EXPERIMENTAL INVESTIGATION OF STEEL BUILDING GRAVITY FRAMING 1 2 SYSTEMS UNDER STRONG EARTHQUAKE SHAKING

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SUMMARY

9 Hybrid simulations were conducted to evaluate the system-level seismic response of a 4-story steel moment frame building and its gravity framing system through various levels of ground motion 10 11 shaking. The experimental substructure examined in detail corresponds to a half-scale 1¹/₂-bay by 12 1¹/₂-story subassembly of the steel gravity frame. Unlike typical beam-to-column connection tests, 13 the subassembly, which represented typical gravity framing steel construction, captured the 14 redistribution of force demands in beams, columns, panel zones, and the composite floor slab while 15 exhibiting inelastic behavior. Horizontal as well as varying vertical forces were applied on the test 16 specimen to mimic realistic boundary and loading conditions. This paper focuses on the 17 experimental response of the gravity framing system and its contribution to the lateral resistance 18 of a steel frame building. In particular, the lateral resistance and failure mechanisms of the test 19 frame are described and compared to numerical simulations based on state-of-the-art modeling 20 approaches. The data generated from these experiments provides valuable insight on gravity frame 21 behavior towards improvement and verification of frame models at the system level.

22 Keywords: gravity framing system, force-redistribution, seismic performance, hybrid simulation, 23 collapse, destabilizing effects

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1 **INTRODUCTION**

25 Secondary systems such as the gravity-force-resisting system (or simply "gravity frames") in steel 26 frame buildings are commonly neglected in the seismic performance assessment of buildings. 27 Instead, the primary lateral load-resisting system is only considered for this purpose. The gravity 28 framing connections are designed to carry gravity loads only and consequently assumed to provide 29 minimal lateral resistance. Cyclic testing of typical shear-tab beam-to-column connections (e.g., 30 [1-3]) underscore that there is appreciable reserve capacity in these connections. Following on 31 these experimental studies, only limited numerical studies have been conducted to assess the 32 influence of the gravity-framing system on the seismic response of frame buildings [4-6].

While most large-scale subassembly tests conducted to date conveniently obtain information on 33 34 single structural components, they do not necessarily capture the redistribution of forces within a 35 frame once earthquake induced damage occurs. Another limiting feature entails the fact that 36 prescribed loading protocols have been routinely used in such tests [7]. The majority of these protocols are symmetric [8]. Shake table collapse tests [9-10] suggest that the hysteretic behavior 37 of systems may be vastly different than that obtained from component tests subjected to pre-38 39 defined symmetric cyclic loading histories. The aforementioned findings highlight the need for

- 40 more physical system or subsystem tests with more realistic loading histories. However, the
- 41 associated financial constraints may be a compelling issue in this case.

Hybrid simulation with substructuring has been employed as a cost-efficient alternative to largescale system-level testing of frame structures [11-14]. In this approach, only key subassemblies are tested in the laboratory (i.e., physical substructures) while the rest of the structure is modeled numerically (i.e., numerical substructures), both interacting to simulate the response of the full system. Hashemi and Mosqueda [15] presented and validated a substructuring technique for hybrid simulation of multi-story frame structures. This approach was adopted to test two large-scale

- realistic subassemblies of a steel moment resisting frame (MRF) and a gravity frame at the University at Buffalo [16]. The details of the implementation of the hybrid simulation algorithms
- and the steel MRF are discussed in Del Carpio *et al.* [17] and Del Carpio *et al.* [11], respectively.

51 This paper focuses on the seismic performance of a steel gravity framing substructure as well as 52 the effectiveness of numerical modeling tools in simulating the response of the test structure from 53 the onset of damage through various seismic intensities of interest to the engineering profession. 54 The 1½-bay by 1½-story subassembly with composite floor slab examined here demonstrates the

55 damage progression in the gravity (shear-tab) beam-to-column connections and redistribution of

56 force demands within the beams, columns, panel zones, and the composite floor slab in the inelastic

- 57 range of behavior.
- 58

2 PROTOTYPE BUILDING

59 The four-story office building shown in Figure 1, designed and evaluated by Lignos and Krawinkler [18] was selected as the prototype building. This Category II (importance factor equal 60 to 1.0) building was designed for a site in Los Angeles, CA according to the U.S. codes/standards 61 of practice at that time [19-21]. The lateral load-resisting system consisted of steel special moment 62 63 frames (located around the perimeter of the building) with fully restrained reduced beam sections 64 (RBS) in both loading directions. The location of the moment connections is indicated by a solid 65 triangle symbol in Figure 1. The interior frames shown in Figure 1 were part of the gravity framing system in which the beams and columns were connected through conventional shear-tab beam-to-66 67 column connections indicated by the solid circle symbol.

68 An elevation view of the three-bay gravity frame selected for hybrid testing is shown in Figure 69 1(b). The story heights of the four-story building were interpreted as top-of-slab dimensions. Lignos et al. [9] and Hashemi and Mosqueda [15] examined the behavior of the two-bay steel 70 71 moment resisting frame (MRF) in the orthogonal direction in shake table and hybrid simulation 72 studies, respectively. In the aforementioned studies a 1/8-scale model of the two-bay frame was 73 employed. The interior frames shown in Figure 1(a) represent the gravity framing system tested as 74 part of the present experimental program. Details of the entire testing program including the results 75 of the moment frames are presented in detail in Del Carpio et al. [16].



(a) Typical floor plan

(b) Elevation of gravity frame selected for testing



Figure 1 Prototype office building used as part of the experimental program

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3 TEST SPECIMEN AND EXPERIMENTAL PROCEDURE

78 A $1\frac{1}{2}$ -bay by $1\frac{1}{2}$ -story subassembly of the gravity frame shown in Figure 1(b) was tested in the 79 laboratory via hybrid simulation with substructuring. The substructuring technique developed by 80 Hashemi and Mosqueda [15] was applied here to a large-scale realistic structural model. These 81 previous studies validated the substructuring technique through a series of hybrid simulations on 82 a 1/8-scale aluminum frame structure previously tested on a shake table by Lignos et al. [9]. In the 83 substructuring approach, the boundary conditions of the physical substructure were simplified with physical hinges at the mid-span of beams and columns similar to conventional cruciform or T-84 85 shaped subassemblies. This simplification is necessary to test larger frame subassemblies using a 86 reduced number of actuators without having to control rotational degrees of freedom at boundaries. 87 However, the substructuring technique implemented here minimizes the loss of simulation 88 accuracy due to the simplified boundary conditions by providing an overlapping domain between 89 the physical and numerical substructures. As an extension to this method, the column axial forces 90 from gravity loading were considered during the hybrid testing presented here. Numerical studies 91 demonstrating the substructuring technique for the frame examined here are provided in Del 92 Carpio *et al.* [17]. For the hybrid simulation, the numerical substructure was modeled in the Open 93 System for Earthquake Engineering Simulation (OpenSees) [22] and was integrated with the 94 physical substructure via the Open-Source Framework for Experimental Setup and Control 95 (OpenFresco) [23]. Previous assessments of numerical and experimental errors provided insight 96 to key parameters of the hybrid simulation integration algorithms and actuator control system 97 towards achieving reliable results [24-25].

98 3.1 Hybrid Model of Steel Moment-Resisting Frame and Gravity Frame

Figure 2 shows a schematic elevation of the half-scale hybrid model developed to simulate the response of the steel MRF building with gravity framing. The physical and numerical substructures are indicated in the figure. Note that the model accounts for the two MRF and three gravity frames in the prototype building. The hybrid model includes a detailed gravity frame model with a physical substructure, all coupled with a fully-numerical model of the steel MRF. Acknowledging that the contribution of the gravity frames is a function of the orientation of the gravity columns

- 105 [4], the hybrid model of the gravity frame here was developed assuming that all gravity columns
- 106 seen in Figure 1(a), are of the same size, they are continuous and oriented with their strong axis in
- 107 the direction of lateral loading.
- The experimental substructure representing half of the first story level was primarily selected to examine the response of a first story frame with the connecting beams and columns extend by half of their length to realistically approximate the corresponding boundary conditions. The dashed lines indicate the substructures' boundaries. The numerical model extends to overlap with the physical substructure as part of the implemented substructuring strategy. The hysteretic response of the two joints within the overlapping domain can be compared to evaluate the predicted and measured response. The physical and numerical components of the hybrid model were scaled by
- a length scale factor of S=0.50. As such, the ground motion time step was compressed by the time
- scale factor of $S^{1/2}=0.707$ based on similitude laws for true-replica physical models [26].





Figure 2 Schematic elevation of hybrid model of the steel frame

The wide-flange sections of the half-scale hybrid model, labeled in Figure 2, were selected to match relevant target section geometric properties such as the moment of inertia (I_x) , the crosssectional area (*A*), the plastic modulus (Z_x), and the local flange and web slenderness ratios, $b_f/2t_f$, h/t_w , respectively, as defined in the AISC-341-16 [27] provisions. These geometric parameters strongly influence the deterioration characteristics of commonly used wide-flange sections [28].

124 3.1.1 Design and Construction of Test Specimen (Physical Substructure)

125 The test specimen shown in Figure 3 was designed and constructed to retain many of the features 126 of realistic full-scale frame structures. The W12×16 floor beams were connected to the W6×20 127 columns with conventional single row shear-tab beam-to-column connections representing typical 128 gravity connections. The composite floor slab consisted of light-weight concrete with a specified 129 strength of 20 MPa (3000 psi) at 28 days and a maximum aggregate size of 13 mm (1/2 in) poured 130 over a 20GA metal deck (deck type B by Vulcraft). The total thickness of the floor slab was 131 specified as 83 mm ($3\frac{1}{4}$ in) [depth of metal deck = 38 mm ($1\frac{1}{2}$ in), thickness of concrete slab 132 above deck = 44mm ($1\frac{3}{4}$ in)]. The floor slab was reinforced with a welded wire mesh [6×6 -133 W1.4×W1.4 - wires spaced at 152 mm (6 in) with a total steel area of 59 mm² per meter in both 134 directions] placed over the entire area of the floor slab and reinforcing bars with a diameter of 9.5 135 mm (#3 bars) across the girder for crack control due to gravity loading. Shear studs were provided

- along the girder at 152 mm (6 in) on center and the floor beams at each metal deck rib. The floor
- 137 slab was constructed to extend out 610 mm (2 ft) on both sides of the beam to maintain symmetry
- 138 and minimize any potential out-of-plane response during testing. The total width of the concrete
- 139 slab was selected to match the corresponding effective width as per AISC [27] for an internal
- 140 gravity framing beam. The floor beams support the weight of the floor slab and the steel plates
- 141 used to simulate gravity loads on the test specimen. As shown in Figure 3, an HSS $6 \times 6 \times \frac{1}{2}$ loading
- beam was used to transfer the load from the horizontal actuator to the test specimen. The loading
- 143 mechanism is further discussed in a subsequent section.
- 144 Details of the shear-tab connection of the half-scale gravity frame physical subassembly are shown 145 in Figure 4(b). The connection geometry is half of that of the full-scale connection except for the 146 12mm(1/2 in) diameter bolts. A complete set of drawings is available in Del Carpio *et al.* [16].
- Except for the A36 (i.e., Fy=235MPa) steel 5mm (3/16 in) shear-tab plate used in the simple
- 148 gravity connections, wide-flange sections and steel plates (continuity plates, shear-tab plates,
- doubler plates, etc.) were fabricated from A572 Grade 50 (i.e., F_{ν} =345MPa) steel. The test
- 150 specimen utilized simple base plate connection details that reflected the current design practice. In
- brief, the base plate had a thickness of 13 mm (1/2 in) and four bolts (ASTM A325) of 13 mm (1/2 in)
- in) diameter. Although these simple connections are commonly assumed as pinned, they are
- 153 characterized by an appreciable level of flexibility [29] that was confirmed by measurements in
- 154 this experimental program.
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Figure 4 Details of shear-tab connection and composite concrete slab

Table 1 summarizes the measured yield (F_y) and ultimate (F_u) stresses of steel coupons for the W12×16 beam, W6×12 column and 5mm (3/16 in) shear-tab plate at an offset strain of 0.2%. The average yield stress values for the W12×16 and W6×20 coupons were 338MPa (49ksi) and 365MPa (53ksi), respectively. In order to measure the concrete slab properties, four concrete cylinders were tested; two of them were tested at 28 days and the remaining ones on the day of hybrid testing (at 51 days). Table 1 also lists the results from the concrete cylinder tests. The compressive strength of cylinder #1 was not obtained due to malfunctioning instrumentation.

Coupon/	W12×16 Beam			W6×20 Column			A36 Steel Plate		Concrete Slab	
Specimen	Loc	F_y	F_u	Loc.	F_y	F_u	F_y	F_y	Cyl. Age	$f_{c}^{'}$
No	LUC.	[MPa]	[MPa]		[MPa]	[MPa]	[MPa]	[MPa]	[days]	[MPa]
1	Web	345.4	435.1	Web	364.0	451.6	413.0	464.0	28	NA
2	Web	366.5	426.1	Web	376.5	453.0	415.8	465.4	28	22.5
3	Flange	328.2	424.7	Flange	361.3	449.5	_	_	51	25.3
4	Flange	333.7	426.8	Flange	365.4	448.8	_	_	51	25.9

Table 1 Measured material properties for steel cross sections and concrete slab

168 *3.1.2 Numerical Substructure Model*

169 The numerical substructures including the MRF and the rest of the gravity framing were modeled in OpenSees using a concentrated plasticity approach. The inelastic moment-rotation response of 170 171 the shear-tab connections was simulated with the hysteretic model developed by Lowes and 172 Altoontash [30] and modified by Mitra [31]. This model was calibrated to test data on conventional 173 shear tab beam-to-column connections [1]. To account for potential nonlinearity in beams and 174 columns of the gravity framing system, nonlinear plastic hinge elements using the hysteretic model 175 by Lignos and Krawinkler [28] were considered. The potential panel zone inelastic shear distortion 176 was considered with the Krawinkler model [4]. Geometric nonlinearities were included using the 177 P-Delta formulation in OpenSees. The numerical substructure included flexible supports that 178 matched the experimentally measured column base flexibility of the physical substructure. To 179 account for the ratio of number of moment to gravity frames in the direction of loading, the 180 numerical model of the moment frame shown in Figure 2(b) was encapsulated in a separate 181 OpenSees model as a second numerical substructure. This substructure was coupled with the 182 gravity frame model via OpenFresco. Thus, the force feedback vector returned from the moment 183 frame numerical substructure to the integration algorithm was modified by 2/3 (ratio of moment 184 to gravity frames). In this coupling procedure, the lateral displacements of the floor diaphragms 185 for the moment and gravity frames were constrained at each level. Viscous damping was 186 considered using the Rayleigh damping model based on the approach discussed in Zareian and Medina [32]. A damping ratio of 2.0% was assigned to the first two natural frequencies of the steel 187 188 MRF.

The hybrid simulations were conducted with the modified implicit Newmark method with constant number of iterations [34-35] as implemented in OpenSees [36]. The complexity of the numerical model required the use of an integration time step as small as 0.00117s with eight iterations for the higher intensity ground motion shaking. The accuracy and stability of the integration parameters for the hybrid model were evaluated by comparison to the conventional numerical model used for the pre-test predictions [17].

195 **3.2** Instrumentation of the Test Specimen

196 *3.2.1 Uniaxial Strain Gauges*

197 A total of thirty-nine uniaxial strain gages were strategically placed on the steel components of the 198 test specimen as shown in Figure 3(a) to compute the distribution of bending moment and axial 199 load demands along the steel beams and columns. The strain gauges were grouped at different 200 plane girder and column cross sections so as the flexural and axial demands could be computed by 201 assuming linear extrapolation of the corresponding force demands. Member forces were also 202 estimated at girder sections with composite slab by joint equilibrium with column moments while 203 neglecting the interaction with the shear force. For the internal joint with two composite beams, 204 the moment in the extended half-beam was determined from the axial force on the vertical link 205 member. For the hybrid model, the shear and moment at the top end of the first story columns was 206 returned as feedback forces from the experimental substructure. It is noteworthy that 207 measurements of the instrumentation system were set to zero at the beginning of the hybrid 208 simulations. The moments and rotations of the physical substructure presented later do not include 209 initial values from gravity loading of the physical subassembly from dead weight.

210 *3.2.2* String and Linear Displacement Potentiometers

Figure 3 shows 18 string displacement potentiometers (string pots) and six linear displacement 211 potentiometers (linear pots) installed on the test specimen to measure chord rotations over shear-212 213 tab connections columns and girders as well as panel zone shear distortions. Rotations were 214 measured over a length of 660 mm (26 in) for columns and 762 mm (30 in) for beams as shown in 215 Figure 3. Four additional string pots, not shown in Figure 3, were used to measure the out-of-plane 216 response of the test specimen (one string pot was attached at each joint of the test specimen) and 217 the relative displacement of the two horizontal actuators with respect to the ground floor (one 218 string pot was placed at the head of each horizontal actuator).

219 3.2.3 Krypton Coordinate Tracking System

220 A total of twenty-five light-emitting diodes (LEDs) were attached at different locations around the 221 end column of the test specimen as shown in Figure 3. The Krypton System was used to track the 222 three-dimensional position of LEDs through infrared cameras. Due to field of view limitations of 223 the camera system, LEDs could not be placed around the entire physical test specimen. The 224 arrangement of LEDs shown in Figure 3(c) permitted obtaining localized rotations over a shear-225 tab connection, beam and column ends, as well as the shear distortion of the end column panel 226 zone. The deformation demands of the end column base plate were also obtained with the same 227 system. This dense instrumentation captures the distribution of deformations throughout the frame 228 subassembly and can be used to more closely assess numerical models.

229 **3.3 Loading Frame**

230 The experimental setup shown in Figure 5 was designed to apply lateral as well as vertical loads 231 on the test specimen during a hybrid simulation. The test specimen was mounted on the strong 232 floor using two interface $274.3 \times 152.4 \times 3.8$ cm (9'×5'×1¹/₂") steel plates. These base plates added 233 flexibility at the column supports, with an equivalent rotational stiffness quantified with 234 measurements from the Krypton system. Lateral loading was applied through two horizontal 235 actuators controlling the lateral displacements at the first and mid-second story levels of the 236 physical substructure. The horizontal link member transferred the lateral loads from the top 237 horizontal actuator to the top of the physical substructure columns connected by pins. The bottom 238 horizontal actuator was connected to the floor level of the test specimen through a HSS6×6× $\frac{1}{2}$ 239 loading beam (see also Figure 3). This loading beam was welded to the end column and anchored 240 to the floor slab using 4 steel rods embedded in the concrete slab (the steel rods were placed at 241 least 305mm (12 in.) away from the center line of the beam to avoid strengthening the connection). 242 Although the latter connection aimed at transferring part of the lateral loads through the slab (more 243 similar to the actual load path in reality), the more direct load path and higher stiffness of the 244 welded connection likely transferred most of the lateral load directly to the end column. This load 245 was then transferred through the beam as an axial force to the other column. This load path is 246 somewhat different to that of a realistic gravity frame system where the floor inertial forces are 247 transferred to the frame via collector beams. This should be considered for future studies to 248 properly assess the influence of composite action on the behavior of steel frame buildings.

Gravity loads at the elevated first floor level of the physical substructures were simulated by four 37.8-kN (8.5-kip) steel plates. These were placed on the gravity frame physical substructure to

- simulate a uniformly distributed dead load of 4.3 kPa [90 pound per square foot (psf)] and 25% of
- the code-specified live load of 2.4 kPa (50 psf). Additional gravity loads on the columns of the
- 253 physical substructure from upper stories were applied with two vertical actuators. A reaction frame
- for these vertical actuators was mounted on top of the support frame. A vertical guide connection
- 255 was devised between the reaction frame and horizontal link member. It consisted of a vertical steel
- 256 pipe (connected to the horizontal link member at the bottom) freely sliding inside an outer pipe
- 257 (connected to the reaction frame at the top and braced to maintain a right angle). This connection
- 258 provided horizontal coupling between the reaction frame and the horizontal link member without
- transferring vertical forces. This helped to maintain a vertical alignment of the force-controlled actuators (mounted between these two members) and to accommodate the actuator vertical
- 261 displacements.

262 A support frame surrounded the physical substructure to provided out-of-plane support and serve 263 as a reaction frame for vertical actuators. The various components of the test setup (support frame, 264 reaction frame, and horizontal and vertical link members) were connected through clevises so that, 265 under the application of lateral loads, the supporting frame swayed and guided the physical 266 substructure in the direction of loading providing minimal lateral resistance. Clevises at the top of 267 the columns of the test specimen and end of cantilevered beam were provided to simplify the 268 boundary conditions at the interface with the numerical substructure. The cantilever portion of the 269 first-story girder was underpinned using a vertical link member (with clevises at the top and 270 bottom) to limit vertical deflection at the tip and generate lateral displacement dependent forces at 271 the fixed joint. Rotations and moments at the boundaries were not fully enforced since they are 272 difficult to apply with linear hydraulic actuators. However, these simplified boundary conditions 273 with overlapping domain were part of the substructuring strategy to apply equivalent forces at the 274 joints [17].



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Figure 5 Experimental setup for hybrid simulation at University at Buffalo

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278 **3.4 Loading Protocol**

The hybrid model was subjected sequentially to four increasing intensities of the 1989 Loma Prieta ground motion recorded at Los Gatos Presentation Center (LGPC) station. The ground motion amplitude was scaled to 25%, 100%, 150%, and 200%. The unscaled response spectra of the selected earthquake record matched approximately the maximum considered earthquake (MCE) at the fundamental period of the prototype steel frame building in the loading direction of interest. The 150% and 200% seismic events represent low-probability of occurrence seismic events that could potentially trigger earthquake-induced collapse.

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4 EXPERIMENTAL RESULTS

The hybrid simulations generated insight into the behavior of the tested gravity frame subassembly revealing that damage was mainly concentrated at the shear-tab connections with minimal yielding on the structural beams and columns. The shear-tab connections sustained large rotation demands (up to 0.10 rad.) while maintaining their vertical load carrying capacity. These observations are consistent with prior findings regarding the cyclic behavior of gravity connections [1].

292 Table 2 summarizes the testing sequence and provides the corresponding peak roof and story drift 293 ratio demands of the hybrid model for reference. Figure 6 shows the first story drift history 294 resulting from the four hybrid simulation experiments. The pre-test numerical predictions are 295 shown in the same figure for comparison purposes. The global response of the hybrid model 296 compared favorably with numerical pre-test predictions including through the high intensity 297 ground motions. The column base flexibility was experimentally measured prior to testing and 298 accounted for in the numerical substructure of the hybrid model and numerical pre-test predictions. 299 While the shear force contribution of the physical substructure representing the gravity frame to 300 the response of the complete frame system is small, the correlation to pre-test numerical 301 simulations indicate that reliable results were obtained from the hybrid simulation.

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Table 2 Summary of peak roof and story drift ratios for hybrid simulation tests

	Simulation	Actual	Peak Roof	Peak Story Drift Ratios [%]				
Test ID	Duration	Time Duration	Drift Ratio [%]	1 st Story	2 nd Story	3 rd Story	4 th Story	
HS02-25%	16.2s	3h:22m	+0.6	+0.7	+0.6	+0.6	+0.4	
HS02-100%	16.2s	2h:48m	-1.7	-2.0	-2.2	-1.8	-1.1	
HS02-160%	16.2s	2h:48m	-2.5	-3.1	-3.3	-2.4	-1.2	
HS02-200%	16.2s	3h:22m	-6.5	-7.8	-9.1	-7.8	-1.4	

The physical substructure being part of the gravity frame was expected to have a minor contribution to the total lateral resistance of the frame. However, there was a noticeable difference in the residual deformation at the end of the hybrid simulation test series that mainly occurred during the 200% scaled seismic intensity. This is attributed to residual deformations accumulated from previous seismic intensities that are very sensitive to various modeling parameters [37]. The influence of numerical models representing the shear-tab beam-to-column connections, steel beams, columns and panel zones is examined in more detail in the subsequent sections.

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Figure 6 First story drift ratio history resulting from hybrid simulations

313 4.1 Hysteretic Behavior of Shear-Tab Beam-to-Column Connections

314 Figure 7 shows the deduced moment-rotation relation and Figure 8 shows the corresponding 315 photographs of the damage state of shear-tab connection A of the physical substructure. The 316 location of the shear-tab connections, designated as shear-tab connection "A", B" and "C", is 317 indicated with a sketch right above Figure 7. The shear-tab connections of the physical substructure 318 are located within the overlapping domain. As such, the numerical model includes the simulated 319 response of the same shear-tab connection and provide a basis for comparisons. The difference in 320 strength and stiffness combined with the substructuring technique resulted in a redistribution of 321 force demands within experimental and numerical joints, as is evident at higher seismic intensities. 322 The peak inelastic rotation demands are indicated in each plot and also summarized in Table 3 for 323 all the physical beam-to-column connections.

Table 3 Peak rotations (in rad) in shear-tab beam-to-column connections of the test specimen (locations identified in Figure 7).

Test ID	Shear-Tab Connections					
Test ID	"A"	"B"	"C"			
HS02-25%	+0.005	-0.006	+0.008			
HS02-100%	-0.020	+0.014	+0.015			
HS02-160%	-0.026	+0.023	-0.025			
HS02-200%	-0.069	+0.049	-0.048			

326 The shear-tab connection response in Figure 7 includes the preliminary elastic hybrid simulation HS02-Sine and the test HS02-25%F. The latter test resulted in higher than expected loading due 327 328 to issues related to the hybrid simulation and later repeated. The initial low amplitude response of 329 the shear-tab connection was exhibited in these two preliminary tests. Due to the gravity-induced 330 load application on the physical specimen using actuators at the beginning of the hybrid simulation, 331 the moment-rotation relations of the physical shear-tab connections shifted vertically in most 332 cases. This vertical offset was maintained in the measured data to better capture the peak response, 333 though it can result in an offset between the physical and numerical data. Figure 8 shows photos 334 at various damage states that were either taken at the end of the simulation or after the maximum 335 deformation demands occurred thereby directly associating them with the maximum rotation 336 demands indicated in the figures.

337 The response of the three shear-tab connections (physical and numerical) remained elastic for the

- 338 first preliminary hybrid simulation conducted with the sine-pulse ground motion, HS02-Sine, as
- 339 observed in Figure 7 (a). The elastic rotational stiffness of the shear-tab connections A, B, and C
- were estimated from experimental measurements as 2.0×10^5 , 3.0×10^5 , and 1.3×10^5 kip-in/rad,
- respectively. These values are comparatively larger than the predicted value in the numerical
- model of 0.4×10^5 kip-in./rad. following the recommendations of Liu and Astaneh-Asl [1]. The first attempted hybrid simulation (HS02-25%F) was not successfully completed with the first story of
- the physical substructure unexpectedly subjected to a maximum inter-story drift ratio of 1.5%.
- 345 Figure 7 (b) shows that the measured moment-rotation response exhibited modest inelastic
- 346 response (with rotations in the order of 0.01 rad.) mainly due to friction between the shear-tab
- 347 plate and the beam web. Also, minor stiffening is observed likely due to bolt bearing. Visual
- 348 inspection of shear-tab connections identified flaking of white wash indicative of relative 340 movement between the shear tab plate and been web
- 349 movement between the shear-tab plate and beam web.
- 350 The successful repeat of the service level earthquake test (HS02-25%), in Figure 7 (c) demonstrate 351 stable hysteretic response for the shear-tab connections. The energy dissipation due to friction between the shear-tab plate and the beam web was not captured by the numerical substructure of 352 353 the gravity frame that remained mostly linear. At the MCE intensity (HS02-100%), a pinched 354 hysteretic response due to bolt bearing was observed. In this case, the numerical model reasonably 355 captured this behavior as well as the peak bending demands of the physical shear-tab connection 356 as seen in Figure 7 (d). Slight yielding of the beam web near the connection was evident for the 357 shear-tab connection A shown in Figure 8(b). Through the MCE level intensity, the shear-tab 358 connections demonstrated ductile behavior governed mainly by (i) friction between the shear-tab 359 plate and beam web and (ii) yielding of the shear-tab plate near the bolt holes and yielding of the 360 beam web for the exterior shear-tab plate in Connection "A".

At seismic intensities beyond MCE, significant binding of the lower beam flange on the column 361 362 flange governed the response of the shear-tab connections. Binding occurred at about 0.02 rads 363 under negative bending in connection "A" for HS02-160%. This resulted into an increase of the moment demand within the connection. Binding did not occur in the rest of the shear-tab 364 365 connections (B and C) since these interior connections present typically smaller rotation demands 366 at this level. For HS02-200%, connection C showed evidence of binding at about -0.02 rads. 367 Connection B was loaded mostly under positive bending with no binding. Evidence of binding is 368 shown for connection A in Figure 7(e,f) and Figure 8(c,d). Binding can lead to plastic hinging in 369 the column and this behavior was not observed during the hybrid simulations. Subsequent 370 pushover tests to failure did show evidence of this behavior [16].

371 Notably, no bolt fracture or shear-tab plate fracture was observed in any of the connections. This 372 is in contrast to the experimental program of Liu and Astaneh-Asl [1] where fracture of bolts and 373 shear-tab plates were typically reported after binding. This is primarily attributed to deeper beams 374 utilized in the testing program by Liu and Astaneh-Asl, and the cumulative damage prior to failure 375 resulting from the standard symmetric loading history. Similar observations regarding differences 376 between loading protocols and seismic testing prior to collapse are discussed in Lignos et al. [9] 377 and Lignos and Krawinkler [18] as well as recently conducted collapse tests on steel columns [38-378 39]. The observed differences in the measured flexural strength of the current testing program and 379 the one conducted by Liu and Astaneh can be attributed to the shallow versus deep beams that 380 were utilized in the two testing programs, respectively [40].







(a) Shear-Tab Conn. "A" [+0.005 rad.], HS02-25%



(c) Shear-Tab Conn. "A" [-0.026 rad.], HS02-160%



(b) Shear-Tab Conn. "A" [-0.020 rad.], HS02-100%



(d) Shear-Tab Conn. "A" [-0.069 rad.], HS02-200%

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Figure 8 Photographs of the damage of shear-tab connection "A"

384 **4.2 Concrete Slab**

Damage of the concrete slab was limited to the regions around the columns. Minimal concrete spalling was observed around the interior column as show in Figure 9, while a diagonal crack developed around the east column due to the lateral forces transferred by the horizontal actuator. While there was some evidence of damage on the concrete slab, the concrete floor slab did not lose its vertical load carrying capacity while supporting a dead load. This is worth mentioning

- 390 considering the extend of inelastic damage observed in composite floor systems around the steel
- columns in typical fully restrained beam-to-column connections [41].



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Figure 9 Minor spalling of slab near column after HS02-200%

394 4.3 Steel Columns

Both columns in the experiment remained essentially elastic through the test series except for the region near the column bases where white wash flaking revealed some flange yielding. The corresponding moment-rotation relations confirmed this observation. While the level of axial forces for the interior and end (exterior) columns due to gravity loading was 13% and 26% of the predicted column axial strength (defined as the column cross sectional area times the measured material yield stress), respectively, these minimally increased to 17% and 27% at the largest ground motion intensity due to dynamic overturning effects.

402 During the last hybrid simulation at HS02-200%, two bolts at each column base plate (4 bolts total) 403 fractured in tension as shown in Figure 10. Bolt fracture occurred at a peak first story drift ratio of 3.7% to 4.7%. The column bases of the physical substructure lost their moment resistance at this 404 point and rocked thereafter. The flexible supports of the numerical substructure (modeled with 405 elastic springs) allowed for yielding at the base of the numerical columns for the last two hybrid 406 407 simulations (HS01-160% and HS01-200%). Therefore, although the flexible supports helped to improve the predictions for low levels of loading, they resulted in unrealistic predictions for large 408 409 levels of loading in hybrid simulations HS02-160% and HS02-200%. Note that elastic 410 deformations are not shown for the numerical model in Figure 10(a).

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Figure 10 Response of interior column near base during HS02-200% test

417 4.4 Beam-to-Column Panel Zone Joint

Visual inspection of the beam-to-column joints showed minor panel zone yielding during the last hybrid simulation at 200% of the LGPC ground motion (HS02-200%). This is also evident from white wash flaking shown in Figure 8. Measurements of the panel zone distortion could not be obtained due to the floor beams attached transversely to the panel zone that blocked the vision of the Krypton camera and precluded installation of displacement sensors.

423 4.5 Column Base Plates

424 A comparison of the base plate response of the exterior physical and numerical columns shows a 425 similar linear response up to the HS02-25% test as shown in Figure 11(a). This is expected because the elastic stiffness of the numerical model was calibrated before the test. However, the response 426 427 of the physical column base plate support during hybrid simulation HS02-100% shown in Figure 428 11(b) resembles a self-centering hysteretic response as a result of rocking at the base of the gravity 429 columns. The column base plate, initially straight, bended and adopted a rounded convex shape 430 upon cyclic loading and consequently flatten the flag-type hysteretic response. Fracture of the first bolt at the physical column occurred at a rotation of approximately 0.03 rad. Fracture of the second 431 432 bolt moved the LEDs of the Krypton system and did not allow for capturing the subsequent column 433 base response.

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Figure 11 Deduced moment-rotation relations for column base plate

437 **4.6** Subassembly Base Shear Force

Figure 12 presents the first story base shear vs the first story drift ratio for the physical and the 438 439 equivalent numerical model. The base shear values were obtained by summing the column shear forces obtained from column end moments. The base shear is normalized by one third of the total 440 441 seismic weight of the half-scale building to represent the base shear of all gravity frames. The peak 442 normalized base shear is about 0.14 for the gravity framing while the normalized base shear force 443 of the steel MRF is 0.2 [11]. It can be seen in Figure 10(a) that the elastic flexibility of both the physical and numerical model is similar. However, for large levels of loading, the numerical sub-444 445 structure slightly over-predicts the lateral yield strength of the physical model. This was partly 446 attributed to the elastic springs at the base of the columns used to simulate the flexibility of the supports that eventually led to yielding at the base of the columns. The experimental findings 447 suggest that the contribution of the gravity framing to the lateral load resistance and strength of a 448 steel frame building is not insignificant. In particular, the normalized design base shear is 0.08 [18] 449 for the tested steel frame building. Therefore, the corresponding overstrength factor $\Omega = 0.34/0.08$ 450 451 = 4.25 in this case. This value is somewhat larger than those presented in ASCE 7-16 [42] for steel 452 frame buildings with special moment frames but fairly consistent with those presented in Elkady 453 and Lignos [43] based on extensive nonlinear building simulations of steel frame buildings with 454 special moment frames.



456 Figure 12 Normalized Base Shear vs first story drift for experimental and numerical
 457 gravity frame substructures

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5 SUMMARY AND CONCLUSIONS

459 A 1¹/₂-bay by 1¹/₂-story subassembly of a steel moment-resisting frame with concrete floor slab 460 was tested via hybrid simulation with substructuring. The ¹/₂-scale physical model was subjected to large story drifts (i.e., 16.4% at the first story) to observe its seismic behavior near collapse. The 461 large subassembly allowed for the systematic documentation of the hysteretic behavior of various 462 463 components of the gravity framing including the steel beams, columns, the panel zone, the column bases and their interaction with neighboring members under realistic combinations of lateral and 464 axial loads. These tests thus represent an improvement to traditional cyclic tests on cruciform or 465 466 T-shaped subassemblies as a step towards better characterizing system-level response. The data obtained from these tests demonstrate that hybrid simulation can be a cost-effective tool for 467 468 assessing the seismic behavior of moment frames near collapse. The capabilities of numerical 469 models to trace the response of the above mentioned components were also assessed using the data 470 obtained from the experiments. The key conclusions of the paper are summarized as follows:

- The elastic stiffness of the gravity framing connections during service level shaking was at least twice larger than what is recommended in ASCE 41-17 [44] for partially restrained beam-to-column connections. Such differences diminished at higher ground motion intensities when the connections exhibited considerable inelastic behavior.
- Beam binding to the gravity column face at relatively small rotation demands (0.02 rads) did not cause bolt and shear tab fracture of any of the gravity framing connections. This contradicts findings from conventional shear tab connection tests [1](Liu and Astaneh 2000). This is attributed to differences in the respective beam depths between testing programs (shallow versus deep) as well as the inelastic cumulative damage arising from symmetric loading protocols.
- Cosmetic damage was observed in the concrete slab, the steel columns and the beam-to-column joint panel zones of the physical substructure. This is worth noting considering the extent of inelastic damage observed in composite floor beams that are part of fully restrained beam-to-column connections.
- The gravity column base connections experienced considerable inelastic damage involving steel plate yielding and anchor rod fracture at seismic intensities associated with a maximum considered earthquake. The column base connection rocked at higher intensities exhibiting a favorable hysteretic behavior with self-centering characteristics.
- The corresponding overstrength of the combined steel MRF and gravity framing systems was more than 4, which is somewhat larger than the values reported in ASCE 7-16 [42], but consistent with prior studies that assessed the influence of the gravity framing system on the system behavior factors in steel frame buildings with special moment frames.
- Although numerical models representing the gravity framing components estimate in a dissent manner the global engineering demand parameters (story drift ratios and story shear forces) of the gravity framing system, they should be properly refined to predict local engineering parameters if these are of interest.
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