δ^{15} N range of 225226the samples, are analyzed every 4-8 sample runs. At the beginning and end of each chromatography 227 run, 2-3 pulses of reference N₂ gas are discharged for all reference mixtures and samples (Fig. 3). 228The regression line between the known (‰, vs. AIR) and mean measured values (‰, vs. reference 229 N_2 gas) represents the reproducibility of the isotope measurement (Fig. S3) and can be used to 230normalize the measured values (%, vs. reference N₂ gas) to the internationally recognized scale (%, 231vs. AIR) for both the reference mixtures and samples. In some laboratories norleucine and aminoadipic acid with known δ^{15} N values are co-injected with each sample and additional internal 232reference compounds that can be used for normalization (e.g., Hannides et al., 2009; McCarthy et al., 2332342013). The average and standard deviation for the normalized values (1σ) and the difference in the normalized and known values ($\Delta_{normalized-known}$) for the reference AAs are frequently used as evidence 235236of the precision and accuracy of the isotope measurement. Detection limits to achieve this level of 237precision and accuracy depend on various factors, but they are highly correlated with the 238signal/noise ratio of the GC/IRMS chromatogram (Fig. S4, Chan et al., 2016).
- Baseline separation between the AA peaks on the GC/IRMS chromatogram is required to obtain accurate δ^{15} N values of the AAs. When an AA peak is co-eluted with other AAs or impurities, the isotopically heavy tail of the first peak underlies the isotopically light front of the second peak (Hayes et al., 1990). For example, in case of Pv/AA/iPr derivatives, Glu and Phe generally show good baseline separation, whereas Asp, Thr, Ser, and Met on the same chromatogram are sequentially eluted without baseline separation (Fig. 3).
- We should note that, in addition to the analysis by GC/IRMS, off-line process (Broek et al.,
 2013) and HPLC-IRMS coupling may be useful in the future to determine nitrogen isotope ratios of
 AAs (Federherr et al., 2016).
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3. Methodological considerations for trophic position assessment

- 251 **3.1. Bulk** *versus* CSIA-AA approach
- As noted above, stable nitrogen isotope analysis of bulk organisms and their tissues has been used extensively for conventional estimation of the trophic positions of organisms in food

webs (*e.g.*, Post, 2002; Fry 2006; Ohkouchi et al., 2015). The trophic position (TP_{bulk}) is generally calculated using equation 1, based on the empirical observation that the ¹⁵N content of bulk organisms tends to increase with each trophic transfer in food webs (*e.g.*, DeNiro and Epstein, 1981; Minagawa and Wada, 1984).

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$$TP_{\text{bulk}} = (\delta^{15} N_{\text{sample}} - \delta^{15} N_{\text{pp}}) / TDF_{\text{bulk}} + 1$$
(1)

where $\delta^{15}N_{\text{sample}}$ and $\delta^{15}N_{\text{pp}}$ are the $\delta^{15}N$ values of a target organism and the primary producers at the 261base of the food web, respectively. TDF_{bulk} is the trophic discrimination factor of $\delta^{15}N_{\text{bulk}}$ between 262263prey and predator (DeNiro and Epstein, 1981; Minagawa and Wada, 1984). Many studies use a 264canonical TDF_{bulk} value of 3-4‰, however, a variety of TDF_{bulk} values are frequently used in studies 265focusing on specific tissues, such as collagen, or specific localized environments (e.g., Vander Zanden and Rasmussen, 2001; McCutchan et al., 2003; Martinez del Rio et al., 2009). The 'bulk 266267method' has been successfully applied to various ecological studies and has thus helped expand our 268knowledge of feeding ecology greatly over the last four decades (Fry, 2006). However, the method suffers from several problems that can cause large uncertainty in the estimated TP_{bulk} values. The 269most important problem is that the δ^{15} N values of bulk tissues intrinsically reflect i) the trophic 270changes in the δ^{15} N value in the food web and ii) temporal or spatial changes in the δ^{15} N value at the 271272base of the food web (Fig. 4a). The former (\sim 3-4‰) is often much smaller than the latter (in some 273cases >10‰) (e.g., Hannides et al., 2009; Rolff, 2000; Dore et al., 2002; O'Reilly et al., 2002).

In contrast, trophic position ($TP_{TAA/SAA}$) estimated from CSIA-AA using equation 2 can constrain both trophic changes in the δ^{15} N value and baseline variation within a single organism (*e.g.*, McClelland and Montoya, 2002; Chikaraishi et al., 2007; McCarthy et al., 2007; Popp et al., 2007).

278
$$TP_{\text{TAA/SAA}} = \left[\left(\delta^{15} N_{\text{TAA}} - \delta^{15} N_{\text{SAA}} + \beta_{\text{TAA/SAA}} \right) / \varDelta_{\text{TAA/SAA}} \right] + 1$$
279 (2)

280

where $\delta^{15}N_{TAA}$ and $\delta^{15}N_{SAA}$ are the $\delta^{15}N$ values of the trophic and source AAs, respectively, from a 281282single organism; $\beta_{TAA/SAA}$ is the isotopic difference between these AAs in primary producers at the 283base of the food web; and $\Delta_{TAA/SAA}$ is the difference in the *TDF* of the TAAs and SAAs during each 284trophic transfer ($\Delta_{TAA/SAA} = TDF_{TAA} - TDF_{SAA}$). Trophic amino acids (TAAs) (e.g., Ala, Asp, Glu, Ile, Leu, Pro, and Val) tend to show large ¹⁵N enrichment (by ~3-8‰) relative to diet during trophic 285286transfer, which likely reflects isotopic fractionation associated with deamination (a first step in 287transamination, Macko et al., 1986; Miura and Goto, 2012) as a dominant metabolic pathway for 288these AAs in consumers (Fig. 5a). Source amino acids (SAAs) (e.g., Met, Lys, and Phe) show little ¹⁵N enrichment (~0-1‰) relative to diet during trophic transfer, which probably reflects the fact that 289

- 290 the initial steps in their metabolism are generally dominated by reactions that neither form nor cleave
- 291 C-N bonds (Fig. 5a) and thus directly provide an estimate of the $\delta^{15}N_{SAA}$ value of the base of the
- 292 food web. Therefore, CSIA-AA derived TP values are independent of temporal or spatial changes in
- 293 the $\delta^{15}N$ value at the base of the food web (Fig. 4b).

294 Chikaraishi et al. (2009, 2010) first suggested the utility of Glu and Phe as a TAA and a

- SAA, respectively, with $\beta_{\text{Glu/Phe}}$ values of -3.4‰ for aquatic and +8.4‰ for terrestrial C3
- 296 plant-based food webs, and with $\Delta_{Glu/Phe}$ values of 7.6‰ for both ecosystems. Later, it was found that
- 297 the β value in vascular plants is increased by the deamination of Phe for lignin biosynthesis, a
- 298 process specific to vascular plants (Fig. 5b; Ohkouchi and Takano, 2014; Naito et al., 2016a).
- 299 Therefore, algal vs. vascular grouping is a better classification than aquatic vs. terrestrial

300 (Chikaraishi et al., 2009, 2010). Indeed, the observed β values in seagrasses (vascular plants from 301 coastal marine environments) are similar to those of terrestrial vascular plants (*e.g.*, Vander Zanden 302 et al., 2013; Choi et al., 2017). However, for simplified nomenclature we use the terms aquatic and 303 terrestrial throughout this paper.

304

$$305 \quad [TP_{\text{Glu/Phe}}]_{\text{aqua}} = [(\delta^{15}N_{\text{Glu}} - \delta^{15}N_{\text{Phe}} - 3.4)/7.6] + 1$$
(3)

306
$$[TP_{\text{Glu/Phe}}]_{\text{terr}} = [(\delta^{15}N_{\text{Glu}} - \delta^{15}N_{\text{Phe}} + 8.4)/7.6] + 1$$
 (4)

307

308 Because of the large differences in $\beta_{TAA/SAA}$ values between aquatic and terrestrial producers, mixing 309models must be constructed so as to consider two potential food webs where both aquatic and 310 terrestrial primary producers may serve as basal food resources. These environments include rivers 311(Ishikawa et al., 2014) and coastal marine ecosystems (Vander Zanden et al., 2013; Choi et al., 2017). 312In this paper, and many others, there has been a focus on glutamic acid and phenylalanine as the canonical trophic and source amino acids, However, in principle, any combination of trophic and 313 314source amino acids can be used in equation 2 (e.g., Decima et al., 2013; Nielsen et al., 2015; Bradley 315et al., 2015) as long as $\beta_{TAA/SAA}$ and $\Delta_{TAA/SAA}$ values appropriate for the combination of trophic and 316 source amino acids are used.

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318 **3.2.** Uncertainties and errors in the *TP* assessment

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320 3.2.1. Variability in trophic discrimination factors

321 Constant $\Delta_{Glu/Phe}$ (= $TDF_{Glu} - TDF_{Phe}$) or $\Delta_{TAA/SAA}$ values throughout the food web is 322 prerequisite for estimating *TP* precisely. However, the stability of $\Delta_{Glu/Phe}$ has recently come under 323 increasing scrutiny based on new laboratory and field studies (*e.g.*, Dale et al., 2011; Matthews and 324 Ferguson, 2014; Chikaraishi et al., 2015; McMahon et al., 2015a). Comprehensive meta-analyses 325 of CSIA-AA from wild animals with known *TP* values (Nielsen et al., 2015; Bradley et al., 2015)

- and controlled feeding experiments (McMahon and McCarthy, 2016) that examine individual TDF_{AA} values have addressed the following primary questions: i) what are the magnitude and variability in $\Delta_{TAA/SAA}$ values across a wide range of consumer-resource relationships, and ii) are there systematic underlying mechanisms driving this variability in predictable ways that could be used to improve CSIA-AA-based estimates of consumer trophic dynamics.
- 331These meta-analyses found large variability in $\Delta_{TAA/SAA}$ values. For example, McMahon 332 and McCarthy (2016) found the overall mean $\Delta_{Glu/Phe}$ value was 6.2 ± 2.5% across a wide range of 333taxa, diet types, and modes of nitrogen excretion, consistent with other recent large scale analyses 334of field-collected data for wild-caught marine consumers ($6.6 \pm 1.7\%$; Nielsen et al., 2015; $5.7 \pm$ 3350.3%; Bradley et al. 2015). However, within this distribution there were also some very significant 336excursions, with $\Delta_{Glu/Phe}$ values from 0 to >10‰ across 70 species (317 individuals) and 88 distinct 337 species-diet combinations. Some of the reported $\Delta_{Glu/Phe}$ values, particularly for animals with TP 338values of less than 3, were within a small range (6 to 8‰) that overlapped with the original $\Delta_{Glu/Phe}$ 339values of 7.0% (McClelland and Montoya, 2002) and 7.6% (Chikaraishi et al., 2007). However, 340simply focusing on the mean can inherently obscure large variation underlying that mean. The 341meta-analysis of controlled feeding studies by McMahon and McCarthy (2016) is also consistent 342with large scale studies of wild consumers by Nielsen et al. (2015) and Bradley et al. (2015), which 343together strongly suggest that the observed variability in $\Delta_{\text{Glu/Phe}}$ and $\Delta_{\text{TAA/SAA}}$ values is not simply 344noise, but rather is predictably linked to consumer biochemistry. Below, we discuss two possible 345underlying biochemical and physiological processes that influence $\Delta_{TAA/SAA}$: diet quality and 346 metabolic flux (e.g., mode of nitrogen excretion).
- 347

348 <u>Diet quality</u>: In the aquatic environment, there is a trend between *TP* and $\Delta_{Glu/Phe}$ across a wide 349 range of (although not all) consumers (Bradley et al., 2015; Nielsen et al. 2015; McMahon and

350 McCarthy, 2016). However, this trend was not observed in insects kept in ecologically realistic

- 351 pure cultures, representing three distinct communities from the terrestrial environment (Steffan et
- al., 2013). Further, low variability in the *TDF* was observed among 15 consumer species,

353 representing a phylogenetically diverse group of consumers, from freshwater crustaceans and fish,

- to terrestrial mammals, fungi, and bacteria (Steffan et al., 2015) Most primary consumers
- examined in the marine environment (e.g., grazing teleost fishes, zooplankton, etc.) had $\Delta_{\text{Glu/Phe}}$

values between 6‰ and 8‰, often not substantially different from the value of ~7-8‰ originally

- 357 reported by McClelland and Montoya (2002), and substantiated by Chikaraishi et al. (2007). In
- 358 contrast, most marine consumers with TP higher than 3 showed lower $\Delta_{Glu/Phe}$ values (Bradley et al.,

359 2015; Nielsen et al. 2015; McMahon and McCarthy, 2016). One hypothesis for the pattern of

- 360 decreasing $\Delta_{\text{Glu/Phe}}$ value with increasing *TP* is the effect of diet quality (defined here as the relative
- 361 AA composition of a food source relative to the needs of a consumer) on consumer $\delta^{15}N_{AA}$ values,

362 and thus on $\Delta_{Glu/Phe}$ values.

363 The diet quality hypothesis suggests that nitrogen isotope discrimination decreases as 364dietary protein quality (degree of AA similarity between diet and consumer) increases (Hobson and 365 Clark, 1992; Roth and Hobson, 2000; Robbins et al., 2005, 2010; Mill et al., 2007; Florin et al., 366 2011). McMahon et al. (2015a) showed that diet quality had a large and systematic effect on the 367isotopic fractionation of individual AAs in an estuarine fish (Fundulus heteroclitus) fed 368 compositionally distinct diets. This study found a strong relationship between the TDF value of 369 most TAAs and protein quality between diet and consumer, and no change in TDF_{Phe} across diet 370 types. Furthermore, Chikaraishi et al. (2015) recently showed that with extreme manipulation of 371dietary composition (*i.e.*, the relative composition of protein/fat/carbohydrates), vastly different 372 $\Delta_{Glu/Phe}$ values can be obtained in a single consumer. However, these two studies found opposite 373trends in the $\Delta_{\text{Glu/Phe}}$ vs. diet quality relationship, defined as relative AA composition of a food 374source relative to the needs of a consumer. McMahon et al. (2015a), showed that as the diet AA 375composition converged on that of the consumers, the $\Delta_{Glu/Phe}$ values tended to decrease. In contrast, 376Chikaraishi et al. (2015) indicated that the $\Delta_{Glu/Phe}$ values decreased as diet quality declined. While 377 both of these studies indicate that diet composition strongly affects individual AA isotopic 378fractionation, more work is necessary to resolve the full relationship between diet quality and 379 $\Delta_{Glu/Phe}$ value.

380 The reason why $\Delta_{\text{Glu/Phe}}$ often varies with TP might reflect differences in diet quality 381across different consumer-resource relationships within a food web. Generally, lower TP 382consumers often feed on diets that are more compositionally distinct relative to their own tissues 383 (e.g., zooplankton feeding on phytoplankton) than higher TP consumers (e.g., fish feeding on other fish). When feeding on low-quality diets, defined as having highly imbalanced AA composition 384385compared with consumer requirements, the consumer synthesizes scarce AAs de novo from surplus 386 AAs. Because TAAs enriched in ¹⁵N relative to SAAs tend to be abundant in the organisms, synthesis leads to the apparent increase in $\delta^{15}N_{AA}$ (Krueger and Sullivan, 1984; Roth and Hobson, 387 2000; Clements et al., 2009). Conversely, carnivores feeding on high-quality diets can meet more 388 of their AA requirements via direct isotopic routing of dietary AAs, which should reduce ¹⁵N 389 390 enrichment of heavily transaminating AAs (e.g., Glu) compared with consumers feeding on 391low-quality diets (Schwarcz, 1991; Ambrose and Norr, 1993). It should be noted that Ishikawa et al. 392(2017) recently showed that satiated and starved dobsonfly (Protohermes grandis) larvae had 393 similar $\Delta_{\text{Glu/Phe}}$ values (7.1‰ and 7.3‰, respectively), suggesting that the $\Delta_{\text{Glu/Phe}}$ value was 394 independent from starvation.

395

396 <u>Mode of nitrogen excretion</u>: There is also a clear pattern of lower $\Delta_{Glu/Phe}$ values for some urea/uric 397 acid-producing organisms relative to ammonia-producing organisms, largely driven by differences

398 in TDF_{Glu} but not TDF_{Phe} (Dale et al., 2011; Germain et al., 2013; Nielsen et al., 2015; McMahon 399 and McCarthy, 2016). The typically low $\Delta_{Glu/Phe}$ values for urea/uric acid producers may be 400explained by the nitrogen storage and cycling capabilities of animals (Wilkie, 2002), or by the way urea is produced in the liver (Dale et al., 2011). Key nitrogen-transferring enzymes preferentially 401 402 remove ¹⁴N-amines during metabolism, resulting in the subsequent ¹⁵N enrichment of residual animal tissue and the excretion of ¹⁵N-depleted nitrogenous waste (DeNiro and Epstein, 1981). The 403404 final isotope value of a biochemical reaction depends not only on the number of steps and 405associated ε values (*i.e.*, the maximal potential isotopic fractionation), but also on the relative 406nitrogen fluxes through branch points in the reaction chain (e.g., reviewed by Hayes et al., 2001; 407 Koch et al., 2007). Germain et al. (2013) proposed that this concept of variable nitrogen flux 408through additional branch points in the ornithine-to-urea pathway probably underlies the offset in 409 $\Delta_{\text{Glu/Phe}}$ values for urea vs. ammonia-excreting organisms. In elasmobranchs, which have reduced hepatic glutamate catabolism relative to ureotelic organisms, a lower ε value may be related to 410 411 their unique glutamate-glutamine-urea pathway (Dale et al., 2011). In addition, the recycling of 412¹⁵N-depleted urea by gut microbes for subsequent AA synthesis is another possible explanation for 413 low $\Delta_{Ghu/Phe}$ values in urea/uric acid-producing consumers (Davidson et al., 2003; Fouillet et al., 4142008).

415

416 Summary: Independent meta-analyses of controlled feeding studies (McMahon and McCarthy, 4172016) and wild consumers (Bradley et al. 2015; Nielsen et al. (2015) have shown that both diet 418 quality and metabolic flux (e.g., mode of nitrogen excretion) affect $\Delta_{\text{Glu/Phe}}$ values considerably. 419These processes are not mutually exclusive, and both appear to impact TDF_{Glu} by affecting the flux 420 of nitrogen through transamination and deamination isotopic branch points. There are many 421systems that appear to be well characterized by a single $\Delta_{Glu/Phe}$ value, where there are minimal 422changes in diet quality and/or mode of nitrogen excretion within a food web (e.g., Chikaraishi et al., 4232009, 2011; Ishikawa et al., 2014; Kruse et al., 2015; Miyachi et al., 2015). However, the accuracy 424of $TP_{Glu/Phe}$ estimates may be improved by directly incorporating $\Delta_{Glu/Phe}$ variability into $TP_{Glu/Phe}$ 425estimates in systems where such changes do occur (e.g., Lorrain et al., 2009; Dale et al., 2011; 426 Choy et al., 2012; Germain et al., 2013; Ruiz-Cooley et al., 2013, 2014; Matthews and Ferguson, 4272014; McMahon et al., 2015b). This probably requires moving toward multi- Δ equations (e.g., 428Hoen et al., 2014), potentially averaging across multiple AAs (e.g., Decima et al., 2013; Nielsen et 429 al., 2015; Bradley et al., 2014), although averaging across multiple AAs has been shown to 430 profoundly increase variability surrounding the TDF in terrestrial and freshwater systems (e.g., 431Table 1 in Steffan et al., 2015). While accounting for key transitions in diet quality and mode of 432nitrogen excretion with multi- Δ equations improves TP estimates in many cases (e.g., McMahon et 433al., 2015a,b), diet quality and metabolic flux are likely not the only drivers of variability in

434 $\Delta_{\text{TAA/SAA}}$ values. Continued exploration of the underlying mechanisms controlling AA δ^{15} N 435 fractionation is critical to improve our ability to accurately estimate consumer *TP* with the 436 CSIA-AA approach.

437

438

3.2.2. Propagation of error calculations for trophic position determination

439For both ecological and geochemical / paleoceanographic applications, interpreting 440 CSIA-AA based TP data requires a rigorous estimation of uncertainty in values being compared. 441However, uncertainty in TP based on nitrogen isotopic composition of AAs is more complex than 442standard uncertainties in measured isotopic values, because it must take into account analytical 443 uncertainty in source and trophic AA isotopic measurements, as well as environmental uncertainty 444in β and Δ values. The combination of these uncertainties can be calculated using propagation of 445errors. The variability in the parameters used for TP determination can be modeled using Monte 446 Carlo simulations, however it is also straightforward to propagate errors using a first-order Taylor 447series expansion (Ku, 1966), resulting in a formula easily solved in a spreadsheet or programmed 448into an algorithmic language (e.g., Matlab, R).

In general, for any result *w* that is a function of two or more experimentally determined independent variables, variance in *w* can be calculated by Taylor series expansion if the variance in the variables is known (*e.g.*, Gelwicks and Hayes, 1990; Phillips and Gregg, 2001). In the case where w = f(x, y, z), variance in *w* can be determined using the analytical solution of

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- 454
- 455

 $\sigma_w^2 = (\partial w/\partial x)^2 \sigma_x^2 + (\partial w/\partial y)^2 \sigma_y^2 + (\partial w/\partial z)^2 \sigma_z^2$ (5)

456 The measured values of $\delta^{15}N_{TAA}$ and $\delta^{15}N_{SAA}$ have inherent analytical uncertainty and 457 there is uncertainty in the values of β and Δ compiled in the literature. If we assume a general 458 formulation of the equation used for calculation of *TP* as equation 2, uncertainty in *TP* can be 459 determined by propagation of errors (*e.g.*, Blum et al., 2013; Bradley et al., 2015) using the 460 analytical solution of

461

462
$$\sigma_{TP}^{2} = (\partial TP / \partial \delta^{15} N_{TAA})^{2} \sigma_{\delta 15N(TAA)}^{2} + (\partial TP / \partial \delta^{15} N_{SAA})^{2} \sigma_{\delta 15N(SAA)}^{2}$$

463
$$+ (\partial TP / \partial \beta_{TAA/SAA})^{2} \sigma_{\beta (TAA/SAA)}^{2} + (\partial TP / \partial \Delta_{TAA/SAA})^{2} \sigma_{\Delta (TAA/SAA)}^{2}$$
(6)

464

The exact solution to equation 6 has been published elsewhere (Bradley et al., 2015) and an equation for calculating the propagated variance in *TP* is summarized in equation 7.

468
$$\sigma_{TP}^{2} = (1/\Delta_{\text{TAA/SAA}})^{2} \sigma_{\delta 15\text{N}(\text{TAA})}^{2} + (-1/\Delta_{\text{TAA/SAA}})^{2} \sigma_{\delta 15\text{N}(\text{SAA})}^{2} + (1/\Delta_{\text{TAA/SAA}})^{2} \sigma_{\beta(\text{TAA/SAA})}^{2}$$

$$+ \{-1/\Delta_{\text{TAA/SAA}}^{2} (\delta^{15}\text{N}_{\text{TAA}} - \delta^{15}\text{N}_{\text{SAA}} + \beta)\}^{2} \sigma_{\Delta(\text{TAA/SAA})}^{2}$$
(7)

470

The analytical uncertainty in isotopic measurements of trophic and source AAs in samples must be
determined. Because the AA distribution in samples is more complex than that of artificial
mixtures of AAs, we suggest replicate analysis of each sample following the recommendations of
Hayes et al. (1990).

It has been suggested (Hoen et al., 2014) that the TP of a carnivore might best be

 $\begin{array}{c} 476\\ 477 \end{array}$

475

478
$$TP = \{ (\delta^{15} N_{TAA} - \delta^{15} N_{SAA} + \beta_{TAA/SAA} - \beta_{herbivore}) / \beta_{carnivore} \} + 2$$
(8)
479

determined using separate Δ -values for herbivores and carnivores:

480 where $\Delta_{herbivore}$ is the ¹⁵N enrichment in a TAAs relative to a SAA of a grazing herbivore and 481 $\Delta_{carnivore}$ is the ¹⁵N enrichment in a TAAs relative to a SAA associated with each trophic transfer 482 for an omnivore or carnivore (Hoen et al., 2014). An expression for the variance in *TP* based on 483 two different Δ values is

484

488

TP for animals feeding in aquatic and terrestrial environments can be calculated using the
nitrogen isotopic composition of AAs if the fraction of one of the binary components is
independently determined (*e.g.*, Jarman et al., 2017):

492

493
$$TP = \{ (\delta^{15} N_{TAA} - \delta^{15} N_{SAA} + f_1 \beta_1 + (1 - f_1) \beta_2) / \Delta_{TAA/SAA} \} + 1$$
(10)
494

495 where β_1 and β_2 are the C₃, C₄, or aquatic plant ¹⁵N enrichment in the same trophic and source AAs 496 measured in the sample, and f_1 is the fractional contribution of one of those plant types. The 497 propagated variance in *TP* when there is a binary mixture of feeding is given by 498

499
$$\sigma_{TP}^{2} = (1/\Delta_{\text{TAA/SAA}})^{2} \sigma_{\delta 15N(\text{TAA})}^{2} + (-1/\Delta_{\text{TAA/SAA}})^{2} \sigma_{\delta 15N(\text{SAA})}^{2} + \{(\beta_{1} - \beta_{2})/\Delta_{\text{TAA/SAA}}\}^{2} \sigma_{f1}^{2}$$
500
$$+ \{(1 - f_{1}) / \Delta_{\text{TAA/SAA}}\}^{2} \sigma_{\beta 2}^{2} + (f_{1} / \Delta_{\text{TAA/SAA}})^{2} \sigma_{\beta 1}^{2}$$
501
$$+ \{-1/\Delta_{\text{TAA/SAA}}^{2} (\delta^{15}N_{\text{TAA}} - \delta^{15}N_{\text{SAA}} + (1 - f_{1}) \beta_{2} + f_{1}\beta_{1})\}^{2} \sigma_{\Delta(\text{TAA/SAA})}^{2}$$
502 (11)

503

504 Propagated uncertainty in f_1 and f_2 must be input into equation 11 and can be determined using 505 Phillips and Gregg (2001) or a similar approach. 506

507 4. Applications of the CSIA-AA approach to ecological and biogeochemical studies

508

509 **4.1. Food web analyses in aquatic ecosystems**

Several recent studies have used $\delta^{15}N_{SAA}$ variation to understand the baseline of food 510webs in the North Pacific Subtropical Gyre ecosystem. Hannides et al. (2013) used differences in 511512 $\delta^{15}N_{SAA}$ between zooplankton and suspended particles to demonstrate that deep water zooplankton in the subtropical gyre probably depend on surface rather than *in situ* particulate food, either 513514through sinking of surface particles or vertical migrations. Choy et al. (2015) further showed that 515surface productivity also fuels higher-order consumers in the North Pacific Subtropical Gyre food web. A large range of δ^{15} N_{Phe} values in the tissues of both large and small pelagic micronekton 516suggested that some components of the food web instead are fueled by slowly settling particles that 517are highly modified by microbes. In contrast, there was no relationship between depth and $\delta^{15}N_{SAA}$ 518519for large predatory fish (Choy et al., 2015), demonstrating how CSIA-AA may also be used to infer 520species movement and foraging across large depth gradients in oceanic ecosystems, and thus the 521dependence on a range of nutrient sources.

The *TP* of consumers can be estimated based only on consumer tissue $\delta^{15}N_{AA}$ (dashed 522523trophoclines in Fig. 6), with food chain length inferred from the TP of apex predators. Chikaraishi 524et al. (2014) used these trophoclines to describe the food web of a coastal rocky shoreline community in Japan. Based on 39 species (n = 100) covering macroalgae, gastropods, echinoderms, 525526 bivalves, crustaceans, fish, and a cephalopod to document the food web structure, the study 527documented a food web covering 4.5 trophic levels (Fig. 7a). Probably supported by macroalgae at 528TP 1, the top predator in the system was the Kidako moray eel (Gymnothorax kidako) with an average TP of 4.6. Despite a large variation in baseline δ^{15} N values, demonstrated by δ^{15} N_{Phe} 529530values varying between 3.5‰ and 8.7‰, all algal samples had TP close to 1 with known 531herbivores all close to TP 2, demonstrating the importance in knowing the baseline δ^{15} N value across appropriate time and space scales. In Lake Baikal, Ohkouchi et al. (2015) reported 532533analytical results for seven species (n = 53) covering diatoms, amphipods, sculpins, and seals (Fig. 5347b). The TP of seals, a top predator in the lake, was as high as 5.1, suggesting that the trophic length of the lake was one unit longer than that calculated based on the δ^{15} N_{bulk} record. 535Furthermore, the potential for baseline variation to confound analysis of spatial changes in TP 536based on bulk δ^{15} N values has recently been highlighted by a study on Lake Superior food webs by 537Kruger et al. (2016). TP_{bulk} suggested that the top predator (lake trout) spatially varied by up to TP 538of 1; however, the $\delta^{15}N_{AA}$ values confirmed a common *TP* and the likelihood that baseline $\delta^{15}N$ 539variation confounded TP_{bulk} estimates. A recent study by Papastamatiou et al. (2015) is one of the 540

541 few to demonstrate variation in bulk isotopic composition due to trophic differences rather than

542 baseline differences. The authors combined acoustic tracking with CSIA-AA to demonstrate

- trophic flexibility in giant trevally from deep water reefs on a Pacific atoll. Individuals showed
- variability in their diel migration and feeding behavior that was mirrored in the wide range of TP
- 545 determined by CSIA-AA (*TP* 3.5 to 4.6).
- 546In addition to demonstrating the utility of the $\delta^{15}N_{AA}$ approach, these studies highlight the potential variability in food webs, such that higher-order consumers do not occupy a single TP. 547548Indeed, the capacity for intraspecific variation in TP has long been known based on theory and 549empirical work (Polis, 1991; Polis and Strong, 1996). Conceptual examples of trophic omnivory 550associated with ontogeny are provided in Fig. 6. Adults and juveniles of an anchovy prey species 551are shown with varying TP of around 2, whereas the increase in the size classes of an apex 552consumer (tuna) leads to increased TP, likely reaching a TP close to 4.5-5.0 at their maximum size 553(Choy et al., 2015; Estrada et al., 2005). The degree of trophic omnivory within a food web could 554be quantified based on the deviation of consumers from integer values (e.g., 2 for strict herbivores, 3 and 4 for strict carnivores) for TP derived from $\delta^{15}N_{AA}$. Recognition of intra-individual 555556variability in TP, as observed by Papastamatiou et al. (2015) in deep reef giant trevally, will be an important outcome of future $\delta^{15}N_{AA}$ studies. 557
- The trophic role of apex consumers will probably ultimately be elucidated by applying 558559CSIA-AA to TP estimates in aquatic systems. Several recent studies have highlighted the 560importance of accurate TP estimates for apex consumers, and raised questions about the trophic 561role of sharks as apex predators in coral reef ecosystems. Hussey et al. (2014) highlighted the 562dependence of TP_{bulk} estimates on TDF_{bulk} values and suggested that the TP of apex shark species may have been underestimated using δ^{15} N_{bulk}. Hussey et al. (2015) later demonstrated an expanded 563trophic complexity among large sharks using CSIA-AA. Hilting et al. (2013) used bulk stable 564565isotope analysis to suggest that apex predators in central Pacific reefs might be predominately 566supported by benthic productivity and N₂-fixation. However, strong conclusions could not be 567drawn because of spatial variability in primary producer bulk isotopes (see also the CSIA-AA 568findings of O'Malley et al. (2012) on two species of lobster in the Northwest Hawaiian Islands). 569Conversely, based on limited bulk isotope analyses, Frisch et al. (2016) assigned Great Barrier 570Reef tiger sharks (Galeocerdo cuvier) to pelagic productivity, whereas whitetip and blacktip reef 571sharks were assigned to predominately benthic sources (65% and 72%, respectively). Coupling $\delta^{15}N_{\text{bulk}}$ values with stomach contents analyses suggested these reef sharks occupy similar trophic 572573positions to large predatory fish, such as snapper, rather than acting as apex predators (Frisch et al., 5742016).

575 In addition to comprehensive studies at ecosystem scales, several studies have used 576 CSIA-AA tools to understand the habitat of cryptic species. One example is Miller et al. (2012), 577 who measured the $\delta^{15}N_{AA}$ values from leptocephali, the larvae of the Japanese eel (*Anguilla*

- 578 *japonica*), whose food source is unknown. The estimated mean TP of the eel larvae was 2.4, which
- 579 in that ecosystem was most consistent with a diet based on particulate organic matter (POM)
- 580 composed of detritus from multiple sources. Ohkouchi et al. (2013) reported the *TP* of deep-water
- ram's horn squid (*Spirula spirula*), one of the most enigmatic cephalopods found commonly all
- iun s nom squa (spii iuu spii iuu), one of the most emginate cophatopous found commonly an
- 582over the world. Such information is useful for conserving endangered species through developing
- artificial diets for aquafarming.
- 584Finally, it is important to note that CSIA-AA is also applicable to laboratory and 585museum specimens. A laboratory experiment conducted over the period of one year indicated that formalin-fixation does not affect $\delta^{15}N_{AA}$ values derived from an aquatic consumer (Ogawa et al., 5865872013). Ogawa et al. (2013) used formalin-fixed samples to reconstruct historical variation (1916 to 5881992 CE) in TP of isaza (Gymnogobius isaza), a pelagic gobiid fish from the eutrophic Lake Biwa, Japan. The δ^{15} N_{bulk} value of isaza has increased greatly during the 20th century (Ogawa et al., 2001; 589Nakazawa et al., 2010), which can be explained either by an increase in TP with the reorganization 590of bio-communities because of eutrophication, or by the increase of δ^{15} N in the nitrogen pool 591592owing to denitrification. The CSIA-AA results strongly suggested that eutrophication did not affect the TP of the fish in the lake, and that the $\delta^{15}N_{AA}$ value of the formalin-fixed fish reflected the $\delta^{15}N_{AA}$ 593of the nitrogen pool of the lake accurately (Fig. 8). A large global archive of formalin-fixed 594595samples would offer a tool for reconstructing paleo-limnological changes, and for constraining the 596ecological consequences of environmental change with CSIA-AA.
- 597

598 **4.2. Food web analyses in terrestrial ecosystems**

- 599CSIA-AA has provided new insights into the trophic roles of terrestrial organisms and, as 600 in aquatic ecosystems, distinguished itself from traditional bulk isotopic approaches (Chikaraishi et 601 al., 2011; Steffan et al., 2013). Chikaraishi et al. (2011) showed that equation 2 is equally valid for 602terrestrial systems and Steffan et al. (2013) demonstrated that accurate and precise TP could be 603 derived for higher-order carnivores, using the CSIA-AA method to measure TP across four trophic 604 levels in terrestrial insect communities. Recent work in regards to carbon CSIA-AA shows great 605promise in filling gaps currently left open by nitrogen CSIA-AA approaches because carbon isotopic composition among essential AAs, also called $\delta^{13}C_{EAA}$ fingerprints, can provide 606 607 information about trophic pathways from plant sources and gut/soil microbes to consumers in 608terrestrial ecosystems (Larsen et al., 2016a, 2016b). Carbon and nitrogen CSIA-AA has also revealed novel aspects of animal and microbial biology, proving CSIA-AA to be a powerful new 609 610 tool for examining modern and ancient biological communities (O'Brien et al., 2002, 2004; 611 Chikaraishi et al., 2014; Steffan et al., 2015a). 612
-
- 613 <u>4.2.1. Trophic position estimation</u>

- 614The first evidence that CSIA-AA is a feasible method for TP analysis among terrestrial organisms was obtained by using the δ^{15} N values of Glu and Phe of primarily herbivorous 615616 organisms and their plant host material collected from a farm in Japan (Chikaraishi et al., 2011). 617 Because aphids are strict herbivores, they were ideal subjects for testing the accuracy of this tool, 618 and the estimated TP of the aphids was shown to be the expected value of ~ 2.0 . Carnivorous insect 619 specimens (e.g., lady beetles, wasps, and hornets) were also analyzed via CSIA to estimate their TP 620 values. The data provided interesting insights into the trophic ecology of these animals; however, 621 because most carnivores are free-roaming generalists, their actual TP values were not known and 622 they were not suitable for testing the accuracy of this tool. These early studies revealed that insect 623 TP remained constant through major ontogenetic shifts, including insect pupation (Chikaraishi et 624al., 2011). During such metamorphoses, there is much synthesis of new tissues and organs, so it was expected that there would be significant fractionation or routing of ¹⁵N within the pupating 625insect. The finding that arthropod metamorphosis left the δ^{15} N values largely unchanged was 626 critical to further applications of $\delta^{15}N_{AA}$ to insect food web ecology (Chikaraishi et al., 2011). 627
- 628 Steffan et al. (2013) used terrestrial insect populations in axenic culture to test whether top carnivore TP could be reliably determined using $\delta^{15}N_{AA}$. In this study, two different insect 629 630 communities were maintained, each spanning four trophic levels, and each consuming an 631 ecologically realistic component of their diet. Steffan et al. (2013) showed that the $TP_{Glu/Phe}$ of 632 higher-order consumers (carnivorous insects) could be measured with high accuracy, and that the 633 $\Delta_{\text{Glu/Phe}}$ value was consistent between herbivores and tertiary carnivores. The $\Delta_{\text{Glu/Phe}}$ value for 634 herbivorous and carnivorous arthropods was similar to that found by Chikaraishi et al. (2009) for 635marine fish and gastropods, showing that the TP formula using a $\Delta_{Glu/Phe}$ value ~7.6‰ and a $\beta_{Glu/Phe}$ value appropriate for each environment in equation 2 was applicable to a wide variety of 636 637 ecosystems. The consistency in the CSIA-AA findings across animal taxa and ecosystem types 638observed on land (Chikaraishi et al., 2010; Steffan et al., 2013) provided a foundation to begin 639 investigating consumer TP in the field, at larger spatial scales and in more diverse communities. 640 Further CSIA-AA of directly sampled terrestrial organisms in the wild revealed a high degree of 641 trophic omnivory among 38 consumer species, providing some of the strongest empirical evidence 642 of the predominance of omnivory in food webs (Chikaraishi et al., 2014).
- Novel contributions of CSIA-AA to terrestrial ecology have centered around the
 microbiome and mainly the inclusion of microbes in trophic hierarchies. Studies involving multiple
 phyla of fungi and bacteria, plus vertebrate and invertebrate animals, showed that the CSIA-AA
 approach provides a new way to probe trophic ecology of the three domains of life (Steffan et al.,
 2015a). Fungi are particularly important consumers and symbionts in many terrestrial systems
 (Bardgett and Cook, 1998; Moore and de Ruiter, 2012). Showing that these organisms can be
 integrated into food-chains has allowed more refined interpretations of animal trophic identity.

However, this also raises questions of how to interpret the *TP* values of detritivores. Recent work
has shown that microbes increase the *TP* values of detrital complexes, and when animals eat such
microbe-colonized complexes, the consumer *TP* values increase to the same degree (Steffan et al.,
2017). Given that detritivory is the dominant trophic paradigm on land (Coleman, 1996; Hagen et

al., 2012) and that microbes are the dominant consumers among the detritivores (Peterson and

Luxton, 1982; van der Heijden et al., 2008; Moore and de Ruiter, 2012), the ability to explicitly

656 integrate microbes into trophic hierarchies represents a major advance in trophic ecology. Common

detritivorous animals, such as earthworms, fruit flies, and springtails, exhibit *TP* values (2.4-2.8),

providing evidence of the degree to which they mix microbivory with herbivory (Steffan et al.,

659 2017). Detritivores form an immense prey base for predators in terrestrial systems (Haraguchi et al.,

2013; Hyodo et al., 2015), and this prey base tends to shape the trophic identity of most carnivores
(Coleman, 1996; Bardgett and Cook, 1998).

662

663 <u>4.3.2. Recent discoveries in terrestrial biology and ecology</u>

664 $\delta^{15}N_{AA}$ was used to reveal that leafcutter ants (*Acromyrmex*) in Neotropical rainforests are 665 trophically carnivorous (Steffan et al., 2015a). The ants feed almost exclusively on the fruiting 666 bodies of their fungal symbiont, Leucoagaricus. Since this fungus feeds solely on plant material, 667 the fungus is a strict herbivore, and the ants are strict carnivores. This finding implies that fungi, 668 not ants, are the dominant herbivores of the Neotropics. Interestingly, there is a third symbiont, a 669 bacterium, in the leafcutter ant fungus gardens that gathers in powdery white masses on the ant 670 exoskeleton (Currie et al., 2006). It was unclear whether this bacterium fed on the ants or some 671other resource. CSIA-AA showed that the bacteria were feeding on ant tissues; thus, a bacterium 672 was the apex carnivore within the fungus-garden community (Fig. 9, Steffan et al., 2015a). Fungi can also be predators, and $\delta^{15}N_{AA}$ values were used to demonstrate that an entomopathogenic 673 674fungus, Beauveria bassiana, registered a TP of 3.0 after killing and consuming its prey, a 675herbivorous caterpillar. At the other end of the trophic spectrum, Asiatic black bears (Ursus 676 *thibetanus*) were shown to feed remarkably low in the food-chain, registering near TP 2.0. Thus, there are now multiple examples in the literature where the trophic tendencies of terrestrial 677 mammals (e.g., mice, bears) have been measured using $\delta^{15}N_{AA}$ (Nakashita et al., 2011; Steffan et 678 679 al., 2015a).

In agricultural contexts, CSIA-AA has been used to characterize the trophic roles of
organisms thought to be beneficial to crop protection (Steffan et al., 2015b). Carnivorous
arthropods are generally assumed to be helpful in suppressing herbivorous pest species, but
CSIA-AA has shown that only certain predator species contribute substantially to pest control.
Some carnivores are beneficial for crop protection, and some are neutral, and other species may
undermine crop protection efforts by feeding on the beneficial carnivores (Fig. 10). Knowledge of

which predator communities are likely to help or harm crop protection is useful for the ecologicalmanagement of crop fields.

688

689 **4.3.** Applications to ancient humans and extinct mammals

690 CSIA-AA has been used to study tissues, like bone collagen and scalp hair, from 691 archaeological and contemporary humans, and ancient soils in archaeological and anthropological 692 studies. These studies span various fields, including paleodiet, nutrition, paleopathology, and 693 ancient land use (e.g., Hare et al., 1991; Fogel, 1997; Petzke et al., 2005). Studies of paleodiet 694 mainly revolve around investigating i) marine protein consumption (Naito et al., 2010a, 2010b; 695 Styring et al., 2010), ii) the importance of animal proteins relative to plant proteins in terrestrial 696 ecosystems (Naito et al., 2013b, 2016b), and iii) the importance of proteins from freshwater 697 resources relative to proteins from terrestrial resources (Naito et al., 2013a). Goal iii) is challenging 698 because distinguishing terrestrial and freshwater food consumption is difficult since these two 699 environments may share the same nitrogen sources (e.g., contributions of terrestrial primary 700 production to a stream ecosystem, Naito et al., 2016a).

 δ^{15} N_{Phe} values in some archaeological contexts in animals mirrors their nitrogen source 701 owing to little trophic ¹⁵N enrichment. For example, Naito et al. (2010, 2013b) examined coastal 702703 and inland archaeological sites from the Jomon period in Japan (ca. 15,000 to 2,300 years BP). The 704 δ^{15} N_{Phe} values of animals in these contrasting ecosystems, including humans, were consistent within each ecosystem, although there were differences between ecosystems (Fig. 11). The coastal 705population showed δ^{15} N_{Phe} values between those of marine and terrestrial ecosystems, with values 706 closer to marine ecosystems, indicating heavier reliance of the humans on marine food resources. 707 However, the inland population had $\delta^{15}N_{Phe}$ values in the terrestrial ecosystem indicating purely 708 709 terrestrial food habits. In both cases, tracing the nitrogen source for humans was facile because 710each ecosystem showed marked differences in δ^{15} N_{Phe} values. However, this is not the case in other archaeological contexts where $\delta^{15}N_{Phe}$ values vary substantially within each ecosystem. $\delta^{15}N_{Phe}$ 711712 values in terrestrial prey animals can vary widely (>6‰), even for a single species from a single 713 site, which makes it difficult to trace the nitrogen source (Fig. 12). Nevertheless, this technique is 714still useful for examining TP values of animals. Neanderthals from this site exhibited TP values of 7152.7 to 2.8, similar to those of wolves (TP 2.9), suggesting that the Neanderthals ate meat-based 716 diets, with the possible addition of plant foods (Fig. 11).

717 CSIA-AA can also be used to investigate diets of extinct mammals, including wooly 718 mammoths (*Mammuthus primigenius*) (Naito et al., 2016b; Schwartz-Narbonne et al., 2015), cave 719 bears (*Ursus spelaeus*) (Naito et al., 2016c), scimitar-toothed cats (*Homotherium serum*), and 720 short-faced bears (*Arctodus* spp.) (Schwartz-Narbonne et al., 2015). Based on the high $\delta^{15}N_{Phe}$ 721 value of mammoths, it has been hypothesized that the mammoth occupied a distinct foraging niche

- 722 or habitat compared with other coeval herbivores, owing to the high δ^{15} N values of bulk collagen
- arising from ¹⁵N-enriched food sources (Naito et al., 2016b). This finding demonstrates the
- separation of mixtures of environmental signals (e.g., aridity may elevate the δ^{15} N values of animal
- body tissues: Heaton et al., 1986; Schwarcz et al., 1999) and dietary signals in δ^{15} N values of
- collagen. However, the δ^{15} N values of body tissues, and probably the δ^{15} N_{AA} values, may also
- encode physiological states, illness, and quality of diet (Fogel et al., 1989; Fuller et al., 2004, 2006;
- Reitsema and Muir, 2015; Reitsema, 2013; Chikaraishi et al., 2015). In combination with studies
- on contemporary humans and archaeological human remains, the number of study fields for
- CSIA-AA, such as paleopathology, may expand (Fogel, 1997; Metges and Petzke, 1997; Petzke et
 al., 2006, 2010; Romek et al., 2013).
- Lastly, CSIA-AA has also been used to investigate past land use by humans. Preliminary results suggest that the δ^{15} N values of Phe and Thr in the soil may be useful for distinguishing the soil under grassland from that under cereal (Bol et al., 1998; Simpson et al., 1997, 1999). Although the underlying mechanisms controlling the δ^{15} N dynamics of soil AAs are not well understood, some AAs may provide clues for understanding past human activities like cultivation (Styring et al., 2013), which is important because cultivars are rarely preserved in the archaeological record.
- 4.4. CSIA-AA and isoscapes: application to ecogeochemistry and the detection of animal
 migration
- 741Ecogeochemistry is the application of geochemical techniques to fundamental questions in 742population and community ecology, and is inherently spatial (e.g., Bowen, 2010; Graham et al., 2009; Ramos and Gonzalez-Solis, 2012; McMahon et al., 2013a). Consequently, accurate 743744interpretation of stable isotopic compositions in ecological or environmental studies requires 745knowledge of the geospatial and temporal variability in isotope values at the base of the food web, 746often referred to as isotope baselines (Post, 2002; McMahon et al., 2013b). Spatiotemporally explicit 747maps of isotopic variability, termed isoscapes, have emerged as important tools for addressing 748 interrelated ecological questions about animal movement, habitat use, biogeochemical cycling, and 749 forensic science (e.g., West et al., 2010).
- Effective application of isoscapes to ecological questions requires specific information (Hobson et al., 2010). First, an isoscape must be established that characterizes systematic geospatial variability in isotopic compositions across environmental gradients. Second, tissue turnover rates that determine the period of spatial integration of isotopic composition for a particular animal tissue must be constrained. Finally, the isotope fractionation factors between the consumer and diet, or between animals and the ambient environment that offset geochemical values in animal tissues from baseline isoscape values, must be estimated or quantified.
- 757

Bulk tissue or whole animal isotope analyses have been the primary tools in applications

- 758of terrestrial and marine ecosystem isoscapes (Bowen et al., 2009; Jaeger et al., 2010; MacKenzie et 759al., 2011; Hobson et al., 2012; Trueman et al., 2012; Clementz et al., 2014). However, in addition to 760characterizing the geospatial structure of isotope data within a system, we also must account 761 accurately for how baseline isotope values are modified as they propagate through food webs to 762 upper trophic level consumers (Hobson et al., 2010). Thus, a major obstacle to interpreting bulk 763 tissue isotope values of consumers accurately is separating the relative effects of variability at the 764 base of the food web from trophic dynamics within the food web that links consumers to those 765 baselines (Post, 2002).
- 766 CSIA-AA can disentangle the relative effects of geographic and trophic dynamics on 767 consumer isotopic compositions (Chikaraishi et al., 2007, 2009; Popp et al., 2007; Lorrain et al., 7682009, 2015; Olson et al., 2010). The differential isotopic fractionation of individual AAs provides 769 direct access to information about integrated ecosystem isotopic baselines without the confounding 770 issue of trophic fractionation, and without the need to analyze and characterize all the trophic 771linkages between the baseline isoscapes and upper trophic level consumers *a priori*. Below we 772 highlight several unique but complementary examples of how CSIA-AA, in the context of geospatial 773 isotopic variations, provides unprecedented links between animal ecology and biogeochemistry in 774complex ecosystems.
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4.4.1. Case study 1: Mussel isoscapes of the California coast

777 One promising CSIA-AA isoscape application for monitoring coastal biogeochemical 778 change is the creation of detailed maps of coastal isotopic baselines, based on CSIA-AA 779 measurements in filter feeding mollusks. Coastal system isoscapes are inherently challenging owing 780 to high temporal and spatial variability in primary production and biogeochemical cycles. Many 781 coastal regions are characterized by large seasonal swings in temperature, salinity, nutrient 782availability, and terrestrial inputs, while high spatial variability in oceanographic conditions is driven 783 by coupled local winds, upwelling, and current patterns (e.g., Walker and McCarthy, 2012; Walker 784et al., 2014). The isotopic compositions of consumers can often integrate this environmental 785variation. However, on the spatial scales of coastal processes, assigning mobile consumers to 786 specific locations can be difficult. Tissues of sessile filter-feeders, such as mussels, offer a solution 787 to this problem: they do not move, and specific tissues/organism sizes can be chosen to provide 788 additional control over the integrated time scales represented by the samples. Early work coupling CSIA-AA proxies for isotopic baseline (*i.e.*, $\delta^{15}N_{Phe}$), coupled with high resolution sampling of filter 789 790 feeding consumers, has allowed the creation of isoscapes of baseline coastal primary production, 791 based on precisely known and replicable sampling locations (Vokhshoori et al., 2014; Vokhshoori 792 and McCarthy, 2014).

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However, the reconstruction of baseline isoscapes based on $\delta^{15}N_{AA}$ also poses a number of

- challenges, primarily with the interpretation of $\delta^{15}N_{Phe}$ values in mollusk bioarchives. The challenges
- include clarifying the mix of littoral food sources using CSIA-AA proxy records, understanding
- temporal/seasonal effects in this signal, and the requirement to understand the influence of bulk algal
- 797 δ^{15} N isoscapes on mollusk AA isotopic values. In two recent papers, Vokhshoori and coauthors
- role explored these problems using littoral Mytilus californianus collected from 28 sites on the California
- 799 coast, spanning ~10 degrees of latitude (32° to $42^{\circ}N$) within the California current system
- (Vokhshoori et al., 2014; Vokhshoori and McCarthy, 2014). CSIA-AA values of adductor muscle
 tissue from individuals of a similar size class were selected to represent approximately annual
- 802 integration timescales.
- $\delta^{15}N_{\text{hulk}}$ values in mussels showed a strong linear trend with latitude (Fig. 13). Although 803 there were clear site-specific and region-specific offsets in δ^{15} N values, the overall data indicated a 804strongly linear progressive change in δ^{15} N values, averaging 0.4% per degree of latitude, across the 805806 coastal California current system. This change reflects the relative geographical influence of water upwelled from the California undercurrent, which transports ¹⁵N-rich nitrate poleward (e.g., Altabet 807 et al., 1999). The $\delta^{15}N_{Phe}$ values also tracked the $\delta^{15}N_{hulk}$ values, confirming the nutrient baseline as 808 the underlying driver for changes in bulk mussel tissue. Prior studies had indicated generally lower 809 δ^{15} N_{nitrate} values in more northern regions of this system (Altabet et al., 1999; Kienast et al., 2002; 810 Sigman et al., 2009), however the strength of the linear trend revealed by high resolution mussel 811 812 sampling was surprising. This result suggests that such mollusk-derived isoscapes can be used to 813 precisely define changes in the effects of coastal oceanography on baseline Isoscapes, as well as to 814 identify local regions of variation linked for example to upwelling patterns (Walker and McCarthy, 8152012).
- However, $\delta^{15}N_{\text{bulk}}$ records are inherently unable to reconstruct baseline $\delta^{15}N$ values 816 directly. $\delta^{15}N_{\text{bulk}}$ values were 2 to 4% higher than the expected range of $\delta^{15}N_{\text{nitrate}}$ values in this 817 818 system, probably because of a combination of trophic transfer and tissue-specific offsets. Vokhshoori and McCarthy (2014) found that δ^{15} N_{Phe} values corresponded most closely to the range 819 of previously measured $\delta^{15}N_{\text{pitrates}}$ suggesting that $\delta^{15}N_{\text{Phe}}$ in mussels is a direct proxy for annual 820 average $\delta^{15}N_{\text{nitrate}}$. Finally, a constant $\delta^{15}N_{\text{bulk}}$ vs. $\delta^{15}N_{\text{Phe}}$ offset observed for all samples allowed the 821 construction of predicted "coastal nitrate" δ^{15} N values. The resulting isoscape was grounded in 822 high-resolution bulk sampling, but then calibrated to baseline δ^{15} N values based on CSIA-AA data 823 (Fig. 12). It is unclear how far isoscapes based on littoral species can be extrapolated. However, for 824 Monterey Bay, a direct comparison of mussel δ^{15} N data with a greater variety of more offshore 825 sample types (e.g., sinking POM, plankton tows, and surface sediments) suggested that, at least on 826 the timescales sampled, mussel $\delta^{15}N_{Phe}$ values reflect baseline $\delta^{15}N$ values in local coastal waters. 827 828 These results demonstrate the potential of CSIA-AA in sessile filter feeders to create the 829 first true baseline isoscapes of coastal production, with the potential for an extraordinary degree of

- 830 geographic and temporal resolution. Although bulk tissue analysis can indicate geographic trends,
- 831 coupling $\delta^{13}C_{EAA}$ fingerprinting with $\delta^{15}N_{AA}$ allows the fundamental ambiguity of organic matter
- 832 sources to be addressed, and can quantify the relative balance of sources underlying CSIA-AA
- 833 signals. δ^{15} N_{Phe} values can track baseline δ^{15} N values, and in systems with full nitrate utilization this
- should also allow direct assembly of δ^{15} N_{nitrate} isoscapes. Therefore, it may be possible to monitor
- 835 fine-scale shifts in coastal nitrogen biogeochemical cycles linked to short- or longer-term
- 836 fluctuations in climate and physical forcing. However, several challenges remain, including
- understanding in more detail the calibrations required to link measured $\delta^{15}N_{AA}$ values to average
- 838 primary production (or nitrate) isotope values, and investigating integration timescales, such as
- potential seasonal bias and the effects of tissue type, organism size, and growth stage.
- 840
- 841

4.4.2. Case study 2: Detecting animal migration

842 Systematic variations in nitrogen isotopic compositions in the ocean, such as the mussel 843 isoscapes in Section 4.4.1 or those in the eastern tropical North Pacific (Olson et al., 2010), create 844 ecoregions with distinctive isotope ratios in baseline organisms (*e.g.*, phytoplankton). These regional 845 differences allow the results of CSIA-AA to be used to recognize animal migrations. This approach 846 principally relies on certain AA isotopic compositions in animals having reached a steady state with 847 the δ^{15} N value at the base of the food web.

848 Marine animal migrations can be identified with CSIA-AA by two approaches. The first is a chronological reconstruction of isotopic compositions of AAs in an archival tissue (e.g., otoliths, 849 850 fin spines) that represent the animal's environment at different stages of ontogeny. Older tissues can 851represent an isotopic steady state with an environment different from an animal's current location, 852 which can then be compared with recently synthesized tissue that has AA isotopic compositions in a 853 steady state with the current location. The second approach is to compare isotopic compositions of 854AAs in a non-archival tissue (e.g., muscle) across individuals that are a suspected mix of residents 855 and recent migrants to a particular environment. With this approach, the timeframe for distinguishing residents from migrants is defined by the turnover time of nitrogen in the tissue 856 analyzed. The $\delta^{15}N_{SAA}$ values in animals record the isotopic composition at the base of the food web. 857 In addition, the difference in $\delta^{15}N_{SAA}$ and $\delta^{15}N_{TAA}$ values constrains potential *TP* variations between 858 859 residents and suspected migrants (e.g., Madigan et al., 2012b; Seminoff et al., 2012).

For example, $\delta^{15}N_{AA}$ values were used to study the foraging ecology and habitat use of the brown stingray (*Dasyatis lata*) near Kaneohe Bay, Oahu, Hawaii (Dale et al., 2011). Although quantitative stomach content analysis of *D. lata* indicated an ontogenetic shift to a higher *TP* in larger, older specimens, the largest stingrays had the lowest $\delta^{15}N_{bulk}$ values. Lower $\delta^{15}N_{bulk}$ values would indicate a decreased *TP* in the largest stingrays, contradicting stomach content analyses, if all analyzed individuals were feeding in environments with similar baseline $\delta^{15}N$ values. However, Dale

- et al. (2011) used differences in $\delta^{15}N_{Ghu}$ and $\delta^{15}N_{Phe}$ to show that TP of D. lata increased with size 866 and that $\delta^{15}N_{\text{bulk}}$ values were independent of *TP*. These findings clearly indicated that the largest *D*. 867 *lata* were feeding in habitats that had distinctly lower δ^{15} N values at the base of the food web than 868 the environments where smaller stingrays foraged. One implication of this finding was that stingray 869 $\delta^{13}C_{\text{bulk}}$ and $\delta^{15}N_{\text{bulk}}$ values reflected migration patterns better than *TP*. Both $\delta^{15}N$ and $\delta^{13}C$ values, 870 examined as a function of size and stingray sex, revealed that changes in bulk isotopic compositions 871 872 closely coincided with the onset of sexual maturity, confirming Kaneohe Bay as a nursery habitat for 873 D. lata (Dale et al. 2011).
- $\delta^{15}N_{AA}$ values have been used to recognize marine fish undergoing trans-Pacific 874 migrations (Madigan et al., 2014, 2016). Pacific bluefin tuna (Thunnus orientalis) inhabit the 875 876 western and eastern Pacific Ocean. All bluefin tuna spawn in the western Pacific and an unknown 877 proportion of these tuna migrate to the eastern Pacific early in their life. Once in the eastern Pacific, these bluefin tuna migrants reside in the California Current ecosystem for several years and then 878 879 return to the western Pacific to spawn. Tracking these transoceanic migrations has been challenging; however, large differences in baseline δ^{15} N values between the eastern and western Pacific Ocean 880 (e.g., Navarro et al., 2013) can be used to understand the timing and numbers of individuals 881 undergoing trans-Pacific migration better. 882
- 883 Recently, Madigan et al. (2012a, 2013) showed that the short-lived Fukushima-derived radiocesium (¹³⁴Cs) content of bluefin tuna caught in the eastern Pacific unequivocally identified 884 bluefin tuna that had fed off the coast of Japan and migrated from the western Pacific. Madigan et al. 885 (2014) combined nitrogen isotope analyses of AAs with bluefin tuna containing Fukushima-derived 886 ¹³⁴Cs to evaluate the migration history of different year class bluefin tuna caught in the eastern 887 Pacific. Bluefin tuna in the eastern Pacific had a bimodal distribution of $\delta^{15}N_{\text{bulk}}$, with lower values 888 consistently found in bluefin tuna specimens with Fukushima-derived ¹³⁴Cs. Bluefin tuna with 889 Fukushima-derived ¹³⁴Cs had δ^{15} N values even lower than baseline organisms (krill, copepods) 890 found in the eastern Pacific Ocean. Madigan et al. (2014) also found that the $\delta^{15}N_{SAA}$ in eastern 891 Pacific bluefin tuna with Fukushima-derived ¹³⁴Cs were 7.7 to 8.7‰ lower than in fish that lacked 892 ¹³⁴Cs, including resident bluefin tuna, yellowfin tuna, and prey (Pacific saury and jack mackerel). 893 This indicated that $\delta^{15}N_{SAA}$ values were robust markers for distinguishing resident bluefin tuna from 894 recent migrants. In addition, the results of CSIA-AA indicated that differences in δ^{15} N_{bulk} values 895 896 were not due to trophic variability among bluefin tuna. Recently, Madigan et al. (2016) used the 897 CSIA-AA results for giant bluefin tuna caught in the western Pacific Ocean to validate the westward 898 trans-Pacific migration of sexually mature individuals from the eastern Pacific Ocean to spawning 899 grounds off the coast of Taiwan.

900 The findings of Madigan et al. (2014) have important implications for the sustainable 901 management of the bluefin tuna fishery in the eastern Pacific Ocean. The results of their study

902 indicated that the eastern Pacific bluefin tuna population was subsidized by a substantial number of 903 older individuals (*i.e.*, year class 2 to 3) from the western Pacific, which was not previously 904recognized. In addition, knowledge of muscle turnover time in bluefin tuna (Madigan et al., 2012b) 905 sets limits on how quickly a migrant bluefin tuna would reach a nitrogen isotope steady state with 906 the new environment (and thus be classified as a resident based on CSIA-AA), and allows the date of 907 migrant arrival to be estimated. Madigan et al. (2014) found that the proportion of recent migrants to 908 residents decreased with increasing age, which is critical information for effectively managing this 909 heavily fished species. Unlike a radiogenic isotopic tracer that has finite utility for studying animal 910 migration in the ocean, CSIA-AA can be used ad infinitum and in other species. For example, the 911 same isotopic differences in the North Pacific Ocean were used to distinguish apparent eastern and 912western Pacific migratory groups of endangered leatherback sea turtles (Dermochelys coriacea), 913 which provided unique evidence for foraging area philopatry among turtles nesting in Indonesia 914 (Seminoff et al., 2012). These CSIA-AA results clarify the interpretation of bulk tissue isotopic 915 variability in populations, and can be used to recognize and trace movements of many highly 916 migratory pelagic species.

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918 <u>4.4.3. Case study 3: Deep-sea coral</u>

919 As Earth's climate changes, there is a growing need to put these changes and their 920 subsequent effects on ecosystem structure and function into a greater historical context (Corno et al., 921 2007; Hoegh-Guldberg and Bruno, 2010). One of the most exciting new applications for CSIA-AA 922 is in paleoceanography, where parameters originally developed for ecology are being adapted as new 923 paleo-proxies in novel protein-rich archives. Biogenic skeletons of proteinaceous deep-sea corals 924 provide a remarkable geochemical archive of information about the structure and function of past 925 ocean ecosystems (Druffel, 1997; Robinson et al., 2014). These globally distributed corals represent 926 "living sediment traps", recording geochemical information about recently exported organic 927 materials in their exquisitely preserved accretionary protein skeletons (Roark et al., 2009; Guilderson 928 et al., 2013). Much of the recent work with proteinaceous deep-sea corals has focused on isotope 929 analysis of total skeletal material as a proxy for changes in surface ocean conditions (e.g., Sherwood 930 et al., 2005; Williams et al., 2007; Hill et al., 2014). However, CSIA-AA results can provide 931 unprecedented reconstruction of past ocean conditions (Sherwood et al., 2011, 2014; Schiff et al., 2014; Strzepek et al., 2014; McMahon et al., 2015c; Williams et al., 2017). The $\delta^{15}N_{SAA}$ values of 932 933 these consumers provide particularly faithful records of baseline nitrogen sources and cycling that 934 are otherwise seldom preserved in paleorecords.

935 Sherwood et al. (2011) first applied CSIA-AA to deep-sea corals to distinguish between
936 temporal (decadal to centennial) changes in nitrogen sources, while constraining changes in the
937 trophic structure of proteinaceous deep-sea corals in the Scotia-Maine region of the Northwest

- 938 Atlantic Ocean. They used the $\delta^{15}N_{SAA}$ values of *Primnoa resedaeformis* coral as a proxy for 939 increasing nitrate levels in the region, associated with externally driven shifts in slope water source 940 partitioning over the last 100 years. Given that slope water circulation in the Scotia-Maine region is 941 linked with broader scale climate variability associated with the North Atlantic Oscillation (Loder et 942 al., 2001; Pershing et al., 2001), these authors concluded that changes in nitrate source partitioning 943 may be tied to recent, human-caused changes in global climate.
- More recently, Sherwood et al. (2015) determined $\delta^{15}N_{\text{bulk}}$ and $\delta^{15}N_{\text{AA}}$ values recorded in 944 945the skeletons of the very long-lived (>1,000 years) deep-sea proteinaceous corals Kulamanamana 946 haumeaae collected from the Hawaiian Archipelago. After nearly a millennium of minor oscillations, coral $\delta^{15}N_{\text{bulk}}$ values decreased dramatically in the last 150 years. Using $\delta^{15}N_{\text{Phe}}$ as a proxy for 947 948baseline isotopic composition, Sherwood et al. (2015) calculated the relative contribution of 949 N₂-fixation to export production in the North Pacific Subtropical Gyre. They found that increasing N₂-fixation in the subtropical gyre recently observed in the modern instrumental record (Karl et al., 950 1997, 2001) is a continuation of a much longer centennial-scale trend, resulting in a 17% to 27% 951952increase in N₂-fixation since the end of the Little Ice Age and the onset of the Industrial Era. These 953 authors suggested that this increase in N₂-fixation might be attributed to Northern Hemisphere 954climate change since the end of the Little Ice Age (Wilson et al., 2006; Mann et al., 2008).
- 955In a complementary study of K. haumeaae in the North Pacific Subtropical Gyre, 956 McMahon et al. (2015c) reconstructed the first high-resolution records of changing plankton 957 community composition over the past millennium, using the AA carbon isotope fingerprinting 958 approach of Larsen et al. (2009, 2013). This study revealed three major plankton regimes 959 corresponding to Northern Hemisphere climatic periods over the past 1,000 years. The most recent 960 regime, which began during the warming and stratification period following the end of the Little Ice 961 Age (1850 CE; Corno et al., 2007; Dore et al., 2008), was characterized by an increase of 962approximately 47% in the contribution of exported POM from N2-fixing cyanobacteria. These data 963 support the growing body of evidence that the last 150 years in the North Pacific Subtropical Gyre 964 have seen a major, and likely unique shift in plankton community dynamics and nitrogen cycling 965 associated with the end of the Little Ice Age. These studies illustrate the power of CSIA-AA 966 approaches to reconstructing past ocean ecosystem dynamics and biogeochemical cycling.
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968 4.5. CSIA-AA as an indicator of organic matter source and degradation state

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970 <u>4.5.1. Patterns in microbial $\delta^{15}N_{AA}$ variability</u>

971 The majority of OM in natural environments is not in living organisms, but exists as
972 detrital OM (*e.g.*, Hedges, 1992; Eglinton and Repeta, 2004). Thus, production, alteration, and
973 degradation of detrital OM are key components in biogeochemical cycles, especially for carbon

974 and nitrogen, and they also play important roles in ecosystems. AAs represent a major fraction of 975nitrogenous detritus, and are vital in biogeochemical cycles of OM in various environments such as 976 ocean water columns (Cowie and Hedges, 1994; McCarthy et al., 1996), marine sediments (Keil et 977 al., 2000), and soils (Schulten and Schnitzer, 1997). Therefore, $\delta^{15}N_{AA}$ values and patterns also 978 represent novel indicators for the sources and degradation state of detrital OM, especially for 979organic nitrogen. In contrast to CSIA-AA in animal ecology (Section 4), however, CSIA-AA studies of detrital OM must consider not only food chain processes, but also the subsequent effects 980 of metabolism of chemotrophic microbes (both heterotrophs and chemoautotrophs) on $\delta^{15}N_{AA}$ 981 values and patterns. This remains a frontier area of CSIA-AA applications, and exactly how $\delta^{15}N_{AA}$ 982patterns are altered by microbial processes remains an area of active research. Importantly, in 983 984contrast to metazoans, the metabolic plasticity of microbes allows for multiple means of AA 985acquisition, including de novo synthesis, salvage incorporation (i.e., uptake and incorporation of existing AAs into bacterial biomass), as well as selected resynthesis (*i.e.*, heterotrophic synthesis). 986 This metabolic diversity is likely the reason that observed microbial $\delta^{15}N_{AA}$ fractionation patterns 987 are substantially more complex than metazoans. Based on the literature results, we propose that 988 $\delta^{15}N_{AA}$ patterns resulting from microbial heterotrophy can be classified into four main categories, 989 and that these patterns can be used as a conceptual framework for interpreting $\delta^{15}N_{AA}$ values in 990 detrital OM. Patterns indicating different microbial metabolisms may include changes in TP, 991 $\delta^{15}N_{SAA}$ values, and an additional parameter, ΣV . Here, ΣV is a proxy for total heterotrophic 992 resynthesis, and is defined as $\Sigma V = 1/n \sum Abs(\chi_{AA})$, where deviation of each TAA is $\chi = \delta^{15} N_{AA} - \delta^{15} N_{AA}$ 993 δ^{15} N of average Ala, Asp, Glu, Ile, Leu, and Pro, and *n* is the total number of TAAs used in the 994 calculation (McCarthy et al., 2007, Fig. 14). 995

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Pattern 1: Algae-like δ^{15} N_{AA} patterns from *de novo* AA synthesis: Pure culture experiments with 997 998 microbes have shown that when chemotrophic microbes (i.e., both heterotrophs and 999 chemoautotrophs, including Eukarya, Bacteria, and Archaea) synthesize AAs de novo from inorganic nitrogen, the relative $\delta^{15}N_{AA}$ pattern normalized to $\delta^{15}N_{Gh}$ is very similar to that of algae 1000 (Fig. 14a, Yamaguchi, 2013). Applying standard formulas discussed above on such material 1001 1002indicates low TP values and low ΣV values, just as in fresh algal biosynthetic (Yamaguchi, 2013). Just as for algal production, the absolute δ^{15} N values depend on that of the nitrogen source and 1003 1004isotopic fractionation during uptake and synthesis of Glu (e.g., Hoch et al., 1992; Fogel and 1005Cifuentes, 1993; Chikaraishi et al., 2007; Ohkouchi and Takano, 2014), which is the sole source of 1006 most nitrogen in the other AAs (Bender, 2012).

1007De novo synthesis of AAs from inorganic nitrogen by chemotrophic microbes might1008contribute greatly to detrital OM in some environments. For example, in environments with1009carbon-rich OM and abundant inorganic nitrogen, such as forest litter, some heterotrophic microbes

- 1010 use inorganic nitrogen as the main nitrogen source for AA synthesis. Another example is
- 1011 environments where chemoautotrophic microbes are the dominant primary producers, such as
- 1012 submarine hydrothermal vents. The algae-like *de novo* $\delta^{15}N_{AA}$ pattern of chemotrophic microbes
- 1013 could be useful for explaining $\delta^{15}N_{AA}$ values and patterns of detrital OM in such environments,
- 1014 although the effect of microbial heterotrophy must also be considered (see pattern 2-4).
- 1015 These results show that most algae and chemotrophic microbes covering the three domains 1016 of life generally show similar $\delta^{15}N_{AA}$ patterns for *de novo* AA synthesis. However, some differences 1017 in specific AAs may exist between domains or between microbial species (McCarthy et al., 2013; 1018 Yamaguchi, 2013; Maki et al., 2014). To use $\delta^{15}N_{AA}$ patterns as indicators for specific microbial 1019 groups, further microbial culture experiments are needed to verify interspecies differences and to 1020 understand the variation of microbial $\delta^{15}N_{AA}$ values mechanistically in terms of AA metabolic 1021 pathways.
- 1022

Pattern 2: Animal-like changes in $\delta^{15}N_{AA}$ values (increases in *TP* value): Heterotrophic microbes can 10231024use existing AAs in environments (sometimes specific AAs) by metabolizing AAs as carbon and 1025nitrogen sources for resynthesis, or by salvage incorporation. The enzymatic degradation processes 1026 of AAs, such as deamination or transamination, cause nitrogen isotopic fractionation (e.g., Macko 1027 and Estep, 1984; Macko et al. 1986). Experiments using axenic cultures of heterotrophic microbes across the three domains (Eukarva, Bacteria, and Archaea) have shown that the pattern of TDF_{AA} 1028 1029between microbial biomass and substrates (free AAs or complex media containing proteins) can be 1030 similar to that of animals, as evidenced by large positive TDF_{TAA} (e.g., +6 to +8% in Glu) and the 1031 small TDF_{Phe} (~0‰) (Steffan et al., 2015a; Yamaguchi, 2013, Fig. 14b). These results suggest that 1032when microbes incorporate AAs from the environment, the AAs in the microbial biomass and the 1033 microbially produced OM show higher TP values, which would be distinct from the algae-like de 1034 *novo* δ^{15} N_{AA} pattern (pattern 1).

1035However, the mechanisms behind the apparently similar *TDF* patterns may differ between 1036 animals and heterotrophic microbes, because these organisms often use different metabolic AA pathways. For example, the proposed mechanism for the small, stable TDF_{Phe} in animals via the 1037 phenylalanine hydroxylase pathway (Chikaraishi et al., 2007) would not apply to many microbes, 1038 1039 which can synthesize Phe, and do not have this pathway (Yamaguchi, 2013). Alternatively, the small 1040 TDF_{Phe} in heterotrophic microbes may arise from the high energetic cost of Phe biosynthesis, which would strongly suppress Phe synthesis and degradation and result in the salvage incorporation of Phe 1041 1042 from the culture media (Yamaguchi, 2013; Akashi and Gojobori, 2002). To better understand the mechanisms of the heterotrophic changes in microbial $\delta^{15}N_{AA}$ values, we propose that it is important 1043to examine the AAs that were not analyzed in these first culture experiments (e.g., Met, Thr, Tyr, 1044 etc.), and to directly compare $\delta^{15}N_{AA}$ patterns in microbes that have different metabolic AA 1045

1047

1046 pathways, as has been done for $\delta^{13}C_{AA}$ (Scott et al., 2006).

- Pattern 3: Scattered changes in $\delta^{15}N_{AA}$ values (large increase in ΣV value): Although pure culture 1048experiments have demonstrated that the heterotrophic microbes can show $\delta^{15}N_{AA}$ changes similar to 1049 those of animals, the microbial $\delta^{15}N_{AA}$ changes in natural environments may also show patterns that 1050 are more scattered (Fig. 14c). For example, incubation experiments of natural marine microbes with 1051algal DOM showed that microbial DOM reworking caused $\delta^{15}N_{AA}$ changes that were more scattered 1052than those observed in pure culture experiments and in animals (Calleja et al., 2013). Large ¹⁵N 1053enrichment was observed for some AAs, such as Gly (>10‰), and small ¹⁵N enrichment was 1054observed for some TAAs such as Ile (~0‰). Similarly, incubation of plant materials in salt marsh 1055sediments also showed highly scattered $\delta^{15}N_{AA}$ changes caused by microbial OM reworking and 1056replacement, but little change in Phe (Fogel and Tuross, 1999). Microcosm experiments of an alga 10571058and a phagotrophic protist showed a scattered *TDF* pattern in the protist (e.g., +8% for Ala and $\sim0\%$ for Glu, Gutierrez-Rodriguez et al., 2014). 1059
- These "scattered" $\delta^{15}N_{AA}$ changes caused by heterotrophic microbial resynthesis of only 1060 selected AAs can be quantified by relative ΣV values, (as defined above by the average deviation in 1061 the δ^{15} N values of the trophic AAs, Ala, Asp, Glu, Ile, Leu, and Pro; McCarthy et al., 2007). 1062 1063 Changes in ΣV values caused by microbial OM reworking may also be decoupled from changes in $TP_{Glu/Phe}$ values, because the microbially-mediated changes in $\delta^{15}N_{Glu}$ values may be small in some 1064 settings, relative to changes in other source AA (e.g., Gutierrez-Rodriguez et al., 2014). Thus, large 10651066 increase of ΣV values decoupled with $TP_{Glu/Phe}$ values has been hypothesized as a characteristic 1067marker of microbial reworking (McCarthy et al., 2007). In contrast, while ΣV values also increase in 1068 animal trophic steps to some extent, the increase of ΣV values in animals are relatively small and 1069 usually coupled with increase of $TP_{Glu/Phe}$ values (McCarthy et al., 2007). The AAs used to calculate 1070 ΣV values may also vary, because some AAs often cannot be measured depending on analytical 1071protocols and the status of samples. Therefore, relative inter-sample trends in ΣV values would be 1072typically interpreted as diagnostic for relative degradation, whereas exact values are only generally 1073comparable among studies.
- Mechanisms for selected AA δ^{15} N changes, leading to "scattered" δ^{15} N_{AA} changes linked 10741075to microbial reworking of OM in natural settings are still poorly understood, but we suggest several 1076 hypotheses. First, the quality of OM substrates, particularly AA content and AA imbalances between substrates and microbial biomass, may be an important factor controlling the $\delta^{15}N_{AA}$ changes by 1077heterotrophic microbes, as has been suggested for animals (Chikaraishi et al., 2015; McMahon et al., 1078 10792015a, see Section 3.2.1). For example, substantial effects of the C:N ratio (i.e., AA content) of substrates on the microbial $\delta^{15}N_{AA}$ patterns were reported in microbial culture experiments using a 1080 single AA as the nitrogen source (Maki et al., 2014). Second, a mixture of de novo AA synthesis 1081

- 1082 from inorganic nitrogen, coupled with direct AA incorporation from the environment (*i.e.*,
- 1083 combination of patterns 1 and 2) could also cause scattered $\delta^{15}N_{AA}$ values, due to selective microbial
- 1084 resynthesis of specific AAs. This mixed metabolism may be particularly important in settings with
- abundant available inorganic nitrogen. Third, the diversity of microbial AA metabolic pathways itself could also be a cause of the variation in $\delta^{15}N_{AA}$ patterns. Finally, while only internal processes
- 1087 within microbial cells are considered in the above three hypothesis, mixing between
- 1088 microbially-produced OM and residue of original substrate also needs to be considered for
- 1089 reworking of detrital OM. Because the patterns of $\delta^{15}N_{AA}$ fractionation may be different between
- 1090 intercellular and extracellular processes (see pattern 4), mixing of the two different OM pools could
- 1091 complicate $\delta^{15}N_{AA}$ patterns. To use the ΣV value properly as an indicator of heterotrophic microbial
- 1092 OM reworking, it is important to reproduce the scattered $\delta^{15}N_{AA}$ changes in highly controlled culture
- 1093 experiments with heterotrophic microbes whose AA metabolic pathways are well characterized.
- 1094 Such future controlled experiments should particular address if ΣV changes can be linked to specific
- 1095 AA, whose δ^{15} N values change under specific conditions. In addition, for assessing the factors
- 1096 controlling ΣV changes, it is important to culture microbes with substrates containing varying AA
- 1097 contents and compositions, or with substrates containing both inorganic nitrogen and AAs.
- 1098

Pattern 4: Similar $\delta^{15}N_{TAA}$ and $\delta^{15}N_{SAA}$ increases, possibly by extracellular protein hydrolysis: The 1099 last $\delta^{15}N_{AA}$ pattern that has been observed is very different from any others discussed: linked 1100 increases in δ^{15} N values for both TAAs and SAAs (including Phe), with similar amplitudes for all 1101 1102 AA, possibly due to isotopic fractionation associated with extracellular protein hydrolysis to 1103oligomers (Fig. 14d) (Hannides et al., 2013). To assimilate AAs in natural environments, 1104 heterotrophic microbes usually need to conduct extracellular hydrolysis to degrade proteins into 1105 small molecules such as free AAs or small peptides (Hoppe et al., 2002). If preferential cleavage of 1106 ¹⁴N-C peptide bonds in proteins occurs during microbial extracellular hydrolysis, the residual AAs in the proteins should show ¹⁵N enrichment (Silfer et al., 1992). Furthermore, if nitrogen isotopic 1107 fractionation during peptide bond hydrolysis is similar among peptide bonds between various AAs, 1108 there should be similar increases in δ^{15} N values for TAAs and SAAs. Hannides et al. (2013) 1109 proposed this mechanism to explain the $\delta^{15}N_{AA}$ values of suspended POM observed in the 1110 mesopelagic ocean (Section 4.5.2), noting that $\delta^{15}N_{AA}$ changes across all AAs were consistent with a 1111 1112simple Raleigh distillation mechanism, suggesting an external (as opposed to metabolic) 1113fractionation process. It has been suggested that extracellular protein hydrolysis by heterotrophic microbes plays an important role in the biogeochemical cycles in many environments (e.g., Arnosti, 1114 2011); thus, the effect of this mechanism on the $\delta^{15}N_{AA}$ values of detrital OM might be critical in 1115

1116 various environments.

1117

However, nitrogen isotope fractionation of AAs during peptide bond hydrolysis has been

- 1118 experimentally investigated only for the abiotic hydrolysis of glycylglycine (Silfer et al., 1992), and
- 1119 there has been no experimental study of changes in $\delta^{15}N_{AA}$ during peptide bond hydrolysis by
- 1120 microbes. Future experimental studies using various microbes or enzymes are needed to verify this
- 1121 hypothesized $\delta^{15}N_{AA}$ pattern resulting from extracellular protein hydrolysis. Such studies must
- 1122 carefully separate measurement of microbial biomass from partially-hydrolyzed substrate in order to
- 1123 isolate the origins of the patterns described above.
- 1124

1125 4.5.2. Case studies: Suspended particles in the ocean

As discussed above Section 4.5.1, $\delta^{15}N_{AA}$ analysis of detrital OM can provide a direct 1126molecular-level view of δ^{15} N_{bulk} values of OM. In the ocean, early studies documented large 1127 increases in δ^{15} N_{bulk} values of POM from the mesopelagic surface ocean (*e.g.*, Saino and Hattori, 11281980; Altabet et al., 1991). Hannides et al. (2013) evaluated the mechanisms of a δ^{15} N_{bulk} increase by 1129applying CSIA-AA to POM in the North Pacific Subtropical Gyre. Their key observation was one of 1130large similar increases in δ^{15} N values of SAAs and TAAs between the surface and mesopelagic 11311132POM. This resulted in constant TP values of POM with depth. The ΣV values also remained low and 1133 stable with depth. Thus, they concluded that the inclusion of high-TP material or heterotrophic microbial biomass in the POM pool (*i.e.*, patterns 2 and 3) is unlikely to be the mechanism of ¹⁵N 1134enrichment for mesopelagic POM in the North Pacific Subtropical Gyre. They also suggested that 1135microbial utilization of ¹⁵N-enriched nitrate in the midwater as a nitrogen source for *de novo* AA 1136 synthesis (*i.e.*, contribution of pattern 1) is not likely to be a major contributor to the δ^{15} N depth 11371138trends of POM.

1139Hannides et al. (2013) also proposed that isotopic fractionation associated with heterotrophic degradation, probably driven by extracellular hydrolysis of protein (pattern 4), controls 1140the $\delta^{15}N_{AA}$ values of midwater POM. The smaller magnitude of ^{15}N enrichment in Lys, which is 1141 1142around half that of most AAs, is consistent with the proposed hydrolytic mechanism, because Lys 1143was the only measured AA with both an amide and an amino nitrogen (Hannides et al., 2013). However, the values for Thr do not appear consistent with the extracellular protein hydrolysis 1144hypothesis. The depth changes in δ^{15} N_{Thr} values were very small in the POM measured by Hannides 1145et al. (2013). In contrast to Lys, there is no obvious explanation for the $\delta^{15}N_{Thr}$ values. There is no 11461147experimental data on the nitrogen isotopic effect on Thr during microbial heterotrophic processes; thus, future studies on δ^{15} N_{Thr} during microbial degradation, including extracellular protein 1148hydrolysis and heterotrophic resynthesis, will be important to explain the anomalous δ^{15} N_{Thr} 1149 signature in POM and to clarify POM transformation processes in the ocean. 1150

1151Comparing $\delta^{15}N_{AA}$ and $\delta^{15}N_{bulk}$ would also provide useful new information about the1152biogeochemical cycling of organic nitrogen, including nitrogen fractions other than AAs.1153Specifically, $\delta^{15}N$ values of total hydrolysable AAs ($\delta^{15}N_{THAA}$) can be used as a proxy for total

proteinaceous δ^{15} N values, estimated as the molar-weighted average of individual δ^{15} N_{AA} values 1154(McCarthy et al., 2013; Calleja et al., 2013; Batista et al., 2014). When concentrations of AAs and 1155bulk nitrogen are known, δ^{15} N values of the nitrogen fraction other than THAA (non-THAA) can be 1156calculated by δ^{15} N mass balance. Accurate quantification of AAs and bulk nitrogen is, however, 11571158essential for these mass-balance calculations, but has been absent from many past CSIA-AA studies. 1159We suggest that the concentration of AAs and bulk nitrogen should be routinely reported in future CSIA-AA studies, to better understand the relationship between δ^{15} N values of THAA and 1160 1161 non-THAA in organisms and detrital OM (e.g., Cowie and Hedges, 1992; Amelung and Zhang,

- 1162
- 1163

1164 **5. Future work and challenges**

2001).

1165We have reviewed the current "state of the art" of using nitrogen isotopic composition of 1166 AAs for estimating the TP of organisms, as well as broader applications to terrestrial and marine ecology and biogeochemical cycling. The CSIA-AA method provides information on diet sources 11671168 that is more precise than classical bulk isotope methods and is now rapidly expanding into a 1169 number of fields, such as biomagnification of toxic chemicals (e.g., polychlorinated biphenyls) 1170 through the food web (Ohkouchi et al., 2016), and nitrogen exchange between symbionts and host 1171 organisms (Maeda et al., 2012). Although the advantages of CSIA-AA for studying a wide range of 1172ecosystems are clear, at the same time the methods remain relatively new, and will benefit greatly 1173from further improvement and development. We suggest the following as being among the main 1174problems which need to be addressed in future studies.

11751) A prerequisite for the wider application of this tool for accurately estimating TP is a 1176 robust knowledge of the magnitude of TDF_{AA} , especially, but not only, for well documented source 1177and trophic amino acid pairings such as Phe and Glu. As discussed in Section 3.2, the most appropriate $\Delta_{Glu/Phe}$ values, for instance, for calculating TP in specific situations is still open to 11781179 debate. In some cases, the CSIA-AA approach based on current understanding of TDF_{AA} has not 1180 produced ecologically realistic TP values (e.g., penguins in Lorrain et al. (2009), elasmobranchs in 1181 Dale et al. (2011), dragonfish in Choy et al. (2012), killer whales in Matthews and Ferguson (2014), 1182sperm whales in Ruiz-Cooley et al. (2014)). The following questions thus need to be addressed 1183 regarding the trophic discrimination of amino acids. A) Is the TDF_{AA} of a given AA value constant or variable across a wide variety of food webs? B) Do TDF_{AA} values decrease with increasing TP 1184 1185(Hetherington et al., 2016)? C) Are TDF_{AA} value more constant in the terrestrial environment than 1186 in the aquatic environment, as suggested by the work of Steffan et al. (2013, 2015a)? And most broadly, it will be critical to determine to what extent TDF_{AA} variations depend on the specific 1187 1188 biochemistry and physiology of organisms and their diet, as suggested may be the case by the 1189 feeding experiments of McMahon et al. (2015a) and Chikaraishi et al. (2015). To answer these

- 1190 questions, further work focused on understanding the biochemical, physiological, and ecological 1191 mechanisms underlying TDF_{AA} variability is required.
- 2) In natural environments, microorganisms play critical roles in the food web. Although
 several studies have examined explicitly aspects of these roles (*e.g.*, Steffan et al. 2017), the effects
 of microbial activity on the isotopic compositions of AAs require further evaluation. Knowledge of
 these effects is extremely important, particularly in terms of understanding complex
 microbially-driven nitrogen cycling in ocean and soil environments using CSIA-AA.
- 1197 3) It is still difficult to estimate precisely the *TP* of multivorous feeders that integrate 1198 aquatic and terrestrial food webs feeders such as humans. In some cases, such as Naito et al. (2010), 1199 the $\delta^{15}N_{Phe}$ value can be used to distinguish between aquatic and terrestrial food sources, whereas 1200 in other cases it cannot. Development of techniques which will help expand the application of 1201 CSIA-AA tools across food webs could open broad new applications in both ecological and 1202 archaeological contexts.

12034) Although to date most CSIA-AA studies have relied heavily on the isotopic1204compositions of just two AAs, Glu and Phe, to determine *TP*, we need a more holistic application1205of the technique, such as by embracing the diversity in TDF_{AA} in 1) above, to fully exploit the1206utility of AA data for interpreting the diet and physiology of organisms (*e.g.*, Bradley et al., 2015;1207Nielsen et al. 2015).

1208 5) Currently, we know very little about how D-AAs affect $\delta^{15}N_{AA}$ values. Because 1209 D-AAs are subject to different metabolic pathways, they should have distinct isotopic 1210 compositions from L-AAs (Engel and Macko, 1986; Takano et al., 2010; Chan, 2016), which may 1211 affect the overall $\delta^{15}N_{AA}$ value, even if they are minor components.

1212 Finally, we note that in addition to nitrogen isotopic composition, carbon isotopic 1213 composition of AAs can provide an independent measure of sources and metabolic processes, and 1214has immense potential to help resolve some of the challenges outlined above. Furthermore, recent 1215advances in measuring the radiocarbon of AAs may also provide detailed information on carbon 1216 transfer from the environment to consumers. This latter technique may be especially useful for soil 1217 ecosystems, where old carbon potentially makes significant contributions to microbial substrates, 1218 and should also be helpful for adding chronological information to the food web, as well as for 1219 identifying the source of AAs from various pools. While such applications are beyond the scope of 1220 the current review, development of appropriate methods is ongoing (e.g., Marom et al., 2014; 1221Takano et al., 2015; Bour et al., 2016). Ultimately, combining CSIA-AA with such new tools 1222 offers the promise of extraordinarily high-resolution delineation of food webs in space and time, as 1223 well as the potential to quantify food web linkages between and within aquatic and terrestrial 1224 systems at a new level of precision.
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1891	Table legends				
1892	Table 1. A summary of three types of derivatized amino acids used for the nitrogen isotopic analysis.				
1893					
1894	Figure captions				
1895					
1896	Fig. 1. Derivatization of amino acids for the nitrogen isotope analysis by GC/IRMS: Schemes of (a)				
1897	basic chemical reaction, (b) TFA/AA/iPr ester, (c) Pv/AA/iPr ester, and (d) MOC AA ester.				
1898					
1899	Fig. 2. Ester exchange between amino acid derivatives and ethyl acetate.				
1900					
1901	Fig. 3. A representative chromatogram of GC/IRMS analysis of the nitrogen isotope analysis of				
1902	amino acids as N-pivaloyl O-isopropyl esters.				
1903					
1904	Fig. 4. Schematic illustrations of the trophic position (<i>TP</i>) estimates by (a) bulk and (b) CSIA-AA				
1905	methods. In the bulk method, the δ^{15} N values of consumers at the same TP frequently vary, due to				
1906	temporal or spatial change in the δ^{15} N value at the basis of food web. In contrast, CSIA-AA method				
1907	can estimate TP independent of change in the δ^{15} N value at the basis of food web (after Naito et al.,				
1908	2016).				
1909					
1910	Fig. 5. (a) Initial steps of the dominant metabolism for glutamic acid and phenylalanine in animals,				
1911	and (b) schematic illustrations of the relationship between δ^{15} N values of amino acids (Glu and Phe)				
1912	and trophic position in aquatic and terrestrial food webs (after Chikaraishi et al., 2009, 2010).				
1913					
1914	Fig. 6. Schematic of two aquatic food webs differentiated based on the δ^{15} N values of Phe and Glu.				
1915	Changes in baseline nitrogen sources cause each food web to be separated along the source amino				
1916	acid axis; here an oceanic food web based on phytoplankton production (P) supported by e.g.				
1917	N ₂ -fixation (low δ^{15} N _{Phe}) is separated from a benthic food web based on macroalgae production (M)				
1918	supported by <i>e.g.</i> upwelling or terrestrial run-off (high $\delta^{15}N_{Phe}$). The potential for 'trophic omnivory'				
1919	can be evident as non-integer TPs; here the oceanic food web depicts potential ontogenetic changes				
1920	in CSIA-AA-derived TP for fish across two TPs (anchovy and tuna). An example is also provided of				
1921	a mobile apex predator (the tiger shark, Galeocerdo cuvier; Ga) potentially integrating across				
1922	oceanic and benthic food webs at a given <i>TP</i> , leading to intermediate $\delta^{15}N_{SAA}$ value.				
1923					
1924	Fig. 7. Two examples of food web analysis by $\delta^{15}N_{AA}$: a) the coastal marine (a stony shore)				
1925	ecosystem in Japan (Chikaraishi et al., 2014), and b) Lake Baikal (Ohkouchi et al., 2015).				
1926					

1927 **Fig. 8.** (a) Concentrations of nitrate (black) and phosphate (red) observed in the hypolimetic water in 1928 the north basin of Lake Biwa, Japan. (b) Trophic position of gobiid fish Isaza (*Gymnogobius isaza*) 1929 estimated by the $\delta^{15}N_{AA}$. (c) $\delta^{15}N$ values of bulk muscular tissue, Glu, and Phe of formalin-fixed 1930 Isaza specimens. $\delta^{15}N$ values of bulk sediments were also shown (data from Ogawa et al. 2001). A 1931 grey band indicates the major eutrophication period in Lake Biwa (1960-1980, Ogawa et al., 2013) 1932

Fig. 9. Fungi can be carnivorous. Here, the fungus *Beauveria bassiana* has subdued and killed a
caterpillar (larval *Spodoptera frugiperda*). The trophic position of the fungus is 3.0 (Steffan et al.,
2015a), because functionally, this fungus is a strict carnivore.

1936

Fig. 10. Isotopic approaches have been used to decode carnivore impacts on key ecosystem metrics,
such as primary productivity (after Steffan et al., 2015b). Heterotrophic feeding induces trophic
cascades, which directly and indirectly influence other trophic groups. The trophic tendency of any
given species, coupled with its resource capture efficiency (% consumption of resource base),
permits estimation of the consumers' impacts on plant protection.

1942

Fig. 11. Nitrogen isotopic compositions of Phe and Glu of Holocene hunter-gatherers in Japanese archipelago. a) Kitakogane shell midden located near the coastal line of Hokkaido (Early Jomon period, *ca*. 6000-5300 cal BP) and b) Tochibara rockshelter site located at inland Nagano (Initial Jomon period, *ca*. 9100-9700 cal BP). Note that Kitakogane humans exhibit $\delta^{15}N_{Phe}$ closer to marine fauna than terrestrial fauna suggesting their strong reliance on marine foods while Tochibara humans exhibit $\delta^{15}N_{Phe}$ comparable to those of terrestrial fauna suggesting their reliance exclusively on terrestrial foods (Naito et al., 2010b, 2013b).

1950

Fig. 12. Nitrogen isotopic compositions of Phe and Glu for Neanderthal and animal remains from
Spy and Scladina caves in Pleistocene Belgium (Naito et al., 2016b).

1953

1954 **Fig. 13.** $\delta^{15}N_{\text{bulk}}$ trends (A) and $\delta^{15}N_{\text{Phe}}$ -calibrated baseline $\delta^{15}N$ isoscape (B) along the California 1955 coast, based on selected CSIA-AA within high-density bulk sampling of littoral mussels.

1956

1957 **Fig. 14.** Conceptual diagrams describing the proposed four patterns of $\delta^{15}N_{AA}$ fractionation of 1958 chemotrophic microbes (for details, see Section 4.5.1 in the main text). Eight AAs which have 1959 been commonly analyzed are selected for the diagrams. (a) Pattern 1. The $\delta^{15}N_{AA}$ pattern of *de* 1960 *novo* AA synthesis from inorganic nitrogen by chemotrophic microbes (closed circles: microbial 1961 biomass), which was observed in the pure culture experiments (Yamaguchi, 2013). The $\delta^{15}N_{AA}$ 1962 values are normalized to the $\delta^{15}N_{Gh}$ value. (b) Pattern 2. The $\delta^{15}N_{AA}$ fractionation pattern of

- 1963 heterotrophic microbes relative to preformed AA in substrates, which was observed in the pure
- 1964 culture experiments (red squares: microbial biomass) (Stefan et al., 2015; Yamaguchi, 2013). The
- 1965 $\delta^{15}N_{AA}$ values of the substrates in b, c, and d (open circles) are set as the average pattern of algae
- 1966 (Chikaraishi et al., 2009; McCarthy et al., 2013), and are normalized to the δ^{15} N_{Glu} value. (c)
- 1967 Pattern 3. A possible example of the scattered $\delta^{15}N_{AA}$ fractionation by heterotrophic microbes
- 1968 relative to substrates in some settings (blue triangles: degraded materials), hypothesized from the
- 1969 results of incubation or microcosm experiments (Fogel and Tuross, 1999; Calleja et al., 2013;
- 1970 Gutierrez-Rodriguez et al., 2014). Note that the δ^{15} N fractionation value of each AA in this pattern
- 1971 is not well constrained and is likely variable. (d) Pattern 4. A hypothesized $\delta^{15}N_{AA}$ fractionation
- 1972 pattern during extracellular protein hydrolysis by heterotrophic microbes (green squares: residue of
- 1973 hydrolysis) (Hannides et al., 2013). Note that the magnitude of δ^{15} N fractionation would be
- 1974 variable, depending on the character of substrates and the degree of degradation.

	TFA/AA/iPr	Pv/AA/iPr	MOC/AA ester
Available solvent	DCM	DCM	DCM or MeOH
Toxicity	High	Very high	High
Stability at -20°C	1-2 years	1-2 years	1-2 weeks
Volatility	High	Low	Very high

(a) Basic chemical reaction



(a) Exchange of ester group



(b) Combustion of TFA/AA/iPr



 CO_2 + H₂O + N2 + NOx + Fluorides (e.g., HF, Cu_2F , and Ni_2F)

(c) MOC derivatives of glutamic acid





(a) Bulk method

(b) CSIA-AA method



(a) First step in metabolism

(b) Trophic enrichment in ¹⁵N









Fig. 7
















Fig. 14



Fig. S1 Comparison of stabilities of various pivaloyl esters of alanine. Triangle: methyl ester (Pv/Ala/Me), diamond: ethyl ester (Pv/Ala/Et), squire: *n*-propyl ester (Pv/Ala/nPr), circle: isopropyl ester (Pv/Ala/iPr).



Fig. S2. Isotopic fractionation of MOC ester derivatization of Glu. The MOC ester (MOC Glu ester, filled circle) is depleted in ¹⁵N whereas the cyclic ester (p-Glu ester, open circle) is enriched in ¹⁵N. Molar ratio of these two derivatives (p-Glu/Glu) has a negative correlation with pHduring the derivatization, however, the mass balanced values (gray square) do not equal to the reference isotopic composition of glutamic acid (+45.7‰, broken line).



Fig. S3. A regression line between known (‰, *vs.* AIR) and measured values (‰, *vs.* reference N_2 gas).

