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Multi-objective optimisation of thermal energy storage using phase change materials for solar air systems

Abstract

Thermal energy storage (TES) using phase change materials (PCMs) is being widely considered as one of the alternative solutions for effective use of solar energy. This paper presents a multi-objective optimisation strategy for TES systems using PCMs for solar air systems, in which two performance indicators of average heat transfer effectiveness and effective PCM charging time were used as the conflicting objectives. The influence of the key design variables on the performance of an air-based PCM TES system was first experimentally investigated using Taguchi method, and the results were used to develop two performance models for optimisation. A genetic algorithm was used to search for an optimal Pareto front and a multi-criteria decision-making process was employed to determine the compromise optimal solutions. The results showed that the average heat transfer effectiveness of the PCM TES system can be improved from 44.25 to 59.29% while the effective PCM charging time increased from 4.53 to 6.11 h when using the solutions identified by the proposed strategy with the weighting factors of 0.5/0.5 for both objectives, in comparison to a baseline case. A further comparison showed that the optimal design identified by the proposed strategy outperformed the two designs identified using Taguchi method.

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1 **Multi-objective optimisation of thermal energy storage using** 2 **phase change materials for solar air systems**

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8 **Abstract:** Thermal energy storage (TES) using phase change materials (PCMs) is being
9 widely considered as one of the alternative solutions for effective use of solar energy. This
10 paper presents a multi-objective optimisation strategy for TES systems using PCMs for solar
11 air systems, in which two performance indicators of average heat transfer effectiveness and
12 effective PCM charging time were used as the conflicting objectives. The influence of the key
13 design variables on the performance of an air-based PCM TES system was first
14 experimentally investigated using Taguchi method, and the results were used to develop two
15 performance models for optimisation. A genetic algorithm was used to search for an optimal
16 Pareto front and a multi-criteria decision-making process was employed to determine the
17 compromise optimal solutions. The results showed that the average heat transfer effectiveness
18 of the PCM TES system can be improved from 44.25 to 59.29% while the effective PCM
19 charging time increased from 4.53 to 6.11 hours when using the solutions identified by the
20 proposed strategy with the weighting factors of 0.5/0.5 for both objectives, in comparison to a
21 baseline case. A further comparison showed that the optimal design identified by the proposed
22 strategy outperformed the two designs identified using Taguchi method.

23 **Keywords:** Phase change materials; Thermal energy storage; Experimental investigation;
24 Multi-objective optimisation; Decision-making

25

26 **Nomenclature**

27	A	heat transfer area (m^2)
28	A_p	cross-section area of the air channels (m^2)
29	a_{0-3}	coefficients
30	b_{0-3}	coefficients
31	c_p	specific heat capacity ($J/(kg \cdot K)$)
32	d_h	hydraulic diameter (m)
33	f_{ij}	the i^{th} criterion for the j^{th} alternative design
34	f_i^*	the best value of the i^{th} criterion
35	f_i^-	the worst value of the i^{th} criterion
36	H	height of the air channels (m)
37	h_{conv}	convective heat transfer coefficient ($W/(m^2 \cdot K)$)
38	k	thermal conductivity ($W/(m \cdot K)$)
39	L_{PCM}	length of the PCM brick (m)
40	M	number of the PCM bricks in the direction of air flow
41	m	number of criterion
42	m_{PCM}	mass of each PCM brick (kg)
43	N	number of the air channels
44	Nu	Nusselt number
45	n	number of the observations
46	n_t	total sampling number
47	P	wetted perimeter of the air channels (m)
48	Pr	Prandtl number
49	Q	heat transfer (J)

50	\dot{Q}	heat transfer rate (J/h)
51	\dot{Q}_v	volume air flow rate (l/s)
52	Q_j	scale quantity L_{com} -metric
53	R_j	scale quantity L_{∞} -metric
54	R^*	minimal value of R_j
55	R^-	maximal value of R_j
56	Re	Reynolds number
57	S_j	scale quantity L_I -metric
58	S^*	minimal value of S_j
59	S^-	maximal value of S_j
60	T	temperature (°C)
61	T_m	nominal phase change temperature (°C)
62	Δt_{ch}	effective PCM charging time (h)
63	t	time (h)
64	v	weight for the strategy of “the majority of criteria”
65	U	overall heat transfer coefficient (W/(m ² ·K))
66	W	width of the TES unit (m)
67	W_{PCM}	width of the PCM brick (m)
68	w_i	weight of the i^{th} criterion
69	$y_{T,z}$	the z^{th} observed objective response
70	<i>Greek letters</i>	
71	γ	specific heat of fusion (J/kg)
72	ε_{ch}	heat transfer effectiveness
73	$\bar{\varepsilon}_{ch}$	average heat transfer effectiveness
74	ρ	density (kg/m ³)

75 ***Subscripts***

76 in inlet

77 *lat* latent

78 out outlet

79 *sen* sensible

80 *tot* total

81

82 **1. Introduction**

83 The increasing greenhouse gas emissions, aggravating primary energy shortage and
84 continuously growing energy demand are among the major public concerns over the last
85 decade [1]. Buildings are one of the major energy consumers and account for as much as 45%
86 of the global energy usage with a similar share of the greenhouse gas emissions [2]. A
87 significant proportion of energy used in buildings is for heating, ventilation and air
88 conditioning (HVAC) [3]. The development and deployment of advanced energy technologies
89 and the improvement in energy efficiency of HVAC systems have been recognised as feasible
90 approaches to significantly reducing building energy consumption and achieving
91 sustainability of the built environment [4-7].

92 Solar air systems have been considered as an alternative energy system for space heating. As
93 solar energy is intermittent, the integration of solar air systems with thermal energy storage
94 (TES) systems is therefore essential to rationalising the energy management [8]. Over the last
95 two decades, TES systems using phase change materials (PCMs) have been receiving
96 increasing attention. PCMs with high energy storage densities can store a large amount of
97 thermal energy and release it for later use at a relatively constant temperature. Extensive
98 research has been performed on integrating PCM TES units with solar air systems for
99 building applications [9-12]. For instance, a vertical PCM TES system was designed by Chen

100 *et al.* [9] and employed as part of a solar-PCM fresh air heating system for office buildings.
101 The experimental results showed that 93% of the thermal energy stored in the PCM TES unit
102 can be extracted during the thermal discharge process and used for fresh air heating. A ceiling
103 ventilation system integrated with solar photovoltaic thermal (PVT) collectors and PCMs was
104 studied by Lin *et al.* [10], in which the PCM was embedded into the building ceiling as part of
105 the ceiling insulation and, at the same time, as a centralised TES system. It was found that the
106 indoor thermal performance of a house using the PVT-PCM ceiling ventilation system was
107 better than that of the original house without using PVT and PCMs for space heating.
108 Fiorentini *et al.* [11] developed an HVAC system with integrated PVT collectors and a PCM
109 TES system for a net-zero energy retrofitted house. The performance of the HVAC system
110 was improved with the assistance of the PVT collectors and the PCM TES unit. Stritih *et al.*
111 [12] carried out experimental and numerical investigations of a PCM TES system heated by a
112 building-integrated solar air collector for indoor space heating. The result showed that a
113 maximal energy saving of 92% can be reached by reducing the ventilation heat loss through
114 using this proposed system. The results from the above studies showed that integration of
115 PCM TES units with solar air systems can overcome the intermittency of solar energy, and
116 can therefore improve overall system performance and provide better indoor thermal comfort
117 due to the effective thermal energy regulation of the PCM TES unit.

118 Since the effectiveness and good performance of a PCM TES system cannot be achieved
119 without appropriate design, the effects of key parameters on the performance of the PCM TES
120 systems and optimal design of such systems have been extensively studied. Dolado *et al.* [13]
121 carried out a systematic performance characterisation of a PCM TES unit with a matrix of
122 PCM slabs. It was highlighted that the desired heat transfer of the PCM TES unit can be
123 achieved by optimising the air flow rate, the rugosity of the slab surface, the PCM slab
124 thickness, the length of the PCM unit or the air gap between the PCM slabs. A parametric

125 study of a PCM-based solar air system for residential space heating was carried out by Waqas
126 and Kumer [14]. It was found that the most sensitive parameters affecting the performance of
127 the TES unit were the melting point of the storage material, mass of the PCM, and air flow
128 rate. Diarce *et al.* [15] developed a correlation relating the discharging time of a plate-based
129 PCM TES system with the PCM slab thickness based on a series of CFD simulations. This
130 correlation can allow the direct determination of the suitable PCM plate thickness. Ren *et al.*
131 [16] evaluated the thermal performance of an air-based PCM TES unit coupled with PVT
132 collectors. It was found that the PCM type and the PCM charging air flow rate were the most
133 important factors influencing the useful energy stored in the TES system. Amin *et al.* [17]
134 optimised the design of an air-based PCM TES system through a parametric study. In this
135 study, a performance indicator combining the heat transfer effectiveness and the energy
136 storage density was proposed and used as the optimisation objective to maximise the
137 utilisation of thermal storage media. A roof solar heating system with an integrated PCM TES
138 unit was studied by Saman *et al.* [18]. It was concluded that the inlet air temperature and air
139 flow rate were the two key factors influencing the heat transfer rate and melting time of the
140 PCM TES system. The performance of a PCM-based TES unit for solar air systems was
141 experimentally and numerically investigated by Charvat *et al.* [19]. It was found that both the
142 heat storage rate and the charging time of the TES unit increased with the increase of the
143 number of the air channels. It is therefore important to select more than one optimisation
144 objective in the development of the optimisation problems for PCM TES units. It can be seen
145 from the aforementioned studies that when integrating PCM TES units with solar systems, a
146 fast charging process together with a good heat transfer performance of the PCM TES unit
147 becomes essential in order to rationalise the utilisation of solar energy. It can also be
148 concluded that PCM properties, operation parameters (*e.g.* inlet air temperature and the air
149 flow rate), geometric parameters of the PCM TES system (*e.g.* number and size of air

150 channels, thickness of PCM slabs and length of the PCM TES) are among the most significant
151 parameters influencing the performance of PCM TES systems.

152 Optimisation algorithms coupled with performance prediction is a process-oriented tool to
153 identify the optimal values of the design variables of a system of concern [20]. Multi-
154 objective optimisation has been considered as an effective approach for optimal design of
155 buildings and building services systems [21, 22]. For instance, the elitist non-dominated
156 sorting genetic algorithm (NSGA-II) was used by Padovan and Manzan [21] to optimise a
157 PCM-enhanced water storage tank for solar domestic hot water systems. Compared to a
158 single-objective optimisation which only resulted in a slightly lower primary consumption of
159 domestic hot water, both the primary consumption and gross volume of the TES tank can be
160 reduced by using a multi-objective optimal design. A multi-objective design optimisation
161 strategy using a genetic algorithm for vertical U-tube ground heat exchangers was proposed
162 and used by Huang *et al.* [22] to minimise the upfront cost and entropy generation number
163 (EGN) of a ground source heat pump system. It was found that the upfront cost can be
164 reduced by 9.5% even with a slightly higher EGN when the multi-objective design
165 optimisation strategy was implemented, as compared with using EGN as the single objective.
166 A hybrid Hooke-Jeeves and Particle Swarm Optimisation algorithm was used by Futrell *et al.*
167 [24] for bi-objective optimisation of building thermal performance and lighting performance.
168 This bi-objective optimisation resulted in a Pareto front on which neither objective can be
169 improved without worsening the other, allowing decision makers to evaluate the trade-offs
170 between the daylighting performance and thermal performance. A NSGA-II with two novel
171 termination criteria was employed by Wong *et al.* [25] to optimally design a shell-and-tube
172 heat exchanger. The trade-off between the capital cost and operating cost was considered in
173 the bi-objective optimisation, and the multi-objective optimal design outperformed a single-
174 objective optimal design [26]. A multi-objective optimisation of a forced draft cooling tower

175 using NSGA-II was performed by Singh and Das [27] to optimise the performance parameters
176 including the temperature range of cooling water, the tower characteristic ratio, the
177 effectiveness and the water evaporation rate simultaneously. An optimal Pareto front was
178 achieved through the multi-objective optimisation, based on which a decision-making
179 procedure was further implemented to identify a unique optimal design according to the
180 priorities assigned to different performance parameters. The above results showed that multi-
181 objective optimisation taking into account more than one objective in the optimisation
182 problem can result in a more reasonable solution by considering the trade-off between the
183 conflicting objectives. However, only a very limited number of existing studies used multi-
184 objective optimisation to optimise the PCM TES units, and in particular there is a lack of
185 using an experimental approach to facilitating the multi-objective optimal design of air-based
186 PCM TES units.

187 This paper presents a multi-objective design optimization strategy for PCM TES units used in
188 solar air systems. Different from most existing studies, the optimisation strategy was
189 developed based on the experimental characterisation and parameter regression. A range of
190 experiments was first designed using Taguchi method and carried out based on a lab-scale test
191 rig to evaluate the system performance and facilitate the formulation of the multi-objective
192 optimisation problem. A multi-objective optimisation and a multi-criteria decision-making
193 process were then used to determine the optimal solution of the optimisation problem. The
194 proposed multi-objective optimisation strategy can provide an experimental oriented
195 procedure to facilitate the optimal design of air-based PCM TES units for solar air systems
196 with conflicting optimisation objectives.

197 **2. Description of the experimental system**

198 **2.1 Experimental setup**

199 To understand the charging performance of the PCM TES unit, a range of experiments
200 were first carried out based on a lab-scale test rig, as shown in Fig. 1. This test rig consisted of
201 a chiller, a PVT emulator, a PCM TES unit, a PCM fan, a heat exchanger, and several
202 dampers. The PVT emulator utilizes an electric heater and a variable speed fan (named as
203 PVT fan) to mimic a PVT system and the outlet air from the PVT emulator was used to
204 charge the PCM TES unit. The air flow rate through the PVT emulator was controlled by
205 varying the PVT fan speed while the temperature of the outlet air from the PVT emulator was
206 controlled by regulating the heat output of the electric heater through a Proportional-Integral
207 (PI) controller. The rated air flow of the PVT fan was 300 l/s. The heat exchanger, chiller and
208 PCM fan were used to discharge the heat from the PCM TES unit. The PCM fan used was the
209 same as the PVT fan. Several dampers were used to switch the system between the charging
210 mode and discharging mode (Fig. 1b).

211 The PCM TES unit tested was a rectangular duct made of wood, which was thermally
212 insulated with polyolefin materials. The internal dimensions of the PCM TES duct were
213 approximately 2500 mm (length), 215 mm (width) and 250 mm (height), respectively. The
214 PCM bricks can be placed inside this PCM TES unit in arrays to create different numbers of
215 air channels, as shown in Fig. 2a). The PCM tested was a commercial salt hydrate of S21
216 supplied from PlusICE [28], which was encapsulated in the plastic containers as the PCM
217 bricks (Fig. 2 b)). The PCM S21 was used in this study as its phase change temperature is
218 close to the indoor thermal comfort temperature so that the thermal energy stored in the PCM
219 can be directly used for indoor space heating. The thermophysical properties of this PCM S21
220 are summarised in Table 1. The PCM brick was 500 mm long by 32 mm wide by 250 mm
221 high. The rectangular TES duct used can allow a maximum of five PCM bricks to be placed
222 in the direction of the air flow. The number of PCM bricks across the direction of the air flow
223 depends on the size of the air channels selected.

224 In this test rig, the heated air from the PVT emulator can be directed into the PCM TES
225 unit for heat charging and then discharged to ambient by opening the dampers V2 and V3 (Fig.
226 1 b)). In the discharging mode, the system can operate either under *Option A* using the
227 circulation air by opening the dampers V4, V5 and V6 or under *Option B* using ambient air by
228 opening the dampers V7, V5, V4, V2 and V1, dependent on the temperature of ambient air
229 (Fig. 1 b)). It is worthwhile to mention that, during the experiments, the air flow directions in
230 the charging mode and discharging mode were opposite, so that a desired heat transfer
231 performance during the discharge of the PCM TES unit could be achieved. In this study, the
232 *Option A* was used during the test in order to minimise the influence of ambient conditions.

233 **2.2 Measurement instruments**

234 Five temperature sensors were used to measure the temperature of the outlet air from the
235 PVT emulator (*i.e.* temperature sensor #1 in Fig. 1 b)), and the air temperatures at both ends
236 of the PCM TES unit (*i.e.* temperature sensors #2 and #5), as well as the PCM temperatures of
237 the two PCM bricks at each end of the PCM TES unit (see Fig. 1 b)). A stainless steel tube
238 bracketed on the outside of the PCM brick was inserted into the PCM brick to prevent the
239 tube from moving inside the brick and the temperature sensor from being corroded by the
240 PCM (Fig. 3). The steel tube was partially filled with thermal paste prior to the insertion of
241 the temperature sensor. A differential pressure transmitter was used to measure the pressure
242 loss along the PCM TES unit. An air velocity sensor was used to measure the air velocity
243 inside the air duct.

244 A CLIPSAL C-Bus residential controller was used to control the fan speed and the heating
245 power of the electrical heater, and record the measured data based on Piced software and
246 CLIPSAL [29]. The sampling rate used in the experimental tests was 30 seconds. The key
247 measurement instruments used and their corresponding uncertainties are summarised in Table
248 2.

249 **3. Methodology**

250 **3.1 Outline of the methodology**

251 The research methodology used in this study is outlined in Fig. 4. It consisted of two
252 major steps. The first step was the experimental investigation of charging and discharging
253 characteristics of the PCM TES unit with a primary focus on the PCM charging performance
254 because the temperature and air flow rate of the heated air from the PVT emulator can be well
255 controlled within a relatively wide range. A matrix of experiments was first designed using
256 Taguchi method and then carried out based on the lab-scale test rig introduced in Section 2.
257 Signal-to-noise (S/N) ratio was used to analyse the performance of the PCM TES unit based
258 on the two key performance indicators (KPIs) of the average heat transfer effectiveness and
259 the effective charging time of the PCM TES unit, which will be introduced in Section 3.2.1.
260 Based on the experimental results, the charging characteristics of the PCM TES unit and the
261 optimal combination of the factor levels corresponding to each KPI can be identified and the
262 significance of the control factors to each KPI can also be ranked. Two performance models
263 relating each KPI to the key variables were established based on some assumptions, which
264 will be presented in Section 3.2.2. The experimental data were then used to regress the
265 coefficients in the two performance models through the stepwise regression.

266 The second step was the optimisation of the PCM TES unit. Since the discrete factor
267 levels were used in the Taguchi experimental plan and the optimal factor levels identified
268 through S/N ratio analysis were only near-optimal for each individual KPI, a multi-objective
269 optimisation was therefore used to further identify the compromise optimal values of the key
270 variables of the PCM TES unit by considering the trade-off between the two KPIs. The two
271 performance models developed were then used to formulate the multi-objective optimisation
272 problem. A controlled elitist genetic algorithm was used as the optimisation technique to
273 identify an optimal Pareto front based on the constraints defined. In the Pareto front, each

274 combination of the optimisation objectives could be considered as optimal and a multi-criteria
275 decision-making process was therefore used to determine the compromise optimal solution of
276 the optimisation problem, which is introduced in Section 3.4.

277 **3.2 Experimental design, key performance indicators and data analysis method**

278 3.2.1 Experimental design using Taguchi method

279 Taguchi method [30] is an experimental design technique which uses an orthogonal array
280 to form a matrix of experiments, and has been widely used for experimental design, analysis
281 of experiments, sensitivity study and system design optimisation. In Taguchi method, multiple
282 control factors and certain levels of the control factors are arranged orthogonally so that only
283 a minimal fraction of the full-factorial trial tests need to be conducted.

284 In this study, the following four key variables were considered to be the control factors:
285 the inlet air temperature of the PCM TES unit, the air flow rate, the number of PCM bricks in
286 the direction of air flow and the number of air channels of the PCM TES unit. The number of
287 PCM bricks in the direction of air flow was used to represent the length of the PCM TES unit,
288 which was considered as a discrete variable in this study.

289 Three levels were considered for each factor. The three levels of the inlet air temperature
290 of the PCM TES unit used in the charging mode were 42°C, 37°C and 32°C, which were
291 determined based on the 20, 15 and 10°C difference in the temperature between the inlet air of
292 the TES unit and the nominal PCM phase change temperature (*i.e.* 22°C), respectively. The
293 three levels of the air flow rate used during the charging mode were 150, 100 and 50 l/s,
294 respectively. The levels of the number of PCM bricks in the direction of air flow considered
295 were 3, 4 and 5, while that of the number of the air channels considered were 3, 4 and 5,
296 respectively. The air flow rate, the number of PCM bricks in the direction of air flow and the
297 number of air channels were determined based on the capacity of the PVT fan, the size of the
298 PCM duct and the dimension of the PCM brick tested. The $L_9(3^4)$ orthogonal array was used

299 to form a matrix of the trial tests and the resulting experimental design is presented in Table 3.
 300 As mentioned before, the primary focus of this study was on the PCM charging performance.
 301 During the discharging mode, the maximum airflow rate that the PCM fan can deliver was
 302 used and the air used to discharge the PCM was generally cooled below 14°C using the heat
 303 exchanger and the chiller in order to reduce the discharging time.

304 3.2.2 Key performance indicators

305 In this study, two key performance indicators, namely the average heat transfer
 306 effectiveness and the effective PCM charging time, were defined and used as the objective
 307 responses to evaluate the charging performance of the PCM TES unit.

308 Average heat transfer effectiveness

309 Heat transfer effectiveness has been used in several studies to examine the performance of
 310 TES systems [31-33]. In this study, the average heat transfer effectiveness of the PCM TES
 311 over the PCM charging period was used as a KPI, which was the average ratio of the actual
 312 heat transfer rate into the TES system to the theoretical maximum heat transfer rate during the
 313 phase change process and was determined by Eq. (1).

$$314 \quad \bar{\varepsilon}_{ch} = \frac{1}{\Delta t_{ch}} \int_{t_{start}}^{t_{end}} \varepsilon_{ch} dt = \frac{1}{\Delta t_{ch}} \int_{t_{start}}^{t_{end}} \frac{T_{air,in} - T_{air,out}}{T_{air,in} - T_m} dt \approx \frac{1}{n_t} \sum_{i=1}^{n_t} \frac{(T_{air,in,i} - T_{air,out,i})}{(T_{air,in,i} - T_m)} \quad (1)$$

315 where ε_{ch} is the heat transfer effectiveness, T is the temperature, T_m is the PCM nominal
 316 melting temperature, n_t is the total sampling number during the charging period, t_{start} and t_{end}
 317 represent the start time and completion time defined for the effective PCM charging period
 318 (Δt_{ch}), and the subscripts *in* and *out* indicate inlet and outlet, respectively.

319 Effective PCM charging time

320 As many conventional PCMs, especially organic PCMs, have a significant disadvantage
 321 of low thermal conductivity, which makes them difficult to address the rapid load changes
 322 during the thermal charging and discharging processes [34], the effective PCM charging time
 323 was therefore developed and used as another KPI to evaluate the charging performance of the

324 PCM TES unit. In this study, the effective PCM charging time was the difference in time
325 when the temperature gradient of the first PCM brick close to the inlet of the TES unit
326 suddenly decreases (after which the first PCM brick experiences a relatively small change in
327 temperature gradient for certain period during the charging process), and when the
328 temperature gradient of the last PCM brick close to the outlet of the TES unit suddenly
329 increases (before which the last PCM brick experiences a relatively small change in
330 temperature gradient for certain period during the charging process).

331 Both of the KPIs used may not be perfect but they could provide an indication of the
332 performance of the PCM TES unit under different working conditions. The average heat
333 transfer effectiveness was expected to be the-higher-the-better, while the effective PCM
334 charging time was expected to be the-lower-the better in this study.

335 It is worthwhile to mention that although the PCM TES unit was well insulated, the heat
336 loss through the PCM TES unit still existed, and since the amount was difficult to quantify,
337 the heat loss was omitted. Moreover, to ensure the two KPIs developed were valid, the initial
338 PCM temperature in each experiment was held well below the low limit of the PCM phase
339 change temperature range, while the inlet air temperature used to charge the PCMs was much
340 higher than the upper limit of the PCM phase change temperature range.

341 3.2.3 Data analysis method

342 *S/N* ratio analysis in Taguchi method was used to identify the importance of the factors
343 considered, which was ranked according to the maximal *S/N* ratio difference between the
344 different levels of each factor. *S/N* ratios of the average heat transfer effectiveness and the
345 effective PCM charging time, which were expected to be the-higher-the-better and the-lower-
346 the-better, are calculated using Eqs. (2) and (3), respectively [30]. *S/N* ratio was also used to
347 identify the best combination of the levels of each individual factor, which can be considered

348 as an optimisation process. However, since the S/N ratio analysis can only handle single-
 349 objective optimisation, the optimisation for each KPI needs to be carried out individually.

$$350 \quad S/N = -10 \log \left(\frac{1}{n} \sum_{z=1}^n \frac{1}{y_{T,z}^2} \right) \quad (2)$$

$$351 \quad S/N = -10 \log \left(\frac{1}{n} \sum_{z=1}^n y_{T,z}^2 \right) \quad (3)$$

352 where $y_{T,z}$ is the z^{th} observed objective response from the Taguchi trial tests, and n is the
 353 number of the observations in a trial test.

354 **3.3 Formulation of the multi-objective optimisation problem**

355 3.3.1 Optimisation objectives, and optimisation variables and constraints

356 In the multi-objective optimisation, the average heat transfer effectiveness and the
 357 effective PCM charging time were used as the two optimisation objectives. The four factors
 358 used in the Taguchi experimental design were considered as the optimisation variables. The
 359 constraints of the optimisation variables are defined below, which were determined based on
 360 the factor levels considered in the Taguchi experimental design.

$$361 \quad \begin{cases} T_{air,in} \in [32.0, 42.0] \text{ } ^\circ\text{C} \\ \dot{Q}_v \in [50.0, 150.0] \text{ l/s} \\ M \in \{3, 4, 5\} \\ N \in \{3, 4, 5\} \end{cases}$$

362 where M is the number of PCM bricks in the direction of air flow and N is the number of air
 363 channels.

364 3.3.2 Development of the performance models

365 Two performance models which related the optimisation objectives to the optimisation
 366 variables were developed and used to estimate how the system would respond under different
 367 trail settings.

368 The performance model of the average heat transfer effectiveness was established based
369 on the PCM nominal melting temperature during the thermal charging process. The average
370 heat transfer effectiveness ($\bar{\varepsilon}_{ch}$) between the PCM bricks and the air flowing through the PCM
371 TES unit was determined by Eq. (4), in which overall heat transfer coefficient (U) was
372 assumed to follow a third-order polynomial of the convective heat transfer coefficient (h_{conv}),
373 and is described in Eq. (5). The convective heat transfer coefficient was determined using Eq.
374 (6), in which Nusselt number (Nu) was calculated using Eq. (7), and the hydraulic diameter (d_h)
375 was given by Eq.(8). The heat transfer area is determined using Eq. (9).

$$376 \quad \bar{\varepsilon}_{ch} = 1 - e^{-NTU} = 1 - e^{-\frac{1000 \cdot AU}{\rho_{air} \cdot c_{p,air} \cdot \dot{Q}_v}} \quad (4)$$

$$377 \quad U = a_3 h_{conv}^3 + a_2 h_{conv}^2 + a_1 h_{conv} + a_0 \quad (5)$$

$$378 \quad h_{conv} = \frac{Nu \cdot k_{air}}{d_h} \quad (6)$$

$$379 \quad Nu = 0.023 Re^{0.8} Pr^{0.3} \quad (7)$$

$$380 \quad d_h = \frac{4A_p}{P} = \frac{4H \cdot [W - (N-1) \cdot W_{PCM}]}{2\{[W - (N-1) \cdot W_{PCM}] + N \cdot H\}} \quad (8)$$

$$381 \quad A = 2(N-1) \cdot M \cdot H \cdot L_{PCM} \quad (9)$$

382 where $c_{p,air}$ is the air specific heat, ρ_{air} is the air density, \dot{Q}_v is the air volume flow rate, A is
383 the heat transfer area, k_{air} is the air thermal conductivity, A_p is the cross-sectional area of the
384 air channels, P is the wetted perimeter of the air channels, Re is Reynolds number, Pr is
385 Prandtl number, H is the height of the air channel which is equal to the height of the PCM
386 brick in this study, W is the width of the TES unit, W_{PCM} and L_{PCM} are the width and length of
387 the PCM bricks, respectively, and a_0 - a_3 are the coefficients which were regressed based on the
388 results of the Taguchi experiments using the stepwise regression.

389 The effective PCM charging time was determined based on the total thermal energy (Q_{tot})
390 stored in the PCM TES unit and the average heat transfer rate, and is defined in Eq. (10). The

391 average heat transfer rate (\dot{Q}) and the latent heat (Q_{lat}) stored in the PCM TES unit were
 392 determined using Eqs. (11) and (12), respectively. The ratio of the sensible heat (Q_{sen}) to the
 393 average heat transfer rate was calculated using Eq. (13), which was determined through the
 394 stepwise regression.

$$395 \quad \Delta t_{ch} = \frac{Q_{tot}}{\dot{Q}} = \frac{Q_{lat}}{\dot{Q}} + \frac{Q_{sen}}{\dot{Q}} \quad (10)$$

$$396 \quad \dot{Q} = 3.6 \times \rho_{air} c_{p,air} \dot{Q}_v (T_{air,in} - T_{air,out}) = 3.6 \times \rho_{air} c_{p,air} \dot{Q}_v \bar{\epsilon}_{ch} (T_{air,in} - T_m) \quad (11)$$

$$397 \quad Q_{lat} = m_{PCM} M (N-1) \gamma \quad (12)$$

$$398 \quad \frac{Q_{sen}}{\dot{Q}} = b_3 T_{air,in} \dot{Q}_v + b_2 N^2 + b_1 N + b_0 \quad (13)$$

399 where $\bar{\epsilon}_{ch}$ is the average heat transfer effectiveness calculated by Eq. (4), γ is the PCM latent
 400 heat of fusion, m_{PCM} is the mass of each PCM brick, b_0 - b_3 are the coefficients regressed based
 401 on the results of the Taguchi experiments using the stepwise regression, and the subscripts *tot*,
 402 *lat* and *sen* represent total, latent and sensible, respectively.

403 3.3.3 Multi-objective genetic algorithm optimisation technique

404 The elitist non-dominated Sorting Genetic Algorithm (NSGA-II) as an optimisation
 405 technique has been used in several studies to solve multi-objective optimisation problems [25,
 406 26, 35]. In this study, a variant of elitist NSGA-II implemented in Matlab (*i.e.* function
 407 ‘gamultiobj’), was used as the optimisation technique to solve the multi-objective
 408 optimisation problem. The ‘gamultiobj’ function employs two options, the ‘ParetoFraction’
 409 and the ‘DistanceFcn’, to maintain diversity by controlling the number of individuals on the
 410 Pareto front and by favouring individuals that are some distance away on the front,
 411 respectively [36].

412 3.4 Multi-criteria decision-making using the compromise ranking method

413 The compromise ranking method, VIKOR, introduced by Opricovic [37], was used for
 414 decision-making to determine the compromise optimal solution(s) on the Pareto front

415 identified. This method identifies the compromise design(s) among a set of alternatives in the
 416 presence of the conflicting criteria by ranking them based on their distance from the ideal
 417 solution [38]. This distance is measured by three scalar quantities (*i.e.* S_j , R_j and Q_j , known as
 418 L_1 -metric, L_∞ -metric and L_{com} -metric, respectively) and calculated using Eqs. (14)-(16) for
 419 each alternative [39].

$$420 \quad S_j = \sum_{i=1}^m w_i (f_i^* - f_{ij}) / (f_i^* - f_i^-) \quad (14)$$

$$421 \quad R_j = \max_i [w_i (f_i^* - f_{ij}) / (f_i^* - f_i^-)] \quad (15)$$

$$422 \quad Q_j = v(S_j - S^*) / (S^- - S^*) + (1 - v)(R_j - R^*) / (R^- - R^*) \quad (16)$$

423 where f_{ij} is the i^{th} criterion for the j^{th} alternative, m is the number of criterion, w_i is the
 424 weight of the i^{th} individual criterion, v is the weight of the strategy of “the majority of criteria”
 425 ranging from 0 to 1, f_i^* and f_i^- are the best and worst values of all criterion functions, and S^* ,
 426 S^- , R^* and R^- are the minimal and maximal values of S_j and R_j , respectively.

427 Based on the three scalar quantities, three ranking lists can be generated in a decreasing
 428 order and the compromise designs can be identified based on the ranking lists. The details of
 429 this method can be found in Opricovic and Tzeng [38].

430 **4. Experimental results and discussions**

431 **4.1 Differential Scanning Calorimetry (DSC) test**

432 A DSC test was carried out to determine the phase change melting range and the enthalpy-
 433 temperature (h - T) relationship of the PCM S21. Fig. 5 a) illustrates the DSC test results under
 434 a scanning rate of 0.05 K/min. The heat of fusion in the heating and cooling processes was
 435 162.3 kJ/kg and 162.1 kJ/kg respectively. The onset temperatures for the heating and cooling
 436 were 22.27°C and 22.06°C, respectively, while the peak temperatures for the heating and
 437 cooling were 26.21°C and 20.68°C, respectively. Fig. 5 b) shows the h - T relationship of the
 438 PCM S21.

439 **4.2 PCM charging and discharging processes**

440 To understand the heat transfer characteristics of the PCM TES unit during a phase
441 change process, an experiment was carried out based on the test condition specified for Trial
442 test 1 in the Taguchi experimental plan (see Table 3). Before the experiment, the temperature
443 of the PCM bricks in the TES unit was first cooled by the chiller and heat exchanger to
444 around 14°C, and the heated air from the PVT emulator was then directed to the PCM TES
445 unit for thermal heat charge once the temperature of the heated air from the PVT emulator
446 reached the desired temperature. The PCM charging mode continued until the temperature of
447 the outlet air from the PCM TES unit was close to the inlet air temperature, after which the
448 system was then switched to the PCM discharging mode *option A* (see Fig. 1b)). The thermal
449 discharging process was completed when the temperature of the PCM bricks was decreased to
450 around 14°C. It is worthwhile to note that during the PCM charging process, the temperature
451 sensors #2 and #5 measured the air temperature at the inlet and outlet of the PCM TES unit
452 respectively, whereas, during the PCM thermal discharging process, their measurements were
453 opposite due to a reverse air flow direction.

454 The outlet air temperature from the PVT emulator, the inlet and outlet air temperatures of
455 the PCM TES unit, as well as the measured PCM temperatures in the two PCM bricks at both
456 ends of the TES unit during the charging and discharging processes are presented in Fig. 6. It
457 can be seen that when charging began, the air temperatures at the inlet and outlet of the PCM
458 TES unit increased rapidly, and then, the outlet air temperature (measured by the temperature
459 sensor #5) experienced a period with a relatively small temperature gradient, indicating that
460 heat was continuously charged into the PCM TES unit mainly as latent heat. The outlet air
461 temperature then gradually increased until approaching to the inlet air temperature of 42°C at
462 the end of the charging process. During the discharging process, the outlet air temperature
463 (measured by the temperature #2) from the PCM TES unit decreased and then slightly

464 increased due to supercooling of the PCM, and then it decreased continuously to around 14°C
465 at the end of the discharging process.

466 It can be seen that the temperature of the PCM brick close to the outlet of the PCM TES
467 unit (measured by the temperature sensor #4) increased rapidly at the beginning of the
468 charging process (see Fig. 6b)), and then increased slowly until to around the 5th hour.
469 Afterwards, the temperature increased quickly, and then gradually approached to the inlet air
470 temperature (measured by the temperature sensor #2) until the end of the charging process.
471 This data indicated that the thermal energy was mainly stored in the PCM as latent heat until
472 the majority of the PCM was melted at around the 5th hour, after which the heat was stored as
473 sensible heat in the liquid-state of the PCM. A similar phenomenon occurred in the PCM
474 brick close to the inlet of the PCM TES unit (measured by the temperature #3) during the
475 charging process.

476 To provide an insight into the performance characteristics during the effective PCM
477 charging period, the heat transfer effectiveness of the PCM TES unit is shown in Fig. 7. It can
478 be seen that the heat transfer effectiveness decreased continuously during the charging
479 process, and due to the phase change of the PCMs, there was a relatively small variation in
480 the heat transfer effectiveness within the effective PCM charging time period. A relatively
481 small variation in the heat transfer effectiveness after the effective PCM charging period was
482 also observed and this variation was mainly resulted by the small difference in the
483 temperature between the PCM bricks and the air passing through the air channels. Since the
484 initial PCM temperature was lower than the low limit of the PCM phase change range, the
485 heat transfer effectiveness at the beginning of the charging process was greater than 100% due
486 to the impact of the sensible energy stored in the solid PCM. This phenomenon has also been
487 discussed by Tay *et al.* [31] and Amin *et al.* [14]. The similar experimental process was also

488 used for the other trial tests specified in the Taguchi experimental plan, and the detailed
489 experimental results were provided in Fig. 8.

490 **4.3 Taguchi experimental results and data analysis**

491 4.3.1 Average heat transfer effectiveness

492 The average heat transfer effectiveness and the corresponding S/N ratio of each Taguchi
493 experiment are summarised in Table 4. It can be seen that the average heat transfer
494 effectiveness and S/N ratios of all experiments varied from 22.74 to 81.68% and from -12.87
495 to -1.76, respectively. The ‘mean’ average heat transfer effectiveness and S/N ratios for each
496 level of the control factors are presented in Table 5. The optimal combination of the control
497 factor levels can be identified by selecting the levels with the highest S/N ratios. The optimal
498 values identified in terms of the average heat transfer effectiveness were 42.0°C, 50.0 l/s, 5
499 and 5 for the inlet air temperature of the PCM TES unit ($T_{air,in}$), the air flow rate (Q_v), the
500 number of PCM bricks in the direction of air flow (M) and the number of air channels (N),
501 respectively. It can also be seen that the maximum S/N ratio differences between the three
502 different levels for the air flow rate, the number of PCM bricks in the direction of air flow,
503 and the number of air channel were much higher than that of the inlet air temperature. This
504 indicated that the inlet air temperature had a less impact on the average heat transfer
505 effectiveness.

506 4.3.2 Effective PCM charging time

507 The effective PCM charging time and corresponding S/N ratio of each Taguchi
508 experiment are also summarised in Table 4. It can be seen that the effective PCM charging
509 time and S/N ratios of all experiments ranged from 3.51 to 17.20 hours, and from -24.71 to -
510 10.90, respectively. Table 6 shows the response of the effective PCM charging time,
511 including the mean effective PCM charging time and S/N ratios for each level of the control
512 factors. The number of PCM bricks in the direction of air flow was ranked as the least

513 significant control factor (*i.e.* maximum S/N ratio difference between the three different levels
514 of 1.315). The optimal design for the effective PCM charging time was identified as 42.0°C,
515 150.0 l/s, 3 and 4 for the inlet air temperature of the PCM TES unit, the air flow rate, the
516 number of PCM bricks in the direction of air flow, and the number of air channels,
517 respectively.

518 **5. Results of multi-objective optimisation and multi-criteria decision-making**

519 The two performance models were first trained through regressions, based on the
520 experimental results. The identified coefficients of a_0 - a_3 in Eq. (5) were -35.425, 8.2838, -
521 0.37 and 0.0052 while those of b_0 - b_3 in Eq. (11) were 20.1218, -8.3341, 1.1504, and -
522 0.695×10^{-3} , respectively. The resulting coefficients of determination (R^2) for the performance
523 models of the average heat transfer effectiveness and the effective PCM charging time were
524 0.937 and 0.9889, respectively. It is worthwhile to mention that, in this study, a simplified
525 approach was used to formulate the two performance models in order to utilise the
526 experimental data to facilitate the optimisation. Due to the model prediction errors, a
527 confirmation test was recommended to validate the optimisation result. For different PCM
528 TES systems, the model coefficients used should be determined based on the performance
529 data of the system of concern. It is worthwhile to note that the performance models need to be
530 recalibrated if they are used in different working conditions or different operating ranges far
531 from that used in this study.

532

533 **5.1 Results of multi-objective optimisation**

534 In order to handle the discrete variables (*i.e.* the number of PCM bricks in the direction of
535 air flow and the number of air channels), the multi-objective optimisation problem was
536 carried out for each combination of the numbers of the PCM bricks in the direction of air flow
537 and the air channels (*i.e.* $M \times N$ combinations) individually. As a result, the Pareto fronts for

538 each combination of M and N can be identified and are presented in Fig. 9. The initial
539 population of the multi-objective controlled elitist GA used was 200 with a crossover fraction
540 of 0.8, a uniform mutation rate of 0.01 and a Pareto fraction of 0.3. An overall Pareto front
541 (marked as red circles in Fig. 8) can be further identified among the Pareto fronts with
542 different $M \times N$ combinations using non-dominated sorting algorithm. The resulting overall
543 Pareto front was mainly on the Pareto fronts of the $M \times N$ combinations of 5×5 and 5×4
544 although a small part of the Pareto fronts of the $M \times N$ combinations of 3×4 , 4×5 , 4×4 , 3×3 and
545 5×3 was also included. The overall Pareto front achieved can be used to facilitate the optimal
546 design of the PCM TES unit. For instance, an optimal design at the bottom part of the figure,
547 which had a small effective PCM charging time, could be more applicable for the weather
548 conditions with short solar radiation periods, while an optimal design at the top right side of
549 the figure with a large average heat transfer effectiveness could be preferred when solar
550 radiation is sufficient during the daytime.

551 **5.2 Results of multi-criteria decision-making (MCDM)**

552 The overall Pareto front presented all candidates of the optimal designs for the PCM TES
553 unit. A multi-criteria decision-making using the compromise ranking method (VIKOR) was
554 then conducted to further select the compromise optimal solution(s). In this study, the
555 weighting factor (v) in Eq. (14) was set as 0.8 to give more importance to L_1 -metric.

556 The MCDM was carried out for three cases with different weighting factors (w) for the
557 average heat transfer effectiveness and the effective PCM charging time. In *Case 1*, the same
558 weighting factor (w) of 0.5 was assigned for the average heat transfer effectiveness and the
559 effective PCM charging time, while different weighting factors (w) of 0.1/0.9 and 0.9/0.1
560 were assigned for the two KPIs under *Case 2* and *Case 3*, respectively. By implementing
561 VIKOR analysis, 5, 9 and 8 compromise solutions were identified for *Cases 1*, *2* and *3*
562 respectively, as summarised in Table 7.

563 It can be seen that the resulted KPIs corresponding to the compromise solutions identified
564 for each case were very close to each other, so any of them could be considered as an optimal
565 solution for individual cases. However, the identified optimal solutions and the resulting
566 average heat transfer effectiveness of the PCM TES and the effective PCM charging time
567 were significantly different for three different cases. The highest average heat transfer
568 effectiveness of the PCM TES unit occurred in *Case 3*, followed by *Case 1* and *Case 2*, while
569 the lowest effective PCM charging time of the PCM TES unit was observed in *Case 2*,
570 followed by *Case 1* and *Case 3*. This showed the importance of selecting appropriate
571 weighting factors (w) for the optimisation problem. The weighting factors close to those used
572 in *Case 3* can be considered for weather conditions with a long sufficient solar radiation
573 period during the daytime, while the weighting factors close to that used in *Case 2*, which can
574 reduce the effective PCM charging time through sacrificing the average heat transfer
575 effectiveness, can be used for weather conditions with a short solar radiation period during the
576 daytime.

577 **5.3 Comparison among different designs and confirmation test for the optimal design**

578 An optimal design through averaging the values of the optimisation variables of the
579 eleven compromise designs obtained through multi-objective optimisation for *Case 1* (in
580 which the inlet air temperature was set as 42°C) was further compared with the baseline case
581 (*i.e.* Taguchi trial test 1) and two near-optimal designs identified in Section 4.3 using the
582 Taguchi method for individual KPIs. The two near-optimal designs achieved through *S/N*
583 ratio analysis were considered to be only ‘near optimal’ for the average heat transfer
584 effectiveness and the effective PCM charging time, respectively. These four design options
585 were ranked further using the measure $L_{com-metric}$ in VIKOR and the results are presented in
586 Table 8. It is clearly shown that the optimal design identified by the proposed strategy was
587 ranked as the best one with an average heat transfer effectiveness of 59.29% and the effective

588 PCM charging time of 6.11 h, followed by the baseline design. Moreover, the results also
589 illustrated that the optimal design determined using the multi-objective optimisation
590 outperformed those identified using Taguchi method.

591 To validate the performance of the PCM TES unit by using the compromise optimal
592 design identified, a confirmation test was carried out. The resulting average heat transfer
593 effectiveness and the effective PCM charging time from the confirmation test were 52.76%
594 and 6.68 h, respectively, which were close to that of 59.29% and 6.11 h predicted using the
595 two performance models. The relative errors were 12.4% for the average heat transfer
596 effectiveness and 8.5% for the effective PCM charging time, respectively. The discrepancies
597 were mainly due to the complex heat transfer within the PCM encapsulated in the plastic
598 containers and the thermal properties of the PCM used. The similar levels of deviations
599 between the experimental data and model predicted values were also reported in the previous
600 studies [31, 32].

601 **6. Conclusions**

602 This paper presented an experimental study and multi-objective optimisation of a PCM
603 TES unit for solar air systems. The performance of the PCM TES unit during the charging
604 process was characterised using two conflicting performance indicators of the average heat
605 transfer effectiveness and the effective PCM charging time, based on a series of Taguchi
606 experiments. A multi-objective design optimisation strategy was then developed to facilitate
607 optimal design of PCM TES units. The performance of the optimisation strategy was
608 evaluated and compared with a baseline case and two single-objective near-optimal designs
609 which were identified using signal-to-noise analysis. The key findings obtained are as follows:

- 610 • Through signal-to-noise analysis of Taguchi experimental data, it was shown that
611 the average heat transfer effectiveness and the effective PCM charging time of
612 using the near-optimal design identified based on the optimisation objective of the

613 average heat transfer effectiveness were 85.81% and 10.94 h respectively, while
614 those were 24.30% and 3.56 h respectively when using the effective PCM
615 charging time as the optimisation objective.

616 • An optimal Pareto front was identified and a number of compromise solutions with
617 different weighting factors were achieved through considering the trade-offs
618 between the two optimisation objectives by using the proposed optimisation
619 strategy.

620 • The average heat transfer effectiveness and the effective PCM charging time using
621 the optimal design identified with the weighting factors of 0.5/0.5 were 59.29%
622 and 6.11 h respectively, which outperformed the two single-objective near-optimal
623 designs.

624 • The proposed multi-objective optimisation strategy which takes into account two
625 different objectives can result in a more reasonable solution.

626 These findings obtained and the methodology used to develop the optimisation strategy
627 can be potentially used to facilitate optimal design of other energy systems.

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631

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Table 1 Thermo-physical properties of PCM S21 [22]

Properties	Value
Nominal phase change temperature (°C)	22
Density (kg/m ³)	1,530
Nominal latent heat capacity (kJ/kg)	170
Thermal conductivity (W/(m·K))	0.54
Specific heat capacity (kJ/(kg·K))	2.2

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Table 2 Major measurement instruments used and the claimed uncertainties

Instrument	Function	Location	Measurement range	Accuracy
C-Bus digital temperature sensors	Temperature measurement	Each end of the PCM TES duct; PVT emulator outlet; Inside of the PCM bricks	-10-80°C	±0.5°C
SIMENS QBMv66.201	Differential pressure measurement	Each end of the PCM TES duct	0-200 Pa	±3% of the full scale
SIMENS QVM62.1	Air velocity measurement	Inlet of the PCM duct at the charging mode	0-10 m/s	±0.2m/s + 3% of the measured value

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Table 3 Taguchi experimental plan and factor levels

Trail test	Control factors			
	Inlet air temperature of the TES unit (°C)	Charging air flow rate (l/s)	Number of PCM bricks along the TES unit	Number of air channels
1	42.0 (level 1)	100.0 (level 1)	4 (level 1)	4 (level 1)
2	42.0	50.0 (level 2)	5 (level 2)	5 (level 2)
3	42.0	150.0 (level 3)	3 (level 3)	3 (level 3)
4	37.0 (level 2)	100.0	5	3
5	37.0	50.0	3	4
6	37.0	150.0	4	5
7	32.0 (level 3)	100.0	3	5
8	32.0	50.0	4	3
9	32.0	150.0	5	4

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Table 4 Taguchi experimental results

No.	Average value of variables in the experiments		$\bar{\epsilon}_{ch}$		Δt_{ch}	
	$T_{atr,tn}$ (°C)	\dot{Q}_v (l/s)	Value (%)	S/N	Value (h)	S/N
1	42.03	102.34	44.25	-7.08	4.53	-13.11
2	42.03	51.09	81.68	-1.76	10.84	-20.70
3	42.14	153.44	22.74	-12.87	3.51	-10.90
4	37.03	102.11	36.71	-8.70	6.86	-16.72
5	36.78	50.48	40.50	-7.85	9.58	-19.62
6	37.18	149.26	43.74	-7.18	6.39	-16.11
7	32.27	103.24	35.74	-8.94	11.67	-21.34
8	31.62	51.30	33.05	-9.62	17.20	-24.71
9	32.2	151.74	37.38	-8.55	8.30	-18.38

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Table 5 Response table for the average heat transfer effectiveness

Level	$T_{atr,tn}$		\dot{Q}_v		M		N	
	$\bar{\epsilon}_{ch}$	S/N	$\bar{\epsilon}_{ch}$	S/N	$\bar{\epsilon}_{ch}$	S/N	$\bar{\epsilon}_{ch}$	S/N
1	49.56%	-7.23	38.90%	-8.24	40.35%	-7.96	40.71%	-7.83
2	40.32%	-7.91	51.74%	-6.41	51.92%	-6.34	53.72%	-5.96
3	35.39%	-9.03	34.62%	-9.53	32.99%	-9.88	30.83%	-10.40
Optimal	Level 1		Level 2		Level 2		Level 2	
$S/N_{max}-S/N_{min}$	1.8		3.12		3.55		4.44	
Rank	4		3		2		1	

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Table 6 Response table for the effective PCM charging time

Level	$T_{atr,tn}$		\dot{Q}_v		M		N	
	Δt_{ch} (h)	S/N	Δt_{ch} (h)	S/N	Δt_{ch} (h)	S/N	Δt_{ch} (h)	S/N
1	6.29	-14.91	7.68	-17.06	9.37	-17.98	7.47	-17.04
2	7.61	-17.49	12.54	-21.68	8.67	-18.60	9.63	-19.38
3	12.39	-21.48	6.07	-15.13	8.25	-17.29	9.19	-17.45
Optimal	Level 1		Level 3		Level 3		Level 1	
$S/N_{max}-S/N_{min}$	6.57		6.55		1.31		2.35	
Rank	1		2		4		3	

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Table 7 Results of multi-criteria decision-making based on overall Pareto front

Case	Rank of Q_j	$T_{atr,tn}$ (°C)	\dot{Q}_v (l/s)	M	N	$\bar{\epsilon}_{ch}$ (%)	Δt_{ch} (h)
Case 1	1	41.95	81.19	5.00	4.00	60.08	6.25
	2	41.95	86.31	5.00	4.00	58.48	5.99
	3	41.91	82.39	5.00	4.00	59.72	6.20
	4	41.85	80.89	5.00	4.00	60.17	6.29
	5	41.88	88.06	5.00	4.00	57.91	5.92
Case 2	1	42.00	150.00	4.00	4.00	31.01	3.68
	2	42.00	150.00	5.00	3.00	29.03	3.65
	3	42.00	149.99	3.00	4.00	24.30	3.56
	4	41.95	149.40	5.00	3.00	29.14	3.68
	5	42.00	148.34	3.00	4.00	24.64	3.60
	6	42.00	147.94	5.00	4.00	37.70	3.87
	7	42.00	147.71	4.00	4.00	31.58	3.74
	8	41.99	149.47	3.00	3.00	18.66	3.51
	9	41.99	145.88	4.00	4.00	32.04	3.79
Case 3	1	41.50	50.04	5.00	5.00	85.80	11.09
	2	41.57	50.70	5.00	5.00	85.58	10.99
	3	40.74	50.00	5.00	5.00	85.81	11.33
	4	40.13	50.00	5.00	5.00	85.81	11.54
	5	41.99	52.55	5.00	5.00	84.93	10.67
	6	39.58	50.00	5.00	5.00	85.81	11.74
	7	39.27	50.00	5.00	5.00	85.81	11.86
	8	41.99	53.48	5.00	5.00	84.59	10.57

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Table 8 Ranking results of different alternative designs

Design	Rank of Q_j	$T_{atr,tn}$ (°C)	\dot{Q}_v (l/s)	M	N	$\bar{\epsilon}_{ch}$ (%)	Δt_{ch} (h)
Baseline case	2	42.0	102.3	4	4	44.25	4.53
Taguchi design identified based on the average heat transfer effectiveness	3	42.0	50.0	5	5	85.81	10.94
Taguchi design identified based on the effective PCM charging time	4	42.0	150.0	3	4	24.30	3.56
Optimal design under Case 1	1	42.0	83.8	5	4	59.29	6.11

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763 **Figure Captions**

764 Fig. 1 Illustration of the experimental system employed.

765 Fig. 2 Illustration of the PCM TES unit.

766 Fig. 3 Installation of the temperature sensor into the PCM brick.

767 Fig. 4 Outline of the research methodology.

768 Fig. 5 DSC curves at the scanning rate of 0.05K/min and the h - T relationship of the PCM S21.

769 Fig. 6 Measured air temperatures and PCM temperatures.

770 Fig. 7 Heat transfer effectiveness of the TES system and the effective PCM charging period.

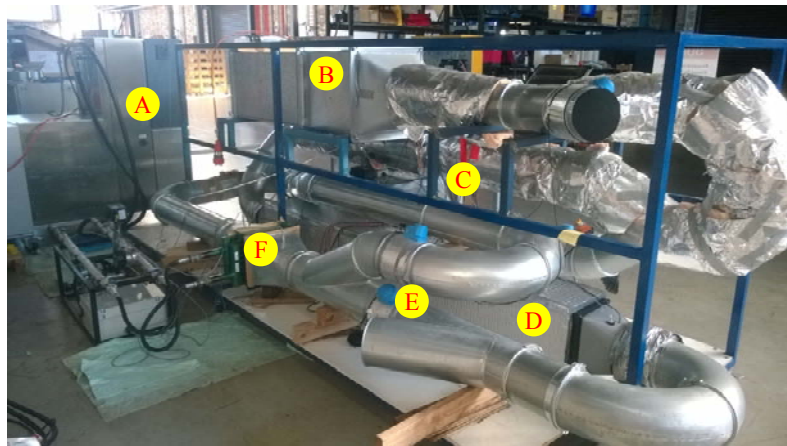
771 Fig. 8 Temperatures of the inlet and outlet air and temperatures of the PCM bricks near the
772 inlet and outlet of the TES unit for Trial tests 2-9.

773 Fig. 9 Pareto front of the average heat transfer effectiveness and the effective PCM charging
774 time.

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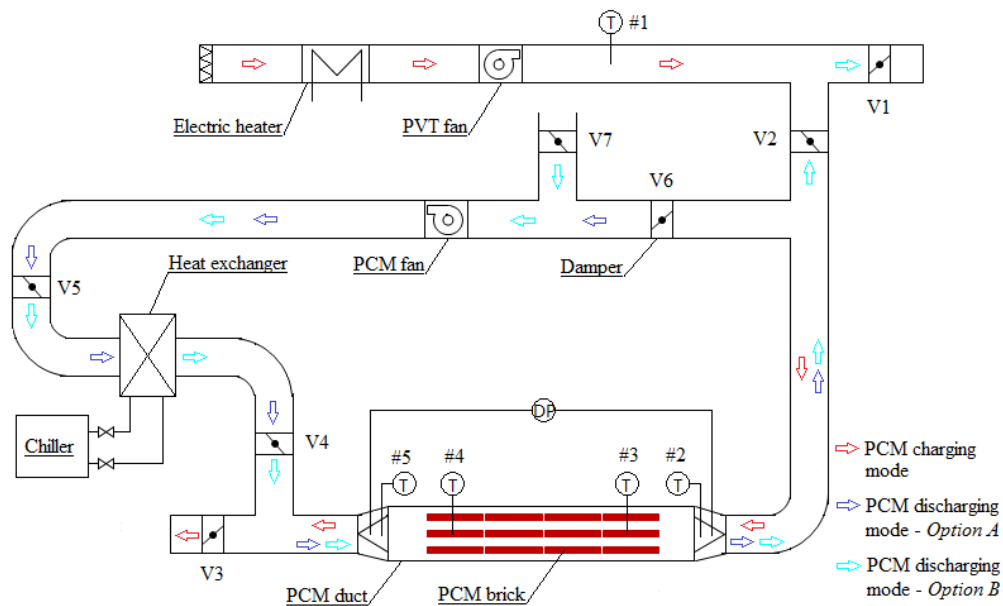


A - Chiller; B - PVT emulator; C - PCM fan; D - TES; E - Damper; F – Heat exchanger

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a) Lab-scale test rig.



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b) Simplified schematic of the lab-scale test rig.

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Fig. 1 Illustration of the experimental system employed.

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a) Installation of the PCM bricks into the TES duct.



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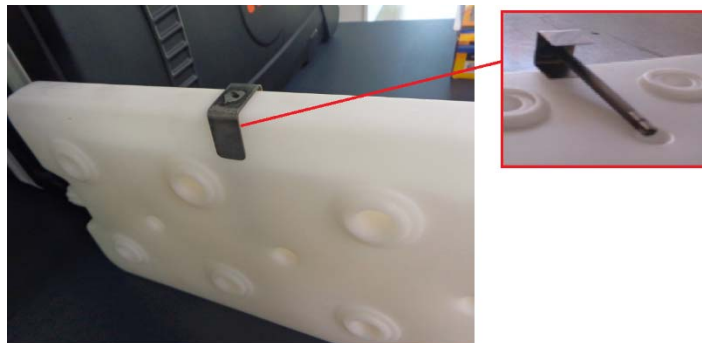
b) PCM bricks tested [22].

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Fig. 2 Illustration of the PCM TES unit.

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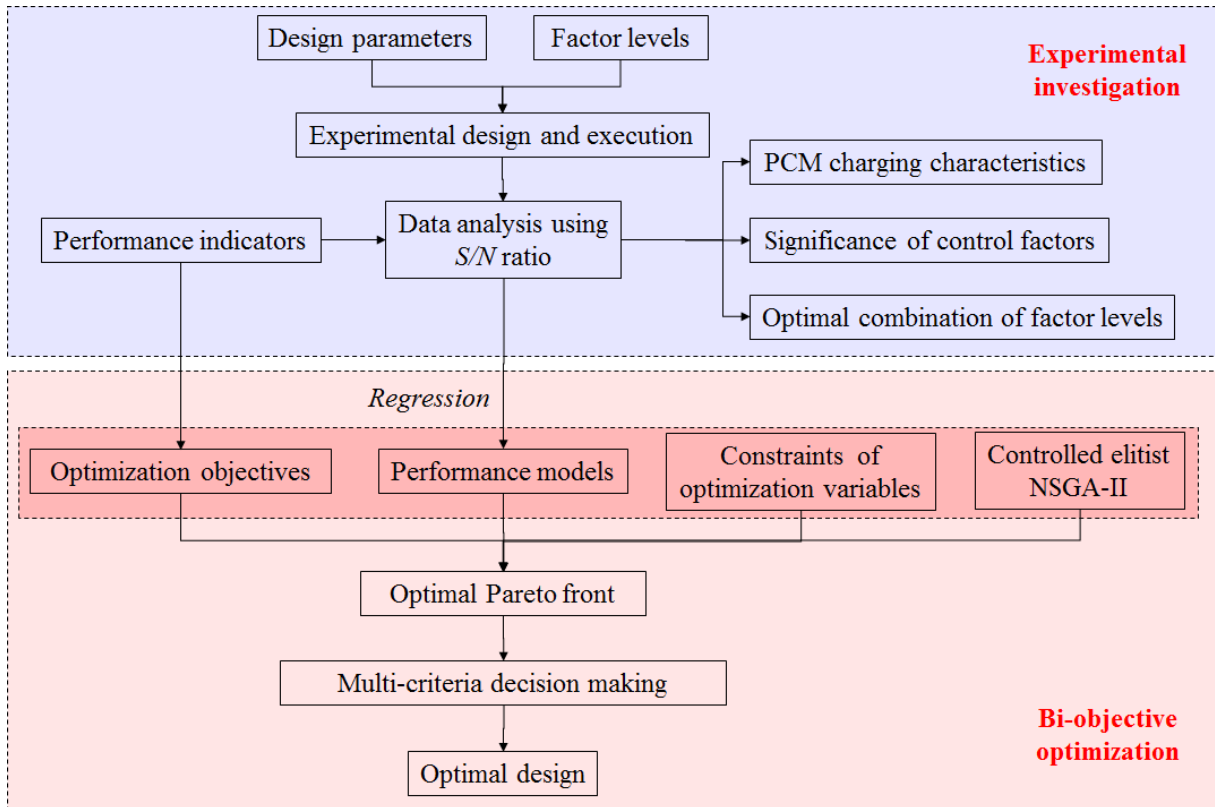


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Fig. 3 Installation of the temperature sensor into the PCM brick.

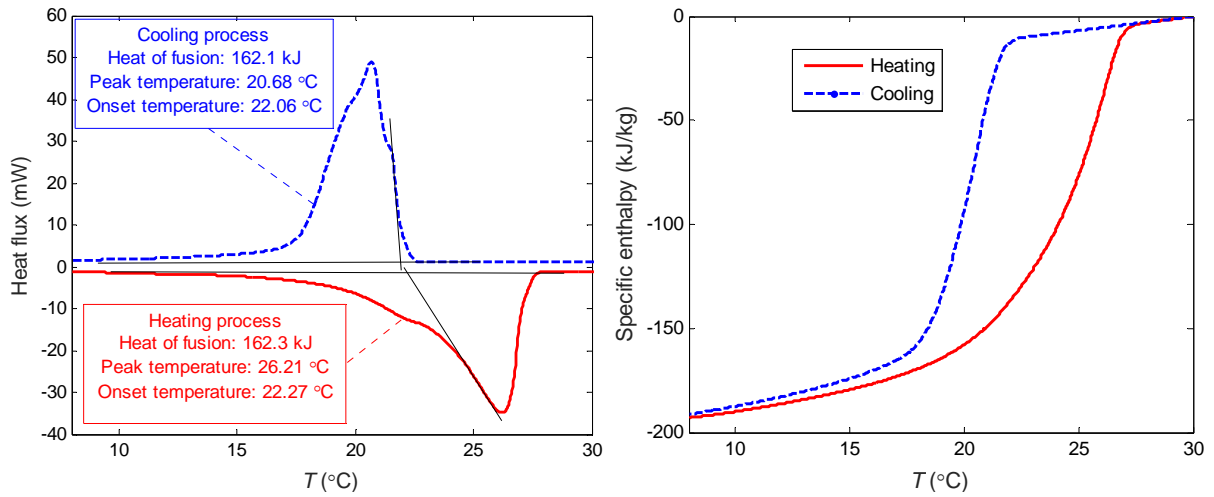
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Fig. 4 Outline of the research methodology.

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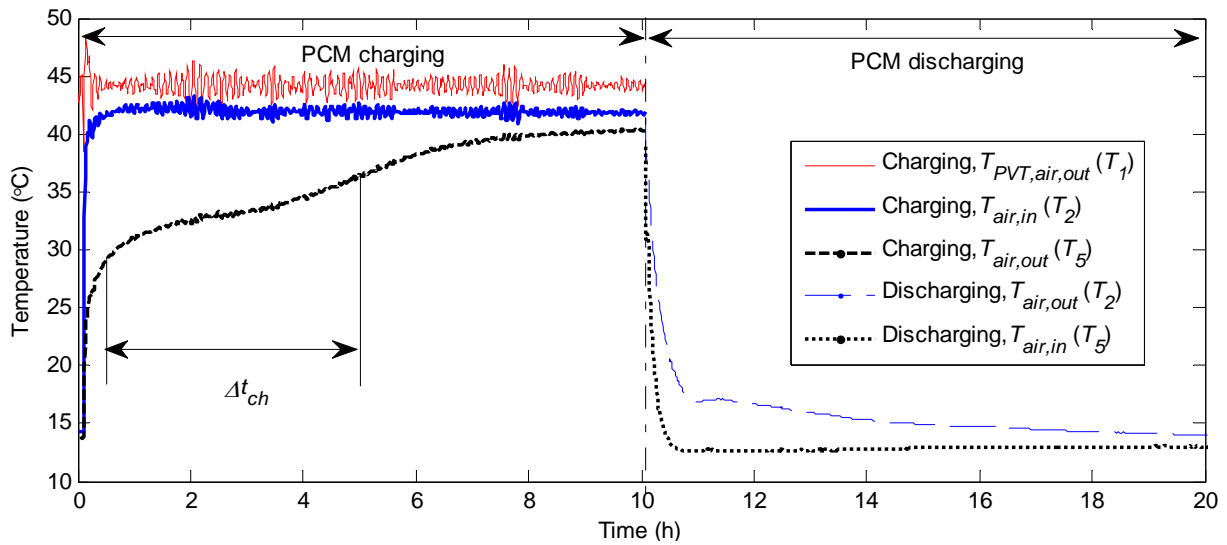
a) DSC curves

b) $h-T$ relationship

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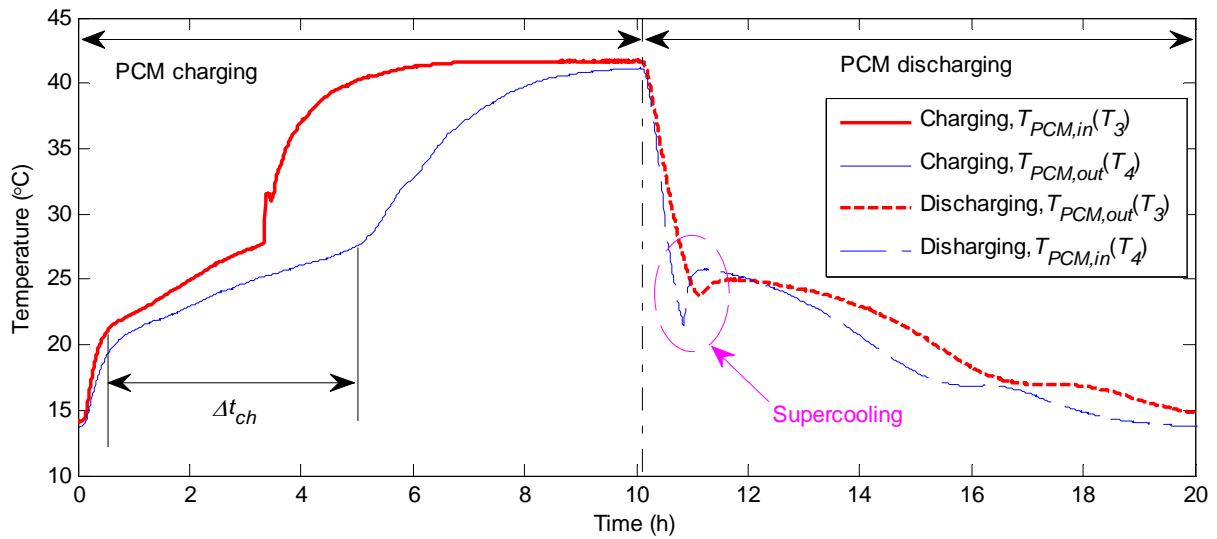
805 Fig. 5 DSC curves at the scanning rate of 0.05K/min and the $h-T$ relationship of the PCM S21.

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a) Air temperatures at the outlet of PVT emulator, and inlet and outlet of the PCM TES unit.

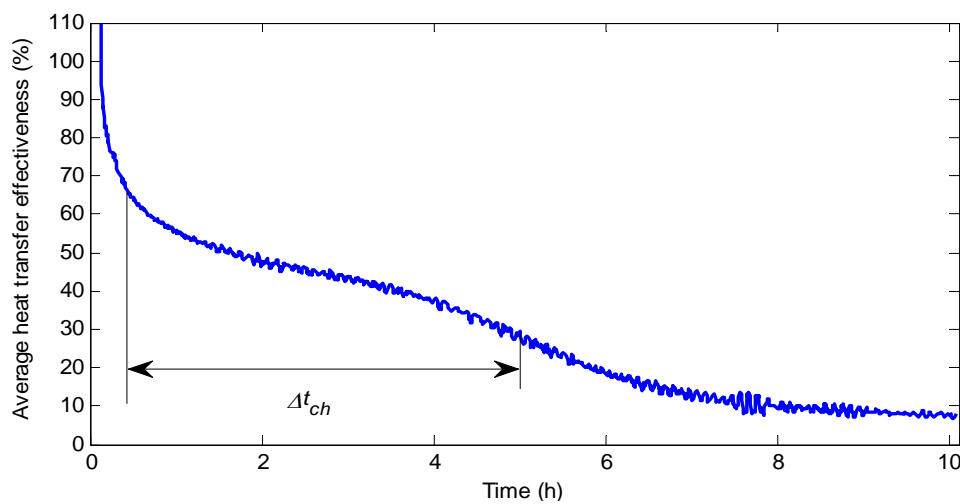


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b) Temperature of the PCM bricks near the inlet and outlet of the TES unit.

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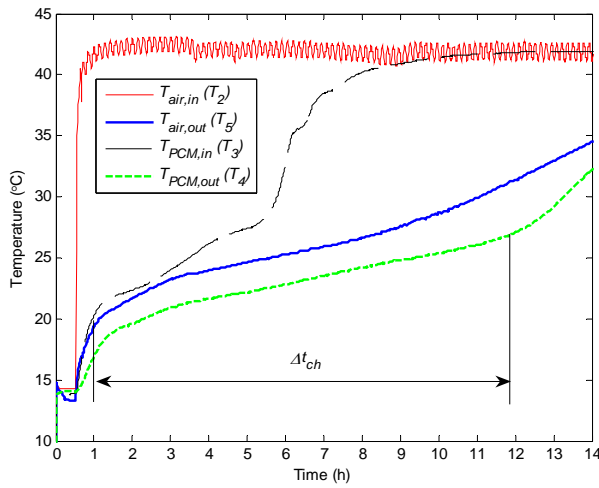
Fig. 6 Measured air temperatures and PCM temperatures.



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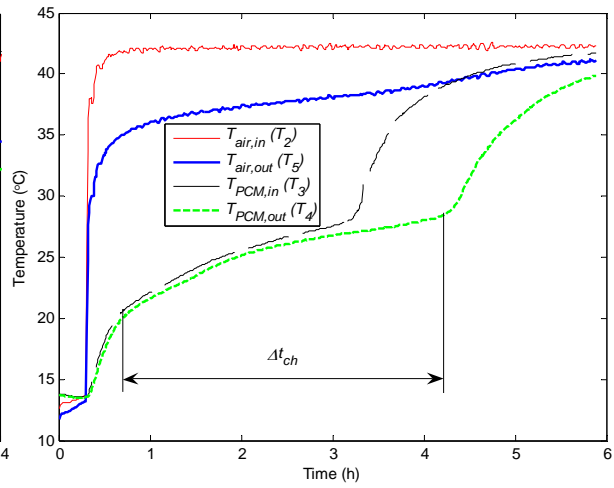
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Fig. 7 Heat transfer effectiveness of the TES system and the effective PCM charging period.



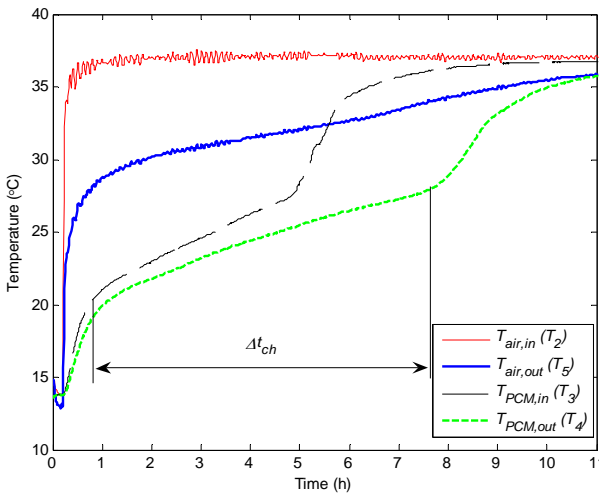
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a) Trial test 2



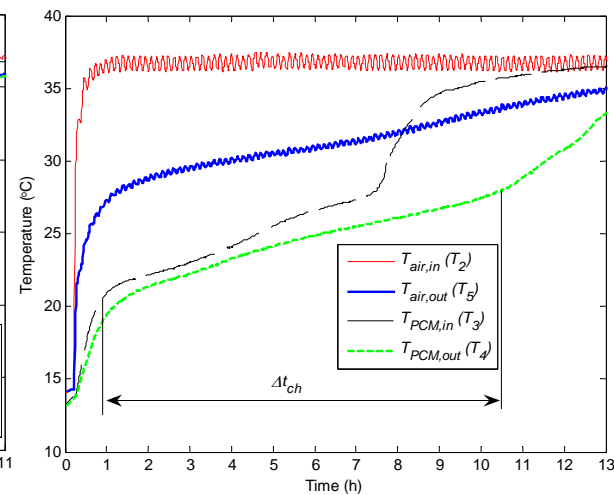
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b) Trial test 3



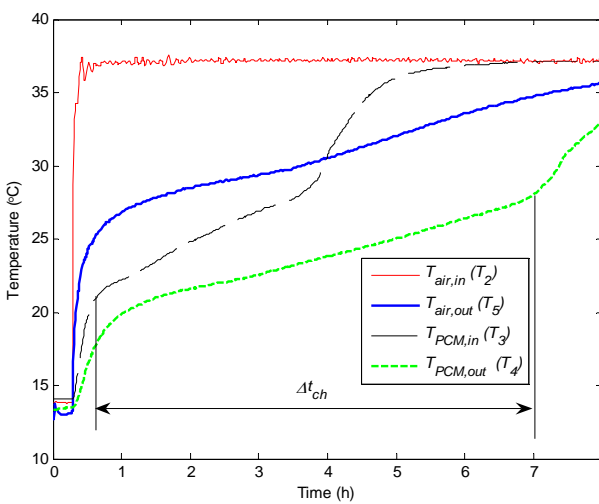
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c) Trial test 4



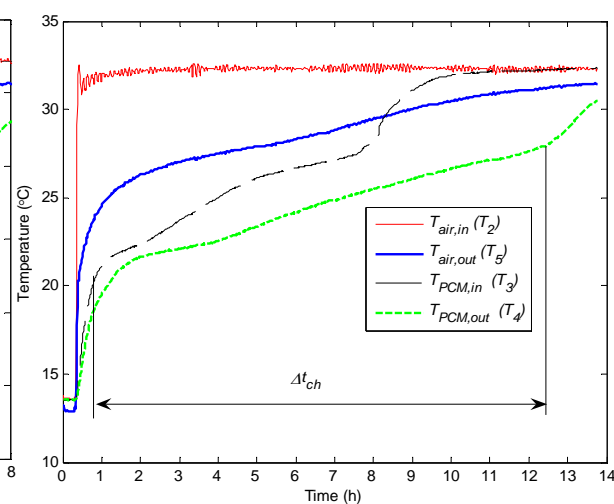
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d) Trial test 5



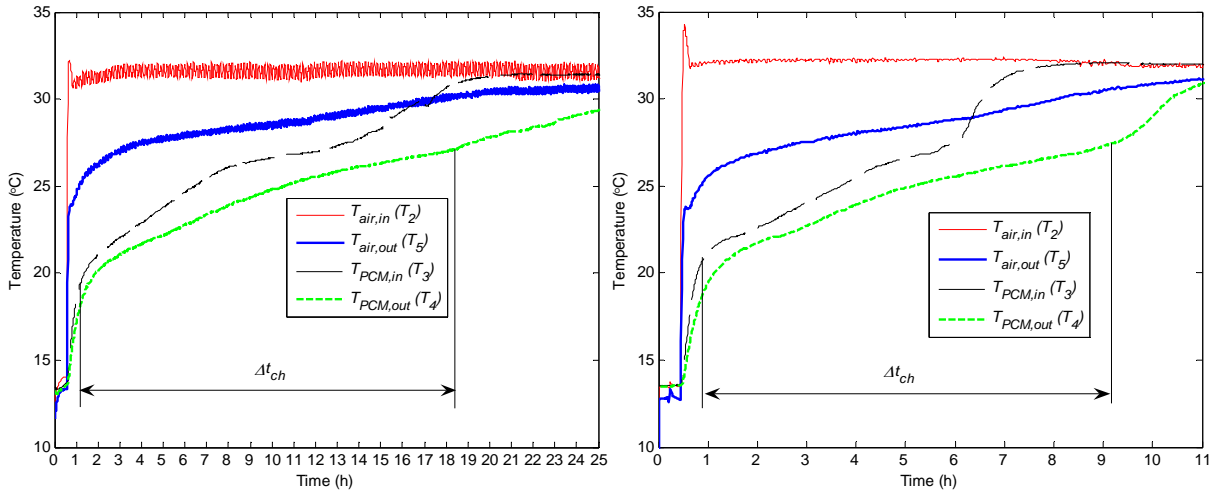
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e) Trial test 6



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f) Trial test 7



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g) Trial test 8

h) Trial test 9

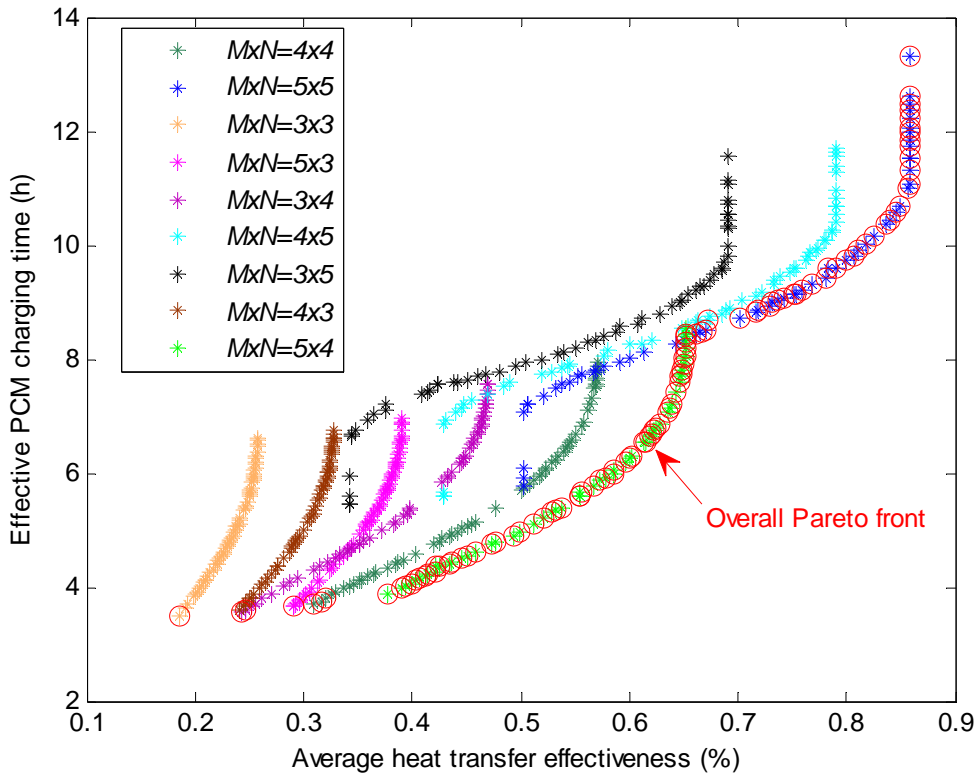
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Fig. 8 Temperatures of the inlet and outlet air and temperatures of the PCM bricks near the

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inlet and outlet of the TES unit for Trial tests 2-9.

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Fig. 9 Pareto front of the average heat transfer effectiveness and the effective PCM charging

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time.