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Abstract

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Transformation Behavior and Properties of Carbide-Free Bainite Steels with Different Si

Contents

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Abstract: The bainite transformation behavior and properties of low carbon carbide-free bainitic steels containing different silicon (Si) contents were investigated by two different types of heat treatment processes: isothermal transformation process (ITP) and continuous cooling process (CCP). The results indicate that for ITP and CCP, the transformation kinetics of bainite was retarded and the final bainite amount decreased with increasing Si content. However, both the strength and total elongation improved with the increase of Si content in the range of 1.0 wt%~2.0 wt%, resulting in an apparent increment in comprehensive property of bainitic steels due to the more film-like RA and less carbides. It could be attributed to the increase of shear strength and stability of undercooled austenite and the formation of Cottrell atmosphere, as well as the solid solution strengthening of Si because of higher Si content. In addition, for the same samples, better mechanical properties can be achieved by a lower

1 austempering temperature. Moreover, the increase of Si content resulted in an increase in the
2 temperatures of A_{c1} and A_{c3} .

3 **Keywords:** silicon; transformation; microstructure; properties; carbide-free bainite

4 **1. Introduction**

5 Advanced high strength steels (AHSS) have attracted more and more attention due to high strength
6 and favorable ductility. The addition of nickel (Ni), molybdenum (Mo), chromium (Cr) and Silicon
7 (Si) in AHSS^[1-4] are familiar to achieve superior performance. The choices of alloying elements not
8 only affect the transformation behavior and microstructure, but further influences the mechanical
9 properties of AHSS^[5,6]. Therefore, in AHSS the optimization of the chemical composition is an
10 important and attractive subject.

11 The alloying element, silicon (Si), is widely added in AHSS such as twining-induced plasticity
12 (TWIP) steel, dual-phase (DP) steel, transformation-induced plasticity (TRIP) steel and carbide-free
13 bainitic steels. The influences of Si on transformation behavior and mechanical properties of steels
14 have been explored by many research groups. For example, Zhu et al.^[7] studied the influences of Si
15 content on thermodynamic of austenite decomposition in C-Si-Mn TRIP steels and reported that Si
16 accelerated the polygonal ferritic transformation and increased the volume fraction and stability of
17 retained austenite (RA). Zhou et al.^[8] clarified the influences of Si on the transformation and
18 mechanical properties in martensite-ferrite DP steels. They claimed that the finer ferrite grains and the
19 higher carbon content in martensite were formed due to the addition of more amount of Si. Similarly,
20 the effects of Si content on the evolution of microstructure and comprehensive properties were
21 explored by Cai et al.^[9] in hot-rolled ferrite-bainite DP steels. The results revealed that the addition of
22 Si promoted the formation of equiaxed and fine ferrite grains, and the mechanical properties were

1 obviously improved by increasing Si content. In addition, the effects of Si on the work hardening
2 behavior and hot deformation behavior were examined by Li et al.^[10] in a Fe-Mn-Al TWIP steel. They
3 propounded that in comparison to the Si-free steel, the addition of Si resulted in the higher strength
4 and hardness due to the solution strengthening of Si atoms and higher work hardening ability.

5 In addition, regarding to the influences of Si content on bainite transformation and properties of
6 low-carbon bainitic steels, some studies were also conducted. For example, Cai et al.^[11] explained the
7 effects of Si on bainite microstructure and transformation in low-carbon bainitic steels during the
8 continuous cooling process (CCP). They elucidated that the length of bainite ferrite laths obviously
9 decreased in low Si bainitic steels, whereas the martensite/austenite (M/A) became more uniform in
10 high Si bainitic steels after CCP treatment. Moreover, Zhang et al.^[12] also stated that the addition of Si
11 led to the increase of the strength and toughness of low carbon bainitic steels through CCP treatment.
12 However, these experiments were suffered from the decomposition of austenite into ferrite before
13 bainite transformation so that the carbon content in undecomposed austenite must be changed due to
14 the redundant carbon of ferrite partitioning, which will affect the real effect of Si addition on bainite
15 transformation and properties. Furthermore, isothermal bainite transformation was a significant
16 processing route to obtain the high strength bainitic steels, but the effects of Si content on isothermal
17 bainite transformation are rarely investigated. Therefore, it is significant to explore the effect of Si
18 content on bainite transformation, microstructure and mechanical properties of low-carbon carbide-
19 free bainitic steels during isothermal transformation process.

20 Since Bhadeshia et al.^[13] obtained the nanostructured bainite plates with ultrahigh strength and
21 good toughness by austempering process, the research on bainite transformation during the isothermal
22 transformation process has been an important topic. Si is a common element in bainite steels. But, as

1 mentioned above, the effect of Si on isothermal bainite transformation has not fully clarified.

2 Therefore, in this study, three low carbon bainitic steels containing different levels of Si were
3 treated by two austempering processes and one continuous cooling process. The aim is to clarify the
4 influences of Si content on bainite transformation, microstructure and mechanical properties of low
5 carbon carbide-free bainitic steels during isothermal transformation process. The results provide
6 references for the optimization of the chemical composition and processing technology of low carbon
7 bainitic steels.

8 **2. Materials and experimental procedures**

9 *2.1. Materials*

10 The experimental materials were refined in a 50 kg laboratory-scale vacuum furnace, then hot
11 rolling to 12 mm thick plates in a four-high mill and finally air cooling to ambient temperature. Table
12 1 presented the chemical compositions of three tested bainitic steels. The addition of Mn was to
13 improve the stability of metastable austenite and increase the hardenability of undercooled austenite.^[14]
14 Molybdenum (Mo) was added to expedite the bainitic transformation and prevent temper
15 embrittlement.^[15]

16 **Table 1.** Chemical compositions of three steels (wt%).

Steels	C	Si	Mn	Mo	N	P	S
Si-1	0.221	1.002	2.189	0.219	<0.003	<0.006	<0.003
Si-2	0.219	1.503	2.201	0.221	<0.003	<0.006	<0.003
Si-3	0.220	2.012	2.197	0.218	<0.003	<0.006	<0.003

17 The bainite and martensite starting temperatures (B_S and M_S) for three tested steels were
18 calculated by Equations (1) and (2), respectively.^[16,17] In addition, the Ac_1 and Ac_3 temperatures of
19 three tested steels were determined using Andrews Equations (3) and (4).^[18] The corresponding
20 calculated results are given in Table 2.

21
$$B_s (^\circ C) = 839 - 270[1 - \exp(-1.33x_C)] - 86x_{Mn} - 23x_{Si} - 67x_{Cr} - 33x_{Ni} - 75x_{Mo} \quad (1)$$

$$M_s (\text{ }^\circ\text{C}) = 489.9 - 316.7x_C - 33.3x_{Mn} - 27.8x_{Cr} - 16.7x_{Ni} - 11.1(x_{Si} + x_{Mo} + x_W) \quad (2)$$

$$A_{c1} (\text{ }^\circ\text{C}) = 723 - 10.7x_{Mn} - 16.9x_{Ni} + 29.1x_{Si} + 16.9x_{Cr} + 290x_{As} + 6.38x_W \quad (3)$$

$$A_{c3} (\text{ }^\circ\text{C}) = 910 - 203\sqrt{x_C} - 15.2x_{Ni} + 44.7x_{Si} + 104x_V + 31.5x_{Mo} + 13.1x_W \quad (4)$$

where x_i is the mass percent of element “ i ”. Based on the corresponding calculation values in Table 2, the austenization temperature of 1000 °C and the isothermal temperatures of 380 °C and 430 °C were chosen.

Table 2. The corresponding critical temperatures for three tested steels.

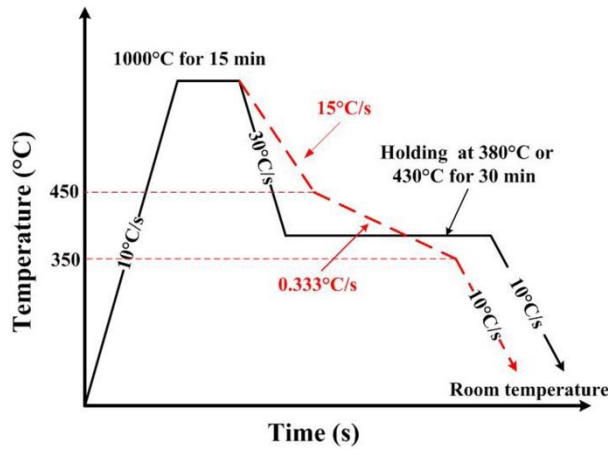
Steels	B _s (°C)	M _s (°C)	A _{c1} (°C)	A _{c3} (°C)
Si-1	541.8	333.6	728.6	865.7
Si-2	530.3	328.0	743.1	888.1
Si-3	518.8	322.5	757.7	910.4

2.2. Experimental procedures

The thermal-mechanical simulation tests were performed on a Gleeble-3500 simulator. The cylindrical samples with 6 mm diameter and 100 mm height were prepared. The dilatations along the diameter direction were monitored during the whole experimental process. The sample with 6 mm diameter was used to minimize the temperature gradient along radial direction. The copper has an excellent thermal conductivity and copper clamps were used to minimize the temperature gradient in length direction.

Samples were treated by two different heat treatment procedures: isothermal transformation process (ITP) (containing two austempering temperatures) and continuous cooling process (CCP). For ITP, the samples were firstly austenitized at 1000 °C for 15 min, then respectively cooled to 380 °C or 430 °C at 30 °C s⁻¹ and kept for 30 min for bainite precipitation, and finally, cooled to room temperature at 10 °C s⁻¹ illustrated in Figure 1. For CCP, the same austenitizing process was designed and the heat treatment route for CCP is marked by dotted line in Figure 1. After austenization, the samples were fast cooled to 450 °C at 15 °C s⁻¹, and then cooled to 350 °C at a slow cooling rate of 0.333 °C s⁻¹ for bainitic transformation and finally, cooled to room temperature at 10 °C s⁻¹. The

1 continuous cooling process was designed to simulate actual industrial production condition in plate
2 rolling.

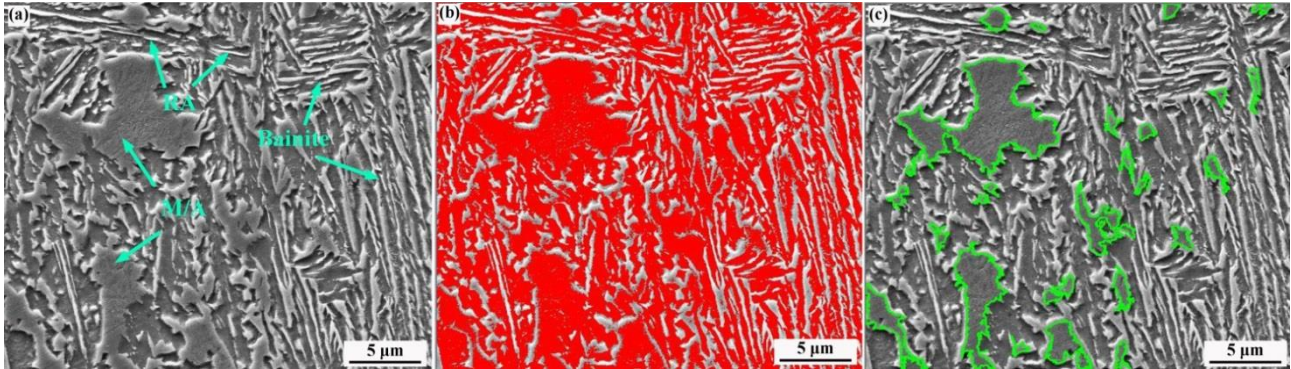


3
4 **Figure 1.** The experimental procedures.

5 2.3. Examination

6 After the corresponding heat treatments, a Nova 400 Nano field emission scanning electron
7 microscope (FE-SEM) was used to determine the morphologies of microstructure and fracture. The
8 Image-Pro Plus software (Media Cybernetics, Rockville, MD, USA)^[19,20] was utilized to count the
9 volume fractions of bainite according to bainitic morphology and grayscale. To distinctly distinguish
10 different transformation products, the micrographs with 5000× and 10000× magnifications were taken.
11 Figure 2 shows the micrograph of Si-2 steel isothermally transformed at 430 °C for 30 min and is used
12 as an example to express the process of counting the volume fraction of bainite. The sample of Si-2
13 steel contains bainite, M/A island and RA marked in Figure 2a. The darker areas contain bainite and a
14 portion of M/A shown in Figure 2a. Firstly, the darker areas were automatically colored to red and its
15 area percentage (labeled as B_1) was counted by the Image-Pro Plus software (Figure 2b). Secondly,
16 some blocky darker areas included in B_1 were M/A and should be subtracted. The blocky M/A areas
17 were manually and carefully marked by green (Figure 2c). The percentage of these areas was measured
18 by the software and labeled as B_2 . Finally, the area percentage of bainite (labeled as B_3) was attained
19 by $B_3 = B_1 - B_2$. In this example, B_1 was 60.14% and B_2 was 13.83%, so that B_3 was 46.31%. Thus,
20 the bainite volume fraction in Figure 2a was 46.31%. For the higher accuracy of statistical results, four

1 typical SEM micrographs with various magnifications of every specimen were utilized and the average
2 result was given in this study. Similarly, in other samples the volume fractions of bainite were
3 calculated in the same way.



4
5 **Figure 2.** The example showing how to calculate the volume fraction of bainite: (a) the original
6 micrograph; (b) the darker areas in Figure 2a are colored red; and (c) the darker blocky areas are
7 manually marked by green.

8 In addition, an UTM-4503 electronic universal tensile tester was utilized to conduct tensile tests
9 with a 0.5 mm min^{-1} cross-head speed at ambient temperature. Three repeated tensile tests were
10 performed for each sample and the corresponding average results were given. It should be noted that
11 the sub-size specimens were prepared in the tensile tests due to the dimension limits of thermal
12 simulation specimens. It might cause possible deviation in tensile properties. However, the tensile
13 properties of different specimens were comparable based on same tensile size. Moreover, X-ray
14 diffraction (XRD) experiments were performed on an Empyrean diffractometer with filtered Co Ka
15 radiation and 35 kV and 50 mA operating parameters to determine the volume fractions of RA. The
16 step size and counting time were 0.0263° and 77.265 s, respectively.

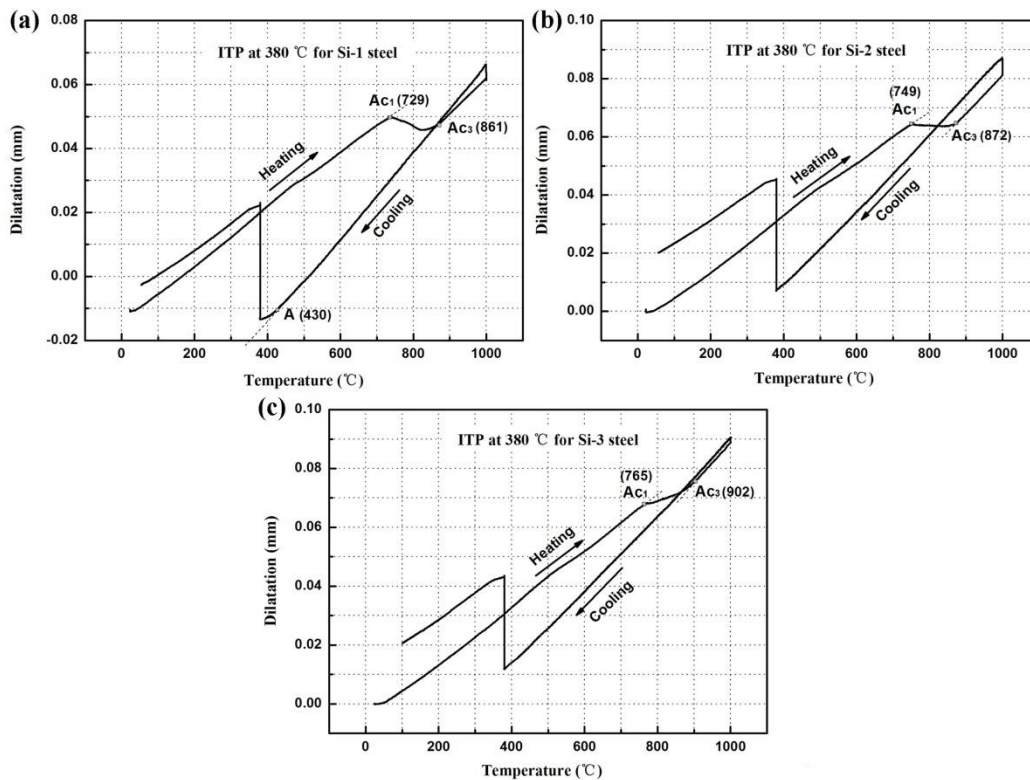
17 **3. Results and discussion**

18 *3.1. Effect of Si content during ITP*

19 3.1.1. Dilatation

20 Figure 3 displays the curves of dilatation vs. temperature during the whole ITP process at 380°C
21 for three tested steels. The temperatures of A_{C1} and A_{C3} for Si-1, Si-2 and Si-3 steels were measured to

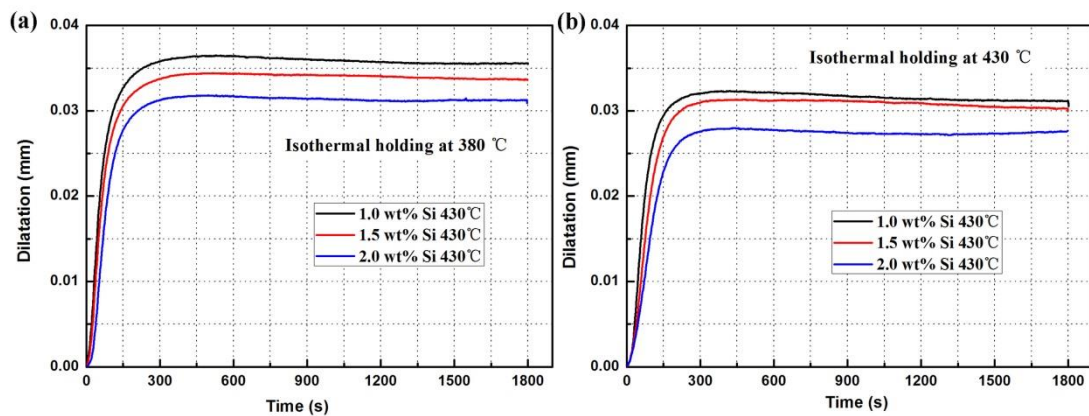
1 be 729 °C and 861 °C, 749 °C and 872 °C, and 765 °C and 902 °C, respectively. It is obvious that the
 2 Ac_1 and Ac_3 temperatures increased with the increase of Si content. It was consistent with the
 3 theoretical calculation values in Table 1. In addition, during cooling processes the dilatation curve of
 4 Si-1 steel was a straight line from 1000 °C to point A (430 °C in Figure 3a) before austempering at
 5 380 °C, whereas those of Si-2 and Si-3 steels were straight lines from 1000 °C to isothermal
 6 temperature of 380 °C, indicating that there was no formation of high-temperature products because
 7 of the high cooling rate of 30 °C s⁻¹ and only a small amount of bainitic transformation occurred in Si-
 8 1 steel during the cooling process from 430 °C to 380 °C. Besides, the obvious increase of the dilatation
 9 during isothermal holding was caused by the bainitic transformation because the transformation
 10 temperature was between B_s and M_s .



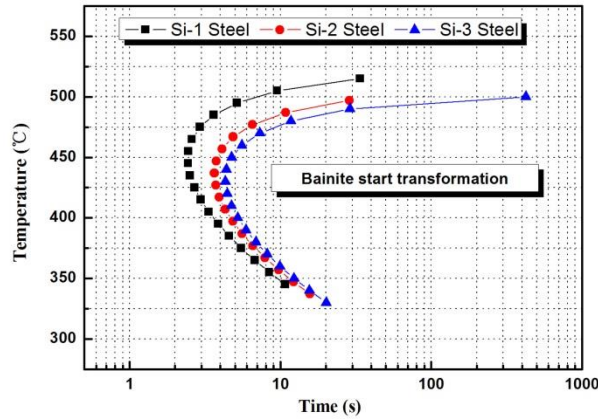
11
 12 **Figure 3.** The dilatation versus temperature during the entire austempering treatments (380 °C) for
 13 three steels: (a) Si-1; (b) Si-2 and (c) Si-3.

14 Figures 4a and 4b depict the dilatation (showing the transformation amount) vs. holding time
 15 curves during austempering at 380 °C and 430 °C for three steels, respectively. It can be observed that

1 both the rate and the final bainite transformation amount decreased with increasing Si content when
2 the specimens were austempered at 380 °C and 430 °C. Figure 5 represents the curves of time-
3 temperature-transformation (TTT) for three tested steels, which were calculated by the JMatPro7.0
4 software.^[19] The increase of Si content makes the 'C' curves of bainite starting transformation move
5 to right, indicating that increasing Si content prolongs the incubation period for bainitic transformation.
6 It demonstrates that the transformation kinetics of bainite is hindered with the increase of Si content.
7 It can be attributed to the following reasons. Firstly, Si addition strongly inhibits carbide precipitation
8 and thus enhances the chemical stability of undercooled austenite.^[1] The retardation of carbide
9 precipitation due to the increase of Si content results in the increase of carbon content in undercooled
10 austenite, which impedes the formation of carbon-depleted region for bainite nucleation and
11 growth,^[9,11] thus prolongs the incubation period of bainitic transformation. Secondly, carbon and Si
12 atoms tend to aggregate in the dislocation area, which causes the Cottrell atmosphere to pin the
13 dislocations, and thus increases the shear strength and stability of undercooled austenite.^[21,22]
14 Therefore, the increase of Si content slows down the kinetics of transformation bainite and reduces the
15 final bainite transformation amount.



16
17 **Figure 4.** The dilatation versus time curves for three steels austempered at: (a) 380 °C and (b)
18 430 °C.



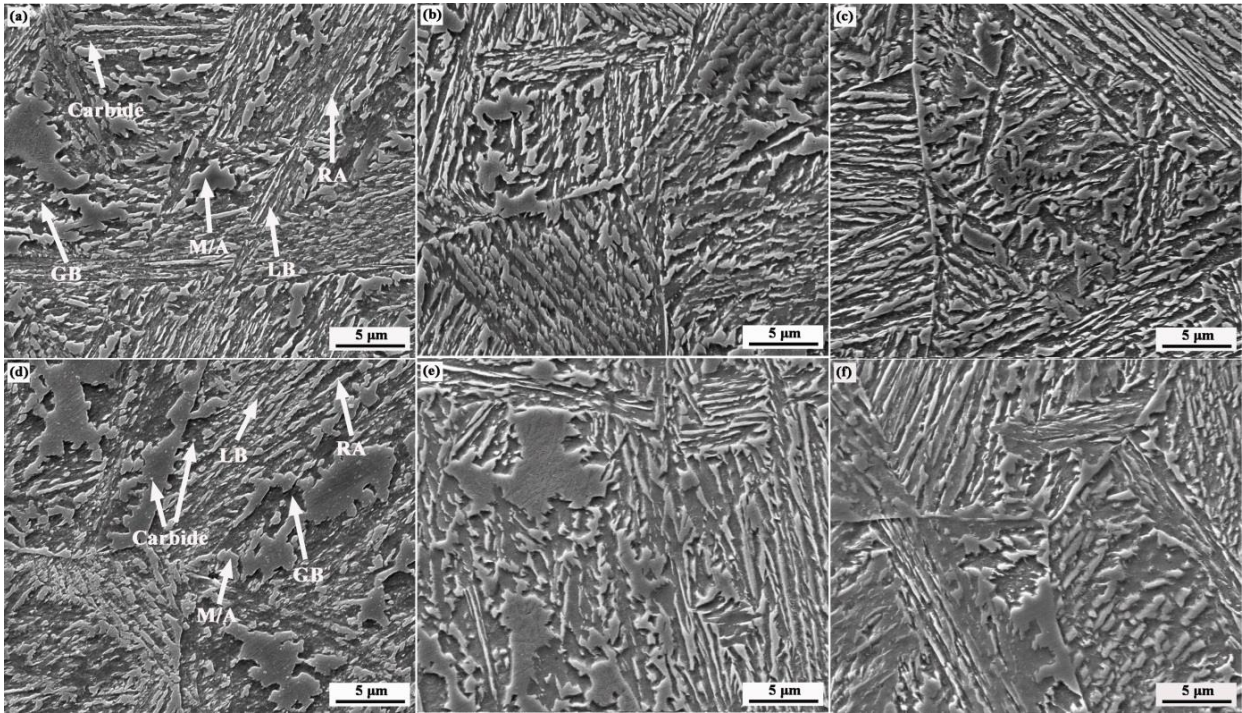
1

2 **Figure 5.** Calculated TTT curves of three steels showing the start of transformations from austenite
 3 to bainite.

4 3.1.2. Microstructure

5 The SEM microstructures of three tested steels austempered at different temperatures are shown
 6 in Figure 6. Figures 6a-c show the microstructure of samples austempered at 380 °C for Si-1, Si-2 and
 7 Si-3 steels, respectively, while Figures 6d-f are for three steels austempered at 430 °C. The all
 8 microstructures mainly consisted of blocky M/A, bainite and film-like RA. The size of film-like RA
 9 changes from several nanometers to several hundred nanometers depends on compositions and
 10 treatment conditions. The film-like RA of several nanometers thick should be observed by
 11 transmission electron microscope (TEM).^[23] The bright films in Figure 6 are film-like RA of several
 12 hundred nanometers and these RAs can be clearly observed and confirmed by SEM.^[24] The film-like
 13 RAs are also confirmed by the magnified SEM microstructures (Figure 7). From the microstructure, it
 14 also can be observed that some carbide appeared in Si-1 steel, but there was almost no carbide in Si-2
 15 and Si-3 steels because the high content of Si in steels retarded the carbide precipitation. This kind of
 16 bainite in high-Si steels, which has little carbide between lath-like bainite (LB), is named by carbide-
 17 free bainite.^[4-6] Furthermore, for ITP at 380 °C, there was a small part of granular bainite (GB) in the
 18 microstructure of Si-1 steel and it was very similar to the microstructure of the specimen austempered
 19 at 430 °C. On the contrary, granular bainite was rarely observed in the specimens of steels Si-2 and
 20 Si-3 austempered at 380 °C. It indicates that some undercooled austenite of Si-1 specimen was

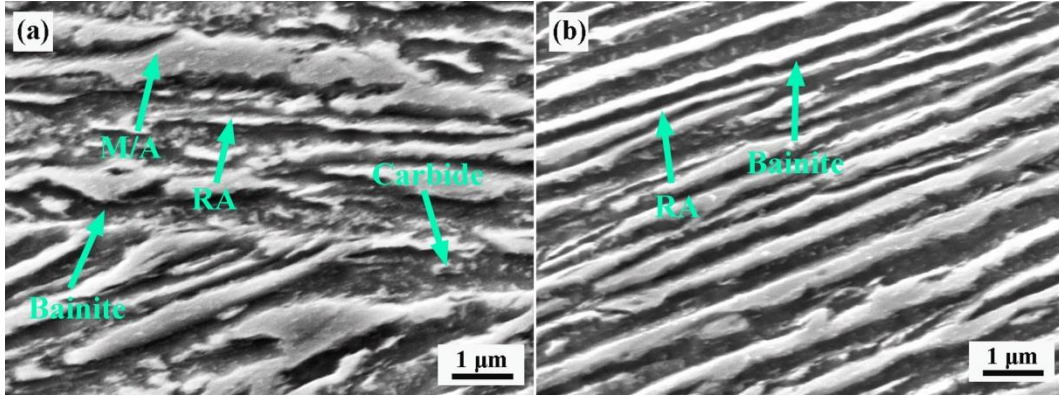
1 decomposed before holding at 380 °C. This phenomenon is consistent with the deviation point A in
2 Figure 3a.



3
4 **Figure 6.** The typical SEM microstructures of three tested steels treated by austempering at: (a)
5 380 °C, Si-1 steel; (b) 380 °C, Si-2 steel; (c) 380 °C, Si-3 steel; (d) 430 °C, Si-1 steel; (e) 430 °C, Si-
6 2 steel; (f) 430 °C, Si-3 steel.

7 In addition, based on the method described in Section 2.3, the volume fractions of bainite in
8 different specimens were calculated and presented in Table 3. It is observed that when three steels
9 were austempered at same temperature (380 °C or 430 °C), the amount of bainite transformation
10 reduced with the increase of Si content. The statistic results were consistent with the dilatation values.
11 Besides, Figure 7 gives the magnified SEM microstructures of Si-1 and Si-3 steels treated by
12 isothermally transforming at 380 °C. It is observed that when the specimens were austempered at same
13 temperature, the sizes of bainite and M/A islands decreased with the increase of Si content. It is
14 attributed to the increase of shear strength and stability of undercooled austenite due to higher carbon
15 content in metastable austenite and the formation of Cottrell atmosphere. Bhadeshia et al.^[15,25] reported
16 that the microstructure of bainitic steels can be distinctly refined by enhancing the strength of austenite.

1 Therefore, the sizes of bainite plates and blocky M/A gradually decreased with the increase of Si
 2 content.



3
 4 **Figure 7.** The magnified SEM microstructures: (a) Si-1 steel austempered at 380 °C; (b) Si-3 steel
 5 austempered at 380 °C.

6 For same steel, the lower transformation temperature resulted in more amount of bainite. It can
 7 be explained by T_0 theory.^[26,27] When the isothermal temperature decreases, the carbon storage
 8 capacity in undercooled austenite increases and thus more amount of bainite transformation can be
 9 obtained. Moreover, the decrease of isothermal temperature results in the increase of the undercooling
 10 for bainite transformation, which is conducive to the nucleation and growth of bainite.^[28] Thereby,
 11 lower austempering temperature resulted in more amount of bainite transformation.

12 **Table 3.** The volume fractions of bainite and RA.

Treatments	Steels	$V_{(B)}$ (%)	$V_{(RA)}$ (%)	$C_{(RA)}$ (wt%)
ITP at 380 °C for 30 min	Si-1	57.31±2.54	3.38±0.76	0.59±0.11
	Si-2	52.68±2.23	6.59±1.46	0.67±0.18
	Si-3	49.22±1.95	7.42±1.24	0.95±0.04
ITP at 430 °C for 30 min	Si-1	50.16±3.52	8.12±2.16	0.55±0.13
	Si-2	46.31±2.23	11.45±0.83	0.59±0.09
	Si-3	43.84±2.56	12.16±1.41	0.77±0.05

13 $V_{(B)}$, $V_{(RA)}$ and $C_{(RA)}$ —the volume fractions of bainite and RA, and the carbon content in RA

14 In addition, an example of the XRD diffraction pattern for Si-2 steel isothermally transformed at
 15 430 °C for 30 min is shown in Figure 8. The volume fractions of RA in different specimens can be

1 calculated according to the integrated intensities of (200) α , (211) α , (200) γ , (220) γ and (311) γ
2 diffraction peaks by Equation (5).^[29]

$$3 \quad V_i = \frac{I}{I + G(I_\alpha/I_\gamma)} \quad (5)$$

4 where V_i is the volume fraction of austenite for each peak, I_α and I_γ are the corresponding integrated
5 intensities of martensite phase and austenite phase, G is the ratio of the intensity factor corresponding
6 to the austenite crystal face $(hkl)_j$ and the martensite crystal face $(hkl)_i$. The value of G is determined
7 as follows, 2.5 for $I_\alpha(200)/I_\gamma(200)$, 1.38 for $I_\alpha(200)/I_\gamma(220)$, 1.78 for $I_\alpha(200)/I_\gamma(311)$, 1.19 for
8 $I_\alpha(211)/I_\gamma(200)$, 0.06 for $I_\alpha(211)/I_\gamma(220)$, 0.87 for $I_\alpha(211)/I_\gamma(311)$, respectively.^[29] In addition, the
9 carbon content in RA (C_{RA}) was determined based on the diffraction peaks position of (200) γ , (220) γ
10 and (311) γ by the method stated in reference.^[30] Table 3 gives the corresponding calculated results.
11 For the same austempering process, the carbon content in RA increased with increasing Si content.
12 Besides, the volume fraction of RA increased gradually from 3.38 vol.% to 7.42 vol.% at 380 °C and
13 from 8.12 vol.% to 12.16 vol.% at 430 °C with increasing Si content from 1.0 wt% to 2.0 wt%. This
14 is because the amount of RA strongly depends on the amounts of bainite. It is known that bainite
15 transformation is accompanied with the ejection of carbon atoms.^[31] The increase of Si content
16 significantly hinders the formation of carbide, leading to the higher carbon content in undercooled
17 austenite and the higher chemical stability. In the process of isothermal transformation and subsequent
18 cooling, there was no carbide precipitation and less undercooled austenite was decomposed. Thus,
19 more amount of RA with higher stability was retained in high-Si steel after ITP.

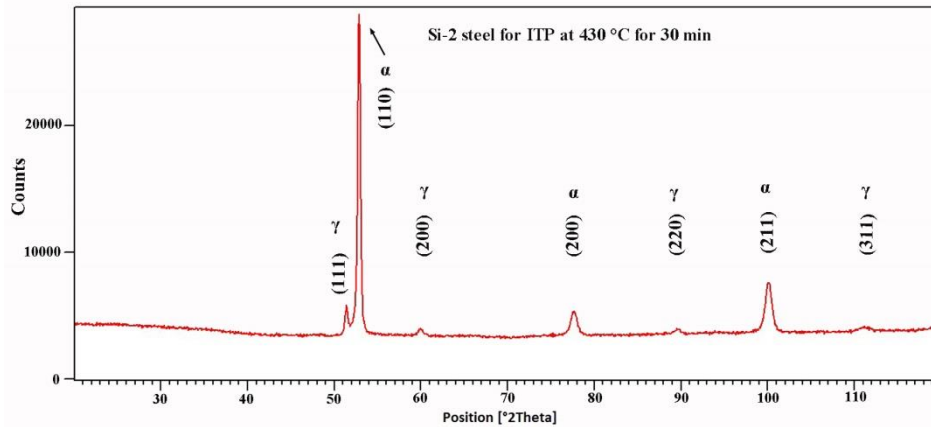


Figure 8. An example of XRD pattern for Si-2 steel austempered at 430 °C for 30 min.

3.1.3. Tensile properties

The tensile properties of different samples are shown in Table 4 and plotted in Figure 9. With the increase of Si content, both the total elongation (TE) and tensile strength (TS) increased slightly, resulting in an increment in the product of tensile strength and total elongation (PSE). It indicates that when the samples were treated by ITP, the tensile properties of steels could be improved by increasing Si content in the range of 1.0~2.0 wt%. The tensile properties of steels are strongly related with microstructure. In high-Si steels, carbide precipitation is strongly inhibited, leading to the more RA with higher stability. The film-like RA with higher stability can significantly improve the mechanical properties through the TRIP effect.^[20,32] Apart from the TRIP effect, the finer microstructure in high-Si samples also results in an improvement in mechanical properties. Moreover, Bhadeshia and Edmonds^[33] reported that carbides in martensite and bainite have great adverse effects on strength and toughness. The existence of carbides promotes the propagation and development of cracks and the nucleation of holes during the tensile process, significantly deteriorating the mechanical properties of low-Si steels. In high-Si steels, the carbon content in RA is enriched and the cementite between bainite plates is replaced by the film-like RA. The film-like RA with high stability has passivated effect on the development of the crack, which can increase the resistance of crack propagation and enhance the toughness and strength of the steel.

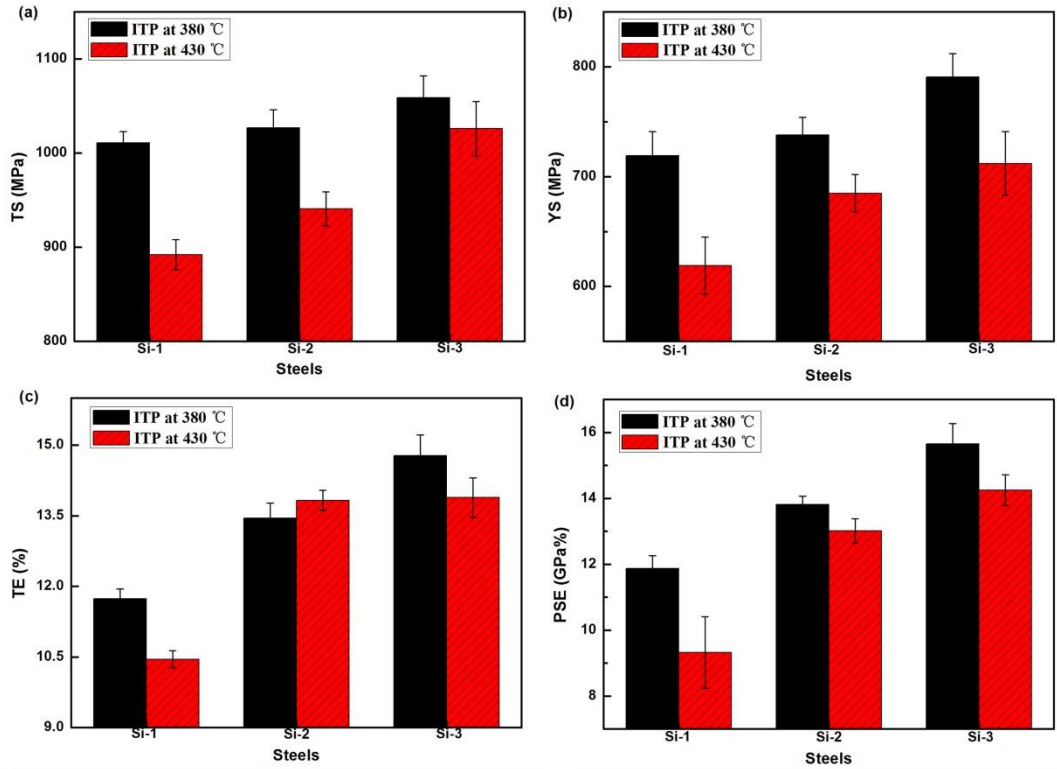
1 Besides, the solid solution strengthening of Si also contributes to the improvement of strength.

2 The relationship between Si content and tensile strength can be expressed by the Equation (6).^[34]

$$3 \Delta\sigma_s \text{ (MPa)} = 4750x_C + 3750x_N + 37x_{Mn} + 84x_{Si} \quad (6)$$

4 where $\Delta\sigma_s$ is the value of solid solution strengthening and x_i is the mass percent of element “i”. It is

5 known that the effect of solid solution strengthening increases with the increase of Si content.



6 **Figure 9.** The comprehensive properties of different specimens.

7 **Table 4.** The tensile results of different specimens treated by ITP.

Treatments	Steels	TS (MPa)	YS (MPa)	TE(%)	PSE(GPa%)
ITP at 380 °C for 30 min	Si-1	1011 ± 12	719 ± 22	11.74 ± 0.21	11.869 ± 0.397
	Si-2	1027 ± 19	738 ± 16	13.45 ± 0.32	13.813 ± 0.258
	Si-3	1059 ± 23	791 ± 21	14.78 ± 0.44	15.652 ± 0.623
ITP at 430 °C for 30 min	Si-1	892 ± 16	619 ± 26	10.45 ± 0.18	9.325 ± 1.087
	Si-2	941 ± 18	685 ± 17	13.83 ± 0.21	13.014 ± 0.365
	Si-3	1026 ± 26	712 ± 29	13.89 ± 0.42	14.251 ± 0.472

9 YS—the yield strength, TS—the tensile strength, TE—the total elongation

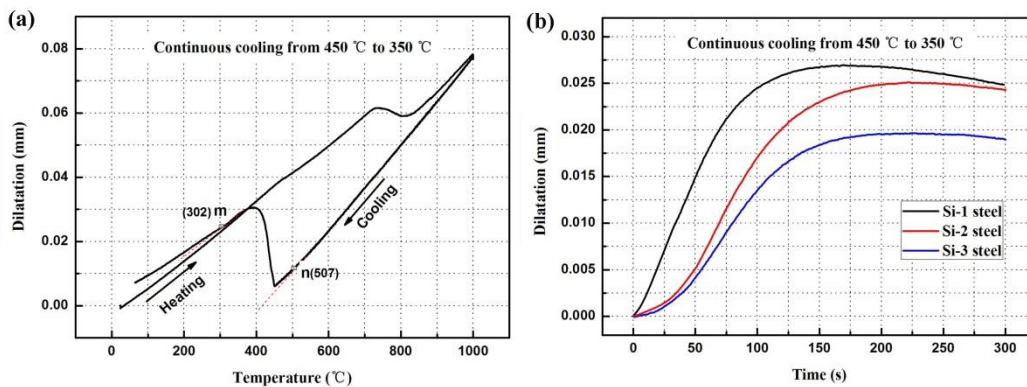
10 In addition, it is known from the tensile results that for same steel, when the samples are
 11 austempered at lower temperature of 380 °C, the higher PSE can be obtained. According to the SEM
 12 microstructure (Fig. 6), the amounts of lath-like bainite and film-like RA increase with the decrease of

1 austempering temperature, which improves the mechanical properties of tested steel. It should be
 2 pointed out that the sample transformed at higher austempering temperature contains more amount of
 3 RA than the sample treated at lower austempering temperature. But most of RA in the former presents
 4 blocky morphology. The blocky RA is not stable and easily decomposes at the beginning of tensile
 5 test, resulting in smaller contribution to PSE.

6 3.2. Effect of Si content during CCP

7 3.2.1. Dilatation

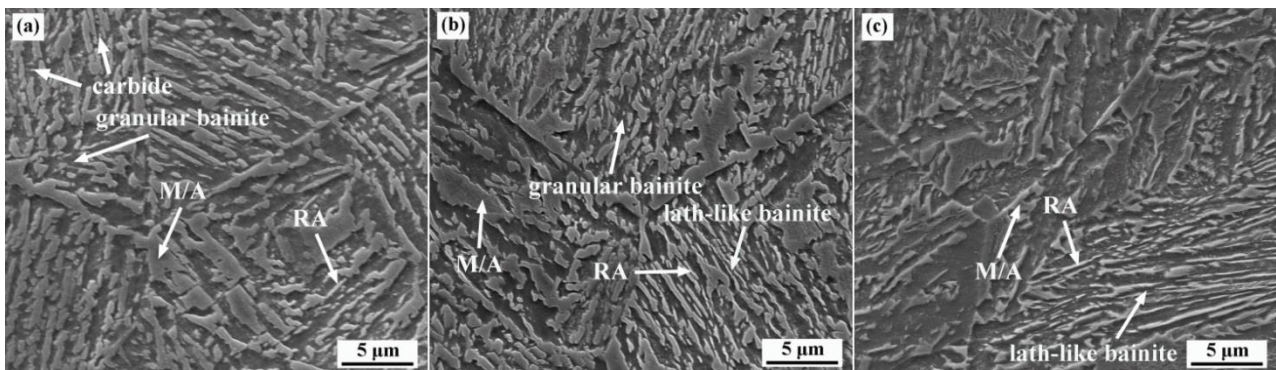
8 Figure 10a illustrates dilatation as a function of temperature for Si-1 steel during the whole CCP.
 9 It was a straight line from austenization temperature of 1000 °C to point n (507 °C below B_s),
 10 indicating that the high-temperature products were avoided due to the cooling rate of 15 °C s⁻¹ and the
 11 deviation of dilatation curves at point n was caused by bainite transformation. Moreover, the turning
 12 point (m) in the dilatation curve represents the starting point of martensite transformation. Figure 10b
 13 shows dilatation as a function of time during CCP from 450 °C to 350 °C. It can be observed that the
 14 kinetics of baintic transformation was hindered with the increase of Si content. It was consistent with
 15 the result in ITP. Moreover, there was an obvious decline in dilatation curve of Si-1 steel from 175 s
 16 to 300 s, whereas it seems to be a horizontal line in Si-2 and Si-3 steels. It indicates that the bainite
 17 transformation of Si-1 samples basically stopped after 175 s, but the bainite transformation of Si-2 and
 18 Si-3 samples still occurred after 175 s and the increase in dilatation caused by bainite transformation
 19 was basically equal to the decrease in dilatation due to the drop of temperature.



1 **Figure 10.** For CCP: (a) dilatation curves versus temperature of Si-1 steel and (b) dilatation
2 versus time of three steels from 450 °C and 350 °C.

3 3.2.2. Microstructure

4 Figure 11 gives the microstructures of different steels treated by CCP. It can be observed that the
5 amount of granular bainite gradually decreased and the lath-like bainite amount gradually increased
6 with increasing Si content. In comparison to low-Si steel, higher Si content in high-Si steel led to less
7 amount of carbides, resulting in higher chemical stability of undercooled austenite, and thus prolonged
8 the incubation period and brought the formation of lower temperature bainite. Therefore, with the
9 increase of Si content, more undercooled austenite was transformed into lath-like bainite instead of
10 granular bainite. Moreover, the size of baintie plates decreased with increasing Si content due to the
11 higher shear strength and stability of austenite.^[14,22] Meanwhile, the RA volume fractions in different
12 samples were determined by XRD experiments using the method described in Section 3.1.2. They
13 were 5.37%, 7.03% and 9.27% for Si-1, Si-2 and Si-3 steels, respectively, indicating that the volume
14 fraction of RA gradually increased as Si content increased.



16 **Figure 11.** The microstructures of different steels treated by CCP: (a) Si-1; (b) Si-2; and (c) Si-3.

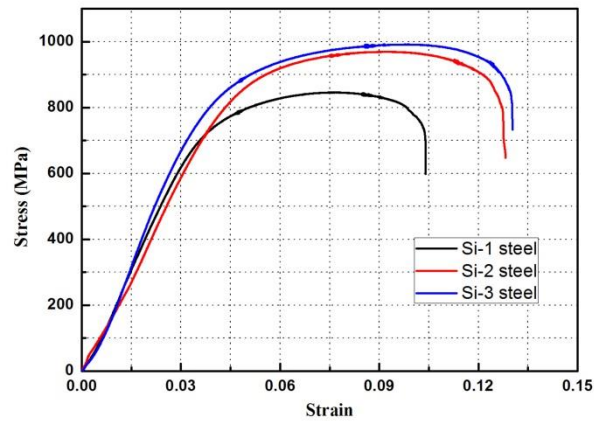
17 3.2.3. Tensile properties

18 Figure 12 gives the typical engineering stress-strain curves and Table 5 lists the tensile results of
19 different samples treated by CCP. The sample of Si-3 steel achieved the highest TS of 991 MPa and
20 the best TE of 14.25%, resulting in the largest PSE of 14.12 GPa% with increasing Si content from 1.0
21 wt% to 2.0 wt%. It means that when the samples were treated by CCP, an increase of Si content brought

1 better mechanical properties in Si content of 1.0 wt%~2.0 wt%. It is shown in Figure 11 that increasing
 2 Si content resulted in more amounts of lath-like bainite and film-like RA. The film-like RA is favorable
 3 for the improvement of the comprehensive properties of bainitic steels by the TRIP effect. Moreover,
 4 the appearance of carbides in low-Si steel also deteriorated the mechanical properties of bainitic steels.
 5 Thus, when the steels were treated by CCP, increasing Si content improved the mechanical properties
 6 of low carbon bainitic steels. In addition, it is observed from tensile results (Tables 4 and 5) that for
 7 same steel, the mechanical properties of samples treated by ITP are slightly better than the sample
 8 treated by CCP. It can be attributed to the existence of more granular bainite and coarser bainite plate
 9 in the samples treated by CCP.

10 **Table 5.** The tensile results of different samples treated by CCP.

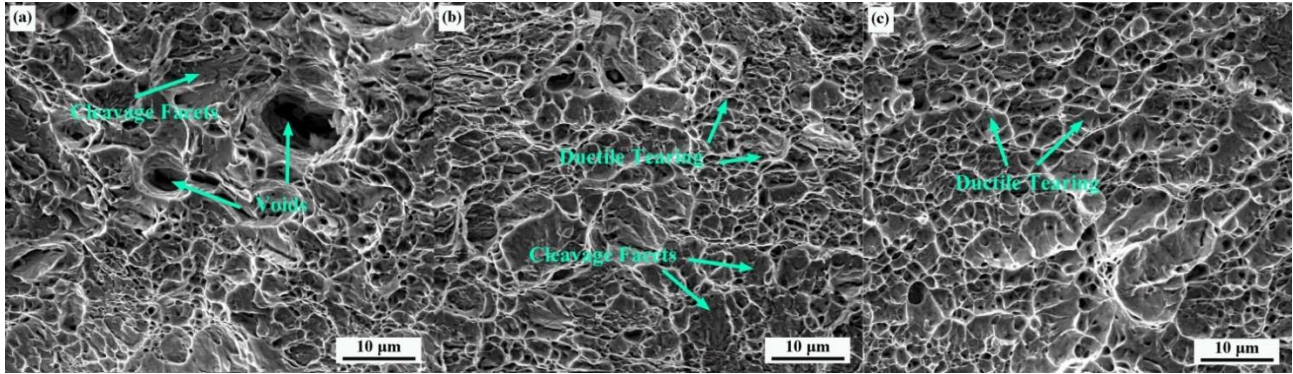
Treatments	Steels	TS (MPa)	YS (MPa)	TE (%)	PSE (GPa%)
CCP	Si-1	859 ± 25	607 ± 13	10.53 ± 0.42	9.045 ± 0.486
	Si-2	969 ± 13	693 ± 14	13.68 ± 0.57	13.256 ± 0.737
	Si-3	991 ± 27	651 ± 21	14.25 ± 0.83	14.121 ± 1.230



11
12 **Figure 12.** Typical tensile curves of different samples treated by CCP.

13 The fracture morphologies of different tensile samples are presented in Figure 13. Both cleavage
 14 facet and ductile tearing were observed in all specimens. It is known that brittle fracture mode leads to
 15 cleavage facet, meaning the inferior tensile toughness. Oppositely, ductile fracture results in ductile
 16 tearing, implying the high tensile toughness.^[19,35] The fracture surface of Si-1 steel consisted of more
 17 amount of cleavage facets, while more amount of ductile tearing morphology appeared in the
 18 specimens of Si-2 and Si-3 steels. Moreover, the voids were clearly observed in fracture microstructure

1 of Si-1 steel, but no voids were found in Si-2 and Si-3 steels. The formation of voids in low-Si steel,
2 significantly deteriorating the toughness of steel, may be due to the carbide. Therefore, the tensile
3 toughness increased with increasing Si content, which was consistent with the results in Table 5.



4

5 **Figure 13.** SEM micrographs of the fracture surface of the tensile specimens at room temperature:

6 (a) Si-1 steel; (b) Si-2 steel and (c) Si-3 steel.

7 **4. Conclusions**

8 The effects of Si content on bainite transformation, microstructure and properties in 0.22 wt%
9 carbon carbide-free bainite steels were investigated by ITP and CCP processes. The following
10 conclusions can be drawn:

11 (1) For both ITP and CCP processes, with the increase of Si content, the kinetics of bainite
12 transformation was hindered and the final amount of bainite transformation decreased because higher
13 Si content inhibits the formation of carbide and brings the increase of shear strength and stability of
14 undercooled austenite, as well as the formation of Cottrell atmosphere.

15 (2) The comprehensive properties of bainitic steels could be improved by increasing the Si content
16 for both ITP and CCP processes in the range of 1.0 wt.%~2.0 wt.%. It is mainly because the increase
17 of Si content results in more amount of film-like RA and less amount of carbides. Besides, the solid
18 solution strengthening of Si also results in the increment of strength.

1 (3) For ITP, the higher PSE can be obtained in samples austempered at a lower temperature.
2 Moreover, the mechanical properties of samples treated by ITP are slightly better than the sample
3 treated by CCP.

4 (4) The temperatures of A_{c1} and A_{c3} increased with the addition of Si.

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10 **Abbreviations**

11 The following abbreviations are used in this manuscript:

12	AHSS	Advanced high strength steel
13	DP	dual-phase
14	TWIP	twining-induced plasticity
15	TRIP	transformation induced plasticity
16	CCP	continuous cooling process
17	ITP	isothermal transformation process
18	RA	retained austenite
19	B_s	bainite starting temperature
20	M_s	martensite starting temperature
21	A_{c1}	austenitization starting temperature during heating
22	A_{c3}	austenitization finishing temperature during heating
23	SEM	scanning electron microscope
24	XRD	X-ray diffraction
25	M/A	martensite/austenite island
26	YS	yield strength
27	TS	tensile strength

- 1 TE total elongation
2 PSE product of the tensile strength and total elongation
3 TTT time-temperature-transformation

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