

# Groups and Geometry 2018 Auckland University

## Projective planes, Laguerre planes and generalized quadrangles that admit large groups of automorphisms

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# Projective and affine planes

## Definition

A **projective plane**  $\mathcal{P} = (P, \mathcal{L})$  consists of a set  $P$  of points and a set  $\mathcal{L}$  of lines (where lines are subsets of  $P$ ) such that the following three axioms are satisfied:

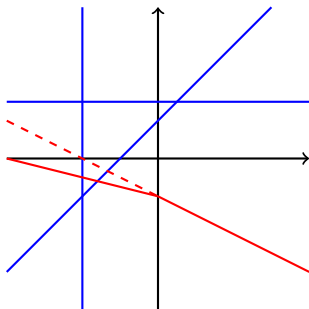
- (J) *Two distinct points can be joined by a unique line.*
- (I) *Two distinct lines intersect in precisely one point.*
- (R) *There are at least four points no three of which are on a line.*

Removing a line and all of its points from a projective plane yields an **affine plane**. Conversely, each affine plane extends to a unique projective plane by adjoining in each line with an 'ideal' point and adding a new line of all ideal points.

## Models of projective planes

**Desarguesian projective planes** are obtained from a 3-dimensional vector space  $V$  over a skewfield  $\mathbb{F}$ . Points are the 1-dimensional vector subspaces of  $V$  and lines are the 2-dimensional vector subspaces of  $V$ . In case  $\mathbb{F}$  is a field one obtains the **Pappian projective plane** over  $\mathbb{F}$ .

There are many non-Desarguesian projective planes. One of the earliest and very important class is obtain by the (generalized) Moulton planes.

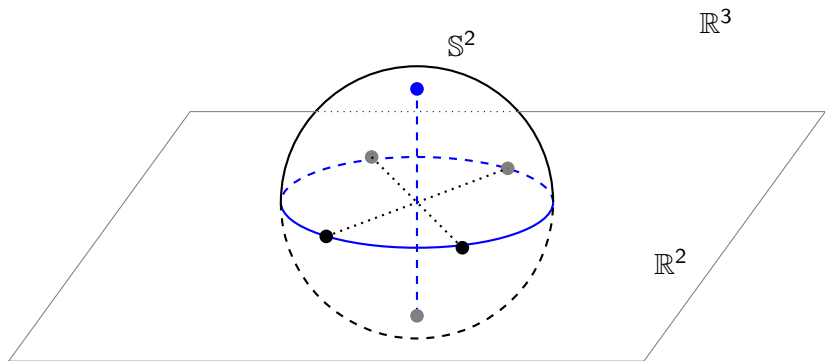


# Ways to look at projective planes

Projective and affine planes have been studied under various aspects.

- Configurations that close in them ( $\rightarrow$  Desargues and Pappus configurations, ...);
- coordinatizing ternary fields ( $\rightarrow$  nearfields, semifields, quasifields, ...);
- linearly transitive groups of central collineations ( $\rightarrow$  Lenz-Barlotti classification);
- admitting certain groups of collineations ( $\rightarrow$  translation planes, ...);
- finitely many points and lines;
- points and lines sets carrying topologies.

# The real Desarguesian plane



# The real Desarguesian plane as a topological plane

This model of the real Desarguesian plane on the 2-sphere shows that

- the point set  $P$  carries a Hausdorff topology with respect to which  $P$  is connected, compact and 2-dimensional;
- the line set  $\mathcal{L}$  carries a Hausdorff topology with respect to which  $\mathcal{L}$  is connected, compact and 2-dimensional;
- joining two points by a line is continuous with respect to the topologies on  $(P \times P) \setminus D_P$  and  $\mathcal{L}$ ;
- intersecting two lines in a point is continuous with respect to the topologies on  $(\mathcal{L} \times \mathcal{L}) \setminus D_{\mathcal{L}}$  and  $P$ .

# Topological projective planes

## Definition

A **topological projective plane** is a projective plane  $\mathcal{P} = (P, \mathcal{L})$  where  $P$  and  $\mathcal{L}$  carry non-discrete Hausdorff topologies such that the two geometric operations described in the axioms (J) and (I) are continuous with respect to induced topologies.

A topological geometry is called *connected* or *(locally) compact* or  *$n$ -dimensional* if the point space has the respective property.

By an  $n$ -dimensional projective plane we mean a topological, compact  $n$ -dimensional projective plane. Such a plane is connected when  $n > 0$ .

## Theorem (Löwen 1983)

A connected finite-dimensional projective plane is 2-, 4-, 8- or 16-dimensional.

# Collineations of projective planes

## Definition

A **collineation** of a projective plane is a permutation of the point set that takes lines to lines.

An **automorphism** of a topological projective plane is a continuous collineation.

## Theorem (Löwen 1976)

The automorphism group  $\Sigma$  of a topological, connected, compact projective plane  $\mathcal{P} = (P, \mathcal{L})$  is a locally compact group with countable basis when endowed with the compact-open topology.  $\Sigma$  acts a topological transformation group on  $P$  and  $\mathcal{L}$ .

$\Sigma$  is a Lie group if  $\mathcal{P}$  is 2- or 4-dimensional. In this case  $\Sigma$  has dimension at most  $4 \cdot \dim \mathcal{P}$ .



# Collineations of 2-dimensional projective planes

## Theorem (Salzmann 1959)

*A collineation of a 2-dimensional projective plane is continuous.*

## Theorem (Salzmann, Groh et al 1950s-1980s)

*All 2-dimensional projective planes whose automorphism groups  $\Sigma$  are at least 2-dimensional are known.*

- If  $\dim \Sigma \geq 5$ , then the plane is the real Desarguesian plane.*
- If  $\dim \Sigma = 4$ , then the plane is isomorphic to a proper Moulton plane.*

There are 2-dimensional projective planes that admit no automorphism other than the identity.

# Ovals in projective planes

## Definition

An **oval** in a projective plane  $\mathcal{P}$  is a set  $\mathcal{O}$  of points such that

- every line in  $\mathcal{P}$  intersects  $\mathcal{O}$  in no more than two points, and
- every point  $p$  of  $\mathcal{O}$  is contained in precisely one line that intersects  $\mathcal{O}$  only in  $p$  (tangent line to  $\mathcal{O}$  at  $p$ ).

An oval  $\mathcal{O}$  in a topological projective plane is called *topological* if the map  $L \mapsto L \cap \mathcal{O}$  is continuous.

Every strictly convex, differentiable simply closed curve in the Euclidean plane is a topological oval in the real projective plane

# Topological ovals in finite-dimensional projective planes

## Theorem (Buchanan, Hähl, Löwen 1980)

- *An oval in a connected, finite-dimensional projective plane is topological if and only if the oval is a closed subset of the point set.*
- *If a connected, finite-dimensional projective plane contains a topological oval then the plane is 2- or 4-dimensional.*

## Theorem (Polster, Rosehr, S. 1997)

*For any three non-collinear points of a 2-dimensional projective plane there is a topological oval passing through these three points.*

# Laguerre planes

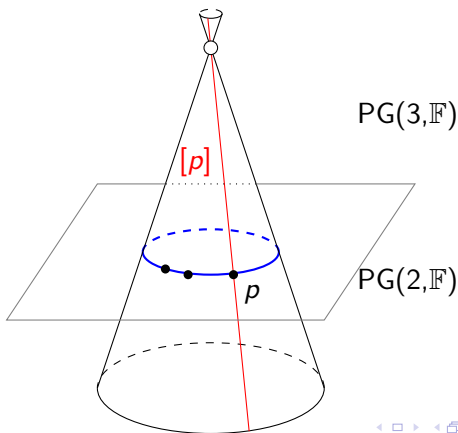
## Definition

A **Laguerre plane**  $\mathcal{L} = (P, \mathcal{C}, \mathcal{G})$  consists of a set  $P$  of points, a set  $\mathcal{C}$  of circles and a set  $\mathcal{G}$  of generators (where circles and generators are both subsets of  $P$ ) such that the following five axioms are satisfied:

- (G)  $\mathcal{G}$  partitions  $P$ .
- (C) Each circle intersects each generator in precisely one point.
- (J) Three points no two of which are on the same generator can be joined by a unique circle.
- (T) The circles that touch a circle  $C$  geometrically at a point  $p$  of  $C$  form a partition of  $P \setminus [p]$  where  $[p]$  is the generator that contains  $p$ .
- (R) There are at least two circles and there is a circle that contains at least three points nonparallel.

## Models of Laguerre planes

**Ovoidal Laguerre planes** are obtained as the geometry of non-trivial plane sections of a cone, minus its vertex, over an oval in 3-dimensional projective space over a field  $\mathbb{F}$ . In case the oval is a conic one obtains the **Miquelian Laguerre plane** over  $\mathbb{F}$ .



## Derived incidence structures

The derived incidence structure  $\mathcal{L}_p$  at a point  $p$  of a Laguerre plane  $\mathcal{L}$  has point set  $P \setminus [p]$  and lines the generators of  $\mathcal{L}$  not containing  $p$  and the circles of  $\mathcal{L}$  containing  $p$ . This is an affine plane. In fact  $\mathcal{L}$  is a Laguerre plane if and only if each  $\mathcal{L}_p$  is an affine plane.

Circles not passing through  $p$  induce ovals in the projective extension of the affine plane  $\mathcal{L}_p$  at  $p$  by adding the point at infinity of 'vertical' lines that come from generators of the Laguerre plane. Each of these ovals has the line at infinity as a tangent. In  $\mathcal{L}_p$  one has the lines and a collection of parabolic curves.

Conversely, given a collection of lines and parabolic curves one has to amend each member by a point at infinity in order to obtain a Laguerre plane. This may not be possible or may be possible in more than one way.

# Topological Laguerre planes

## Definition

A **topological Laguerre plane** is a Laguerre plane  $\mathcal{L} = (P, \mathcal{C}, \mathcal{G})$  where  $P$ ,  $\mathcal{C}$  and  $\mathcal{G}$  carry non-discrete Hausdorff topologies such that the geometric operations described in the axioms (C), (J), (T) and intersection of circles are continuous with respect to induced topologies.

By an  $n$ -dimensional Laguerre plane where we mean a topological, locally compact  $n$ -dimensional Laguerre plane. Such a plane is connected when  $n > 0$ .

A circle not passing through the point  $p$  induces a closed oval in the derived projective plane at  $p$ .

## Corollary

*A connected, finite-dimensional Laguerre plane is 2- or 4-dimensional.*

# Automorphisms of topological Laguerre planes

## Definition

A **(geometric) automorphism** of a Laguerre plane is a permutation of the point set that takes generators to generators and circles to circles. An **automorphism** of a topological Laguerre plane is a continuous geometric automorphism.

## Corollary

A (geometric) automorphism of a 2-dimensional Laguerre plane is continuous.

## Theorem (Förtsch 1982)

The automorphism group, endowed with the compact-open topology, of a  $2s$ -dimensional Laguerre plane where  $s = 1, 2$  is a Lie group of dimension at most  $7s$ .



## 2-dimensional Laguerre planes

### Theorem (Groh 1970)

*The 2-dimensional Laguerre planes are precisely the Laguerre planes on the cylinder  $Z = \mathbb{S}^1 \times \mathbb{R}$  all whose circles are graphs of continuous maps  $\mathbb{S}^1 \rightarrow \mathbb{R}$ .*

### Theorem (Groh 1969)

*A 2-dimensional Laguerre plane is ovoidal if and only if the kernel of the plane (consisting of all automorphisms that fix each generator) is 4-dimensional.*

There are many constructions for non-ovoidal 2-dimensional Laguerre planes.

There are 2-dimensional Laguerre planes that admit no automorphism other than the identity.

# Automorphism groups of 2-dimensional Laguerre planes

Theorem (Löwen, Pfüller, S. 1980s-2000)

*All 2-dimensional Laguerre planes whose automorphism groups  $\Sigma$  are at least 5-dimensional or whose kernels  $\Delta$  are at least 3-dimensional are known.*

- *If  $\dim \Sigma \geq 6$ , then the plane is the real classical Laguerre plane.*
- *If  $\dim \Sigma = 5$ , then the plane is isomorphic to an ovoidal plane over a skew parabola.*
- *If  $\dim \Delta = 4$ , then the plane is ovoidal.*

The classification of 2-dimensional Laguerre planes whose automorphism groups  $\Sigma$  are 4-dimensional is almost complete. Those planes where  $\Sigma$  fixes a generator or is point transitive are known. There are three families of planes known where  $\Sigma$  fixes a circle and acts transitively on it.

## Central automorphisms and Kleinewillinghöfer types

A **central automorphism** of a Laguerre plane is a (geometric) automorphism that fixes at least one point and induces a central collineation in the derived projective plane at this point.

- Laguerre homology: all points on a circle are fixed.
- Laguerre translation: all points on a generator are fixed and a translation is induced one derived affine plane.
- Laguerre homothety: two non-parallel fixed points.

Kleinewillinghöfer 1979 classified groups of automorphisms according to the linearly transitive groups of central automorphisms contained in them. She obtained a total of 46 combined types (with respect to Laguerre homologies, Laguerre translations and Laguerre homotheties).

# Kleinewillinghöfer types of 2-dimensional Laguerre planes

The Kleinewillinghöfer type of a Laguerre plane is the type of its (full) automorphism group.

Theorem (Polster, Schillewaert, S. 2004-2018)

*A 2-dim. Laguerre plane is of one of the following 25 combined types*

- I. *A.1, A.2, B.1, B.3, C.1, E.1, E.4, G.1, H.1, H.11,*
- II. *A.1, A.2, E.1, E.4, G.1,*
- III. *B.1, B.3, H.1, H.11,*
- IV. *A.1, A.2,*
- V. *A.1,*
- VII. *D.1, D.8, or K.13.*

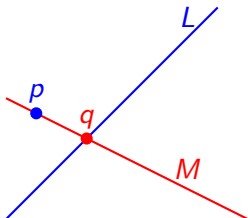
*There are examples of 2-dim. Laguerre planes for each of the above types.*

# Generalized quadrangles

## Definition

A **generalized quadrangle**  $Q = (P, \mathcal{L})$  consists of a point set  $P$ , a line set  $\mathcal{L}$  whose elements are subsets of  $P$  such that

- (J) Any two distinct points are on at most one line.
- (P) For every line  $L$  and every point  $p$  not on  $L$ , there exist a unique point  $q$  on  $L$  and a unique line  $M$  through  $p$  such that  $q \in M$ .
- (R) Every point is on at least two lines, and every line contains at least two points.



## Models of generalized quadrangles

Let  $\varphi$  be a symmetric bilinear form of Witt index 2 in  $\mathbb{F}^{n+1}$  where  $\mathbb{F}$  is a field of characteristic  $\neq 2$  and  $n \geq 4$ . Let  $Q$  be the associated quadric in  $n$ -dimensional projective space  $\text{PG}(n, \mathbb{F})$  over  $\mathbb{F}$ . If  $\mathcal{L}$  is the set of lines of  $\text{PG}(n, \mathbb{F})$  entirely contained in  $Q$  (2-dimensional totally isotropic subspaces of  $\mathbb{F}^{n+1}$  with respect to  $\varphi$ ), then  $Q(n, \mathbb{F}, \varphi) = (Q, \mathcal{L})$  is a generalized quadrangle, called the **orthogonal quadrangle (over  $\mathbb{F}$ )**.

Let  $\rho$  be a symplectic polarity in a 3-dimensional projective space  $\text{PG}(3, \mathbb{F})$  over  $\mathbb{F}$ . If  $P$  is the point set of  $\text{PG}(3, \mathbb{F})$  and  $\mathcal{L}$  is the set of lines of  $\text{PG}(3, \mathbb{F})$  fixed under  $\rho$ , then  $W(\mathbb{F}) = (P, \mathcal{L})$  is a generalized quadrangle, called the **symplectic quadrangle (over  $\mathbb{F}$ )**.

The generalized quadrangles  $W(\mathbb{F})$  and  $Q(4, \mathbb{F})$  are dual to each other but are not isomorphic.

# Topological generalized quadrangles

## Definition

A **topological generalized quadrangle** is a generalized quadrangle  $Q = (P, \mathcal{L})$  where  $P$  and  $\mathcal{L}$  carry non-discrete Hausdorff topologies such that the two geometric operations described in axiom (P) are continuous with respect to induced topologies.

A **compact generalized quadrangle with topological parameters**  $(s, t)$  is a generalized quadrangle such that all lines are homotopy equivalent to  $s$ -spheres and all line pencils are homotopy equivalent to  $t$ -spheres.

## Theorem (Knarr 1990, Kramer 1994)

If a compact generalized quadrangle has topological parameters  $(s, t)$  such that  $s, t > 1$ , then either  $s + t$  is odd or  $s = t \in \{2, 4\}$ .

## Theorem (Forst 1981)

The compact 3-dimensional generalized quadrangles are precisely the compact generalized quadrangles with topological parameter 1.

# Lie geometry

## Definition

*The Lie geometry of a Laguerre plane  $\mathcal{L}$  has points the points of  $\mathcal{L}$  plus the circles of  $\mathcal{L}$  plus one additional point  $\omega$  at infinity. The lines of the Lie geometry are the generators amended by  $\omega$  and the extended tangent pencils, that is, the collections of all circles that touch a given circle at a point  $p$  together with the point  $p$ . Incidence is the natural one.*

## Definition

*A generalized quadrangle  $\mathcal{Q}$  is called **antiregular** if for each triple of pair-wise non-collinear points  $p, q, r$  the set  $p^\perp \cap q^\perp \cap r^\perp$ , where  $x^\perp$  is the set of all points collinear with  $x$ , is either empty or contains precisely two points.*

## Theorem (Forst 1981, Schroth 1995)

*The Lie geometry of a  $2s$ -dimensional Laguerre plane,  $s = 1, 2$ , is an antiregular compact generalized quadrangle with topological parameter  $s$ .*



# Derivation of an antiregular generalized quadrangle

## Definition

The **derivation**  $Q_p$  **at a point**  $p$  of an antiregular generalized quadrangle  $Q$  has point set  $p^\perp \setminus \{p\}$  and circle set whose members are of the form  $p^\perp \cap q^\perp$  for points  $q$  not collinear with  $p$ .

For every point  $p$  of an antiregular generalized quadrangle  $Q$  the derivation  $Q_p$  is a Laguerre plane.

## Theorem (Schroth 1995)

*Each derivation of a compact antiregular generalized quadrangle with topological parameter  $s$  is a  $2s$ -dimensional Laguerre plane.*

*Up to duality every compact generalized quadrangle with topological parameter  $s$  is the Lie geometry of a  $2s$ -dimensional Laguerre plane.*

## 3-dimensional generalized quadrangles

### Corollary (Forst 1981)

*The automorphism group of a compact 3-dimensional generalized quadrangle has dimension at most 10.*

### Theorem (Schroth 2000)

*If the automorphism group of a non-Miquelian 2-dimensional Laguerre plane  $\mathcal{L}$  has dimension at least 4, then the automorphism group  $\Sigma$  of the generalized quadrangle  $\mathcal{Q}$  obtained as the Lie geometry of  $\mathcal{L}$  has dimension 4 or 5.*

*Suppose  $\Sigma$  is the automorphism group of a compact 3-dimensional generalized quadrangle  $\mathcal{Q}$ . If  $\dim \Sigma > 5$ , then  $\mathcal{Q}$  is the real orthogonal quadrangle or its dual.*