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Low Carbon Desalination by Innovative Membrane Materials and Processes

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Low Carbon Desalination by Innovative Membrane Materials and Processes

Abstract

Seawater and brackish water desalination has been a practical approach to mitigating the global fresh water scarcity. Current large-scale desalination installations worldwide can complementarily augment the global fresh water supplies, and their capacities are steadily increasing year-on-year. Despite substantial technological advance, desalination processes are deemed energy-intensive and considerable sources of CO₂ emission, leading to the urgent need for innovative low carbon desalination platforms. This paper provides a comprehensive review on innovations in membrane processes and membrane materials for low carbon desalination. In this paper, working principles, intrinsic attributes, technical challenges, and recent advances in membrane materials of the membrane-based desalination processes, exclusively including commercialised reverse osmosis (RO) and emerging forward osmosis (FO), membrane distillation (MD), electrodialysis (ED), and capacitive deionisation (CDI), are thoroughly analysed to shed light on the prospect of low carbon desalination.

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34 analysed to shed light on the prospect of low carbon desalination.

35 **Keywords:** low carbon desalination; membrane-based desalination; reverse osmosis (RO);
36 forward osmosis (FO); membrane distillation (MD); electrodialysis (ED); capacitive
37 deionisation (CDI).

38 1. Introduction

39 Desalination has become a practical approach to augmenting fresh water supplies in many
40 water-stressed areas around the world [1]. According to the International Desalination
41 Association, desalination plants worldwide can provide more than 86.8 million cubic meters of
42 desalinated water per day to meet the daily fresh water demand of more than 300 million people
43 [2]. The global desalination capacity is increasing at a steadfast pace and is expected to double
44 by 2030 given huge financial investments [3]. The global desalination market had been long
45 time dominated by conventional thermal distillation processes such as multi-stage flash (MSF)
46 and multi-effect distillation (MED). However, in recent decades membrane-based separation
47 processes, particularly reverse osmosis (RO), have become the leading desalination technology
48 and are preferable to the conventional thermal distillation for new and projected desalination
49 installations [1, 4, 5]. Compared to conventional thermal distillation, the membrane-based
50 processes are by far more energy efficient. For example, the energy demand of the seawater RO
51 process has approached closely to the theoretical minimum energy demand (i.e. 0.77 kW h/m^3)
52 and is approximately ten-folds lower than that of the conventional thermal distillation processes
53 [6].

54 The substantial growth of desalination has inevitably led to mounting environmental
55 concerns regarding to greenhouse-gas emission. Despite being the most energy efficient, the
56 seawater RO desalination process exhibits a carbon footprint of 2.562 kg CO_2 per one cubic
57 meter of fresh water product [7]. Given the current global desalination capacity of 86.8 million
58 cubic meters of fresh water product per day, the annual carbon footprint of all desalination
59 installations worldwide is 79 Mt CO_2 , with a potential growth of 10 to 15% per annum [4]. In
60 this context, low carbon desalination processes are urgently needed to sustain the growth of
61 desalination to meet increasing global fresh water demand while reducing desalination carbon
62 footprint to reach the global CO_2 emission target set in the Paris Agreement on climate change
63 in 2015 [8].

64 This paper aims at providing a comprehensive review of innovative desalination membrane
65 processes and membrane materials **with respects to energy consumption and hence carbon**
66 **footprint reduction**. The desalination membrane-based processes discussed in this review paper
67 include maturely commercialised RO and other emerging processes such as forward osmosis
68 (FO), membrane distillation (MD), electrodialysis (ED), and capacitive deionisation (CDI).

69 Working principles, intrinsic attributes, and technical challenges with respect to energy
70 efficiency and decarbonisation of each process are thoroughly analysed and discussed.

71 **2. Reverse osmosis**

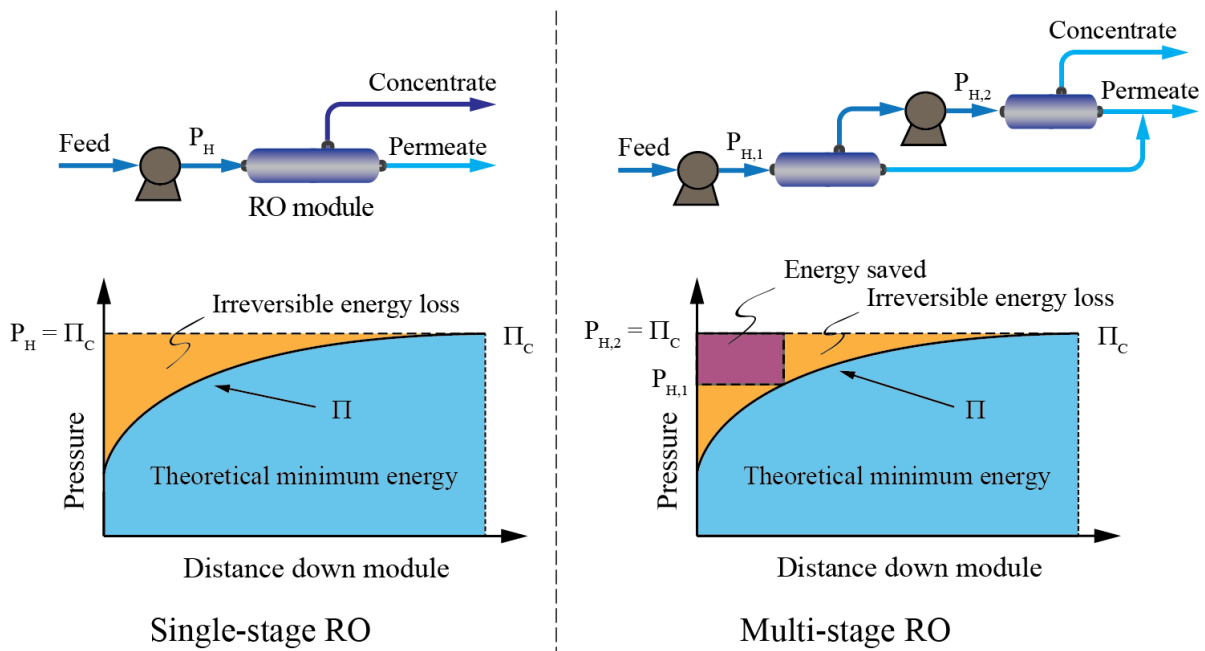
72 In reverse osmosis (RO) desalination, desalinated water is extracted from a saline solution
73 using a semi-permeable membrane that selectively favours the permeation of water. Energy is
74 required to push water through the membrane against the effect of the osmotic pressure gradient
75 between the saline feed and the permeate streams. The theoretical minimum energy demand for
76 the RO process of seawater at water recovery of 50% is 1.06 kWh/m³ [1]. However, the actual
77 energy consumption of seawater RO desalination exceeds this minimum value because a
78 hydrostatic pressure much higher than the osmotic pressure of seawater is required to obtain a
79 desired process water flux. Pre-treatment of the feed water and post-treatment of the permeate
80 further increase the energy consumption of RO processes compared to the theoretical minimum
81 value.

82 Recent technological advancements in membrane materials and energy recovery devices
83 have led to a significant reduction in energy consumption of the RO process. Currently, a state-
84 of-the-art seawater RO process can achieve an energy consumption from 3.0 to 3.5 kWh/m³ [4].
85 Of this total energy consumption, the RO step consumes 2.2 kWh/m³, and 0.3 kWh/m³ is for
86 the pre-treatment step using ultra-filtration (UF) [9]. Therefore, strategies for energy
87 consumption reduction, and hence for increased decarbonisation, of RO desalination mainly
88 focus on reducing the energy consumption of the RO and the pre-treatment steps.

89 The energy consumption of the RO step can be reduced by increasing membrane water
90 permeability. According to Cohen-Tanugi et al. [10], energy consumption of seawater RO can
91 decrease by 20% when the membrane water permeability increases three folds. Thus, ultra-
92 permeable membranes using Aquaporin, carbon nanotubes, and graphene materials have been
93 explored and demonstrated for RO desalination [11-13]. In the RO process using these ultra-
94 permeable membranes, water transports through the membrane under a different mechanism
95 compared to traditional membranes. Water channels in the ultra-permeable membranes
96 facilitate the transport of water molecules while not compromising the rejection of dissolved
97 salts, giving the ultra-permeable membranes a much higher water permeability but a similar salt
98 removal compared to traditional RO membranes [11-13]. Increased membrane water

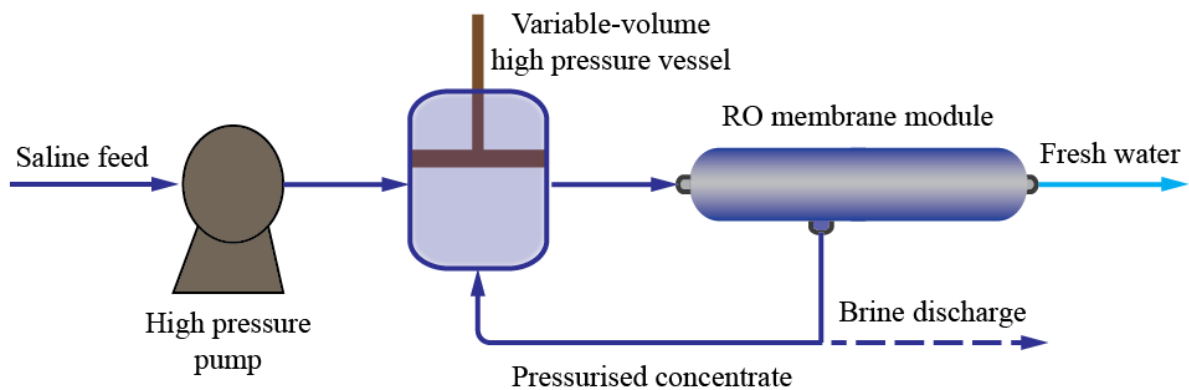
99 permeability allows for the RO desalination operation at a lower applied pressure while
 100 obtaining the same process water flux, thus decreasing the process specific energy consumption
 101 [1].

102 Process optimisation has also been approached to reduce the energy consumption and hence
 103 to decarbonise fresh water production of RO desalination. One strategy to reduce RO energy
 104 consumption is multi-staging the RO process. As demonstrated in Fig. 1, in a single-stage RO
 105 process, a minimum hydrostatic pressure (P_H) equal to the osmotic pressure of the concentrate
 106 at the outlet of the RO module (Π_C) is applied. Along the membrane module from the inlet, P_H
 107 is higher than the local osmotic pressure (Π) of the concentrate. The difference between P_H and
 108 local Π causes the irreversible energy loss. In a multi-stage RO process, more high-pressure
 109 pumps are used between RO membrane stages, and the applied pressure of each stage increases
 110 with the order of the stage. This allows the applied pressure of each stage to approach closer to
 111 the local Π . Thus, operating the RO process in multi-stage helps reduce the irreversible energy
 112 loss and allows the RO process to approach the theoretical minimum energy consumption [1,
 113 14, 15]. In other words, the seawater RO desalination process with infinite stages at water
 114 recovery of 50% can achieve the theoretical minimum energy consumption of 1.06 kWh/m³.
 115 Nevertheless, multi-staging the RO process also leads to increase in investment and operational
 116 costs as more high-pressure pumps and maintenance are required.



117
 118 **Fig. 1.** Schematic diagrams and energy saving of a single-stage and a multi-stage RO process
 119 (adapted from [1]).

120 The energy consumption of RO desalination can be reduced by operating the process in
121 closed circuit or semi-batch mode [16, 17]. In closed circuit or semi-batch RO process, saline
122 feed water is continuously pumped into a variable-volume high pressure vessel connected with
123 spiral-wound RO membranes (Fig. 2). Fresh water is collected at the outlets of the membrane
124 modules while the pressurised concentrate is circulated back to the pressure vessel to mix with
125 the feed water. The residual pressure of the concentrate is reused to pressurise the feed water,
126 hence reducing the applied pressure on the feed water. The pressure of the mixed feed water in
127 the pressure vessel is increased overtime with the increase in the osmotic pressure of the mixed
128 feed. When a desired water recovery has been achieved, the concentrated mixed feed water (i.e.
129 brine) is discharged and replaced by fresh water feed before starting the next operation cycle.
130 Simulation results have demonstrated that semi-batch and closed circuit operation can reduce
131 energy consumption of a brackish water RO desalination process by 64% [16].



132
133 **Fig. 2. Schematic diagram of a close circuited RO process.**

134 Membrane fouling is an intrinsic technical issue for RO desalination. Fouling leads to
135 decline in the process water flux or increase in the applied pressure, inevitably increasing the
136 specific energy consumption of the RO process. Various methods have been explored to
137 mitigate and control membrane fouling during the RO desalination process, of which pre-
138 treatment of the feed water is a prerequisite. Conventionally, media filters, low pressure UF,
139 and probably dissolved air flotation (DAF) are incorporated before RO membrane modules to
140 pre-treat the feed water. This pre-treatment train has proven capable of effectively removing
141 turbidity and assimilable organic carbon (AOC), thus providing quality feed water to the RO
142 membrane modules. However, this pre-treatment step (particularly UF) still contributes 0.3
143 kWh/m³ to the total energy consumption of the RO process. Practising subsurface intakes (e.g.
144 using beach wells and galleries for pre-treatment) can help reduce the energy consumption for
145 pre-treatment and hence for the overall process of seawater RO desalination [18]. Geological

146 properties of beach wells and galleries retain and provide biological removal of organic matter,
147 suspended sediments, and dissolved organic compounds, thus offering a cost-effective and
148 energy saving pre-treatment prior to the RO membranes [18]. Nevertheless, this pre-treatment
149 method is limited to feed waters with low a membrane fouling propensity.

150 A novel approach to reducing energy consumption of pre-treatment in RO desalination is to
151 deploy gravity driven membranes (GDM) [19-21]. In a GDM pre-treatment system, feed water
152 is dead-end filtered through UF membrane under a hydrostatic pressure regenerated by a water
153 head, obviating the need for a high-pressure pump as required in normal UF operation. [A
154 beneficial biofilm consisting eukaryotic organisms formed on the UF membrane surface
155 biodegrades and hence effectively removes rejected organic particles and colloids from the feed
156 water, leading to a lower fouling potential in the subsequent RO process.](#) The beneficial biofilm
157 also helps stabilise the water flux of the UF membrane without the need for backwash or
158 chemical cleaning. As a result, the pre-treatment energy consumption of seawater feed using
159 GDM could be markedly reduced to 0.01 kWh/m³ compared to 0.3 kWh/m³ for a normal UF
160 pre-treatment [4]. Though, GDM pre-treatment was not able to reduce dissolved organic carbon
161 content in the pre-filtered water, hence a submerged GDM system combined with carrier
162 biofilm processes was proposed for a more effective pre-treatment before the RO desalination
163 process [21].

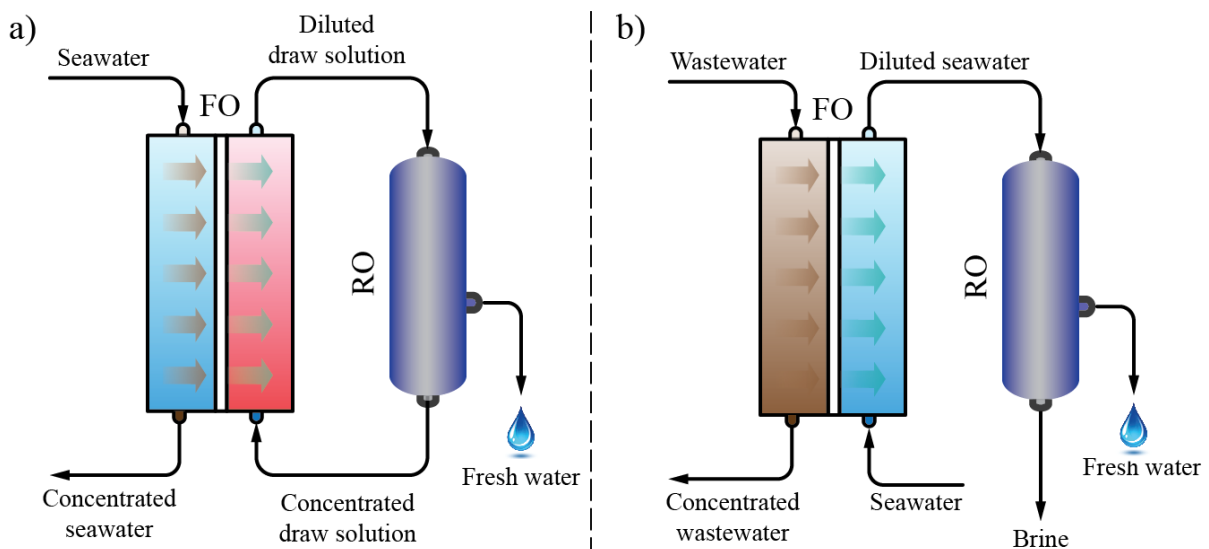
164 In addition to reducing energy consumption, low carbon RO desalination can be achieved
165 by coupling RO with renewable energy sources such as solar, wind, and geothermal energies
166 [4, 5, 22-24]. Powered by renewable energy, RO desalination plants can approach to zero-
167 carbon emission as they can minimise the consumption of electrical energy sourced from fossil
168 fuel. Indeed, wind farms have been built beside RO desalination plants in Australia to achieve
169 carbon offset of fresh water production from seawater. However, the intermittent nature of
170 renewable energy sources requires effective energy storage methods to prevent the frequent
171 shutdowns of the RO desalination plants. Amongst the proposed energy storage methods, grid-
172 scale storage based on the concept of pumped hydro and osmotic battery are particularly of
173 interest. More details about these energy storage strategies can be found elsewhere [4, 25].

174 3. Forward osmosis

175 Forward osmosis (FO) is an osmotically driven membrane process that has a number of
176 inherent advantages for providing low carbon desalination. The significant energy benefits of
177 FO rely on the natural osmotic pressure gradient created between the feed (source water) and
178 draw solution (osmotic agent). This salinity gradient provides the driving force for water
179 transport across the semi-permeable membrane, theoretically without any external energy input.
180 The FO process also exhibits a low fouling propensity, high contaminant rejection, and can
181 operate at high osmotic pressure driving forces, beyond the limits of RO [26]. Thus, FO is
182 strongly suited for complex source waters that have a high fouling potential or high salinity
183 which would otherwise not be compatible with RO treatment. Despite these advantages, an
184 additional desalination process is required to separate fresh water from the diluted draw solute
185 following the FO process. This fresh water extraction step can be achieved using thermal or
186 membrane separation processes and is responsible for the majority of energy consumed in a
187 hybrid FO process.

188 The most energetically favourable configuration is when FO is used as a standalone
189 desalination process [in which fresh water extracted by the FO membrane is used to dilute a](#)
190 [draw solution for beneficial uses](#). The only energy requirement is the electricity to drive the
191 water circulation pumps to minimise external concentration polarisation and membrane fouling
192 [27]. Despite the potential for low carbon desalination, standalone FO applications have only
193 been realised in niche areas, including fertiliser drawn [28] and sugar drawn [brackish water](#)
194 [desalination for emergency drinking relief](#) [29]. [In these applications, spontaneous water](#)
195 [permeation from the saline water feed through the membrane dilutes the draw solution to](#)
196 [provide a beneficial product, negating the need for high retention draw solute separation](#) [30].
197 Researchers have demonstrated the potential of fertiliser drawn FO, however integration with
198 nano-filtration (NF) is required to further dilute the draw solution and meet fertigation standards
199 [28]. Nevertheless, the fertiliser drawn FO-NF process was found to consume 21% less energy
200 than a UF-RO system [31]. Alternative osmotic dilution applications involve algae dewatering
201 using seawater or RO brines, however fresh water is lost during the process [32]. The task of
202 finding suitable draw solutions with high osmotic pressures for beneficial applications remains
203 a major challenge for the practical adoption of standalone FO desalination.

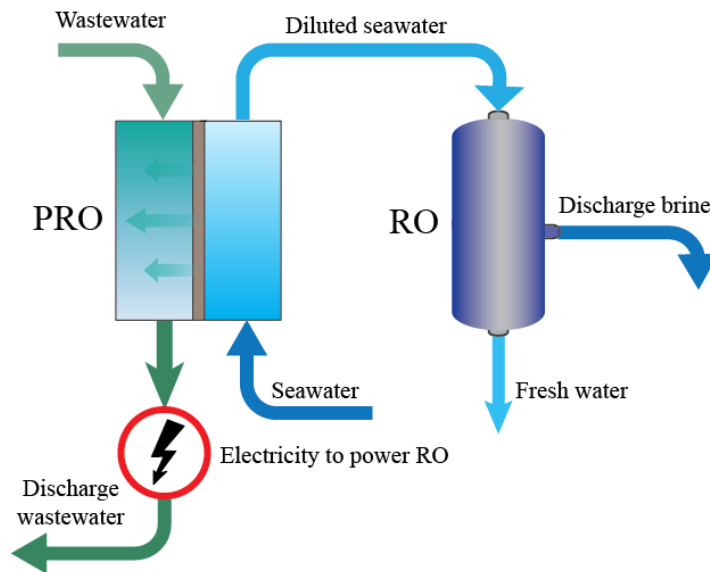
204 Apart from those standalone applications discussed above, FO must be coupled with an
 205 additional separation process to achieve complete water treatment and desalination. In other
 206 words, FO is considered as a pre-treatment step for other desalination processes such as RO,
 207 which can separate the draw solute and produce fresh water. Combined hybrid FO processes
 208 have gained attention because of the low fouling potential and superior pre-treatment that FO
 209 provides at relatively low energy. Nevertheless, because of the extensive energy requirement to
 210 separate the high osmotic pressure draw solutions, strategic selection of the source water, draw
 211 solute, and regeneration process is needed to achieve energy-savings. For example, an FO-RO
 212 hybrid system for seawater desalination (Fig. 3a) can never consume less energy than direct RO
 213 at the same recovery. Detailed equations for energy calculation of the FO-RO hybrid and the
 214 single RO desalination process can be found elsewhere [26]. Since the draw solution osmotic
 215 pressure must be greater than seawater, the minimum energy required for RO desalination is
 216 always higher for a hybrid FO-RO system. Strategically integrating wastewater treatment and
 217 seawater desalination (Fig. 3b) has been proposed to reduce the specific energy consumption of
 218 RO [33, 34]. Using wastewater as the feed solution to dilute the seawater draw solution has
 219 resulted in lower costs compared to conventional seawater desalination with RO, mostly due to
 220 the reduced RO operating pressure [35]. To illustrate, the estimated specific energy
 221 consumption for a low pressure FO-RO system ranges between 1.3 and 1.5 kWh/m³, which is
 222 significantly less than the conventional RO process (i.e. 2.2 kWh/m³) [36]. Despite this potential,
 223 FO membrane fouling, low water flux and issues regarding system scale-up remain significant
 224 challenges for full-scale implementation of FO hybrid systems.



225
 226 **Fig. 3.** FO-RO hybrid systems for (a) seawater desalination, and (b) simultaneous wastewater
 227 treatment and seawater desalination [33].

228 Another notable approach to improve the energy consumption of hybrid FO systems is to
229 adopt draw solute regeneration processes that utilise thermal energy instead of electrical energy
230 [37]. For example, thermally responsive draw solutes such as ammonia carbon dioxide
231 (NH_3/CO_2) are easily regenerated using low grade heat, by converting the ammonium salts into
232 ammonia and carbon dioxide gas [38]. Pilot-scale demonstrations for shale gas produced water
233 using a NH_3/CO_2 FO process had a specific thermal energy consumption of approximately 275
234 $\text{kWh}_{\text{th}}/\text{m}^3$, which is significantly lower than the 633 $\text{kWh}_{\text{th}}/\text{m}^3$ required for conventional
235 evaporative desalination methods [39]. Similarly, combining FO with MD is another option to
236 achieve energy savings by utilising low grade heat or solar thermal energy sources. As discussed
237 in the section 4, MD has exceptional salt rejection and is not limited by osmotic pressure, as
238 compared with pressure driven processes. Because MD might be prone to fouling, FO can
239 provide pre-treatment to reduce organic fouling and inorganic scaling in MD, as shown by
240 successful demonstrations in treating challenging solutions such as municipal and dairy
241 wastewater [40, 41], activated sludge [42] and landfill leachate [43]. It is noteworthy that the
242 benefits of FO in regard to treating high fouling potential and highly saline solutions cannot be
243 accurately captured by energy analysis since these complex solutions are often incompatible
244 with conventional desalination processes [26].

245 A related process with potential to complement low carbon desalination is pressure retarded
246 osmosis (PRO). This emerging technology is based on the same principal as FO, however the
247 salinity gradient energy is harvested via enclosing the draw solution and capturing the
248 mechanical energy created by the increasing draw solution volume [44]. Hydro turbines or
249 energy recovery devices are used to convert this mechanical energy to electricity [to power a RO](#)
250 [desalination process](#). PRO feasibility strongly depends on the magnitude of available salinity
251 gradients since a number of energy inputs (i.e. pumping and pre-treatment) are required to
252 effectively operate the process. Interest in incorporating PRO with RO desalination plants ([Fig.](#)
253 [4](#)) has shown theoretical reductions in energy consumption when impaired water sources are
254 available, however a number of practical considerations are yet to be addressed as discussed
255 elsewhere [45].



256
257

Fig. 4. Schematic diagram of an integrated PRO-RO process for low carbon desalination.

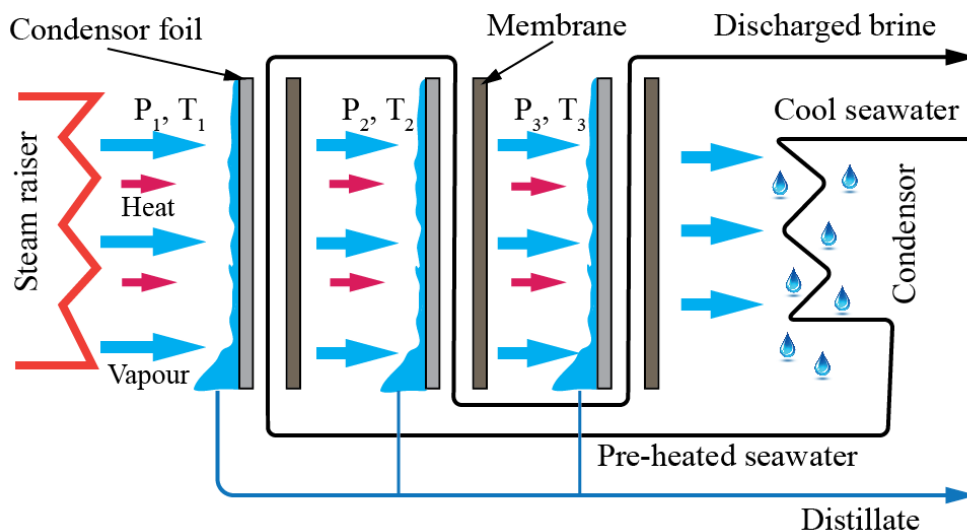
258 4. Membrane distillation

259 Membrane distillation (MD), a thermally driven membrane separation process, embodies
 260 several attributes ideal for low carbon desalination. The MD desalination process utilises a
 261 hydrophobic microporous membrane to separate a hot saline feed and a cold fresh distillate and
 262 the temperature difference between two sides of the membrane as the process driving force.
 263 Thermal energy is the primary energy input into the MD desalination process [46, 47], and the
 264 MD process can be efficiently operated at mild feed temperature (i.e. 40–80 °C), allowing for
 265 the deployment of waste heat or solar thermal to power the process. Thus, where these low-
 266 grade energy sources are available, MD can be an attractive energy-saving and low carbon
 267 desalination technology platform. Moreover, as a thermally driven separation method, the MD
 268 process is negligibly subject the osmotic pressure of the feed solution and hence compatible
 269 with highly saline solutions, extending its applications for desalination of brines from RO and
 270 other desalination processes. In addition, since the MD process does not involve a high
 271 hydrostatic pressure, it is significantly less prone to membrane fouling, thus obviating the need
 272 for intensive feed water pre-treatment like in RO.

273 MD configurations strongly affect the energy consumption of the process. In practice, MD
 274 can be operated in four basic configurations, including direct contact membrane distillation
 275 (DCMD), air gap membrane distillation (AGMD), vacuum membrane distillation (VMD), and
 276 sweeping gas membrane distillation (SGMD). Amongst these configurations, DCMD exhibits

277 the lowest process thermal efficiency because the hot feed and the cold distillate streams are
 278 separated by only a thin membrane in DCMD, leading to a noticeable conduction heat loss
 279 through the membrane. The deployment of vacuum and sweeping gas on the permeate side of
 280 the membrane in VMD and SGMD helps alleviate the conduction heat loss, and hence
 281 improving their thermal efficiency compared to DCMD. Similarly, in AGMD, an air gap is
 282 inserted between the feed and distillate streams to mitigate the conduction heat loss, and in
 283 tandem facilitate the recovery of the condensation latent heat. Thus, AGMD can achieve a much
 284 higher thermal efficiency than DCMD.

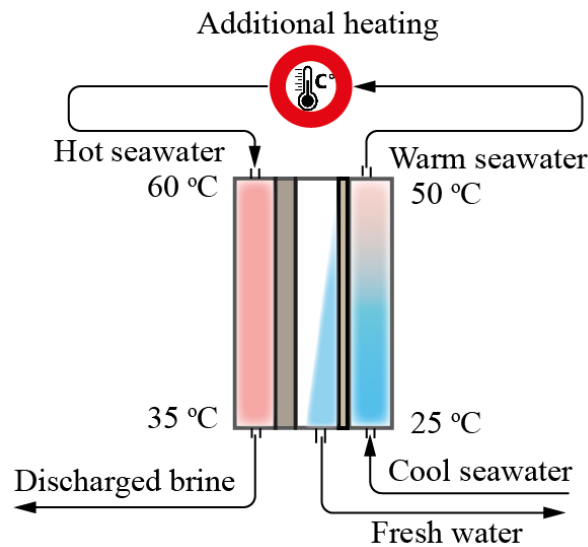
285 Many attempts have been made to improve thermal efficiency and to reduce the thermal
 286 energy consumption of the MD desalination process. A notable example is the combination of
 287 multi-effect with vacuum in a novel MD configuration termed vacuum-multi-effect MD (V-
 288 MEMD), which has been commercialised by Memsys [48]. In this configuration, the feed water
 289 into a stage functions as the coolant to recover the condensation latent heat in the previous stage,
 290 and varying vacuum is applied in stages to increase water flux and reduce the conduction heat
 291 loss (Fig. 5). Thus, V-MEMD demonstrates a remarkably improved thermal efficiency
 292 compared to the basic MD configurations. A pilot V- MEMD could achieve thermal efficiency
 293 of 90% (i.e. equivalent to 10% heat loss) and a specific thermal energy consumption of 144.5
 294 kWh/m³ [49].



295
 296 **Fig. 5.** Recovery of condensation latent heat for improved energy efficiency in the seawater V-
 297 MEMD desalination process (adapted from [48]).

298 The recovery of the condensation latent heat to reduce the process thermal energy
 299 consumption can be also obtained with the pilot or large-scale AGMD process. The saline feed

300 water can be circulated through the coolant channel to act as a coolant (Fig. 6). Given the long
 301 coolant channel, the feed water is sufficiently preheated by the condensation latent heat. The
 302 preheated feed water then can be additionally heated by an external heat source to reach a
 303 desired temperature prior to entering the feed channel of the AGMD membrane module (Fig.
 304 6). Duong et al. [47] optimised a pilot seawater AGMD process with internal latent heat
 305 recovery. The authors highlighted the importance of process optimisation to enhance energy
 306 efficiency and hence to reduce the specific energy consumption of the process. The feed inlet
 307 temperature and water circulation rate were critical operating parameters profoundly affecting
 308 the process distillate production and thermal efficiency. Operating the AGMD process at high
 309 feed inlet temperature and low water circulation rate was beneficial regarding to the process
 310 energy efficiency. At the optimum operating conditions, the AGMD process achieved specific
 311 thermal and electrical energy consumption of 90 and 0.13 kWh/m³, respectively [47].



312
 313 **Fig. 6.** A seawater AGMD desalination process with internal condensation latent heat recovery.

314 Unlike in AGMD, the recovery of latent heat in DCMD can only be viable when using an
 315 external heat exchanger to recover latent heat accumulated in the distillate stream to preheat the
 316 feed stream [50]. In the DCMD process combined with an external heat exchanger, the process
 317 energy consumption is strongly influenced by the relative flow rate between the feed and the
 318 distillate streams and the surface areas of the heat exchanger and the membrane module. Lin et
 319 al. [50] reported that the DCMD process could obtain a minimum specific thermal energy
 320 consumption of 8 kWh/m³ with infinite heat exchanger and membrane module surfaces at a
 321 critical relative flow rate. However, it is worth noting that it is unpractical to use the DCMD
 322 process with infinite heat exchanger and membrane module surfaces.

323 Another approach to reducing energy consumption of the DCMD process is to recover the
324 sensible heat of the brine stream by brine recycling. In the DCMD process, particularly for the
325 small-scale system with short membrane channels, the warm brine leaving the membrane
326 module contains a considerable amount of sensible heat. Brine recycling enables the recovery
327 of the brine sensible heat, thus leading to reduction in the process thermal energy consumption.
328 Indeed, Duong et al. [51] demonstrated that recycling brine in a small-scale DCMD process
329 helped reduce the process specific thermal energy consumption by more than half. Recycling
330 brine also facilitated the utilisation of the membrane surface area to increase the process water
331 recovery. Along with other operating parameters, the water recovery of the seawater DCMD
332 desalination process with brine recycling determined the process energy consumption, and the
333 optimal water recovery with respect to energy consumption was in the range from 20 to 60%
334 [51].

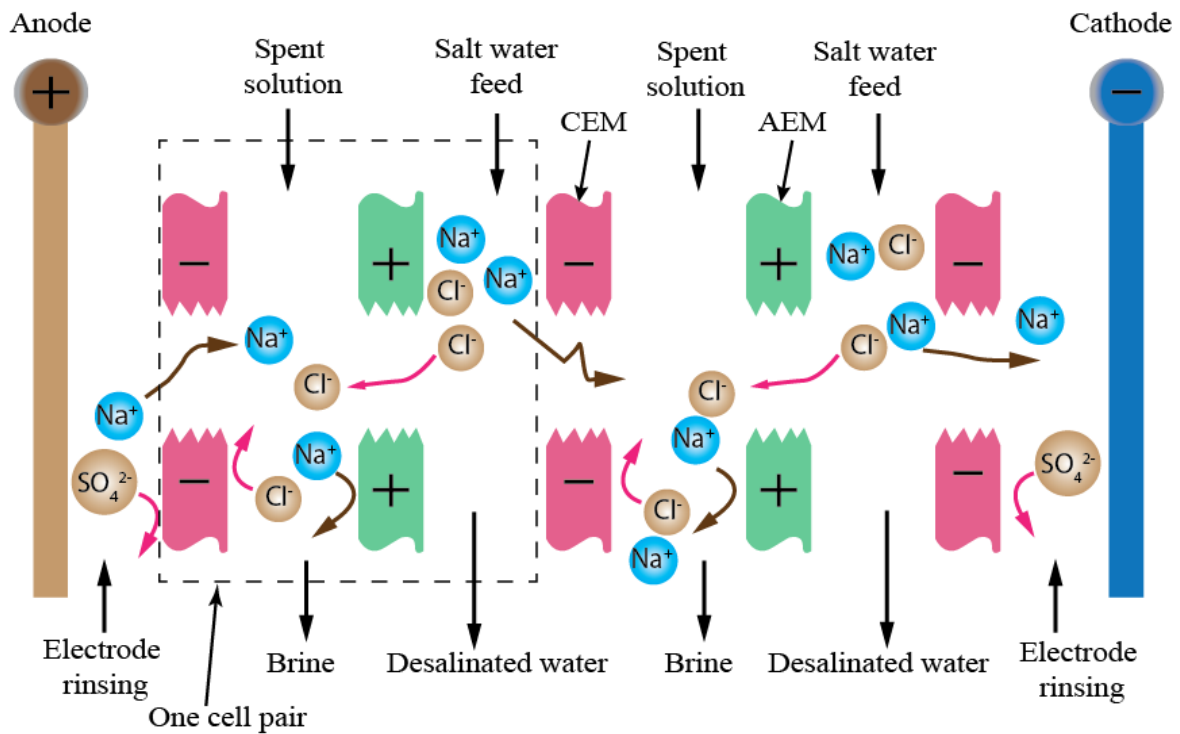
335 Coupling MD with waste heat and renewable energy is a practical approach to low carbon
336 desalination. The MD process powered by industrial waste heat and solar thermal energy has
337 been successfully demonstrated for fresh water provision [49, 52-57]. A notable example can
338 be the DCMD process supplied with waste heat from a gas fired power station to reclaim fresh
339 water from saline demineralisation regeneration waste [53]. The process was trialled for over
340 three months, and a high-quality distillate with total dissolved salts rejection of 99.9% was
341 obtained [53]. A fully solar powered MD system was also deployed for potable water provision
342 in arid remote areas [56]. The system mainly consisted of a V-MEMD membrane module, a
343 solar-thermal collector, and a solar-PV panel. The engineered design of the system rendered it
344 a portable, reliable, environmentally friendly, and sustainable desalination technology [56].

345 High resistance to membrane fouling is a noticeable advantage of MD for low carbon
346 desalination applications. [Most of the demonstrated MD processes for desalination applications](#)
347 [involved a negligible feed water pre-treatment. Feed water to the MD process was either raw or](#)
348 [pre-filtered \(i.e. using paper filters or cartridge filters\) seawater. When the MD process was](#)
349 [operated at low water recoveries, membrane fouling was mostly not evident even for extended](#)
350 [operation \(i.e. for several months\) \[53, 54\]. Membrane scaling caused by the precipitation of](#)
351 [inorganic sparingly soluble salts only occurred when the MD process was pushed beyond their](#)
352 [saturation limits.](#) The scale layers formed on the membrane surface limited the active membrane
353 surface for water evaporation, aggravated the temperature and concentration polarisation effects,
354 and altered the membrane surface hydrophobicity, thus reducing the process water flux and

355 deteriorating the quality of the obtained distillate. However, the scale formation in the MD
 356 process could be effectively controlled by regulating the process operating parameters [58] or
 357 rinsed out using non-toxic domestic cleaning agents [59]. The high resistance to membrane
 358 fouling and scaling actually enables the MD process for treatment of brines from other
 359 desalination processes such as RO, ED, FO, and CDI.

360 5. Electrodialysis

361 Electrodialysis (ED) is an electrically driven membrane separation process in which cation
 362 exchange membranes (CEMs) and anion exchange membranes (AEMs) are used to facilitate
 363 the selective transport of cations and anions through the membranes. In ED units, CEMs and
 364 AEMs are placed alternatively between the anode and the cathode (Fig. 7). When an electric
 365 field is applied, cations migrate through CEMs toward the anode, while anions move through
 366 AEMs toward the cathode, leading to the depletion of salt concentration in the desalinated water
 367 and the salt enrichment in the brine.



368
 369 **Fig. 7.** Working principles of an ED process for desalination application.

370 In the ED process, electricity is consumed to generate the electric field between the
 371 electrodes and to drive pumps for water circulation. The electricity consumed by the electrodes
 372 (P_{el}) is the primary energy consumption of the ED process, and can be calculated as [60]:

$$373 \quad P_{el} = n\Delta VI \quad (1)$$

374 where n is the number of ED cell pairs, ΔV is the voltage drop over the cell pair, and I is the
 375 electric current. Thus, the specific energy consumption (SEC) of the ED desalination process
 376 can be expressed as [60]:

$$377 \quad SEC = \frac{n\Delta VI}{Q_D} \quad (2)$$

378 where Q_D is the dilute flow rate (m^3). The voltage drop over the cell pair is expressed as:

$$379 \quad \Delta V = \eta_{non-Ohm} + r_{Ohm} I \quad (3)$$

380 where $\eta_{non-Ohm}$ is the non-Ohmic voltage drop and r_{Ohm} is the overall Ohmic resistance of the
 381 cell pair. The non-Ohmic voltage drop depends on salt concentrations and the hydrodynamics
 382 of the concentrate and the dilute compartments, and it becomes significant when the salt
 383 concentration gradient between the concentrate and the dilute compartments increases. The
 384 overall Ohmic resistance is composed of membrane resistances and the resistances of the dilute
 385 and concentrate compartments. It has been proved that overall Ohmic resistance is inversely
 386 proportional to the salt concentrations in the dilute and concentrate departments [60].

387 For the ED desalination process, the dilute flow rate is dependent on the transport rate of
 388 ions through the ion exchange membranes. A higher dilute flow rate can be achieved with an
 389 elevated ions transport rate. The flux of an ion (J_i) through the ED membranes can be expressed
 390 as [60]:

$$391 \quad \vec{J}_i = -D_i \vec{\nabla} C_i + \frac{t_i \vec{i}}{z_i F} \quad (4)$$

392 where D is the electrolyte diffusion coefficient of the ion, ∇C_i is the ion concentration gradient,
393 t_i is the migration transport number, i is the current density, z_i is the valence of the ion, and F is
394 Faraday's constant.

395 Eqs. (1-4) demonstrate a profound influence of the feed water salinity on the specific energy
396 consumption of the ED process. Increasing feed salinity results in not only a higher salt
397 concentration gradient between the dilute and the concentrate compartments (∇C_i) but also a
398 decreased current density (i) due to the concentration polarisation effect, hindering the transport
399 of ions through the membranes. Increasing feed salinity also magnifies the non-Ohmic voltage
400 drop over the cell pair ($\eta_{non-Ohm}$), hence raising the energy consumption of the ED process. For
401 low salinity desalination applications, the ED process is more energy efficient than RO. Indeed,
402 an ED process with feed water salinity ≤ 2500 ppm exhibits a specific energy consumption from
403 0.7 to 2.5 kWh/m³ [6, 23]. However, the energy consumption of the ED process considerably
404 exceeds that of RO when treating feed waters with salinity above 5000 ppm. As a result, ED is
405 largely applied for desalination of brackish water with limited salinity [6, 60].

406 Membrane fouling is another issue that affects the energy consumption of the ED process
407 for desalination applications [60-62]. There is a consensus that ED is less subject to membrane
408 fouling than RO; however, membrane fouling is still considered one of the limiting factors of
409 the ED desalination process [60]. In the ED process, under the electric field, negatively charged
410 colloidal particles ubiquitous in seawater or brackish are pushed toward the anode. The ion
411 exchange membranes act as barriers and stop the colloidal particles migration, leading to the
412 deposition of colloids on the membrane surface. The deposited colloids layers reduce membrane
413 ion selectivity but increase membrane resistance and the pressure drop along the compartments,
414 thus significantly increasing the energy consumption of the ED process. Sparingly soluble salts
415 (e.g. CaCO₃ and CaSO₄) in seawater or brackish water also pose a risk of membrane scaling,
416 particularly for the ED process operated at a high recovery rate. Common methods to prevent
417 membrane fouling and scaling include feed water pre-treatment using MF and UF, pH
418 adjustment, reduction of recovery rate, and membrane cleaning [60]. It is worth noting that
419 applying these methods inevitably results in an increased in the energy consumption of the ED
420 process.

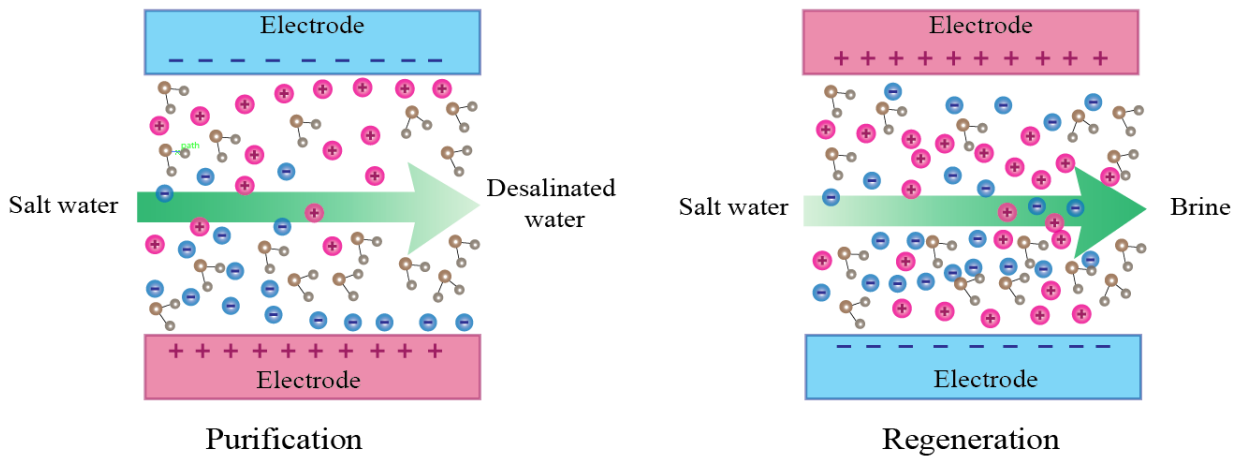
421 Attempts to mitigate membrane fouling propensity and hence the energy consumption of
422 the ED process focus on membrane surface modification and process optimisation. Notable

423 examples for the membrane surface modification approach include the studies of Mulyati et al.
424 [61] and Vasselbehagh et al. [62]. In these studies, the AEMs surface was modified by adding
425 high molecular mass surfactants (e.g. poly sodium 4-styrene sulfonate and polydopamine) to
426 enhance the negative surface charge density, hydrophilicity, and roughness of the AEMs. The
427 surface-modified AEMs exhibited a higher antifouling potential and an increased membrane
428 stability compared to the pristine ones.

429 The development of the electrodialysis reversal (EDR) concept made a breakthrough in
430 membrane fouling mitigation and energy consumption reduction of the ED desalination process
431 [60, 63]. During an EDR desalination operation, the polarity of the electrodes and the diluate
432 and concentrate channels are regularly reversed to facilitate the periodic removal of colloids
433 and organic matter from the membrane surfaces. The foulants detached from the membrane
434 surfaces are subsequently rinsed out of the ED cells by the flowing solutions. Given this self-
435 cleaning mechanism, the EDR process exhibits a significantly reduced membrane fouling
436 tendency compared to the ED process. The EDR concept also helps minimise feed water pre-
437 treatment and membrane cleaning procedures, obviating the need for additional equipment such
438 as acids tanks, complexing agent tanks, dosing pumps and pH controllers [60]. Thus, the EDR
439 concept leads to a significant reduction in the energy consumption of the ED desalination
440 process.

441 **6. Capacitive deionisation**

442 The capacitive deionisation (CDI) process purifies water using the electrostatic adsorption
443 and desorption capacity of conductive porous electrodes. The CDI desalination process involves
444 two alternate steps: purification of salt water and regeneration of the electrodes (Fig. 8) [64-66].
445 During the purification step, as salt water travels along the CDI cell, ions or charged molecules
446 migrate toward and subsequently are adsorbed by the oppositely charged electrodes, leading to
447 the depletion of salt concentrations in the salt water feed and the attainment of desalinated water.
448 During the electrodes regeneration step, the polarity of the electrodes is reversed, and the
449 charged ions and molecules that have been attached to the electrodes in the purification step are
450 desorbed from the electrodes and migrate back to the salt water. Thus, the adsorption capacity
451 of the electrodes is regenerated, and a brine stream is produced at the outlet of the CDI cell.



452
453

Fig. 8. Purification and regeneration steps in the CDI process (adapted from [64]).

454 CDI has emerged as a promising process for low carbon desalination applications. The CDI
455 desalination process is operated at a limited electrical voltage (i.e. $< 2V$) and a low hydrostatic
456 pressure [64, 65, 67]. It does not require high pressure pumps and costly tubing materials (i.e.
457 stainless steel) like in the RO desalination process. The mild operation conditions also render
458 the CDI desalination process significantly less prone to fouling, thus obviating the need for
459 intensive feed water pre-treatment and regular membrane cleaning as required by the RO
460 process [64, 68]. The low-voltage operation also facilitates the coupling of CDI desalination
461 with renewable energy sources (e.g. solar and wind energy) [67, 69]. More importantly, a large
462 portion of the energy used for charging the electrodes during the purification step can be
463 recovered in the electrode regeneration step [70, 71], thus significantly reducing the total energy
464 demand and hence the carbon footprint of the CDI desalination process.

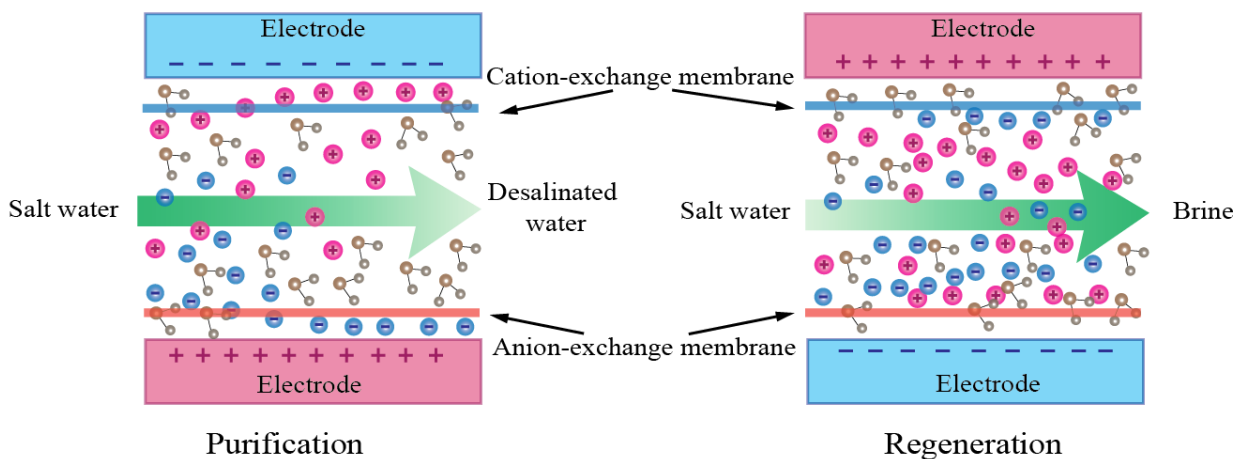
465 Like in ED, the desalination efficiency and energy consumption of the CDI process strongly
466 depend on the process operating conditions, particularly the feed water salinity [64]. Increasing
467 feed salinity results in an increase in the adsorption rate of ions to the electrodes but a reduction
468 in the ions removal efficiency of the CDI cell. To achieve a desired effluent salinity, a longer
469 adsorption interval or a higher electric current is required for more concentrated feed water,
470 thus increasing the specific energy consumption of the CDI process. Indeed, Porada et al. [72]
471 compared the specific energy consumption of the CDI and RO process and confirmed that CDI
472 was only competitive to RO with respect to energy consumption for feed water with salinity
473 approximately below 2000 ppm, which is the salinity of brackish water. Thus, similarly to ED,
474 the CDI process is considered best suited for the desalination applications of brackish water [64,
475 67, 72].

476 The electrodes exert profound influences on the desalination efficiency and the energy
477 consumption of the CDI process. The CDI desalination mechanism is governed by electrostatic
478 adsorption of ions to the electrodes when they are in direct contact with salt water, and
479 electrostatic adsorption is the driving force for the transfer of ions. As a result, electrostatic
480 adsorption is the limiting factor of the CDI desalination process [64, 73, 74]. Key properties of
481 the CDI electrodes include specific surface area, median pore diameter, total pore volume,
482 resistance, and particularly specific capacitance. The specific capacitance, measured in F/g, is
483 the amount of electrical charges (in coulomb) that can be stored by one mass unit of the
484 electrode material under an electric potential of 1 volt. Thus, it is an indicator of the electrostatic
485 adsorption capacity of the electrode.

486 Considerable efforts have been devoted to exploring suitable electrodes for improved ions
487 separation and energy efficiency of the CDI process. The most commonly used CDI electrodes
488 are prepared from activated carbons with poly vinylidene fluoride used as a binder. Given the
489 high porosity and rich carbon content of activated carbons, the activate carbon electrodes
490 possess excellent specific surface areas (i.e. above 2000 m²/g), micro-pore structure with pore
491 sizes ranging from 1.0 to 2.5 nm and a total pore volume of 0.57 to 1.63 cm³/g, and specific
492 capacitance of 60 to 125 F/g [75]. The hydrophobic nature of activated carbons is a drawback
493 of activated carbon electrodes. It repels water solution from the activated carbon electrodes and
494 hinders the direct contact between the electrodes and the solution, thus negatively affecting the
495 adsorption capacity of the electrodes [64]. Novel materials such as carbide derived carbons,
496 carbon aerogel, carbon nanotubes (CNTs) and carbon nanofibers (CNFs), graphene, and
497 mesoporous carbons have also been proposed and demonstrated for the CDI desalination
498 process. Porada et al. [72, 76] reported an adsorption capacity increase by 28 – 44% for the
499 electrodes prepared from carbide derived carbons compared to those prepared by activated
500 carbons. The increased adsorption capacity of the carbide derived carbons electrodes was
501 attributed to the super specific surface area and the pore size tunability in the sub-nanometer
502 range of the carbide derived carbons material [76]. Similarly, electrodes prepared from carbon
503 aerogel exhibited high specific surface area, controllable pore size distribution, and superior
504 electrical properties; therefore, they were selected for many CDI desalination processes [77].
505 Nano carbon materials such as CNTs, CNFs, and graphene have recently emerged as promising
506 materials for CDI electrodes. Given their nano-structures, electrodes prepared from CNTs,
507 CNFs, and graphene have specific surface areas considerably higher than those offered by the

508 activated carbons electrodes. CNTs, CNFs, and graphene also exhibit superior conductivity to
 509 activated carbons [78-80]. Thus, the advancement in CNTs, CNF, and graphene materials
 510 promises to improve the ions separation and energy efficiency of the CDI desalination process.

511 Process modification is an alternative approach to improving desalination and energy
 512 efficiency of the CDI process. Indeed, the CDI process suffers a serious problem during the
 513 regeneration of the electrodes [64]. When the polarity of the electrodes is reversed to desorb the
 514 charged ions that have been adsorbed during the purification step, the oppositely charged ions
 515 from the bulk solution are attracted and adsorbed to the electrodes (Fig. 8). Thus, the electrode
 516 regeneration involves simultaneous desorption and adsorption of charged ions from and to the
 517 electrodes, reducing the adsorption capacity of the electrodes in the subsequent purification step
 518 and hence negatively affecting the desalination and energy efficiency of the CDI process. To
 519 address this issue, ion-exchange membranes are introduced to the CDI cells (Fig. 9). Like in the
 520 ED process, ion-exchange membranes selectively allow the permeation of cations or anions;
 521 therefore, the adsorption of the oppositely charged ions during the electrode regeneration step
 522 is effectively prevented (Fig. 9). Given the usage of ion-exchange membranes, the modified
 523 CDI process is termed membrane capacitive deionisation (MCDI). Experimental
 524 demonstrations of the MCDI process have confirmed that MCDI is clearly preferable to CDI
 525 regarding the process salt removal and energy recovery [73, 74, 81, 82]. Indeed, depending on
 526 the process operating conditions, the MCDI process can achieve a salt removal and energy
 527 recovery of 49% and 34%, respectively, higher than that of the CDI process [70, 83].



528
 529 **Fig. 9.** Purification and regeneration steps in the MCDI process (adapted from [64]).

530 **7. Conclusions**

531 As a mature desalination process, RO is deemed a benchmark for other emerging
532 membrane-based desalination processes. The energy consumption of seawater RO has been
533 remarkably reduced given enormous advances in membrane materials and energy recovery
534 devices. The exploration of ultra-permeable membranes using innovative materials such as
535 Aquaporin, carbon nanotubes, and graphene promises to further reduce the energy consumption
536 of the RO desalination process. Particularly, RO desalination energy consumption can approach
537 the minimum desalination energy demand by multi-staging the process but with an increase in
538 investment and operational costs. As an osmotically driven separation methods, FO can be a
539 favourable low carbon desalination process when it is used as a standalone process whereby the
540 regeneration of FO draw solutions is obviated. **The ED and CDI processes offer energy-efficient
541 and low carbon desalination means; nevertheless, they are only effective and competitive to RO
542 for desalination of saline waters with low salinity (i.e. brackish water). In addition, further
543 intensive works are required on improvement of ion-exchange membranes and electrodes and
544 process optimisation prior to the commercial realisation of ED and CDI for low carbon
545 desalination applications. Finally, the emerging thermally driven MD process currently exhibits
546 energy consumption higher than that of RO and FO; however, MD can be coupled with waste
547 heat and solar thermal energy and compatible with hyper saline solutions that are beyond the
548 limits of RO and FO. MD can be deployed as a complementary process for RO and FO or as
549 standalone process exploiting low-grade heat sources. Thus, MD can be the most promising
550 energy-saving alternative to RO for low carbon desalination.**

551 **Conflict of interest statement**

552 On behalf of all authors, the corresponding author states that there is no conflict of interest.

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