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Abstract

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Single-grain OSL dating of fluvial terraces in the upper Hunter catchment, southeastern Australia

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Abstract: Fluvial terraces in the upper Hunter catchment, southeastern Australia provide a long-term record of river activity in response to climate change in the late Quaternary. Single-grain optically stimulated luminescence (OSL) dating of quartz was applied in this study to investigate the timing of the formation of three fluvial terraces in the upper Hunter catchment. A detailed examination of luminescence properties of individual quartz grains revealed some correlation between their OSL decay rates, intrinsic brightness and dose saturation characteristics. Some quartz grains containing a higher proportion of non-fast components exhibited low brightness in OSL signals and high dose saturation levels. Some grains with slow OSL decays passed the standard rejection criteria, but are likely to yield underestimated equivalent doses (D_e s) because of a higher contribution of non-fast components, which were shown to have low thermal stability. Different rejection criteria, including the fast ratio, the dose saturation level and the OSL sensitivity criteria, were tested on the single-grain D_e results. The application of a fast ratio rejection criterion was able to successfully identify thermally unstable grains. A new rejection criterion based on dose saturation property was also applied to improve the age of one sample with a large D_e . Our dating results identify multiple phases of river valley aggradation in the upper Hunter catchment since late Marine Isotope Stage (MIS) 6; at ~ 138 ka, ~ 90-94 ka, ~ 65 ka, ~ 26 ka and ~ 18 ka. The aggradational episodes of the terraces are correlated with glacial or stadial periods since MIS 6 and these phases of valley-floor aggradation are inferred to be a function of increased sediment supply during the cold periods resulting from strong periglacial activities in the adjacent Australian highlands.

37 **Keywords:** Fluvial terrace, southeastern Australia, single-grain OSL, single-grain rejection criteria,
38 glacial episode, LGM

39

40 **1. Introduction**

41

42 Fluvial sediments in Australia have provided important archives for assessing late Quaternary flow
43 regime changes (e.g. Nanson et al., 1992). In southeastern Australia, abundant evidence exists of
44 enhanced runoff conditions (relative to today) throughout the last full glacial cycle. A number of
45 previous studies in this region have focused on large inland rivers draining west from the Great
46 Dividing Range (GDR) which provides a record of river activity since Marine Isotope Stage (MIS)
47 5 (e.g. Nanson et al., 1992; Page et al., 1996, 2009; Kemp and Rhodes, 2010; Kemp et al., 2017).
48 However, for the coastal draining catchments to the east of the GDR, the available fluvial record is
49 less constrained and possibly shorter given the narrow valleys and resulting poorer preservation
50 potential. In eastern New South Wales (NSW), the hydrological setting is characterised by a series
51 of smaller coastal-draining catchments (< 1000 km²) surrounded by much larger basins, such as the
52 Hunter and Shoalhaven catchments which extend to the west of the great escarpment and with
53 drainage areas > 5000 km² (Fig.1a). Previous studies have focused on small coastal valleys which
54 mainly record post-Last Glacial Maximum (LGM) and Holocene valley-floor accumulation (e.g.
55 Fryirs and Brierley, 1998; Brooks et al., 2003; Cohen and Nanson, 2008). Fewer studies (Nott et al.,
56 2002; Nanson et al., 2003) have investigated the much larger catchments containing drainage areas
57 above the escarpment (on the tablelands), despite preserving antecedent fluvial landforms of much
58 greater antiquity (e.g. Nott et al., 2002). The reliable determination of ages of such terraces is
59 important for exploring the reconstruction of long-term flow-regime changes east of the GDR and
60 forms the basis for understanding the regional palaeoclimatic variations of southeastern Australia.

61

62 This paper presents a detailed single-grain optically stimulated luminescence (OSL) dating study for
63 three fluvial terraces preserved in the upper Hunter catchment, the third largest coastal draining
64 catchment in NSW. We report the characteristics of the OSL signals of individual quartz grains for
65 the upper Hunter samples, as well as the sensitivity of their equivalent dose (D_e) estimates to
66 different newly proposed single-grain rejection criteria (e.g. Duller, 2012). The new terrace
67 chronologies are compared with climate cycles and existing fluvial records in the study region, and
68 the implications for river responses to palaeoclimate changes are discussed.

69

70 **2. Study sites and samples**

71

72 The Hunter catchment is located on the central coast of NSW with an area of 22,000 km² (Figs.1a
73 and b). It is one of the largest catchments in coastal NSW and extends west of the great escarpment
74 in eastern Australia. This larger catchment, and specifically the upper Hunter, is located very near
75 the headwaters of the Macquarie River, a major sub-catchment of the Murray-Darling Basin (MDB).
76 Therefore the larger Hunter catchment shares morphological and climatic characteristics of the
77 smaller coastal catchments but is also well situated to headwater rivers of the MDB from which the
78 long record of flow-regime changes exist.

79

80 The upper Hunter catchment is located in the northeastern region of the basin (Fig.1b) and most of
81 the rivers in the catchment are classified as confined or partly-confined. In these settings, lateral
82 movement of the contemporary river courses are confined by bedrock valley margins or antecedent
83 landforms formed under former flow regimes, such as fluvial terraces and alluvial fans (Fryirs and
84 Brierley, 2010). These antecedent landforms not only provide confinement for modern river
85 behaviour, but also record a long history of fluvial activity.

86

87 In this study we sampled three fluvial terrace sections in the upper Hunter catchment. Two fluvial
88 terrace exposures, named the Razorback upper terrace and lower terrace, are located near the
89 Razorback Bridge in the central east of catchment (Fig.1b). The terraces are ~ 600 m away from
90 each other and are 10 to 13 m above the modern river channel (Figs.2b and d) and are located on the
91 convex margin of the meander bends or at the channel inflection point. The Razorback upper terrace
92 (UH-URB) is mainly composed of massive silty clay, overlying a thin unit of channel gravels with
93 no bedrock exposed at the base of exposure (Fig. 2a). One OSL sample was collected from the
94 lower part of this section. Three stratigraphic units were identified from the Razorback lower
95 terrace (UH-LRB), including a bedrock strath at the base, overlain by a coarse gravel to cobble
96 channel facies, underlying a silty clay channel-fill unit (Fig.2c). One sample UH-LRB was collected
97 from the silty clay unit immediately above the gravel dominated channel facies.

98

99 The third sampled fluvial terrace section is on Kingdon Ponds at Wingen (Fig.1b), a tributary in the
100 central west of the basin. This terrace (named the Kingdon Ponds terrace) is 10 m above the current
101 channel bed (~5 m above the floodplain) (Fig.2 f) and is characterized by a complex stratigraphy of
102 gravels and finer-grained facies (Fig.2e). The basal unit is a gravel lag (Unit A) underlying a
103 cemented silty-clay unit at the bottom of the section (Unit B). Above the silty-clay unit is a complex
104 stratigraphy of gravel-dominated facies comprising channel fill, trough-cross beds, matrix-
105 supported massive and horizontally bedded units. These units reflect various phases of bar
106 development and cut-and fill (Units C1-D3) that are terminated by debris flow deposits (Units E1-

107 E2). Atop the debris flow unit is a vertically accreted floodplain surface (Units F1-F3) but with
108 coarse-grained lenses. Five samples KPW-1 to KPW-5 were collected from Units F1 to B from top
109 to the bottom.

110

111 **3. Methods**

112

113 Single-grain quartz OSL dating was performed for all samples. OSL samples were prepared using
114 the same method as detailed in Fu et al. (2017). Sand-sized (180-212 μm or 212-250 μm in
115 diameter) quartz grains were separated and then etched for 40 minutes using 45% HF acid. OSL
116 was measured on a Risø DA-20 TL/OSL reader. The OSL signals of individual grains were
117 obtained by stimulation using a focused 10 mW green (532 nm) laser at 125°C for 1.8 s, and
118 collected using an EMI9235QA photomultiplier tube through 7.5 mm Hoya U-340 filter. A single-
119 aliquot regenerative dose (SAR) protocol (Murray and Wintle, 2000) was used to determine the D_e s
120 of individual grains, which includes an IR depletion-ratio test (Duller, 2003) applied to each grain at
121 the end of the SAR sequence (using an infrared exposure of 100 s at 50°C) to detect any potential
122 feldspar contamination. Based on dose recovery tests, the preheat temperatures for samples from the
123 Razorback terraces and Kingdon Ponds terrace were chosen to be 240°C and 220°C, respectively .

124

125 All luminescence data were processed using the **R** packages ‘numOSL’ (Peng and Li, 2017) and
126 ‘Luminescence’ (Kreutzer et al., 2017). The net OSL signal was calculated from the first 0.2 s of the
127 OSL decay minus a background estimated from the last 0.3 s. All dose response curves (DRCs)
128 were fitted using a general-order kinetics (GOK) function (Guralnik et al., 2015), which has been
129 shown to be flexible and robust for fitting DRCs with different forms (Peng and Li, 2017). We
130 applied the standard rejection criteria (e.g. Jacobs et al., 2006, 2008) as the basic grain selection
131 criteria (Table S3). Besides these, three additional rejection criteria, including the fast ratio (FR)
132 (Durcan and Duller, 2011; Duller, 2012), the dose saturation level (e.g. Thomsen et al., 2016) and
133 the OSL sensitivity, were also tested on our samples, given that a broad dependence of natural D_e
134 and overdispersion (OD) on these factors was observed for all of our samples (Section 4).

135

136 Environmental dose rates for all samples were derived from their U, Th and K contents measured
137 using ICP-MS and ICP-OES techniques. Detailed dose rate information for all samples are
138 summarised in Tables S1 and S2.

139

140 **4. Results and discussion**

141

142 4.1. Luminescence characteristics

143

144 For each of the samples, the quartz grains exhibited significant grain-to-grain variability in terms of
145 OSL decay rate, inherent brightness and the shape of DRC. For grains that passed the standard
146 rejection criteria, ~ 20-30% of grains showed OSL signals decaying to < 10% of the initial intensity
147 after 0.2 s stimulation, suggesting the dominance of a fast component; nevertheless, there are also
148 similar proportion of grains exhibited a remnant OSL > 30% of their initial intensities after 0.2 s
149 stimulation, indicating a relatively high proportion of slower components in their OSL (e.g.
150 Fig.S1a). In order to characterise the OSL decay rates for all samples, we calculated the FR of each
151 grain for each sample, using the OSL signals of the natural test doses (T_n) and with the same
152 integrals as proposed by Jacobs et al. (2013) (0-0.02 s for fast component, 0.18-0.22 s for medium
153 and slow components). The median FR for the grains which passed the standard rejection criteria
154 ranged from 4 to 7 for the seven samples. All samples show a broad distribution in FR (mostly
155 range from ~1 to 40), suggesting that the proportion of the fast component varies considerably
156 between grains for all of these samples (e.g. Fig.S1c).

157

158 The DRCs of the grains exhibit different shapes and considerable variation in dose saturation levels
159 (e.g. Fig.S1b). When a single saturating exponential function was used to fit the DRCs of all grains,
160 the obtained D_0 value ranged from ~ 30 to 300 Gy for grains from different samples, with a median
161 D_0 value of ~ 120-130 Gy for each sample (e.g. Fig.S1d). Nevertheless, for each sample there are
162 many of the grains whose DRCs are not well represented by a single exponential growth function,
163 for which the D_0 values obtained using single saturating exponential fitting would have large
164 inaccuracies. To better compare the dose saturation properties of grains with variable forms of DRCs,
165 we used the ratio between the sensitivity-corrected luminescence signals of two different
166 regenerative doses to characterise the dose saturation characteristics of individual grains (also see Li
167 et al., 2016). We name this ratio as the signal growth ratio (SGR), and in this study define it as the
168 ratio between the fitted L_x/T_x values for two arbitrarily chosen regeneration doses of 200 and 50 Gy,
169 derived from the DRC of each grain fitted using the GOK model (i.e. the higher the SGR is, the
170 later the grain is getting saturated). Similar to the apparent D_0 , the SGR varies significantly between
171 grains for all samples, corresponding to their very different dose saturation levels (e.g. Fig.S1e).

172

173 Cumulative OSL brightness curves (Fig.S2) reveal significant variation in inherent brightness
174 between grains with about 10% of the measured grains yielding ~ 90% of the total OSL signals. The
175 intensity of T_n of the accepted grains (after standard rejection criteria) varies by 4-6 orders of
176 magnitude (e.g. Fig.S1a). The sensitivity of sedimentary quartz grains has been suggested to be

177 associated with multiple factors such as the source origin of the mineral grains and their
178 sedimentary/thermal history (e.g. Li and Wintle, 1992; Pietsch et al., 2008; Sawakuchi et al., 2011;
179 Fitzsimmons, 2011). These factors may also affect other luminescence properties of quartz grains
180 including the relative proportion of the fast component and dose saturation properties, as suggested
181 in previous studies (e.g. Chen et al., 2001; Lai et al., 2008; Gong et al., 2014, 2015). To explore the
182 potential correlation between these luminescence properties, the FRs of quartz grains were
183 compared against their T_n signals and SGRs for all sample (e.g. Fig.S3). We observed a moderate
184 positive correlation between FR and T_n ($\rho = 0.5$) and a moderate negative correlation between FR
185 and SGR ($\rho = -0.4$). This suggests that in general the grains with higher contribution from the fast
186 component would be brighter and saturate earlier, and vice versa.

187

188 The positive correlation between FR and T_n is consistent with previous investigations which
189 suggest that the sensitization of the quartz OSL signal (either by repeated dosing/bleaching, heating
190 or inherited from source rock) is mainly related to the fast component (e.g. Preusser et al., 2009;
191 Jeong and Choi, 2012); thus, grains with higher content of the fast component are expected to have
192 a greater sensitivity. Gong et al. (2014, 2015) also observed that for their samples from Chinese
193 deserts the quartz grains with higher content of non-fast components saturate later. This was
194 attributed to the fact that the DRCs of medium and slow components saturate later than that of the
195 fast component (Bailey, 2000b; Singarayer and Bailey, 2003; Rhodes et al., 2006). To check
196 whether this is true for our samples, we examined the variation of SGR as a function of OSL
197 measurement time for the quartz grains (the SGR(t) plot, similar to the D_e (t) plot of Bailey, 2000a).
198 Fig.S4 shows examples of two grains with high (> 20) and low (< 2) FR. For both grains, the SGR
199 shows an obvious increasing tendency towards the later integrals, suggesting that the non-fast
200 components saturate later compared to the fast component. This observation also holds true for
201 other grains from our samples. We therefore interpret that the negative correlation between SGR
202 and FR is due to a greater contribution of the non-fast components to the bulk OSL signals of the
203 slowly-decaying grains.

204

205 **4.2. Dose recovery test**

206

207 Single-grain dose recovery tests were carried out on samples UH-URB, KPW-2 and KPW-5 to
208 validate the SAR protocol (Fig.S5). The dose recovery ratios (calculated using the central age
209 model (CAM, Galbraith et al., 1999)) for the three samples are 0.97 ± 0.02 , 0.99 ± 0.01 and $0.92 \pm$
210 0.02 , after application of the standard rejection criteria. These results demonstrate that with our
211 measurement conditions and the standard rejection criteria, we are able to recover a known

212 laboratory dose accurately when the given doses are close to the natural $D_{e,s}$ of our samples, except
213 for the oldest sample KPW-5 (which were given a large surrogate natural dose of 234 Gy in the
214 dose recovery test) whose dose recovery ratio was slightly less than unity. Changing preheat and
215 cutheat conditions did not improve the results for KPW-5.

216

217 Sample KPW-5 has a high palaeodose and contains a lot of saturated or near-saturated grains. It has
218 been suggested that (e.g. Li et al., 2016; Thomsen et al., 2016) for this kind of sample, the measured
219 D_e distribution can be truncated since some early-saturating grains may only be able to record $D_{e,s}$
220 with lower values, and this can lead to underestimation in the dose recovery ratio and D_e estimate.
221 Several studies suggested that removing early-saturating grains can improve the dose recovery
222 ratios and D_e estimates for these samples (Gliganic et al., 2012; Demuro et al., 2015; Thomsen et al.,
223 2016; Guo et al., 2017; Guérin et al., 2017). To test this, we applied a SGR rejection criterion in
224 addition to the standard rejection criteria to the dose recovery dataset of KPW-5, i.e. accept grains
225 only when their SGR values are larger than a threshold. Fig.S5f shows the dose recovery ratio of
226 KPW-5 as a function of the SGR threshold. The dose recovery ratio increases gradually with the
227 chosen SGR above which grains are rejected, while a plateau consistent with unity (at 1σ) has been
228 reached when the SGR threshold is 2.1 or larger. These results suggest that rejecting early-
229 saturating grains can help better recover the given dose for this specific sample. For other younger
230 samples, application of the SGR selection makes no change in the dose recovery ratio (e.g. Fig.S5e).
231 Fig.S6 shows the distribution of measured-to-given dose ratios for grains from UH-URB, KPW-2
232 (both applied standard rejection criteria) and KPW-5 (applied standard plus SGR rejection criteria).
233 The OD values for the dose recovery results of the three samples are 8%, 7% and 15%, respectively.

234

235 Since in the natural D_e analysis we also tested another two rejection criteria—FR and T_n (see
236 below), these two criteria were also tested on the dose recovery results. Figs.S5a-d show the dose
237 recovery ratio as a function of the FR and T_n thresholds for samples UH-URB and KPW-5. For
238 both samples, we observed no dependence of the dose recovery ratio on FR or the intensity of T_n .
239 The results for the latter sample differ from Duller (2012), who observed that application of the FR
240 rejection criterion can improve the dose recovery ratio at higher doses.

241

242 **4.3. Natural D_e distribution and palaeodose estimation**

243

244 Application of the standard rejection criteria to all samples resulted in 86-97% grains being rejected
245 for D_e estimation (Table S3). The criteria that eliminate most grains are associated with the weak
246 inherent brightness (T_n is within 3σ of the BG or relative standard error of $T_n > 20\%$, rejected 71-

247 93% grains), while other criteria generally rejected less than 15% grains in total. After application
248 of the standard rejection criteria, five out of the seven samples (UH-URB, UH-LRB, KPW-2, -4 and
249 -5) showed medium OD values ranging from 28% to 46%, while other two samples (KPW-1 and -3)
250 yielded high OD values of 144% and 92%, respectively.

251

252 The OD of the natural D_e dataset is associated with both intrinsic (e.g. luminescence properties
253 (Galbraith et al., 2005)) and extrinsic factors (e.g. microdosimetry, partial bleaching, post-
254 depositional mixing). Duller (2012) initially suggested that applying a FR rejection criterion to
255 single-grain datasets can reduce the intrinsic OD by rejecting grains with aberrant behaviours,
256 whereas the efficacy of the FR rejection criterion on natural D_e and its OD has since been shown to
257 be sample dependent (Jacobs et al., 2013; Fu et al., 2015; Feathers, 2015; Trauerstein et al., 2017).
258 To test the rejection based on FR criteria for our samples, we applied different FR rejection
259 threshold to our single-grain datasets. For the five samples with medium OD (see an example in
260 Fig.3a), the CAM D_e increases with FR threshold until plateauing at a FR threshold of 4-5;
261 meanwhile, the OD value also decreased to a plateau at about the same FR threshold. This is similar
262 to the natural D_e data of Fu et al. (2015) and Feathers (2015), which showed that the quartz grains
263 with low FR are likely to yield underestimated D_e s, resulting in final D_e underestimation and OD
264 increase. In contrast to Duller (2012) and Feathers (2015), we observed no dependence of the dose
265 recovery ratio on FR (Fig.S6). We therefore deduce that the dependence of natural D_e on FR is
266 unrelated to the performance of the grains in the SAR protocol, rather it is likely to be related to the
267 thermal stability of the non-fast components, which have been shown to be unstable for dating (e.g.
268 Li and Li, 2006; Steffen et al., 2008). To confirm this, we examined the $D_e(t)$ plots and pulse
269 annealing curves of quartz grains with low and high FR. The results show that the non-fast
270 components in our samples yield underestimated D_e compared to the fast component, and grains
271 with lower FR are thermally more unstable than those with higher FR (see details in Figs. S7 and
272 S8). For the two samples with high OD, the dependence of D_e and OD on FR is weak. We infer that
273 this is because the D_e dispersion of these two samples mainly arises from extrinsic factors
274 (bioturbation, see below). Nevertheless, including grains with potential thermal stability problems
275 may still obscure the real D_e distribution pattern for these samples.

276

277 Since a positive correlation between FR and intrinsic sensitivity has been observed (Fig.S3a), a T_n
278 rejection criterion is also expected to discard unwanted grains which yield underestimated D_e s.
279 Fig.3b shows the D_e and OD of UH-URB as a function of the T_n threshold. As expected, plateaus in
280 D_e and OD are achieved for brighter grains; the plateaus are consistent with those for the FR
281 rejection threshold test (Fig.3a). But in general, application of the T_n rejection criterion has rejected

282 more grains compared with FR rejection criterion without achieving any refined precision, and there
283 lacks a uniform inter-sample standard for applying the sensitivity rejection criterion. Thus, we
284 prefer the FR rejection criterion to the T_n rejection criterion in this paper.

285

286 On the basis of the above arguments, we used the FR as an additional rejection criterion for all of
287 our samples, using a FR threshold value of 5 based on the D_e plateaus for our samples. This FR
288 criterion has rejected ~ 1% to 9% of the grains for different samples in addition to the standard
289 rejection criteria. Given that a dependence of the dose recovery ratio on the dose saturation property
290 is observed for sample KPW-5 (Fig.S5f), we've also tested using the SGR as a rejection criterion
291 for our samples, using grains which passed the standard rejection criteria and with $FR > 5$. We
292 found the use of the SGR rejection criterion did not change the results for the six younger samples,
293 suggesting that the single-grain ages of these samples are negligibly affected by dose saturation; but
294 for the oldest sample KPW 5, the use of a SGR threshold criterion appears to be helpful in
295 removing the bias in the single-grain D_e selection, as indicated by an increase in D_e with increasing
296 SGR threshold and the achievement of a D_e plateau when the SGR threshold is tighten to a value of
297 ~ 2.0 or higher (Fig. 4). This plateau range is also consistent with that of the dose recovery test for
298 this sample (Fig.S5f). Based on this, we applied a SGR rejection criterion (using a threshold value
299 of 2.1 according to the dose recovery test) in addition to the standard plus FR rejection criteria for
300 sample KPW-5. Application of the SGR threshold criterion has further rejected ~ 2% of the grains
301 for KPW-5.

302

303 The SGR threshold criterion has also been tested on all of the grains that passed the standard
304 rejection criteria (i.e. without conducting the FR selection). By doing this, we've identified some
305 grains in our samples which exhibit high dose saturation levels (high SGR) and low D_e values
306 (Fig.S9a). A detailed examination of luminescence properties of these grains reveal that these grains
307 are likely to contain more non-fast components, therefore can yield underestimated D_e s due to low
308 thermal stability; application of a FR rejection criterion can reject these grains for D_e estimation
309 (see details in Figs.S9b-d).

310

311 Fig. 5 shows the final D_e distributions of all samples as radial plots. After application of the
312 additional rejection criteria (FR or FR & SGR), the OD values for the five mediumly dispersed
313 samples (UH-URB, UH-LRB, KPW-2, -4 and -5) were all reduced (from 28-46 % to 23-34%). The
314 OD of these five samples are within the reported values for well-bleached samples (Arnold and
315 Roberts, 2009); and the symmetrical single-grain D_e distributions of them indicate that they are
316 sufficiently bleached. We have therefore applied a CAM to estimate the palaeodoses for these five

317 samples. Samples KPW-1 and KPW-3 present high OD values even after application of the FR
318 rejection criterion (161% and 89%, respectively). These two samples show strong mixing features
319 in their D_e distributions, as evidenced by discrete D_e components being identified in the radial plots
320 (Fig.5). We deduce that these two samples have experienced bioturbation after deposition, as we've
321 observed plants growing on the outcrop and KPW-1 is also close to the terrace surface (Fig.2e). A
322 finite mixture model (FMM) (Roberts et al., 2000) was therefore applied to these two samples for
323 palaeodose evaluation (Table S4). A D_e population that comprised the majority (58%) of grains in
324 the D_e distribution was identified for KPW-1, which possibly gives a good estimation for its burial
325 dose. But for KPW-3, two major D_e populations with similar proportion were exhibited in the D_e
326 dataset (42% and 38%, respectively), indicating the sample was severely mixed after deposition and
327 ambiguity exists for identification of a D_e population related to deposition. We infer that the two
328 grain populations may originate from two stratigraphic units representing two river aggradation
329 events (i.e. grains from one unit were mixed into the other due to bioturbation), and we tentatively
330 used the D_e values of the two major populations to give two potential depositional ages for this
331 sample. These ages were treated with caution when doing the climate interpretation. Table S1 gives
332 a summary of the palaeodoses and ages of all samples.

333

334 **4.4. Chronologies of the terraces and their implications**

335

336 The final ages for all of the samples were compared with glacial-interglacial cycles (Fig.6). The two
337 samples collected from adjacent terraces of the upper Hunter River indicate two aggradational
338 episodes of the river. Sample UH-LRB collected from the silty clay immediately above the coarse-
339 gravel unit of the Razorback lower terrace yielded an age of 93.9 ± 5.7 ka, suggesting a floodplain
340 accretion event occurred at mid-to-late MIS 5. Another sample UH-UHB collected from the silty
341 clay deposits of the Razorback upper terrace yielded an age of 65.0 ± 4.1 ka, suggesting a later
342 valley floor aggradation occurred in MIS 4. Further dating of the upper section of the two terraces
343 will build more detailed age structures for these terraces.

344

345 The ages of the five samples collected from the Kingdon Ponds terrace are consistent with their
346 stratigraphic order. The lowest sample from Unit B comprising of silty clay yielded an age of 137.7
347 ± 12.1 ka, suggesting an episode of floodplain accretion close to modern river level occurred in late
348 MIS 6. The bottom of the coarse gravel unit (Unit C1; Fig.2) lying above Unit B was dated to be
349 90.5 ± 7.6 ka, suggesting a coarse-gravel bed river occupied this valley elevation at mid-to-late MIS
350 5, similar to the timing of the Razorback lower terrace in adjacent valley. A higher sample from
351 Unit D1 returned two potential ages of 65.8 ± 10.8 ka and 26.6 ± 4.8 ka. The older age may

352 represent a depositional event chronologically similar to the formation of the Razorback upper
353 terrace. The younger age is indistinguishable with the age of the overlying debris deposits (Unit E1,
354 dated to 25.7 ± 1.9 ka), atop which a silty clay unit (Unit F1) is aged at 18.3 ± 2.0 ka. These
355 stratigraphic units with similar ages may reflect different phases of valley floor aggradation
356 (including channel and floodplain deposition and alluvial fan deposition) occurred intermittently
357 during MIS 2, albeit the age of Unit D1 (obtained from the severely mixed sample KPW-3) may
358 need to be treated with caution.

359

360 Nott et al. (2002) and Nanson et al. (2003) have investigated large coastal draining rivers in NSW
361 and extended the fluvial records of southeastern Australian coastal catchments to ~ 100 ka. Based
362 on TL dating of fluvial sediments, these studies argued for an enhanced pluvial episode at (mid-to-
363 late) MIS 5 and a declined fluvial activity at LGM. Broadly similar conclusions were achieved in
364 earlier investigations on fluvial sediments in the Riverine Plain based on TL or OSL dating (e.g.
365 Page et al., 1996; Kemp and Rhodes, 2010). Recently, a study on the Willandra Lakes on the
366 Riverine Plain suggested these lakes were fed by the palaeo-sub branch of the Lachlan River
367 (arising in the GDR) and maintained a high lake stand at the LGM (Kemp et al., 2017). Similar
368 results by Mueller et al., (in press) have shown elevated discharge (relative to today) on the
369 Murrumbidgee River at the LGM. In comparison, the fluvial terraces in the upper Hunter catchment
370 also record fluvial aggradation in mid-to-late MIS 5 and the enhanced alluvial sedimentation in MIS
371 2, as recorded in the Kingdon Ponds terrace, which may be closely related to the LGM high lake
372 level and river activity phase of the Riverine Plain.

373

374 The chronologies obtained in this study appear to suggest that all the river aggradation phases in the
375 upper Hunter catchment occurred in glacial or stadial periods (MIS 6, MIS 5b, MIS 4 and MIS 2)
376 (Fig.6). In Australia, fluvial sedimentation tends to be dominated by sediment availability (e.g.
377 Nanson et al, 1992; Page and Nanson, 1996). A potential major source of sediment is the nearby
378 ranges which have elevations of $\sim 1000 - 1500$ m a.s.l. A recent study of Slee and Shulmeister (2015)
379 has identified extensive periglacial landforms in eastern Australian mountain regions including the
380 upper Hunter catchment. These periglacial landforms, whilst undated, provide evidence for strong
381 freeze-thaw processes throughout the late Quaternary and presumably have formed in the cold
382 periods with adequate moisture. An increased sediment supply provided by the strong periglacial
383 activities, together with increased runoff due to seasonal snowmelt (Reinfelds et al., 2014) and
384 decreased sediment residence time due to variation of vegetation (Dosseto et al., 2010) during the
385 cold episodes, is very likely to drive the aggradation of valley floors. If this hypothesis is true, there
386 must be a regional similarity between the long-term fluvial records in areas affected by past

387 periglacial processes. More chronological studies of other fluvial archives in large coastal draining
388 catchments in southeastern Australia, such as the Shoalhaven River, should further test this
389 hypothesis.

390

391 **5. Conclusions**

392

393 Chronologies of three fluvial terraces in the upper Hunter catchment in southeastern Australia were
394 investigated using single-grain quartz OSL dating. Detailed luminescence investigations revealed
395 some correlation between multiple luminescence properties of individual grains and their D_e values.
396 Application of additional single-grain rejection criteria were found to reduce overdispersion arising
397 from intrinsic factors and improve the dating results. Our dating results extended the fluvial record
398 in southeastern Australia to MIS 6 and set a hypothesis that fluvial aggradation in the upper Hunter
399 catchment is associated with glacial or stadial periods, which may be explained by enhanced
400 sediment supply to the catchment resulting from strong periglacial activities during the cold
401 episodes.

402

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404

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413

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415

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547 **Figure captions**

548

549 Fig.1. (a) Map showing the coastal catchments of New South Wales, Australia and the location of
550 the Hunter catchment. (b) Map of the Hunter catchment. The square indicates the location of the
551 upper Hunter catchment. Stars indicate the locations of the sampling sites: UHR—Upper Hunter at
552 Razorback; KPW—Kingdon Ponds at Wingen (Fryirs, unpublished data).

553

554 Fig.2. (a) Photograph showing the Razorback upper terrace exposure. (b) Transect of the Razorback
555 upper terrace generated from the SRTM 30m digital elevation model (DEM). (c) Photograph
556 showing the Razorback lower terrace exposure. (d) Transect of the Razorback lower terrace
557 generated from the SRTM 30m DEM. (e) Photograph showing the Kingdon Ponds terrace exposure
558 with unit boundaries (solid black line) and tentative unit or sub-unit boundaries (dashed line). Unit
559 A is the basal gravels, Unit B is a fine-grained cemented facies, Units C1 to E2 are comprised of
560 cobble and gravel units – mostly matrix-supported. Units F1-F3 includes thin gravel and sand lenses
561 underlying fine-grained overbank material. (f) Valley cross-section showing the geomorphic setting
562 of the Kingdon Ponds terrace (Fryirs, unpublished data). Elevations in (b), (d) and (f) are expressed
563 as Australian Height Datum (AHD) elevation.

564

565 Fig.3. (a) CAM D_e (filled circles) and OD (open diamonds) of sample UH-URB against the fast
566 ratio rejection threshold. (b) CAM D_e (filled circles) and OD (open diamonds) of sample UH-URB
567 against the T_n rejection threshold.

568

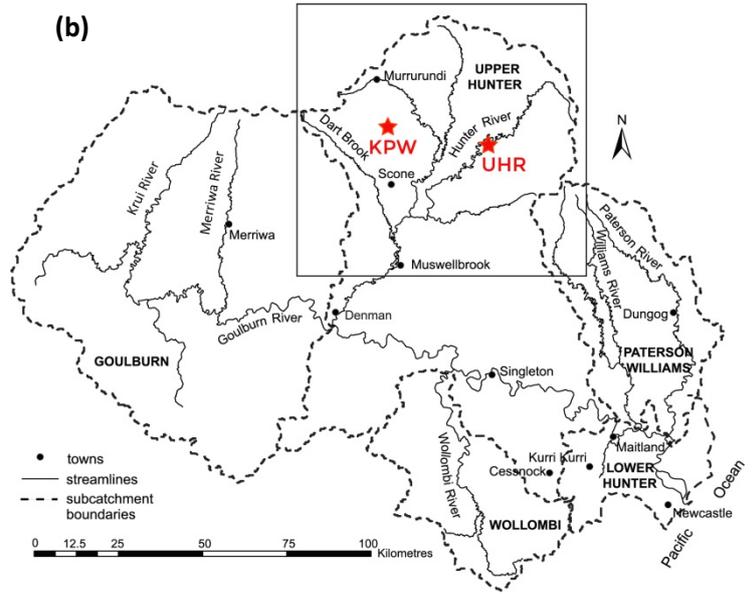
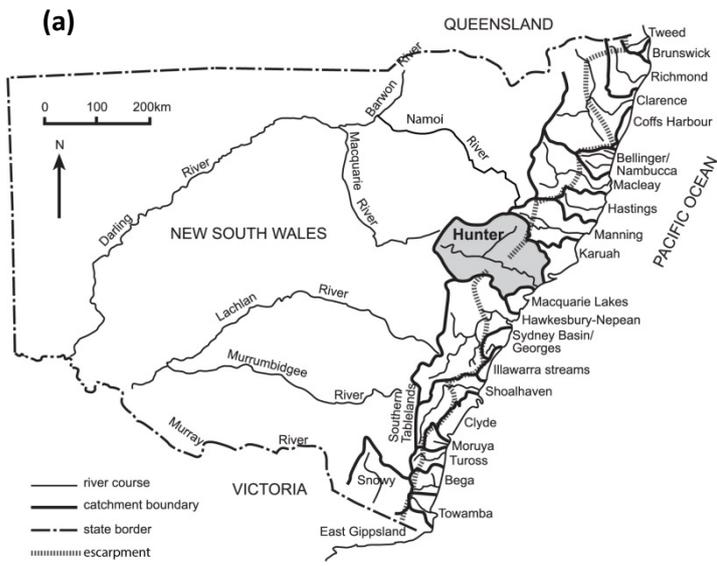
569 Fig.4. CAM $D_{e,s}$ (filled circles) and OD (open circles) of sample KPW-5 against the signal growth
570 ratio rejection threshold, for grains that passed the standard rejection criteria and with fast ratio > 5.

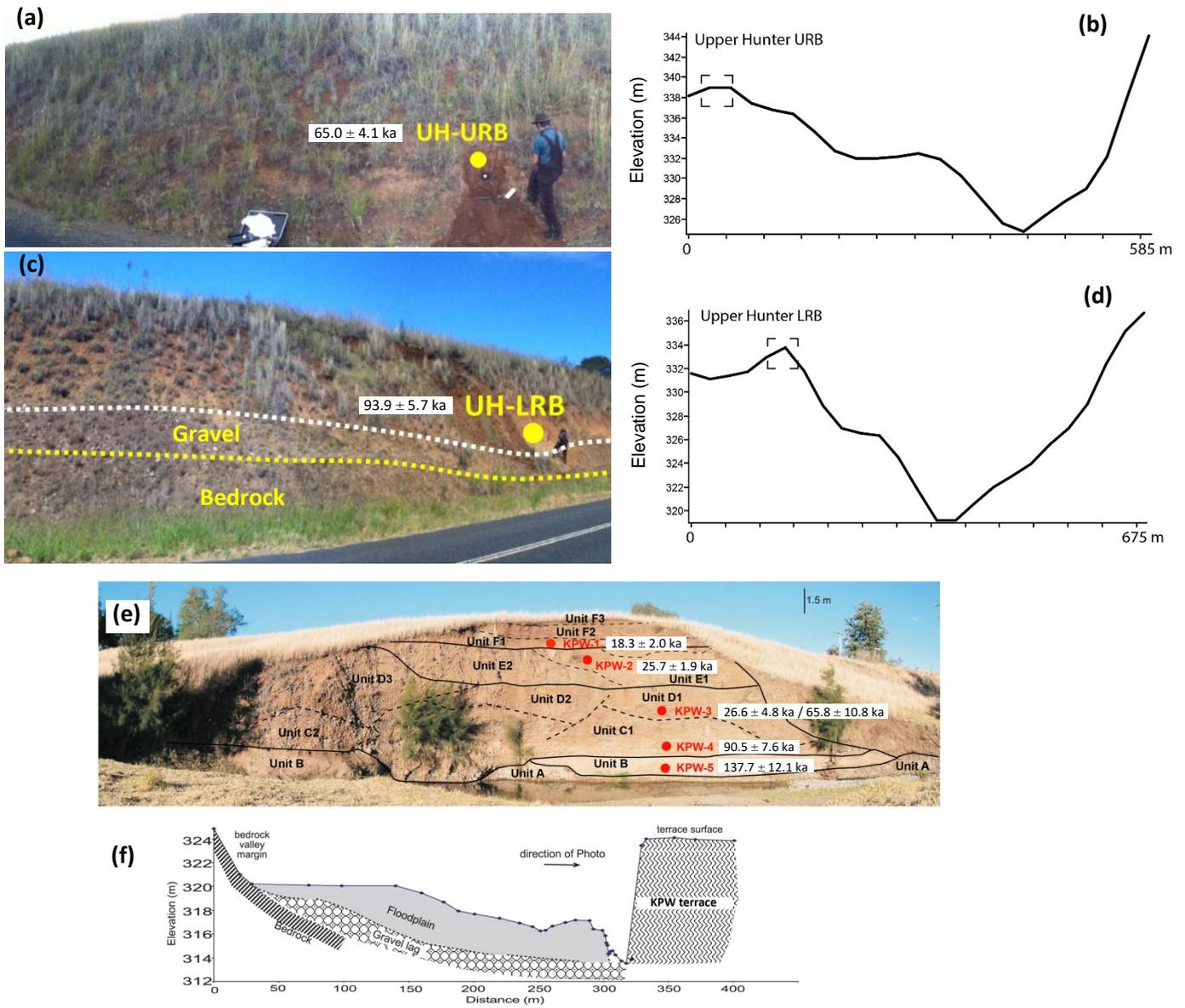
571

572 Fig.5. Radial plots showing the single-grain D_e distributions of all samples. All datapoints are for
573 grains which passed the standard rejection criteria. The closed circles and open triangles represent
574 grains accepted and rejected by additional rejection criteria ($FR > 5$ & $SGR > 2.1$ for KPW-5 and
575 $FR > 5$ for other samples), respectively. The shaded bands for samples UH-URB, UH-LRB, KPW-2,
576 -4 and -5 are centred on their weighted mean $D_{e,s}$ calculated using the CAM (for grains that passed
577 the standard plus additional rejection criteria). The shaded bands for samples KPW-1 and -3 are
578 centred on the weighted mean $D_{e,s}$ for each grain population obtained using the FMM (for grains
579 passed the standard plus additional rejection criteria).

580

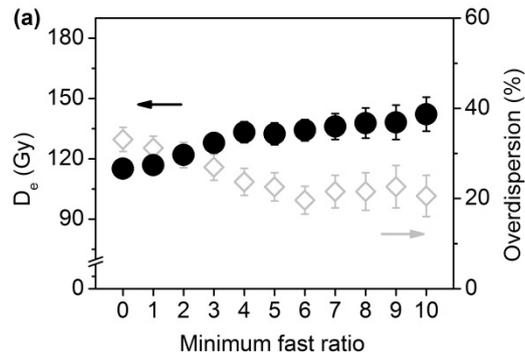
581 Fig.6. Plot showing a comparison of the OSL ages with MIS stages and late Quaternary temperature
582 anomaly recovered from Antarctic ice core (Jouzel et al., 2007). Grey bars represent glacial and
583 stadial episodes. Diamonds represent ages of samples from the Razorback terraces. Circles
584 represent ages of samples from Kingdon Ponds terrace. The open circles indicate two potential ages
585 of the severely mixed sample KPW-3.



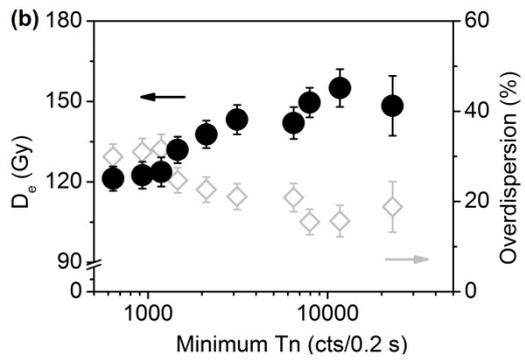


589 **Figure 3**

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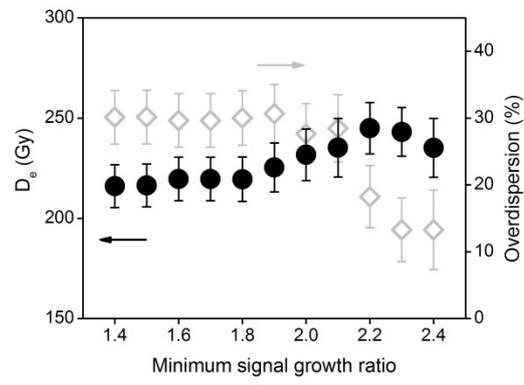
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593 **Figure 4**

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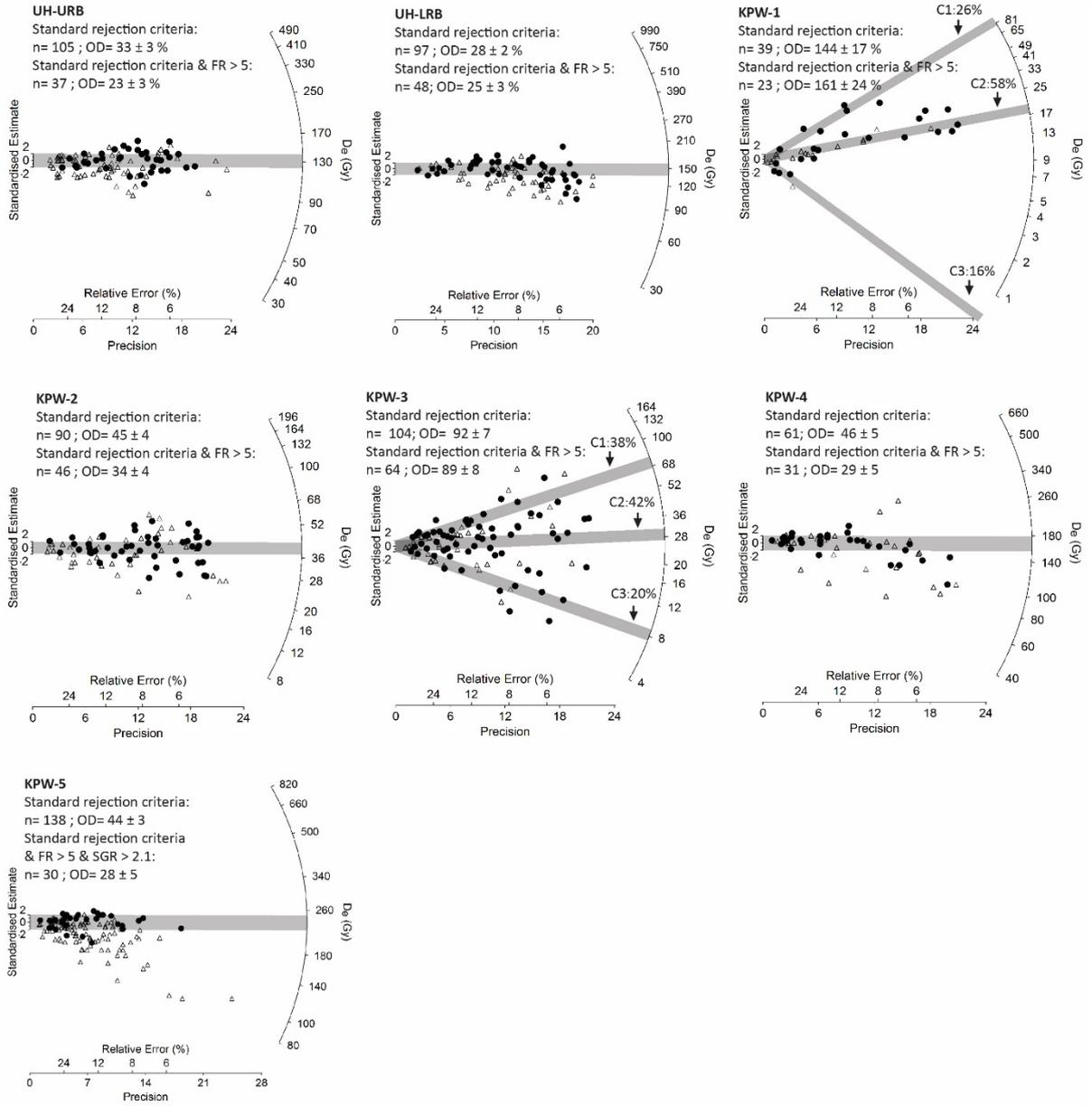
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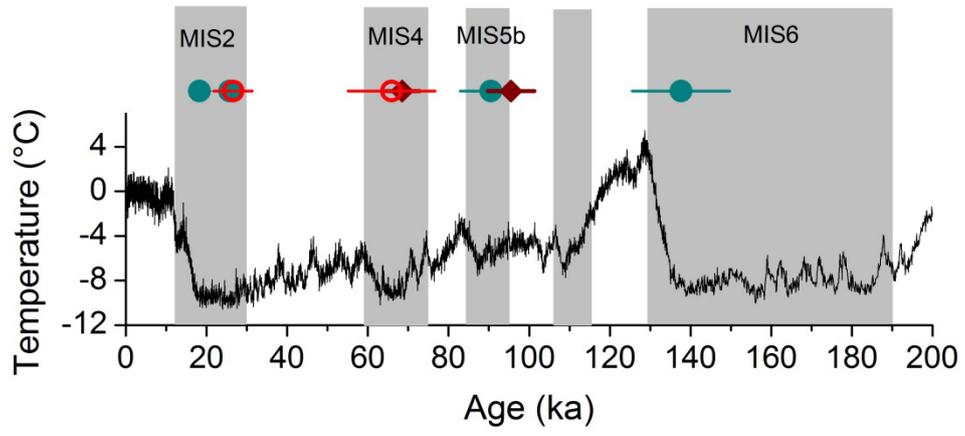
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604 **Figure 6**

605

606



Single-grain OSL dating of fluvial terraces in the upper Hunter catchment, southeastern Australia

-Supplementary Information-

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Table S1 Summary of dose rate information, equivalent doses (D_e s) and ages

Sample	Site	Depth (m)	Grain size (μm)	Moisture content (%) ^a	Dose rate (Gy/ka) ^b			Total dose rate (Gy/ka) ^c	Accepted/measured grains ^d	OD (%)	Age model	D_e (Gy) ^e	Age (ka)
					Beta	Gamma	Cosmic						
UH-URB	Upper Hunter @Razorback upper terrace	4.5	180-212	5	1.18 ± 0.06	0.66 ± 0.02	0.16 ± 0.02	2.04 ± 0.09	37/1000	23 ± 3	CAM	132.44 ± 5.40	65.0 ± 4.1
UH-LRB	Upper Hunter @Razorback lower terrace	6.5	180-212	5	0.87 ± 0.04	0.54 ± 0.02	0.14 ± 0.01	1.58 ± 0.07	48/1000	25 ± 3	CAM	148.23 ± 5.77	93.9 ± 5.7
KPW-1	Kingdon Ponds @Wingen	1.55	212-250	10	0.54 ± 0.03	0.26 ± 0.01	0.18 ± 0.02	1.00 ± 0.05	23/1300	161 ± 24	FMM	18.32 ± 1.70	18.3 ± 2.0
KPW-2	Kingdon Ponds @Wingen	2.05	180-212	10	0.87 ± 0.05	0.50 ± 0.02	0.17 ± 0.02	1.57 ± 0.08	46/800	34 ± 4	CAM	40.31 ± 2.13	25.7 ± 1.9
KPW-3	Kingdon Ponds @Wingen	6.6	212-250	10	0.62 ± 0.03	0.31 ± 0.07	0.13 ± 0.01	1.09 ± 0.05	64/900	89 ± 8	FMM (C1)	71.90 ± 11.29	65.8 ± 10.8
											FMM (C2)	29.02 ± 5.01	26.6 ± 4.8
KPW-4	Kingdon Ponds @Wingen	8	180-212	7	1.11 ± 0.05	0.59 ± 0.04	0.12 ± 0.01	1.86 ± 0.10	31/600	29 ± 5	CAM	168.11 ± 10.34	90.5 ± 7.6
KPW-5	Kingdon Ponds @Wingen	9	180-212	20	0.96 ± 0.05	0.61 ± 0.02	0.10 ± 0.01	1.71 ± 0.10	30/1200	28 ± 5	CAM	235.25 ± 14.62	137.7 ± 12.1

^a Based on measured field moisture contents. The relative uncertainty for moisture content was assigned to 25%.

^b Beta and gamma dose rates were derived from ICP-MS/OES analysis. The measured U, Th and K concentrations were converted into beta and gamma dose rates using conversion factors of Guérin et al. (2011), and corrected for the attenuations of grain size (Guérin et al., 2012) and moisture content (Nathan and Mauz, 2008). Cosmic dose rate was estimated following Prescott and Hutton (1994), taking into account of the geomagnetic latitude, altitude and burial depth of the samples. For sample KPW-4 which has a heterogeneous gamma dose rate within 30 cm, the model of Aitken et al. (1985, p. 289-293) has been applied to correct for the layer to layer difference in gamma dose rate.

^c The total dose rates include an internal dose rate of 0.03 ± 0.01 Gy/ka, estimated using U and Th contents reported by Bowler et al. (2003).

^d The accepted grains are grains passing the standard rejection criteria plus the additional rejection criteria ($FR > 5$ & $SGR > 2.1$ for KPW-5 and $FR > 5$ for other samples).

^e For the two mixed samples KPW-1 and KPW-3, the D_e values were derived from the major grain populations fitted using FMM (Table S4). It is noted that for KPW-3 there are two main grain populations with similar proportion (Table S4). We calculated two potential ages for this sample based on these two populations.

Table S2 Summary of U, Th and K concentrations measured using ICP-MS/OES

Sample ^a	U (ppm)	Th (ppm)	K (%)
UH-URB	1.17 ± 0.06	4.80 ± 0.24	1.35 ± 0.07
UH-LRB	1.01 ± 0.05	4.57 ± 0.23	0.94 ± 0.05
KPW-1	0.33 ± 0.02	1.39 ± 0.07	0.72 ± 0.04
KPW-2	0.81 ± 0.04	3.99 ± 0.20	1.06 ± 0.05
KPW-3	0.40 ± 0.02	1.90 ± 0.07	0.81 ± 0.02
KPW-4-UG	0.67 ± 0.03	3.77 ± 0.15	0.96 ± 0.04
KPW-4	0.97 ± 0.04	6.57 ± 0.26	1.26 ± 0.05
KPW-4-LG	0.66 ± 0.03	3.41 ± 0.14	1.05 ± 0.04
KPW-5	1.10 ± 0.04	6.44 ± 0.26	1.23 ± 0.05

^a Sample KPW-4 was collected from a 10 cm thick sand lens within a stratigraphic unit mainly comprising coarse gravels. Samples KPW-4-UG and KPW-4-LG were collected from coarse gravel layers above and below the sand lens, which were used for correcting for gamma dose heterogeneity for sample KPW-4.

Table S3 Summary of the single-grain OSL rejection details

Sample	UH-URB	UH-LRB	KPW-1	KPW-2	KPW-3	KPW-4	KPW-5
Total measured grains	1000	1000	1300	800	900	600	1200
Reason for rejecting grains from D_e analysis	%	%	%	%	%	%	%
Tn < 3σ background	58	65	84	68	66	62	60
RSE of Tn exceeds 20%	20	13	9	12	13	14	12
Recycling ratio $\neq 1$ at $\pm 2\sigma$	2	1	1	1	2	1	2
OSL-IR depletion ratios <1 at $\pm 2\sigma$	5	1	1	2	1	2	1
Recuperation > 5%	1	1	0	0	1	0	0
Anomalous dose response / unable to perform DRC fit	3	7	2	6	4	8	5
Saturated grains	1	2	0	0	1	3	7
Zero dose grains	0	1	0	0	2	0	0
Fast ratio < 5	7	5	1	6	4	5	9
SGR > 2.1	N/A	N/A	N/A	N/A	N/A	N/A	2
Sum of rejected grains (%)	96	95	98	94	93	95	97
Sum of accepted grains (%)	4	5	2	6	7	5	3

Table S4 A summary of D_e value for each D_e component and the proportion of grains in each D_e component for samples fitted using the finite mixture model

Sample	OD (%)	σ_b (%) ^a	k	D_e (Gy) ^b	Proportion (%) ^b
KPW-1	161 ± 24	31	3	0.58 ± 0.20	16
				18.32 ± 1.70	58
				83.37 ± 12.51	26
KPW-3	89 ± 8	35	3	8.12 ± 1.28	20
				29.02 ± 5.01	42
				71.90 ± 11.29	38

^a The optimal σ_b value used for fitting the finite mixture model was determined based on the maximum log likelihood (l_{ik}) and the Bayes Information Criterion (BIC) (Roberts et al., 2000).

^b D_e components shown in bold are used for age estimation.

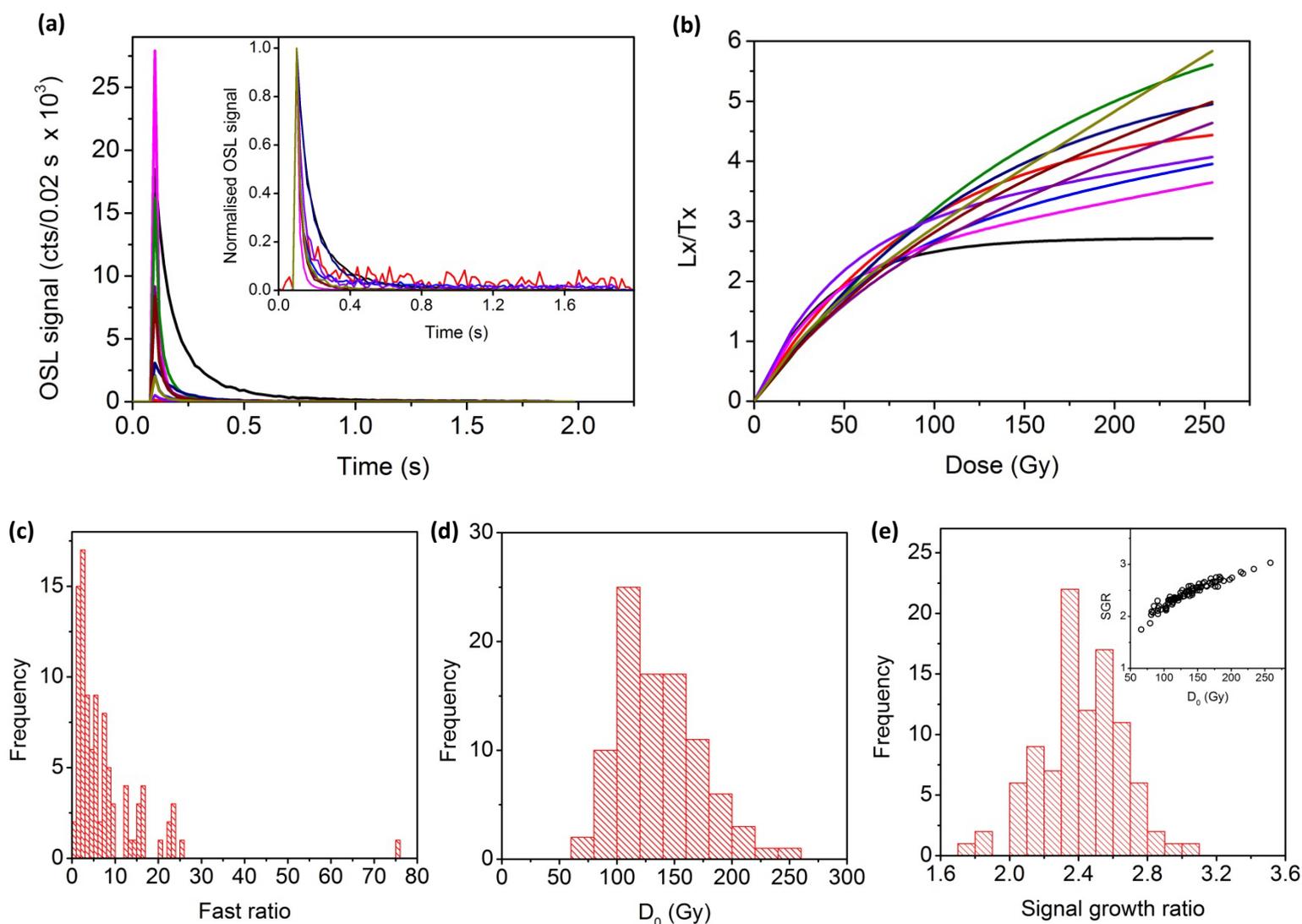


Fig.S1. (a) Single-grain OSL decay curves of 10 representative grains from sample UH-LRB, in response to a test dose of 23 Gy. The inset shows the same OSL decay curves which are normalised to the OSL counts of the first channel; (b) Single-grain OSL dose response curves of 10 representative grains from sample UH-LRB; (c) Fast ratio distribution of 97 grains from sample UH-LRB which pass the standard rejection criteria shown as histogram; (d) D_0 distribution of 93 (out of 97) grains from sample UH-LRB which pass the standard rejection criteria shown as histogram. The D_0 values were obtained by fitting the dose repose curve of each grain using a single saturating exponential function; (e) Signal growth ratio (SGR) of 97 grains from sample UH-LRB which pass the standard rejection criteria shown as histogram. The SGR is defined as the ratio between the fitted sensitivity-corrected OSL values for two regenerative doses—200 Gy and 50 Gy—obtained from the dose response curve of each grain fitted using a general-order kinetics (GOK) function (Guralnik et al., 2015). The inset shows the D_0 value against the SGR value for all grains.

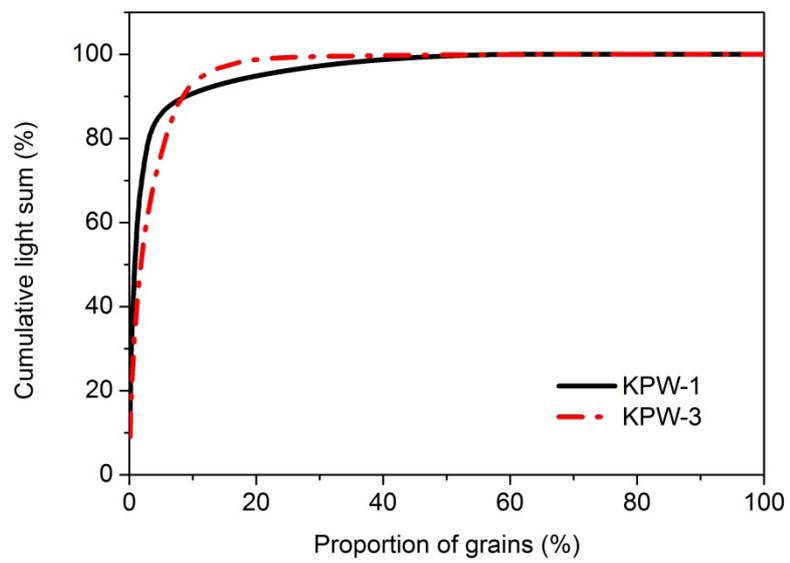


Fig.S2. Cumulative light sum plots for two representative samples KPW-1 and KPW-3.

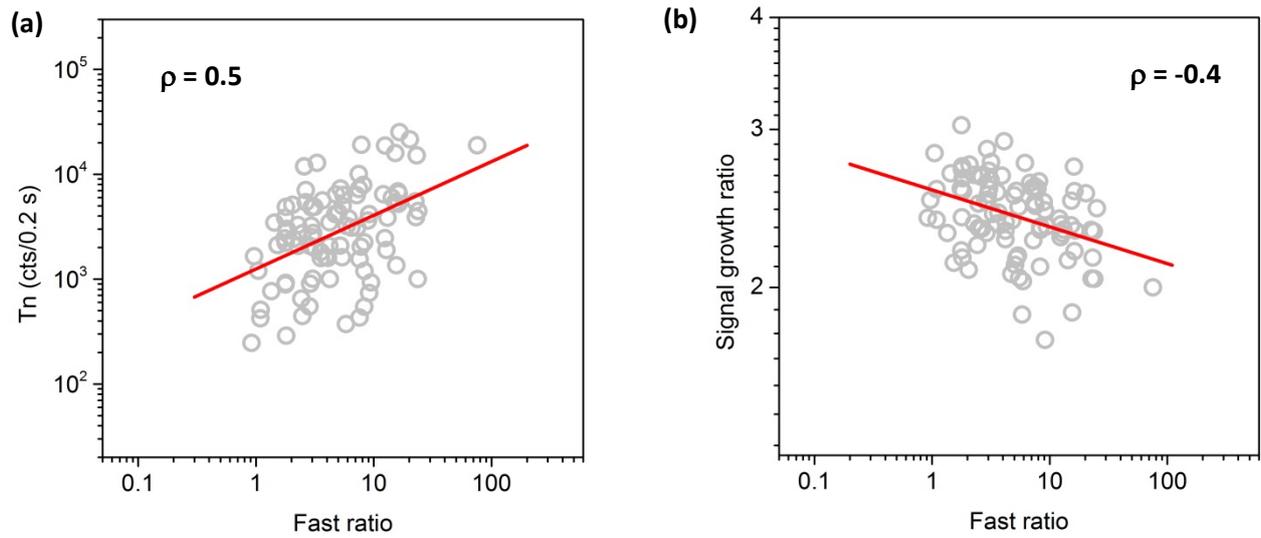


Fig.S3. (a) Fast ratio against Tn for 97 grains from sample UH-LRB which pass the standard rejection criteria; (b) Fast ratio against signal growth ratio for the same grains in (a). In both figures the red lines represent the best linear fit of the dataset. Both figures are in log-log scale.

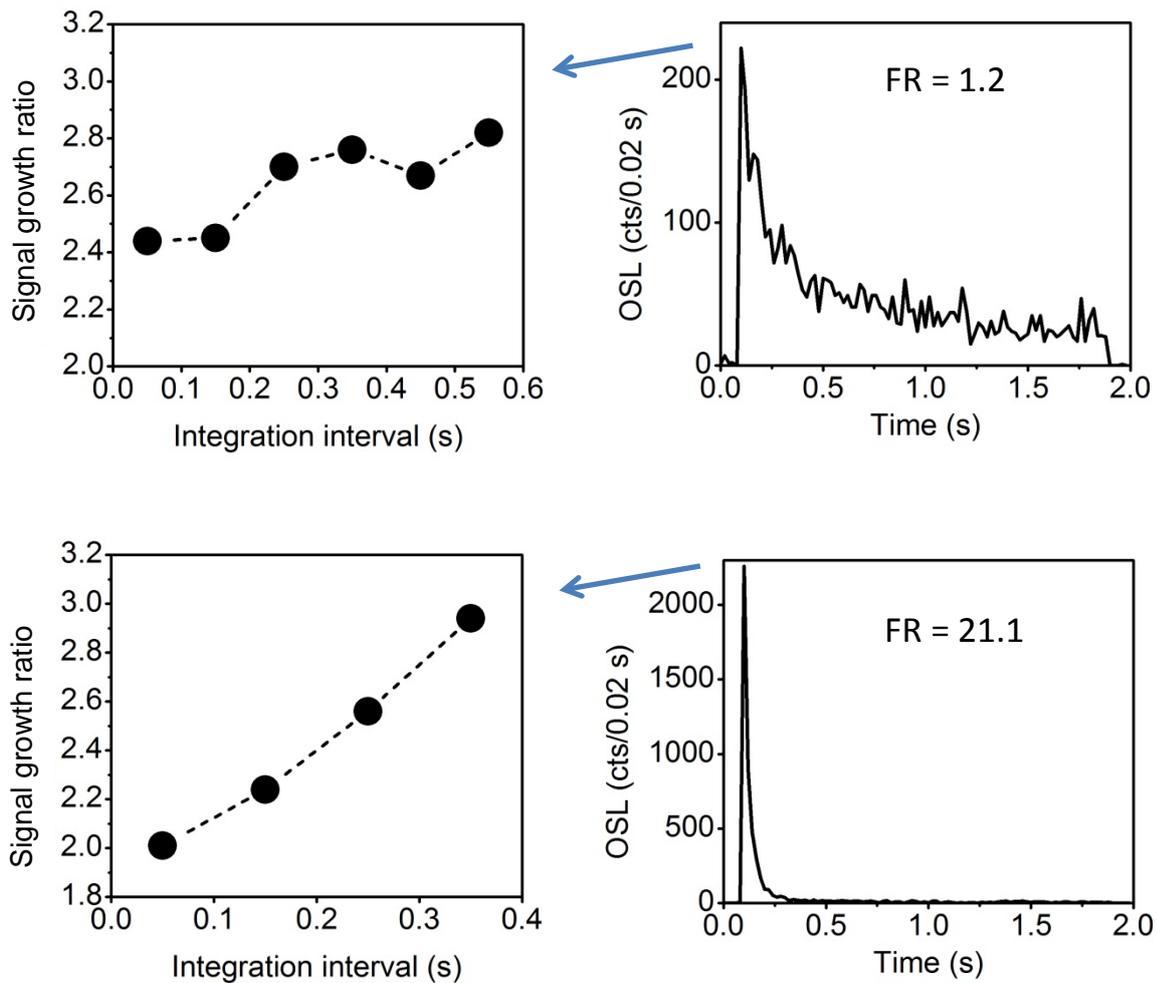


Fig.S4. Left panels: Signal growth ratio (SGR) plotted as a function of OSL measurement time (SGR (t) plot) for two grains from sample KPW-4 with different fast ratios (FRs). An increase in signal growth ratio towards the later integrals suggests that the non-fast components saturate later than the fast component; Right panels: OSL decay curves of the two grains in response to a test dose of 35 Gy.

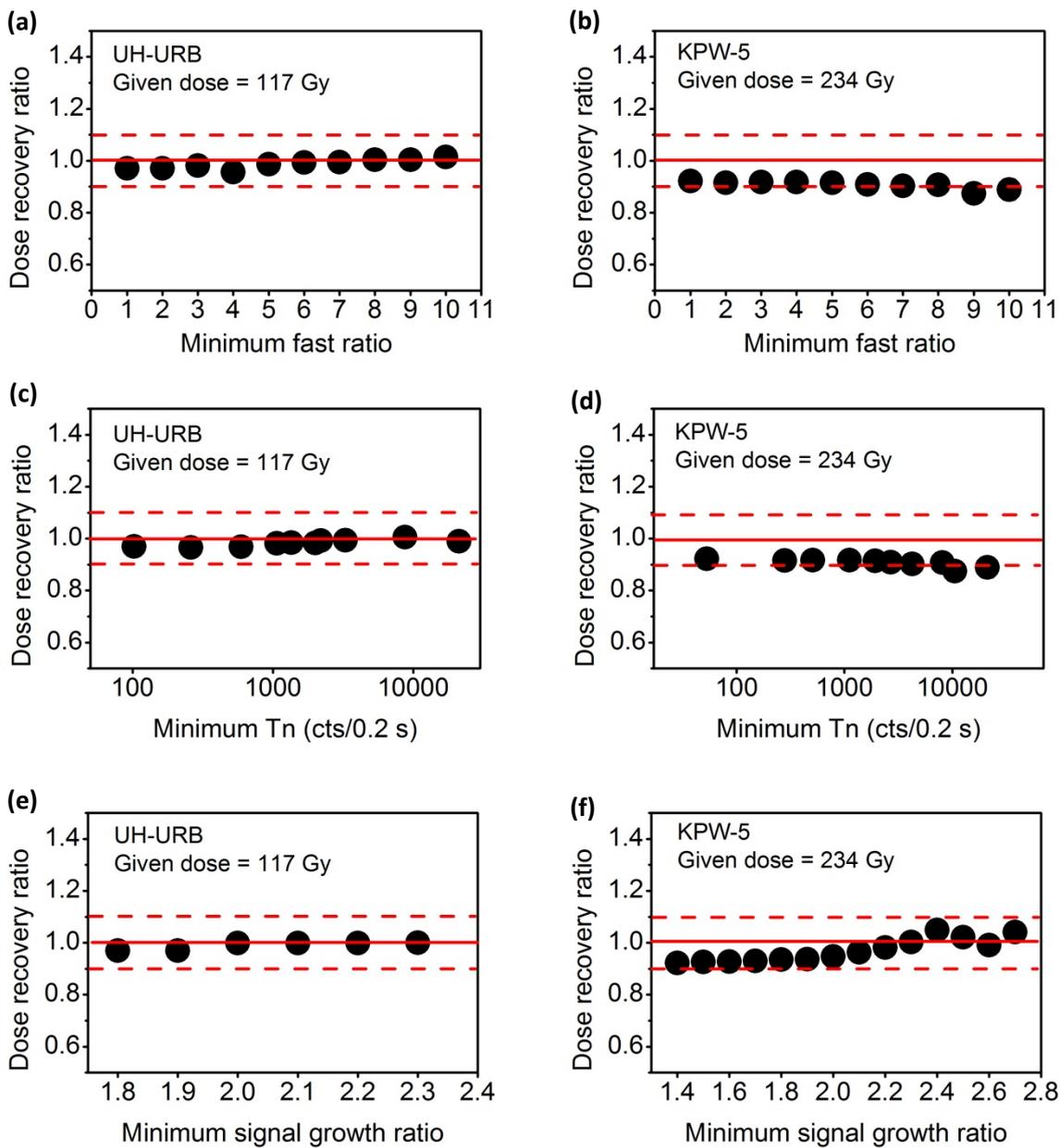


Fig.S5. Dose recovery ratio for samples UH-URB and KPW-5 shown as a function of (a) and (b): the fast ratio rejection threshold; (c) and (d): the Tn rejection threshold; and (e) and (f): the signal growth ratio rejection threshold.

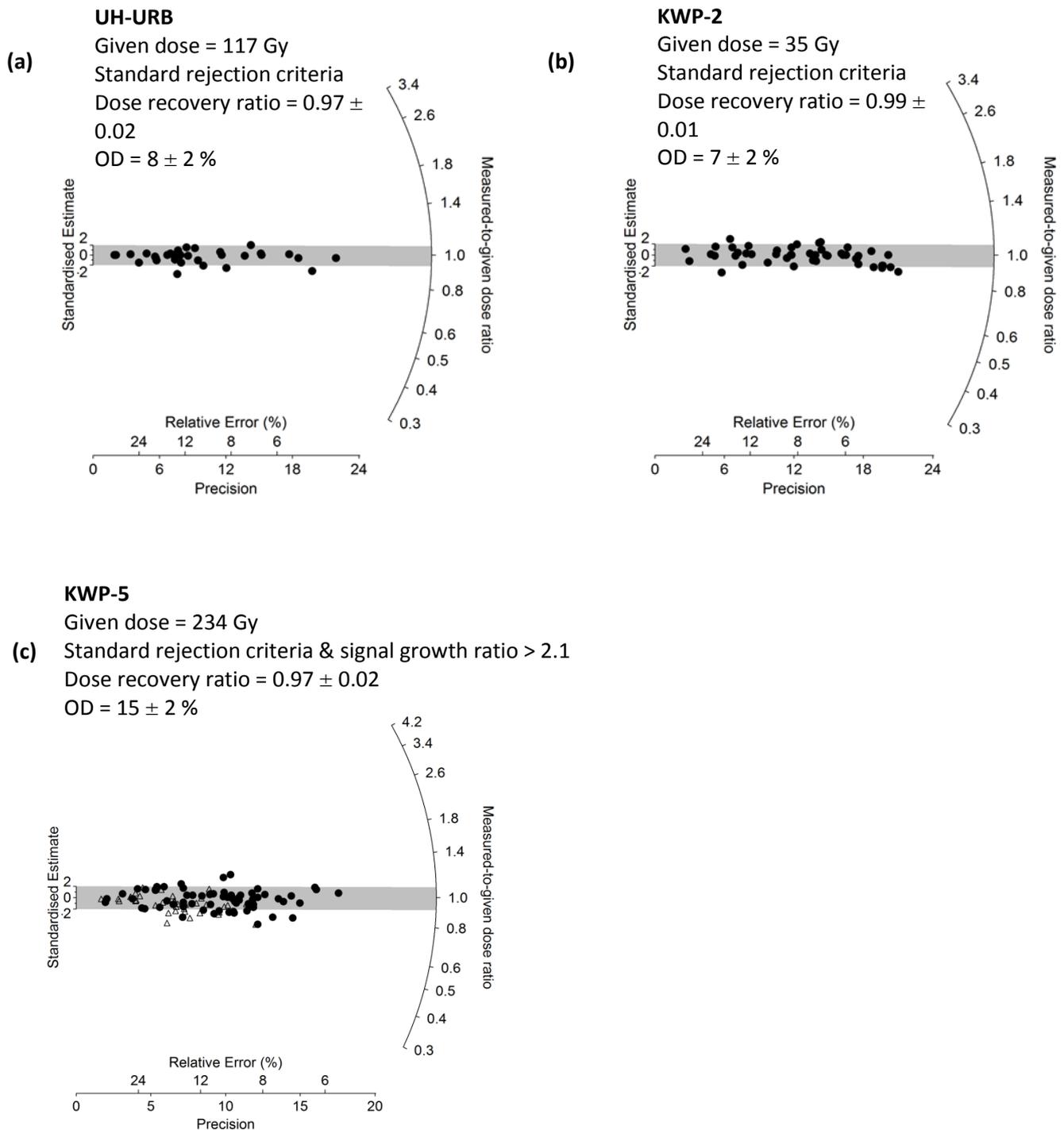


Fig.S6. Dose recovery test results for three representative samples UH-URB, KPW-2 and KPW-5 shown as radial plots. In the experiments, quartz grains of each sample were first bleached using a Dr Hönle UVACUBE 400 solar simulator for 1 hour to remove the natural signals, and then given a beta dose close to their natural D_e s before measuring using the SAR protocol. For KPW-5, using all grains which pass the standard rejection criteria yields a slightly underestimated dose recovery ratio of 0.92 ± 0.02 . After application of an additional signal growth ratio (SGR) rejection criterion using a SGR rejection threshold of 2.1, the dose recovery ratio is refined to 0.97 ± 0.02 . The open triangles in Fig.S6c represent grains rejected by the signal growth ratio rejection criterion.

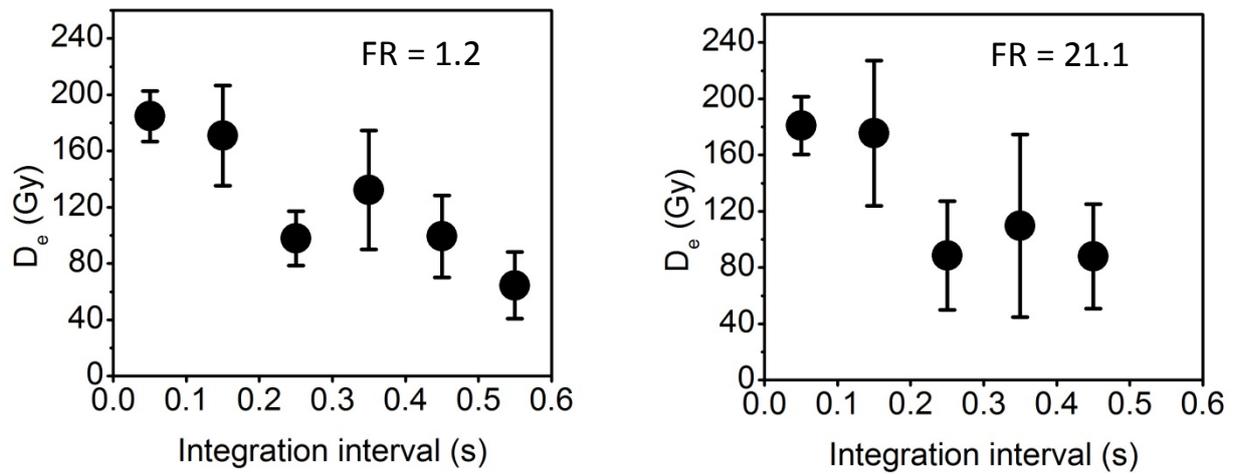


Fig.S7. $D_e(t)$ plots (Bailey, 2000) of two grains from sample KPW-4 with different fast ratios (FRs) (the same grains as in Fig.S4). A decrease in D_e towards the later integrals was observed, which is often associated with unstable non-fast components (e.g. Li and Li, 2006; Steffen et al., 2009).

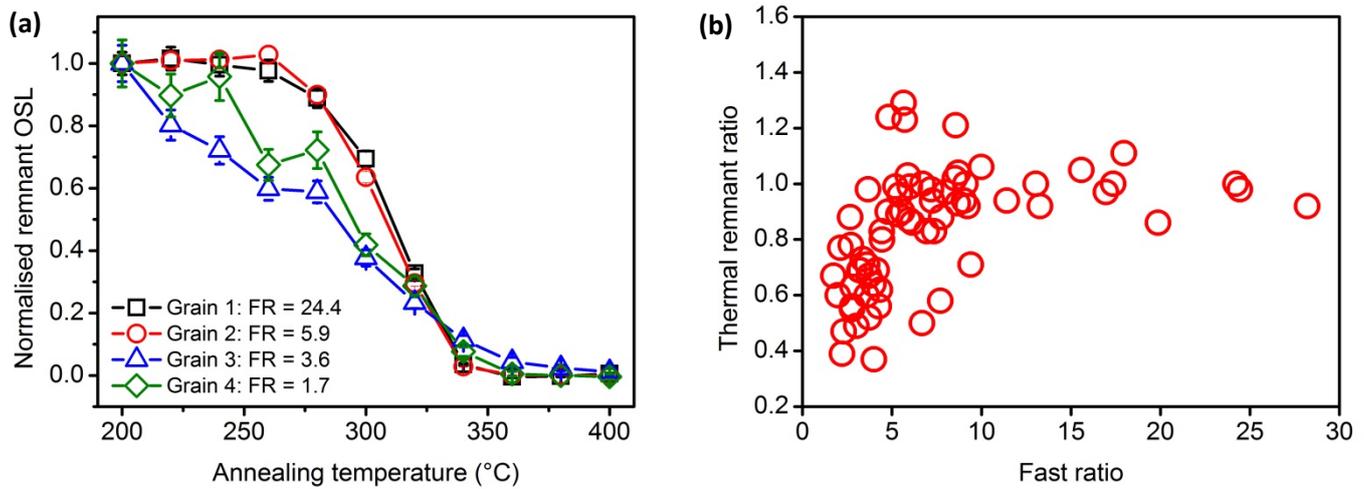


Fig.S8. Pulse annealing test results for individual grains from sample UH-LRB. In each cycle, the single-grain discs were given a regenerative dose of 93 Gy, and then annealed to a temperature of T °C. The remnant OSL signals for individual grains were then measured using a green laser stimulation at 125°C for 1.8 s, and at the end of each cycle a blue diode stimulation at 260 °C for 40 s was performed to remove all the signals. This measurement cycle was repeated several times with the annealing temperature T increased from 200 to 400 °C, with an increment of 20 °C. The sensitivity change in each cycle was corrected using the OSL signal of a test dose (measured after a cutheat of 200 °C). (a) Pulse annealing curves for four representative grains with different fast ratios. Grains with lower fast ratios (< 5) show a greater depletion in OSL towards higher annealing temperatures, indicating lower thermal stability; (b) Thermal remanent ratios (Fan et al., 2011) for 67 grains plotted as a function of their fast ratios. The thermal remanent ratio is calculated as the ratio of the remnant OSL signal measured after heating to 260 °C to that measured after heating to 200 °C. The results in Fig.S8b show that for a majority of grains with $FR > 5$, the reduction in OSL signal is small or negligible after a heating to 260 °C; in contrast, for most of grains with $FR < 5$, a heating to 260 °C has significantly depleted the OSL signal, suggesting grains with lower FR have lower thermal stability. This observation is most likely to attribute to a higher contribution of the unstable non-fast components to grains with low FR.

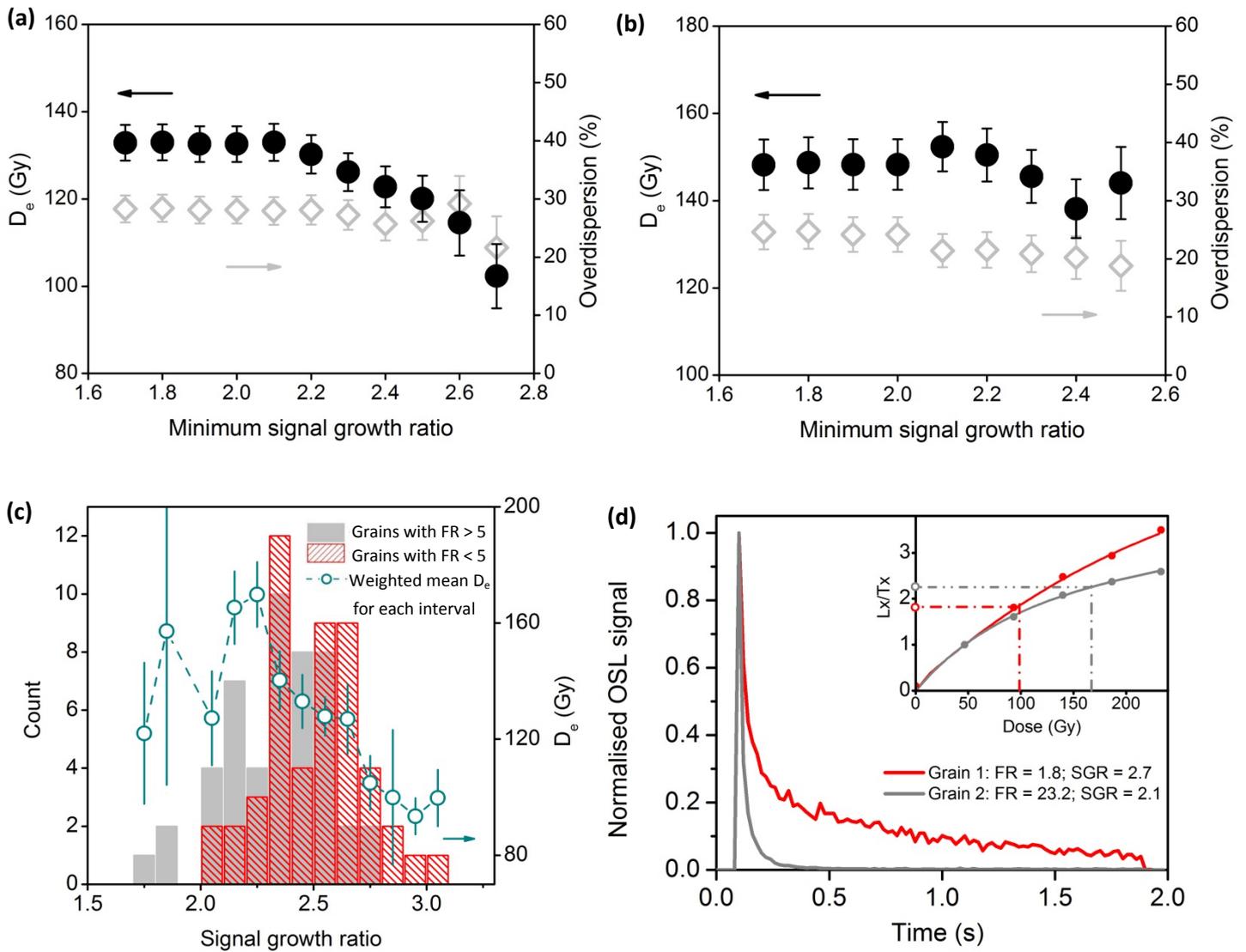


Fig.S9. (a) CAM D_e s (filled circles) and overdispersion (open diamonds) of sample UH-LRB against the signal growth ratio (SGR) rejection threshold, for all grains passing the standard rejection criteria. The CAM D_e shows a decreasing tendency with increasing SGR threshold (i.e. shows a decreasing tendency with the dose saturation level increasing); (b) CAM D_e s (filled circles) and overdispersion (open diamonds) of UH-LRB against the SGR rejection threshold, for grains passing the standard rejection criteria *and* with fast ratio (FR) > 5. In contrast to (a), for grains with $FR > 5$, there is no clear dependence of the CAM D_e on the SGR (i.e. no dependence of the CAM D_e on the dose saturation level); (c) Histograms showing the SGR distributions for 97 quartz grains of UH-LRB. The grey bars represent grains with $FR > 5$ and the hatches represent grains with $FR < 5$. The open circles represent the weighted mean D_e s corresponding to each SGR interval (based on all grains without FR selection). The figure shows that grains with $FR > 5$ have a lower mean SGR (i.e. lower mean dose saturation level) compared to grains with $FR < 5$. Some grains with low FR, high dose saturation levels (high SGR, e.g. $SGR > 2.5$) and low D_e are identified. These grains

likely to contain more non-fast components, therefore can yield underestimated D_e s due to low thermal stability (see Figs. S7 and S8). These grains can also lead to a decrease of the CAM D_e with the SGR threshold increasing (as in Fig.S9a). Application of a FR rejection criterion (using a FR threshold value of 5) can reject these grains, thus eliminate the dependence of D_e on the dose saturation level (as in Fig.S9b); (d) Normalised OSL decay curves of two typical quartz grains of UH-LRB and their dose response curves (the inset): Grain 1—a grain which exhibits a low FR, a higher dose saturation level and a lower D_e value; and Grain 2—a grain which exhibits a high FR, a lower dose saturation level and a higher D_e value.

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