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SELMA mission: How do airless bodies interact with space environment? The Moon as an accessible laboratory

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# SELMA mission: How do airless bodies interact with space environment? The Moon as an accessible

### 3 laboratory

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- 34 Keyword: Moon exploration, volatile, water, mini-magnetosphere, dust, permanently
- 35 shadowed crater

### 36 Abstract:

37 The Moon is an archetypal atmosphere-less celestial body in the Solar System. For such bodies, 38 the environments are characterized by complex interaction among the space plasma, tenuous 39 neutral gas, dust and the outermost layer of the surface. Here we propose the SELMA mission 40 (Surface, Environment, and Lunar Magnetic Anomalies) to study how airless bodies interact with 41 space environment. SELMA uses a unique combination of remote sensing via ultraviolet and 42 infrared wavelengths, and energetic neutral atom imaging, as well as in situ measurements of 43 exospheric gas, plasma, and dust at the Moon. After observations in a lunar orbit for one year, 44 SELMA will conduct an impact experiment to investigate volatile content in the soil of the 45 permanently shadowed area of the Shackleton crater. SELMA also carries an impact probe to 46 sound the Reiner-Gamma mini-magnetosphere and its interaction with the lunar regolith from the SELMA orbit down to the surface. SELMA was proposed to the European Space Agency as a 47 48 medium-class mission (M5) in October 2016. Research on the SELMA scientific themes is of 49 importance for fundamental planetary sciences and for our general understanding of how the 50 Solar System works. In addition, SELMA outcomes will contribute to future lunar explorations 51 through qualitative characterization of the lunar environment and, in particular, investigation of 52 the presence of water in the lunar soil, as a valuable resource to harvest from the lunar regolith.

### 53 **1 Introduction**

The Moon, Phobos, Deimos, and the majority of planetary satellites, Mercury, dwarf planets, and asteroids do not possess atmospheres. Solar radiation, space plasmas, meteoroids, and dust directly access the surface, changing its properties (called space weathering) and resulting in an environment where four main components, plasma, neutral gas, dust, and the outermost layer of the surface interact with each other in a very complex way. In order to investigate these interactions, we have designed a new-generation lunar mission, SELMA (Surface, Environment, and Lunar Magnetic Anomalies).

61 The mission SELMA was proposed in response to *the call for a Medium-size Mission* 62 *Opportunity in European Space Agency's (ESA) science program (M5).* SELMA is a mission in 63 the frame of the Cosmic Vision themes "1. What are the conditions for planet formation and the 64 emergence of life?" and "2. How does the Solar System work?" (*Cosmic Vision, 2005*). SELMA 65 addresses the Cosmic Vision topics "1.3 Life and habitability in the Solar System" and "2.3 66 Asteroids and other small bodies".

67 The science question of SELMA is "How do airless bodies interact with space environment?". 68 SELMA studies the Moon, an archetypal atmosphere-less celestial body in the Solar System. 69 SELMA studies water, one of the main ingredients for the life as we know it. SELMA will reveal 70 the mechanisms of the water/hydroxyl formation on regolith-covered surfaces. While such bodies 71 hardly can or could have been habitable, general studies how water is created, transported, stored, 72 and escapes are an important contribution to understanding the fate of water in the solar system. 73 SELMA also studies how airless bodies and small magnetic structures (magnetic anomalies) 74 interact with the solar wind plasma, how surface bounded exospheres are created and maintained, 75 and how the dust environment works; details of the scientific objectives are described in Section 76 2. All these results are of fundamental importance for planetary science and can be applicable 77 throughout the solar system including the moons of Jupiter and Saturn, asteroids and dwarf 78 planets.

In order to realize the science objectives, SELMA comprises of the SELMA orbiter, SELMA
 impact probe for Magnetic Anomaly (SIP-MA), passive impactor (10 kg copper sphere), and a

SELMA: How do airless bodies interact with space environment?

81 relay CubeSat (RCS). The SELMA orbiter and SIP-MA host scientific instruments. The SELMA 82 orbiter carries four remote sensing instruments and seven in-situ instruments. SIM-MA is equipped with four scientific instruments. The SELMA mission's lifetime is 15 months. The 83 84 launch time is flexible, while the proposed SELMA mission complies with the requirement of ESA's M5 mission time line, i.e., aiming at 2029. The SELMA orbiter is inserted into a lunar 85 86 polar orbit, nominally with a pericenter at 30 km and an apocenter at 200 km above the surface, 87 for collecting the scientific data for the whole mission period. Two different measurement 88 campaigns are conducted. An impact probe experiment using SIP-MA to investigate the lunar 89 mini-magnetosphere is done 6 months after the launch; while the timing is flexible. The 90 opportunity for SIP-MA is once a month. The second experiment will be done at the end of the 91 mission: A passive impactor will be released aiming at a permanently shadowed region (the 92 Shackleton crater is the baseline) to create an impact plume, which will be measured both 93 remotely and locally by the SELMA orbiter. The SELMA orbiter chases the passive impactor 94 along almost the identical orbit with a full science operation, and it crashes to the closer location 95 to the passive impactor. Details of the mission description and instrumentation are described in 96 Sections 3 and 4.

97 SELMA uses a unique combination of remote sensing via ultraviolet and infrared wavelengths 98 and energetic neutral atom imaging, as well as in situ measurements of exospheric gas, plasma 99 and dust to investigate the complex interaction of surface-space environments. Uniqueness of the 100 SELMA mission is coordination of science and instrumentation throughout the mission; i.e., the 101 mission design, system and instrument development, science operation, and data exploitation. 102 Previous lunar missions have addressed only a part of the interaction. For example, the LADEE 103 mission focused exosphere and dust, Kaguya was for surface and plasma, ARTEMIS is for 104 plasma, and LRO is for surface and exosphere. LCROSS did an impact experiment into a 105 permanently shadowed region, but characterization of the produced plume relied on remote 106 sensing instruments. The SELMA mission is the first mission to investigate the interaction 107 between the surface, exosphere, plasma and dust, using the coordinated measurements.

### 108 2 SELMA Science questions

Of the very complex lunar environment interactions, SELMA focuses on four main subjects:
water, volatiles cycle, mini-magnetospheres, and dust. The four SELMA key science questions
can be described as follows.

112 1. What is the origin of water on the Moon?

113 The surface of non-icy airless bodes is covered with regolith, a layer of loose, heterogeneous 114 material including dust, broken rock, and other related materials (e.g. Heiken, 1991). The regolith 115 has been formed by the impact of large and small meteoroids and the steady bombardment of 116 micrometeoroids, which slowly break down surface rocks. One of the most important 117 manifestations of the interaction of the lunar regolith with the solar wind plasma is the formation 118 of OH/H<sub>2</sub>O bearing materials in the outermost layer via chemical reactions between oxygen in the 119 regolith's minerals and implanted protons from the solar wind (Pieters et al. 2009; Sunshine et al. 120 2009; Clark, 2009). The solar wind protons can thus be considered as a one of the sources of 121 water on airless bodies. On the other hand, comets may also have brought substantial amounts of 122 water to planetary bodies during the long period of bombardment, which is another important 123 potential source of water. Answering the question "What is the origin of water on the Moon?" is a 124 key to understanding the water distribution and presence in the Solar System.

125 2. How do the "volatile cycles" on the Moon work?

### SELMA: How do airless bodies interact with space environment?

126 The volatiles surrounding airless bodies form a collisionless gas layer, a surface-bounded 127 exosphere (e.g. Stern, 1999). At the Moon, the exospheric atoms and molecules originate from 128 the interior, and are kicked off from the regolith grain surface by solar photons and particles, and 129 through micrometeoroids impacts. The release processes are highly variable due to the variability 130 of the solar radiation, impinging particle fluxes, meteoroid fluxes and impact rates, surface 131 mineralogy, temperature, topography and structures, as well as the transient releases of gas from 132 the lunar interior. The exospheric particles do not interact with each other but with the surface 133 and can be redistributed over the whole body. Exospheric particles originating in the hot 134 equatorial regions may get transported to and trapped in the cold polar regions, forming volatile 135 reservoirs there (Watson et al., 1961). Some atoms and molecules, especially those of low mass, 136 escape to space due to sufficiently high velocities. Volatiles may also undergo ionization by solar 137 UV radiation, and will be picked-up by the solar wind electric field, and escape the system. Answering the question "How do the "volatiles cycles" work on the Moon?" will reveal the 138 139 sources and sinks as well as transport processes of the volatiles on airless bodies.

140 3. How do the lunar mini-magnetospheres work?

141 The Moon does not possess a global magnetic dipole field but only local crustal magnetic fields,

so-called magnetic anomalies, with field strength of a few 100s nT at the surface (e.g. Coleman et

al., 1972; Richmond and Hood, 2008; Tsunawaka et al., 2015). This field strength is comparable
with the weak magnetic dipole field of the Mercury (~195 nT on the equator; e.g. Ness et al.,
1974; Anderson et al., 2011). The magnetic anomalies do affect the local solar wind plasma flow

145 1974; Anderson et al., 2011). The magnetic anomalies do affect the local solar wind plasma flow 146 but cannot fully prevent it from reaching the surface (*Vorburger et al., 2012*). Due to the small 147 size of the anomalies, which is between the proton gyroradius and the electron gyroradius, the 148 electrodynamical interaction is inherently kinetic and very complex, being one of the 149 fundamental solar wind interactions in the solar system. These lunar mini-magnetospheres are 150 natural laboratories for studying small-scale plasma interactions in the solar system and the 151 physics of dusty plasma. SELMA will focus on answering the question "How do the lunar mini-152 magnetospheres work?"

153 4. What is the influence of dust on the lunar environment and surface?

154 Levitating lunar dust, inferred from the Apollo images of horizontal glow (e.g. McCoy and 155 Criswell, 1974; Rennilson and Criswell, 1974), fascinated scientists, and worried and bewildered engineers and astronauts. Dust was identified as the number-one environmental problem on the 156 157 Moon. However, the physical processes responsible for the mobilization of lofting of the dust 158 particles are not yet fully understood due to complex interaction between the lunar surface and its 159 UV and plasma environment. For example, the continuous interplanetary meteoroid 160 bombardment sustains a permanently present the dust cloud in the exosphere. In addition, the 161 plasma and associated electric fields in the exosphere control the dynamics of the dust particles. 162 Similar processes occur at many astrophysical objects, where dusty plasmas appear frequently; 163 for example, in interstellar molecular clouds, in proto-planetary disks, in cometary tails, planetary 164 rings and surfaces of airless planetary bodies. Therefore, the Moon provides an exceptional 165 testing ground for fundamental research of the physics of complex dusty plasma. SELMA will 166 focus on answering the question "What is the influence of dust on the lunar environment and 167 surface?"

168 From these four overarching science questions, we further derive several science objectives in the 169 following sections. Table 1 summarizes the SELMA science objectives.

170

171 *Table 1: Science objectives of SELMA broken down from the four overarching science questions.* 

### What is the origin of water on the Moon?

Understand the role of the solar wind in the formation of water bearing materials

Investigate how exospheric gases affect the abundances of water bearing materials and vice versa

Investigate how variable the abundances of  $OH/H_2O$  bearing materials are and how the variability is related to the plasma and neutral gas environment

Investigate the solar wind proton balance in the lunar soil

Determine the water content in the regolith of permanently shadowed regions and its isotope composition

#### How do the "volatile cycles" on the Moon work?

Fully characterize the lunar exosphere

Investigate how the lunar exospheric composition is related to surface illumination conditions and sources due to photon and thermal desorptions

Investigate how the lunar exosphere composition is related to the plasma environment and sources due to surface sputtering

Investigate how the lunar exosphere composition is related to impact events and sources due to impact vaporization

Establish the sinks of the lunar exosphere

#### How do the lunar mini-magnetospheres work?

Establish the structure and topology of the magnetic field at the surface

Establish the mechanisms creating small-scale plasma depletions and deceleration of electrons and ions.

Investigate how small scale magnetic structures affect the solar wind on the global scale

Investigate how the properties of mini-magnetospheres vary with solar wind conditions

Investigate long-term local effects of magnetic anomalies on the surface

#### What is the influence of dust on the lunar environment and surface?

Fully characterize the lunar dust environment

Investigate how the impact events affect the lunar dust environments

Investigate how plasma effects result in lofting the lunar plasma

### 172

### 173 **2.1 What is the origin of water on the Moon?**

The existence of water on the lunar surface was debated for half a century. *Watson et al. (1961)* contested the previously common assumption that the Moon was completely dry. They considered the possibility of water in its frozen form being accumulated in permanently shadowed regions (cold traps) and calculated very low evaporation rates of ice, concluding that the cold traps should be able to retain water on geological timescales. A long series of theoretical

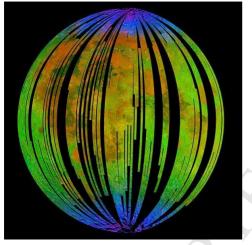
SELMA: How do airless bodies interact with space environment?

179 studies were thereafter conducted, adding various possible source and loss mechanisms, with

differing conclusions (e.g., Arnold, 1979; Lanzerotti et al., 1981; Hodges, 2002; Cocks et al.,
2002; Crider and Vondrak, 2003).

182 Recent missions established that the lunar soil contains hydrogen-rich materials although the 183 exact chemical composition (H/OH/H<sub>2</sub>O, ice) is not known. The neutron spectrometer onboard 184 Lunar Prospector (LP) observed a depletion of epithermal neutrons in the polar regions, which 185 was interpreted as the presence of hydrogen-rich materials in the form of water inside the permanently shadowed areas (Feldman et al., 1998). The neutron spectrometer on Lunar 186 187 Reconnaissance Orbiter (LRO) showed that depletions of the epithermal neutrons do not always 188 coincide with the permanently shadowed regions but may also occur in illuminated craters too 189 (Mitrofanov et al., 2010). Spectroscopic observations in the near-infrared (NIR) range of the 190  $OH/H_2O$  absorption band (2.7–3.3 µm) on the lunar surface conducted by M3 (Moon Mineral 191 Mapper) onboard Chandrayaan-1 showed that water ice and/or hydroxyl exist in the lunar 192 regolith (Pieters et al., 2009; Figure 1). Similar features in the infrared spectra were found in the 193 data from Cassini VIMS (Clark, 2009) and HRI-IR spectrometer on Deep Impact (Sunshine et al., 194 2009). Phobos and Deimos also exhibit similar signatures in the NIR absorption band (e.g.

195 Freaman et al., 2014).



- 196
- 197 Figure 1: Three-color composite image of reflected near-infrared radiation from the Sun as
- 198 obtained by M3. Blue shows the signature of water and hydroxyl molecules as seen by a highly
- 199 diagnostic absorption of infrared light with a wavelength of 3  $\mu$ m. Green shows the brightness of
- the surface as measured by reflected infrared radiation from the Sun with a wavelength of 2.4- $\mu$ m, and red shows an iron-bearing mineral called pyroxene, detected by absorption of infrared light
- 201 and real shows an iron-bearing mineral called pyroxene, detected 202 at 2.0 um. The figure is from Pieters et al. (2000)
- 202 at 2.0- $\mu$ m. The figure is from Pieters et al. (2009).
- 203 Currently, two main hypotheses on the origin of OH/H<sub>2</sub>O bearing materials are under 204 consideration: (a) the water and hydroxyl result from chemical reactions between oxides in the 205 lunar soil and protons implanted by the solar wind (Crider and Vondrak, 2000), and (b) the water 206 was/is being brought to the Moon by comets, asteroids and meteoroids (Ong et al., 2010; Bruck 207 Syal et al., 2015). New evidence from LADEE is consistent with meteoritic delivery of water to 208 the Moon (Benna et al., 2015). The key question is "what is the role of the solar wind in the 209 formation of the water bearing materials?" Understanding source, accumulation and loss 210 mechanisms for lunar water are of fundamental importance for general planetology as well as the 211 physics and chemistry of surface-space-volatiles interactions.
- In addition to the local source, there are discussions on the water vapor transport from the equatorial regions to the polar cold traps via the exosphere (*Hodges 1991; Crider and Vondrak*,

SELMA: How do airless bodies interact with space environment?

2002). The key question is "how do exospheric gases affect the abundance of water bearing
 materials and vice versa?"

216 The distribution of hydrogen-bearing materials inferred from the neutron spectrometer 217 measurements differs from the one imaged by M3 in NIR (3 µm) band-depth (linked to OH/H<sub>2</sub>O 218 abundance). The signal observed by the neutron spectrometer comes from about the first meter 219 below the surface, whereas the NIR measurement is from the top micrometers of a grain. That 220 implies that the M3 detection of OH/H<sub>2</sub>O species is distinctly surface-correlated, i.e., linked to 221 the upper few micrometers of the lunar regolith, but not significantly deeper. The above 222 mentioned infrared studies showed evidence of OH/H2O at non-permanently shadowed regions, 223 and even at lower latitudes, seemingly more correlated to surface temperature, with daily 224 variations (McCord et al., 2011; Li and Milliken, 2017). This result is controversial since 225 theoretical studies were struggling to explain water accumulated in cold traps over long time-226 scales, whereas these observations indicated a much more rapid and transient process. While it 227 was pointed out that the infrared observations were highly uncertain at lower latitudes (higher 228 temperature, increasing thermal emission) and could be an instrumental effect (Clark, 2009), the 229 high temporal variability and shallow deposits strongly suggest that solar wind impact plays a key 230 role in water formation on the Moon. FUV observations from LAMP corroborate the diurnal 231 variability of surface hydration (Hendrix et al., 2012). The key question is "how variable are the 232 abundances of the  $OH/H_2O$  bearing materials and how is the variability related to the plasma 233 and neutral gas environment?"

234 Because the hydrogen at the lunar surface should be saturated (and result in an equilibrium state 235 between implantation into the grain and loss by diffusion from the grain), the solar wind protons 236 are implanted in several molecular layers into grain surfaces (Load, 1968) or diffuse to the 237 exosphere, escaping to space in a form of atomic neutral H (Hodges, 2011) or molecular 238 hydrogen (Hurley et al., 2017), or being trapped in the permanently shadowed regions as H<sub>2</sub>O 239 (Crider and Vondrak, 2000). The balance of hydrogen near the surface has been revealed to be 240 highly controversial against that of laboratory experiments. A high amount of hydrogen is 241 scattered back directly from the lunar surface in contrast to the lab experiments and numerical 242 simulations. Approximately 20% of the solar wind protons are reflected back to space as neutrals 243 with energy > 30 eV (Wieser et al., 2009a; Futaana et al., 2012; Vorburger et al., 2013), 10-244 50% as H<sub>2</sub> molecules (Hurley et al., 2017) and ~0.1–1% as positively charged ions (Lue et al., 245 2014). Theoretical and numerical interaction models between plasma and lunar regolith, from the 246 solar wind to the final fate, have not yet been established. The key question is "how does the 247 solar wind hydrogen interact with the lunar soil?"

248 The LCROSS mission investigated the plume caused by the impact of an upper stage rocket into 249 the Cabeus crater close to the lunar South Pole, and observed the water absorption line in the 250 infrared spectrum as well as an ultraviolet emission from hydroxyl radicals, indicating a mass 251 fraction of water in the ejected regolith of 5.6±2.9% (Colaprete et al., 2010). The neutron spectrometer on LRO indicated 0.5-4.0% water ice by weight near the LCROSS impact point 252 253 (Cabeus), while the water signatures spread to the sunlit region in the vicinity of the crater 254 (Mitrofanov et al., 2010). The Lyman Alpha Mapping Project (LAMP) ultraviolet spectrograph 255 on LRO indicated the presence of about 1-2% water in the permanently shadowed Haworth 256 crater, yet not in the equally shadowed Shoemaker crater, situated just next to it (Gladstone et al., 257 2012). Reported different detections of water ice in different experiments could relate to the 258 depth of the water ice depth. Lunar dusts at the Polar regions could cover the water ice, changing 259 its depth structure. The key question is "how much is the water buried in the soil of the 260 permanently shadowed region and what is its isotope composition?"

#### 261 2.1.1 SELMA measurements (water)

#### SELMA: How do airless bodies interact with space environment?

262 In order to quantify the solar wind contribution to formation of water bearing materials on the 263 lunar surface, global characterization of the solar wind proton flux at the surface (not at the spacecraft) together with coordinated measurements of the abundance of water bearing materials 264 265 at surface will be conducted. To differentiate the source of water (solar wind origin or 266 micrometeoroid/cometary origin), a monitoring of dust exosphere (see also Section 2.4) and 267 impact flash measurements is conducted simultaneously. The synthetic, coordinated 268 measurements of in situ and remote sensing measurements are the unique point of SELMA. 269 Isotope composition, in particular for D/H ratio provides direct information on the source of the 270 water at the surface. In addition, thorough measurements at the permanently shadowed regions 271 are conducted to understand if and how the solar wind has direct access to these regions. SELMA 272 undergoes an impact experiment at the end of the mission. An artificial impact into a permanently 273 shadowed region produces a vapor plume and the density and isotope composition of the vapor 274 are made. The information will directly address the source of the water in the cold trap. In 275 addition, the exosphere effects on water bearing minerals are characterized. For this, exospheric 276 gas composition and water-bearing material mapping are correlated. The influences of temporal 277 variations of the environment (both plasma and neutral exosphere) on water bearing-materials are 278 investigated. Long-term correlations between plasma, neutrals, and water-bearing materials at the 279 surface are then taken. Repeated measurements in the Earth's magnetotail (~6 days every one 280 Moon day) help to study the long-term correlation because the solar wind plasma is absent inside 281 the magnetosphere.

282 Overall, these scientific investigations call for the following measurements: Coordinated 283 mappings of the water-bearing minerals (in IR and UV range, like Pieters et al., 2009 and 284 Gladstone et al., 2012) and solar wind flux at the lunar surface (Futaana et al., 2012). The spatial 285 resolution of 5 km (typical crater size) for optical (IR and UV) measurements, and that of ~10 km 286 (typical ion gyro radius) for ENA is desired. In addition, environment monitoring is of 287 importance, namely, recordings of upstream solar wind flux (at the spacecraft), the exospheric 288 composition and density, as well as context imaging in the visible range. SELMA further 289 investigates the surface processes and the hydrogen balance by characterizing the scattered 290 hydrogen species in all charge states. Fluxes of H<sup>+</sup>, H<sup>-</sup>, and the neutral H flying from the lunar 291 surface are thus required to be measured. The measurement of the isotope ratios in the exospheric 292 gas and in the impact plume are also a key.

- 293 These measurements entail the following instrumentations.
- Spectral imaging in the IR (hydroxyl and water absorption features in the wavelength range 0.4–3.6 μm) and UV (Lyman-α (121.567 nm) and water features (130–190 nm) with spatial resolution <5km.</li>
- Energetic neutral hydrogen flux in the energy range 10s-a few keV with angular resolution of 5°.
- Solar wind proton (0.1–10 keV) with 30% accuracy of density and velocity.
- $\begin{array}{rcl} 300 & & \mbox{High mass resolution (M/$\Delta M$ > 1000) mass spectroscopy of exospheric gasses to} \\ 301 & & \mbox{determine the isotope ratio.} \end{array}$
- 302 Visible camera with FoV  $60^{\circ} \times 30^{\circ}$  to monitor the impact flash
- 303 Dust monitoring to estimate the incoming micrometeoroid flux
- Hydrogen flux in the energy range a few eV–a few keV for all the charge states separated.
- 305 In addition, the following instrumentations for the impact experiment are requested.

- 306 A mass of 10 kg projectile, with mass spectrometer ( $M/\Delta M > 1000$ ) flying through the 307 plume.
- 308 Context imaging in the visible range during the impact with spatial resolution <100 m.

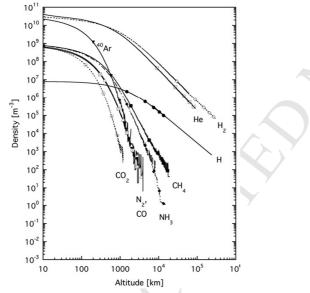
#### 2.2 How do the "volatile cycles" on the Moon work? 309

The lunar exosphere is a key region to understand the water (volatile) cycle at the Moon. 310 311 Transport of gases within the exosphere is central to the lifecycle of lunar volatiles: it connects 312 the production of volatiles at the surface (by solar wind impact or release by micrometeoroid 313 bombardment), and their sink (photolysis, escape to space or transport to poles). The LADEE, 314 LRO, and ARTEMIS missions have greatly advanced our understanding of the composition and 315 structure of the tenuous lunar exosphere, yet key exospheric constituents such as water and OH remain poorly understood more than forty years after the first Apollo landing. 316

317 Very low number densities for the lunar exosphere (Figure 2) make the observations difficult.

318 The multiplicity of the mechanisms responsible for the input and loss of species in the exosphere

- 319 presents modeling challenges. These mechanisms include ion sputtering, photon stimulated 320 desorption (PSD) and micro-meteoroid impact vaporization resulting in inputs to the exosphere,
- 321 as well as photo-ionization, surface adsorption and escape to space from the lunar gravity field
- 322 (e.g. Stern, 1999; Wurz et al., 2007, 2012).



324 Figure 2: Density profiles in the lunar exosphere for volatile species on the dayside (at a surface temperature of 400K) based on measurements or upper limits (Heiken et al., 1991; Stern, 1999;

- 325
- 326 Killen and Ip, 1999). Figure is from Wurz et al. (2012).

323

327 Post-Apollo experimental work focused on the neutral sodium (Na) and potassium (K) components of the lunar exosphere, as these can be relatively easily studied from Earth by 328 329 telescopes (Potter and Morgan, 1988, 1998; Flynn and Mendillo, 1993). The behavior of Na and 330 K is, however, not representative for most of the other species because these two elements are 331 mostly influenced by meteoritic influx and photon-induced desorption (Sprague et al., 1992; 332 Wurz et al., 2007).

333 The composition of noble gases in the lunar exosphere, measured by the Apollo LACE 334 experiment and additionally inferred from studies of gas trapped in lunar regolith samples 335 brought to Earth indicated that species such as helium (He) are dominated by a solar wind source, 336 but with additional contributions probably from the interior of the Moon (Hodges Jr. and

SELMA: How do airless bodies interact with space environment?

Hoffman, 1975; Wieler et al., 1996). Both LADEE and LAMP observations confirm the solar
wind source; they are halted when the Moon is in Earth's magnetotail (*Feldman et al., 2012; Cook and Stern, 2014; Hurley et al., 2016; Grava et al., 2016*). Because the solar wind impinges
on the lunar surface with energies of about 1 keV / nuc H, He and other solar wind species are
absorbed in the surface material (in the regolith grains and rocks) and are trapped. A fraction of
the noble gases is subsequently released to become part of the lunar exosphere (e.g., *Hinton and Taeusch, 1964; Johnson, 1971; Hodges, 1973*).

- 344 The flux of heavier, more refractory, elements to the lunar exosphere is dominated by ion 345 sputtering and micrometeoroid impact vaporization (Wurz et al., 2007). In this case, the lunar 346 surface material will be the primary source reservoir for elements in the lunar exosphere such as 347 Si, Ti, Al, Fe, Mg, Ca, and O, of which only O has been observed directly (Cook et al, 2013; 348 Vorburger et al., 2014). However, pickup ions of refractory elements of lunar origin have been 349 identified in the solar wind (Kirsch et al., 1998; Mall et al., 1998). Based on modeling (Wurz et 350 al., 2007), the expected densities of several key elements remain several orders of magnitude 351 lower than present upper limits.
- 352 Recent measurement by LADEE has identified several neutral species in the exosphere (Benna et
- *al.*, 2015), as well as ionized species (*Halekas et al.*, 2015). Neutral He contents are controlled by the solar wind alpha particle supply in addition to rather constant endogenous source, i.e., radioactive decay from the lunar interior (*Benna et al.*, 2015). Ne was discovered over the
- 356 nightside. A localized enhancement of Ar at a specific selenographical region has also been
- 357 reported (Benna et al., 2015).
- 358 Species detected in the lunar exosphere are the volatile species CH<sub>4</sub> (*Hodges*, 2016), N<sub>2</sub>, CO<sub>2</sub>, He,
- 359 NH<sub>3</sub>, H<sub>2</sub> (Stern et al., 2013), Ne, and Ar. Ionized species, H<sub>2</sub><sup>+</sup>, He<sup>+</sup>, C<sup>+</sup>, O<sup>+</sup>, Na<sup>+</sup>, Al<sup>+</sup>, Si<sup>+</sup>, K<sup>+</sup>, Ar<sup>+</sup>, 360 Ca<sup>+</sup> and Fe<sup>+</sup>, have also been detected (*Halekas et al., 2013*), providing proof of the existence of 361 the neutral counterpart in the exosphere (Hartle and Killen, 2006). Their densities sum up to a total density of about  $2 \cdot 10^5$  cm<sup>-3</sup> at the surface (*Wurz et al., 2012; Stern, 1999*). In contrast, from 362 the observation of the large electron content in the lunar ionosphere, which is still debated 363 364 (Imamura et al., 2010), one would infer the total neutral density to be at least a factor 10 higher 365 (Stern et al., 1999). Alternatively, it has recently been suggested that the dust in the lunar 366 exosphere (Horányi et al., 2015) might be the source of these electrons (Stubbs et al., 2011; 367 Szalay and Horányi, 2015). The lack of measurement in the high-latitude regions during the 368 LADEE mission and the earlier Apollo missions makes it difficult to discuss the localized 369 internal source of neutrals. A global exospheric density mapping will be needed. The SELMA 370 mission will "fully characterize the lunar exosphere".
- The exospheric populations at any moment are given by the strength of the release compared to the loss processes for each species and the population of the species in the lunar exosphere shows temporal variability. The transport cycle in the whole system contributes to the variability. Looking locally, the exospheric composition is determined through balances of atoms and molecules being released from the surface, which can be lost through their escape from the lunar gravity field or transported back to the surface.
- 377 Some of the release processes are driven by the Sun, i.e., the thermal release (Stern, 1999), 378 photon dissociation desorption, and solar wind sputtering (Hinton and Taeusch, 1964). These 379 mechanisms will introduce clear daily variations in the lunar exosphere (Hodges and Johnson, 380 1968). For example, He and Ne follow the exospheric equilibrium for non-condensable gasses 381 with surface temperature (Benna et al., 2015; Hurley et al., 2016). The contribution of each 382 mechanism to the source of the exosphere has not been identified. Although the solar conditions 383 (photon flux, heat flux) are rather constant, unique opportunities can happen during lunar eclipses 384 (Mendillo and Baumgardner, 1995; Potter and Morgan, 1998). The key question here is "how is

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385 the lunar exospheric content related to the surface illumination conditions and sources due to 386 photon desorption?"

387 Because of the variable conditions in the solar wind and the existence of the Earth's magnetotail, 388 where the Moon spends 25% of its time near the full moon period, the exosphere has also shorter 389 time-scale variation than a lunar day. Inside the magnetosphere, where no solar wind plasma 390 precipitates, one can expect a change of the plasma production mechanism (Wilson et al., 2006), 391 resulting in a change of the exospheric composition. The exospheric He contents was found to 392 follow the solar wind variation, with an assumption of 4.5-day thermal desorption time constant 393 (Benna et al., 2015). The global characteristics change with the upstream conditions, which 394 address the source and loss mechanisms for the exosphere as a whole, and thus for each species. 395 The key question is "how is the lunar exosphere content related to the plasma environment and 396 sources due to surface sputtering?"

397 Micrometeoroids are another potential source of the lunar exosphere. Such impacts were 398 scientifically first identified only in 1999 as pinpoint flashes (Bellot Rubio et al., 2000). The 399 inflow flux is homogeneous over the surface and will thus add a constant influx of material to the 400 lunar exosphere. Enhancement of the influx during meteor showers will cause a temporal increase 401 in the exosphere of some species (Hunten et al., 1998) as well as the occasional impact of a larger 402 meteoroid on the surface will be observable directly (Mangano et al., 2007). Recently, NMS on board LADEE spacecraft examined the exospheric species changes resulting from Chang'E 3's 403 404 landing on 14 December, 2013. No large effects on the exosphere by the exhaust materials were 405 detected (Elphic et al., 2014). This could be due to the long time difference and the distance 406 between the measurement place and the landing side (after 30 minutes, LADEE passed the 407 nearest point to the landing site with a separation of 1300 km). In addition, the three primary 408 constituents of the exhaust plume fall in mass channels that have high instrumental background 409 levels. The spatial and temporal variations in the exospheric characteristics are the key to 410 understand its circulation. Therefore, the key question here is "how is the lunar exosphere content 411 related to impact events and sources due to impact vaporization?"

412 The exospheric particles will be lost from the system on specific time scales. Loss mechanisms 413 include surface adsorption or attachment (including those in the cold trap), and escape to space 414 (including direct escape, thermal (Jeans) escape, and via the photo-ionization). Surface 415 attachment is directly associated to the reservoir of volatiles, in particular for the cold traps. The 416 direct escape (when the particles have more speed than the escape speed of 2.4 km/s, on their 417 generation) depends on the source mechanisms. Ion sputtering or scattering may result in direct 418 escape for lighter particles (Thompson, 1968; Wurz et al., 2007), but the photon-stimulated 419 desorption or thermal desorption can hardly produce such high energy particles. The thermal 420 escape might work only for lighter species such like hydrogen or helium (Killen et al., in Press). 421 For most of the heavier particles, the escaping mechanism is via the photo-ionization. The 422 SELMA mission aims to understand "the sinks of the lunar exosphere."

### 423 **2.2.1 SELMA measurements (exosphere)**

424 A full characterization of the lunar exosphere will be conducted by the determination of its 425 composition. The composition will be surveyed globally, including the polar regions. Global 426 maps, as well as the altitude profiles of 30–200 km, of the abundances of all main components (H, H<sub>2</sub>, He, O, OH, Ne, Na, Ar, K, Ca, CH<sub>4</sub>, N<sub>2</sub>, CO, CO<sub>2</sub>, NH<sub>3</sub>, Kr, Xe) are produced. To separate 427 the isotope ratio of the order of  $10^{-4}$  between  $_{16}$ O and  $_{17}$ O (in the solar system; Yurimoto et al., 428 429 2007), M/ $\Delta$ M>1000 is desired. The investigation of the solar-photon induced exospheric 430 composition will be addressed by measurements of the temporal (daily to monthly) variability of 431 the lunar exospheric compositions. Indeed, a unique opportunity occurs during the lunar eclipses, 432 when the flux of solar photons is completely shut off owing to Earth's shadow. Another temporal

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433 variation of the exosphere could be induced by the plasma, depending on where the Moon is 434 located (in the solar wind or in the Earth's magnetosphere). To evaluate the plasma contribution 435 to the exospheric content, the correlation between the variations in local plasma conditions and 436 the exospheric characteristics is investigated by coordinated measurements of the plasma and 437 exospheric gas. To quantify the composition of the plasma, separation of K<sup>+</sup> and Ca<sup>+</sup> is aimed 438 (Yokota et al., 2005), corresponding to M/ $\Delta$ M~80. To investigate impact vaporization as a source 439 of the exospheric material, the correlation between lunar exosphere variations and impact events 440 is explored. Thus, impact events should be measured together with the exospheric gas density. 441 The sink for exospheric species is investigated by measuring escaping particles. The fluxes of the 442 escaping ions (non-thermal) and neutrals (thermal) are quantified to derive the escaping flux from 443 the exosphere to space. Measuring the exospheric ions and neutral species in a wide altitude

- 444 range is a key measurement for this investigation.
- 445 These measurements ask for the following key instrument characteristics.
- $\begin{array}{rcl} 446 & & \text{Continuous measurements at high mass resolution (M/\Delta M>500) of the composition of exospheric gasses. \end{array}$
- 448 Ion and electron fluxes in the energy range of 10s eV–a few keV.
- 449 Ion mass composition  $(M/\Delta M > 80)$  from the Moon in the energy range of 10 eV-20 keV 450 with moderate (25%) energy resolution
- 451 Measurements of light flashes from 100 g meteoroids

### 452 **2.3 How do the lunar mini-magnetospheres work?**

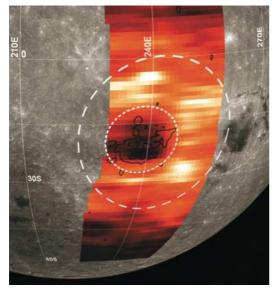
453 The Moon currently does not have a global dipolar magnetic field, as would be generated by a 454 liquid metal core dynamo. However, the Moon has localized crustal magnetizations of up to a 455 few 100 nT called magnetic anomalies. These anomalies, first discovered by Apollo 12 (Dval et 456 al., 1970), are spread over the whole surface, mostly clustered on the lunar far side (Richmond 457 and Hood, 2008). They were probably formed early in lunar history when the dynamo was still 458 operating (Purucker et al., 2012). Alternatively, some of the remnant magnetization may be from 459 transient magnetic fields generated during large impact events, through the expansion of an 460 impact-generated plasma cloud in the presence of an ambient magnetic field. This is supported by 461 the apparent location of the largest magnetic anomalies near the antipodes of the giant impact 462 basins (Hood et al., 1991; Wieczorek et al., 2012).

463 The interaction of the magnetic anomalies with the solar wind is of special interest for plasma 464 physics, because it occurs over multiple scales, namely from the fluid scale (plasma can be 465 described as a fluid, on scales larger than the ion scale, >100 km) to the electron scale (electron 466 kinetics are important, <100 m). The effects of magnetic anomalies on the solar wind were 467 detected during the Apollo era as an increase of the solar wind magnetic field caused by the 468 increase of the solar wind density above the anomalies (Russell and Lichtenstein, 1975). 469 Although some numerical studies have been conducted, due to the very small scale (altitude scale 470 is ~10 km, which is significantly smaller than the ion scale in the solar wind) and lack of lunar 471 missions for long time after Apollo, no detailed studies had been conducted until 1990s. Electron 472 and magnetic field data from Lunar Prospector over an area of clustered magnetic anomalies at 473 the Imbrium antipode, indicatively showed signatures of a bow shock (Lin et al., 1998) and 474 plasma void (Halekas et al., 2008). It was concluded that a mini-magnetosphere was formed. 475 Such mini-magnetosphere reduces the flux of the solar wind precipitation on the lunar surface as 476 shown in Figure 3 (Wieser et al., 2010; Vorburger et al., 2012; Futaana et al., 2013). The 477 formation, structure and characteristics of the mini-magnetosphere are dominantly controlled by 478 the magnetic field orientation (Deca et al., 2015; Fatemi et al., 2015). In particular, due to the

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479 lack of the measurement of magnetic field at the surface, we do not know whether the horizontal

- 480 field plays a more important role than the vertical field (*Wang et al., 2013*) or vice-versa (*Poppe*
- 481 *et al.*, 2016). Overall, the interaction between the magnetic anomaly and the solar wind is highly
- 482 controlled by the magnetic field geometry. SELMA aims at establishing "the structure and
- 483 topology of the magnetic field at the surface"



484

485 *Figure 3: Formation of mini-magnetosphere proven by energetic neutral atom measured by* 

- 486 Chandrayaan-1/SARA instrument. The flux of the emission is proportional to the solar wind flux
  487 at the lunar surface. The magnetic anomaly influences the solar wind flux close to the surface
- 488 (below the spacecraft). Figure is from Wieser et al. (2010).

489 Near the magnetic anomaly (and most likely inside the mini-magnetosphere), Lunar Prospector

observed increases in low-energy (<100 eV) electron fluxes simultaneously with large magnetic</li>
 field amplifications, which is consistent with an increase in plasma density across a shock surface.

- 492 Low frequency wave activity in the magnetic field data (both broadband turbulence and 493 monochromatic waves) was often associated with electron energization, sometimes up to keV
- 494 energies.
- 495 Due to the small size of the magnetic anomalies, the mechanism deflecting the solar wind is not
- 496 well understood (Kallio et al., 2012). Likely, the protons are affected by the ambipolar electric
- field, which is set-up by the charge separation between magnetized electrons and non-magnetized
- 498 protons (*Saito et al., 2012; Futaana et al., 2013*) or by the Hall electric field due to the different
- 499 motions between the ions and electrons (*Järvinen et al., 2014*). In addition, at the lunar surface, 500 surface potentials are formed due to the balance between plasma currents and photoelectron
- 501 currents (*Vondrak, 1983*). The photoelectron emission from the surface also influence in the 502 electron scale environment near the surface via an electric potential formation (*Poppe et al.*,
- 503 2016). In summary, the electrons play significant roles in the interaction region. SELMA
- 504 establishes "the mechanisms creating small-scale plasma depletions and deceleration of the
- 505 electrons and ions associated with mini-magnetospheres."

506 Kaguya and Chandrayaan-1 have also conducted in situ measurements of particles and fields 507 above the anomalies. Proton deceleration and electron heating were observed from 100 km down 508 to few 10s km (*Saito et al., 2012*). The solar wind proton flow deviation above anomalies is 509 detectable up to 100 km altitude and occurs over large areas because the anomalies affect the 510 solar wind in a coherent way (*Lue et al., 2011*). Over the strongest anomalies up to 50% of the

511 solar wind flux is deflected. This significant flux of the deflected protons causes a change in the

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512 morphology in the interaction (*Fatemi et al., 2014*) by creating a large-scale disturbance of the

- 513 solar wind, and trajectories that allow protons to reach the lunar wake and the nightside surface.
- 514 The key question is "how does the magnetic anomaly affect the solar wind on the global scale."

515 The mini-magnetospheres associated with the anomalies show strong variability with solar wind 516 conditions (Vorburger et al., 2012). For example, a mini-magnetosphere associated with an 517 isolated magnetic anomaly close to the Gerasimovich crater shows clear response to the solar 518 wind dynamic pressure. Most other magnetic anomalies (more than half) on the lunar surface 519 have more small-scale features in their magnetic field and do not show such a clear correlation 520 with the solar wind conditions demonstrating a very complex interaction. Lunar Prospector also 521 observed the variability of the anomaly effects on the solar wind depending on the solar wind 522 conditions and solar zenith angle due to the geometrical changes in the solar wind dynamical 523 pressure. Theories and simulations support these observations (e.g. Fatemi et al., 2015; 524 Zimmerman et al., 2015; Deca et al., 2015). The variability of the solar wind flux reaching the 525 surface does affect the proton implantation rate. The key question is "how do the properties of 526 mini-magnetospheres vary with solar wind conditions?"

527 It was noticed that many of the magnetic anomalies correlate in location with specific albedo 528 features on the surface, called swirls. It was suggested that this is a manifestation of the space 529 weathering effect (Hood et al., 2001). Recently, alternative ideas link the differences in albedo 530 with the redistribution of lunar dust, which is charged and thus governed by magnetic and electric 531 fields set up by the interaction between a magnetic anomaly and the solar wind (Garrick-Bethell 532 et al., 2011). The key investigation is "the long-term effects of magnetic anomalies on the local 533 surface." The alternation of the impinging solar wind pattern influences the distribution of the 534 volatiles close to the magnetic anomaly.

### 535 2.3.1 SELMA measurements (mini-magnetosphere)

536 SELMA characterizes the topology of the magnetic field down to the lunar surface. All 537 components of the magnetic field vectors are measured. To establish the small-scale plasma 538 depletion and deceleration mechanisms, ions, electrons and, electromagnetic waves inside the 539 mini-magnetosphere are also measured. The 3-D velocity distributions of ions and electrons (with 540 energy 10s eV-a few keV) are measured simultaneously with the electromagnetic waves to 541 evaluate the dynamics of the environment. These measurements should be conducted very close 542 to the surface down to the electron scale ( $\sim 100$  m). For this investigation, the high time resolution is a key: 0.05 s for fields and 0.5 s for particles. To do this, SELMA is equipped with an impact 543 544 probe, SIP-MA, which will be released to the Reiner Gamma region.

545 On the other hand, to investigate the global impact of magnetic anomalies on the upstream solar 546 wind characterization of the keV-energy plasma together with knowledge of the local magnetic 547 field is requested. This should be achieved by in situ measurement of 3-D proton and electron 548 velocity distributions and magnetic field. The solar wind impact on the mini- magnetosphere 549 structures can be addressed by energetic neutral atom imaging (Vorburger et al., 2012) combined 550 with in situ solar wind monitoring. This measurement requires the ENA imaging with a 10 km 551 resolution because the typical size of the mini-magnetosphere is 100 km. Simultaneously, the 552 solar wind parameters should be obtained. These measurements are conducted by the SELMA 553 orbiter with its altitude coverage of 30-200 km.

The study of long-term effects of mini-magnetosphere on the lunar surface, interdisciplinary measurements are coordinated, namely, SELMA combines remote sensing in the wavelength of IR, VIS, and UV ranges together with in situ plasma measurements. Assessment of the lofting dust contribution to the changes in the swirls is also investigated. These interdisciplinary studies are enabled by systematic coordination among the impinging solar wind flux, albedo in the visible range,  $OH/H_2O$  related spectra, and local dust measurement (>0.3 µm).

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- 560 These measurements entail the following key instrument characteristics for the SELMA orbiter.
- Total ion and electron fluxes in the energy range of 10s eV–a few keV.
- 562 Solar wind proton (0.1–10 keV) with 30% accuracy of density and velocity.
- Magnetic field vector ranging from 0.1 nT to 5000 nT with an angular accuracy <10°.
- Energetic neutral atom for the surface imaging with energy of 100 eV-3 keV, with spatial resolution <10 km at the surface.</li>
- Visible surface image with resolution <1 km at the surface.
- 567 In addition, the impact probe instrumentations should comply with the followings.
- 568 Magnetometer >40 Hz with accuracy of  $\Delta B < 1 \text{ nT}$  (or  $\Delta B/B > 1\%$ ) for 1–2000 nT.
- 569 Ion and electron spectrometer in the energy range of 10 eV–a few keV with a time resolution of 0.5s.
- 571 Electric field measurements in the frequency range 1 MHz down to DC.

### 572 2.4 What is the influence of dust on the lunar environment and surface?

573 The lunar dust environment is expected to be dominated by sub-micron dust particles (Horányi et 574 al., 2014). These particles originate from highly weathered lunar regolith. The typical grain size 575 range is from centimeter scale to submicron scale (Heiken et al., 1991). During the Apollo era, 576 so-called horizontal glow was unexpectedly discovered by astronauts in orbit. It is caused by 577 scattered sunlight, appearing at the terminators (e.g. McCoy and Criswell, 1974; Rennilson and 578 Criswell, 1974). This scattered light has been believed to be due to lofted dust, most likely 579 ejected by strong electrostatic forces in the vicinity of the lunar terminator (Criswell, 1973; 580 McCoy and Criswell, 1974; Rennilson and Criswell, 1974; Berg et al., 1976; Zook and McCoy, 581 1991). Modeling efforts suggested a significant dust exosphere (typical grain size of 0.1 µm) over 582 the terminator region, extending to altitudes above 100 km, with an integrated column density of 10<sup>-10</sup> kg/m<sup>2</sup>. (McCoy 1976; Zook and McCoy 1991; Murphy and Vondrak, 1993). The 583 electrostatic forces that make the dust grains lofted have been discussed (Stubbs et al., 2006 and 584 585 reference there in), but no firm conclusion has been provided. Recent fully kinetic calculations 586 show the effect of electric fields on the lunar dust populations (Dyadechkin et al., 2015; Kallio et 587 al., 2016). One of the main difficulties in the discussions about the dust environment is the lack 588 of the in situ measurements of dust grains.

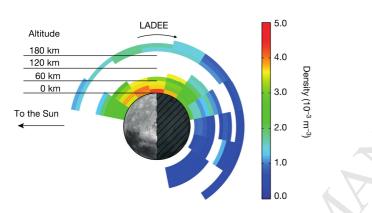
589 The first attempt to observe the lunar ejecta cloud by the Munich Dust Counter on board the 590 HITEN satellite orbiting the Moon (15 February 1992 to 10 April 1993) did not succeed, owing 591 to its distant orbit and low sensitivity (Iglseder et al., 1996). The Lunar Dust Experiment (LDEX) 592 onboard NASA's Lunar Atmosphere and Dust Environment Explorer (LADEE) began its 593 measurements on 16 October 2013 and detected a total of approximately 140,000 dust hits in the 594 altitude range of 1-250 km during about 80 days of cumulative observation time out of 184 total 595 days by the end of the mission on 18 April 2014 (Elphic et al., 2014). LDEX was designed to 596 explore the ejecta cloud generated by sporadic interplanetary dust impacts, including possible 597 intermittent density enhancements during meteoroid showers, and to search for the putative 598 regions with high densities of 0.1-µm-scale dust particles above the terminators (Horányi et al., 599 2014). Due to the limitation of the orbiter trajectory, only the equatorial region  $(\pm 22^{\circ})$  from the lunar equator) was explored. Therefore, SELMA will complete the emerging picture, "fully 600 601 characterizing the missing portions of the lunar dust environment."

602 There are two main mechanisms for maintaining the lunar dust cloud: 1. ejecta production by 603 continuous bombardment of interplanetary dust particles; and 2. the putative electrostatic lofting

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of surface materials. The Moon is continually bombarded by interplanetary dust, liberating orders
of magnitude more solid ejecta than the impacting particles. Only a small fraction of the impact
generated ejecta particles escape lunar gravity, and most of them follow ballistic orbits and form
a gravitationally bound dust exosphere around the Moon. IDPs are delivered to the Moon at a rate
of ~5000 kg/day (*Grün et al. 1985*). Their characteristic radius is ~100 µm with typical speeds of
20 km/s (*Taylor 1996*). Those ejected particles form the dust cloud (*Grün et al., 2011*). The lunar
dust cloud was first observed by LDEX (*Horányi et al., 2015; Figure 4*). Ejecta clouds were also

- 611 observed by the Galileo mission during flybys of the icy moons of Jupiter: Europa, Ganymede
- 612 and Callisto (*Krueger et al.*, 2000).
- 613
- 614



615

- 616 Figure 4: The top-down view of the dust particle density ( $a > 0.3 \mu m$ ) projected onto the lunar
- 617 equatorial plane. While pointed near the direction of the motion of the spacecraft, LDEX did not
- 618 make measurements between 12 and 18 local time. White coloring indicates regions where
- 619 LADEE did not visit or was not set up for normal operations. Figure is from Horányi et al.
- 620 *(2015)*.
- 621

522 Strong temporal variability of the dust density was observed by LDEX on LADEE, most likely 523 associated with the stochastic nature of the meteoroid impacts. Moreover, intermittent density 524 enhancements were also observed during several of the annual meteoroid streams (*Horányi et al.*, 525 2015). Clear spikes in the dust impact rate during the well-known meteor shower (for example by 526 Taurids and Geminids) were detected. On the other hand, the rendezvous of LADEE with 527 Chang'E-3 landing (*Elphic et al.*, 2014) did not provide any clues of dust signals. It is very 528 unlikely to be observed because the separation between the two spacecraft was too large.

629 Nevertheless, LDEX measurements during intense meteoroid showers indicated strong 630 correlations with dust influx. However, the quantitative assessment between the dust cloud and 631 the impact flux could not be fully characterized based on LDEX measurements alone. In addition, 632 the question of what is the direct influence by a relatively large impact to the dust cloud still 633 remains. The key question is *"how do the impact events affect the lunar dust environment?"* 

Another putative source mechanism for high altitude lunar dust is electrostatic lofting. UV radiation and/or solar wind plasma near the surface is expected to induce intense electric fields near the terminator region (*Sternovsky et al., 2008*). The electric field may loft dust particles with characteristic radii of ~0.1 $\mu$ m (*McCoy and Criswell 1974; McCoy 1976*). This phenomenon is preferably occurring near the terminator region, where the sunlit and shadow boundary exists. The effect of varying surface potentials because of shadowing on the lofting of lunar dust was shown in recent simulations (*Dyadeschkin et al., 2015*). Due to the different illumination

### SELMA: How do airless bodies interact with space environment?

641 conditions, the surface potentials may differ (Vondrak, 1983), and strong electric fields are

- 642 expected to exist. Due to the coincidence of the strong electric field potentials and the scattered
- sunlight measured by Apollo astronauts, the lofted dust particles are assumed to be responsiblefor the horizontal glow.

645 However, the processes involved remain controversial. Observations by the start tracker camera onboard the Clementine (Glenar et al., 2014) and the LAMP instrument onboard the LRO 646 647 (Feldman et al. 2014) indicate the upper limits for the density of the high altitude dust exosphere 648 lower than the previously reported densities. LDEX did not find any evidence for the expected 649 density enhancements over the terminators (Horányi et al., 2015). Overall, no observations (other 650 than the indirect evidence of horizontal glow) have successfully supported the existence of lofted dust. As of yet, unknown conditions or UV illumination and/or plasma exposure may be 651 652 important to generate electrostatic dust lofting. The key question is "how might plasma effects 653 result in lofting the lunar dust?"

### 654 2.4.1 SELMA measurements (dust)

655 SELMA will be the first to fully characterize the global lunar dust environment. Correlations 656 between the variability of the global dust distribution and the exospheric profiles will be taken; 657 the investigation is realized by simultaneous time-series measurements of dust characteristics and 658 the exospheric composition and spatial profile. As the lunar dust environment is thought to be 659 dominated by submicron-sized dust, the dust size distribution down to 0.3 µm is measured. To 660 understand the origin of the lunar dust environment, correlations between impact events (both 661 natural bombardment of >100 g meteoroid or artificial impactor) and dust profiles are to be 662 investigated. Simultaneous measurements of the dust size distribution and monitoring of the 663 meteoroid impact are necessary. An artificial impactor of 10 kg would realize the measurement. 664 The correlation of the dust population with the plasma environment will also be investigated. It is realized by characterizing the dust environment while simultaneously monitoring the plasma 665 666 particle populations and electric and magnetic fields. Simultaneous measurements of the dust size 667 distribution and plasmas observations are thus conducted.

- 668 These measurements call for the following key instrument characteristics for the SELMA orbiter.
- 669 Dust flux >0.3  $\mu$ m (>10<sup>16</sup> g)
- 670 Electric field from DC–1 MHz
- 673 Measurements of light flashes from 100 g meteoroids
- 674 In addition, the following instrumentations for the impact experiment are requested.
- 675 A mass of 10 kg projectile into a known permanently shadowed crater.
- 676 Electron 3D distribution functions with time resolution of 0.5 s
- 677 Electric and magnetic field

### 678 **3 SELMA mission**

### 679 **3.1 SELMA mission overview**

The SELMA mission is designed to achieve the SELMA science objectives (Table 1). For this
purpose, the SELMA mission includes an orbiter, an impact probe SIP-MA, a passive impactor,
and a simple relay CubeSat (RCS) (Table 2). The SELMA orbiter is inserted into a low

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683 maintenance quasi-frozen orbit 30×200 km with the pericenter over the South Pole, similar to the

684 NASA Lunar Reconnaissance Orbiter (LRO) orbit. The lifetime is 15 months in order to cover all

the known meteor shower events. All instruments operate throughout the whole missions.

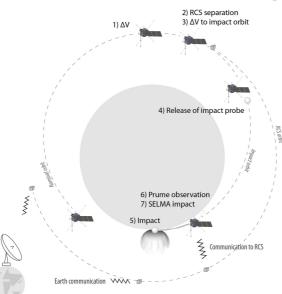
686 Table 2: SELMA mission elements and their time line and the lifetime

Elements	Elements Time line	
SELMA orbiter	Moon orbit insertion after 4–5 days after launch	15 months
SIP-MA	Impact probe experiment 6 months after launch	30 min.
Impactor (passive)	Impact experiment 15 months after launch	30 min
RCS	Impact experiment 15 months after launch	87 min

687 Two impact experiments are conducted during the mission. The first impact experiment is at six 688 months after the launch; the impact probe SIP-MA is released targeting the Reiner-Gamma magnetic anomaly region to address the "mini-magnetosphere" science. During the descent SIP-689 690 MA transmits the data to the SELMA orbiter. The lifetime of SIP-MA is ~30 min. The 691 requirement is that the experiment is done when the Moon is in the solar wind, and when the 692 solar zenith angle of the target magnetic anomaly is 40–60°. The primary target is the Reiner 693 Gamma area (Hood et al., 1979). This required geometric constellation is realized every month. 694 Therefore, there are no requirements of the experiment time. Here tentatively we assumed to 695 conduct the measurement 6 months after the SELMA launch.

696 The second impact experiment is for the direct measurement of the water content inside the 697 permanently shadowed region (for "water" science, as well as "exosphere" and "dust" sciences). 698 This is conducted at the end of the mission. The target is, as a primary candidate, the Shackleton 699 crater. The sequence is summarized in Figure 5. Two elements are released: a passive impactor 700 and a relay cubesat (RCS). The passive impactor targets the permanent shadowed region to make 701 an artificial impact. Prior to the impact, the SELMA orbit is changed to a circular lunar orbit 702 (CLO) of 400 km altitude (1) to increase the impact angle to 10°. After a few orbits, RCS, serving 703 as a data relaying satellite during the SELMA impact, is released with a low  $\Delta V$  (2). RCS closely 704 follows SELMA in its CLO. Shortly after the RCS release, SELMA performs a  $\Delta V$ -maneuver 705 targeting a point of impact in the Shackleton crater (3). Immediately after the impact maneuver, a 706 passive impactor is separated (4) to impact the surface >10 sec ahead of SELMA to create a 707 plume (5), which the SELMA orbiter investigates before the impact (6). The SELMA orbiter will 708 crash to the surface in the end (7). During the descent the science data are continuously 709 transmitted from SELMA the ground and to the RCS, still in its 400 km altitude orbit, that stores 710 the data on-board for later downlink to Earth. RCS is required to record the data when SELMA is 711 in the crater out of visibility from the ground and to back-up the data received during this critical 712 operation.

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### 713

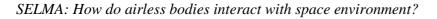
Figure 5: Impact experiment sequence to investigate a permanently shadowed region (not in scale).

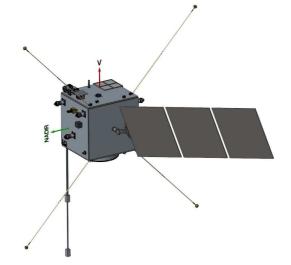
### 716 **3.2 SELMA mission elements**

### 717 **3.2.1** SELMA orbiter

718 The SELMA orbiter is designed to accomplish a majority of the scientific objectives. The orbit of 719 30-200 km is the primary requirement; the pericenter altitude was defined from the precise 720 imaging for "water" science as well as the in situ measurements needed for the "mini-721 magnetosphere" science. The pericenter latitude is at the South Pole, because of the concentrated permanently shadowed region at the South Pole, as well as the aggregation of the magnetic 722 723 anomalies in the southern hemisphere. The apocenter altitude was defined to enable monitoring 724 of the global meteoroid impacts (influencing "volatile cycles" and "dust" sciences) and to meet 725 the need for altitude profiles of the exospheric composition (for "volatile" sciences). The mission 726 length is for 15 months, which cover one full Earth year observation so that the mission covers all 727 meteor shower events, maximizing the opportunity of detecting impact events. The launch date is 728 flexible.

The SELMA orbiter is a 3-axis stabilized spacecraft. The SELMA orbiter hosts four remotesensing instruments and seven in situ instruments. These sensors are accommodated to conduct the coordinated observations; for example, the co-aligned remote-sensing sensor fields of view. All the sensors are operated continuously. Figure 6 shows the SELMA orbiter design. Four booms are deployed for the electric field measurements, and a single boom for the magnetic field measurements.





735

- 736 Figure 6: SELMA orbiter design. The SELMA orbite is a 3-axis stabilized platform, with a solar
- array with a 2-axis driving mechanism on one side of the bus in order to realize continuous
- 738 measurements over the one Earth year (mission lifetime). The remote sensing instruments are
- mounted in the nadir deck (green arrow in the figure), always pointing to the lunar surface,
- sharing the same boresight. In situ instruments are located either the nadir deck, ram deck (red

in the figure), or zenith deck (anti-nadir direction, not visible in the figure). Four long booms are

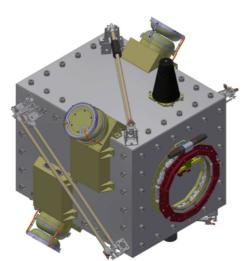
for the electric field measurement (Orchestra), and another boom is for magnetometer. The

743 block at the top-right corner is the SIP-MA (See section 3.2.2).

#### 744 **3.2.2 SELMA Impact Probe-Magnetic Anomaly**

745 Some of the scientific objectives for the "mini-magnetosphere" science require the very low 746 altitude measurement (0.1 km altitude) inside a magnetic anomaly. A dedicated impact probe is 747 thus launched from the orbiter. The impact probe, SELMA Impact Probe-Magnetic Anomaly 748 (SIP-MA), flies through the mini-magnetosphere to measure the plasma characteristics using very 749 high-time resolution instruments. After the measurement, SIP-MA will crash into the lunar 750 surface. The key region is below an electron gyroradius (0.1 km; 10 eV electron under 100 nT). 751 Figure 7 shows the SIP-MA mechanical design. Four booms for field measurements are deployed 752 after the separation. The measurements are conducted down to the altitude range below 0.1 km. 753 To descent from the altitude of 0.1 km to the surface to crash, SIP-MA typically takes 1-3 s 754 (assuming 1-2° of impact angle). Thus, 3-D proton and electron distribution with full angular 755 coverage within 0.5 s, together with DC electromagnetic fields are measured. Four in situ sensors 756 are accommodated (see Section 4 for details).

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757

- 758 Figure 7: SIP-MA mechanical design. Red-colored ring structure in the right is the separation
- ring. Four sensor heads (three are visible in this figure) are for the ion and electron
- 760 spectrometers to cover  $4\pi$  field of view together, and the four booms (two are visible) are
- 761 *deployed for electric (mini-EF) and magnetic (IPMAG) fields.*

### 762 3.2.3 Passive impactor:

763 To produce the enough material from the permanently shadowed crater for the impact experiment, 764 a passive impactor is prepared. It is a 10 kg copper sphere, with which Holsapple and Housen 765 scaling law model (http://keith.aa.washington.edu/craterdata/scaling/index.htm; Housen and 766 Holsapple, 2011) predicts ~700 kg ejecta. Assuming 6% water content in the permanently 767 shadowed crater (Mitrofanov et al., 2010), 40 kg (2000 mol) of water molecules are released. Assuming a 10 km scale plume ( $\sim 10^{18}$  cm<sup>3</sup>), the density becomes of the order of  $10^9$  cm<sup>-3</sup>. While 768 769 the water molecule content in the regolith is highly uncertain, and the assumed 6% is the highest 770 value ever reported: a 10 kg impactor produces  $10^3 - 10^4$  times higher density of water compared to the natural exosphere  $(10^5 - 10^6 \text{ cm}^{-3})$ . To have sufficient sampling time for the mass 771 772 spectrometer inside the plume, the spacecraft has to travel through the plume for longer than 10 s.

### 773 3.2.4 Relay CubeSat

A relaying cubesat, RCS, is released in order to receive the measured data by the SELMA orbiter during the last seconds. The received data will be transferred to Earth. RCS is required because the SELMA orbiter becomes invisible from Earth when it enters to the shadowed crater. RCS is a 6U cubesat, with S-band communication package and a simple camera to monitor the SELMA impact.

### 779 4 Science payload

Table 3 summarizes the proposed science payload and their key measurements, as well as the
required key performance to satisfy the SELMA science cases. Tables 4-6 show the summary of
payload performance.

- 783
- 784 Table 3: SELMA science payload and the required key performance. Contributions to the science
- 785 questions are also indicated by X (for major contribution) and x (for interdisciplinary
- 786 *contribution*)

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Full name	Key measurement	Key performance	Water	Exosphere	Mini- magnotocob	Dust
IR and visible spectrometer	H <sub>2</sub> O/OH/ice detection on the surface	Spectral range 400 – 3600 nm	Х		X	
Wide angle and transient phenomena camera	imaging, transient phenomenon detection, meteoroid	Visible range; FoV: 120°x60° Meteoroid mass: 10s g- 1 kg	x	x	X	Х
Moon UV imaging spectrograph	Surface UV spectroscopy	Spectral range 115– 315 nm	X	Х	Х	
ENA telescope	Backscattered hydrogen to monitor proton flux impinging the surface	Energy range 10 eV–3 keV. Ang. resol. < 10°	Х	Х	Х	
Lunar positive ion spectrometer	Positive SW ion distribution functions	Energy range 1 eV– 10 keV 3D coverage (2π)	Х	Х	Х	(x)
Positive ion mass spectrometer	Positive ion mass composition Secondary ion mass spectroscopy	Energy range 10 eV–1 keV 3D coverage (2π) M/q > 2 M/ΔM > 50	(x)	X	X	(x)
Lunar scattered proton and negative ions experiment	Scattered negative hydrogen and proton distribution functions Solar wind monitoring from nadir plane	Energy range 1 eV—10 keV 3D coverage (2π)	Х	Х	Х	(x)
Lunar electron spectrometer	Electron distribution functions	Energy range 1 eV– 10 keV 3-D coverage (4π) Time resolution 1 sec		Х	Х	Х
Moon Magnetometer	Magnetic field vector	0.1–30000 nT ΔB < 0.1 nT			Х	Х
Lunar Exospheric Mass Spectrometer	Exosphere composition and content	M/ΔM > 1000	Х	Х		Х
Plasma Wave Instrument	Plasma waves and electric field	Sampling frequency 10 kHz ΔE< 1mV / m	Х			Х
Lunar Dust Detector	Dust size, fluxes, and velocities	Dust particle mass down to 10 <sup>-16</sup> kg				Х
	IR and visible spectrometer Wide angle and transient phenomena camera Moon UV imaging spectrograph ENA telescope Lunar positive ion spectrometer Positive ion mass spectrometer Positive ion mass spectrometer Lunar scattered proton and negative ions experiment Lunar electron spectrometer Moon Magnetometer Lunar Exospheric Mass Spectrometer Plasma Wave Instrument	IR and visible spectrometerH2O/OH/ice detection on the surfaceWide angle and transient phenomena cameraSurface context imaging, transient phenomenon detection, meteoroid impactMoon UV imaging spectrographSurface UV spectroscopy spectrographENA telescope ion spectrometerBackscattered hydrogen to monitor proton flux impinging the surfaceLunar positive ion spectrometerPositive SW ion distribution functionsPositive ion mass spectroscopyScattered negative hydrogen and proton distribution functionsLunar scattered proton and negative ions spectrometerScattered negative hydrogen and proton distribution functionsLunar scattered proton and negative ions spectrometerScattered negative hydrogen and proton distribution functionsMoon mon Magnetic field vectorMagnetic field vectorMoon Magnetic field vectorMagnetic field vectorPlasma Wave InstrumentPlasma waves and electric field	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	IR and visible spectrometer     H <sub>2</sub> O/OH/ice detection on the surface     Spectral range 400 – 3600 nm     X       Wide angle and transient phenomena camera     Surface context imaging, transient phenomenon detection, meteoroid impact     Spectral range 100 X 20°×60°     X       Moon UV imaging spectrograph     Surface UV Surface UV spectroscopy     Spectral range 115– X 315 nm     X       ENA telescope     Backscattered hydrogen to monitor proton flux impinging the surface     Energy range 10 V-3 keV.     X       Lunar positive ion spectrometer     Positive SW ion distribution functions     Energy range 1 eV– X 10 keV 3D coverage (2π)     X       Positive ion mass spectroscopy     Scattered negative hydrogen and proton distribution functions     Energy range 1 eV– X 10 keV 3D coverage (2π)     X       Lunar scattered proton and negative ions spectrometer     Scattered negative from nadir plane     Energy range 1 eV– 10 keV 3D coverage (2π)     X       Lunar electron spectrometer     Electron distribution functions     Energy range 1 eV– 10 keV 3D coverage (4π) Time resolution 1 sec     X       Moon Magnetor field vector     0.1–30000 nT AB < 0.1 nT	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	IR and visible spectrometerHzO/OH/ice detection on the surfaceSpectral range 400 - 3600 nmXXWide angle and transient phenomena cameraSurface context imaging, transient phenomenon detection, meteoroid impactVisible range; FoV: 102 v.60°XXXMoon UV imaging spectrographSurface UV spectrographSpectral range 115- eV-3 keV. Ang. resol. < 10°

Waves and electric field	Electric field measurement	Frequency 1.4 MHz Spatial resolution 100 m		>	<	Х
Impact probe ions and electrons spectrometer	Ion and electron distribution functions	Energy range: a few eV–a few keV Time resolution: 0.5s/3D 3D coverage (4π)		>	(	
Impact probe magnetometer	Magnetic field vector	Range: 0.1 – 30000 nT ΔB < 0.1 nT				
Context camera	Context imaging PR imaging	Video stream			K	
	Passive impactor	10 kg	X	Х		Х
Context camera	Context imaging PR imaging	Video stream	Х			
	electric field Impact probe ions and electrons spectrometer Impact probe magnetometer Context camera	electric fieldmeasurementImpact probe ions and electrons spectrometerIon and electron distribution functionsImpact probe magnetometerMagnetic field vectorContext cameraContext imaging PR imagingContext cameraContext imaging PR imagingContextContext imaging PR imagingContextContext imaging	electric fieldmeasurementSpatial resolution 100 mImpact probe ions and electrons spectrometerIon and electron distribution functionsEnergy range: a few eV-a few keV Time resolution: 0.5s/3D 3D coverage (4π)Impact probe magnetometerMagnetic field vector Magnetic field vectorRange: 0.1 – 30000 nT ΔB < 0.1 nT	electric fieldmeasurementSpatial resolution 100 mImpact probe ions and electrons spectrometerIon and electron distribution functionsEnergy range: a few eV-a few keV Time resolution: 0.5s/3D 3D coverage (4π)Impact probe magnetometerMagnetic field vector Magnetic field vectorRange: 0.1 – 30000 nT ΔB < 0.1 nT	electric fieldmeasurementSpatial resolution 100 mImpact probe ions and electrons spectrometerIon and electron distribution functionsEnergy range: a few eV-a few keV Time resolution: 0.5s/3D 3D coverage (4π)XImpact probe magnetometerMagnetic field vector Magnetic field vectorRange: 0.1 – 30000 nT ΔB < 0.1 nT	electric fieldmeasurementSpatial resolution 100 mImpact probe ions and electrons spectrometerIon and electron distribution functionsEnergy range: a few $eV-a$ few keV Time resolution: $0.5s/3D$ $3D$ coverage ( $4\pi$ )XImpact probe magnetometerMagnetic field vector $Range: 0.1 - 30000$ $nT$ $\Delta B < 0.1 nT$ XContext cameraContext imaging PR imagingVideo stream $10 \text{ kg}$ XVideo streamXXContext ContextContext imagingVideo streamXContext ContextContext imagingVideo streamXVideo streamXXContextContext imagingVideo streamX

- 790

Table 4: SELMA orbiter remote sensing instrument performances

Instrument	VIS-NIR	SPOSH	MUVS	ENAT
Objective	Photon	Photon	Photon	ENA
Spectral range	400–3600 nm	400–800 nm	115–315 nm	
Spectral resolution	λ/dλ = 100		1.2 nm	
Field of view	3.2°	61.7°	0.3x7.5°	10x10°
Angular resolution	0.015°	0.031°	0.06°	5x5°
Sampling time	<6 ms			0.5s
Energy range				10 eV–3 keV
Energy resolution				50%
Mass range	CY			1–70 amu
Mass resolution				H and heavies

Table 5: SELMA orbiter and SIP-MA in situ particle instrument performances 

Instrument	LIS-SW	LIS-MS	LSHE	LES	LEMS	LDD	IPEI
Target	Positive ions	Positive ions (M>=2)	H⁺, H⁻	Electrons	Neutral	Dust	H⁺, e⁻
Energy / speed range	1 eV–10 keV	1 eV–1 keV	25 eV–40 keV	<15 keV	0–10 eV	> 1km/s	< 15keV
Energy resolution	15%	25%	7%	10%	N/A		10%
FOV	360°x90° (2π)	360°x90° (2π)	360°x90° (2π)	4π	360°x10°		4π for each
Angular resolution	22.5x11.25°	22.5x11.25°	22.5x5°	22.5x5°	N/A		22.5x5°

Sensitivity, G-factor	10 <sup>-3</sup> cm <sup>2</sup> sr eV/eV	Flux of 10 <sup>4</sup> 10 <sup>-2</sup> c /cm <sup>2</sup> s	m² sr	1 / cm <sup>3</sup> s		
Time resolution	2s	3s	0.5s	1–100s	0.1s	0.5s
Mass range		2–150 amu		1–1000 amu		N/A
Mass resolution		>80		1100	6	N/A
Impact charge range					3x10 <sup>3</sup> –10 <sup>7</sup> e-	Y
Impact charge uncertainty				k	10%	
Cumulative charge deposition				6	5x10 <sup>4</sup> e <sup>-</sup> /s	
rate				$\Delta$		

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797 Table 6: SELMA orbiter and SIP-MA field sensor performance

Instrument	MMAG	Orchestra	IPMAG	Mini-EF
Platform	Orbiter	Orbiter	SIP-MA	SIP-MA
Target	Magnetic field	Electric field	Magnetic field	Electric field
Range	±64000 nT	DC-1.4 MHz	±10000 nT	DC-1.4MHz
Resolution	8 pT			
Sensitivity	< 10 pT/ √Hz		< 20 pT/ √Hz	
Electron density		10-4–105 cm-3		
Electron		0.01–100 eV		
temperature				

798

### 799 **4.1 IR and visible spectrometer (VIS-NIR)**

800 The IR spectrometer for SELMA is based on the heritage of the SIR-2 spectrometer (Mall et al., 801 2009) and the experience of the M3 instrument on Chandrayaan-1 (Pieters et al., 2009). The 802 spectrometer consists of an optical unit, a wedge filter (or Linear Variable Filter, LVF), a dualhybrid detector and a thermoelectric cooler. A LVF is a band-pass filter whose coating has been 803 intentionally wedged in one direction. Since the band-pass' center wavelength is a function of the 804 805 coating thickness, the peak wavelength transmitted through the filter will vary in a linear fashion in the direction of the wedge. The LVF has at a given point a Gaussian transmission profile, at 806 807 which radiation is transmitted. The LVF has a range between 0.4 to 3.6 µm over a length of 808 approximately 10 mm. The filter is mounted on a substrate, which exactly fits the detector. The 809 dual-hybrid detector (silicon for pixels 1-70 and HgCdTe for pixels 71-280) is thermally insulated and is cooled and stabilized through a four-stage Peltier element. The option with a 810 811 radiator similar to the Chandrayaan-1/SIR-2 is also available and used for the spacecraft mechanical layout. The spectral dispersion is achieved only through the LVF. The optics have a 812 focal length of 150 mm and an f-number of 4.5 yielding an IFOV of 0.015° per pixel which 813 corresponds to a ground-sampling distance of 20 m/pixel at an altitude of 100 km. At a spin rate 814 815 of 1 rpm, the resulting dwell time for one IFOV will be around 6 ms. The active FOV is 3.2°×3.2°. 816

### 817 4.2 Wide angle and transient phenomena camera (SPOSH)

818 The SELMA wide-angle camera, based on the Smart Panoramic Sensor Head (SPOSH), is a 819 frame camera built to observe meteoroid impacts and possible other luminous night time 820 phenomena in the visible range (400-800 nm) on the dark hemisphere of the Moon (Oberst et al. 821 2011), and will allow detection of any meteoroid impact with a mass larger than a few grams. In 822 spite of the highly sensitive CCD (1024×1024 pixels), we foresee limited daylight operation for 823 context imaging. The camera contains a camera head that consists of an optical telescope with a 824 wide-angle lens and a detector unit. The highly sensitive CCD allows the detection of impact 825 flashes on the dark side of the Moon. The digital processing unit (DPU) uses powerful event-826 detection software, and in impact flash search operations the DPU will reduce the data stream 827 dramatically by transmitting only those portions of images that contain events.

### 828 **4.3 Moon UV imaging Spectrograph (MUVS)**

829 MUVS is a long-slit ultraviolet imaging spectrograph, with a spectral bandpass including far- and 830 mid-ultraviolet wavelengths in the 115-315 nm range, which will be used to: 1) Measure the 831 surface water frost abundance in permanently shadowed regions; 2) Characterize the diurnal 832 transport of water/hydration across the lunar surface; 3) Identify space weathering processes by 833 surveying lunar swirl features; and 4) Investigate the exospheric response to SELMA's impact 834 probes and also natural meteor streams. MUVS builds upon the legacy of LAMP's UV spectral 835 mapping (Gladstone et al., 2010) with the improved sensitivity, spatial resolution, and spectral 836 coverage. By extending the wavelength to 315 nm compared to LRO/UVS), we will search for 837 OH (308 nm) in the exosphere, as well as other components (Mg at 285 nm, Fe at 272 nm, and Si 838 at 252 nm).

839 The MUVS telescope feeds a 15-cm Rowland circle spectrograph with a spectral bandpass of 840 115–315 nm. The telescope has an input aperture  $4 \times 4$  cm<sup>2</sup> and uses an off-axis parabolic (OAP) primary mirror. Light from the OAP is focused onto the spectrograph entrance slit, which has 841 842 field-of-view of  $0.3^{\circ} \times 7.5^{\circ}$ . Light entering the slit is dispersed by a toroidal diffraction grating that 843 focuses the UV bandpass onto a curved microchannel plate (MCP) cross strip (XS) detector. The 844 MCP uses atomic layer deposition (ALD) coated borosilicate glass plates with a solar blind, UV-845 sensitive GaN photocathode applied to enable mid-UV sensitivity; a sealed tube vacuum and 846 MgF2 window are used to keep this photocathode pristine, post-assembly.

### 847 **4.4 ENA Telescope (ENAT)**

The ENA telescope (ENAT) is based on the CENA (Chandrayaan-1 Energetic Neutrals Analyzer)
and ENA (Energetic Neutrals Analyzer) instruments for the Chandrayaan-1 and BepiColombo
missions (*Barabash et al., 2009; Saito et al., 2010*). ENAT has a factor-of-10 better angular
resolution and a factor-of-10 larger geometrical factor than them. Signal processing is a heritage
from the SWIM (Solar Wind Monitor) sensor on Chandrayaan-1 (*Barabash et al. 2009*).

853 ENAT consists of four building blocks, a charged particle deflection and collimator system, a 854 surface ionization section, an energy analyzer and a time-of-flight section. The charged particle 855 deflection and collimator section rejects charged particles up to 10s keV by electrostatic 856 deflection. As opposed to its predecessors, ENAT features only one single viewing pixel with 857  $5^{\circ}x5^{\circ}$  angular pixel size, but with a much larger geometric factor. The generated positive ions are extracted from the conversion surface, energy analyzed in a wave shaped energy analyzer and 858 859 post-accelerated by 2.4 kV. Ions are then guided to the time-of-flight section of the instrument 860 where they hit a highly polished tungsten single crystal surface. The interaction with the surface 861 generates a secondary electron that is measured using a channel electron multiplier (CEM), 862 providing the start signal for the time-of-flight measurement. The ion is most likely neutralized in

### SELMA: How do airless bodies interact with space environment?

the process and travels to the stop surface located a short distance away where another secondary electron is generated. This electron is collected by another CEM providing the stop signal of the time-of-flight measurement. The combination of time-of-flight section and the energy of the particle allow us to calculate the mass of the particle.

### 867 **4.5 Lunar positive ion spectrometer (LIS)**

The Lunar positive ion spectrometer (LIS) consists of two sensors: a) the Ion Spectrometer for Solar Wind (LIS-SW) and b) Ion Spectrometer for Mass Spectrometry (LIS-MS). The Cluster/CIS/HIA and CODIF, Cassini/CAPS, BepiColombo/MEA and MSA, Solar Orbiter/SWA/PAS, and JUICE/PEP/JDC sensors provide significant heritage for LIS.

872 The LIS-SW sensor consists of one compact, low mass, highly capable sensor based on a design 873 carefully and specifically optimized for the ion bulk properties (density, velocity and temperature) of the solar wind. The sensors providing fast 3-D measurements in the energy range 874 875 1 eV-10 keV are customized for the energy range as well as the dynamic range encompassing 876 solar wind as well as magnetospheric suprathermal and thermal plasma originating from the Earth 877 when the Moon is embedded within the terrestrial magnetosphere. The LIS-SW sensor consists of 878 five main structural elements: 1) the electrostatic entrance deflector selects incident positively 879 charged particles entering at elevation angle and steers them into the electrostatic analyzer; 2) the 880 electrostatic analyzer selects the energy passband by setting voltages on an inner plate; 3) the 881 detector board includes 16 ceramic CEM along the periphery; 4) the HVPS and FPGA boards 882 situated below the detector plane; 5) an electronic box containing LVPS and DPU boards 883 common to both LIS-SW and LIS-MS contains the rest of the subsystems.

884 LIS-MS sensor will provide lunar surface and exospheric composition information through 885 secondary ion mass spectrometry. It will measure the secondary ions sputtered from the regolith 886 grains by solar wind ion bombardment. The LIS-MS resolves lunar secondary ion fluxes ranging 887 between  $\sim 10$  and  $10^4$  ions cm<sup>-2</sup> s<sup>-1</sup> (depending on the species) but excluding high solar wind 888 proton flux and photon background.

The LIS-MS sensor consists of five main structural elements: 1) the electrostatic entrance deflector selects incident positively charged particles entering at elevation angle  $\theta$  and steers them into the filtering chamber; 2) the filtering chamber which should prevent the proton and photon background to enter contaminate the reflectron chamber and let the minor and trace species access the reflectron chamber; 3) the reflection time-of-flight chamber where the selection of ions with m/q is achieved; 4) the HVPS and FPGA boards attached to the sensor head; 5) the electronic box containing LVPS and DPU boards is common to both LIS-SW and LIS-MS.

### 896 **4.6** Lunar scattered proton and negative ions experiment (LSHE)

897 LSHE observes and measure the distributions of scattered negative hydrogen, protons and alpha 898 particles in the energy range from 25 eV to 40 keV. The LSHE instrument is based on the design 899 of IMA/MEX, IMA/VEX and ICA on Rosetta. It comprises a top-hat design (16 sectors over 900 360° entrance) and uses a magnetic mass separation system. Ions entering LSHE first pass 901 through a semi-spherical electrostatic energy analyzer, then a two-slit electrostatic lens, and 902 finally the mass analyzer where a cylindrical magnetic field created by permanent magnets 903 separates the trajectories of different ion species, according to their mass per charge. The particles 904 are detected by an MCP which comprises an anode system with 32 rings representing ion mass.

### 905 **4.7 Lunar Electron Spectrometer (LES)**

### SELMA: How do airless bodies interact with space environment?

906 The LES instrument will determine the electron density, temperature and the velocity distribution

907 functions of the local plasma environment of the spacecraft. The baseline design will address this 908 with two top-hat type electrostatic analysers, each with a FoV deflector system to allow 909 electrostatic deflection of incoming electrons by up to  $\pm 45^{\circ}$  out of the plane of the undeflected 910 FoV. The two sensors will be accommodated on the nadir and zenith faces, close to the edges or

911 corners of the spacecraft.

912 Incoming charged particles enter the sensor through the exterior electrically grounded aperture

913 grid. The particles are steered from the arrival direction into the hemispheric Energy Analysis

914 section using voltages applied to either the upper or lower deflector electrodes providing a Field-

915 of-view Deflection System. The EA section permits only electrons of the selected energy and 916 type to reach the detector subsystem consisting of a micro-channel plate (MCP) detector.

### 917 **4.8 Moon Magnetometer (MMAG)**

918 The MMAG instrument measures the magnetic field in the vicinity of the spacecraft. This is 919 crucial for characterizing lunar magnetic anomalies and revealing how they interact with the 920 ambient collisionless plasma environment (solar wind as well as the Earth's magnetosheath and 921 magnetotail). Previous implementations of the fluxgate sensors and associated electronics have 922 flown on missions such as Cassini and Double Star, and are included in the planned Solar Orbiter 923 and JUICE magnetic field investigations, providing highly relevant, direct heritage (Dougherty et 924 al., 2004; Carr et al., 2005; O'Brien et al., 2007). Two separate digital fluxgate sensors will 925 perform magnetic field measurements. Fluxgate sensors are electrically passive and comprised of 926 magnetically susceptible cores, each core wrapped by two coils of wire. An alternating current is 927 passed through one coil (the drive coil), cyclically driving the core to positive and negative 928 magnetic saturation. A current proportional to the magnetic field along the coil axis is induced in 929 the other coil (the sense coil). In addition, a current is applied through the sense coil to directly 930 null the detected field along the coil axis through the magnetic core. The combination of current 931 through the three sense coils orthogonal to each other thus allows the full, local magnetic field 932 vector at each fluxgate sensor to be determined.

### 933 **4.9 Lunar Exospheric Mass Spectrometer (LEMS)**

The SELMA neutral gas mass spectrometer (LEMS) is a ToF mass spectrometer using an ion mirror (reflectron) for performance optimization (*Wurz et al., 2012*). The LEMS mass range is 1– 1000 with the resolution of  $M/\Delta M = 1100$ . The dynamic range is at least 6 decades for a 5 s integration period, allowing for the identification of species down to a partial density of about 1 cm<sup>-3</sup> in such a measurement.

939 Ions are either generated in a storage ion source (neutral mode) or collected from the ambient 940 plasma (ion mode). With the pulsed ion optics of the ion source, ion packets are produced, 941 accelerated, shaped and sent into the ToF structure. After passing the first leg of a field-free drift 942 path, ions are reflected by an ion mirror, which allows energy and spatial focusing, and are then 943 directed onto a fast micro-channel plate detector. The charge signal versus time is recorded on the 944 detector, registered by a fast analogue-to-digital converter (ADC) system, and converted into a 945 mass spectrum. A ToF mass spectrometer has inherent advantages with respect to other mass 946 spectrometer concepts since it allows recording of a complete mass spectrum at once without the 947 necessity of scanning over the mass range of interest (Wiley and Mclaren, 1955). This results in 948 superior efficiency over scanning instruments (i.e., magnetic sector instruments and quadrupole 949 mass analyzers) and is particularly useful during transient lunar phenomena, where only a short 950 time span is available to perform the mass spectrometric measurements. The LEMS design

### SELMA: How do airless bodies interact with space environment?

benefits from heritage from the RTOF sensor of the ROSINA instrument on the Rosetta mission
as well as a stratospheric balloon in summer 2008 (*Abplanalp et al., 2009; Wieser et al., 2009b*).

### 953 **4.10 Plasma Wave Instrument (Orchestra)**

954 The instrument Orchestra will monitor the full electric field vector in the frequency range DC up 955 to 1.4 MHz, which will facilitate measurements of a wide range of plasma and electromagnetic 956 waves, including static electric structures, as well as monitoring signals for sampling 957 micrometeoroid impacts, and the spacecraft potential. In addition, Orchestra can also be run in 958 so-called Langmuir mode, and in so doing sample the cold plasma density and integrated EUV 959 flux. These parameters will provide the basis to a) characterize the electric field strength and 960 structure including related acceleration and energization processes within mini-magnetospheres 961 near surface of the Moon (e.g. acceleration structures, Alfvén or whistler wave processes, ion and 962 electron cyclotron waves, boundary processes, reconnection); b) characterize the ambient 963 size/mass distribution of µm-sized dust around the Moon; c) characterize the cold plasma 964 environment; and d) monitor the spacecraft potential for use by the particle instruments.

965 Two Langmuir probe sensors sample electric voltages or currents, which are the basis of the 966 inferred physical parameters. The probes can therefore be operated in two different modes: 967 current sampling mode (used as Langmuir probes) for plasma diagnostics (e.g., electron and ion 968 densities, electron temperature, and the drift speed) or voltage sampling mode (used as electric 969 field probes) for measurement of the electric field. The spacecraft potential is always measured 970 independent of operation mode. It is important to have both probes extended as far as possible 971 from each other and from spacecraft structures. The 3m long booms, properly accommodated, 972 facilitate this.

### 973 **4.11 Lunar Dust Detector (LDD)**

974 LDD is an *in situ* dust detector to map the variability of the spatial and size distribution of dust 975 near the Moon. It is a 'built-to-print' version of the Lunar Dust Experiment (LDEX) that flew 976 onboard NASA's LADEE mission from September 2013 to April 2014 (Horányi et al., 2015). 977 LDD will provide complementary observations by a) verifying LDEX results; b) extending the 978 timeline of observations of the dust ejecta production during different meteoroid streams; c) 979 extending the spatial coverage using SELMA's polar orbit; and d) enabling correlation studies 980 between dust influx/production, with the variability of the neutral and plasma environment to be 981 measured by the rest of the SELMA payload.

- 982 The detection of a dust particle is based on measuring the charge generated by its hypervelocity 983  $(v > 1 \text{ km s}^{-1})$  impact on a target. The impact charge Q (the total number of ions or electrons) is a 984 function of both the speed v, and the mass m, of the impacting dust particle
- 985  $Q=\alpha mv^{\beta}$

986 where the charge is measured in C, the mass in kg, and the speed in km s<sup>-1</sup>. The speed exponent 987 is in the range  $3.5 \le \beta \le 5.6$ . For a characteristic value of  $\beta = 3.5$ ,  $\alpha \sim 0.5$ . The values for both  $\alpha$ 988 and  $\beta$  are determined by calibrating individual instruments as they depend on the composition of 989 both the impactor and the target, and the geometry of the setup (*Horányi et al.*, 2014).

### 990 **4.12 Waves and Electric field (MiniEF on SIP-MA)**

Mini-EF on board the SIP-MA makes use of similar electronics as the Orchestra instrument, but
different type of 4 booms and associated 4 Langmuir probe sensors. The MiniEF will use shorter
sticks (75 cm), smaller spheres and the pre-amplifiers are within the electric box but the operation
principle is similar to Orchestra instrument. MiniEF will not operate in Langmuir mode.

### 995 **4.13 Impact probe ions and electrons spectrometer (IPEI on SIP-MA)**

IPEI is going to measure the properties of the ions and electrons in the magnetic anomalies. The
design and the detection principle is the same as the LES instrument which is described above
(inverse polarities for the ion detector).

### 999 **4.14 Impact probe magnetometer (IPMAG on SIP-MA)**

1000 The SELMA Impact Probe Magnetometer IPMAG is based on the digital fluxgate magnetometer SMILE (e.g. Forslund et al, 2008, Belyayev and Ivchenko, 2015). It is a miniature flux-gate 1001 1002 system with volume compensation sensor, providing magnetic field measurements at a rate of up 1003 to 250 Hz, with 16-bit resolution. IPMAG is built around a digital implementation of the correlation loop, implemented in Field Programmable Field Array (FPGA), where the digital 1004 1005 samples from the sense coils are processed in a matched filter to produce the digital value of the 1006 compensation current. The SMILE magnetometer has been flown on a number of sub-orbital flights (e.g. NASA's Cascades-2 sounding rocket, SNSB's SPIDER sounding rocket) and is 1007 qualified to fly on the SEAM nanosatellite in 2017 (realized as EU FP7 project under grant 1008 1009 agreement 607197).

1010 The IPMAG instrument will consist of two three-axis fluxgate sensors mounted at different 1011 distances from the spacecraft on a 2 m long boom, and electronics inside the impactor. Using one 1012 boom for both sensors decreases the influence of spacecraft magnetic interference on the 1013 accuracy of the measurements. The 2 m long deployable boom for the two magnetometers is a 1014 modification of the dual-tip deployable boom for the SEAM satellite (*Mao et al, 2017*). Counter-1015 rotating tape spring spools are deployed along with the boom tip. The IPMAG boom design uses 1016 the same type of tape springs and spools as the SEAM boom.

### 1017 4.15 Context cameras (IPCAM on SIP-MA, IECAM on RCS)

1018 IPCAM and IECAM are ordinary RGB cameras that will be mounted on SIP-MA and RCS for
1019 video streaming. The main objectives are the context imaging, helping to define the attitude
1020 determination, and PR purposes.

### 1021 **4.16 Impactor**

1022 The impactor for the impact experiment in the Shackleton crater is a copper sphere of 10 kg (13 1023 cm in diameter). The impactor is passive and does not carry any systems. The spherical shape 1024 ensures the independence of the impact on the impactor attitude. The copper is selected to 1025 identify the impactor's elements in the volatile plume.

### 1026 **5 Discussion and Summary**

1027 The SELMA mission investigating the interactions between the surface, exosphere, plasma and 1028 dust aims at a launch in 2029–2030 under the ESA's medium-size mission (M5) of the Cosmic 1029 Vision programme. SELMA addresses two Cosmic Vision themes; "1. What are the conditions 1030 for planet formation and the emergence of life?" and "2. How does the Solar System work?" 1031 (*Cosmic Vision, 2005*). In particular, SELMA focuses the Cosmic Vision topics "1.3 Life and 1032 habitability in the Solar System" and "2.3 Asteroids and other small bodies".

SELMA is a unique mission to investigate the complex local space environment-surface
interactions. No missions with similar objectives and payload were, are, or will be conducted or
planned in the near future. The NASA LADEE mission (Lunar Atmosphere, Dust Environment
Explorer, 2013-2014) did not carry the full set of remote sensing instruments and plasma, field,

### SELMA: How do airless bodies interact with space environment?

1037 and wave investigations. NASA ARTEMIS (two spacecraft for plasma and field measurements), 1038 which is currently in-orbit around the Moon, is not equipped with the instrumentation to 1039 characterize the surface properties, exosphere, and dust. Currently, the NASA LRO (Lunar 1040 Reconnaissance Orbiter) focuses on remote sensing but lack instrumentation for in situ and remote measurements of the local space environment. The Indian Chandrayaan-1 mission 1041 1042 (October 2008 - August 2010) with ESA funded science payloads served as a pathfinder for the 1043 SELMA mission and demonstrated that the SELMA mission concept is feasible. SELMA will not 1044 only perform similar measurements using superior instruments, but it will also characterize the 1045 dynamic exosphere and dust environment.

- 1046 In term of the surface properties and the interaction with the environment, the Moon and Mercury 1047 are similar. Although the Mercury has global magnetic field, it has similar physical processes in 1048 terms of the interactions between exosphere, plasma and surface because of the lack of the 1049 atmosphere (e.g. Millilo et al., 2005; Lue et al., 2017). The influence of the plasma to the surface, 1050 which could vary, sputter and weather the surface does happen both at Moon and Mercury. Due 1051 to the different interplanetary dust particle speeds (Christou et al., 2015), the parameter range of 1052 the observations can be extended, and we can better know the effects of dust impacts on 1053 environment. From these comparative aspects into account, the Moon serves as a test-bed in the 1054 Earth's backyard to study these processes and better explore and understand the BepiColombo 1055 data. ESA BepiColombo will reach Mercury in 2024 and is planned to be operation until 2027. 1056 The active data analysis will continue for at least 5 years. The SELMA results, available in 2030, 1057 will come very timely to provide the key knowledge for BepiColombo data interpretation to understand the Mercury's exosphere and its variability, the role of the surface in refilling the 1058 1059 magnetosphere via ion backscattering and sputtering, and the influence of the environment on the IR and UV surface characteristics. SELMA is a mission of comparative planetology. 1060
- 1061 The impact experiments SELMA will perform are completely unique. Unprecedented fly-through 1062 of a mini-magnetosphere and high time resolution measurements down to the surface have never 1063 been even attempted before. The impact experiment in the permanently shadowed Shackleton 1064 crater is the first of its kind. The NASA LCROSS mission (Lunar Crater Observation and Sensing 1065 Satellite) in 2009 only used remote sensing to investigate the debris plume. SELMA will use in-1066 situ instruments, in particular its powerful mass spectrometer, to directly sample volatiles 1067 released during the impact. Due to its position almost at the South Pole and sufficiently high rims, 1068 the Shackleton crater to be investigated by the SELMA impact experiment is one of the most interesting locations for future lunar exploration missions. 1069
- 1070 Research on the SELMA scientific theme is of importance for both fundamental planetary 1071 sciences, for our general understanding of how the Solar System works, and for future lunar 1072 explorations, through qualitative characterization of the lunar environment and, in particular, 1073 investigation of the presence of water in the lunar soil, as a valuable resource to harvest from the 1074 lunar regolith. Determining the water content in the crater's soil is critical for decisions on South 1075 Pole exploration.

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## 1504 Appendix A: SELMA proposal team members

- 1505 The SELMA mission was proposed to ESA in response to the call for the medium-size mission 1506 opportunity (M5). The proposal team members are listed below.
- 1507 Lead proposer: Stas Barabash (Swedish Institute of Space Physics, Kiruna, Sweden)
- 1508 Science lead: Yoshifumi Futaana (Swedish Institute of Space Physics, Kiruna, Sweden)
- 1509 **Principal Investigators:**
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- 1512 Urs Mall (Max Planck Institute for Solar System Research, Göttingen, Germany)
- 1513 Martin Wieser (Swedish Institute of Space Physics, Kiruna, Sweden)
- 1514 Nicolas Andre (IRAP- Université de Toulouse, CNRS, France)

- 1515 Nickolay Ivchenko (KTH Royal Institute of Technology, Stockholm, Sweden)
- 1516 Jürgen Oberst (German Aerospace Center, Berlin, Germany)
- 1517 Kurt Retherford (Southwest Research Institute, San Antonio, USA)
- 1518 Andrew Coates (Mullard Space Science Laboratory, University College London, London, UK)
- 1519 Adam Masters (Imperial College London, London, UK)
- 1520 Jan-Erik Wahlund (Swedish Institute of Space Physics, Uppsala, Sweden)
- 1521 Esa Kallio (Aalto University, Helsinki, Finland)

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We propose SELMA mission to study how airless bodies interact with space environment SELMA uses a unique combination of remote sensing and in situ measurements at Moon SELMA investigates the interaction between the plasma, gas, dust and surface at Moon SELMA conducts two impact campaign measurements to study the environment SELMA aims to launch in 2029 as a medium class mission of ESA's Cosmic Vision program