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### Geant4-DNA example applications for track structure simulations in liquid water: A report from the Geant4-DNA Project

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# Geant4-DNA example applications for track structure simulations in liquid water: A report from the Geant4-DNA Project

## Abstract

This Special Report presents a description of Geant4-DNA user applications dedicated to the simulation of track structures (TS) in liquid water and associated physical quantities (e.g., range, stopping power, mean free path.). These example applications are included in the Geant4 Monte Carlo toolkit and are available in open access. Each application is described and comparisons to recent international recommendations are shown (e.g., ICRU, MIRD), when available. The influence of physics models available in Geant4-DNA for the simulation of electron interactions in liquid water is discussed. Thanks to these applications, the authors show that the most recent sets of physics models available in Geant4-DNA (the so-called "option4" and "option 6" sets) enable more accurate simulation of stopping powers, dose point kernels, and W-values in liquid water, than the default set of models ("option 2") initially provided in Geant4-DNA. They also serve as reference applications for Geant4-DNA users interested in TS simulations.

## Disciplines

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1 **Geant4-DNA example applications for track structure simulations in liquid water: a**  
2 **report from the Geant4-DNA Project**

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68

69

70 **Abstract**

71

72 This Special Report presents a description of Geant4-DNA user applications dedicated to the  
73 simulation of track structures (TS) in liquid water and associated physical quantities (e.g.  
74 range, stopping power, mean free path...). These example applications are included in the  
75 Geant4 Monte Carlo toolkit and are available in open access. Each application is described  
76 and comparisons to recent international recommendations are shown (e.g. ICRU, MIRD),  
77 when available. The influence of physics models available in Geant4-DNA for the simulation  
78 of electron interactions in liquid water is discussed. Thanks to these applications, the authors  
79 show that the most recent sets of physics models available in Geant4-DNA (the so-called  
80 "option4" and "option 6" sets) enable more accurate simulation of stopping powers, dose  
81 point kernels and W-values in liquid water, than the default set of models ("option 2") initially  
82 provided in Geant4-DNA. They also serve as reference applications for Geant4-DNA users  
83 interested in TS simulations.

84

85 **Key words:** Monte Carlo, track structure, Geant4-DNA, liquid water, dosimetry

86

87

## 88 **I. Introduction**

89

90 Significant progress has been achieved during the last decades for the development of  
91 accurate computational tools capable of simulating mechanistically the passage of radiation  
92 through biological matter, especially through the DNA of cell nucleus, which is still  
93 considered as the main sensitive site to ionising radiation in cells. This progress is particularly  
94 motivated by the need for accurate treatment planning tools for proton/ion-based radiotherapy  
95 and for better estimation of the risk to human health during long duration exposure to ionising  
96 radiation in manned space missions. Several simulation platforms have been developed so far  
97 and are still being extended today by various groups<sup>1</sup>, including the state-of-the-art  
98 PARTRAC<sup>2</sup> and KURBUC codes<sup>3</sup>, which are able to simulate direct and non-direct damage  
99 to DNA, including biological repair. Unfortunately, none of them is currently openly  
100 accessible to users, preventing from their large-scale usability and adaptability to various user  
101 needs.

102

103 Alternatively, the Geant4-DNA Project<sup>4-6</sup> (<http://geant4-dna.org>) proposes the first open  
104 access software framework for the simulation of ionising radiation early biological damage at  
105 the DNA scale. It is developed by the "Geant4-DNA" Collaboration, which was officially  
106 created in 2008. The Geant4-DNA software is an extension to the Geant4 (<http://geant4.org>)  
107 general purpose Monte Carlo toolkit<sup>7-9</sup>. It is entirely included in Geant4 and can be used to  
108 simulate step by step physical interactions of particles (electrons, protons, alpha particles  
109 including their charged states, and a few ions) down to very low energies (~10 eV) in liquid  
110 water and DNA constituents (Adenine, Thymine, Guanine, Cytosine and backbone<sup>10</sup>), thanks  
111 to a variety of physics models. It also enables simulation of the physico-chemical and  
112 chemical stages of water radiolysis in the irradiated medium up to one microsecond after

113 irradiation <sup>11</sup>, and benefits from the Geant4 ability to model geometries of various biological  
114 targets at the micrometer and nanometer scale <sup>12</sup>. We recently demonstrated the combination  
115 of the simulation of physical, physico-chemical and chemical interactions with such  
116 geometries in order to predict direct and non-direct early DNA damage induction in  
117 simplified models of bacterial cells<sup>13-15</sup> and human fibroblasts <sup>16</sup>. Such early damage  
118 predictions require an accurate modeling of the track structures of particles in the biological  
119 medium<sup>17-19</sup>.

120

121 Over the last decades, the application of Monte Carlo radiation transport modeling in the field  
122 of radiobiology has seen a distinct shift in applicable scale from tissue (millimeter)<sup>20,21</sup> to  
123 cellular (micron)<sup>22,23</sup> and, more recently, sub-cellular (nanometer)<sup>24-26</sup> investigations. To  
124 ensure the accuracy at these new length scales of interest, it is important to simulate  
125 secondary electrons down to the excitation (or ionisation) threshold of the medium, which is  
126 in the 7-10 eV range for liquid water. Taking into account the details provided by the  
127 simulations, radiation quality and the size of the target to be studied, Monte Carlo codes can  
128 be generally classified as condensed history (CH) or track-structure (TS) codes <sup>27</sup>. CH codes  
129 group many physical interactions together, speeding up the simulation while reducing the  
130 spatial accuracy of local energy deposition. They use multiple scattering theories and stopping  
131 power data to be applicable to many materials. Codes such as EGS <sup>28</sup>, Geant4 <sup>7-9</sup>, PENELOPE  
132 <sup>29</sup>, MCNP <sup>30</sup>, and FLUKA <sup>31</sup>, employ the CH technique and are called general purpose Monte  
133 Carlo codes because they can be utilized for a variety of applications usually from the keV up  
134 to the GeV-TeV energy range, spanning from high energy physics, to medical physics and  
135 space radiation applications. Some of these codes, including Geant4, offer a mixed approach  
136 which enables separate treatment of “soft” and “hard” collisions, with the latter being  
137 simulated in a single-scattering mode. Despite the improved spatial resolution offered by



138 mixed CH simulations, their application to low-energy (sub-keV) electrons may result in  
139 artifacts due to the nature of their physical models which are largely based on high-energy  
140 approximations and a combination of different theories <sup>32</sup>. TS codes provide a detailed  
141 treatment of all interactions using single-scattering models and thus they offer the appropriate  
142 spatial resolution for small biological targets. TS simulations are widely recognized as the  
143 preferred approach for micro- and, especially, nano- dosimetry. Several TS codes for  
144 radiobiological applications have been developed, with notable examples being the NOREC  
145 <sup>33</sup>, PARTRAC <sup>34</sup>, and KURBUC <sup>35</sup> codes, among others <sup>27</sup>. Recently, the implementation of  
146 sophisticated DNA damage and repair pathways in TS codes has been illustrated <sup>36,37</sup>. A few  
147 popular general purpose Monte Carlo codes such as PENELOPE<sup>32</sup> and MCNP (version 6<sup>38</sup>)  
148 also propose TS simulation capabilities down to low energies (50 eV and 10 eV,  
149 respectively).

150

151 During the last decade, Geant4-DNA has been equipped with a variety of physics models for  
152 the simulation of electron interactions in liquid water enabling Geant4 to perform TS  
153 simulations for biological targets. Being fully included in Geant4, these TS simulation  
154 capabilities are also accessible via user-friendly wrapper tools like TOPAS<sup>39</sup> and GATE<sup>40</sup>  
155 which are based on Geant4. The development of such physics models is an active field of  
156 research in theoretical radiation physics <sup>41-43</sup> and it is currently not possible to fully validate  
157 these models in the liquid phase of water due to a lack of experimental data <sup>5</sup>. Thus, instead of  
158 proposing a single unique model, Geant4-DNA offers a variety of models to simulate the  
159 physical interactions of electrons in liquid water and gives the user the freedom of choice.  
160 Interactions are grouped in three categories: elastic interactions (that is, elastic scattering),  
161 inelastic interactions (electronic excitation and ionisation) and inelastic sub-excitation

162 interactions (vibrational excitation and molecular attachment, which apply to electrons that do  
163 not have sufficient kinetic energy to undergo electronic excitation nor ionisation).

164

165 In addition, Geant4-DNA provides users with examples demonstrating how to simulate key  
166 quantities regularly studied in the literature, especially for the evaluation of the accuracy of  
167 TS codes. Note that Geant4-DNA also proposes other examples<sup>6</sup> for the simulation of water  
168 radiolysis and for the modeling of geometries of biological targets - such as DNA -, but their  
169 description is beyond the scope of this report, which focuses on (physical) TS simulations in  
170 liquid water). In Geant4, an example is a ready-to-use application which is provided with its  
171 source code distribution. Today, about 100 such examples are included in Geant4 for a variety  
172 of usages. In this work, we present for the first time an overview of the Geant4-DNA  
173 examples available to users for TS simulations in liquid water. These examples enable the  
174 simulation of a variety of key physical quantities, such as range, stopping power, mean free  
175 path, mean energy required for the creation of an ion pair (so-called "W-value"), dose to  
176 liquid water target per unit of cumulated activity in a source region ("S-value"), electron  
177 slowing down spectra, microdosimetry distributions and dose point kernels. Such examples  
178 are used internally on a monthly basis by the Geant4-DNA Collaboration for regression  
179 testing of the software and also serve as reference applications for teaching the usage of  
180 Geant4-DNA physics models.

181

## 182 **II. Geant4-DNA Physics constructors**

183

184 Geant4-DNA, included in Geant4 version 10.4 (December 2017), currently offers three  
185 recommended reference physics constructors for the simulation of discrete particle  
186 interactions in liquid water. In Geant4, a physics constructor gathers all required lists of  
187 particles, physics processes and associated models required by a Geant4-DNA simulation  
188 application. These constructors are referenced as "G4EmDNAPhysics\_option2",  
189 "G4EmDNAPhysics\_option4" and "G4EmDNAPhysics\_option6". These three constructors  
190 use different physics models for the simulation of electron interactions as will be described  
191 later in this section. In this work, they will be referred to as "option 2", "option 4" and "option  
192 6" constructors, respectively. An overview of the physics processes and models included for  
193 the simulation of electron interactions in liquid water is presented in Table 1.

194

195 Interactions of protons, neutral hydrogen, alpha particles and their charged states, heavier ions  
196 ( ${}^7\text{Li}$ ,  ${}^9\text{Be}$ ,  ${}^{11}\text{B}$ ,  ${}^{12}\text{C}$ ,  ${}^{14}\text{N}$ ,  ${}^{16}\text{O}$ ,  ${}^{28}\text{Si}$ ,  ${}^{56}\text{Fe}$ ) and photons are handled identically by all three  
197 constructors. In brief, nuclear scattering is modelled through classical mechanics<sup>44</sup>. For  
198 protons, electronic excitation at low energy (<500 keV) is based on a velocity-scaling of  
199 electron excitation cross sections (this approach is also used for hydrogen, and for alpha  
200 particles and their charged states) while it uses the Born and Bethe theories at higher  
201 energies<sup>5</sup>. Proton ionisation uses a semi-empirical approach at low energy (< 500 keV) while  
202 it is based on the Born and Bethe theories and the dielectric formalism for liquid water above  
203 this energy<sup>5</sup>. This semi-empirical approach is also used for hydrogen, alpha particles and their  
204 charged states, and heavier ions (note that only the ionisation process is currently simulated  
205 for these heavier ions). Electron capture and electron loss are described by analytical  
206 parametrizations based on experimental data in the vapor phase. The ionisation process for

207 heavy ions uses a speed scaling of proton ionisation cross section and incorporates the  
 208 effective charge to take into account the screening of shell electrons<sup>45</sup>. Finally, photon  
 209 interactions include photoelectric effect, Compton scattering, Rayleigh scattering and pair  
 210 production, and they are based on the Evaluated Photon Data Library set of models of  
 211 Geant4<sup>46</sup>. The further detailed description of these models is already available in the literature  
 212 <sup>5,6,44,45,47-50</sup>. In Table 1 we provide a summary of each Geant4-DNA physics model for  
 213 electron TS simulations with emphasis on their differences.

214

## 215 *II.A. The “Option 2” constructor (default models)*

216

217 “Option 2” is the first set of discrete physics models implemented in Geant4 for electron  
 218 transport in liquid water down to eV energies. Since its public release in Geant4 version 9.1 in  
 219 2007, it has been the default set of electron models in Geant4-DNA. The inelastic cross  
 220 sections for the individual ionisation and excitation channels of the weakly-bound electrons of  
 221 liquid water are calculated numerically from the complex dielectric response function,  
 222  $\epsilon(E, q) = \epsilon_1(E, q) + i\epsilon_2(E, q)$ , of the medium with  $E$  and  $q$  being the energy- and momentum-  
 223 transfer:

224

$$225 \quad \sigma_{n,k} = \int \frac{d\sigma_{n,k}}{dE} dE = \frac{1}{\pi a_0 N T} \int dE \int \frac{\text{Im}[\epsilon_{n,k}(E, q)]}{|\epsilon(E, q)|^2} \frac{dq}{q} \quad (1)$$

226 where  $\sigma$  is the inelastic cross section,  $a_0$  is the Bohr radius,  $N$  is the density of water  
 227 molecules,  $T$  is the electron kinetic energy, and the subscripts  $n, k$  denote the ionisation shells  
 228 and excitation levels, respectively. The imaginary part of the dielectric function at the optical  
 229 limit ( $q=0$ ), is partitioned to four ionisation shells (1b<sub>1</sub>, 3a<sub>1</sub>, 1b<sub>2</sub>, 2a<sub>1</sub>) and five discrete

230 electronic excitations ( $A^1B_1$ ,  $B^1A_1$ , Ryd A+B, Ryd C+D, diffuse bands) according to the  
 231 parameterization of Emfietzoglou<sup>54</sup>:

$$232 \quad \text{Im}[\varepsilon(E, q = 0)] = \sum_{n=1}^4 [D_n(E; E_n) \Theta(E - B_n)] + \sum_{k=1}^5 [D_k^*(E; E_k) \Theta(E - B_k)] \quad (2)$$

233 where  $D_n(E; E_n)$  and  $D_k^*(E; E_k)$  are the ordinary and derivative Drude functions with  
 234 coefficients determined by a fit to optical data under the constraint of the f-sum-rule, and  $B_{n,k}$   
 235 are threshold energies (e.g. binding energies). The role of the step-functions is to truncate the  
 236 non-physical contribution of the Drude functions below the threshold values of the  
 237 corresponding inelastic channels. The real part of the dielectric function is obtained from Eq.  
 238 (2) using the Kramers-Kronig relation. Extension of the optical dielectric function,  
 239  $\varepsilon(E, q = 0)$ , to  $q \neq 0$  is made by semi-empirical dispersion relations for the Drude  
 240 coefficients<sup>55</sup>. Below a few hundred eV, the first Born approximation is not directly  
 241 applicable; a kinematic Coulomb-field correction and Mott-like exchange-correction terms  
 242 are used<sup>55</sup>. Total and differential cross sections for electron-impact ionisation of the K-shell  
 243 (of the oxygen atom) are calculated analytically from the Binary-Encounter-Approximation-  
 244 with-Exchange model (BEAX)<sup>56</sup>. This is an atomic model which depends only upon the  
 245 binding energy, mean kinetic energy, and occupation number of the orbital. The scattering  
 246 angle of the primary electron and the ejection angle of the secondary electron in ionisation  
 247 events are determined from the kinematics of binary collisions. No angular deflection is  
 248 considered in collisions leading to electronic excitation. The elastic cross sections are based  
 249 on partial wave calculations, considering a total interaction potential which takes into account  
 250 a static contribution as well as fine effects, like exchange and polarization contributions<sup>57</sup>. No  
 251 energy loss is considered to take place in elastic collisions. Finally, the "option 2" constructor  
 252 also takes into account the vibrational excitation and electron attachment processes which  
 253 apply to electrons with kinetic energy lower than the lowest excitation level of liquid water (8.

254 22 eV). The corresponding models have been derived from experimental data in ice (for  
255 vibrational excitation) and vapor phase (for attachment)<sup>58</sup>. These two processes are required  
256 for the simulation of electron transport down to thermalization and subsequent water  
257 radiolysis<sup>6</sup> (not discussed in this work).

258

259 The "option 2" constructor contains the first set of models that were proposed in Geant4-DNA  
260 for the modelling of electron interactions in liquid water. However, we recently reported<sup>47</sup>  
261 some deficiencies of the default inelastic models due to the truncation of the Drude functions  
262 through the step-functions included in Eq. (2). Specifically, Eq. (2) results in the violation of  
263 the f-sum-rule, while the expression for  $\text{Re}[\varepsilon(E, q)]$  obtained from  $\text{Im}[\varepsilon(E, q)]$  via the  
264 Kramers-Kronig relation becomes non-trivial. These deficiencies triggered the development  
265 of the new "option 4" set of models, as described in the next paragraph.

266

## 267 *II.B. The "Option 4" constructor (Ioannina models)*

268

269 Since Geant4 version 10.2 released in 2016, "option 4" offers alternative discrete physics  
270 models to "option 2" (default) for electron transport in liquid water in the 10 eV – 10 keV  
271 energy range. "Option 4" (developed at the University of Ioannina) provides updated cross  
272 sections for electron impact excitation and ionisation in liquid water, and an alternative elastic  
273 scattering model<sup>47,59,60</sup>. Similar to "option 2", inelastic cross sections are calculated from Eq.  
274 (1) using the Drude parameterization of  $\varepsilon(E, q)$  by Emfietzoglou<sup>54</sup>. Although more advanced  
275 dielectric functions are available<sup>42,61</sup>, the main advantage of keeping the Drude representation  
276 in "option 4" is that due to the mathematical simplicity of the Drude functions both  
277  $\text{Im}[\varepsilon(E, q)]$  and  $\text{Re}[\varepsilon(E, q)]$  can be expressed analytically and the f-sum-rule is fulfilled for  
278 all  $q$  regardless of the form of the dispersion relations. The deficiencies related to the

279 truncation of the Drude functions in "option 2" are overcome in "option 4" through the  
 280 replacement of Eq. (2) by the following expression <sup>47</sup>:

$$\begin{aligned}
 \text{Im}[\varepsilon(E, q = 0)] = & \sum_{n=1}^4 \{ [D(E; E_n) - D(E; B_n) \exp(B_n - E) + F_n(E)] \Theta(E - B_n) \} \\
 & + \sum_{k=1}^5 \{ [D_k^*(E; E_k) + F_k(E)] \Theta(E - B_k) \}
 \end{aligned}
 \tag{3}$$

282

283 where  $D(E; B_n) \exp(B_n - E)$  is an exponential smoothing function for ionisations, and

284  $F_{n,k}(E)$  are contributions due to the smoothing and truncation of Drude functions at higher

285 energy-levels. The  $F_{n,k}(E)$  are calculated analytically by a re-distribution of the oscillator

286 strength in a physically-motivated and f-sum-rule constrained manner <sup>47</sup>. It must be noted that

287 the above modifications have also been used in a recent expression of the dielectric function

288 for liquid water which includes exchange-correlation effects that bring better agreement with

289 the experimental data <sup>62</sup>. Despite starting from essentially the same optical-data model for

290  $\varepsilon(E, q)$  with "option 2", substantially different ionisation and excitation cross sections are

291 obtained in "option 4". For example, excitations are strongly enhanced relative to ionisations

292 (which decrease only moderately), resulting in higher mean energies required for the creation

293 of an ion pair in liquid water (the so-called "W-values"), smaller penetration distances, and

294 less diffused dose-point-kernels at sub-keV electron energies<sup>59</sup>. In addition, methodological

295 changes are made in the application of the Coulomb and Mott corrections which result in

296 more accurate ionisation cross sections, especially at energies near the binding energies.

297 These Born corrections account for most of the exchange effects on electron-electron

298 interactions <sup>63,64</sup>. Finally, the elastic cross sections are calculated analytically from the

299 screened Rutherford formula using the screening parameter of Uehara et al. <sup>65</sup> which is

300 deduced from a fit to experimental data for water vapor. The screened Rutherford formula

301 becomes inaccurate at very low energies and the Brenner-Zaider parametric expression is  
302 adopted below 200 eV which fits experimental data in the vapor phase<sup>59</sup>. In the absence of  
303 elastic scattering data in liquid water, it is not possible to fully validate such elastic cross  
304 sections for the liquid phase. The influence of the water phase at low impact energy is  
305 however expected to be small<sup>66</sup>.

306

### 307 *II.C. The “Option 6” constructor (CPA100 models)*

308

309 Since Geant4 version 10.4, released in 2017, “option 6” is yet another alternative set of  
310 discrete physics models for electron transport in liquid water over the 11 eV - 256 keV energy  
311 range. “Option 6” is an implementation of the interaction cross sections of the CPA100 track-  
312 structure code to Geant4-DNA<sup>48</sup>. CPA100 was developed and maintained by M. Terrissol et  
313 al.<sup>67</sup> and it is one of the few TS codes that can also simulate liquid water radiolysis, such as  
314 PARTRAC and KURBUC, among others<sup>27</sup>. The porting of CPA100 to Geant4-DNA enables  
315 easy access to these models and further expands their applicability through combination with  
316 existing Geant4 functionality (e.g. modelling of complex geometries). Regarding the  
317 modeling of track structures, cross sections for electronic excitations are calculated in the first  
318 Born approximation using the optical-data model of  $\varepsilon(E, q)$  developed by Dingfelder and co-  
319 workers<sup>68</sup>. This model is also based on a Drude representation of  $\varepsilon(E, q)$ , using the same  
320 optical data set, electronic excitation levels, and dispersion relations as “option 2” and “option  
321 4”. The resulting excitation cross sections, however, are not the same due to a different set of  
322 Drude coefficients. The ionisation cross sections for the five shells of water are calculated  
323 from the Binary-Encounter-Bethe (BEB) model<sup>69</sup>. Thus, total and differential ionisation cross  
324 sections are calculated analytically. Similar to the BEAX model used in “option 2” and  
325 “option 4” for electron-impact ionisation of K-shell, the BEB model is an exchange-corrected



326 atomic model which depends only upon the binding energy, mean kinetic energy, and  
327 occupation number of the orbital. Angular deflections in both ionisation and excitation  
328 collisions are considered based on the kinematics of binary collisions. Elastic scattering cross  
329 sections are based on partial-wave calculations using the independent atom approximation  
330 and very small energy loss is taken into account during each single elastic scattering<sup>48</sup>.

331

#### 332 *II.D. Other constructors*

333

334 All the results presented in this work have been obtained using the "option 2", "option 4" and  
335 "option 6" constructors. Other physics constructors have been provided historically with  
336 Geant4-DNA. These options are either non-validated (such as "option 1"), obsolete ("option  
337 3") or accelerated versions of other options for faster computing (e.g., "option 5" is an  
338 alternative of "option 4"). "G4EmDNAPhysics" is the default constructor initially delivered to  
339 Geant4 in December 2007. This constructor proposes slower versions of the elastic scattering  
340 and ionisation processes than the "option 2" constructor, by using non-cumulated differential  
341 cross sections for the description of the physical interactions (calculation of scattering angle  
342 for elastic scattering and calculation of secondary electron kinetic energy for ionisation);  
343 instead "option 2" uses the cumulated version of these differential cross sections. The  
344 "G4EmDNAPhysics\_option1" constructor uses the "G4LowEWentzelVI" model<sup>70</sup> for the  
345 simulation of electron elastic scattering, which is a low-energy extension of the original  
346 "WentzelVI" elastic scattering model described in Ref.<sup>71</sup>. Although faster, this model has not  
347 been validated compared to existing Geant4-DNA elastic single scattering models and  
348 experimental data and is currently provided as a beta development only. The  
349 "G4EmDNAPhysics\_option3" constructor is obsolete. The "G4EmDNAPhysics\_option5"  
350 constructor provides an accelerated version of the "option 4" constructor. However, since the

351 energy applicability of "option 4" is currently limited to 10 keV, this constructor can be used  
352 for TS simulations without a strong computing performance penalty while keeping the  
353 accuracy of non-cumulated differential cross sections. With the future evolution of the  
354 electron ionisation model currently available in "option 4", the usage of "option 5" might  
355 become an interesting alternative. Finally, an *ad hoc* constructor is proposed as  
356 "G4EmDNAPhysics\_option7", combining "option 4" electron models (up to 10 keV) and  
357 default Geant4-DNA electron models (from 10 keV up to 1 MeV). This combination is now  
358 available through a new software interface ("G4EmDNAPhysicsActivator"), which offers in  
359 particular the possibility to track electrons above 1 MeV using Geant4 standard  
360 electromagnetic processes and models. This feature will be described later in this work.

361

362

### 363 **III. Geant4-DNA examples for TS simulations in liquid water**

364

365 Geant4-DNA currently provides 11 examples that can be used to simulate track structures in  
366 liquid water. These examples belong to the so-called "extended" category of examples  
367 available in the Geant4 toolkit, in parallel to the general "novice" and "advanced" categories  
368 of examples which are also available in Geant4. They are all located in the  
369 "examples/extended/medical/dna" directory of the toolkit. The list of these examples is  
370 summarized in Table 2.

371

372 We describe below the main features proposed by these examples, starting from more  
373 fundamental examples to a variety of applications. These examples will serve as reference  
374 applications for users who have interest in simulating quantities described in Table 2, which  
375 are frequently used in TS simulations. We also present and discuss for each example the  
376 performance of the three Geant4-DNA physics constructors ("option 2", "option 4" and  
377 "option 6") for the simulation of these quantities.

378

379 All examples are provided with Geant4 macro files. These macro files are text files which  
380 contain Geant4 commands allowing an easy control of the simulation and associated settings,  
381 without the need for recompilation of the user application. The names of these macro files are  
382 listed in Table 2. Some of the examples also include ROOT <sup>76</sup> macro files for the automatic  
383 generation of graphs. These macros contain C++ commands which are directly interpreted by  
384 ROOT. The results presented in this work have been obtained exclusively from the described  
385 examples, run on a laptop computer equipped with the Geant4 virtual machine  
386 (<http://geant4.in2p3.fr>). These examples can be run in multithreading mode, which allows an

387 optimized usage of cores and memory in recent computers<sup>9</sup>. The virtual machine contains the  
388 full Geant4 installation, ROOT and other tools, and is freely available for download.

389

### 390 *III.A. The "dnaphysics" example*

391

- 392 • **Purpose**

393

394 Historically, the "dnaphysics" example was the first example offered to users illustrating the  
395 usage of Geant4-DNA physics processes and models for the simulation of TS in liquid water.  
396 This example allows the scoring of all step by step information of particle tracking in liquid  
397 water including physical interaction process (e.g. ionisation, electronic excitation...), step  
398 position (the so-called pre- and post-step points of each step), local energy deposition, step  
399 size, kinetic energy loss, scattering angle and track hierarchy (that is, identification of current  
400 step, current track and parent track).

401

402 Since release 10.4, this example illustrates the usage of the new  
403 "G4EmDNAPhysicsActivator" interface recently added to Geant4. This interface performs  
404 the automatic combination of Geant4-DNA models and Geant4 electromagnetic physics  
405 models in a geometrical region of the simulated setup specified by the user. This allows for  
406 example to simulate the interactions of electrons beyond the 1 MeV maximum upper limit of  
407 Geant4-DNA electron models (available in the "option 2" constructor) using Geant4  
408 electromagnetic physics models above this limit. In the current implementation of this  
409 interface, Geant4 electromagnetic physics models are taken from the  
410 "G4EmStandardPhysics\_option4" standard electromagnetic physics constructor of Geant4<sup>9</sup>.  
411 Table 3 details the current combination of electron models proposed by this new interface (the

412 combination for other Geant4-DNA particles, including photons, is described in the  
413 Supplemental Table 1).

414

415 This new interface can be used in any application directly via User Interface commands and  
416 does not require any coding of a combined physics list. Such a combination between Geant4-  
417 DNA and Geant4 models, which is not straightforward, was initially demonstrated in the  
418 Geant4-DNA "microdosimetry" example <sup>6</sup> where a reference physics list was constructed for  
419 users wishing to build their own combination of Geant4-DNA models with Geant4  
420 electromagnetic physics models. This "microdosimetry" example is now kept for  
421 preservation.

422

423 Alternatively, users can choose to select exclusively any of the Geant4-DNA physics  
424 constructors for the tracking of particles. The simulation of atomic relaxation (production of  
425 Auger electrons and fluorescence photons <sup>52</sup>) is enabled as well. Atomic relaxation is  
426 triggered when ionisation of water K shell occurs. Corresponding transition probabilities and  
427 emission energies from oxygen atom are taken from the Evaluated Atomic Data Library  
428 (EADL) atomistic database<sup>51</sup> similarly to Geant4 ionising electromagnetic processes, as we  
429 recently detailed in Ref.<sup>52,53</sup>.

430

431 The variable density feature of Geant4 materials is also illustrated by this example: this is an  
432 easy way to use the same Geant4-DNA cross sections for a liquid water medium having a  
433 density different than the default NIST value used by Geant4-DNA models (i.e. 1 g/cm<sup>3</sup>). For  
434 example, the state-of-the-art PARTRAC damage simulation software uses a value of 1.06  
435 g/cm<sup>3</sup> for liquid water to approximate cell constituents <sup>78</sup>.

436

437       • **Results and discussion**

438

439 This example can be utilized to study physical processes occurring along particle tracks. As  
440 an example, Figure 1 shows the frequency of Geant4-DNA physics processes for  $10^2$  protons  
441 with energy 100 keV, incident in an infinite volume of liquid water. The default Geant4-DNA  
442 tracking cut for protons and hydrogen atoms was used (100 eV). The results are presented for  
443 the three Geant4-DNA physics constructors, alternatively adopted to describe the particle  
444 interactions (note that larger statistics lead to the same observations). The histograms of  
445 Figure 1 are automatically generated by the ROOT macro provided with the example. As can  
446 be observed from Figure 1, Geant4-DNA physics processes for protons and hydrogen atoms  
447 occur with similar frequencies for the three physics constructors. These constructors indeed  
448 differ only by the models used to describe electrons interactions, as summarized in Table 1.  
449 Figure 1 also illustrates that for the case of the default constructor ("option 2"), vibrational  
450 excitation and molecular attachment are activated, while these two processes are not  
451 considered by the two other constructors ("option 4" and "option 6"). "Option 2" and "option  
452 6" generate more ionisations than "option 4", which in turn generates more electronic  
453 excitations, because of the larger contribution of the excitation cross section, as explained in  
454 Ref. <sup>47</sup>. Finally, elastic scattering occurs more frequently in "option 2", since electrons are  
455 transported down to 7.4 eV (they are transported down to 10 eV or 11 eV, for "option 4" or  
456 "option 6", respectively - see Table 1).

457

458 We provide in Supplemental Figure 1 a visual comparison of three tracks of particles with  
459 similar initial velocities simulated using "dnaphysics": a 1 MeV proton, a 4 MeV alpha  
460 particle and a 12 MeV carbon ion, over a distance of 500 nm in liquid water, simulated with  
461 the "G4EmDNAPhysicsActivator" interface which combines Geant4 electromagnetic physics

462 models and Geant4-DNA physics models. We used the same color code as in Figure 1 to  
463 mark physical interactions. This enabled us to illustrate the "cloud" of electron elastic  
464 scattering sites that surrounds the core of the incident particle track and secondary electron  
465 tracks.

466

### 467 *III.B. The "range" example*

468

- 469 • **Purpose**

470

471 While the "dnaphysics" example allows for the easy extraction of the main physical quantities  
472 of the incident particle and the whole shower of secondary particles created during the  
473 tracking, the "range" example simulates the total distance travelled - the so-called "range" - by  
474 an incident particle. In this example, the "range" can be tracked until the particle reaches a  
475 minimum tracking cut, which can be set by the user, below which this particle is stopped and  
476 its remaining kinetic energy is deposited locally into the liquid water medium. In addition,  
477 two other quantities are calculated: the "penetration" which represents the distance between  
478 the point where the incident particle is shot and the point where its tracking is stopped, and  
479 the "projected range" which represents the projection of the "penetration range" along the  
480 shooting direction. Naturally, only the incident particle is considered in these simulations.  
481 Simulated values are given in nanometers. This example can serve as a benchmark against  
482 international recommendations, as we will further discuss below.

483

- 484 • **Results and discussion**

485

486 Figure 2 shows the simulation of particle ranges, defined as the sum of all step lengths of the  
487 primary particle (electrons, protons, alphas) cumulated over the entire track length, as a  
488 function of incident energy, as simulated by the "range" example. For the calculation of  
489 electron range, the three Geant4-DNA physics constructors were used with their default  
490 tracking cut. For the calculation of proton range, a variable tracking cut has been applied  
491 following the procedure initially proposed by Uehara et al. in Ref. <sup>80</sup> and also used in previous  
492 Geant4-DNA comparisons <sup>44</sup>. Specifically, the tracking cut has been set to 400 eV at the  
493 incident kinetic energy of 1 keV, and to 3 keV at the incident kinetic energy of 500 keV, and  
494 its value is interpolated logarithmically for intermediate incident energies. For the simulation  
495 of alpha range, the low energy limit of the ionisation model was extended down to 100 eV  
496 instead of 1 keV, which is currently the default tracking cut of alpha particles in Geant4-DNA  
497 <sup>5</sup>. For comparison, ICRU90 ranges for liquid water are indicated as well <sup>79</sup>. Regarding  
498 electrons, below a few keV, "option 2" values are the largest, followed by "option 4" values  
499 which are larger than "option 6" values, the latter being closer to ICRU data. Compared to  
500 "option 4", the larger values obtained with "option 2" result mainly from the lower tracking  
501 cut proposed by the physics constructor (7.4 eV vs 10 eV). "Option 6" tends to predict  
502 systematically shorter ranges especially at the lowest energies. This is a consequence of the  
503 larger inelastic cross section for electrons in the 10 eV - 10 keV range available in "option 6"  
504 as can be observed in Figure 4 of Ref. <sup>6</sup>. The oscillations observed at very low energy are  
505 caused by the rapidly decreasing cross sections for inelastic interactions (including vibrational  
506 excitations), as already underlined in Ref. <sup>81</sup> and are not due to statistical fluctuations ( $10^6$   
507 incident electrons were shot for this Figure). Good agreement is observed with the recent  
508 ICRU90 recommendations at high energies. Quantitatively, the simulation results start to  
509 deviate by more than 10% from ICRU90 recommendations below 10 keV for "option 2" and



510 "option 4" and below 3 keV for "option 6". Proton ranges agree better than 5% down to 2 keV  
511 while alpha ranges deviate by more than 10% below 15 keV.

512

### 513 *III.C. The "spower" example*

514

- 515 • **Purpose**

516

517 Similar to the "range" example, the "spower" example serves as a benchmark to international  
518 recommendations on stopping power in liquid water. Simulated values are expressed in  
519 MeV/cm for easier comparison to international recommendations. This example activates a  
520 stationary mode (frozen-velocity approximation) in models where the incident particle loses  
521 energy. In this mode, the kinetic energy of the incident particle is artificially maintained  
522 constant at each simulation step. This ensures the correct calculation of the stopping power  
523 according to its definition. Secondary particles are not transported during the simulation, and  
524 charge exchange processes (electron capture or loss) are considered for protons, hydrogen,  
525 alpha particles and their charge states. Nuclear scattering by protons, alpha particles and their  
526 charge states can be deactivated if the user is only interested in the simulation of the  
527 electronic stopping power.

528

- 529 • **Results and discussion**

530

531 Figure 3 shows the simulation of particle stopping power as a function of incident energy,  
532 assuming a stationary regime, as explained in the previous section. Electron stopping powers  
533 are shown on the left plot, for the three Geant4-DNA physics constructors and on the right  
534 plot for protons and alpha particles. Regarding electrons, stopping power calculated using

535 "option 6" is larger than "option 2" and "option 4" predictions, which is again a consequence  
536 of larger inelastic cross sections for "option 6" compared to the two other constructors  
537 (similarly, inelastic cross sections are larger for "option 2" than for "option 4", as shown by  
538 the corresponding stopping power curves). Regarding comparison to ICRU90  
539 recommendations, Geant4-DNA predictions for electrons are compared to ICRU90 electronic  
540 stopping power. "Option 2" and "option 4" values differ from ICRU90 recommendations by  
541 5% and less in the 4 keV - 500 keV range ("option 4" does not go beyond 10 keV), and  
542 around 10% down to 1 keV. "Option 6" differs from ICRU90 by less than 4% on the whole  
543 energy range covered by this constructor; in particular, it differs by 2% and less below 4 keV  
544 down to 1 keV. We should note that ICRU90 stopping power values have a 1.5-5%  
545 uncertainty in the range of 1-10 keV. They also neglect shell-corrections which reduce the  
546 Bethe stopping power below a few keV<sup>82</sup>. Regarding protons, simulations differ by less than  
547 5% from ICRU90 down to 2 keV. Finally, regarding alpha particles, the differences are larger  
548 than 10% below 10 keV and above 150 MeV.

549

### 550 *III.D. The "mfp" example*

551

- 552 • **Purpose**

553

554 The "mfp" example simulates mean-free-path values. This is particularly interesting for the  
555 comparison of simulation performance of TS codes for electrons in liquid water at low  
556 energies and in small volumes, as for example recently outlined in Emfietzoglou et al. <sup>83</sup>.  
557 Users can easily inactivate any Geant4-DNA process thanks to a dedicated process  
558 inactivation macro command, allowing, for example, the simulation of inelastic mean-free-

559 path for electrons by having the elastic scattering process switched-off. Simulated mean-free-  
560 path values are expressed in nm.

561

562 • **Results and discussion**

563

564 Figure 4 presents electron mean free path as a function of incident energy simulated using the  
565 three Geant4-DNA physics constructors. We indicate in these figures mean free paths  
566 simulated with all processes active (dashed lines) or with inelastic processes active only (that  
567 is ionisation, electronic and vibrational excitation only - solid lines). Globally, for both cases,  
568 all curves have similar tendencies. In the case where only inelastic processes are considered,  
569 mean free path values obtained with "option 6" are smaller than values simulated with "option  
570 4", which follow "option 2" values down to 100 eV. This is a consequence of the dominance  
571 of the sum of inelastic cross sections in "option 6" compared to the two other options, as  
572 shown in Figure 4 of Ref. <sup>6</sup>. At 100 eV and below, the observed step affecting "option 2"  
573 values (solid and dashed red lines) is caused by the vibrational excitation process which  
574 becomes active and induces additional energy losses, reducing the mean free path value. In  
575 the case where all processes available in physics constructors are active, "option 6" values are  
576 systematically smaller than "option 4" values, which tend to become smaller than "option 2"  
577 values with decreasing incident energy. As international recommendations (e.g. ICRU  
578 reports) for mean free path values are not available yet, it is currently not possible to draw  
579 quantitative conclusions on the verification of simulated mean free path values.

580

581 *III.E. The "wvalue" example*

582

583 • **Purpose**

584

585 The "wvalue" example is provided in order to evaluate the accuracy of Geant4-DNA  
586 constructors for the simulation of the mean energy (the so-called "W-value") required for the  
587 creation of an ion pair in liquid water during the slowing-down of an initial particle for given  
588 incident energy <sup>47</sup>. This is another benchmark regularly used in the literature to compare TS  
589 codes. The user has the possibility to easily select a tracking cut used for the simulation,  
590 below which the tracking of particles is stopped and their energy is locally dumped.  
591 Simulated W-values are expressed in eV.

592

593 • **Results and discussion**

594

595 We present in Figure 5 the simulation of W-values for the three Geant4-DNA physics  
596 constructors. In these simulations, we have applied the default tracking cut of the constructors  
597 (7.4 eV for "option 2", 10 eV for "option 4" and 11 eV for "option 6"). Results are identical to  
598 the case where a common tracking cut of 11 eV was used <sup>47</sup>, and underline that a small  
599 change in the tracking cut does not influence the W-value. For comparison, NOREC <sup>33,86</sup>,  
600 PARTRAC <sup>33</sup> and RETRACKS <sup>84</sup> simulations and experimental data in gaseous water <sup>85</sup> are  
601 shown as well. While "option 2" and "option 6" values remain close down to about 20 eV,  
602 "option 4" predictions are the closest to NOREC and PARTRAC simulations; they are also  
603 closer to the experimental data set in the gaseous phase, which represents an upper bound of  
604 values in the liquid phase <sup>47</sup>. The observed better agreement of "option 4" compared to the  
605 two other physics constructors results from the larger ratio of excitation to ionisation cross  
606 sections for this constructor.

607

608 *III.F. The "svalue" example*

609

610       • **Purpose**

611

612 The "svalue" example allows the simulation of S-values which are (mainly) used in targeted  
613 radionuclide therapy in order to convert administered activity to radiation dose, as explained  
614 by the MIRD committee <sup>73,87</sup>. The S-values represent the dose to a target region per unit of  
615 cumulated activity in a source region. The most recent version of the example (which will be  
616 released in the near future) simulates the S-values for a spherical shell of liquid water  
617 surrounding a plain sphere of liquid water, representing a simplified cytoplasm and nucleus,  
618 respectively. Users may select radii and easily change component materials (e.g. liquid water  
619 or vacuum). By default, particles are emitted randomly from the cytoplasm volume, a typical  
620 configuration for radionuclide therapy in cells <sup>88</sup>. Three configurations can be selected for the  
621 description of incident particle emission. Monoenergetic particles are simulated by default.  
622 Alternatively, the user can provide a file containing a list of emission energies. The  
623 application is adapted to handle such a file in multithreading mode using a dedicated cache  
624 mechanism. As a third option, radionuclides, such as Iodine 125 and Iodine 131, can be set as  
625 point-like radiation sources. In this case, the radionuclide emission spectrum is directly  
626 simulated by the radioactive decay module of Geant4; two macro files are provided as  
627 examples. Any radionuclide handled by the radioactive decay module can thus be simulated.  
628 Finally, users can also select the tracking cut used in their simulation. The "svalue" example  
629 simulates by default S-values for (nucleus ← cytoplasm) and (cytoplasm ← cytoplasm)  
630 irradiation, and it can be easily adapted for any other configuration (target ← source). The  
631 simulated S-values are expressed in Gy/Bq.s.

632

633       • **Results and discussion**

634

635 Figure 6 shows the simulation of S-values for a simplified biological cell, containing a  
636 spherical nucleus of radius 4 micrometer, surrounded by a spherical cytoplasm of thickness 1  
637 micron. This data was generated by shooting monoenergetic electrons randomly (in position  
638 and in direction) from the cytoplasm or from the nucleus. Results are presented for the  
639 nucleus as target: either for the (nucleus ← nucleus) configuration (upper curves) or for the  
640 (nucleus ← cytoplasm) configuration (lower curves), up to 10 keV, the maximum common  
641 high energy limit of physics constructors. Inspection of this figure illustrates a very good  
642 agreement between physics constructors. For the configuration where the nucleus is the  
643 source, “option 4” differs from “option 2” by less than 1% over the whole energy range and  
644 “option 6” differs from “option 2” by less than 1% up to 5 keV and remain below 5% above  
645 this energy. Regarding the configuration where the cytoplasm is the source, differences are  
646 larger especially for the lowest incident energies: “option 4” differs from “option 2” by less  
647 than 5% down to 3 keV and “option 6” differs from “option 2” by less than 10% below 6 keV.  
648 This overall agreement between Geant4-DNA constructors has been previously observed  
649 when studying the distribution of energy deposition in small spheres of liquid water larger  
650 than a few hundreds of nanometers in diameter<sup>60</sup>. S-values for these two configurations have  
651 been calculated by the MIRD Committee<sup>89</sup> and are also shown in Figure 6. Regarding the  
652 (nucleus ← nucleus) configuration, deviations between the three Geant4-DNA physics  
653 constructors and MIRD values are less than 10%, up to about 10 keV. Larger deviations are  
654 observed for the (nucleus ← cytoplasm) configuration, especially for the lowest energies,  
655 reaching at most 9% at 10 keV and at most 30% at 1 keV both for “option 6”. These  
656 deviations from MIRD have been already observed, as we presented in Ref.<sup>74</sup>. The public  
657 version of this example included in Geant4 10.4 calculates S-values for a single target sphere,  
658 whereas the version of this example described in this work will be released in the near future.

659

660 *III.G. The "slowing" example*

661

662 • **Purpose**

663

664 This example can be used for the simulation of slowing-down spectra of electrons in liquid  
665 water. This is another application that is regularly used to compare TS codes<sup>90</sup>. Such spectra  
666 represent the fluence distribution (differential in energy) of both the primary and all  
667 subsequent generations of secondary electrons generated through the full slowing-down  
668 process of the incident particle<sup>72</sup>. The user can activate all atomic de-excitation processes as  
669 well as inelastic sub-excitation processes for electrons (vibrational excitation and molecular  
670 attachment), as these impact the spectra shape. A tracking cut can also be applied. The  
671 simulated slowing-down spectra are expressed as  $1/(\text{cm}^2 \cdot \text{eV} \cdot \text{Gy})$ .

672

673 • **Results and discussion**

674

675 Figure 7 presents the simulation of electron slowing-down spectra in liquid water for 100 eV,  
676 1 keV and 10 keV incident monoenergetic electrons, all simulated with the “slowing”  
677 example. In these simulations, the elastic scattering process was not considered, except for  
678 “option 6” where elastic scatterings are accompanied with small energy losses, as explained in  
679 Ref.<sup>48</sup>. Similar results were obtained for “option 2” and “option 4” as we previously  
680 described in Ref.<sup>72</sup>: for the 100 eV and 1 keV incident energies, “option 4” values are slightly  
681 larger than “option 2” values, down to about 15 eV. This is caused by the lower stopping  
682 power values of “option 4” compared to “option 2” (see Figure 3 left panel of this work).  
683 “Option 6” values appear systematically lower than the two other constructors. This is

684 similarly caused by the stopping power values of “option 6” which are larger than the two  
685 other constructors (see Figure 3, left panel). The influence of Auger electron production can  
686 be observed for all three constructors at the production threshold (around 500 eV) on the 10  
687 keV spectra.

688

### 689 *III.H. The "microyz" example*

690

#### 691 • **Purpose**

692

693 The "microyz" example is mainly useful for simulations in microdosimetry <sup>91</sup>, a formalism  
694 largely used for the investigation of biological effects of ionising radiation at the cellular level  
695 (where typical dimensions are of the order of a few microns). It was mainly developed to  
696 explain to users how to simulate microdosimetry spectra of lineal energy (usually denoted as  
697 "y") and specific energy (usually denoted as "z"), thus the example name "microyz" and their  
698 related quantities (frequency-mean and dose-mean averages) in small spheres of liquid water.  
699 This example applies a weighting procedure avoiding bias of energy scoring in regions of the  
700 full cascade of particles with large number of energy depositions, and is described more fully  
701 in other work <sup>60</sup>. Users have the possibility to apply a tracking cut. Lineal energies (in eV/nm)  
702 and specific energies (in Gy) are simulated for each incident particle. Corresponding mean  
703 values can be calculated using the provided ROOT macro file.

704

#### 705 • **Results and discussion**

706

707 Performance of the “microyz” extended example has been described in detail in our previous  
708 publication (Ref. <sup>60</sup>). As another illustration, we present in Figure 8 the frequency-mean lineal



709 energy distribution of electrons as a function of their incident kinetic energy, obtained in a 2  
710 nm and 100 nm diameter scoring spheres, for an incident statistics of  $10^6$  electrons. In order to  
711 adopt our previous simulation conditions described in Ref. <sup>60</sup> vibrational excitation and  
712 attachment have not been considered for “option 2”. Default tracking cuts have been used for  
713 “option 4” (10 eV) and “option 6” (11 eV). A tracking cut of 9 eV (instead of the default value  
714 of 7.4 eV) has been used for “option 2”, since no energy loss process occurs below 9 eV when  
715 vibrational excitation and attachment are not considered (as it is the case in the present  
716 simulations).

717

718 For the 2 nm sphere, frequency-mean lineal energies obtained with “option 2” and “option 4”  
719 constructors are very similar (they differ by less than 10 % over the whole energy range),  
720 while “option 6” values are systematically lower by 22% to 36%. This large discrepancy is  
721 caused by the numerous very small energy losses occurring during elastic scattering in  
722 “option 6” as we explained in <sup>60</sup> and which are accounted for in the calculation of lineal  
723 energy values. As an illustration, at 200 eV, when energy losses are not considered during  
724 elastic scattering of “option 6”, 100% of total energy deposits scored in spheres are larger than  
725 8 eV; on the contrary, when these small energy losses are considered (which is the default  
726 setting of “option 6”), about 30% of such deposits are less than 8 eV down to the microeV  
727 scale, resulting in a lower frequency-mean lineal energy at this energy, as observed in the left  
728 panel of Figure 8. For the 100 nm sphere, although frequency-mean lineal energies have  
729 similar trend as a function of incident energy, the values obtained for “option 6” are larger  
730 than for “option 4”, the latter being larger than the “option 2” values. Compared to “option 2”  
731 values, “option 6” are larger by 7% (at 50 eV) up to 30 % (at 1 keV), and “option 4” values  
732 are larger by 7% at 50 eV up to 24 % at 700 eV. The dominance of “option 6” values over the

733 two other sets results from the larger inelastic cross sections of “option 6”, while these cross  
734 sections are in closer agreement for “option 2 “ and “option 4” (see Figure 4 of Ref. <sup>6</sup>).

735

### 736 *III.I. The "TestEm12" example*

737

- 738 • **Purpose**

739

740 This example has not been specifically developed for Geant4-DNA. It is a reference example  
741 which can be used with all Geant4 electromagnetic physics models. We recently added the  
742 possibility to also use Geant4-DNA physics constructors and a macro file allowing the  
743 simulation of dose point kernels (DPK) using these constructors. DPKs serve particularly as  
744 benchmarks for the accuracy of electron elastic and inelastic scattering models, as has been  
745 previously demonstrated by our Collaboration in Ref. <sup>75</sup>. Energy deposition is recorded in  
746 virtual spherical shells around the emission point source and the user can easily select the  
747 number of shells using this macro file. Simulated DPK spectra are expressed in MeV/mm as a  
748 function of the distance in nm from the point source.

749

- 750 • **Results and discussion**

751

752 An extensive verification of DPK distributions has been recently described in Ref. <sup>75</sup>, where  
753 “option 2”, “option 4” and “option 6” physics constructors have been compared. We show in  
754 Figure 9 the DPK obtained for 100 eV and 1 keV incident monoenergetic electrons, using  
755 these three constructors with their default tracking cut. We also present DPKs obtained for  
756 “option 2” (dashed lines) in the case where inelastic sub-excitation processes (vibrational  
757 excitation and attachment) are not considered (these processes are not included in the “option

758 4” and “option 6” constructors – see Table 1). In all cases, DPKs obtained with “option 2” are  
759 more diffusive than the two other constructors (longer tail towards large radius values). At  
760 100 eV, this behavior is clearly magnified when inelastic sub-excitation processes for “option  
761 2” are ignored (dashed red line). This is a direct result of the much lower excitation cross  
762 section of “option 2” in comparison to “option 4” and “option 6”<sup>59</sup>. At 1 keV, “option 6” is  
763 less diffusive and presents a larger maximum than “option 2” (16% larger and about 4 nm  
764 closer to the source) and “option 4” (12% larger and about 4 nm closer to the source). The  
765 observed trend (less diffusive DPKs for "option 6" than for the two other constructors)  
766 follows the behavior of the total mean free path (which considers elastic and inelastic  
767 interactions) as a function of incident energy shown in Figure 4, underlining that models with  
768 longer total mean free path lead to more diffusive DPKs. The observed larger maximum of  
769 "option 6" is closer to the predictions of the PENELOPE-2011 Monte Carlo code<sup>29</sup> used in a  
770 step by step mode in the 1 keV – 10 keV range. The reader is invited to refer to Ref.<sup>75</sup> for  
771 more detail regarding the comparison of Geant4-DNA DPKs with the PENELOPE code in  
772 this 1 keV – 10 keV energy range.

773

### 774 *III.J. The "TestEm5" example*

775

- 776 • **Purpose**

777

778 "TestEm5" is another Geant4 electromagnetic physics example, which can be used to  
779 investigate atomic relaxation. This includes the production of fluorescence photons or Auger  
780 electrons after removal of an atomic electron induced by ionisation, the photoelectric effect or  
781 Compton scattering processes. This example was used to illustrate the recent addition<sup>52</sup> of  
782 Auger cascade simulation in Geant4 electromagnetic physics. Moreover, it has been updated

783 in order to demonstrate how to mark fluorescence photons and Auger electrons generated  
784 from the atomic relaxation cascade induced by the Geant4-DNA ionisation processes. Using a  
785 dedicated macro file that fully activates atomic relaxation - including Auger cascades -  
786 without any cut for the production of relaxation products, Geant4-DNA users can now easily  
787 score the kinetic energy of these particles in histograms.

788

789 • **Results and discussion**

790

791 Figure 10 (left panel) illustrates the possibility to detect Auger electrons initiated by the  
792 Geant4-DNA ionisation process: the number of Auger electrons per incident electron is  
793 presented as a function of electron kinetic energy. Auger electrons are generated from the  
794 ionised oxygen atom of the water molecule with energies and frequencies tabulated in the  
795 EADL database <sup>51</sup>. The three constructors show similar behavior with “option 2” leading to  
796 larger production rates compared to “option 4” and “option 6” above 2 keV. For example, at  
797 10 keV, the production of Auger electrons by “option 2” is about 50% larger to “option 4”  
798 and “option 6”. On the contrary, at low energy, the production is larger for “option 4” than for  
799 the two other constructors. For example, at 1 keV, “option 4” produces about 120% more  
800 Auger electrons than “option 2” and about 160% more than “option 6”. The trends of these  
801 rates as a function of energy result from the probability of electron-impact ionisation of the K-  
802 shell in oxygen atoms, which depends on the modeling of the ionisation process. This  
803 probability is represented for a single electron on the right panel of Figure 10 for the three  
804 constructors, as a function of the electron energy. It has been calculated as the probability that  
805 the incident electron undergoes impact ionisation (among the ionisation, excitation and elastic  
806 scattering processes, and using the corresponding cross sections) multiplied by the probability  
807 that the ionisation occurs on the K-shell (among the five shells of the water molecule). The

808 probability obtained with “option 2” is larger than for the two other constructors at high  
809 energy, while “option 4” dominates below 1 keV, in agreement with the trends observed in  
810 the left panel of Figure 10.

811

812

#### 813 **IV. Conclusion**

814

815 In this work we have reviewed all Geant4-DNA example applications available as part of  
816 Geant4 version 10.4 (and some examples soon to be released), for the simulation of track  
817 structures in liquid water. This is, to the best knowledge of the authors, the first time that such  
818 a variety of examples for TS simulations are made freely available to the community. In  
819 addition to their pedagogical role, these examples also serve for evaluating Geant4-DNA  
820 physics models' performance and their evolution over time (regression testing). In particular,  
821 we have underlined in this work the performance of the recent "option 4" and "option 6"  
822 Geant4-DNA physics constructors - developed at Ioannina University (in Greece) and at Paul  
823 Sabatier University (in France), respectively - compared to the alternative default constructor  
824 "option 2". We have shown that on one hand the "option 6" stopping powers for electrons in  
825 liquid water are somewhat closer to the recent ICRU90 recommendations than "option 4" and  
826 give larger and less diffusive DPKs, as also predicted by the PENELOPE Monte Carlo code.  
827 One should however underline that the less diffusive DPKs predicted by PENELOPE also  
828 result from the larger tracking cut of PENELOPE (50 eV versus 7.4 eV for "option 2", 10 eV  
829 for "option 4" and 11 eV for "option 6"). On the other hand, "option 4" predicts W-values  
830 closer to other Monte Carlo simulations and experimental data in the gas phase than "option  
831 6". In the absence of low energy validation data ( $< 1$  keV) in liquid water, it remains difficult  
832 to give a firm recommendation for a specific constructor. However, the usage of these recent  
833 constructors could be useful for evaluating quantitatively the dependence of simulation results  
834 on such physics models in any user application. In addition to this lack of experimental  
835 validation, users should keep in mind that Geant4-DNA (similar to other TS codes) assumes  
836 the classical trajectory approximation, which becomes gradually less valid at low energies  
837 (especially below 20-50 eV). Such limitations are discussed in detail by Thomson et al.<sup>92</sup> and

838 Liljequist et al.<sup>93</sup> Although it was already shown<sup>47</sup> that “option 4” constructor improves upon  
839 “option 2” at various track structure simulations at sub-keV energies, the latter is still used  
840 since it covers a larger energy range up to 1 MeV (“option 4” has an upper limit of 10 keV  
841 and “option 6” of 256 keV). The “option 4” constructor will soon be extended to relativistic  
842 energies, benefiting notably from newly available experimental data and theoretical  
843 calculations<sup>83,94</sup>, which will extend its usage to a variety of applications beyond 10 keV.  
844 These examples will then be used to quantify the impact of such extended models on TS  
845 simulations. Regarding the inclusion of cross sections for other materials than liquid water (in  
846 particular DNA components or precursors), new cross sections allowing the transport of  
847 electrons down to 12 eV and protons used as projectiles (in the range 70 keV-10 MeV)  
848 extracted from Ref.<sup>10</sup> have also been included in the Geant4 10.4 release. Their use and  
849 validation will be described in a future publication. Moreover, the addition of such other  
850 biological materials in the “option 6” constructor as implemented in the CPA100 code, is also  
851 planned.

852

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854

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866

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1125

1126 *Figure 1: Illustration of the usage of the "dnaphysics" example for the scoring of Geant4-*  
1127 *DNA processes occurring along  $10^2$  incident proton tracks of 100 keV in an infinite volume of*  
1128 *liquid water. The left plot has been obtained with Geant4-DNA physics constructor "option 2"*  
1129 *(default models), the middle plot with "option 4" (Ioannina U. models) and the right one with*  
1130 *"option 6" (CPA100 models). Occurrences are represented by vertical bars, as a function of*  
1131 *particle type. The numbers indicated on the horizontal axis are used to identify processes in*  
1132 *the application.*

1133

1134 *Figure 2: Electron, proton and alpha ranges (all represented as solid lines) in liquid water*  
1135 *simulated using the "range" example as a function of incident kinetic energy. For electrons,*  
1136 *results obtained for the three Geant4-DNA physics constructors are indicated (in red for*  
1137 *"option 2", in green for "option 4" and in blue for "option 6"). Symbols represent the recent*  
1138 *ICRU90 recommendations <sup>79</sup>.*

1139

1140 *Figure 3: Stopping power for electrons (left plot, solid lines), protons and alpha particles*  
1141 *(right plot, solid lines) in liquid water as a function of incident energy, simulated with the*  
1142 *"spower" example. For electrons, results obtained for the three Geant4-DNA physics*  
1143 *constructors are indicated (in red for "option 2", in green for "option 4" and in blue for*  
1144 *"option 6"). Symbols represent the recent corresponding ICRU90 recommendations for*  
1145 *stopping power (electronic stopping power on left plot, total stopping power on right plot) <sup>79</sup>.*

1146

1147 *Figure 4: Mean free path for electrons in liquid water, considering all physical interactions*  
1148 *(dashed lines) or inelastic interactions only (solid lines) as a function of incident particle*  
1149 *energy, simulated with the "mfp" example, for the three Geant4-DNA physics constructors.*

1150

1151 *Figure 5: W-value for electrons as a function of incident energy up to 100 keV in liquid water*  
1152 *simulated using the "wvalue" example, for the three Geant4-DNA constructors. Monte Carlo*  
1153 *simulations from NOREC (dashed line, Ref. <sup>33</sup>), PARTRAC (dotted line, Ref. <sup>33</sup>), RETRACKS*  
1154 *(dash-dotted line, Ref. <sup>84</sup>) and experimental data in gaseous water (squares, Ref. <sup>85</sup>) are*  
1155 *shown as well for comparison.*

1156

1157 *Figure 6: S-values for the nucleus ← nucleus (denoted as "N ← N") and the nucleus ←*  
1158 *cytoplasm (denoted as "N ← Cy") configurations, in a simplified spherical cell (nucleus of*  
1159 *radius 4 microns and cytoplasm of thickness 1 micron - as shown in the inset), as a function*  
1160 *of incident electron energy in liquid water simulated using the "svalue" example, for the three*  
1161 *Geant4-DNA constructors (colored circles). MIRD calculations are indicated as well (black*  
1162 *stars) <sup>89</sup>.*

1163

1164 *Figure 7: Slowing-down spectra in liquid water for 100 eV, 1 keV and 10 keV monoenergetic*  
1165 *electrons simulated with the "slowing" example using the three Geant4-DNA physics*  
1166 *constructors.*

1167

1168 *Figure 8: Frequency-mean lineal energy ( $y_F$ ) as a function of incident electron kinetic energy*  
1169 *for a scoring sphere of diameter 2 nm (left panel) and 100 nm (right panel). These*  
1170 *distributions have been simulated with the "microyz" example for the three Geant4-DNA*  
1171 *physics constructors.*

1172

1173 *Figure 9: Dose point kernels (DPK) for  $10^6$  monoenergetic electrons of 100 eV and 1 keV in*  
1174 *liquid water, simulated using the "TestEm12" extended example. Results are shown for the*  
1175 *three Geant4-DNA physics constructors. The red dashed lines show "option 2" DPKs when*



1176 *inelastic sub-excitation processes (vibrational excitation and attachment) are not taken into*  
1177 *account.*

1178

1179 *Figure 10: The left panel shows the number of Auger electrons generated per incident*  
1180 *electron by the Geant4-DNA ionisation process for the three physics constructors as a*  
1181 *function of incident electron kinetic energy. The right panel shows the probability of K-shell*  
1182 *ionisation of each constructor as a function of incident electron kinetic energy.*

1183

1184

**Table 1**

Geant4-DNA physics constructors electron models			
Process	G4EmDNAPhysics_option2	G4EmDNAPhysics_option4	G4EmDNAPhysics_option6
			Relativistic Binary Encounter
<b>Ionisation</b> (inelastic)	Emfietzoglou dielectric model (11 eV - 1 MeV) 5	Emfietzoglou-Kyriakou dielectric model (10 eV - 10 keV) 47	Bethe model from CPA100 code (11 eV - 256 keV) 48
			dielectric model from CPA100 code
<b>Electronic excitation</b> (inelastic)	Emfietzoglou dielectric model (9 eV - 1 MeV) 5	Emfietzoglou-Kyriakou dielectric model (8 eV - 10 keV) 47	dielectric model from CPA100 code (11 eV - 256 keV) 48
			Independent Atom Method model from CPA100 code
<b>Elastic scattering</b> (elastic)	partial wave model (7.4 eV - 1 MeV) 5	Uehara screened Rutherford model (9 eV - 10 keV) 47	Independent Atom Method model from CPA100 code (11 eV - 256 keV) 48
<b>Vibrational excitation</b> (inelastic sub-excitation)	Sanche data (2 eV - 100 eV) 49	n/a	n/a
<b>Attachment</b> (inelastic sub-excitation)	Melton data (4 eV - 13 eV) 50	n/a	n/a
<b>Auger electron emission</b>	From the EADL database <sup>51</sup> and the Geant4 atomic relaxation interface <sup>52,53</sup>		
Default tracking cut <sup>(*)</sup>	7.4 eV	10 eV	11 eV

*Table 1: Content of the three reference Geant4-DNA physics constructors for TS simulations of electrons in liquid water available in the Geant4 10.4 release. Processes and models are indicated as well as their energy range of applicability and main reference. Processes are identified as elastic, inelastic and inelastic sub-excitation processes. Auger emission is listed as a separate process. We also indicate the tracking cut below which the tracking of electrons is stopped and their remaining kinetic energy is locally deposited. (\*): This tracking cut is handled by a specific Geant4-DNA process - so-called "G4DNAElectronSolvation" - which*

*does not apply when chemistry simulation is activated (electrons are tracked till thermalization and are considered as solvated).*

**Table 2**

<b>Extended example name</b>	<b>Purpose</b>	<b>Macro file</b>	<b>Other related reference(s)</b>
dnaphysics	detail of tracking, automatic combination with Geant4 standard EM physics models, modification of medium density	dnaphysics.in	6
microdosimetry	combination “by hand” of Geant4 standard EM and Geant4-DNA processes and models in different regions	microdosimetry.in	6
range	range, projected range, penetration	range.in	59
spower	stopping power	spower.in	72
mfp	mean free path	mfp.in	-
wvalue	mean energy required for the creation of an ion pair in liquid water (the so- called "W-value")	wvalue.in	47
svalue	dose to a liquid water target per unit of cumulated activity in a source region (the so called "S-value")	svalue.in	6,73,74
slowing	slowing-down electron spectra	slowing.in	72
microyz	microdosimetric distributions (lineal energy y, specific energy z) and	microyz.in	60

	related quantities		
TestEm12 <sup>(+)</sup>	dose point kernel	dna.mac	6.59,75
TestEm5 <sup>(+)</sup>	identification of atomic de-excitation products for Geant4-DNA processes	dna.mac	-

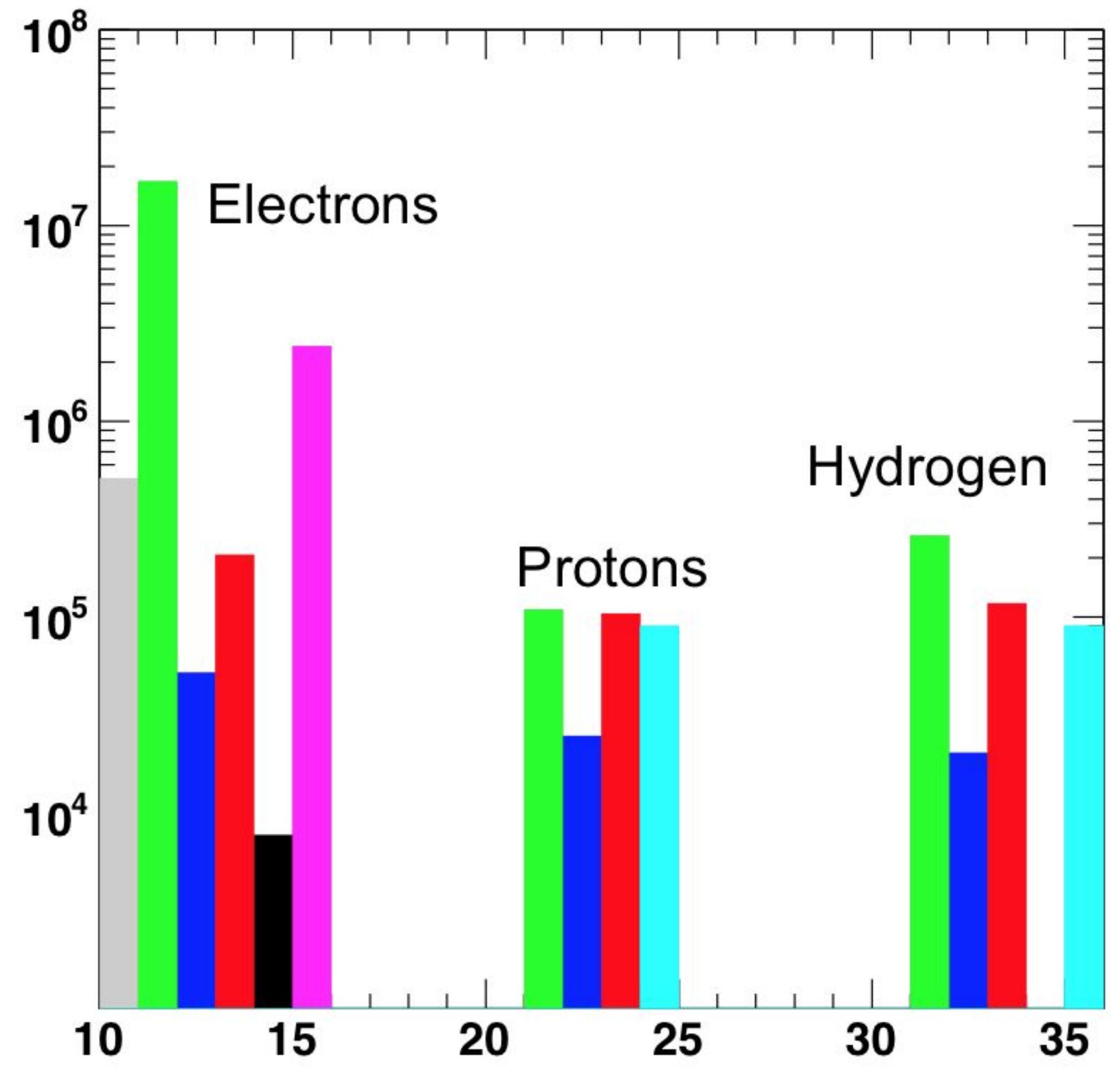
*Table 2: List of Geant4-DNA "extended" examples available for TS simulations in liquid water. These examples are available in Geant4 release 10.4 (December 2017). The Geant4-DNA macro files used to obtain most of the results presented in this work are given. Other related references are indicated as well. (+): These examples are not specific to Geant4-DNA but are equipped with Geant4-DNA macro files for TS simulations.*

**Table 3**

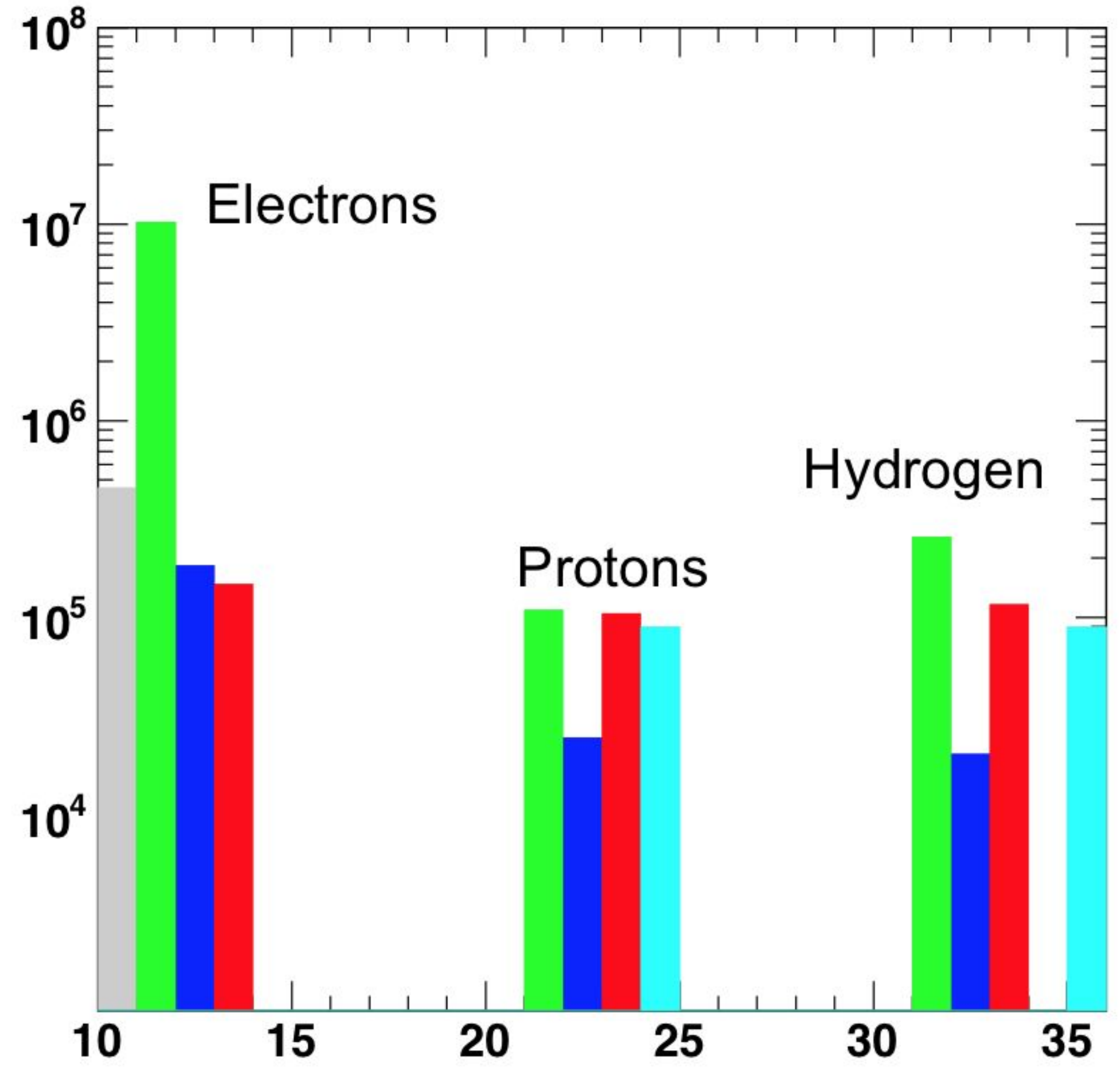
<b>Physical process</b>	<b>Geant4-DNA electron model</b>	<b>Geant4 electron standard electromagnetic model</b>
Elastic	partial wave (< 1 MeV)	Urban (multiple scat., > 1 MeV) or Coulomb (single scat., > 1 MeV)
Electronic excitation	Emfietzoglou-Kyriakou (< 10 keV) and default (10 keV - 1 MeV)	n/a
Ionisation	Emfietzoglou-Kyriakou (< 10 keV) and default (10 keV - 1 MeV)	Moller-Bhabha (> 1 MeV)
Vibrational excitation	Sanche (< 100 eV)	n/a
Attachment	Melton (< 13 eV)	n/a

*Table 3: Description of the automatic combination of Geant4-DNA models with Geant4 standard electromagnetic models for electrons in liquid water, performed by the "G4EmDNAPhysicsActivator" interface available from Geant4 10.4 release (December 2017), and illustrated in the "dnaphysics" example.*

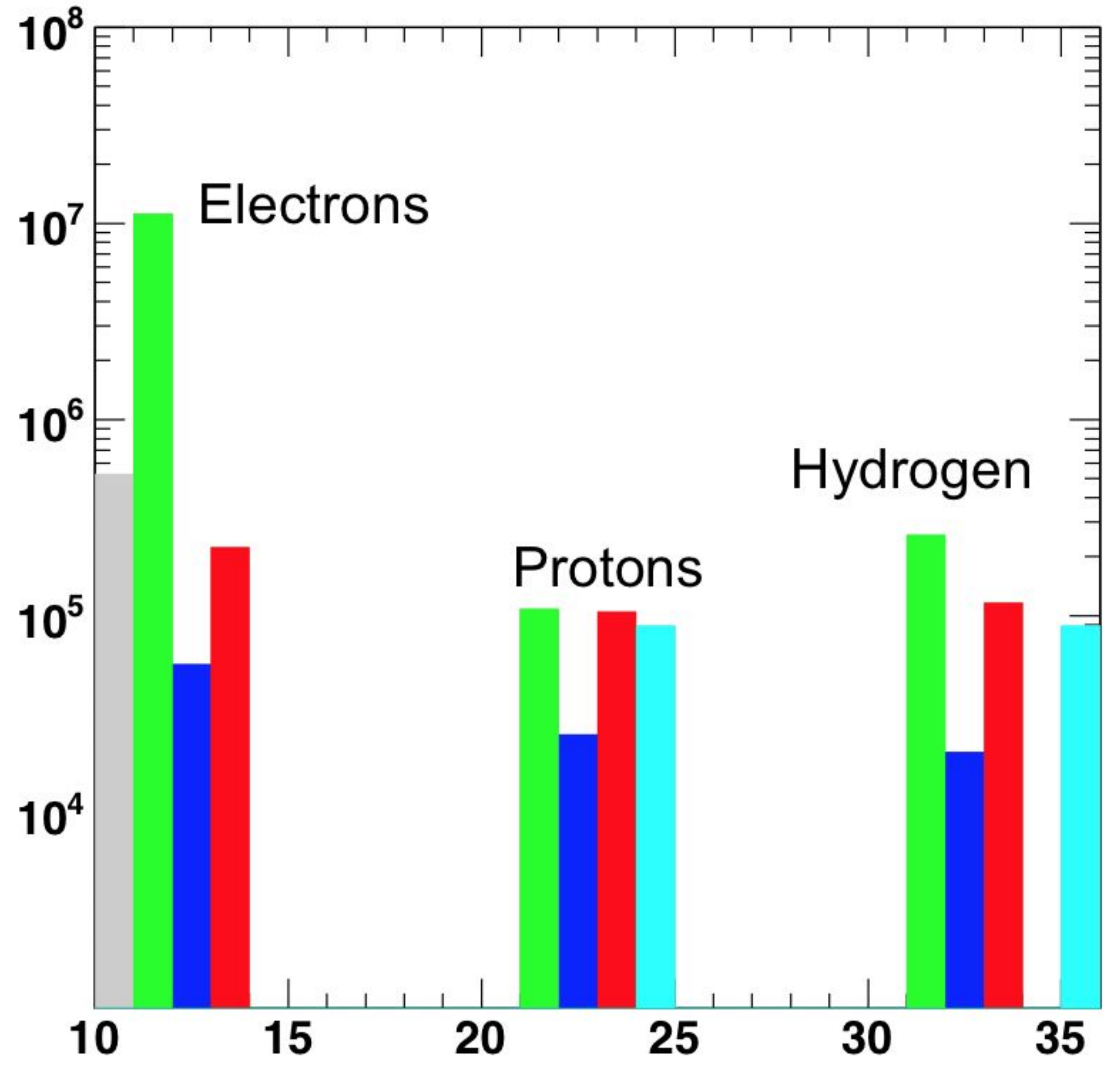
Option 2



Option 4



Option 6



Electron solvation   
  Attachment   
  Elastic   
  Excitation   
  Vib. excitation   
  Ionisation   
  Charge exchange

