

1 **Title:** Long-term pulmonary disease among Swiss childhood cancer survivors

2

3 Rahel Kasteler<sup>1</sup>; Annette Weiss<sup>1</sup>; Matthias Schindler<sup>1</sup>; Grit Sommer<sup>1</sup>; Philipp Latzin<sup>2</sup>;

4 Nicolas X. von der Weid<sup>3</sup>; Roland A. Ammann<sup>2</sup>; Claudia E. Kuehni<sup>1,2</sup>; for the Swiss

5 Pediatric Oncology Group (SPOG)<sup>a</sup>.

6

7 <sup>1</sup> Swiss Childhood Cancer Registry, Institute of Social and Preventive Medicine,

8 University of Bern, Bern, Switzerland.

9 <sup>2</sup> Department of Pediatrics, Inselspital, Bern University Hospital, University of Bern,

10 Bern, Switzerland.

11 <sup>3</sup> Department of Pediatrics, University Children`s Hospital Basel UKBB, University of

12 Basel, Basel, Switzerland.

13 <sup>a</sup> Swiss Pediatric Oncology Group (SPOG) Scientific Committee: Prof. Dr. med. R.

14 Ammann, Bern; Dr. med. R. Angst, Aarau; Prof. Dr. med. M. Ansari, Geneva; Prof. Dr.

15 med. M. Beck Popovic, Lausanne; PD Dr. med. E. Bergstraesser, Zürich; Dr. med. P.

16 Brazzola, Bellinzona; Dr. med. J. Greiner, St. Gallen; Prof. Dr. med. M. Grotzer, Zürich;

17 Dr. med. H. Hengartner, St. Gallen; Prof. Dr. med. T. Kuehne, Basel; Prof. Dr. med. K.

18 Leibundgut, Bern; Prof. Dr. med. F. Niggli, Zürich; PD Dr. med. J. Rischewski, Lucerne;

19 Prof. Dr. med. N. von der Weid, Basel.

20

21

22

23 Corresponding author: Claudia E. Kuehni, Prof. MD MSc; Institute of Social and  
24 Preventive Medicine, University of Bern, Finkenhubelweg 11, 3012 Bern, Switzerland;  
25 E-mail: claudia.kuehni@ispm.unibe.ch; Telephone: +41 31 631 35 07; Facsimile: +41  
26 31 631 35 20

27

28 **Word count for abstract: 232**

29 **Word count for main text: 3111** (excludes title page, abstract, conflicts of Interest,  
30 acknowledgments, references, tables, figures, and legends)

31 **Figures and Tables:** Tables: 3, Figures: 3

32 **Supplemental files:** Supplementary text: 1, Tables: 5, Figures: 3

33 **Running title:** Lung disease in Swiss childhood cancer survivors

34 **Key words:** childhood cancer, late effects, pulmonary disease, lung injury, cancer  
35 treatment, pneumonia

36

37 **Abbreviations:**

BCNU	Carmustine
BMI	Body Mass Index
CCNU	Lomustine
CCS	Childhood cancer survivor
CCSS	US-Childhood Cancer Survivor Study
CNS	Central nervous system
CI	Confidence interval
Gy	Gray
HSCT	Hematopoietic stem cell transplantation

ICCC-3	International Classification of Childhood Cancer, Third edition
ICD-10	International Statistical Classification of Diseases and Related Health Problems, 10th Revision
IQR	Interquartile range
LCH	Langerhans cell histiocytosis
N	Number
OR	Odds ratio
P	P-value
SCCR	Swiss Childhood Cancer Registry
SCCSS	Swiss Childhood Cancer Survivor Study

38

39

40 Abstract

41 **Background**

42 Pulmonary diseases are potentially severe late complications of childhood cancer  
43 treatment that increase mortality risk among survivors. This nationwide study assesses  
44 the prevalence and incidence of pulmonary diseases in long-term childhood cancer  
45 survivors and their siblings, and quantifies treatment-related risks.

46 **Methods**

47 As part of the Swiss Childhood Cancer Survivor Study we studied childhood cancer  
48 survivors who were diagnosed between 1976-2005 and alive at least five years after  
49 diagnosis. We compared prevalence of self-reported pulmonary diseases (pneumonia,  
50 chest wall abnormalities, lung fibrosis, emphysema) between survivors and their  
51 siblings, calculated cumulative incidence of pulmonary diseases using the Kaplan-Meier  
52 method, and determined risk factors using multivariable logistic regression.

53 **Results**

54 Childhood cancer survivors reported more pneumonias (10% vs. 7%,  $P=0.020$ ) and  
55 chest wall abnormalities (2% vs. 0.4%,  $P=0.003$ ) than siblings. Treatment with busulfan  
56 was associated with prevalence of pneumonia (odds ratio [OR] 4.0, 95% confidence  
57 interval [CI] 1.1-14.9), and thoracic surgery was associated with chest wall  
58 abnormalities and lung fibrosis (OR 4.1, 95%CI 1.6-10.7 and OR 6.3, 95%CI 1.7-26.6).  
59 Cumulative incidence of any pulmonary disease after 35 years of follow-up was 21%.  
60 For pneumonia, the highest cumulative incidence was seen in childhood cancer  
61 survivors treated with both pulmotoxic chemotherapy and radiotherapy to the thorax  
62 (23%).

63     **Conclusion**

64     This nationwide study in childhood cancer survivors found an increased risk for  
65     pulmonary diseases, especially pneumonia, while still young, which indicates that  
66     childhood cancer survivors need long-term pulmonary follow-up.

## 67 Introduction

68 Pulmonary diseases are potentially severe late complications of childhood cancer  
69 treatments. Bleomycin, alkylating agents, radiotherapy to the thorax, and thoracic  
70 surgery can lead to restrictive lung disease.<sup>1,2</sup> Especially when followed by chronic graft  
71 versus host disease, allogeneic hematopoietic stem cell transplantation (HSCT) can  
72 cause obstructive lung disease such as bronchiolitis obliterans or restrictive lung  
73 disease like lung fibrosis.<sup>2</sup> Childhood cancer survivors (CCS) have a three-fold  
74 increased risk for hospitalization for pulmonary diseases and up to 14 times increased  
75 risk for late pulmonary death,<sup>3-5</sup> with pneumonia being particularly common.<sup>6</sup> Impaired  
76 lung function has been found in a large proportion of CCS (44–65%) depending on  
77 inclusion criteria and type of lung function tests.<sup>7-10</sup> The US Childhood Cancer Survivor  
78 Study (CCSS) included CCS diagnosed 1970-1986 and found more lung fibrosis,  
79 recurrent pneumonia, chronic cough, and chest wall abnormalities in CCS than in their  
80 siblings.<sup>11,12</sup> The incidence of pulmonary diseases remained elevated up to 25 years  
81 after cancer diagnosis.<sup>13</sup>

82 Data on pulmonary diseases in CCS are nevertheless scarce. Few studies have  
83 been conducted in Europe, where treatment protocols differ from those used in the US.  
84 Previous studies have come from selected high profile clinics, included only certain  
85 types of cancer, or CCS treated many years ago (1970-1986).<sup>11-13</sup> CCS treated with  
86 newer regimens need renewed study.

87 We employed a nationwide, population-based, prospective cohort study to examine  
88 pulmonary disease in Swiss CCS diagnosed between 1976 and 2005. We compared  
89 the long-term prevalence of self-reported pneumonia, chest wall abnormalities, lung

90 fibrosis, and emphysema between CCS and their siblings, calculated cumulative  
91 incidence of pulmonary disease, and quantified treatment-related risks.

## 92 Methods

### 93 Swiss Childhood Cancer Survivor Study

94 The Swiss Childhood Cancer Registry (SCCR) is a nationwide population-based  
95 cancer registry that includes all children and adolescents diagnosed with leukemia,  
96 lymphoma, central nervous system (CNS) tumors, malignant solid tumors, or  
97 Langerhans cell histiocytosis (LCH) before the age of 21.<sup>14</sup> The Swiss Childhood  
98 Cancer Survivor Study (SCCSS) is a long-term follow-up cohort study of all patients  
99 registered in the SCCR who have been diagnosed since 1976 and who survived five or  
100 more years after initial diagnosis of cancer.<sup>15</sup> For this study, we included all CCS, who  
101 were diagnosed 1976-2005 and aged  $\geq 16$  years at survey.

102 Between 2007 and 2013 we sent questionnaires to all eligible CCS. Nonresponders  
103 received a second copy of the questionnaire 4–6 weeks after the first and, if they still did  
104 not answer, were contacted by phone. We asked CCS for consent to contact their  
105 siblings as a comparison group. If CCS agreed, we sent the same questionnaire without  
106 cancer-related questions to their siblings. Siblings who did not respond to the first  
107 questionnaire received a second copy 4–6 weeks later, but we did not contact them by  
108 phone. Details of the study design have been published elsewhere.<sup>15</sup>

109 The Ethics Committee of the Canton of Bern granted approval to the SCCR and  
110 SCCSS (KEK-BE: 166/2014). In line with this approval, informed consent of registration

111 in the SCCR and corresponding studies, like the SCCSS, is collected at time of cancer  
112 diagnosis or later with the reply to the SCCSS survey.

### 113 Outcome: pulmonary diseases

114 The SCCSS questionnaire included a section on pulmonary health similar to US and  
115 British childhood cancer survivor studies.<sup>16,17</sup> We asked CCS and siblings whether they  
116 had ever been diagnosed with pneumonia, chest wall abnormalities, lung fibrosis, or  
117 emphysema (**Supplementary Fig. S1**). We created a summary variable *any pulmonary*  
118 *disease* which combined all four pulmonary disease outcomes. To assess whether a  
119 pulmonary disease had occurred before or after cancer diagnosis we asked participants  
120 for the year of first occurrence. Pneumonia is associated with a high morbidity and  
121 mortality in patients with comorbidities,<sup>18</sup> and CCS have a high burden of comorbidities  
122 due to cancer treatment.<sup>9</sup> We increased sensitivity of the questions on pneumonia by  
123 asking about both single and repeated events. Previous studies have only asked about  
124 recurrent pneumonia.<sup>11,13</sup>

### 125 Explanatory factors

#### 126 *Information on cancer and cancer treatment*

127 We extracted these diagnosis- and treatment-related variables from the SCCR: age  
128 at cancer diagnosis, time since cancer diagnosis, cancer diagnosis, year of cancer  
129 diagnosis, chemotherapy, treatment protocol, radiotherapy, surgery, HSCT. We  
130 classified cancer diagnosis according to the International Classification of Childhood  
131 Cancer, third edition (ICCC-3) into twelve main groups and LCH.<sup>19</sup> We assessed  
132 whether CCS had been treated with busulfan, nitrosoureas (carmustine [BCNU] or



133 lomustine [CCNU]), or bleomycin from data on treatment protocols. Radiotherapy to the  
134 thorax included radiotherapy to the total body, mantle field, thorax, lungs, mediastinum,  
135 or thoracic spine. We categorized radiotherapy to the thorax into four categories  
136 according to radiation doses based on radiotherapy treatment records: no radiotherapy  
137 to the thorax, 1-19 Gray (Gy), 20-39 Gy, and  $\geq 40$  Gy.<sup>20</sup> We collected information on  
138 thoracic surgery (yes/no) and categorized the types of surgery (**Supplementary Table**  
139 **S1**). We assessed whether CCS had an autologous, allogeneic, or no HSCT.

#### 140 *Information on sociodemographic and lifestyle characteristics*

141 From the SCCSS survey, we extracted information on sociodemographic data  
142 (gender, age at survey, Swiss language region, migration background) and lifestyle  
143 (body mass index [BMI], smoking status, performing sports). We calculated BMI from  
144 the survey's self-reported height and weight data. For participants younger than 19 at  
145 survey, we calculated BMI z-scores using the Swiss references.<sup>21</sup> BMI at survey was  
146 classified as underweight ( $>19$  yrs,  $<18$  kg/m<sup>2</sup>;  $\leq 19$  yrs,  $<-2$  z-scores), normal weight  
147 ( $>19$  yrs,  $\geq 18$  to  $<25$  kg/m<sup>2</sup>;  $\leq 19$  yrs,  $\geq -2$  to  $\leq 1$  z-score), overweight/obese ( $>19$  yrs,  $\geq 25$   
148 kg/m<sup>2</sup>;  $\leq 19$  yrs,  $>1$  z-score).<sup>22,23</sup> We categorized smoking status as never smoker, ex-  
149 smoker, and current smoker. We defined performing sports as engagement in at least  
150 moderate gym or sports activity for more than one hour per week.

#### 151 **Statistical Analysis**

152 We compared long-term prevalence of self-reported pulmonary diseases between  
153 CCS and siblings ever in life using chi-squared tests. For better comparison between  
154 CCS and siblings, we standardized siblings for gender, age at survey, Swiss language

155 region, and migration background as described previously.<sup>24,25</sup> Characteristics of  
156 siblings are shown in **Supplementary Table S2**.

157 To estimate the cumulative incidence of pulmonary disease, we used the Kaplan-  
158 Meier method. We assessed the first occurrence of any pulmonary disease separately  
159 and combined for each specific disease. For pneumonia, we also computed cumulative  
160 incidence curves for different cancer treatments. We used log-rank tests to test for  
161 equivalence of incidence curves. Start of follow-up time was age at cancer diagnosis for  
162 CCS, and for siblings mean age at cancer diagnosis of CCS. End of follow-up time was  
163 either the year of disease occurrence or time of survey completion if participants had no  
164 pulmonary disease. We imputed age at pulmonary disease if a participant reported a  
165 pulmonary disease but not the year of first occurrence using observed values (in CCS  
166 gender, age at survey, smoking status, age at cancer diagnosis, cancer diagnosis,  
167 radiotherapy to the thorax, and pulmotoxic chemotherapy; and in siblings, using gender,  
168 age at survey, smoking status, **Supplementary text**).<sup>26</sup> Controls were censored at time  
169 of survey if they had no pulmonary disease.

170 For CCS we quantified treatment-related risks by using uni- and multivariable logistic  
171 regressions. Explanatory factors were pulmotoxic chemotherapy (nitrosureas, busulfan,  
172 or bleomycin), radiotherapy to the thorax, thoracic surgery, and HSCT. We used  
173 likelihood ratio tests to assess whether explanatory variables were associated with  
174 pulmonary diseases. We adjusted for the following confounding factors mentioned in the  
175 literature: gender, age at diagnosis, smoking status, performing sport, and BMI at  
176 survey.<sup>27-29</sup>

177 We used R 3.1.1 (R Foundation for Statistical Computing, Vienna, Austria) to  
178 calculate BMI z-scores and to impute missing values with the package missForest.<sup>26</sup> For  
179 all other analysis, we used Stata (Version 14, Stata Corporation, Austin, Texas).

## 180 Results

### 181 Characteristics of study population

182 Of 2,918 CCS and 1,280 siblings contacted and eligible for this analysis, 1,894 CCS  
183 (65%) and 731 siblings (57%) responded (**Supplementary Fig. S2**). Responders were  
184 more often female, between 20-29 years old at survey, from the German-speaking  
185 region of Switzerland, and younger at diagnosis than nonresponders. They also differed  
186 by cancer diagnosis and treatment (**Supplementary Table S2**).

187 Fifty-three percent of CCS were male, median (interquartile range [IQR]) age at  
188 survey was 27 years (20–32) (**Table 1**). CCS performed less sport than siblings (60%  
189 vs. 67%,  $P=0.001$ ) and were more often underweight (6% vs. 3%,  $P=0.004$ ). Median  
190 (IQR) age at cancer diagnosis was 9 years (4–14); median time since diagnosis (IQR)  
191 was 18 years (13-23); common diagnoses were leukemia (32%), lymphoma (20%) and  
192 CNS tumors (14%). Eighty-two percent had been treated with chemotherapy and 8%  
193 had received pulmotoxic chemotherapy: 1% busulfan, 2% nitrosoureas, and 5%  
194 bleomycin. Fifteen percent of CCS had received radiotherapy to the thorax, the majority  
195 with doses between 20-39 Gy (8%). Four percent had had thoracic surgery, and 5% had  
196 received HSCT (3% autologous and 2% allogeneic) (**Table 1, Supplementary Table**  
197 **S2**).

198 Prevalence of pulmonary diseases in CCS and siblings

199 Long-term prevalence of any pulmonary disease was higher in CCS than in siblings  
200 (12% vs. 7%,  $P=0.001$ ) (**Table 2, Supplementary Fig. S3**). The difference in long-term  
201 prevalence of pneumonia ever in life was marked, 10% vs. 7% ( $P=0.020$ ), and 3% of  
202 CCS compared to 1.5% of siblings had one pneumonia, and 0.8% vs. 0% had two or  
203 more pneumonias (overall,  $P=0.006$ ) in the last two years (**Table 2**). When stratified by  
204 pulmotoxic treatment, CCS treated with no pulmotoxic treatment, pulmotoxic  
205 chemotherapy alone and both pulmotoxic chemotherapy and radiotherapy to the thorax  
206 had a higher long-term prevalence of pneumonia than siblings (10% vs. 7%  $P=0.048$ ,  
207 15%  $P=0.042$ ; and 14%,  $P=0.014$ , respectively) (**Supplementary Table S3**). Further,  
208 CCS reported more chest wall abnormalities (2% vs. 0.4%,  $P=0.003$ ) than siblings. Lung  
209 fibrosis and emphysema were rare in both groups (0.8% vs. 0.3%,  $P=0.137$ ; and 0.2%  
210 vs. 0.2%,  $P=0.763$ ) (**Table 2**). When stratified by period of cancer diagnosis, CCS  
211 treated in 1976-1985 had a higher long-term prevalence of lung fibrosis (1.8%), than  
212 those treated in 1986-1995 (0.4%) and 1996-2005 (0.7%,  $P=0.026$ ). Long-term  
213 prevalence of all other pulmonary diseases did not differ between periods of cancer  
214 diagnosis (**Table 3**).

215 Cumulative incidence of pulmonary disease after cancer diagnosis

216 Over 35 years of follow-up, 21% (95% CI 15 – 28%) of CCS had developed at least  
217 one pulmonary disease (**Fig. 1**). The cumulative incidence was highest for pneumonia  
218 (18%, 95% CI 13-24%), lower for chest wall abnormalities (4%, 95% CI 2-9%) and lung  
219 fibrosis (3%, 95% CI 1-14%), and lowest for emphysema (0.2%, 95% CI 0.1-0.6%).

220 Cumulative incidence of pneumonia by treatment group

221 Cumulative incidence of pneumonia in CCS within 25 years of follow-up differed by  
222 treatment group (**Fig. 2**): CCS without pulmotoxic treatment and those with radiotherapy  
223 to the thorax only had a similar cumulative incidence of pneumonia as siblings (Panel A,  
224 11%, 95% CI 9–14% vs. 9%, 95% CI 7–11%,  $P=0.074$ ; Panel B, 12%, 95% CI 7–19%  
225 vs. 9%, 95% CI 7–11%,  $P=0.226$ ). The graph suggests a trend, though it is not  
226 statistically significant, for increasing risk among those treated with radiotherapy to the  
227 thorax starting approximately 20 years after diagnosis. CCS treated with pulmotoxic  
228 chemotherapy had a higher cumulative incidence than siblings (Panel C, 18%, 95% CI  
229 9–33% vs. 9%, 95% CI 7–11%,  $P=0.014$ ), starting soon after treatment. CCS treated  
230 with both pulmotoxic chemotherapy and radiotherapy to the thorax had the highest  
231 cumulative incidence (Panel D, 23%, 95% CI 13–38% vs. 9%, 95% CI 7–11%,  $P=0.001$ )  
232 differing from siblings.

233 Risk factors for pulmonary disease

234 CCS treated with busulfan were more likely to develop pneumonia (OR 4.0, 95% CI  
235 1.1 – 14.9). We found no significant effect of treatment with nitrosoureas and  
236 bleomycin. Thoracic surgery was associated with chest wall abnormalities (OR 4.1, 95%  
237 CI 1.6 – 10.7) and lung fibrosis (OR 6.3, 95% CI 1.7 – 26.6) (**Fig. 3, Supplementary**  
238 **Table S4**). There was also a trend for more chest wall abnormalities (OR 1.7, 95% CI  
239 0.7 – 4.3) and lung fibrosis (OR 2.0, 95% CI 0.6 – 6.3) in CCS treated with radiotherapy.  
240 HSCT was associated with any pulmonary disease (autologous, OR 1.7, 95% CI 0.8 –  
241 3.8; allogeneic, OR 1.8, 95% CI 0.8–4.0) and pneumonia (autologous, OR 1.7, 95% CI  
242 0.7 – 4.0; allogeneic: OR 1.9, 95% CI 0.8 – 4.4), but this was not statistically significant.

243 Of the assessed life style characteristics, only underweight was associated with lung  
244 fibrosis (OR 6.1, 95% CI 1.7 – 24.9).

245 Results from univariable regression (unadjusted) are in **Supplementary Table S5**.

## 246 Discussion

247 Pulmonary diseases, particularly pneumonia and chest wall abnormalities, were  
248 increased in this nationwide, population-based comparison of childhood cancer  
249 survivors with their siblings. Busulfan was associated with pneumonia, and thoracic  
250 surgery with chest wall abnormalities and lung fibrosis. Cumulative incidence of all  
251 pulmonary diseases after cancer diagnosis continued to increase throughout life without  
252 reaching a plateau 25 years after diagnosis. Cumulative incidence of pneumonia  
253 differed by cancer treatment, with the highest incidence in those treated with both  
254 pulmotoxic chemotherapy and radiotherapy to the chest.

255 The Childhood Cancer Survivor Study is a multicenter cohort study that also used  
256 patient-reported data and found a slightly increased cumulative incidence of pulmonary  
257 disease in CCS compared to siblings, 30% vs. 27% ( $P < 0.001$ ).<sup>11,13</sup> The lower long-term  
258 prevalence in our study, 12%, is explained by our exclusion of asthma and chronic  
259 cough, which are very common in the general population (**Supplementary text**). The  
260 CCSS, which included patients diagnosed from 1970 to 1986 found fewer chest wall  
261 abnormalities (2.2% vs. 1.3%), and more lung fibrosis than we did (0.8% vs. 3.1%).<sup>11</sup>  
262 This might be because Swiss CCS were younger and the development of lung fibrosis  
263 can occur with a latency of up to 25 years,<sup>13</sup> or because the Swiss cohort was treated  
264 with treatment protocols of more recent years, including lower radiation doses and  
265 volumes, as for example in CCS of Hodgkins Lymphoma.<sup>30</sup> These assumptions are

266 supported by the observed lower long-term prevalence of lung fibrosis diagnosed in  
267 Swiss CCS in recent years. The proportion of CCS in our study who had repeated  
268 pneumonia within the previous two years is comparable to that in the CCSS.<sup>11</sup> Because  
269 pneumonia is associated with high morbidity and mortality in patients with  
270 comorbidities,<sup>18</sup> we also looked at all events of pneumonia and found that 10% of CCS  
271 had had pneumonia at some time, while the long-term prevalence was 7% in their  
272 siblings. The prevalence of pneumonia did not decrease in CCS diagnosed in recent  
273 years. The cumulative incidence of pneumonia increased over the 25-year follow-up  
274 without plateauing. Dietz et al. reported the same for recurrent pneumonia.<sup>13</sup> The CCSS  
275 identified different risk factors for pneumonia, radiotherapy to the thorax, and HSCT,<sup>11,13</sup>  
276 while in our study pneumonia risk was higher after busulfan treatment. We also  
277 observed that cumulative incidence of pneumonia differed by treatment groups and was  
278 highest in those treated with both pulmotoxic chemotherapy and radiotherapy to the  
279 thorax. HSCT with subsequent graft versus host disease and immunodeficiency could  
280 explain development of repeated infections such as pneumonia.<sup>6,31</sup> However, our  
281 multivariable analysis found no evidence that the association between pneumonia and  
282 busulfan was mediated via HSCT (the effect of busulfan was not reduced when we  
283 adjusted for HSCT). We found also no evidence for an independent effect of HSCT on  
284 risk of pneumonia. Therefore our results suggest that busulfan itself has a long-term  
285 effect on the immune system or lung tissues. We don't know the underlying  
286 mechanisms, as the few experimental data from animal models and cell culture studies  
287 have focused on short-term effects. The risk for chest wall abnormalities and lung  
288 fibrosis was higher in CCS treated with radiotherapy to the thorax and thoracic surgery,

289 which also is similar to CCSS findings.<sup>11-13</sup> We found a higher proportion of pulmonary  
290 disease in CCS treated with alkylating agents (busulfan) or surgery to the lungs as was  
291 also reported by Record et al.<sup>7</sup> Lung fibrosis was associated with underweight at survey.  
292 We think this might be a secondary effect, because CCS with lung fibrosis are more  
293 likely to be sick and thus more often suffer from malnutrition and have elevated exertion  
294 because of difficulties with breathing, as hypothesized in adult idiopathic lung fibrosis  
295 patients.<sup>32</sup> Finally, up to 65% of CCS have been found to have impaired lung-function.<sup>7-</sup>  
296 <sup>10</sup> This rate is higher than the cumulative, overall incidence of disease in our study  
297 because lung function tests may indicate subclinical pathology possibly well in advance  
298 of diagnosis of disease.

299 Strengths of our study are the population-based nature and the high response rate  
300 that make our study population representative for the entire population of Swiss CCS.  
301 Nonresponse bias seems to play a minor role in the SCCSS. We recently assessed the  
302 difference in typical prevalence estimates (somatic health, medical care, mental health,  
303 health behaviours) among early responders (40%), all responders (69%) and a  
304 complete representative population constructed with inverse probability weighting  
305 (100%).<sup>33</sup> We found similar results among those populations, suggesting that  
306 prevalence estimates in participants are close to the true prevalence in the total  
307 population.<sup>33</sup> Further, we have no evidence that CCS reported differently than their  
308 siblings: asthma and chronic cough, common disorders not specifically caused by  
309 cancer treatment,<sup>34</sup> were reported equally often (**Supplementary text**). Our study  
310 covers all cancer diagnoses and treatment periods from 1976 to 2005, while previous  
311 studies often focused only on specific diagnostic groups<sup>12,35</sup> and CCS diagnosed until



312 1986.<sup>11,13</sup> Last, the SCCSS assessed a large number of sociodemographic and life-style  
313 characteristics, which we could include in the analyses.

314 The limited sensitivity and specificity of self-reported disease could have biased our  
315 results. However, one study's comparison of self-reports of pulmonary diseases  
316 including pneumonia, lung fibrosis, and emphysema to information from medical records  
317 of childhood cancer survivors of HSCT has shown good validity (96% sensitivity, 91%  
318 specificity).<sup>36</sup> We could not determine if the effects of drugs or radiotherapy to the thorax  
319 were dose-dependent, as we did not have exact cumulative doses of chemotherapeutic  
320 drugs and numbers of patients with pulmonary outcomes were too low to stratify them  
321 into more categories. Due to survival bias, our results might underestimate the true  
322 prevalence. The absolute numbers of CCS with pulmonary disease was small, because  
323 our study population was young, and incidence of pulmonary disease increases over  
324 the life of CCS.<sup>5,13</sup>

325 Childhood cancer survivors are at increased risk of pneumonia, which is the most  
326 common pulmonary cause of death among CCS.<sup>6</sup> The underlying causes of this are  
327 poorly understood. The long-term prevalence of pneumonia remained increased among  
328 CCS diagnosed in recent years and treated with newer treatment protocols. Future  
329 research should investigate pathophysiological mechanisms leading to pneumonia in  
330 CCS. Lung fibrosis and emphysema are rare; to evaluate associations with cancer-  
331 treatment, international data must be pooled. The increased incidence of pulmonary  
332 disease, particularly pneumonia, continues throughout the life of a CCS, and the risk  
333 depends on the type of cancer treatment. We therefore must consider preventive  
334 measures for pneumonia such as vaccination for influenza or pneumococcal pneumonia

335 in susceptible CCS. Lifelong clinical monitoring of pulmonary health of former childhood  
336 cancer patients at risk for pulmonary disease is necessary.

337

### 338 Acknowledgements

339 We thank all childhood cancer survivors and families for participating in our survey.

340 We thank the study team of the SCCSS (Rahel Kuonen, Erika Brantschen-Berclaz,  
341 Annette Schneeberger, Laura Wengenroth, Corina Rueegg, Cornelia Rebholz), the data  
342 managers of the SPOG (Claudia Anderegg, Pamela Balestra, Nadine Beusch, Rosa-  
343 Emma Garcia, Franziska Hochreutener, Friedgard Julmy, Nadia Lanz, Rodolfo Lo  
344 Piccolo, Heike Markiewicz, Annette Reinberg, Renate Siegenthaler and Verena Stahel),  
345 and the team of the SCCR (Verena Pfeiffer, Katharina Flandera, Shelagh Redmond,  
346 Meltem Altun, Parvinder Singh, Vera Mitter, Elisabeth Kiraly, Marlen Spring, Christina  
347 Krenger, Priska Wölfli). Finally, we thank Christopher Ritter for his editorial assistance.

348

### 349 Conflict of Interest Statement

350 The commercial funders of the Swiss Childhood Cancer Registry support the daily  
351 running of the registry and have not had and will not have any role in the design,  
352 conduct, interpretation, or publication of the Swiss Childhood Cancer Registry itself as  
353 well as the related research projects.

354

355       References

- 356    1.     Versluys AB, Bresters D. Pulmonary complications of childhood cancer  
357        treatment. *Paediatr Respir Rev.* Jan 2016;17:63-70.
- 358    2.     Huang TT, Hudson MM, Stokes DC, Krasin MJ, Spunt SL, Ness KK. Pulmonary  
359        outcomes in survivors of childhood cancer: a systematic review. *Chest.* Oct  
360        2011;140(4):881-901.
- 361    3.     Lorenzi MF, Xie L, Rogers PC, Pritchard S, Goddard K, McBride ML. Hospital-  
362        related morbidity among childhood cancer survivors in British Columbia, Canada:  
363        report of the childhood, adolescent, young adult cancer survivors (CAYACS)  
364        program. *Int J Cancer.* Apr 01 2011;128(7):1624-1631.
- 365    4.     Schindler M, Spycher BD, Ammann RA, et al. Cause-specific long-term mortality  
366        in survivors of childhood cancer in Switzerland: A population-based study. *Int J*  
367        *Cancer.* Jul 15 2016;139(2):322-333.
- 368    5.     Reulen RC, Winter DL, Frobisher C, et al. Long-term cause-specific mortality  
369        among survivors of childhood cancer. *JAMA.* Jul 14 2010;304(2):172-179.
- 370    6.     Armstrong GT, Liu Q, Yasui Y, et al. Late mortality among 5-year survivors of  
371        childhood cancer: a summary from the Childhood Cancer Survivor Study. *J Clin*  
372        *Oncol.* May 10 2009;27(14):2328-2338.
- 373    7.     Record E, Williamson R, Wasilewski-Masker K, Mertens AC, Meacham LR,  
374        Popler J. Analysis of risk factors for abnormal pulmonary function in pediatric  
375        cancer survivors. *Pediatr Blood Cancer.* Jul 2016;63(7):1264-1271.

- 376 8. Mulder RL, Thonissen NM, van der Pal HJ, et al. Pulmonary function impairment  
377 measured by pulmonary function tests in long-term survivors of childhood cancer.  
378 *Thorax*. Dec 2011;66(12):1065-1071.
- 379 9. Hudson MM, Ness KK, Gurney JG, et al. Clinical ascertainment of health  
380 outcomes among adults treated for childhood cancer. *JAMA*. Jun 12  
381 2013;309(22):2371-2381.
- 382 10. Green DM, Zhu L, Wang M, et al. Pulmonary function after treatment for  
383 childhood cancer. A report from the St. Jude Lifetime Cohort Study (SJLIFE).  
384 *Ann Am Thorac Soc*. Sep 2016;13(9):1575-1585.
- 385 11. Mertens AC, Yasui Y, Liu Y, et al. Pulmonary complications in survivors of  
386 childhood and adolescent cancer. A report from the Childhood Cancer Survivor  
387 Study. *Cancer*. Dec 01 2002;95(11):2431-2441.
- 388 12. Huang TT, Chen Y, Dietz AC, et al. Pulmonary outcomes in survivors of  
389 childhood central nervous system malignancies: a report from the Childhood  
390 Cancer Survivor Study. *Pediatr Blood Cancer*. Feb 2014;61(2):319-325.
- 391 13. Dietz AC, Chen Y, Yasui Y, et al. Risk and impact of pulmonary complications in  
392 survivors of childhood cancer: A report from the Childhood Cancer Survivor  
393 Study. *Cancer*. Dec 01 2016;122(23):3687-3696.
- 394 14. Michel G, von der Weid NX, Zwahlen M, Adam M, Rebholz CE, Kuehni CE. The  
395 Swiss Childhood Cancer Registry: rationale, organisation and results for the  
396 years 2001-2005. *Swiss Med Wkly*. Sep 8 2007;137(35-36):502-509.
- 397 15. Kuehni CE, Rueegg CS, Michel G, et al. Cohort profile: the Swiss childhood  
398 cancer survivor study. *Int J Epidemiol*. Dec 2012;41(6):1553-1564.

- 399 16. Hawkins MM, Lancashire ER, Winter DL, et al. The British Childhood Cancer  
400 Survivor Study: Objectives, methods, population structure, response rates and  
401 initial descriptive information. *Pediatr Blood Cancer*. May 2008;50(5):1018-1025.
- 402 17. Robison LL, Mertens AC, Boice JD, et al. Study design and cohort characteristics  
403 of the Childhood Cancer Survivor Study: a multi-institutional collaborative project.  
404 *Med Pediatr Oncol*. Apr 2002;38(4):229-239.
- 405 18. Marrie TJ, Huang JQ. Epidemiology of community-acquired pneumonia in  
406 Edmonton, Alberta: an emergency department-based study. *Can Respir J*. Apr  
407 2005;12(3):139-142.
- 408 19. Steliarova-Foucher E, Stiller C, Lacour B, Kaatsch P. International Classification  
409 of Childhood Cancer, third edition. *Cancer*. Apr 1 2005;103(7):1457-1467.
- 410 20. Armenian SH, Landier W, Francisco L, et al. Long-term pulmonary function in  
411 survivors of childhood cancer. *J Clin Oncol*. May 10 2015;33(14):1592-1600.
- 412 21. Braegger C, Jenni O, Konrad D, Molinari L. Neue Wachstumskurven für die  
413 Schweiz. *Paediatrica*. 2011;22(1):9-11.
- 414 22. de Onis M, Lobstein T. Defining obesity risk status in the general childhood  
415 population: which cut-offs should we use? *Int J Pediatr Obes*. Dec 2010;5(6):458-  
416 460.
- 417 23. NHLBI Obesity Education Initiative Expert Panel on the Identification, Evaluation,  
418 and Treatment of Overweight and Obesity in Adults. Clinical guidelines on the  
419 identification, evaluation, and treatment of overweight and obesity in adults--The  
420 evidence report. National Institutes of Health. *Obes Res*. Sep 1998;6 Suppl  
421 2:51S-209S.

- 422 24. Austin PC. An introduction to propensity score methods for reducing the effects  
423 of confounding in observational studies. *Multivariate Behav Res.* May  
424 2011;46(3):399-424.
- 425 25. Wengenroth L, Rueegg CS, Michel G, et al. Life partnerships in childhood cancer  
426 survivors, their siblings, and the general population. *Pediatr Blood Cancer.* Mar  
427 2014;61(3):538-545.
- 428 26. Stekhoven DJ, Buhlmann P. MissForest--non-parametric missing value  
429 imputation for mixed-type data. *Bioinformatics.* Jan 01 2012;28(1):112-118.
- 430 27. Pelkonen M, Notkola IL, Lakka T, Tukiainen HO, Kivinen P, Nissinen A. Delaying  
431 decline in pulmonary function with physical activity: a 25-year follow-up. *Am J*  
432 *Respir Crit Care Med.* Aug 15 2003;168(4):494-499.
- 433 28. Zammit C, Liddicoat H, Moonsie I, Makker H. Obesity and respiratory diseases.  
434 *Int J Gen Med.* Oct 20 2010;3:335-343.
- 435 29. Oancea SC, Gurney JG, Ness KK, et al. Cigarette smoking and pulmonary  
436 function in adult survivors of childhood cancer exposed to pulmonary-toxic  
437 therapy: results from the St. Jude lifetime cohort study. *Cancer Epidemiol*  
438 *Biomarkers Prev.* Sep 2014;23(9):1938-1943.
- 439 30. Specht L, Yahalom J, Illidge T, et al. Modern radiation therapy for Hodgkin  
440 lymphoma: field and dose guidelines from the international lymphoma radiation  
441 oncology group (ILROG). *Int J Radiat Oncol Biol Phys.* Jul 15 2014;89(4):854-  
442 862.

- 443 31. Perkins JL, Chen Y, Harris A, et al. Infections among long-term survivors of  
444 childhood and adolescent cancer: a report from the Childhood Cancer Survivor  
445 Study. *Cancer*. Aug 15 2014;120(16):2514-2521.
- 446 32. Ley B, Collard HR, King TE, Jr. Clinical course and prediction of survival in  
447 idiopathic pulmonary fibrosis. *Am J Respir Crit Care Med*. Feb 15  
448 2011;183(4):431-440.
- 449 33. Rueegg CS, Gianinazzi ME, Michel G, et al. No evidence of response bias in a  
450 population-based childhood cancer survivor questionnaire survey - Results from  
451 the Swiss Childhood Cancer Survivor Study. *PLoS One*. 2017;12(5):e0176442.
- 452 34. Leynaert B, Sunyer J, Garcia-Esteban R, et al. Gender differences in prevalence,  
453 diagnosis and incidence of allergic and non-allergic asthma: a population-based  
454 cohort. *Thorax*. Jul 2012;67(7):625-631.
- 455 35. Green DM, Merchant TE, Billups CA, et al. Pulmonary function after treatment for  
456 embryonal brain tumors on SJMB03 that included craniospinal irradiation. *Int J*  
457 *Radiat Oncol Biol Phys*. Sep 1 2015;93(1):47-53.
- 458 36. Louie AD, Robison LL, Bogue M, Hyde S, Forman SJ, Bhatia S. Validation of  
459 self-reported complications by bone marrow transplantation survivors. *Bone*  
460 *Marrow Transplant*. Jun 2000;25(11):1191-1196.
- 461
- 462

463           Legends

464           **TABLE 1** Characteristics of childhood cancer survivors and siblings

465           **TABLE 2** Long-term prevalence of self-reported pulmonary diseases and number  
466           of pneumonias occurring in the last two years in childhood cancer survivors and  
467           siblings

468           **TABLE 3** Prevalence of pulmonary diseases in childhood cancer survivors by  
469           period of cancer diagnosis

470           **FIGURE 1** Cumulative incidence of self-reported pulmonary diseases in survivors  
471           during follow-up.

472           Imputation was used for missing year of onset of pulmonary disease. Time of  
473           onset of pulmonary disease was reported as years of follow-up. Start of follow-up  
474           time was individual age at cancer diagnosis for survivors and for siblings we used  
475           mean age at cancer diagnosis of survivors. Any pulmonary disease refers to the  
476           first occurrence of the disease. If a survivor reported more than one pulmonary  
477           disease, only the first occurrence was counted.

478           **FIGURE 2** Cumulative incidence of self-reported pneumonia in years of follow-up  
479           in survivors by treatment group and siblings

480           Start of follow-up time was individual age at cancer diagnosis for survivors and for  
481           siblings we used mean age at cancer diagnosis of survivors.

482           CT, Chemotherapy; RT, Radiotherapy

483           **FIGURE 3** Associations between cancer treatments and self-reported pulmonary  
484           diseases occurring after cancer diagnosis.



485 Multivariable logistic regression adjusted for all treatment factors shown and  
486 gender, age at diagnosis, smoking status, BMI at survey, and performing sports.

487 n.a., not applicable

488

489 **Supplemental:**

490 **Supplementary text** Additional Methods

491 **TABLE S1** Surgical details for survivors who had thoracic surgery

492 **TABLE S2** Characteristics of responding and nonresponding survivors and  
493 siblings before and after weighting for survivor's gender, age at survey, Swiss  
494 language region and migration background

495 **TABLE S3** Prevalence of pneumonia in childhood cancer survivors and siblings  
496 by cancer treatment

497 **TABLE S4** Associations between sociodemographic and treatment characteristics  
498 and self-reported pulmonary diseases. Results from multivariable logistic  
499 regression, adjusted for all factors in the table.

500 **TABLE S5** Associations between sociodemographic and treatment characteristics  
501 and self-reported pulmonary diseases. Results from univariable logistic  
502 regression.

503 **FIGURE S1** English translation of original questions for adults on pulmonary  
504 health in the SCCSS questionnaire

505 **FIGURE S2** Response rates in the Swiss Childhood Cancer Survivor Study for  
506 both, childhood cancer survivors and siblings,  $\geq 16$  years old at survey

507 **FIGURE S3** Long-term prevalence of self-reported pulmonary diseases in  
508 childhood cancer survivors and siblings



TABLE 1 Characteristics of childhood cancer survivors and siblings

	Survivors N = 1,894		Siblings N = 731		P <sup>b</sup>
	n	(%) <sup>a</sup>	n	(%) <sup>a</sup>	
<b>Sociodemographic characteristics</b>					
Gender					<0.001
Female	898	(47)	428	(59)	
Male	996	(53)	303	(41)	
Age at survey (years)					<0.001
16-19	419	(22)	116	(16)	
20-29	892	(47)	333	(45)	
≥30	583	(31)	282	(39)	
<b>Lifestyle characteristics</b>					
Smoking status					0.104
Never smoker	1,218	(64)	457	(62)	
Ex-smoker	222	(12)	108	(15)	
Current smoker	454	(24)	166	(23)	
Performing sports					0.001
No	757	(40)	242	(33)	
Yes	1,137	(60)	489	(67)	
BMI at survey					0.004
Underweight	108	(6)	19	(3)	
Healthy	1,271	(67)	513	(70)	
Overweight/obese	515	(27)	199	(27)	
<b>Clinical characteristics</b>					
Age at diagnosis (years)					
0-5	695	(37)			
>5-10	446	(23)			
>10	753	(40)			
Period of cancer diagnosis					
1976 - 1985	463	(24)			
1986 - 1995	839	(44)			
1996 - 2005	592	(31)			
Diagnosis (ICCC-3)					
I Leukemia	601	(32)			
II Lymphoma	391	(20)			
III CNS tumor	262	(14)			
IV Neuroblastoma	73	(4)			
V Retinoblastoma	39	(2)			
VI Renal tumor	107	(6)			
VII Hepatic tumor	11	(1)			
VIII Bone tumor	86	(4)			
IX Soft tissue sarcoma	116	(6)			
X Germ cell tumor	94	(5)			
XI & XII Other rare tumors <sup>c</sup>	114	(6)			

Treatments		
Chemotherapy		
No chemotherapy	347	(18)
Any chemotherapy	1,547	(82)
Pulmotoxic chemotherapy		
Busulfan	13	(1)
Nitrosureas (BCNU/CCNU)	30	(2)
Bleomycin	102	(5)
Radiotherapy <sup>d</sup>		
No radiotherapy	1,155	(63)
Any radiotherapy	739	(37)
Radiotherapy to the thorax		
Dose 0-19 Gy	82	(4)
Dose 20-39 Gy	143	(8)
Dose >=40 Gy	50	(3)
Dose unknown	9	(0.5)
Surgery <sup>e</sup>		
No surgery	836	(44)
Any surgery	1,058	(56)
Thoracic surgery	80	(4)
Hematopoietic stem cell transplantation (HSCT)		
No HSCT	1,802	(95)
Any HSCT	93	(5)
Autologous	48	(3)
Allogenic	45	(2)

---

<sup>a</sup> Column percentages are given.

<sup>b</sup> P-values calculated from chi-squared tests comparing survivors and siblings.

<sup>c</sup> Including Langerhans cell histiocytosis; other malignant epithelial neoplasms, malignant melanomas, and other or unspecified malignant neoplasms.

<sup>d</sup> Including radiotherapy to the total body, mantle-field, thorax, lungs, mediastinum or thoracic spine.

<sup>e</sup> Including thoracotomy, sternotomy, chest wall surgery, rib resection, thoracoscopy.

TABLE 2 Long-term prevalence of self-reported pulmonary diseases and number of pneumonias occurring in the last two years in childhood cancer survivors and siblings

	Survivors (N=1,894)				Siblings <sup>a</sup> (N=731)				P <sup>d</sup>
	No		Prevalence <sup>b</sup>		Yes, after diagnosis <sup>c</sup>		Prevalence		
	n	Yes, ever in life n	%	(95%CI)	n	(%)	%	(95%CI)	
Any pulmonary disease <sup>e</sup>	1,668	226	11.9	(10.5 - 13.5)	215	(11.4)	7.3	(5.6 - 9.5)	0.001
Chest wall abnormalities	1,852	42	2.2	(1.6 - 3.0)	38	(2.0)	0.4	(0.1 - 1.4)	0.003
Lung fibrosis	1,878	16	0.8	(0.5 - 1.4)	15	(0.7)	0.3	(0.1 - 1.2)	0.137
Emphysema	2,183	3	0.2	(0.1 - 0.5)	3	(0.1)	0.2	(0.0 - 0.9)	0.763
Pneumonia	1,704	190	10.0	(8.8 - 11.5)	182	(9.6)	7.0	(5.3 - 9.2)	0.020

Number of pneumonias in the last two years					
	Survivors (N=1,894)		Siblings <sup>a</sup> (N=731)		P <sup>g</sup>
	n	% <sup>f</sup>	% <sup>f</sup>		
0	82	4.3	3.5		0.006
1	56	3.0	1.5		
>= 2	15	0.8	0		
missing	37	1.9	2.0		

<sup>a</sup> Siblings are weighted for gender, age at survey, Swiss language region, and migration background according to survivors.

<sup>b</sup> Long-term prevalence of pulmonary diseases of survivors is calculated for survivors who stated “Yes, ever in life”.

<sup>c</sup> “Yes, after diagnosis” column contains persons who affirmed having developed the condition after cancer diagnosis.

<sup>d</sup> P-values calculated from chi-squared tests comparing long-term prevalence of survivors reporting pulmonary disease “Yes, ever in life” and long-term prevalence of pulmonary disease in siblings.

<sup>e</sup> All pulmonary diseases, e.g., pneumonia, chest wall abnormalities, lung fibrosis and/or emphysema.

<sup>f</sup> Column percentages are given.

<sup>g</sup> P-values calculated from chi-squared tests comparing numbers of pneumonias in survivors and siblings.

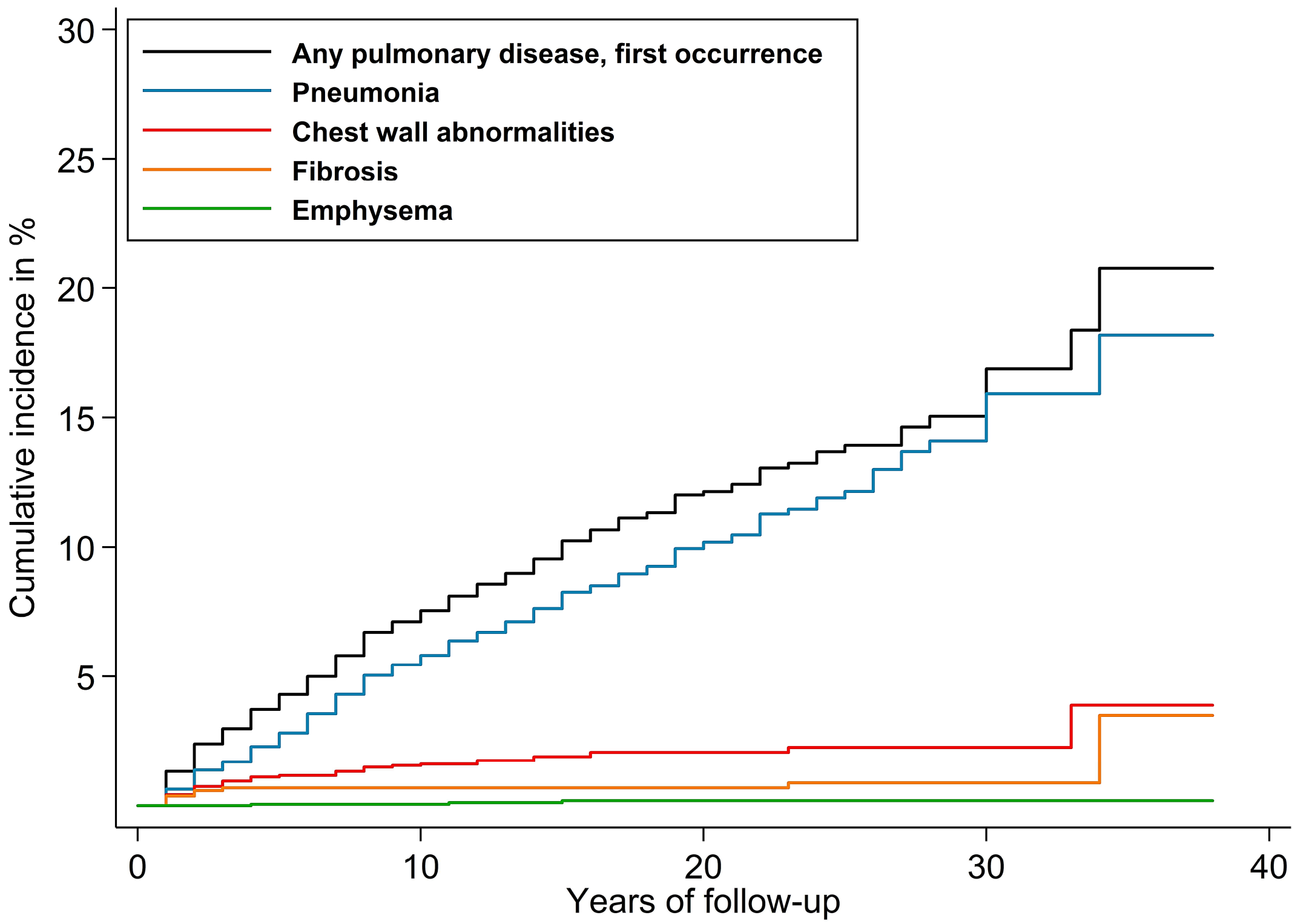
TABLE 3 Prevalence of pulmonary diseases in childhood cancer survivors by period of cancer diagnosis

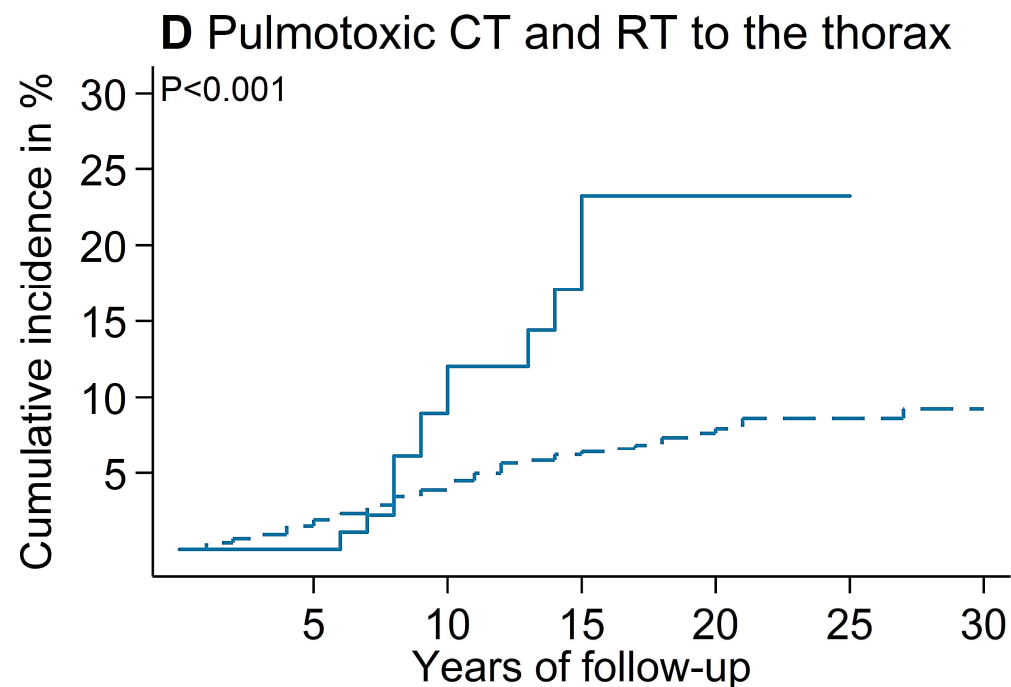
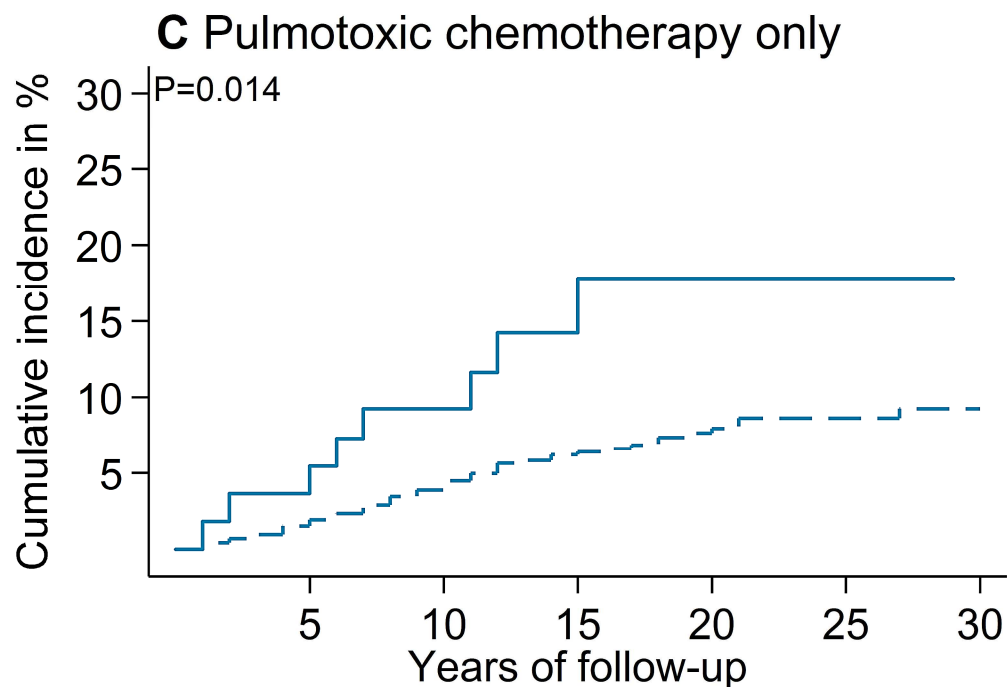
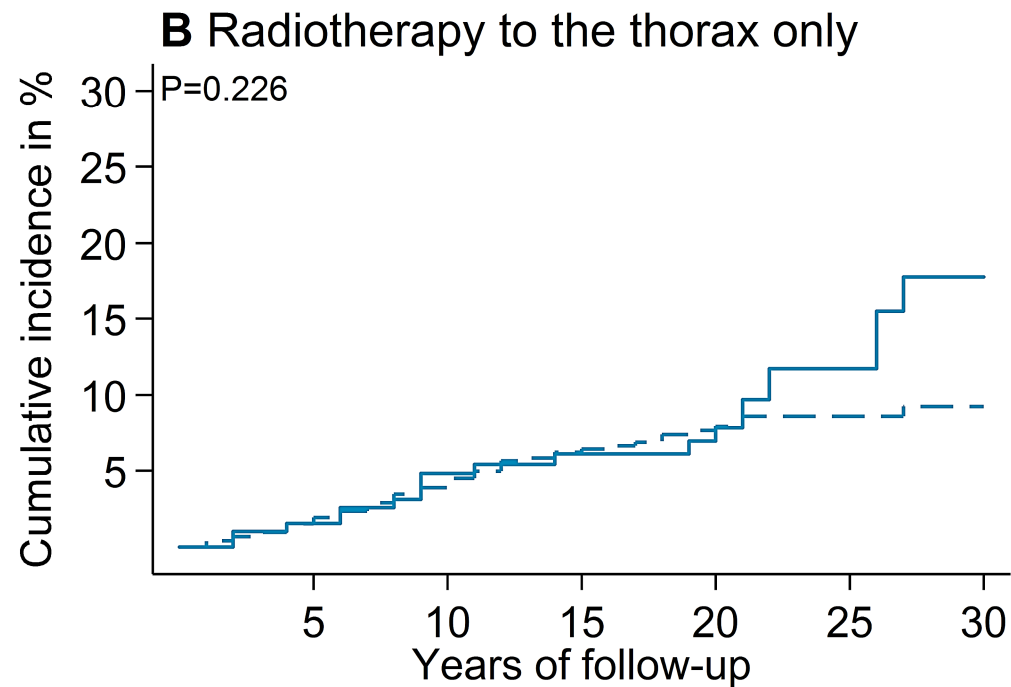
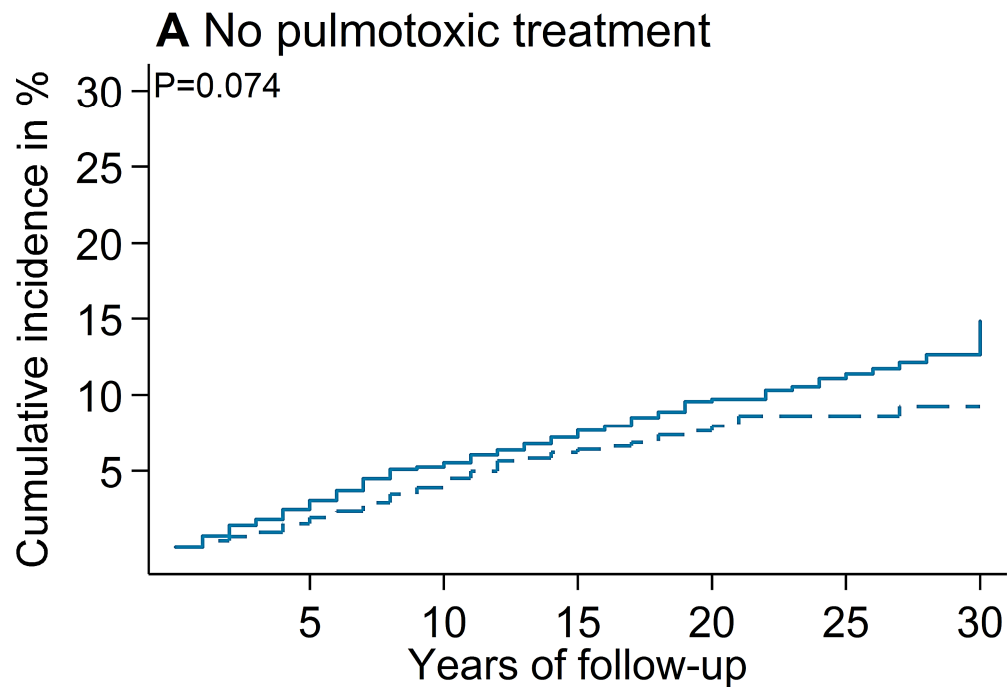
	Total Survivors (N=1894)			Any pulmonary disease (N=215)			Pneumonia (N=182)			Chest wall abnormalities (N=38)			Lung fibrosis (N=15)			Emphysema (N=3)		
	n	n	% <sup>a</sup>	P <sup>b</sup>	n	% <sup>a</sup>	P <sup>b</sup>	n	% <sup>a</sup>	P <sup>b</sup>	n	% <sup>a</sup>	P <sup>b</sup>	n	% <sup>a</sup>	P <sup>b</sup>		
Period of cancer diagnosis				0.690			0.421			0.952			0.026			0.913		
1976-1985	463	51	11		41	9		10	2		8	1.8		1	0.2			
1986-1995	839	101	12		89	11		16	2		3	0.4		1	0.1			
1996-2005	592	63	10		52	9		12	2		4	0.7		1	0.2			

<sup>a</sup> Row percentages are given.

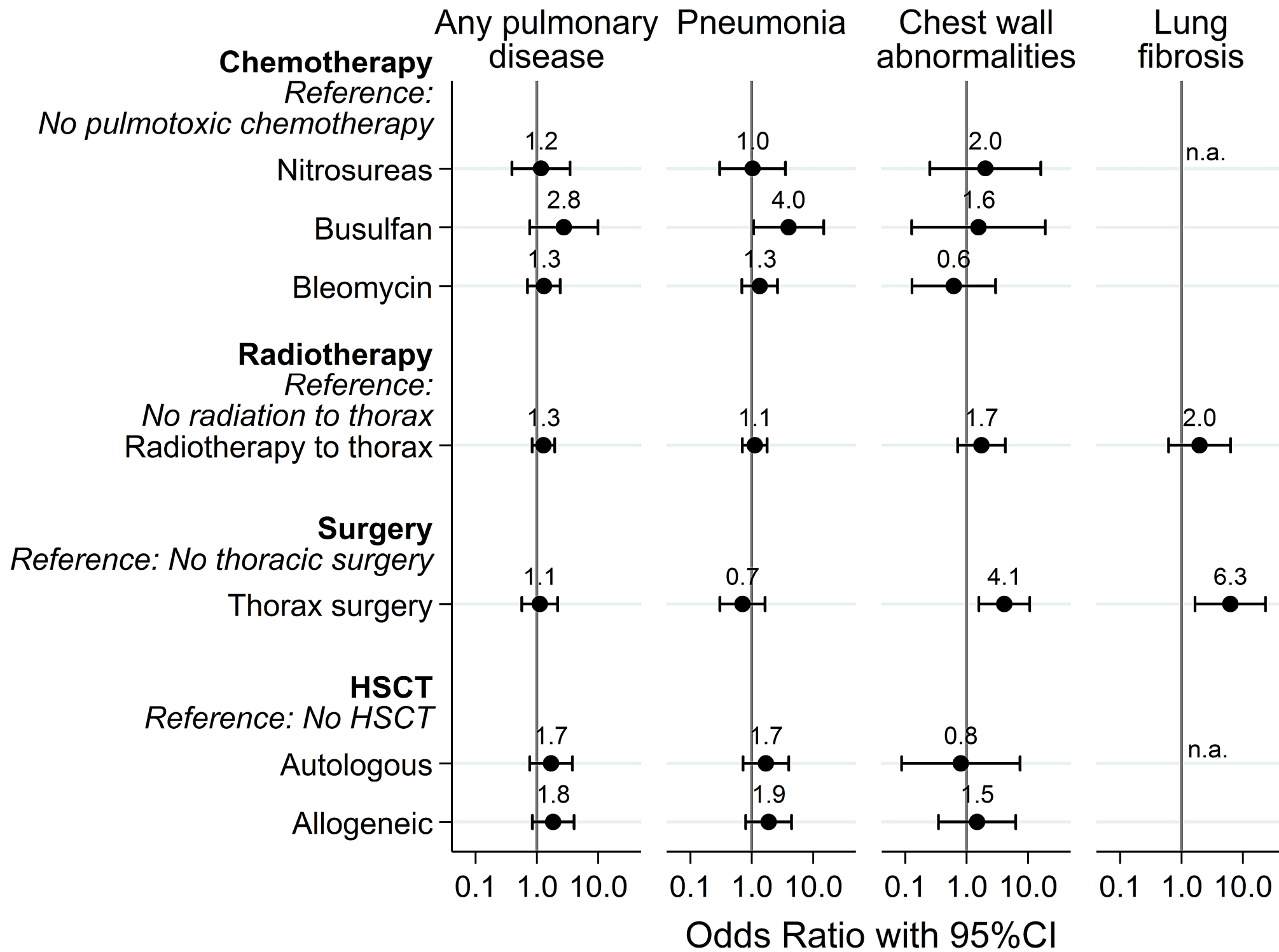
<sup>b</sup> P-values calculated from chi squared tests comparing prevalence of pulmonary diseases in periods of cancer diagnosis.







— Survivors - - - Siblings



# Supplemental

## Supplementary text

### Additional Methods

#### *Reporting of pulmonary diseases in the SCCSS*

We also looked at asthma and chronic cough in survivors and their siblings. Both are common in the general population. Asthma was reported by 208 (11%) of 1894 survivors and 76 (10%) of 731 siblings, and chronic cough lasting more than 3 months by 78 (4%) of 1894 survivors and 29 (4%) of 731 siblings. The prevalence of asthma and chronic cough did not differ between survivors and siblings ( $P=0.979$ ), regardless of whether siblings' figures were weighted for survivor's sociodemographic characteristics (gender, age at survey, Swiss language region, and migration background) or not. This suggests that survivors did not over-report pulmonary diseases in the SCCSS questionnaire compared to their siblings.

#### *Handling of missing data*

Few participants had missing outcome data: pneumonia (66 survivors [3%], 13 siblings [2%]), chest wall abnormalities (51 survivors [3%], 8 siblings [1%]), lung fibrosis (55 survivors [3%], 10 siblings [1%]), emphysema (55 survivors [3%], 12 siblings [2%]). The date of first occurrence of pulmonary diseases was missing in some CCS: pneumonia (68 of 190 survivors [36%], 16 of 58 siblings [28%]), chest wall abnormalities (12 of 42 survivor [29%]), lung fibrosis (0 of 16 survivors [0%]), emphysema (0 of 3 survivors [0%]). We performed non-parametric missing value imputation for mixed type data (continuous and categorical) to obtain estimates for these dates using the missForest package in R.<sup>26</sup>

**TABLE S1** Surgical details for survivors who had thoracic surgery

	<b>Survivors with thoracic surgery <sup>a</sup></b>	
	<b>n = 80</b>	
	n	(%) <sup>b</sup>
<b>Thoracotomy</b>	57	(71)
Tumor biopsy	12	(15)
Tumor resection	39	(49)
with no further specification	23	(29)
for pulmonary metastasectomy	8	(10)
for pulmonary wedge resection	2	(3)
for pulmonary lobectomy	6	(7)
Further specification	6	(7)
<b>Chest wall surgery <sup>c</sup></b>	11	(14)
Rib resection	7	(9)
<b>Thoracoscopy</b>	5	(6)
Tumor biopsy	1	(1)
Tumor resection	4	(5)
with no further specification	1	(1)
for pulmonary metastasectomy	1	(1)
for pulmonary wedge resection	2	(2)

<sup>a</sup> Survivors were only classified to a single category. If multiple surgeries were performed, we classified survivors by the most severe intervention in the following sequence (severe to less severe): lobectomy > wedge resection > metastasectomy > no further specification > biopsy.

<sup>b</sup> Column percentages are given.

<sup>c</sup> Including surgery to clavicle, scapulae and ribs, tumor excision from soft tissue on thorax, muscles on thorax, spine of thorax.

**TABLE S2** Characteristics of responding and nonresponding survivors and siblings before and after weighting for survivor's gender, age at survey, Swiss language region, and migration background

	Survivors					Siblings				
	Responders		Nonresponders			Unweighted			Weighted	
	N = 1,894		N = 1,024			N = 731				
	n	(%) <sup>a</sup>	n	(%) <sup>a</sup>	P <sup>b</sup>	n	(%) <sup>a</sup>	P <sup>b</sup>	(%) <sup>c</sup>	P <sup>b</sup>
<b>Sociodemographic characteristics</b>										
<b>Gender</b>					<b>&lt;0.001</b>			<b>&lt;0.001</b>		0.871
Female	898	(47)	389	(38)		428	(59)		(48)	
Male	996	(53)	635	(62)		303	(41)		(52)	
<b>Age at survey (years)</b>					<b>&lt;0.001</b>			<b>&lt;0.001</b>		0.980
16-19	419	(22)	250	(25)		116	(16)		(22)	
20-29	892	(47)	403	(39)		333	(45)		(47)	
≥30	583	(31)	371	(36)		282	(39)		(31)	
<b>Swiss language region</b>					<b>&lt;0.001</b>			<b>&lt;0.001</b>		0.982
German	1,320	(70)	655	(64)		592	(81)		(70)	
French	515	(27)	350	(34)		117	(16)		(27)	
Italian	59	(3)	19	(2)		22	(3)		(3)	
<b>Migration background</b>								<b>&lt;0.001</b>		0.896
No	1,436	(76)				618	(85)		(76)	
Yes	458	(24)				113	(15)		(24)	
<b>Lifestyle characteristics</b>										
<b>Smoking status</b>								0.104		0.666
Never smoker	1,218	(64)				457	(62)		(63)	
Ex-smoker	222	(12)				108	(15)		(13)	
Current smoker	454	(24)				166	(23)		(24)	

<b>Performing sports</b>					<b>0.001</b>	<b>0.003</b>
No	757	(40)		242	(33)	(33)
Yes	1,137	(60)		489	(67)	(67)
<b>BMI at survey</b>					<b>0.004</b>	<b>0.003</b>
Underweight	108	(6)		19	(3)	(2)
Healthy	1,271	(67)		513	(70)	(72)
Overweight / Obese	515	(27)		199	(27)	(26)

---

**Clinical characteristics**

<b>Age at diagnosis (years)</b>					<b>&lt;0.001</b>
0-5	695	(37)	300	(29)	
>5-10	446	(23)	199	(20)	
>10	753	(40)	525	(51)	
<b>Period of cancer diagnosis</b>					<b>&lt;0.001</b>
before 1986	463	(24)	207	(20)	
1986-1995	839	(44)	393	(38)	
after 1995	592	(31)	424	(42)	
<b>Diagnosis (ICCC-3)</b>					<b>&lt;0.001</b>
I Leukemia	601	(32)	223	(22)	
II Lymphoma	391	(20)	241	(24)	
III CNS tumor	262	(14)	179	(17)	
IV Neuroblastoma	73	(4)	28	(3)	
V Retinoblastoma	39	(2)	18	(2)	
VI Renal tumor	107	(6)	27	(3)	
VII Hepatic tumor	11	(1)	3	(0.3)	
VIII Bone tumor	86	(4)	57	(5)	
IX Soft tissue sarcoma	116	(6)	68	(6)	
X Germ cell tumor	94	(5)	99	(10)	
XI&XII Other rare tumors <sup>d</sup>	114	(6)	81	(8)	

<b>Treatments</b>				
<b>Chemotherapy</b>				
				<b>&lt;0.001</b>
No chemotherapy	347	(18)	361	(35)
Any chemotherapy	1,547	(82)	663	(65)
<b>Radiotherapy</b>				
				<b>0.027</b>
No radiotherapy	1,155	(63)	667	(65)
Any radiotherapy	739	(37)	357	(35)
<b>Surgery</b>				
				<b>&lt;0.001</b>
No surgery	836	(44)	300	(29)
Any surgery	1,058	(56)	724	(71)
<b>Hematopoietic stem cell transplantation (HSCT)</b>				
				<b>0.321</b>
No HSCT	1,802	(95)	983	(96)
Any HSCT	93	(5)	41	(4)

---

<sup>a</sup> Column percentages are given.

<sup>b</sup> P-values calculated from chi-squared tests comparing respective group to responders.

<sup>c</sup> Column percentages given are weighted for gender, age at survey, Swiss language region, and migration background of survivors.

<sup>d</sup> Including Langerhans Cell Histiocytosis, other malignant epithelial neoplasms, malignant melanomas, and other or unspecified malignant neoplasms.



**Table S3** Prevalence of pneumonia in childhood cancer survivors and siblings by cancer treatment

	Prevalence of Pneumonia <sup>a</sup>		
	%	(95%CI)	P <sup>b</sup>
Siblings (n=731)	7.0	(5.3 - 9.2)	
Survivors not treated with pulmotoxic chemotherapy or radiotherapy to the thorax (n=1,545)	9.6	(8.2 - 11.1)	<b>0.048</b>
Survivors treated with pulmotoxic chemotherapy (n=65)	14.5	(7.3 – 27.0)	<b>0.042</b>
Survivors treated with radiotherapy to the thorax (n=193)	10.4	(6.7 - 15.5)	0.129
Survivors treated with pulmotoxic chemotherapy and radiotherapy to the thorax (n=91)	14.4	(8.4 - 23.5)	<b>0.014</b>

<sup>a</sup> Prevalence of siblings is weighted for gender, age at survey, Swiss language region, and migration background of survivors. Prevalence of survivors is calculated with variable “Yes, ever in life.”

<sup>b</sup> P-values calculated from chi-squared tests comparing prevalence of survivors reporting pulmonary diseases and prevalence of pulmonary disease in siblings.

**TABLE S4** Associations between sociodemographic and treatment characteristics and self-reported pulmonary diseases. Results from multivariable logistic regression, adjusted for all factors in the table.

	Any pulmonary disease (n=215)			Pneumonia (n=182)			Chest wall abnormalities (n=38)			Lung fibrosis (n=15)		
	OR	(95% CI)	P <sup>a</sup>	OR	(95% CI)	P <sup>a</sup>	OR	(95% CI)	P <sup>a</sup>	OR	(95% CI)	P <sup>a</sup>
<b>Total N=1'894</b>												
<b>Socio-demographic characteristics</b>												
<b>Gender</b>			0.164			<b>0.023</b>			0.120			0.933
Female	Ref.			Ref.			Ref.			Ref.		
Male	0.8	(0.6 - 1.1)		0.7	(0.5 - 1.0)		1.7	(0.9 - 3.5)		1.0	(0.3 - 3.2)	
<b>Age at diagnosis</b>			0.883			0.963			0.150			<b>0.046</b>
0-5 years	Ref.			Ref.			Ref.			Ref.		
>5-10 years	0.9	(0.6 - 1.4)		1.1	(0.7 - 1.6)		0.4	(0.1 - 1.2)		6.3	(0.7 - 59.6)	
>10 years	1.0	(0.7 - 1.5)		1.0	(0.7 - 1.5)		0.9	(0.4 - 1.9)		8.0	(1.0 - 65.8)	
<b>Lifestyle characteristics</b>												
<b>Smoking status</b>			0.214			0.816			0.409			0.107
Never smoked	Ref.			Ref.			Ref.			Ref.		
Ex-smoker	1.4	(1.0 - 2.2)		1.2	(0.7 - 1.9)		1.8	(0.7 - 4.7)		3.3	(1.0 - 10.9)	
Current smoker	1.0	(0.7 - 1.5)		1.0	(0.7 - 1.5)		1.4	(0.7 - 3.0)		0.7	(0.1 - 3.3)	
<b>Performing sports</b>			0.724			0.214			0.104			0.499
No	Ref.			Ref.			Ref.			Ref.		
Yes	1.1	(0.8 - 1.4)		1.2	(0.6 - 2.1)		0.6	(0.3 - 1.1)		0.7	(0.2 - 2.0)	
<b>BMI at survey</b>			0.697			0.717			0.289			<b>0.037</b>
Underweight	1.3	(0.7 - 2.3)		1.1	(0.6 - 2.1)		1.9	(0.6 - 5.9)		6.6	(1.7 - 24.9)	
Healthy	Ref.			Ref.			Ref.			Ref.		
Overweight /Obese	1.0	(0.7 - 1.4)		1.2	(0.8 - 1.6)		0.7	(0.3 - 1.5)		0.9	(0.2 - 3.6)	

<b>Therapy</b>							
<b>Chemotherapy</b>							
No pulmotoxic drug	Ref.	0.405	Ref.	0.202	Ref.	0.813	n.a. <sup>b</sup>
BCNU/CCNU	1.2 (0.4 - 3.5)		1.0 (0.3 - 3.6)		2.0 (0.2 - 16.2)		
Busulfan	2.8 (0.8 - 9.9)		4.0 (1.1 - 14.9)		1.6 (0.1 - 19.2)		
Bleomycin	1.3 (0.7 - 2.4)		1.3 (0.7 - 2.6)		0.6 (0.1 - 3.0)		
<b>Radiotherapy to the thorax</b>							
No RT to the thorax	Ref.	0.266	Ref.	0.637	Ref.	0.234	0.266
RT to the thorax	1.3 (0.8 - 1.9)		1.1 (0.7 - 1.8)		1.7 (0.7 - 4.3)		2.0 (0.6 - 6.3)
<b>Surgery</b>							
No thoracic surgery	Ref.	0.799	Ref.	0.400	Ref.	<b>0.009</b>	<b>0.015</b>
Thoracic surgery	1.1 (0.6 - 2.2)		0.7 (0.3 - 1.6)		4.1 (1.6 - 10.7)		6.3 (1.7 - 26.6)
<b>Hematopoietic stem cell transplantation</b>							
No HSCT	Ref.	0.204	Ref.	0.255	Ref.	0.837	n.a. <sup>b</sup>
Autologous	1.7 (0.8 - 3.8)		1.7 (0.7 - 4.0)		0.8 (0.1 - 7.4)		
Allogeneic	1.8 (0.8 - 4.0)		1.9 (0.8 - 4.4)		1.5 (0.3 - 6.3)		

n.a.: Not applicable; Ref.: Reference; RT: Radiotherapy

<sup>a</sup> P-value was calculated with likelihood ratio-tests.

<sup>b</sup> Treatment factor was not included in multivariable logistic regression, as there were no events in the groups for nitrosoureas treatment and autologous HSCT.

**TABLE S5** Associations between sociodemographic and treatment characteristics and self-reported pulmonary diseases. Results from univariable logistic regression.

	Any pulmonary disease (n=215)				Pneumonia (n=182)				Chest wall abnormalities (n=38)				Lung fibrosis (n=15)				Emphysema <sup>d</sup> (n=3)	
	n <sup>a</sup>	(%) <sup>b</sup>	OR	P <sup>c</sup> (95% CI)	n <sup>a</sup>	(%) <sup>b</sup>	OR	P <sup>c</sup> (95% CI)	n <sup>a</sup>	(%) <sup>b</sup>	OR	P <sup>c</sup> (95% CI)	n <sup>a</sup>	(%) <sup>b</sup>	OR	P <sup>c</sup> (95% CI)	n <sup>a</sup>	(%) <sup>b</sup>
<b>Total N=1'894</b>																		
<b>Socio-demographic characteristics</b>																		
<b>Gender</b>				0.109				<b>0.022</b>				0.184				0.645		
Female	113	(13)	Ref.		101	(11)	Ref.		14	(2)	Ref.		8	(1)	Ref.		3	(0.3)
Male	102	(10)	0.8	(0.6 - 1.1)	81	(8)	0.7	(0.5 - 0.9)	24	(2)	1.6	(0.8 - 3.0)	7	(1)	0.8	(0.3 - 2.2)	0	(0)
<b>Age at diagnosis</b>				<b>0.536</b>				0.802				0.114				<b>0.019</b>		
0-5 years	75	(11)	Ref.		63	(9)	Ref.		16	(2)	Ref.		1	(0.1)	Ref.		0	(0)
>5-10 years	47	(11)	0.7	(0.7-1.4)	43	(10)	1.1	(0.7 - 1.6)	4	(1)	0.4	(0.1 - 1.2)	4	(1)	6.3	(0.7 - 56.4)	0	(0)
>10 years	93	(12)	1.0	(0.8-1.6)	76	(10)	1.1	(0.8 - 1.6)	18	(2)	1.0	(0.5 - 2.1)	10	(1)	9.3	(1.2 - 73.2)	3	(0.4)
<b>Lifestyle characteristics</b>																		
<b>Smoking status</b>				0.237				0.805				0.500				0.076		
Never smoked	133	(11)	Ref.		116	(10)	Ref.		21	(2)	Ref.		8	(1)	Ref.		1	(0.1)
Ex-smoker	33	(15)	1.5	(0.9 - 2.1)	24	(11)	1.2	(0.7 - 1.8)	6	(3)	1.6	(0.6 - 4.0)	5	(2)	3.5	(1.1 - 10.8)	0	(0)
Current smoker	49	(11)	1.0	(0.7 - 1.4)	42	(9)	1.0	(0.7 - 1.4)	11	(2)	1.4	(0.7 - 3.0)	2	(0.4)	0.7	(0.1 - 3.2)	2	(0.4)
<b>Performing sports</b>				0.89				0.359				0.055				0.991		
No	85	(11)			67	(9)	Ref.		21	(3)	Ref.		7	(1)	Ref.		2	(0.3)
Yes	130	(11)	1.0	(0.8 - 1.4)	115	(10)	1.2	(0.8 - 1.6)	17	(2)	0.5	(0.3 - 1.0)	8	(1)	0.8	(0.3 - 2.1)	1	(0.1)
<b>BMI at survey</b>				0.517				0.815				0.394				<b>0.031</b>		
Underweight	16	(15)	1.4	(0.8 - 2.4)	12	(9)	1.2	(0.6 - 2.3)	4	(2)	1.8	(0.6 - 5.4)	8	(1)	6.1	(1.8 - 20.5)	2	(2)
Healthy	143	(11)	Ref.		119	(11)	Ref.		26	(4)	Ref.		4	(4)	Ref.		1	(0.1)
Overweight/Obese	56	(11)	1.0	(0.7 - 1.3)	51	(10)	1.1	(0.8 - 1.5)	8	(2)	0.8	(0.3 - 1.7)	3	(1)	0.9	(0.2 - 3.5)	0	(0)

<b>Therapy</b>																		
<b>Chemotherapy</b>																		
	<b>0.035</b>				<b>0.028</b>				0.679									
No pulmotoxic drug	190	(11)	Ref.		161	(9)	Ref.		34	(2)	Ref.		12	(1)	2	(0.1)		
BCNU/CCNU	4	(13)	1.3	(0.4 - 3.7)	3	(10)	1.1	(0.3 - 3.7)	1	(3)	1.7	(0.2 - 13.1)	0	(0)	0	(0)		
Busulfan	5	(38)	5.1	(1.7 - 15.8)	5	(38)	6.2	(2.0 - 19.1)	1	(8)	4.2	(0.5 - 33.2)	1	(8)	1	(8)		
Bleomycin	16	(16)	1.5	(0.9 - 2.7)	13	(13)	1.4	(0.8 - 2.6)	2	(2)	1.0	(0.2 - 4.3)	2	(2)	0	(0)		
<b>Radiotherapy to the thorax</b>																		
	<b>0.035</b>				<b>0.028</b>				0.679									
No RT to the thorax	172	(11)	Ref.		149	(9)	Ref.		28	(2)	Ref.		9	(0.6)	Ref.	2	(0.1)	
RT to the thorax	43	(15)	1.5	(1.0 - 2.1)	33	(12)	1.3	(0.9 - 1.9)	10	(4)	2.1	(1.0 - 4.3)	6	(2)	3.8	(1.4 - 10.9)	1	(0.4)
<b>Surgery</b>																		
	0.320				0.787				<b>0.001</b>				<b>0.003</b>					
No thoracic surgery	203	(11)	Ref.		175	(10)	Ref.		31	(2)	Ref.		11	(1)	Ref.	1	(0.1)	
Thoracic surgery	12	(15)	1.4	(0.7 - 2.6)	7	(9)	0.9	(0.4 - 2.0)	7	(9)	5.5	(2.4 - 12.9)	4	(5)	8.6	(2.7 - 27.7)	2	(3)
<b>Hematopoietic stem cell transplantation</b>																		
	<b>0.015</b>				<b>0.027</b>				0.197									
No HSCT	195	(11)	Ref.		165	(9)	Ref.		34	(2)	Ref.		14	(1)		2	(0.1)	
Autologous	10	(21)	2.2	(1.1 - 4.5)	9	(19)	2.3	(1.1 - 4.9)	1	(2)	1.1	(0.2 - 8.4)	0	(0)		0	(0)	
Allogeneic	10	(22)	2.4	(1.1 - 4.8)	8	(18)	2.1	(1.0 - 4.7)	3	(7)	3.7	(1.1 - 12.6)	1	(2)		1	-2	

n.a.: Not applicable; Ref.: Reference group; RT: Radiotherapy

<sup>a</sup> Absolute numbers of survivors reporting pulmonary outcome.

<sup>b</sup> Row percentage are given.

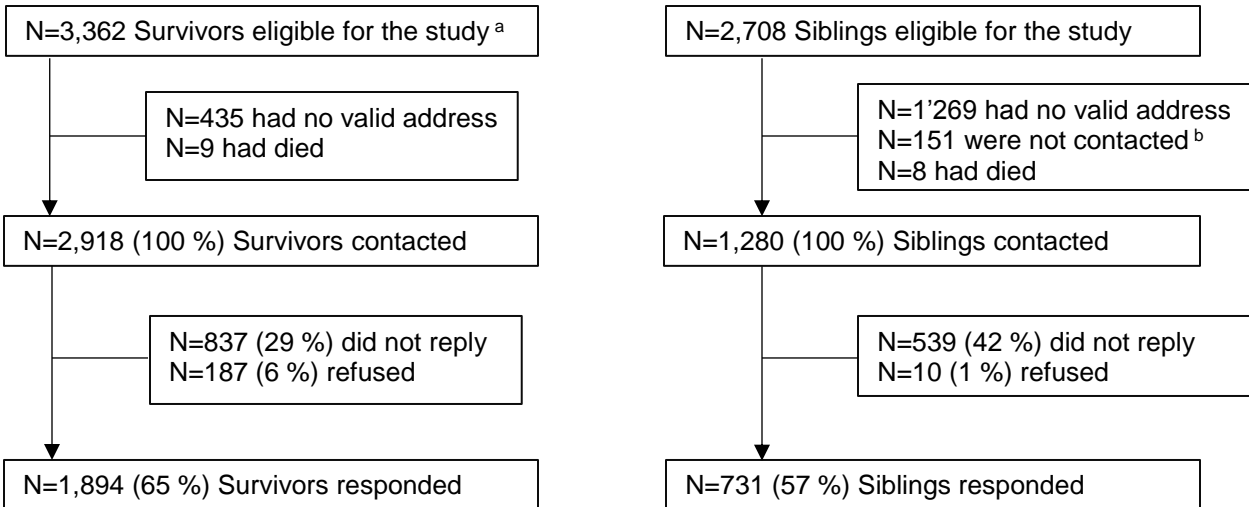
<sup>c</sup> Global P-value was calculated with likelihood ratio-tests.

<sup>d</sup> Proportions only are reported for Emphysema, as there were too few events reported.

Respiratory System (Lungs)					
Have you ever been told by a doctor that you have, or have had ...	Ever in life?		Since when?	Currently?	
	Yes	No		Yes	No
Asthma	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Chronic cough (for more than 3 month)	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Pneumonia <b>If yes, how many in the last two years?</b> _____ pneumonia	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Lung fibrosis (scarring of the lung)	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Changes on your thorax and/or ribs	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Emphysema	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Have you ever had an examination by a respiratory specialist, for example a spirometry or ergometry?	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
Any other breathing or lung problem? <b>If yes, describe this problem.</b>	<input type="checkbox"/>	<input type="checkbox"/>	_____ (Year)	<input type="checkbox"/>	<input type="checkbox"/>
_____					
_____					

**FIGURE S1** English translation of original questions for adults on pulmonary health in the SCCSS questionnaire

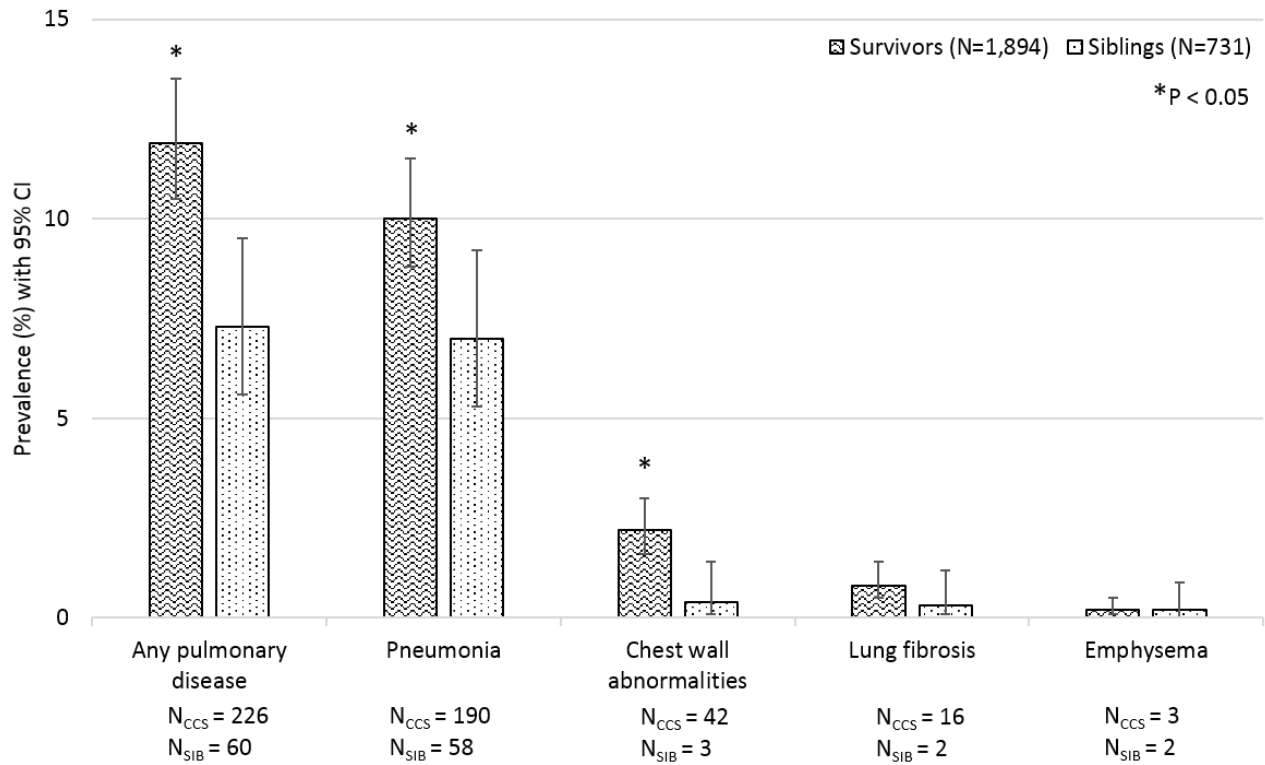
Original questions in German, French and Italian as well as for adolescents are available on request.



**FIGURE S2** Response rates in the Swiss Childhood Cancer Survivor Study for both, childhood cancer survivors and siblings,  $\geq 16$  years old at survey

<sup>a</sup> Eligible: registered in SCCR, diagnosed 1976-2005, aged  $\leq 20$  years at diagnosis, survived for  $\geq 5$  years from initial cancer diagnosis and were aged  $\geq 16$  years at survey

<sup>b</sup> Not contacted because of different reasons: sibling refused through survivor/parent; survivor does not want contact anymore, survivor has no contact with sibling, half-sibling, several siblings aged  $< 16$  years, survivor died.



**FIGURE S3** Long-term prevalence of self-reported pulmonary diseases in childhood cancer survivors and siblings

N<sub>CCS</sub>: Number in survivors; N<sub>SIB</sub>: number in siblings  
 Prevalence of siblings is weighted for gender, age at survey Swiss language region and migration background of survivors; Numbers are absolute values.