# Washington University School of Medicine Digital Commons@Becker

**Open Access Publications** 

2017

# Pooled systemic efficacy and safety data from the pivotal phase II studies (NP28673 and NP28761) of alectinib in ALK-positive non-small cell lung cancer

Ramaswamy Govindan Washington University School of Medicine in St. Louis

et al

Follow this and additional works at: https://digitalcommons.wustl.edu/open\_access\_pubs

#### **Recommended** Citation

Govindan, Ramaswamy and et al, "Pooled systemic efficacy and safety data from the pivotal phase II studies (NP28673 and NP28761) of alectinib in ALK-positive non-small cell lung cancer." Journal of Thoracic Oncology.12,10. 1552-1560. (2017). https://digitalcommons.wustl.edu/open\_access\_pubs/6923

This Open Access Publication is brought to you for free and open access by Digital Commons@Becker. It has been accepted for inclusion in Open Access Publications by an authorized administrator of Digital Commons@Becker. For more information, please contact engeszer@wustl.edu.

#### ORIGINAL ARTICLE



# Pooled Systemic Efficacy and Safety Data from the Pivotal Phase II Studies (NP28673 and NP28761) of Alectinib in *ALK*-positive Non-Small Cell Lung Cancer



James Chih-Hsin Yang, MD, PhD,<sup>a,\*</sup> Sai-Hong Ignatius Ou, MD, PhD,<sup>b</sup> Luigi De Petris, MD,<sup>c</sup> Shirish Gadgeel, MD,<sup>d</sup> Leena Gandhi, MD, PhD,<sup>e</sup> Dong-Wan Kim, MD, PhD,<sup>f</sup> Fabrice Barlesi, MD, PhD,<sup>g</sup> Ramaswamy Govindan, MD,<sup>h</sup> Anne-Marie C. Dingemans, MD, PhD,<sup>i</sup> Lucio Crino, MD,<sup>j</sup> Herve Lena, MD,<sup>k</sup> Sanjay Popat, PhD,<sup>l</sup> Jin Seok Ahn, MD,<sup>m</sup> Eric Dansin, MD,<sup>n</sup> Sophie Golding, MSc,<sup>o</sup> Walter Bordogna, PhD,<sup>o</sup> Bogdana Balas, MD,<sup>o</sup> Peter N. Morcos, PharmD,<sup>p</sup> Ali Zeaiter, MD,<sup>o</sup> Alice T. Shaw, PhD<sup>q</sup>

<sup>a</sup>Department of Oncology, National Taiwan University Hospital and National Taiwan University Cancer Centre, Taipei, Taiwan

<sup>b</sup>Chao Family Comprehensive Cancer Centre, University of California Irvine School of Medicine, Orange, California Oncology, Karolinska University Hospital, Stockholm, Sweden

<sup>d</sup>Karmanos Cancer Institute, Wayne State University, Detroit, Michigan

<sup>e</sup>New York University, Perlmutter Cancer Center, New York University School of Medicine, New York, New York <sup>f</sup>Seoul National University Hospital, Seoul, Republic of Korea

<sup>3</sup>Aix Marseille University; Assistance Publique Hôpitaux de Marseille, Marseille, France

<sup>h</sup>Department of Internal Medicine, Washington University School of Medicine, St. Louis, Missouri

<sup>1</sup>Maastricht University Medical Centre, Maastricht, The Netherlands

<sup>j</sup>Istituto Scientifico Romagnolo per lo Studio e la cura dei Tumori, Istituto di Ricovero e Cura a Carattere Scientifico, Meloda, Italy

<sup>k</sup>Centre Hospitalier Universitaire, Rennes University, Rennes, France

<sup>1</sup>Royal Marsden Hospital, London, United Kingdom

<sup>m</sup>Samsung Medical Centre, Seoul, Republic of Korea

<sup>n</sup>Centre Régional de Lutte Contre le Cancer Oscar-Lambret, Lille, France

<sup>o</sup>F. Hoffmann-La Roche Ltd., Basel, Switzerland

<sup>p</sup>F. Hoffmann-La Roche, Innovation Center, New York, New York

<sup>q</sup>Massachusetts General Hospital Cancer Centre, Harvard Medical School, Boston, Massachusetts

Received 22 May 2017; revised 27 June 2017; accepted 29 June 2017 Available online - 5 July 2017

#### \*Corresponding author.

Disclosure: Dr. Yang has received advisory board fees from Boehringer Ingelheim, Bayer, AstraZeneca, Roche/Genentech, Chugai, Clovis Oncology, Eli Lilly, Merck Sharp and Dohme, Merck Serono, Pfizer, Novartis, Celgene, Merrimack, Yuhan Pharmaceuticals, and Daiichi Sankyo. Dr. Ou has received personal fees for Pfizer, AstraZeneca, ARIAD, and Roche outside the submitted work. Dr. De Petris has received personal fees from Roche, AstraZeneca, and Bristol-Myers Squibb. Dr. Gadgeel has received consultancy fees from Boehringer Ingelheim, ARIAD, Novartis, and Genentech. Dr. Gandhi has received consultancy fees from Genentech/Roche, Pfizer, Merck, Abbvie, and AstraZeneca and personal fees from Merck and Bristol-Myers Squibb IION Foundation. Dr. Kim has received personal fees from Roche. Dr. Barlesi has received consulting fees from Roche. Dr. Govindan has received travel accommodation fees and consulting fees from Merck, Boehringer Ingelheim, Celgene, Roche, Stemcentrix, and Abbe Vie and consultancy fees from GlaxoSmithKline, Clovis, and Helsinn Healthcare. Dr. Dingemans has received consultancy fees from Eli Lilly, AstraZeneca, Clovis, Boehringer Ingelheim, and Merck Sharp and Dohme. Dr. Lena reports advisory board membership for Roche, Merck Sharp and Dohme, Bristol-Myers Squibb, Novartis, Pfizer, and AstraZeneca and has been reimbursed for meeting expenses from Roche, Merck Sharp and Dohme, Bristol-Myers Squibb, Lilly, and Amgen. Dr. Popat has received personal fees from Roche, Pfizer, and Novartis outside the submitted work. Dr. Dansin has received personal fees from Bristol-Myers Squibb, AstraZeneca, and Roche. Ms. Golding, Dr. Bordogna, Dr. Balas, Mr. Morcos, and Dr. Zeaiter are employees of and have stock ownership in Roche. Dr. Shaw has received consulting fees from Ignyta and Taiho and advisory board fees from Pfizer, Novartis, Genentech/Roche, Ariad, Daiichi-Sankyo, Blueprint Medicines, Loxo, EMD Serono, and Foundation Medicine. The remaining authors declare no conflict of interest.

Previously published in abstract form as Yang et al. Pooled efficacy and safety data from two phase II studies (NP28673 and NP28761) of alectinib in *ALK*-positive non-small-cell lung cancer (NSCLC) [abstract]. *J Thorac Oncol.* 2017;12:S1170-S1171.

Address for correspondence: James Chih-Hsin Yang, MD, PhD, Department of Oncology, National Taiwan University Hospital, 7, Chung-Shan South Road, Taipei, Taiwan 100. E-mail: chihyang@ntu.edu.tw

© 2017 International Association for the Study of Lung Cancer. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/ 4.0/).

ISSN: 1556-0864

http://dx.doi.org/10.1016/j.jtho.2017.06.070

#### ABSTRACT

**Introduction:** Alectinib demonstrated clinical efficacy and an acceptable safety profile in two phase II studies (NP28761 and NP28673). Here we report the pooled efficacy and safety data after 15 and 18 months more follow-up than in the respective primary analyses.

**Methods:** Enrolled patients had ALK receptor tyrosine kinase gene (*ALK*)-positive NSCLC and had progressed while taking, or could not tolerate, crizotinib. Patients received oral alectinib, 600 mg twice daily. The primary end point in both studies was objective response rate assessed by an independent review committee (IRC) using the Response Evaluation Criteria in Solid Tumors, version 1.1. Secondary end points included disease control rate, duration of response, progression-free survival, overall survival, and safety.

**Results:** The pooled data set included 225 patients (n = 138 in NP28673 and n = 87 in NP28761). The responseevaluable population included 189 patients (84% [n = 122 in NP28673 and n = 67 in NP28761]). In the responseevaluable population, objective response rate as assessed by the IRC was 51.3% (95% confidence interval [CI]: 44.0– 58.6 [all PRs]), the disease control rate was 78.8% (95% CI: 72.3–84.4), and the median duration of response was 14.9 months (95% CI: 11.1–20.4) after 58% of events. Median progression-free survival as assessed by the IRC was 8.3 months (95% CI: 7.0–11.3) and median overall survival was 26.0 months (95% CI: 21.4–not estimable). Grade 3 or higher adverse events (AEs) occurred in 40% of patients, 6% of patients had treatment withdrawn on account of AEs, and 33% had AEs leading to dose interruptions/modification.

**Conclusions:** This pooled data analysis confirmed the robust systemic efficacy of alectinib in *ALK*-positive NSCLC with a durable response rate. Alectinib also had an acceptable safety profile with a longer duration of follow-up.

© 2017 International Association for the Study of Lung Cancer. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http:// creativecommons.org/licenses/by-nc-nd/4.0/).

*Keywords:* Alectinib; Non-small cell lung cancer; NP28673; NP28761; Pooled analysis

#### Introduction

NSCLC harboring a chromosomal rearrangement of the anaplastic lymphoma kinase (*ALK*) gene (*ALK*positive NSCLC), represents a distinct molecular subset of the disease, which affects approximately 5% of patients.<sup>1</sup> Crizotinib is the current standard of care for *ALK*-positive NSCLC and has extended progression-free survival (PFS) compared with cytotoxic chemotherapy (10.9 months versus 7.7 months, respectively) in the first- and second-line treatment setting.<sup>2,3</sup> Unfortunately, almost half of crizotinib-treated patients relapse within the first year. This is usually a result of poor control of disease within the central nervous system (CNS), which is the most common site of disease progression,<sup>4,5</sup> or secondary *ALK* resistance mutations.<sup>6–8</sup>

Second-generation anaplastic lymphoma kinase (ALK) inhibitors have been developed with the aim of improving efficacy in patients with ALK-positive NSCLC, including those with CNS metastases. The ALK inhibitor ceritinib was granted accelerated approval by the U.S. Food and Drug Administration (FDA) in 2014 for use in patients with ALK-positive, metastatic NSCLC who had progressed while taking, or were intolerant of, crizotinib.<sup>9</sup> The European Medicines Agency subsequently approved ceritinib in 2015 for use with the same indication.<sup>10</sup> The approvals were based on a phase I and phase II study of ceritinib in patients with ALK-positive NSCLC, which demonstrated median PFS times of 5.7 to 6.9 months and objective response rates (ORRs) of 39% to 56%.<sup>11,12</sup> Recently, the FDA approval was extended to treatmentnaive patients with metastatic ALK-positive NSCLC.<sup>13</sup> The extended approval was based on results from the ASCEND-4 trial, which demonstrated superior PFS with ceritinib versus with platinum-pemetrexed doublet chemotherapy in patients with treatment-naive, ALKpositive NSCLC (median PFS of 16.6 versus 8.1 months) (hazard ratio [HR] = 0.55, 95% confidence interval [CI]:  $(0.42-0.73, p < 0.0001)^{14}$ ; a similar trend was observed in patients with CNS metastases at baseline, but it was not significant. ORRs were improved with ceritinib versus with chemotherapy in the overall study population (73% versus 27%) and in those with measurable CNS disease at baseline (46% versus 21%).<sup>14</sup>

Alectinib is a potent and highly selective ALK inhibitor that has demonstrated both systemic and CNS efficacy in *ALK*-positive NSCLC in a number of studies.<sup>15–18</sup> Alectinib was approved in Japan in 2014 for the treatment of ALK inhibitor-naive patients with ALK-positive NSCLC after the results of a phase I/II study (AF001-JP). This study reported a high ORR of 93.5% (95% CI: 82-99); follow-up for this study is still ongoing, with a 3-year PFS rate of 62% (95% CI: 45-75).<sup>19</sup> Similarly, significant clinical activity was reported with alectinib in two pivotal phase II studies, one global (NP28673 [NCT01801111]) and one North American (NP28761 [NCT01871805]), in patients with ALK-positive NSCLC who had previously received crizotinib. ORRs of 50.8% (95% CI: 41.6-60.0) and 52.2% (95% CI: 39.7-64.6) were observed in NP28673 and NP28761, respectively (data cutoff April 27, 2015), with a median duration of response (DOR) of 14.1 months (95% CI: 10.9-not estimable [NE] [44% of events] versus 13.5 months (95% CI: 6.7–NE [40% of events]), respectively. Alectinib was well tolerated in the global and North American studies,

as reflected by the rates of dose interruptions (23% and 36%, respectively), dose reductions (10% and 16%, respectively), and withdrawals due to adverse events (AEs) (9% and 2%, respectively) reported (data cutoff date April 27, 2015).<sup>17,18</sup> Data from these two phase II studies led to the accelerated approval of alectinib in 2015 by the FDA for treatment of patients with *ALK*-positive NSCLC who progressed while taking, or were intolerant of, crizotinib.<sup>20</sup> Alectinib has also received conditional approval for the same patient population from the European Medicines Agency. Data from the first-line, phase III, global ALEX study demonstrated that patients treated with alectinib had a longer PFS than patients treated with crizotinib.<sup>21</sup>

Here, we present pooled efficacy and safety analyses from these phase II studies with 15 and 18 months more follow-up than in the respective primary analyses for NP28761 (data cutoff of January 22, 2016 versus October 24, 2014) and NP28673 (data cutoff of February 1, 2016 versus August 18, 2014).

# Methods

#### Study Design

NP28673 and NP28761 were phase II, single-arm, open-label, multicenter studies. NP28673 was conducted across 16 countries at 56 sites and patients were enrolled between June 20, 2013, and April 23, 2014. NP28761 was undertaken in 27 centers across the United States and Canada, with patients enrolled between May 3, 2012, and August 4, 2014. This time frame also included a phase I dose-finding step; hence, the phase II portion of the study commenced on September 4, 2013. Both studies were undertaken in accordance with the principles of the Declaration of Helsinki and Good Clinical Practice Guidelines, and written informed consent was obtained from all patients. The full methodology for each study has been published previously.<sup>17,18</sup>

#### Eligibility Criteria

Both studies enrolled patients who were 18 years or older with locally advanced or metastatic *ALK*-positive NSCLC, as assessed by an FDA-approved fluorescence in situ hybridization test. Eligible patients had an Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 2 or lower and had progressed while taking crizotinib. Patients with asymptomatic baseline CNS metastases (treated or untreated with radiation) and those who had received prior chemotherapy were permitted to enroll in both studies. Patients were excluded if they had received prior ALK inhibitor treatment other than crizotinib.

# Study Treatment

All patients received 600 mg of alectinib orally twice daily with a meal, until disease progression, unacceptable

toxicity, withdrawal, or death. In both studies there was a minimum washout period of 7 days between the last dose of crizotinib and the first dose of alectinib.

## Study End Points

The primary end point of the pooled analysis was ORR assessed by an independent review committee (IRC) using the Response Evaluation Criteria in Solid Tumors, version 1.1. The secondary end points for both studies included disease control rate (DCR), DOR, PFS, overall survival (OS), and safety. CNS secondary end points were also evaluated, including CNS ORR and CNS DOR, and will be reported in a separate analysis.

### Statistical Analysis

Response end points were assessed in the responseevaluable (RE) population, which comprised patients with measurable disease at baseline who received at least one dose of alectinib. The safety population comprised all patients who received at least one dose of alectinib. ORR was defined as the proportion of patients in the RE population who achieved a best overall response of confirmed complete response (CR) or partial response (PR). PFS and OS were assessed in the safety population. PFS was calculated from the date of first dose of alectinib until disease progression or death. OS was calculated from the date of first dose of alectinib until death. Time-to-event data (PFS, OS, and DOR) were estimated by Kaplan-Meier analyses.

# Results

#### Patients

The pooled data set comprised 225 patients (138 patients from the study NP28673 and 87 patients from the study NP28761) (Supplementary Fig. 1). The RE population according to the IRC included 189 patients (84%), comprising 122 patients from NP28673 and 67 patients from NP28761. Baseline characteristics were similar across both studies (Table 1). Briefly, the median patient age was 53 years (range 22–79), 67% of patients had an ECOG PS of 1 or 2, and most patients (74%) were white. Overall, 136 patients (60%) had baseline CNS metastases and 174 (77%) had received prior chemotherapy (Table 1).

#### Efficacy

At the data cutoff (February 1, 2016, for NP28673 and January 22, 2016, for NP28761), the median followup for the pooled data set was 18.8 months (range 0.6– 29.7). In the RE population, the ORR assessed by the IRC was 51.3% (95% CI: 44.0–58.6), with 97 of 189 patients achieving a PR; there were no CRs. Stable disease was reported in 52 of 189 patients (28%), giving a DCR of

#### October 2017

Table 1. Demographic and Baseline Characteristics of the Pooled Population (ITT Population)				
Characteristic	NP28761 (n = 87)	NP28673 (n = 138)	Difference between Cohorts, %	Pooled Population $(N = 225)$
Median age (range), y	54 (29-79)	52 (22-79)	2 у	53 (22-79)
Sex, n (%) Male Female	39 (45) 48 (55)	61 (44) 77 (56)	1 1	100 (44) 125 (56)
ECOG PS, n (%) 0 1 2	30 (34) 48 (55) 9 (10)	44 (32) 81 (59) 13 (9)	2 4 1	74 (33) 129 (57) 22 (10)
Race, n (%) White Asian Other Black/African American Multiple Unknown American Indian/Alaska native	73 (84) 7 (8) 3 (3) 3 (3) 1 (1) 0 0	93 (67) 36 (26) 4 (3) 1 (0.7) 0 (0) 3 (2) 1 (0.7)	17 18 0 2.3 1 2 0.7	166 (74) 43 (19) 7 (3) 4 (2) 7 (3) 1 (0.4) 1 (0.4)
Yes No	52 (60) 35 (40)	84 (61) 54 (39)	1 1	136 (60) 89 (40)
Histologic subtype, n (%) Adenocarcinoma Other	82 (94) 5 (6)	133 (96) 5 (4)	2 2	215 (96) 10 (4)
Prior chemotherapy, n (%) Yes No	64 (74) 23 (26)	110 (80) 28 (20)	6 6	174 (77) 51 (23)
Crizotinib + prior therapies Crizotinib only +1 therapy +2 therapies +3 therapies +4 therapies +5 therapies $\geq$ 6 therapies	23 (26) 0 19 (22) 18 (21) 14 (16) 8 (9) 5 (6)	28 (20) 52 (38) 16 (12) 17 (12) 16 (12) 4 (3) 5 (4)	6 38 10 9 4 6 2	51 (23) 52 (23) 35 (16) 35 (16) 30 (13) 12 (5) 10 (4)
Smoking status Active smoker Past smoker Never-smoker	0 33 (38) 54 (62)	3 (2) 39 (28) 96 (70)	2 10 8	3 (1) 72 (32) 150 (67)

ITT, intent-to-treat; ECOG, Eastern Cooperative Oncology Group; PS, performance status; CNS, central nervous system.

78.8% (95% CI: 72.3–84.4). The median DOR was 14.9 months (95% CI: 11.1–20.4) after 58% of events.

Of the patients who had received prior chemotherapy in the RE population (n = 148), 73 (49%) achieved a PR; there were no CRs, giving an IRC-assessed ORR of 49.3% (95% CI: 41.0–57.7). In total, 44 of 148 patients (30%) had stable disease, resulting in a DCR of 79.1% (95% CI: 71.6–85.3). The median DOR (based on 59% of events) in this subgroup was also 14.9 months (95% CI: 11.0– 21.9).

Overall, 24 of 41 chemotherapy-naive patients in the RE population (59%) achieved a PR; there were no CRs, giving an IRC-assessed ORR of 58.5% (95% CI: 42.1–73.7). Stable disease was reported in eight of 41 patients (20%) giving a DCR in this population of 78.0% (95% CI: 62.4–89.4). The median DOR was 11.2 months (95% CI: 8.0–NE) after 54% of events.

A subgroup analysis of IRC-assessed ORR was performed to evaluate different prognostic factors, including sex, race, ECOG PS, CNS metastases at baseline, smoking status, and prior chemotherapy. ORR rates were generally consistent across most subgroups. Patients with an ECOG PS of 0 had a numerically higher response rate compared with patients with an ECOG PS of 1 or 2 (65.6% [95% CI: 52.3–77.3] versus 45.0% [95% CI: 35.6–54.8] or 41.2% [95% CI: 18.4–67.1], respectively). The analysis also showed a higher response rate in patients who were never-smokers at baseline than in those who were past smokers (55.9% [95% CI: 46.8–64.7] versus 39.0% [95% CI: 26.5–52.6], respectively) (Table 2). However, it should be noted that the subgroups were relatively small and confidence intervals were overlapping.

In the pooled population, 156 of 225 patients (69%) had a PFS event according to the IRC at the data cutoff. The median PFS was 8.3 months (95% CI: 7.0–11.3) (Fig. 1) and the 6-month event-free rate was 59.9% (95% CI: 53.5–66.4). For patients who had received only crizotinib treatment before receiving alectinib (51 of 225 [23%]), the median PFS was 8.4 months (95% CI: 5.6–16.6). With regard to OS, 96 of 225 patients (43%) had an OS event at the data cutoff. The median OS was 26.0 months (95% CI: 21.4–NE) and the 6-month event-free rate was 85.3% (95% CI: 80.6–89.9) (Fig. 2).

#### Safety

Safety was evaluated in the pooled safety population of 225 patients (138 patients from the study NP28673

and 87 patients from the study NP28761). The mean dose intensity of alectinib was 94.1%.

AEs occurring at a frequency of more than 20% (any grade) were constipation (38%), fatigue (34%), peripheral edema (28%), myalgia (25%), nausea (23%), cough (21%), and headache (21%). A summary of AEs occurring at a frequency of more than 10% are shown in Table 3. Grade 3 to 5 AEs occurred in 40% of patients; the most common were dyspnea (4%), elevated levels of blood creatine phosphokinase (4%), alanine transaminase (3%), and aspartate transaminase (3%). Seven patients (3%) died during the study, including two of hemorrhage and one each of dyspnea, endocarditis, intestinal perforation, pulmonary embolism, and an unspecified cause. Only two deaths (1%) were considered by the investigator to be treatment related (hemorrhage and intestinal perforation).

		Responders per Subgroup	
Characteristic	Patients per Subgroup (n = 189)	n (%)	95% CI
Sex			
Male	88	46 (52.3)	41.4-63.0
Female	101	51 (50.5)	40.4-60.6
Race			
White	137	70 (51.1)	42.4-59.7
Asian	38	23 (60.5)	43.4-76.0
Other	14	4 (28.6)	8.4-58.1
ECOG PS at baseline			
0	61	40 (65.6)	52.3-77.3
1	111	50 (45.0)	35.6-54.8
2	17	7 (41.2)	18.4-67.1
CNS metastases at baseline		(	
Yes	113	55 (48.7)	39.2-58.3
No	76	42 (55.3)	43.4-66.7
Prior chemotherapy			
Yes	148	73 (49.3)	41.0-57.7
No	41	24 (58.5)	42.1-73.7
No. of prior regimens		, , , , , , , , , , , , , , , , , , ,	
1 or 2	89	48 (53.9)	43.0-64.6
3-9	100	49 (49.0)	38.9-59.2
Smoking status at screening			
Active smoker	3	3 (100.0)	29.2-100.0
Past smoker	59	23 (39.0)	26.5-52.6
Never-smoker	127	71 (55.9)	46.8-64.7
Time receiving prior crizotinib			
<median< td=""><td>105</td><td>48 (45.7)</td><td>36.0-55.7</td></median<>	105	48 (45.7)	36.0-55.7
>Median	84	49 (58.3)	47.1-69.0
Best response to crizotinib			
Complete response	1	1 (100)	2.5-100.0
Partial response	84	50 (59.5)	48.3-70.1
Stable disease	43	19 (44.2)	29.1-60.1
Progressive disease	47	21 (44 7)	30 2-59 9
Unknown N/A or NF	14	6 (42 9)	17 7-71 1

IRE, independent review committee; RE, response evaluable; CI, confidence interval; ECOG, Eastern Cooperative Oncology Group; PS, performance status; CNS, central nervous system; N/A, not applicable; NE, not evaluable.



Figure 1. Independent review committee-assessed progression-free survival of the pooled population (intent-to-treat population [N = 225]).

AEs leading to dose modification or interruptions occurred in 33% of patients (n = 75), whereas AEs leading to treatment withdrawal were reported in 6% of patients (n = 14) (Table 4).

#### Discussion

Alectinib has demonstrated clinical systemic and CNS efficacy in two pivotal phase II trials, achieving high response rates and durable responses.<sup>17,18</sup> In the present analysis, efficacy and safety data were pooled from these phase II trials, with 15 and 18 months more follow-up for NP28761 and NP28673, respectively. These data confirmed the clinical activity and acceptable safety profile of alectinib in patients with *ALK*-positive NSCLC after treatment with crizotinib.

Despite the differences in standard of care for *ALK*positive NSCLC between the United States and the rest of the world, the patient populations in NP28761 and NP28673 were very similar, with 80% and 74% of patients progressing during prior chemotherapy and crizotinib therapy, respectively. Other baseline



Figure 2. Overall survival of the pooled population (intentto-treat population [N = 225]).

characteristics were also very similar across the two studies, including patient age (median 54 versus 52 years), proportion of male patients (45% versus 44%), patients with an ECOG PS of 0 or 1 (90% versus 91%), and patients with baseline CNS disease (60% versus 61%) in the North American and global studies, respectively, supporting the rationale for combining these data sets.

The ORR of 51.3% that we observed in the present analysis is consistent with the ORRs reported in the individual primary and updated analyses of NP28673 (49.2% and 50.8%, respectively) and NP28761 (47.8% and 52.2%, respectively).<sup>17,18</sup> In this pooled analysis, alectinib demonstrated efficacy regardless of prior treatment with chemotherapy, with an ORR of 49.3% for patients who received prior chemotherapy compared with 58.5% for patients who were chemotherapy-naive.

Overall, the safety profile of alectinib in this pooled analysis was consistent with the data reported in the primary publications.<sup>17,18</sup> Alectinib was well tolerated and most of the AEs were grade 1/2 in severity, with only 1% of deaths reported as being treatment related. During the pooling of these study data, exposureresponse analysis was also performed. Multivariate logistic regression and Cox proportional hazards analyses of the efficacy data demonstrated no statistically significant relationship between alectinib exposure and best overall response or PFS across the two studies, and logistic regression analysis demonstrated no statistically significant relationship between alectinib exposure and safety end points.<sup>22</sup> These exploratory analyses confirm that the alectinib dosing regimen of 600 mg twice daily provides exposures within the expected plateau range of response, supporting its selection as the global dosing regimen.

Crizotinib was the first ALK inhibitor to be approved for the treatment of ALK-positive NSCLC and it is the current standard of care. Crizotinib prolongs PFS, increases ORR, and provides a greater improvement in global quality of life compared with chemotherapy in both previously treated and treatment-naive, ALKpositive NSCLC.<sup>2,3</sup> Ceritinib was also approved for the treatment of crizotinib-pretreated patients with ALKpositive NSCLC after achieving ORR rates of 39% to 56% and a median PFS of 5.7 to 6.9 months in phase I and II studies.<sup>11,12</sup> Recently, ceritinib was also approved in the first-line setting for patients with ALK-positive NSCLC on the basis of PFS and ORRs superior to those with chemotherapy reported in the ASCEND-4 trial.<sup>14</sup> The ORR and PFS for ceritinib are comparable with those of alectinib in this pooled analysis, but in the ASCEND-2 trial,<sup>12</sup> ceritinib was associated with high rates of dose interruptions (76%) and modifications or discontinuations (54%). In contrast, alectinib demonstrated an

Table 3. AEs with an Incidence Rate Higher Than 10% in the Pooled Studies (ITT Population)					
AE, n (%)	NP28761 (n = 87)	NP28673 (n = 138)	Difference between Cohorts, %	Pooled Population $(N = 225)$	
Patients with $\geq$ 1 AE	84 (97)	135 (98)	1	219 (97)	
Constipation	32 (37)	53 (38)	1	85 (38)	
Fatigue	33 (38)	43 (31)	7	76 (34)	
Peripheral edema	22 (25)	41 (30)	5	63 (28)	
Myalgia	22 (25)	35 (25)	0	57 (25)	
Nausea	21 (24)	30 (22)	2	51 (23)	
Cough	18 (21)	30 (22)	1	48 (21)	
Headache	21 (24)	26 (19)	5	47 (21)	
Diarrhea	20 (23)	22 (16)	7	42 (19)	
Dyspnea	17 (20)	23 (17)	3	40 (18)	
Increased aspartate transaminase level	18 (21)	18 (13)	8	36 (16)	
Anemia	17 (20)	16 (12)	8	33 (15)	
Weight increased	16 (18)	17 (12)	6	33 (15)	
Asthenia	2 (2)	30 (22)	20	32 (14)	
Upper respiratory tract infection	13 (15)	19 (14)	1	32 (14)	
Vomiting	11 (13)	21 (15)	2	32 (14)	
Increased alanine transaminase level	16 (18)	15 (11)	7	31 (14)	
Rash	8 (9)	22 (16)	7	30 (13)	
Back pain	10 (11)	18 (13)	2	28 (12)	
Increased blood bilirubin level	9 (10)	18 (13)	3	27 (12)	
Increased blood creatine phosphokinase level	20 (23)	6 (4)	19	26 (12)	
Dizziness	11 (13)	15 (11)	2	26 (12)	
Photosensitivity reaction	10 (11)	16 (12)	1	26 (12)	
Arthralgia	10 (11)	15 (11)	0	25 (11)	
Insomnia	11 (13)	12 (9)	4	23 (10)	
Decreased appetite	5 (6)	17 (12)	6	22 (10)	
Upper abdominal pain	4 (5)	17 (12)	7	21 (9)	
Nasopharyngitis	3 (3)	16 (12)	9	19 (8)	
Increased blood alkaline phosphatase level	12 (14)	5 (4)	10	17 (8)	
Hypokalemia	9 (10)	7 (5)	5	16 (7)	
Oropharyngeal pain	2 (2)	14 (10)	8	16 (7)	
Hypertriglyceridemia	11 (13)	0	13	11 (5)	

AE, adverse event; ITT, intent-to-treat.

acceptable safety profile and good tolerability in this pooled analysis, as reflected by the rate of dose interruptions and modifications (33%) and the low with-drawal rate (6%). A recent study of the ALK inhibitor brigatinib in the same setting as the two alectinib studies presented here showed an ORR of 45% to 54% and median PFS of 9.2 to 12.9 months with doses of 90 mg

once daily or 90 mg once daily for 7 days followed by 180 mg once daily, respectively. Compared with alectinib, brigatinib showed comparable rates of dose reductions (7%) and dose interruptions (18%) due to AEs at the lower dose; however, at the higher dose brigatinib showed greater rates of dose reductions (20%), dose interruptions (36%), and discontinuations (8%).<sup>23</sup>

Table 4. AEs Leading to Dose Modification, Interruption, or Withdrawal in the Pooled Studies (ITT Population)					
Outcome, n (%)	NP28761 (n = 87)	NP28673 (n = 138)	Pooled Population $(N = 225)$		
AE leading to withdrawal from study	2 (2)	12 (9)	14 (6)		
AE leading to withdrawal from treatment	2 (2)	12 (9)	14 (6)		
AE leading to dose modification or interruption	37 (43)	38 (28)	75 (33)		
Serious AE leading to withdrawal from treatment	1 (1)	8 (6)	9 (4)		
Serious AE leading to dose modification or interruption	9 (10)	13 (9)	22 (10)		
Related AE leading to withdrawal from treatment	2 (2)	8 (6)	10 (4)		
Related AE leading to dose modification or interruption	24 (28)	23 (17)	47 (21)		

AE, adverse event; ITT, intent-to-treat.

Here we have reported the systemic efficacy and safety of the pooled population; in addition, an analysis of the activity of alectinib on CNS metastases in this pooled data set has recently been published.<sup>24</sup> Alectinib achieved a CNS ORR of 64.0% (95% CI: 49.2–77.1) with a CNS DCR of 90.0% (95% CI: 78.2–96.7) and CNS DOR of 10.8 months (95% CI: 78.2–90.8), showing good CNS efficacy.

Two ongoing phase III studies are directly comparing the efficacy of alectinib with crizotinib in patients with ALK inhibitor–naive ALK-positive NSCLC (ALEX [NCT02075840] J-ALEX [JapicCTI-132316]). and Following an interim analysis, results from the J-ALEX study were released early, as the primary end point of PFS demonstrated superiority compared with the PFS with crizotinib treatment (HR = 0.34 [99.6826% CI: 0.17–0.70, stratified log-rank p < 0.0001; median PFS not reached [95% CI: 20.3-NE] versus 10.2 months [95% CI: 8.2–12.0] for alectinib versus crizotinib).<sup>24,25</sup> Grade 3 or 4 AEs were observed at a greater frequency in the crizotinib arm (52%) than in the alectinib arm (27%), and rates of drug interruptions were lower with alectinib than with crizotinib (29% versus 74%, respectively). Primary data from the global ALEX study also showed that alectinib had a PFS superior to that with crizotinib (12-month event-free survival rate, 68.4% [95% CI: 61.0-75.9] with alectinib versus 48.7% [95% CI: 40.4–56.9] with crizotinib).<sup>21</sup>

In conclusion, the results from this pooled analysis showed that alectinib, 600 mg twice daily, demonstrated clinical activity and was well tolerated in patients with *ALK*-positive NSCLC who had progressed while taking crizotinib. Efficacy was shown in patients who had received prior chemotherapy, as well as in those who were chemotherapy naive.

# Acknowledgments

The authors would like to thank the patients, their families, and the participating study centers. Dr. Popat acknowledges National Health Service funding to the National Institute for Health Research Biomedical Research Centre at The Royal Marsden and the Institute of Cancer Research. Third-party medical writing assistance, under the direction of the authors, was provided by Louise Clarke of Gardiner-Caldwell Communications and was funded by F. Hoffmann-La Roche, Ltd.

# Supplementary Data

Note: To access the supplementary material accompanying this article, visit the online version of the *Journal of Thoracic Oncology* at www.jto.org and at http://dx.doi.org/10.1016/j.jtho.2017.06.070.

## References

- Dearden S, Stevens J, Wu YL, Blowers D. Mutation incidence and coincidence in non small-cell lung cancer: meta-analyses by ethnicity and histology (mutMap). Ann Oncol. 2013;24:2371-2376.
- 2. Shaw AT, Kim DW, Nakagawa K, et al. Crizotinib versus chemotherapy in advanced ALK-positive lung cancer. *N Engl J Med.* 2013;368:2385-2394.
- 3. Solomon BJ, Mok T, Kim DW, et al. First-line crizotinib versus chemotherapy in ALK-positive lung cancer. *N Engl J Med*. 2014;371:2167-2177.
- 4. Costa DB, Shaw AT, Ou SH, et al. Clinical experience with crizotinib in patients with advanced ALK-rearranged non-small-cell lung cancer and brain metastases. *J Clin Oncol*. 2015;33:1881-1888.
- 5. Weickhardt AJ, Scheier B, Burke JM, et al. Local ablative therapy of oligoprogressive disease prolongs disease control by tyrosine kinase inhibitors in oncogene-addicted non-small-cell lung cancer. *J Thorac Oncol.* 2012;7:1807-1814.
- 6. Katayama R, Shaw AT, Khan TM, et al. Mechanisms of acquired crizotinib resistance in ALK-rearranged lung cancers. *Sci Transl Med.* 2012;4:120ra17.
- 7. Doebele RC, Pilling AB, Aisner DL, et al. Mechanisms of resistance to crizotinib in patients with ALK gene rearranged non-small cell lung cancer. *Clin Cancer Res.* 2012;18:1472-1482.
- Choi YL, Soda M, Yamashita Y, et al. EML4-ALK mutations in lung cancer that confer resistance to ALK inhibitors. *N Engl J Med*. 2010;363:1734-1739.
- **9.** Khozin S, Blumenthal GM, Zhang L, et al. FDA approval: ceritinib for the treatment of metastatic anaplastic lymphoma kinase-positive non-small cell lung cancer. *Clin Cancer Res.* 2015;21:2436-2439.
- Novartis. Novartis lung cancer drug Zykadia gains EU approval, providing new therapy for certain patients with ALK+ NSCLC. https://www.novartis.com/news/ media-releases/novartis-lung-cancer-drug-zykadia%C2% AE-gains-eu-approval-providing-new-therapy. Accessed June 1, 2017.
- 11. Kim DW, Mehra R, Tan DS, et al. Activity and safety of ceritinib in patients with ALK-rearranged non-small-cell lung cancer (ASCEND-1): updated results from the multicentre, open-label, phase 1 trial. *Lancet Oncol*. 2016;17:452-463.
- Mok T, Spigel D, Felip E, et al. ASCEND-2: a singlearm, open-label, multicenter phase II study of ceritinib in adult patients (pts) with ALK-rearranged (ALK+) non-small cell lung cancer (NSCLC) previously treated with chemotherapy and crizotinib (CRZ) [abstract]. J Clin Oncol. 2015;33(suppl): 8059.
- U.S. Food and Drug Administration. FDA broadens ceritinib indication to previously untreated ALK-positive metastatic NSCLC. https://www.fda.gov/Drugs/Information OnDrugs/ApprovedDrugs/ucm560873.htm. Accessed June 1, 2017.
- 14. Soria JC, Tan DS, Chiari R, et al. First-line ceritinib versus platinum-based chemotherapy in advanced ALKrearranged non-small-cell lung cancer (ASCEND-4): a

randomised, open-label, phase 3 study. *Lancet*. 2017;389:917-929.

- Seto T, Kiura K, Nishio M, et al. CH5424802 (RO5424802) for patients with ALK-rearranged advanced non-smallcell lung cancer (AF-001JP study): a single-arm, openlabel, phase 1-2 study. *Lancet Oncol.* 2013;14:590-598.
- **16.** Gadgeel SM, Gandhi L, Riely GJ, et al. Safety and activity of alectinib against systemic disease and brain metastases in patients with crizotinib-resistant ALK-rearranged non-small-cell lung cancer (AF-002JG): results from the dose-finding portion of a phase 1/2 study. *Lancet Oncol.* 2014;15:1119-1128.
- Ou SH, Ahn JS, De Petris L, et al. Alectinib in crizotinibrefractory ALK-rearranged non-small-cell lung cancer: a phase II global study. J Clin Oncol. 2016;34:661-668.
- **18.** Shaw AT, Gandhi L, Gadgeel S, et al. Alectinib in ALK-positive, crizotinib-resistant, non-small-cell lung cancer: a single-group, multicentre, phase 2 trial. *Lancet Oncol.* 2016;17:234-242.
- **19.** Tamura T, Kiura K, Seto T, et al. Three-year follow-up of an alectinib phase I/II study in ALK-positive non-small-cell lung cancer: AF-001JP. *J Clin Oncol*. 2017;35:1515-1521.
- U.S. Food and Drug Administration. FDA approves new oral therapy to treat ALK-positive lung cancer. http://

www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/ ucm476926.htm. Accessed March 9, 2017.

- Peters S, Camidge DR, Shaw AT, et al. Alectinib versus crizotinib in untreated *ALK*-positive non-small-cell lung cancer [e-pub ahead of print]. *N Engl J Med*. http://dx. doi.org/10.1056/NEJMoa1704795, accessed July 19, 2017.
- 22. Hsu JC, Carnac R, Henschel V, et al. Population pharmacokinetics (popPK) and exposure-response (ER) analyses to confirm alectinib 600 mg BID dose selection in a crizotinib-progressed or intolerant population [abstract]. J Clin Oncol. 2016;34(suppl):e20598.
- 23. Kim DW, Tiseo M, Ahn MJ, et al. Brigatinib (BRG) in patients (pts) with crizotinib (CRZ)-refractory ALK+ non-small cell lung cancer (NSCLC): first report of efficacy and safety from a pivotal randomized phase (ph) 2 trial (ALTA) [abstract]. J Clin Oncol. 2016b;34(suppl): 9007.
- 24. Gadgeel SM, Shaw AT, Govindan R, et al. Pooled analysis of CNS response to alectinib in two studies of pretreated patients with ALK-positive non-small-cell lung cancer. *J Clin Oncol*. 2016;34:4079-4085.
- 25. Hida T, Nokihara H, Kondo M, et al. Alectinib versus crizotinib in patients with ALK-positive non-small-cell lung cancer (J-ALEX): an open-label, randomised phase 3 trial. *Lancet*. 2017;390:29-39.