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Structural Engineering of Hierarchical Micro-nanostructured Ge-C Framework by Controlling the Nucleation for Ultralong Life Li Storage

Abstract

The rational design of a proper electrode structure with high energy and power densities, long cycling lifespan, and low cost still remains a significant challenge for developing advanced energy storage systems. Germanium is a highly promising anode material for high-performance lithium ion batteries due to its large specific capacity and remarkable rate capability. Nevertheless, poor cycling stability and high price significantly limit its practical application. Herein, a facile and scalable structural engineering strategy is proposed by controlling the nucleation to fabricate a unique hierarchical micro-nanostructured Ge-C framework, featuring high tap density, reduced Ge content, superb structural stability, and a 3D conductive network. The constructed architecture has demonstrated outstanding reversible capacity of 1541.1 mA h g⁻¹ after 3000 cycles at 1000 mA g⁻¹ (with 99.6% capacity retention), markedly exceeding all the reported Ge-C electrodes regarding long cycling stability. Notably, the assembled full cell exhibits superior performance as well. The work paves the way to constructing novel metal-carbon materials with high performance and low cost for energy-related applications.

Keywords

controlling, nucleation, framework, ge-c, micro-nanostructured, hierarchical, engineering, structural, li, storage, ultralong-life

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4 **Structural Engineering of Hierarchical Micro-nanostructured Ge-C Framework by**
5 **Controlling the Nucleation for Ultralong-life Li Storage**

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26

27 **Keywords:** structural engineering, micro-nanostructures, germanium-carbon frameworks,
28 mechanism understanding, lithium storage

1 The rational design of a proper electrode structure with high energy and power densities, long
2 cycling lifespan, and low cost, still remains a significant challenge for developing advanced
3 energy storage systems. Germanium is a highly promising anode material for high-performance
4 lithium ion batteries due to its large specific capacity and remarkable rate capability.
5 Nevertheless, the poor cycling stability and high price significantly limit its practical
6 application. Herein, we proposed a facile and scalable structural engineering strategy by
7 controlling the nucleation to fabricate a unique hierarchical micro-nanostructured Ge-C
8 framework, featuring high tap density, reduced Ge content, superb structural stability, and a
9 three-dimensional conductive network. The constructed architecture demonstrated outstanding
10 reversible capacity of $1541.1 \text{ mA h g}^{-1}$ after 3000 cycles at 1000 mA g^{-1} (with 99.6% capacity
11 retention), markedly exceeding all the reported Ge-C electrodes regarding long cycling stability.
12 Noticeably, the assembled full-cell exhibited superior performance as well. The work paves the
13 way to constructing novel metal-carbon materials with high performance and low cost for
14 energy-related applications.

1 **1. Introduction**

2 Germanium is an attractive electrode material for future high-energy lithium ion battery (LIBs,
3 especially for batteries in sophisticated fields such as communications, deep-space exploration
4 and national defence),^[1] because of its high volumetric/gravimetric capacities (8645.9 mA h
5 cm^{-3} and 1600 mA h g^{-1} , respectively),^[2] favourable (de)lithiation voltage (< 0.5 V vs. Li/Li⁺),
6 excellent lithium-ion diffusivity (over 400 times faster than in Si),^[3] and remarkable electrical
7 conductivity (104 times higher than Si). Besides, unlike the highly anisotropic lithiation in Si,
8 the isotropic lithiation behaviour in Ge anode ensures favorably reversible capacity.^[4]
9 Nevertheless, the practical applications of micro-sized bulk Ge have been hampered by some
10 fundamental problems. First, the considerable volume change of 370% in the fully lithiated
11 $\text{Li}_{4.4}\text{Ge}$ triggers severe electrode pulverization and solid-electrolyte interphase (SEI) film
12 destabilization, resulting in rapid capacity fading as well as poor Coulombic efficiency (CE).
13 Second, the low atomic utilization in the bulk phase of the micro-sized electrode will sacrifice
14 some theoretical capacity of the Ge anode. Finally, simultaneously retaining the high
15 performance but lowering the price of Ge anodes is still a critical challenge.

16 Over the past decade, considerable efforts have been devoted to improving the cycling
17 performance of Ge anodes by designing various nanoarchitectures, such as nanoparticles,
18 nanotubes, nanowires, and porous particles.^[4-5] These constructed nanostructures could
19 effectively relieve internal strain upon cycling and improve the utilization efficiency of Ge at
20 the atomic level, thus achieving better electrochemical properties than their bulk counterparts.
21 Despite some progress, such nanostructured design of Ge electrodes has also introduced tough
22 new challenges, inevitably blocking the commercialization of nano-sized Ge in high-energy
23 LIBs. Specifically, the intrinsic low tap density owing to the loose stacking will result in low
24 volumetric energy density, while the large surface area may exacerbate side reactions and lower
25 the coulombic efficiency.^[6] Furthermore, the reduced electrical connections among

1 nanoparticles induced by volume changes could lead to unsatisfactory capacity retention.^[3]
2 Coating or encapsulating nanosized Ge with carbonaceous materials could further enhance the
3 cycling properties of electrodes via suppressing the volume changes and alleviating
4 nanoparticles agglomeration. For example, by encapsulating Ge nanoparticles in carbon
5 nanotubes (CNTs), Loh et al developed novel bamboo-type multiwall CNTs as a matrix for the
6 Ge particles and achieved high capacity and rate capability, due to the mechanical protection of
7 the unique CNT scaffold structure.^[7] A three-dimensional (3D) nanoarchitecture of Ge coated
8 with carbon (3D-Ge/C) has been fabricated and exhibited outstanding cyclability and rate
9 capacity.^[8] Unfortunately, till now, most of the reported Ge-C composites are typically
10 designed by randomly combined the nano-sized Ge with carbon materials and constructed the
11 primary hybrid structure, which cannot adequately tackle all the above challenges. The long-
12 term cycling stability for Ge-based anodes is still far from the practical application.^[9] Moreover,
13 the high cost derived from the relatively high ratio of Ge (generally over 60%) in those
14 previously developed Ge-C nanocomposite electrodes makes them unattractive for large-scale
15 utilization.

16 To completely utilize the promising high-capacity of Ge, rational design and controllable
17 synthesis are highly desirable to develop novel Ge electrodes with a superior structure that can
18 afford high energy density, improved power density, ultra-long lifetime, and low cost. Some
19 fascinating structural designs, such as yolk-shell,^[10] core-shell,^[11] and pomegranate hierarchical
20 assemblies ^[6a] have shown good effects in improving the cycle life of Si anodes towards
21 inhibiting the severe pulverization and rapid deterioration of electrodes.^[2] Such structures are
22 beneficial to achieve good structural stability, generate a robust SEI layer, and minimize side
23 reactions, consequently contributing to superior cyclability. Very recently, a novel 3D yolk-
24 shell nanoarchitecture constructed by encapsulating Ge quantum dots with nitrogen-doped
25 graphene foam and nitrogen-doped graphene outer shell shown excellent rate and cycling
26 stability for Li storage.^[12] However, the inferior volumetric density of nanomaterials as

1 mentioned above will restrict their real application. Also, the elaborate multi-step synthesis
2 procedure for the Ge-C materials is complicated and energy-consuming, and thus not
3 favourable for practical applications.

4 Herein, we developed a facile and scalable structural engineering strategy to fabricate a
5 hierarchical micro-nanostructured Ge-C framework (GCF) by controlling the nucleation in a
6 confined vacuum environment. In this unique design, single Ge nanoparticles (GeNPs) are
7 conformably and individually encapsulated by an amorphous carbon layer and assembled into
8 the secondary microstructure from the primary Ge-C hybrid nanostructures, while a continuous
9 outer carbon layer then surrounds the assembly. This ingenious design endows the constructed
10 Ge/C anode with some superior advantages: 1) high tap density ensured by the well-defined
11 micro-nanostructure; 2) excellent structural stability and mechanical integrity benefited from
12 the conformable nano-confinement effect; 3) fast charge transfer dynamics derived from the
13 continuous 3D conductive network; 4) high coulombic efficiency due to the stabilized SEI films
14 and reduced electrode–electrolyte contact area; and 5) a relatively low amount of Ge, reducing
15 the total cost of the composite electrode to meet the practical requirements. As expected, the
16 as-prepared hierarchical micro-nanostructured Ge-C framework obtained at 500°C (GCF-500)
17 exhibited superior Li-ion storage performance, including ultra-high utilization of Ge (2078.8
18 mA h g⁻¹ at 100 mA g⁻¹), excellent high-rate capability (1110.7 mA h g⁻¹ at 20 A g⁻¹), and
19 ultra-long cycling stability (> 3000 cycles, with 99.62% capacity retention). Moreover, the
20 assembled full cells demonstrated good performance as well, suggesting the great promise of
21 the constructed GCF-500 anode for next-generation high-energy LIBs. The developed synthesis
22 strategy and proposed evolution mechanism can be extended to the design and fabrication of
23 other high-performance materials for energy storage or conversion systems.

24 **2. Discussions and Results**

25 **2.1. Materials synthesis and characterisation of the Ge-carbon frameworks**

1 The GCF sample with hierarchical micro-nanostructures was prepared from a commercially
2 available tetraphenylgermanium (TPG) precursor by controlling its nucleation in a closed
3 vacuum space. Noticeably, the vacuum environment is a crucial factor for the formation of the
4 GCF samples. To fully understand the growth mechanism and evolution process, the TPG
5 precursor was separately annealed under a sealed vacuum environment from 400 to 1050°C,
6 respectively. The detailed discussion about the evolutionary mechanism can be seen in the
7 supporting information. (Section S1 and Figure S1 to S3). The proposed three-step evolution
8 process (including the “Interlinking and sublimation”, “Decomposition and nucleation”, and
9 “Growth and aggregation”) for the formation of GCF samples by pyrolysis of metallic phenyl
10 compounds under space-confined vacuum environment is schematically illustrated in Figure
11 1A. More specifically, when TPG precursors were heated to both 400°C and 450°C, the solid
12 powder would undergo a direct sublimation, instead of the melting progress, generating the
13 spherical grains at 400°C. Furthermore, the derived vapour precursor can be decomposed to
14 metallic Ge particles and amorphous carbon with hierarchical micro-nanostructure at 500 °C.
15 The hierarchical structure could be maintained when the pyrolysis temperature was lower than
16 700°C. Finally, the micro-sized core-shell structure can be generated when treated at a higher
17 temperature (800°C). Interestingly, due to the much higher pyrolysis temperature of 1050°C,
18 the greatly graphitized carbon layer also grew on the outer surface of the core-shell structure.
19 To highlight the advantage of the developed novel design concept for Ge/C anodes in this work,
20 we systematically studied three representative Ge-C frameworks, namely the hierarchical
21 micro-nanostructured Ge/C framework (GCF-500), the nano-Ge/C framework with core-shell
22 structure (obtained at 800°C, GCF-800), and the micro-Ge/C framework with core-shell
23 structure (obtained at 1050°C, GCF-1050).

24 The as-prepared GCF-500 sample presents a uniform spherical structure with an average
25 diameter of about 2-3 μm , as clearly observed in the SEM images (Figure 1B, Figure S1F, and
26 Figure S1G). More interestingly, excellent primary Ge nanoparticles (around 45 nm) are

1 conformably encapsulated and individually isolated by the interlinked carbon shell due to the
2 in-situ decomposition and carbonization of the TPG molecules under space-confined vacuum
3 condition (Figure 1E). The continuous carbon frameworks are predominantly generated from
4 the gas species in the step that decomposed to oligomers, acetylene, radicals, and hydrogen at
5 around 500°C, very similar to the derivatives from benzene-based materials after thermal
6 treatment.^[13] In addition, Figure 1H shows these primary GeNPs with the obvious (111) and
7 (220) crystal planes. The corresponding interlayer distances were further determined to be
8 around 0.327 nm and 0.200 nm, respectively (Figure 1I). The selected area electron diffraction
9 (SAED) pattern (Figure 1J) also displays a set of diffraction rings from *cubic* Ge, corresponding
10 to the diffractions of the (111), (220), (311), and (400) planes, respectively. The high-angle
11 annular dark-field scanning TEM (HAADF-STEM) micrograph and energy dispersive X-ray
12 spectroscopy (EDX) elemental mapping images both illustrate the presence of Ge, C, and O
13 elements with homogeneous distributions in the GCF-500 material (Figure 1K), where the
14 oxygen may come from the partial oxidization of surface Ge or absorbed air.^[14]

15 As for other GCF samples that obtained at a further elevated temperature (above 500°C), their
16 spherical structures are well preserved (Figure 1C and Figure 1D). However, a large amount of
17 GeNPs tended to move inward in the carbon shell with randomly stacked and embedded
18 morphology in GCF-800 (Figure S4). The uneven distributions of Ge particle sizes ranging
19 from 30 to 500 nm indicate the accelerated nucleation and growth kinetics of GeNPs under
20 higher reaction temperature, resulting in the severe aggregation of the GeNPs and the formation
21 of core-shell structure (Figure 1F and Figure S4C). Similarly, EDX mapping of a typical
22 spherical structure reveals the irregular distributions of Ge, C, and O elements (Figure S4E), in
23 which the centre of the framework shows a higher concentration of Ge compared to the edge
24 section. Importantly, when the processing temperature was increased to 1050 °C, the
25 spontaneous aggregation process of GeNPs could be significantly boosted, leaving a micro-sized
26 Ge particle inside of the carbon matrix, as clearly shown in Figure 1G and Figure S5.

1 Furthermore, the appearance of multilayers with tens of nanometers length on the outer surface
2 of the carbon shell can be easily observed from the SEM images in GCF-1050 (Figure S5B)
3 and HRTEM images (Figure S5C and D). The HAADF-STEM images in Figure S5E show the
4 corresponding elemental distributions of Ge, C, and O elements within those microsized spheres.

5 It is clearly shown that the described strategy is a facile and controllable method to fabricate
6 various micro-sized Ge-C frameworks with fascinating structures, including the core-shell,
7 micro-nano and the hierarchical structure by regulating the nucleation dynamics of the TPG
8 molecules under different heated temperature. During the transformation process from the TPG
9 precursor to the final Ge-C product, the space-confined system and the reaction temperature
10 will significantly affect the nucleation pathways of TPG molecules on the nanoscale. From our
11 understanding of the evolution mechanism of TPG, a continuous three-step process can be
12 induced into a closed vacuumed system, unlike the direct sublimation without any
13 decomposition process in the open inert system. Considering that, in a sealed vacuumed system,
14 the critical step was demonstrated to be the nucleation of new species in the reaction resulting
15 from the decomposition of TPG. The designed GCF-500 with the unique hierarchical
16 arrangement and ultra-homogeneous distributions of GeNPs can be obtained under this kind of
17 moderate reaction kinetics at the critical point (500°C) in which precursor will be decomposed
18 and new species will be formed, contributing the isotropic growth of GeNPs in all directions.

19 The structures and the chemical states of GCF were further characterized. Figure 2A shows
20 typical XRD patterns of GCF-500, GCF-800, and GCF-1050 samples. All the distinct
21 diffraction peaks can be well indexed to *cubic* germanium (JCPDS #04-0545). With increasing
22 reaction temperature from 500 to 1050°C, the decreased values of the full width at half-
23 maximum (FWHM) further indicate the increasing crystal size of GeNPs from GCF-500 to
24 GCF-1050. Although the reflections of carbon is dominantly influenced by intensive (111) facet
25 of Ge, its existence and the defect level can be clearly confirmed by Raman spectroscopy
26 (Figure S2B) and HRTEM images (Figure S6). As shown in Fig 2B, the intensity ratio (2.08)

1 of the defect (D) band to the graphitized (G) band of carbon (I_D/I_G) in GCF-500 is much higher
2 than for GCF-800 (1.83) and GCF-1050 (1.45). The high ratio of disordered carbon with
3 abundant defects formed in the GCF-500 would boost Li ions diffusion kinetics, thus enhancing
4 the high-rate capability during electrochemical cycling in LIBs.^[17] Additionally, the high
5 graphitization of carbon layers with obvious fringes on the outer surfaces of GCF-1050 (Figure
6 S6C) were derived from the catalytic decomposition of H and C atoms chemically adsorbed on
7 the surface of Ge.^[14, 18] Furthermore, XPS analysis of Ge 3d was performed here to investigate
8 the surface electronic state and chemical environment of the Ge species (Figure 2C).
9 Interestingly, the peaks of the Ge-Ge band shifted toward lower binding energy when compared
10 to the commercial Ge samples, signifying the improved conductivity of metallic Ge in the GCF
11 samples, which will be beneficial to promote the dynamics of electrons transfer during
12 electrochemical reactions. This result is quite similar to the phenomenon in carbon nanotube
13 where conductivity is improved by the *p-type* heteroatom doping effect.^[19] The C 1s spectrum
14 of GCF sample (Figure S6D) can be divided into four dominant peaks.^[20] The one at around
15 284.6 eV corresponds to conjugated C=C/C-C bonds, while the peak at 285.9 eV, 287.0 eV,
16 and 289.1 eV are due to the vibration of C-OH, C=O, and π - π^* bonds, respectively. A relatively
17 higher proportion of peaks at around 284.6 eV are observed in the GCF-800 and GCF-1050
18 samples, indicating a much higher degree of graphitization (Figure S6E and F). Moreover, to
19 quantitatively evaluate the Ge content in the GCF materials, Thermogravimetric analysis
20 (TGA) was conducted in the air environment. As illustrated in Figure 2D and Figure S7, the Ge
21 contents in GCF-500, GCF-800, and GCF-1050 were determined to be about 40.1, 21.9, and
22 19.5 wt.%, respectively. This is mainly owing to the thorough decomposition of tiny molecular
23 (oligomers and acetylene) derived from phenyl to carbon species under high temperatures
24 during the thermal procedures.^[13c] When considering the application of high-energy LIBs,
25 particularly for miniaturized electrical devices (such as small drones, microsatellites, or national
26 defence),^[21] the volumetric energy density is a much more important indicator than gravimetric

1 energy density, and consequently, electrode materials with high tap density are highly needed.
2 Here, the measured tap density of the GCF-500 is 0.97 g cm^{-3} , which is larger than that of the
3 GCF-800 (0.76 g cm^{-3}) and GCF-1050 (0.71 g cm^{-3}), respectively (Figure 2E). Impressively,
4 the achieved tap density of the GCF sample with hierarchical micronano-sized framework is
5 visibly higher than those reported bulk Ge-C structures, ^[6b] hard carbon, ^[22] and oxidized
6 pristine graphite materials.^[23] Particularly, the hierarchically assembled and tightly packed
7 GeNPs in the GCF-500 greatly enhanced the tap density of the sample, thus offering desired
8 high volumetric energy density for LIBs. According to the results of structure characterization,
9 it is reasonable to believe that, the designed hierarchical micro-nanostructured GCF-500 could
10 be presented as a highly promising anode material toward high-energy LIBs, because of its
11 following merits : i) the space-confined arrangement of Ge particles in the carbon shell can
12 improve the utilization of those particles; ii) the interlinked carbon frameworks are able to serve
13 as a buffer to effectively tolerate the huge volume expansion; iii) the improved conductivity of
14 Ge and amorphous character of carbon could promote charge transport kinetics; and (iv)
15 enhanced tap density will help to improve the volumetric density of the electrode.

16 **2.2 Electrochemical performance of the as-designed GCF as anodes for LIBs**

17 The electrochemical performance of the prepared GCF-500 was first investigated by cyclic
18 voltammetry (CV) curves in a half-cell within the voltage window of 0.01–1.5 V (Figure 3A).
19 In the initial cathodic scan, two peaks located at 1.31 and 0.7 V can be ascribed to the
20 irreversible reactions of lithium and the formation of the SEI layers.^[6b] In the second cathodic
21 scan, there are several peaks detected in the range between 0.5 and 0.05 V, corresponding to
22 the formation of different Li_xGe (from Li_9Ge_4 to $\text{Li}_{22}\text{Ge}_5$) alloys during the lithiation process,^{[5b,}
23 ^{24]} while the prominent oxidation peaks at around 0.59 and 0.75 V can be assigned to the
24 multistep de-alloying reactions of Li_xGe alloy. The GCF-800 and GCF-1050 samples both
25 present CV behaviour with similar shapes to those in the GCF-500, but with slightly different
26 voltage values and inferior reversibility during the scans (Figure S8). Furthermore, Figure 3B

1 shows the initial galvanostatic charge-discharge voltage profiles of the GCF-500 electrode at a
2 current density of 100 mA g^{-1} . In the first cycle, the GCF-500 exhibits discharge and charge
3 capacities of 1263.6 and $895.9 \text{ mA h g}^{-1}$, respectively, which corresponds to an initial
4 Coulombic efficiency (ICE) of 70.9% . In contrast, the GCF-800 and GCF-1050 electrodes
5 show a lower first-cycle reversible capacity of 696.6 and $521.6 \text{ mA h g}^{-1}$, corresponding to an
6 ICE of 66.7% and 59.6% , respectively (Figure S9). This could be attributed to the high atomic
7 Ge utilization ratio (Table S1), maintaining the integral stability of its whole structure caused
8 by intimate attachment of GeNPs to outer carbon shell, as well as stable SEI layers formed on
9 the outer surface of GCF-500. Moreover, after 50 continuous cycles at 100 mA g^{-1} , the capacity
10 of GCF-500 electrode was still stabilized at around $833.6 \text{ mA h g}^{-1}$ (Figure 3C, corresponding
11 to $2078.8 \text{ mA h g}^{-1}$ based on Ge), demonstrating the superior structural stability of GeNPs
12 conformably and individually confined by the amorphous carbon layer in the GCF-500 sample.

13 The rate capabilities under various current densities were systematically studied for the GCF
14 materials. As shown in Figure 3D, the GCF-500 maintained impressed reversible capacities of
15 $880.4, 815.6, 771.5, 740.4, 702.8, 668.0, 632.0,$ and $566.9 \text{ mA h g}^{-1}$, at the current densities of
16 $0.1, 0.2, 0.3, 0.5, 1, 2, 5,$ and 10 A g^{-1} , respectively. The capacity remained as high as 445.4
17 mA h g^{-1} (based on Ge: $1110.7 \text{ mA h g}^{-1}$) even when the current density increased to 20 A g^{-1}
18 (about 3 min for complete charging and discharging process). While GCF-800 maintained only
19 $102.4 \text{ mA h g}^{-1}$ (based on Ge: $467.6 \text{ mA h g}^{-1}$) and GCF-1050 maintained about 212.1 mA h
20 g^{-1} (based on Ge: $1087.7 \text{ mA h g}^{-1}$). When the current density was reduced back to 0.1 A g^{-1} ,
21 the reversible capacity of GCF-500 was restored to as high as $770.4 \text{ mA h g}^{-1}$, which is
22 significantly higher than for its GCF-800, GCF1050, commercial Ge, and commercial GeO_2
23 counterparts (Figure S10 and Figure S11).

24 To further evaluate the long-term cycling performance, the electrodes were then
25 charged/discharged at 1000 mA g^{-1} with prolonged cycling. As depicted in Figure 3E, the GCF-
26 500 delivers a much more stable ultralong cycling performance. Even after 3000 cycles, over

1 618.3 mA h g⁻¹ could be retained for the GCF-500 (corresponding to 1541.1 mA h g⁻¹ based
2 on Ge), demonstrating its excellent long-term cycling stability. More importantly, considering
3 the 1600 mA h g⁻¹ theoretical capacity of Ge, the electrochemical utilization of Ge atoms of
4 GCF-500 is as high as 96.3% at a rate of 1000 mA g⁻¹ for over 3000 cycles, which is the highest
5 capacity and best Ge utilization ratio reported till now. From the 10th to the 3000th cycle, the
6 coulombic efficiencies stabilized at above 99% with the lowest capacity fading-rate at 0.004%
7 when compared to its counterparts: GCF-800, GCF-1050, commercial Ge, and commercial
8 GeO₂. The specific comparisons and calculated values on voltage profiles and retentions of
9 commercial graphite, Ge, and GeO₂ under identical current density are also summarized in
10 [Figure S12 to Figure S14](#). To the best of our knowledge, this is the first report of Ge-C anode
11 material with such low capacity decay rate over 3000 cycles (detailed comparisons are
12 presented in [Figure S15, and Table S2 and S3](#)), signifying the superb structural stability and
13 mechanical integrity of the fabricated GCF-500 electrode during thousands of cycles.

14 The outstanding Li ions storage properties, such as the high reversible capacity, superior
15 high-rate capability, and excellent long-term cyclability should be ascribed to the unique
16 hierarchical micro-nanostructure of the designed GCF-500 material. Firstly, the
17 homogeneously distributed and intimately contacted uniform fine of primary GeNPs with
18 conductive carbon layer and constructed the secondary Ge-C hybrid nanoparticles in the
19 hierarchical micro-sized Ge-C framework can maximize the utilization of Ge atoms during Li
20 ions storage. Secondly, the continuous 3D carbon networks not only effectively tolerate the
21 volume expansion of GeNPs and retain the structural integrity of the electrode during the
22 repeated cycling, but also enhance the charge transfer processes. Thirdly, the formed robust SEI
23 layer on the outer surface of micro-sized amorphous carbon networks can reduce the electrode–
24 electrolyte contact area and consequently leading to high Coulombic efficiency. The SEM
25 images of electrode structures after cycling test further confirmed the superior structural
26 stability of the GCF-500 sample. After 200 deep charge/discharge cycles, the morphology of

1 the GCF with distinctive SEI formation was examined as shown in [Figure 3 F to H](#).
2 Impressively, the hierarchical micro-nanostructure in the GCF-500 electrode were well
3 reserved with uniform and thin SEI layer coating on the outer surface of carbon networks,
4 demonstrating the formation of robust SEI upon long cycling. In contrast, the GCF-800 and
5 GCF-1050 electrodes suffered from severe carbon shell crack and pulverization ([Figure 3 G](#)
6 [and H](#)). More severe problems of mechanical cracks can be observed in the electrodes of bare
7 Ge nanoparticles and GeO₂ nanoparticles as well, due to the huge volume expansion of
8 unprotected Ge ([Figure S16](#)). The cracking and pulverization of electrode frequently lead to
9 rapid capacity decay and inferior cycle life of LIBs.^[25] Hence, the robust SEI formed in the
10 GCF-500 can contribute to high Coulombic efficiency and superior cyclability during long-
11 term cycling.

12 To deeply understand the correlation between its structural advantages and the excellent
13 electrochemical properties of GCF-500 electrode for LIBs, galvanostatic intermittent titration
14 technique (GITT) measurements and electrochemical impedance spectroscopy (EIS) have been
15 conducted, as shown in [Figure 4](#). During GITT measurements, a constant current density was
16 adopted for 0.5 h to obtain the closed-circuit voltage (CCV), and a 5 h pulse was applied to
17 collect the quasi-open-circuit voltage (QOCV). The whole process can be regarded as a quasi-
18 static process, and the reaction resistance can thus be obtained as well ([Figure S17](#)). [Figure 4A](#)
19 presents the voltage responses of the GCF-500, GCF-800, and GCF-1050 electrodes in the third
20 cycle. The ionic diffusion coefficient in GCF electrodes can be further determined by solving
21 Fick's second law ^[26] with the specific equations presented in [Materials and Methods](#). As
22 indicated in [Figure 4B](#) and [Figure 4D](#), the diffusion coefficients of hierarchical micro-
23 nanostructured GCF-500 is nearly one order of magnitude higher than those of GCF-800 and
24 GCF-1050 in both Li insertion and extraction processes during cycling. The larger diffusion
25 coefficients in the GCF-500 electrode can be beneficial for enhancing the high-rate
26 performance.^[27] As presented in [Figure 4C](#) and [4E](#), the GCF-500 shows the lowest resistance

1 values compared to the other two GCF materials, indicating the boosted charge transfer
2 dynamics and thus improving the high-rate performance of the electrode during
3 lithiation/delithiation process. Similarly, the EIS diagram in [Figure 4F](#) shows that the GCF-500
4 has the lowest resistance among these three GCF electrodes ([Figure S18](#)), further confirming
5 the increased electrode kinetics for Li ions storage. Taking into consideration the excellent
6 electrochemical performance of GCF-500 anode ([Figure 4G](#)), in terms of its excellent high-rate
7 capability, long-term durability, and ultra-high utilization ratio with relative low Ge content, it
8 could be a promising anode material, which meets the practical requirements for most
9 applications, such as military communications and deep-space exploration. ^[1] An additional
10 advantage of our GCF is the synthesis does not involve any sophisticated equipment or
11 processes such as chemical vapour deposition, showing a feasible and scalable way to design
12 stabilized anodes for high-energy LIBs.

13 We have already demonstrated the elaborate synthesis of hierarchical micro-nanostructured
14 GCF-500 and its structural benefits, kinetics advantages, and physical merits as a promising
15 anode evaluated in a half-cell system. In addition, the practical application of GCF-500 as an
16 anode in LIB was further evaluated by a full coin-cell constructed with a LiFePO₄ (LFP)
17 cathode, as shown in [Figure 5](#). A pre-lithiation procedure for GCF-500 was conducted to
18 compensate the loss of lithium during the initial cycle with identical test conditions to those for
19 the half-cell. The LFP was chosen as cathode owing to its highly stable capacity output and
20 promising voltage platform ([Figure S19](#)). The charge-discharge voltage profiles for the 1st, 2nd,
21 and 3rd cycles are shown in [Figure 5A](#). The primary discharge voltage platform for the full
22 battery is around 2.8 V, which is very consistent with the potential gap between the discharge
23 plateau of LFP (about 3.3 V) and that of GCF-500 (about 0.5V). After a continuous 50 cycles
24 at 100 mA g⁻¹, the capacity of the full cell can also be stabilized at 634.6 mA h g⁻¹ ([Figure S20](#)).
25 Even after 500 cycles under the high current density value of 2000 mA g⁻¹, the full cell still
26 delivers a capacity of 341.2 (based on Ge: 850.9 mA h g⁻¹) without apparent capacity fading in

1 the range of 1.5-3.7 V (Figure 5B). The 0.02 % decay per cycle further illustrates the excellent
2 cycling stability of the coin-cell configuration based on the promising GCF-500 anode and LFP
3 cathode. Compared the GCF-500 electrode with previously reported state-of-the-art Ge/C
4 electrodes (Figure 5D), our hierarchical micro-nanostructured GCFs electrode could deliver the
5 highest reversible capacity with ultralong cycling stability among all the anode materials.

1 3. Conclusion

2 Our designed strategy for constructing the germanium-carbon frameworks with attractive
3 micro-nanostructures presented in this work is controllable, scalable and easily reproducible.
4 The straightforward thermal treatment protocol of metal-organic compounds could be extended
5 for the fabrication of an extensive series of other metal-carbon framework with tunable micro-
6 nanostructures and high performance. Based on our obtained results, the sealed system design
7 plays a crucial role in wisely governing the nucleation pathways on the nanoscale. The metal
8 particle size, carbon graphitization, metallic conductivity, as well as morphology of GCFs can
9 be regulated by flexibly controlling the reaction temperature to meet our different requirements
10 on energy storage and conversion applications. From our understanding of the mechanism for
11 the thermal behaviour, a continuous three-stage mode can be induced into this closed vacuumed
12 system, which the critical step was demonstrated to be the nucleation of new species in the
13 reaction resulting from the decomposition of TPG.

14 In our case, the smart design of the hierarchical micro-nanostructured Ge-carbon framework
15 could allow it to effectively inherit the advantages of both micro-sized and nano-sized structures
16 thus fully tackling most of the issues involved in Ge-anodes. Specifically, the unique design
17 possesses multiple structural advantages: 1. the primary Ge nanoparticles can maximize the
18 utilization of Ge atoms and relieve the induced internal strain during cycling; 2. the closely
19 packed secondary Ge-C hybrid nanoparticles could improve the tap density and prevent the
20 aggregation of Ge nanoparticles; 3. the conformable and isolated encapsulation of carbon layer
21 will accommodate the huge volume change of Ge and offer high electrical conductivity; 4.
22 the micro-sized interconnected carbon shell completely covered the entire Ge-C hybrid
23 nanoparticles could stabilize the SEI film and improve the coulombic efficiency of the electrode.

24 In summary, a multi-functional hierarchical micro-nanostructured germanium-carbon
25 framework (GCF-500) has been successfully synthesized by a facile and controllable
26 nucleation-regulating strategy. Benefiting from its unique structural features, the constructed

1 GCF-500 electrode exhibited remarkable Li-ion storage properties, such as fast rate capability,
2 high reversible capacity, and ultra-long cyclability. Notably, the achieved ultra-long cycling
3 stability significantly surpass that of other Ge-C anodes. More impressively, the configuration
4 of the full cell with GCF-500 anode and LFP cathode also exhibited superior electrochemical
5 performance under higher current density. From a practical application point of view, the
6 acceptable amount usage of Ge metal with ultra-high utilization ratio (96.3%), superior
7 cyclability of Ge (3000 cycles), and stabilized capacity output for successful operation are
8 primary requirements to allow high energy/power densities of Li-ion batteries. In this regard, it
9 is believed that the hierarchical micro-nano structures reported here might re-establish Ge as
10 promising anode materials for high-energy lithium-ion batteries.

1 **4. Experimental Section**

2 *4.1. Synthesis of germanium-carbon frameworks:*

3 An appropriate amount of commercially available metal-organic complex-
4 tetraphenylgermanium (TPG) powder (96%, Alfa-Aesar) was first encapsulated in an evacuated
5 quartz tube with the parameters of $\phi 1.5 \times 15$ cm under ambient temperature. In the subsequent
6 process, a furnace was heated to 500 °C at a ramping rate of 2 °C min⁻¹ and kept at each target
7 temperature for 4 hours to guarantee the complete reaction of TPG molecules in the tube. The
8 uniform black germanium-carbon frameworks were deposited on the inner surface wall of the
9 quartz tube. The frameworks could be readily peeled off after being soaked in ethanol under
10 ultrasound. Under these conditions, the nanosized GCF-800 and microsized GCF-1050 samples
11 were obtained at different pyrolysis temperatures of 800 °C and 1050 °C after holding at 500 °C
12 for a duration of 4 hours, respectively.

13 *4.2. Materials characterization:*

14 The morphologies of the as-prepared products and after-cycling were observed by field
15 emission scanning electron microscopy (FESEM, JEOL JSM-7500FA) operated at 15 kV and
16 20 mA. The details of the crystal structure were further examined by scanning transmission
17 electron microscope (STEM, JEOL JEM-ARM200F), which was conducted at 200 kV, along
18 with EDX mapping. The crystalline structure of the obtained materials was checked by X-ray
19 diffraction (XRD, GBC MMA diffractometer) with Cu K α radiation at a scanning rate of 1°
20 min⁻¹. X-ray photoelectron spectroscopy (XPS) was investigated on a VG Multilab 2000 (VG
21 Inc.) photoelectron spectrometer using monochromatic Al K α radiation under vacuum at $2 \times$
22 10^{-6} Pa. All of the binding energies were referenced to the C 1s peak at 284.8 eV of the surface
23 adventitious carbon. Raman analysis was performed with a JobinYvon HR800 Raman
24 spectrometer. Thermogravimetric (TG) analysis was conducted on a Mettler Toledo
25 TGA/DSC1 analyzer from 50 to 1000 °C in the air (20 sccm) with a ramp rate of 5 °C/min.

1 Assuming complete combustion of the carbon framework and conversion from Ge to GeO₂, the
2 content of Ge in the GCF materials could be determined based on the equation in [Figure S7](#).

3 *4.3. Electrochemical Tests:*

4 The electrochemical tests were conducted using CR 2032 coin-type cells. The working
5 electrodes were prepared by mixing the obtained materials, Super P, and poly (acrylic acid)
6 (PAA) in a weight ratio of 80:10:10. The resultant slurry was pasted on Cu foil and dried at
7 80 °C for 12 h in a vacuum, followed by pressing at 300 kg/cm². The weight of the materials
8 on individual electrodes was about 0.9–1.1 mg cm⁻². Electrochemical measurements were
9 carried out using two electrode coin cells with Li metal as a counter and a reference electrode
10 and Celgard (product 2400) film as the separator. The electrolyte consisted of a solution of 1 M
11 LiPF₆ in ethylene carbonate/dimethyl carbonate/ethyl methyl carbonate (1:1:1; v/v/v) with 5
12 wt. % fluoroethylene carbonate. Electrochemical impedance spectroscopy and cyclic
13 voltammetry were conducted on a VMP-3 electrochemical workstation at a scan rate of 0.1 mV
14 s⁻¹. GITT tests were also conducted on an Arbin SCTS battery tester at ambient temperature in
15 the voltage range of 0.01–1.5 V. The cells were galvanostatically charged/discharged in the
16 voltage range of 0.01–1.5 V versus Li/Li⁺ at different current densities on a Land CT2001A
17 battery tester, and the specific capacities were calculated based on the mass of the GCF if not
18 mentioned, while for full cell LiFPO₄//GCF500 batteries, the voltage ranged from 1.5 to 3.7 V.
19 In addition, to ensure the material utilization and reasonably evaluate the electrochemical
20 performance of GCF-500, full-cells were assembled based on the capacity ratio of about 1:1.2
21 between the GCF-500 anode and LFP cathode, and the cell capacity was calculated based on
22 the weight of the anode material only in this work. The weight of the materials for the full-cell
23 test on individual electrodes was about 0.6-0.8 mg cm⁻². The Nyquist plots were obtained from
24 over the frequency range of 100 kHz to 1 Hz.

1 Based on the GITT tests, the ionic diffusion coefficient (D_{Li^+}) in the GCF electrodes can be
 2 determined by solving Fick's second law with the following Equation (1) based on the GITT
 3 curves, where m_a is the electrode active mass; M_a is the molar mass of the electrode material;
 4 V_M is the molar volume of electrode; S is the geometric area of the electrode; M_a/V_M is obtained
 5 from the density of the GCF electrode; and L is the average thickness of the electrode. A detailed
 6 definition of the parameters in the equation, including E_0 , E_S , τ , t_0 , $t_{0+\tau}$, E_τ , ΔE_S , and ΔE_τ in the
 7 GITT potential profiles is shown in Figure S16a.

$$8 \quad D = \frac{4}{\pi\tau} \left(\frac{m_a V_M}{M_a S} \right)^2 \left(\frac{\Delta E_S}{\tau \times \frac{dE_\tau}{d\sqrt{\tau}}} \right)^2 \quad (\tau \ll L^2/D) \quad (1)$$

9 If the coin cell voltage is linearly proportional to $\tau^{1/2}$ (Figure S16b), Equation (1) can be
 10 further simplified to the following Equation (2):

$$11 \quad D = \frac{4}{\pi\tau} \left(\frac{m_B V_M}{M_B S} \right)^2 \left(\frac{\Delta E_S}{\Delta E_\tau} \right)^2 \quad (2)$$

13 Supporting Information

14 Supporting Information is available from the Wiley Online Library or from the author.

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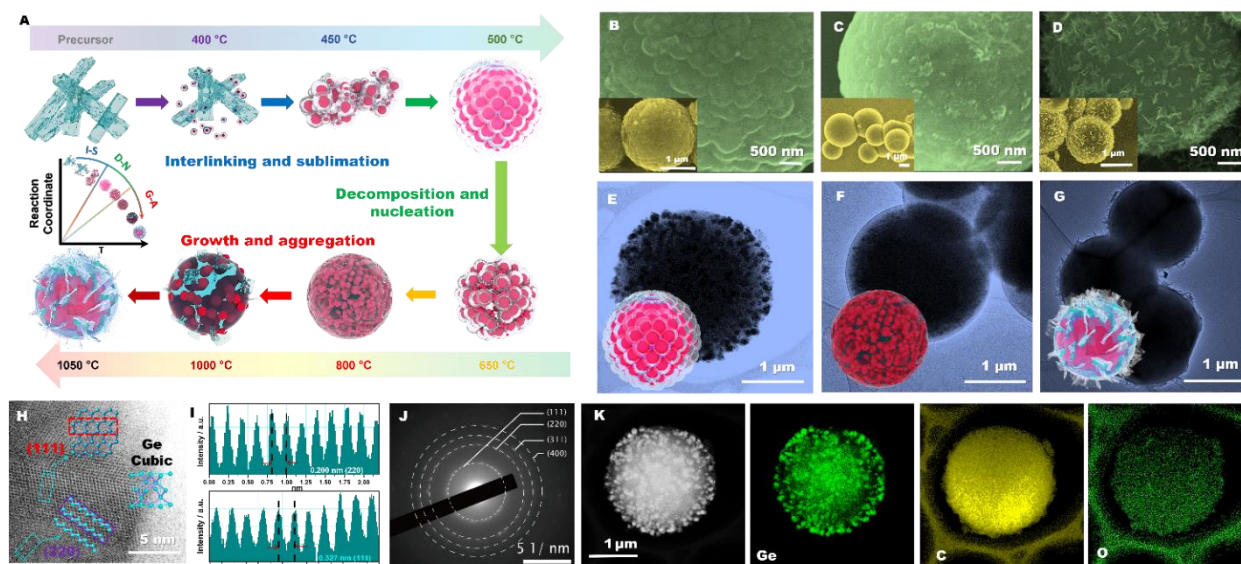
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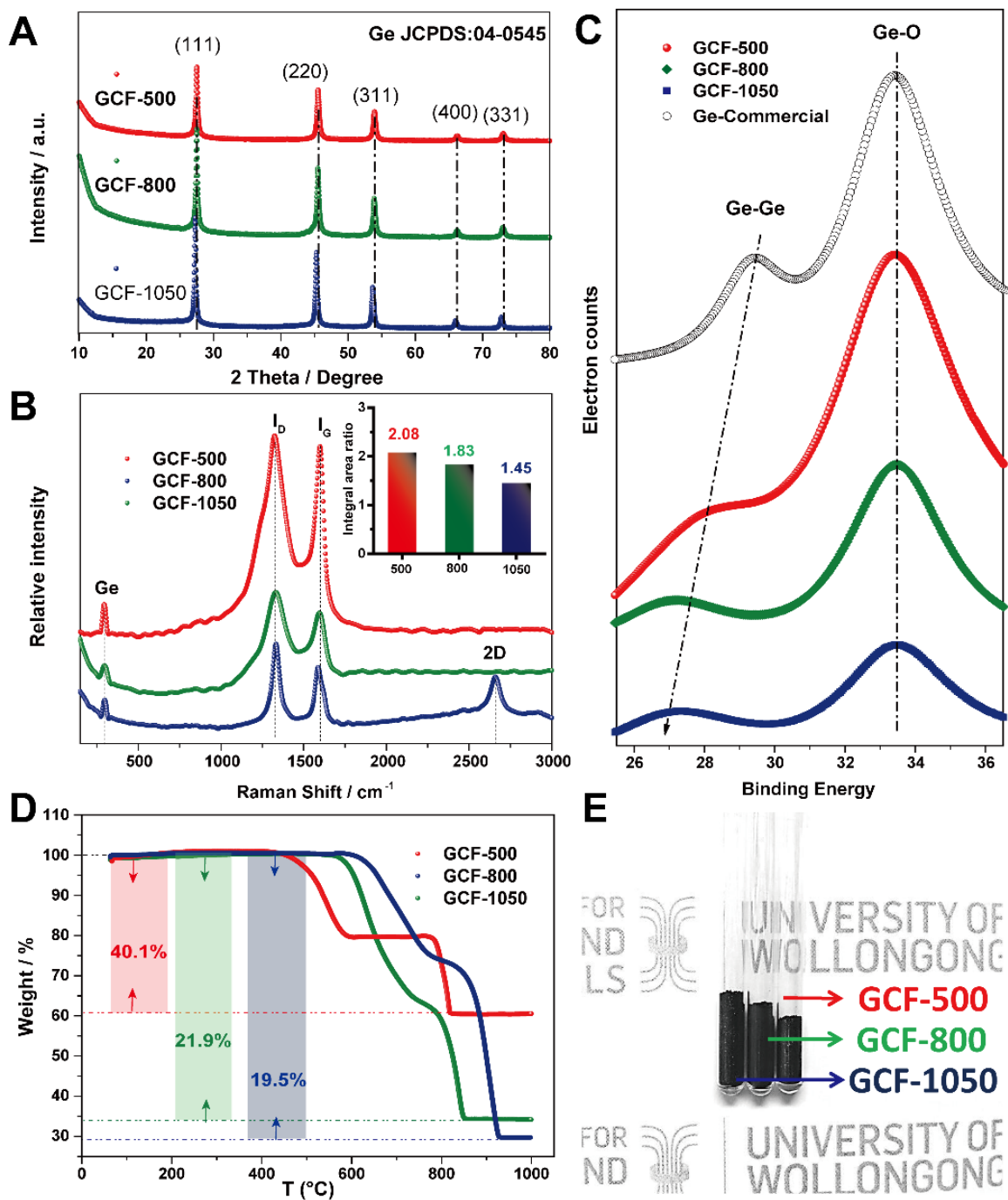
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1
 2 **Figure 1 Schematic illustration, morphology, and structural characterization of Ge-**
 3 **carbon F materials.** (A) Proposed growth mechanism of GCF samples through a facile
 4 confinement effect in evacuated tubes. In our sealed vacuum system, the distributions of GeNPs
 5 and carbon structures can be successfully controlled to form typical Ge-carbon frameworks
 6 (where the red dots indicate GeNPs from 500 to 1050 °C and the outer layer corresponds to the
 7 carbon shell). **SEM images** of (insets: lower magnifications): (B) hierarchical micro-
 8 nanostructured GCF-500, (D) nano-Ge/C framework (GCF-800), and (F) micro-Ge/C
 9 framework (GCF-1050). **TEM images** of: (C) GCF-500, (E) GCF-800, and (G) GCF-1050.
 10 (H) HRTEM image of an individual Ge nanoparticle from GCF-500 (where the arrows indicate
 11 the facet parameters of (111) and (220); and the right bottom image shows the individual unit
 12 cell of *cubic* Ge crystal). (I) Intensity profiles of Ge crystal faces, the value of 0.327 nm relates
 13 to the (111) facet, and the value of 0.200 nm refers to the (220) facet of Ge, respectively. (J)
 14 The corresponding SAED pattern of a Ge particle in GCF-500. (K) HAADF-STEM image of
 15 individual GCF-500 sphere and its corresponding elemental mapping images of Ge, C, and O
 16 elements, respectively, which indicates the homogeneous distribution of Ge particles and a fully
 17 covered carbon shell.



1
 2 **Figure 2 Characterization of the series of GCF materials.** (A) XRD curves; a.u.: arbitrary
 3 units. (B) **Raman spectra.** The peaks at 299 cm^{-1} correspond to the GeNPs. The sharp peaks at
 4 1332 cm^{-1} and 1590 cm^{-1} can be assigned to the D and G bands of carbon, respectively, ^[15]
 5 while the peak located at $\sim 2650\text{ cm}^{-1}$ in GCF-1050 is assigned to the two-dimensional (2D)
 6 band caused by second-order zone boundary phonons. **Inset:** the integral area ratios of the D
 7 band to the G band. (C) **XPS spectra of the Ge 3d peaks.** The peak located at 33.5 eV refers

1 to the Ge-O bonding energy in partial oxidation of Ge surface, while the gradually decreasing
2 binding energy of Ge-Ge bonds indicates a difference in the surface electronic state.^[16] **(D)**
3 **TGA data.** Assuming complete combustion of the carbon layer and conversion from Ge to
4 GeO₂. GCF-500 shows a weight loss from about 400 °C that continues up to 600 °C, indicating
5 the decomposition of amorphous carbon. The following visible weight loss platform observed
6 from 620 °C to 800 °C is mainly attributed to the decay rate balance between Ge oxidation and
7 carbon combustion. After that, the third stage weight loss that starts above 800 °C and finishes
8 at ~ 850 °C can be ascribed to the reaction of residual graphitic carbon. The slightly higher
9 decomposition temperature in TGA curves for GCF-800 (around 550°C) and GCF-1050
10 (around 620°C) is possibly due to the higher content of graphitic carbon, which is more
11 temperature-resistant than amorphous carbon. **(E) Tap densities.** Each vial contains 0.1 g of
12 tightly packed powders.

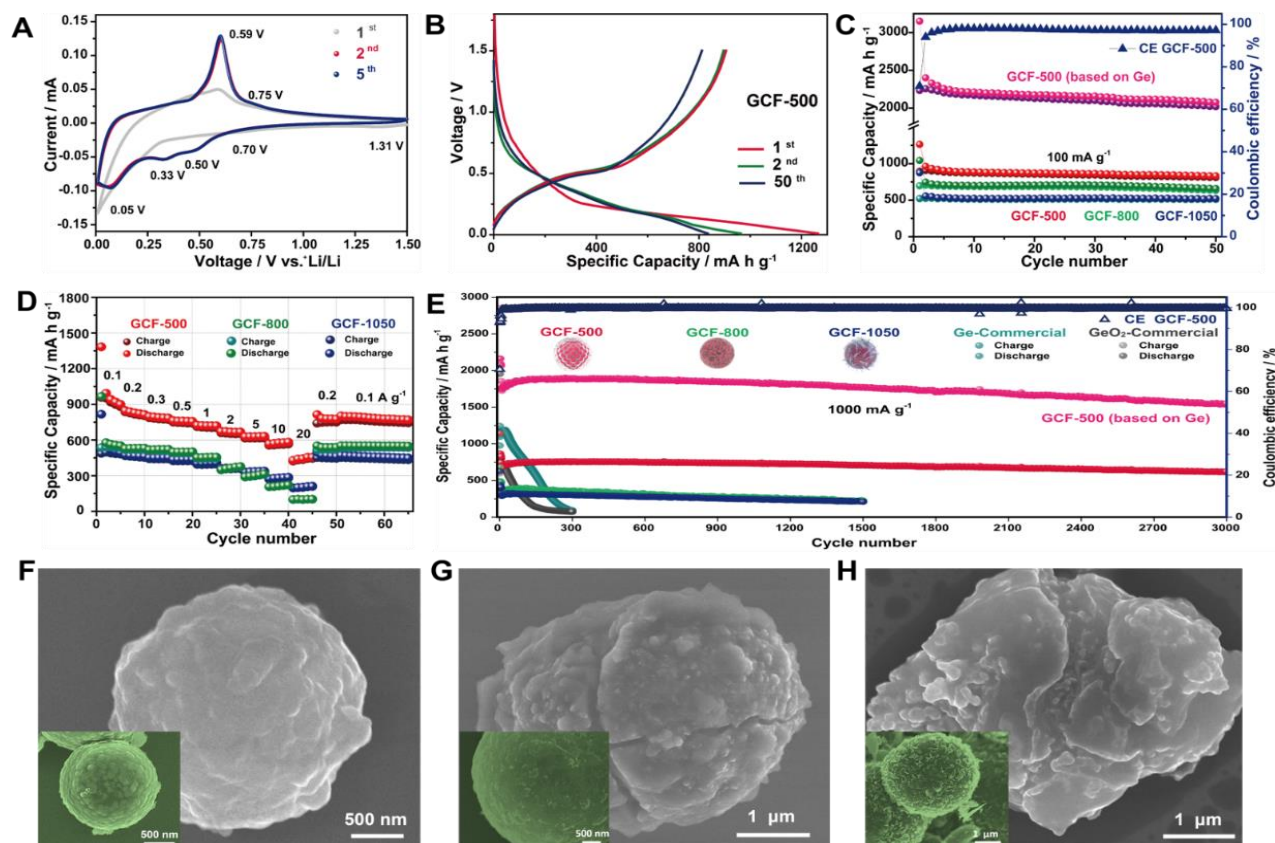
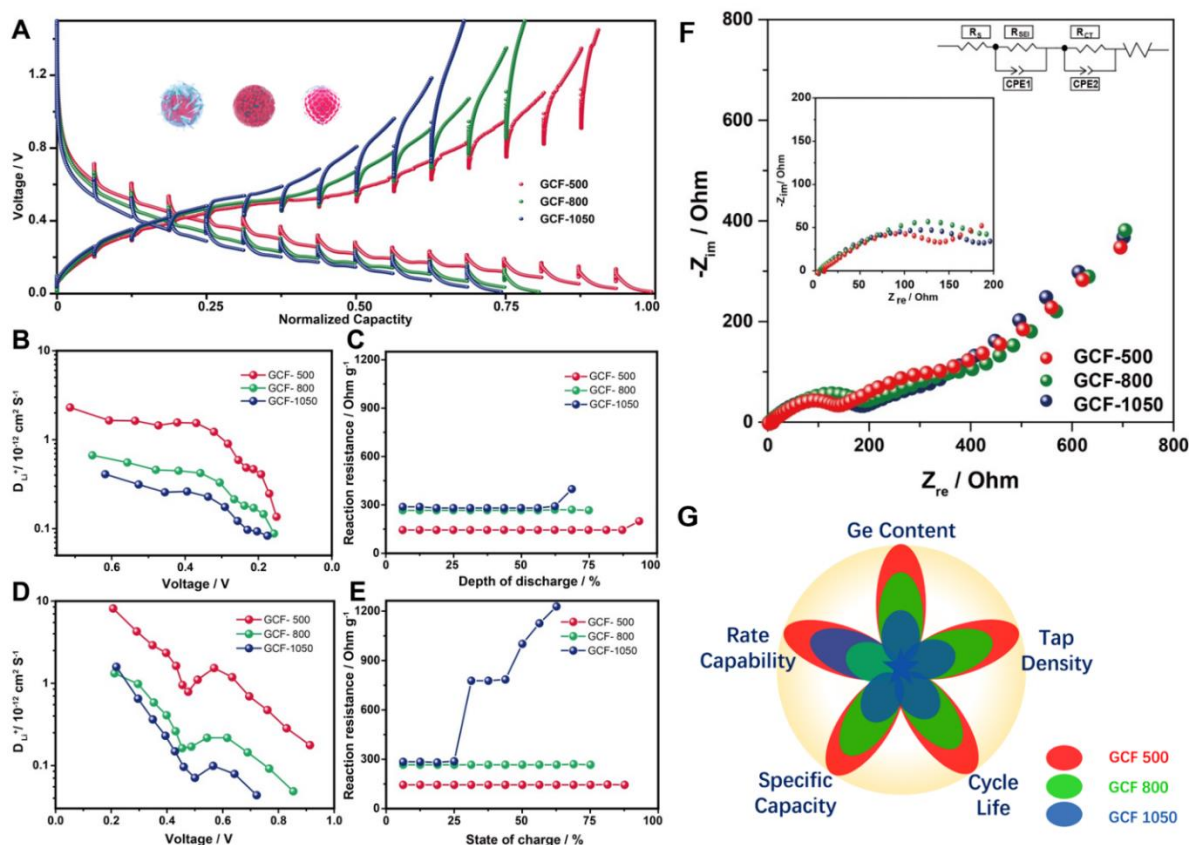


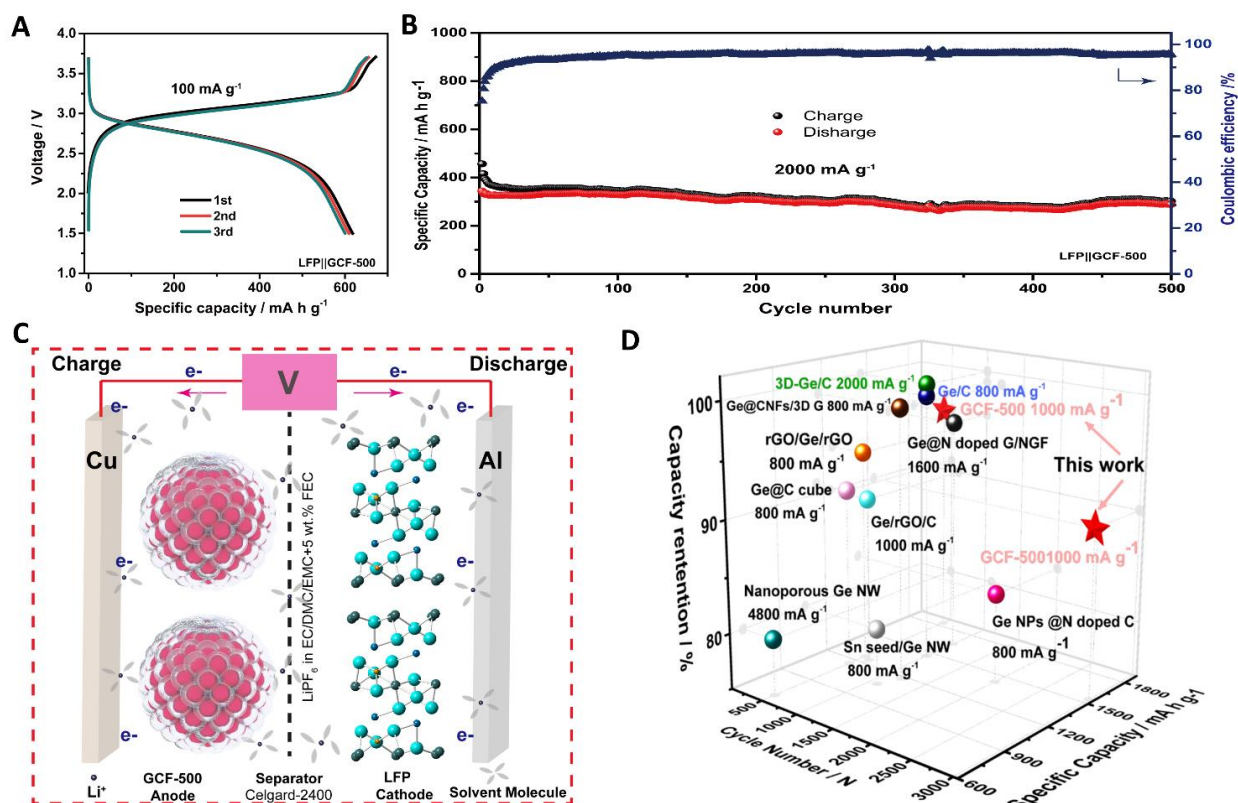
Figure 3 Electrochemical characterization of GCF anodes. (A) Cyclic voltammetry (CV) profiles of GCF-500 corresponding to the selected cycles at a scan rate of 0.1 mV S^{-1} . (B) Voltage-capacity profiles for the GCF-500 plotted for the 1st, 2nd, and 50th cycles at a current density of 100 mA g^{-1} . (C) Electrochemical cycling performance acquired under a current density of 100 mA g^{-1} . The coulombic efficiency is only plotted for GCF-500. (D) Rate capabilities of GCF-500, GCF-800, and GCF-1050 anodes. (E) Cycling stability over ultra-long 3 000 galvanostatic cycles of the GCF-500 anode. GCF-800, GCF-1050, commercial Ge, and commercial GeO_2 are also tested under identical conditions. The coulombic efficiency is collected here for GCF-500 only. The rate was activated under 200 mA g^{-1} for the first ten cycles and conducted at $1\,000 \text{ mA g}^{-1}$ for the later thousands of cycles. Typical SEM images of the proposed structures after 200 cycles: (F) GCF-500, (G) GCF-800, and (H) GCF-1050. Inset images: the typical structures acquired before cycling.



1
2 **Figure 4 Electrochemical kinetic analysis of lithium storage behaviour of GCF anodes.**

3 GITT measurements were conducted between 0.01 V and 1.5 V vs. lithium to determine the
4 diffusion coefficient and reaction resistance parameters. The reaction resistances during charge
5 and discharge processes are obtained by evaluating the overpotential values, defined as the
6 voltage drop between the end of the relaxation step and the start of the pulse step. (A) Potential
7 response curves with normalized specific capacity. The normalized capacity is based on the 3rd
8 cycle discharge capacity of GCF-500. (B) and (C) Li⁺ ion diffusion coefficients and reaction
9 resistances calculated from the GITT potential profiles as a function of depth of discharge
10 (DOD). (D) Li⁺ ion diffusion coefficients and (E) reaction resistances as a function of state of
11 charge (SOC). (F) Nyquist plots of different electrodes at open circuit voltage. **Inset curve:**
12 magnification plots in the range from 0 to 200 Ohm, with the lower left inset showing an
13 enlargement of the high-frequency region. The upper right inset is the fitted circuit diagram.
14 (G) Comparison of GCF electrodes with critical parameters.

15



1
 2 **Figure 5 Full cell performance of GCF-500.** Lithium-ion full-cell electrochemical
 3 performance of GCF-500. (A) Charge-discharge curves for the first 3 cycles of the full cell
 4 under the current density of 100 mA g^{-1} . (B) Long-term cycling performance at 2000 mA g^{-1}
 5 for the full-cell. The cell capacity was calculated based on the weight of anode material only.
 6 This outstanding performance of the full-cell indicates that LFP|GCF-500 can serve as a
 7 promising cell for practical application. (C) Schematic illustration of LiFePO₄|GCF-500 full-
 8 cell configuration. (D) Comparisons of recent reported Ge materials in lithium-ion batteries,
 9 the specific capacities were based on Table S2. The red stars indicate the excellent
 10 electrochemical performance of the synthesised GCF-500, not only for the first 1000 cycles but
 11 also for 3000 continuous cycles.

1 **Keywords:** structural engineering, micro-nanostructures, germanium-carbon frameworks,
2 mechanism understanding, lithium storage

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4
5 **Structural Engineering of Hierarchical Micro-nanostructured Ge-C Framework by**
6 **Controlling the Nucleation for Ultralong-life Li Storage**
7 TOC



8
9 A multi-functional hierarchical micro-nanostructured Ge-C framework is successfully obtained
10 through a scalable structural engineering protocol by controlling the nucleation of metal-
11 organic precursor. The unique micro-nanostructured can effectively inherit the advantages of
12 both microsized and nanosized structures, thus tackling most of the issues involved in Ge-
13 anodes with inferior electrochemical performance under higher current density in half / full
14 cells.