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Analysis of wall-embedded Langmuir probe signals in different conditions on the tokamak à configuration variable

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8 This paper presents the current wall-embedded Langmuir probe system installed on the tokamak à 9 configuration variable, as well as the analysis tool chain used to interpret the current-voltage charac-10 teristic obtained when the probes are operated in swept-bias conditions. The analysis is based on a 11 four-parameter fit combined with a minimum temperature approach. In order to reduce the effect of 12 plasma fluctuations and measurement noise, several current-voltage characteristics are usually aver-13 aged before proceeding to the fitting. The impact of this procedure on the results is investigated, as well 14 as the possible role of finite resistances in the circuitry, which could lead to an overestimation of the 15 temperature. We study the application of the procedure in a specific regime, the *plasma detachment*, 16 where results from other diagnostics indicate that the electron temperature derived from the Langmuir 17 probes might be overestimated. To address this issue, we explore other fitting models and, in particular, 18 an extension of the asymmetric double probe fit, which features effects of sheath expansion. We show 19 that these models yield lower temperatures (up to approximately 60%) than the standard analysis in 20 detached conditions, particularly for a temperature peak observed near the plasma strike point, but a 21 discrepancy with other measurements remains. We explore a possible explanation for this observation, 22 the presence of a fast electron population, and assess how robust the different methods are in such 23 conditions. https://doi.org/10.1063/1.5022459

24 I. INTRODUCTION

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25 A surface in contact with a plasma acts as a sink for elec-26 trons and ions. Because of the higher mobility of the electrons, 27 they will tend to reach the surface faster than the ions, resulting 28 in the accumulation of negative charges on the surface, which 29 will in turn repel electrons. This results in the formation of a *sheath*¹ close to the surface, a region where quasi-neutrality 30 31 is not enforced.² If the surface potential is left floating, it will 32 adjust itself so that the ion and electron currents reaching the 33 surface counterbalance each other. However, if a voltage is 34 imposed to the surface, then a current can flow. Measurements 35 of this current can be used to derive essential properties of 36 the plasma in the vicinity of the sheath. This idea is at the 37 heart of the Langmuir Probe (LP),³ a common diagnostic in 38 plasma physics. By measuring the current reaching a probe 39 to which a swept-bias potential is applied, it is possible to 40 construct the so-called current-voltage characteristic (or I-V 41 characteristic), which can then be used to derive plasma prop-42 erties such as the electron temperature T_e , density n_e , and 43 floating potential V_{fl} , by fitting a physical model to the data.^{1,4} 44 However, the interpretation of the measurements provided by 45 the probes can be challenging, particularly for a magnetized 46 plasma, as it occurs, for example, in a tokamak. The purpose 47 of this paper is to present the standard analysis chain that is 48 used to interpret the data obtained from the wall-embedded Langmuir probes on TCV^{5,6} (Tokamak à Configuration Vari-49 50 able) at EPFL (École Polytechnique Fédérale de Lausanne)

and to investigate alternative methods in non-standard situa-52 tions and regimes where the default analysis is susceptible to 54 misbehave. After briefly presenting the Langmuir probe system installed on the TCV tokamak (Sec. II), we detail the 55 standard analysis workflow in Sec. III. After a first step consisting in the removal of possible stray currents from the measured 57 signals, the I-V characteristics are fitted with a four-parameter 58 model,^{7–9} combined with a minimum temperature method.¹⁰ We then investigate the impact of averaging several I-V curves 60 before performing the fit, which allows reducing the effect 61 of measurement noise and plasma fluctuations, assuming that the plasma is in stationary conditions. In non-stationary plas-63 mas, for instance, in the H-mode with Edge Localized Modes (ELMs),¹¹ possible solutions to facilitate the fitting procedure are outlined. We furthermore provide an estimate of the error induced by the presence of resistance in the circuitry, which could yield an overestimation of the temperature. In Sec. IV, the standard analysis is applied to a discharge with varying conditions. We study a density ramp experiment, where the 71 line-averaged density of the plasma is linearly increased during the discharge. In particular, we focus on a regime called 72 plasma detachment,¹² where both density and temperature at 73 the wall drop, and a pressure gradient develops along the magnetic field lines. We observe that the temperatures and densities derived from the Langmuir probe analysis tend to show the expected temperature and density drop, although the tempera-77 ture can still attain between 5 and 10 eV in this regime across part of the wall,¹³ while simulations and other measurements predict much lower temperatures. In particular, we observe a 81 strong temperature peak in a certain part of the profile that

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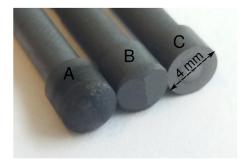
82 seems to be in contradiction with the expected physics. This 83 could be an indication of a possible shortcoming of the anal-84 ysis procedure. In addition, we observe a significant change 85 of the I-V curve shape around detachment, with a reduction 86 of the ratio between the electron and ion saturation currents. 87 Therefore, to further investigate these issues, we explore other 88 fitting models that can be used instead of the four-parameter fit 89 in detached conditions. We first take advantage of the expected relation between the plasma potential and the floating poten-90 91 tial to obtain another estimate of the temperature. We also 92 introduce the Asymmetric Double Probe (ADP) model with sheath expansion, which folds in information from the entire 93 94 I-V characteristic (Sec. V) and which could, in principle, yield 95 more reliable results in detached conditions. These alternative 96 fitting methods are included in the analysis chain as auxiliary estimates of the electron temperature. We show that in 97 98 attached conditions, all the three methods are in relatively good 99 agreement and agree relatively well in detached conditions 100 far from the strike point. Near the strike-point, however, the 101 two alternative methods do not show the strong temperature 102 peaking observed with the four-parameter fit, an indication 103 that it is indeed due to misbehavior of the four-parameter 104 fit technique. Finally, in Sec. VI, we investigate the possi-105 ble effect of a fast electron population on the inferred electron 106 temperature, as their presence in detached plasma is strongly suspected.¹⁴ 107

108 **II. THE TCV LANGMUIR PROBE SYSTEM**

109 The TCV wall-embedded Langmuir probe system¹⁵ is currently composed of 114 Langmuir probes (LPs). The cylin-110 111 drical probe tips are made of graphite and have a 4 mm 112 diameter. The probes are embedded flush into the tiles except 113 for a few rooftop probes and the floor probes, which have a dome-shaped head, protruding from the tile shadow by 1 mm. 114 115 Figure 1 shows a picture of the three different probe heads 116 installed in TCV. The locations of the probes are shown in 117 Fig. 2, where a color code is used to distinguish the different kind of probes. 118

Data are acquired at 200 kHz, and for each probe, two 119 operational modes are possible: 120

121 No biasing is applied to the probe, which is therefore left 1. 122 floating. It accumulates charges such that the collected 123 current is zero, and the associated potential, the so-called 124 floating potential, is measured.



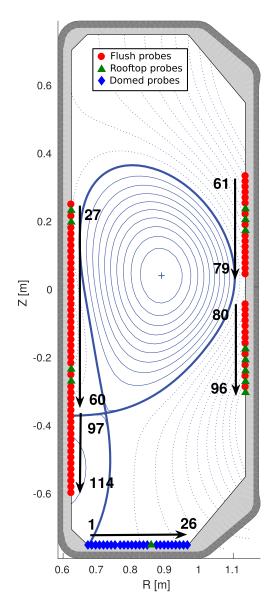


FIG. 2. Positions of the currently installed Langmuir probes in the TCV vac-127 uum vessel walls. For illustration purpose, a typical plasma geometry is plotted (shot #52062). The different colors and symbols indicate the type of the probe. Red circle: flush embedded. Green triangle: rooftop. Blue diamond: dome.

2. An arbitrary biasing voltage in the range ± 120 V relative to the machine ground is applied to the probes, and the current collected by the probe is measured.

In this article, we focus on the second operational mode and, in particular, on swept-bias, where the voltage V_{pr} applied to the probes is a triangular signal of given frequency (typically 330 Hz) and amplitude (typically ranging from -100 V to 137 80 V), thus allowing us to construct I-V characteristics of the 138 plasma response in the vicinity of a probe, as illustrated in 139 Fig. 3.

III. STANDARD ANALYSIS

This section describes the standard analysis chain implemented at TCV to analyze the measurements from the Langmuir probe system.

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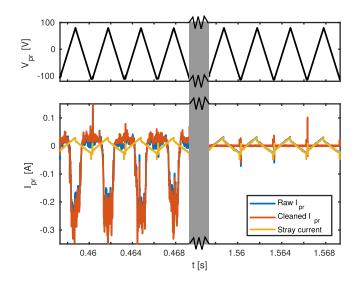


FIG. 3. (Top) Voltage sweep applied to an LP. (Bottom) Associated current
 collected by the probe (blue curve). The yellow curve shows the stray current
 caused by the circuitry, and the red curve shows the "cleaned" current resulting
 from the subtraction of the stray current from the measured current.

¹⁴⁸ A. Removal of stray currents

Because of the non-ideality of the circuitry, the presence 149 150 of stray currents in the system cannot be excluded. In order to 151 evaluate them, voltage sweeps are acquired after the termina-152 tion of the plasma, when the currents provided by the probes 153 should be $I_{pr} = 0$. Therefore, the only currents that are measured are the stray currents I_{stray} coming from the circuitry. 154 155 I_{stray} can then be reconstructed by averaging the measured patterns over a few sweep cycles (typically 50) to provide 156 157 $I_{stray}(V_{pr})$, and, assuming that the stray currents do not change 158 during a discharge, it is then possible to subtract them from the 159 measured signals, thus giving a "clean" I_{pr} . Such a process is 160 illustrated in Fig. 3, which shows the current measured by an 161 LP operated with a swept voltage (shown in the top panel of 162 Fig. 3). The measured current is plotted in blue in the bottom 163 panel of Fig. 3. For each probe, a few sweeps performed after 164 the termination of the plasma are used to determine the stray current Istray, plotted in yellow in Fig. 3. Istray is then removed 165 166 from the measured current to reconstruct the "cleaned" current 167 I_{pr} , plotted in red in Fig. 3. By subtracting I_{stray} from the col-168 lected current, one ensures that the current to be analyzed only 169 stems from the plasma and not the circuitry. In Fig. 4, we have 170 plotted the effect of the stray current removal on the obtained I-V characteristics for this specific case. We observe that, in the 171 172 absence of stray current removal, there is a difference between 173 upward and downward sweeps in the ion saturation branch 174 of the I-V curve. In particular, downward sweeps (and, to a 175 lesser extent, upward sweeps) show an unphysical drop of 176 the measured current at low V_{pr} . This is however not seen 177 on the "clean" current I-V characteristic, obtained by removing the stray current. The stray current removal is performed 178 179 routinely and automatically at the beginning of the Langmuir probe analysis chain. 180

¹⁸¹ B. Fitting model

¹⁸² The standard interpretation of the I-V characteristic in ¹⁸³ TCV is performed using a four-parameter model^{7,9} that links

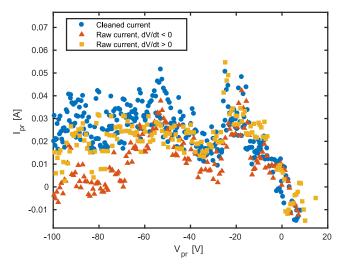


FIG. 4. Example of an I-V curve reconstructed during a complete voltage sweep (one voltage up and one voltage down phase) with and without the removal of stray currents, for the probe considered in Fig. 3. For readability of the figure, we zoomed in on the ion saturation part of the curve.

the measured current I_{pr} to the voltage V_{pr} applied to the probe, as follows:

$$I_{pr} = I_{sat} \left(1 + \alpha \left(V_{pr} - V_{fl} \right) - e^{\frac{V_{pr} - V_{fl}}{T_e}} \right). \tag{1}$$

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Here, V_{fl} is the floating potential, that is, the potential at which the probe draws no current from the plasma. α accounts for the effect of sheath expansion.¹⁶ T_e (expressed in eV) is the electron temperature, and I_{sat} corresponds to the ion saturation current. The saturation current is linked to n_e and T_e via the Bohm condition,^{1,4}

$$I_{sat} = n_{e,se} e c_s S, \tag{2}$$
¹⁹⁷

where $n_{e,se}$ is the electron density at the entrance of the sheath 198 and $c_s = \sqrt{\frac{\gamma T_i + T_e}{m_i}}$ is the ion sound speed, with γ being the adi-199 abatic index. In the following, we use $\gamma = 1$ and $T_i = T_e$, 200 unless stated otherwise. It should be noted that when $\gamma = 1$, 201 202 c_s corresponds to the sound speed of an isothermal plasma. In non-isothermal situations, depending on the assumptions 203 204 made on the collisionality, the value of γ should be comprised between 5/3 and 3 (see Refs. 1, 2, and 17). In Ref. 18, the 205 206 value $\gamma = 3$ is used. In our case, we use $\gamma = 1$ as a default value to assure backward compatibility with previous TCV studies 207 208 which assumed this value. It is however an input parameter of the analysis that can be changed if necessary. S is the effective 209 ion collection area of the probe and is taken as the projected 210 area of the probe along the magnetic field, with the latter deter-211 mined from the equilibrium reconstruction code LIUQE¹⁹ and 212 typically forming an angle with respect to the wall of about 213 214 0° to about 10° . Equation (2) thus allows evaluating the electron density $n_{e,se}$ near the wall from the value of I_{sat} and T_e 215 determined from the fit. In order to better model the current 216 collected by the probe, the sheath expansion effect 16 is added. 217 It corresponds to the modification of the sheath thickness due to 218 the presence of the biased probe. While analytical expressions 219 have been developed to model this phenomenon in the case of 220 flush-mounted^{18,20,21} and cylindrical probes,²² we opted for 221 a simpler formulation based on a linear reduction of the ion 222

current as the probe voltage is increased,⁷ the rate of the modification being set by the additional fit parameter α , defined such that $\alpha < 0$.

226 A difficulty in the I-V curve fitting procedure is that Eq. (1) is only valid at most up to the plasma potential V_{pl} and thus is 227 228 not able to model the electron current saturation. In practice, 229 the I-V curve already deviates from the exponential decrease well below V_{pl} . Therefore, in order to fit I-V characteristics, Q2 230 a supplementary step is added so as to find an upper limit of 231 V_{pr} over which to apply Eq. (1). In the standard analysis pro-232 233 cedure, we use the *minimum temperature* method.¹⁰ At first, 234 a non-physical fit of the data is done using a modified hyper-235 bolic tangent function, presented in Appendix B, allowing us to invert the I-V characteristic to obtain $V_{pr} = V_{pr}(I_{pr})$. A 236 discrete grid $\{r_n\}_{n=1,...,N}$, where $r_n \in \mathbb{R}_+$, is specified by the 237 user and used to determine a discrete set of cut-off voltages 238 $\{V_n^*\}_{n=1,...,N}$ defined by $V_n^* = V_{pr}(-r_n I_{sat}^{est})$, where I_{sat}^{est} is an estimate of I_{sat} based on the first (lowest voltage) points of the 239 240 241 characteristic. The I-V curve is then fitted on each interval $\left[\min(V_{pr}), V_n^*\right]_{n=1,\dots,N}$. Among the *N* individual fits obtained in this way, the one which returns the lowest temperature is 242 243 then retained. 244

245 The minimum T_e approach avoids that a too large fraction 246 of the curve is fitted, which would result in an overestimation 247 of T_e . The choice of the grid $\{r_n\}_{n=1,\dots,N}$ used to apply the 248 minimum temperature method is however critical. For indi-249 vidual I-V curve fitting, if the chosen grid is too fine, then the algorithm can pick up on noise or fluctuations occurring on a 250 251 faster time scale than the sweeping period (which is typically 252 the case for plasma edge turbulence) and will return tempera-253 tures much lower than realistic. Such a case is illustrated in the 254 top panel of Fig. 5, where we have plotted the current collected 255 by an LP and the associated naive *minimum temperature* fit. 256 One can see that in this situation, the minimum temperature 257 approach leads to a low cut-off value for the fit ($V_{cut} \approx 1.9$ V), and a large part of the characteristic has been discarded. The 258 259 fit appears to have picked up on fluctuations. A possibility 260 to avoid this problem is to choose a coarser grid and check 261 that the fit did not pick up on fluctuations. A better solution 262 often consists in aggregating several I-V characteristics over a 263 given time-window (typically 50 ms in our analysis) in order 264 to get more points and thus reduce the effect of fluctuations.¹⁸ 265 To further enhance the stability of the minimum temperature 266 method, the I-V data are also binned to produce an averaged 267 I-V characteristic that is reasonably smooth. This is plotted in 268 the bottom panel of Fig. 5, where the data points correspond 269 to the data acquired for a period of 50 ms, corresponding to 270 \approx 32 voltage sweep cycles. The cut-off threshold determined 271 by the procedure is higher ($V_{cut} \approx 6.5$ V) than that in the case 272 of the single I-V fitting case, and a larger part of the (binned) 273 I-V characteristic has been fitted, which ultimately leads to a 274 derived temperature that is higher than that in the previous case. In both cases, we used $\{r_n\} = \left\{ (n-1)\frac{6}{39} \right\}_{n=1,\dots,40}$. This grid has 275 been chosen based on the observation of the general aspect of 276 277 the I-V curves in TCV and generally yields satisfactory results 278 when fitting aggregated I-V curves.

We now focus on the effect of aggregating data from multiple I-V characteristics and then binning the data, when 281

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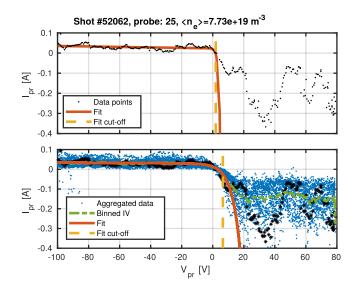


FIG. 5. (Top) Four-parameter fit associated with the minimum temperature method of a single I-V characteristic that features a high level of fluctuations. The points represent the measurements, while the red curve corresponds to the fit that has been determined by the method. The vertical line indicates the voltage cutoff that has been determined by the minimum temperature approach. (Bottom) Typical aggregated I-V data (blue dots) and binned I-V curve (green dashed curve). The red curve is the fit of the averaged I-V curve using the 4 parameter model described by Eq. (1). The data points from the single I-V curve in the top plot are highlighted by black stars. $\langle n_e \rangle$ refers to the line-averaged density of the plasma.

compared to a fit of each characteristic with a good choice 291 of the voltage grid $\{r_n\}_{n=1,\dots,N}$. In Fig. 6, two temperature 292 profiles are plotted as a function of the radial coordinate 293 ρ_{ψ} , which is the normalized poloidal magnetic flux, defined 294 as $\rho_{\psi} = \sqrt{(\psi - \psi_0) / (\psi_1 - \psi_0)}$, where ψ is the poloidal mag-295 netic flux and ψ_0 and ψ_1 are its value at the magnetic axis 296 and at the primary X-point, respectively. The blue profile 297 in Fig. 6 has been obtained after fitting the average of I-V 298

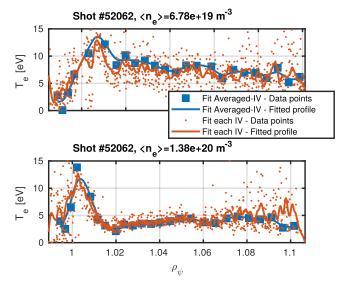


FIG. 6. Profiles of T_e showing the impact of I-V characteristics averaging before the fitting procedure, compared to averaging the data points after fitting. (Top) High temperature (>5 eV) case. (Bottom) Low temperature (<5 eV over most of the profile) case.

curves on a 50 ms time span, using $\{r_n\} = \left\{(n-1)\frac{6}{39}\right\}_{n=1,\dots,40}$. The red data points have been obtained by fitting indepen-303 304 305 dently each I-V curve from the 50 ms time span, and the 306 red profile represents the interpolation of this data. To limit 307 the pickup on fluctuations in this case, we use a coarser grid, defined by using $\{r_n\} = \{1, 2, 3, 4, 6, 8\}$. The profiles resulting 308 309 from the two methods are similar, even if one observes, as 310 expected, a larger scatter of the data points when each I-V 311 curve is fitted separately. Therefore, in cases with station-312 ary turbulence that do not feature fast mesoscale fluctuations such as ELMs or fast strike-point sweeping, averaging the 313 314 I-V curves before fitting yields very satisfactory results while 315 being simple to use. A similar conclusion was obtained on the TORPEX device,²³ where fitting-aggregated I-V curves 316 provided profiles very similar to those obtained from time-317 318 averaging measurements from a triple probe.⁹ This technique 319 is therefore the default strategy that is used in the analysis tool chain.

320 Averaging and binning I-V data are however not well adapted to non-stationary conditions, for instance, in cases 321 322 of fast strike-point sweeping or during the H-mode, where 323 ELMs can occur during a sweep. In the former case, reduc-324 ing the time-window used for aggregating the data or applying 325 the minimum temperature method to single I-V characteris-326 tics can be used to retrieve the averaged profiles. In the latter 327 case, beyond these two previous methods, one can also con-328 sider excluding a subset of "contaminated" data points before 329 performing the averaging, thus allowing us to obtain the inter-330 ELM profiles. Figure 6 illustrates that performing a single I-V 331 curve fitting is possible. This generally requires the user to test 332 several values and assess visually the goodness of the fits. A 333 dedicated GUI has been developed to allow the user to plot both 334 experimental data points and fits, so as to ensure the robustness of the latter. 335

In our analysis chain, the experimental data are fitted to 336 337 the model described in Eq. (1) using a non-linear least square approach based on the Levenberg-Marquardt algorithm. Mat-338 339 lab is used to retrieve and prepare the data for the analysis, 340 while the fitting in itself is done by a Fortran routine relying on the MINPACK library.^{24,25} This allows a speed-up of 341 342 more than an order of magnitude with respect to the previous 343 analysis, which was written entirely in Matlab. The complete 344 analysis chain, using a typical set of parameters, can be done 345 in less than 10 min. Results are written to the MDSplus²⁶ tree 346 and can be inspected with a Matlab GUI, thus allowing for inter-shot analysis. 347

³⁴⁸ C. Possible impact of resistance

In the case of the presence of resistances in the circuitry, the voltage actually applied to the probe is different than the voltage set by the voltage source. If we denote with *R* the possible resistance in the circuitry and V_{ms} the applied voltage, the actual probe voltage is given by

$$V_{pr} = V_{ms} + RI_{pr}.$$

Thus, a finite R will affect the shape of the I-V curve and lead to its expansion around the floating potential. This might lead to an over-estimation of the temperature and more generally to an incorrect determination of the different parameters. In TCV, 358 considering the circuit going from the probe to the amplifier, 359 an overall resistance can be estimated. Along the circuit, there 360 are at least 4 electrical contacts of unknown resistance. We 361 will assume for them a resistance of $\sim 0.1 \Omega$ per contact. There 362 is also 2.5 m of thermocoax cable, with a line resistance of 363 0.23 Ω/m , and 15 m of cable follows with a line resistance 364 of 0.0336 Ω/m . The estimated overall resistance is therefore 365 around 1.5 Ω . Note that the goal of this section is merely to 366 evaluate how much the presence of resistance in the circuit 367 can affect the measurements and not to give an absolute and 368 precise quantification of this effect in our measurements. By 369 inserting Eq. (3) into Eq. (1), and assuming $\alpha = 0$, we obtain 370

$$I_{pr} = I_{sat} \left(1 - e^{\frac{V_{ms} + R_{lpr} - V_{fl}}{T_e}} \right).$$
(4) ³⁷¹

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Equation (4) can be recasted in the form $ae^x + bx + c = 0$, and thus, following Appendix A, the expression for the current reaching the probe becomes

$$I_{pr} = I_{sat} - \frac{T_e}{R} W_0 \left(\frac{RI_{sat}}{T_e} e^{\frac{V_{ms} - V_{fl} + RI_{sat}}{T_e}} \right),$$
(5) ³⁷⁶

where W_0 is the 0-th branch of the Lambert W function.^{27,28} It should be noted that for the sake of simplicity, we did not include the effect of sheath expansion in Eqs. (4) and (5), but it is straightforward to do so. One can check that for $V_{ms} = V_{fl}$, Eq. (5) yields $I_{pr} = 0$. Therefore, *R* does not affect the floating potential measurement. From Eq. (5), one can estimate what is the impact of the resistance *R* on the derived temperature. Starting from Eq. (1) and assuming no resistance (*R* = 0, leading to $V_{ms} = V_{pr}$), the temperature T_e can be estimated as

$$\frac{1}{T_e} = -\frac{1}{I_{sat}} \left. \frac{dI_{pr}}{dV_{ms}} \right|_{V_{ms} = V_{fl}}.$$
(6) 386
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Denoting T_e^{eff} as the temperature that would be estimated from Eq. (6) applied to Eq. (5), we have

$$\frac{1}{T_e^{eff}} = -\frac{1}{I_{sat}} \left. \frac{\mathrm{d}I_{pr}}{\mathrm{d}V_{ms}} \right|_{V_{ms}=V_{fl}} = \frac{1}{T_e} \frac{1}{1 + \frac{I_{sat}R}{T_e}},\tag{7}$$

and therefore

(3)

$$T_e^{eff} = T_e \left(1 + \frac{I_{sat}R}{T_e} \right).$$
(8) ³⁹³
nalysis shows that the determined temper- ³⁹⁴

Using Eq. (2), this analysis shows that the determined temperature is overestimated by a factor scaling as

$$\Delta T_e^{th} = \frac{I_{sat}R}{T_e} \propto \frac{Rn_e}{\sqrt{T_e}}.$$
(9) ³⁹⁶

397 Therefore, we expect to see a manifestation of this effect for high density and low temperature plasmas. It should be noted 398 that the scaling of the temperature error we determined is based 399 on using the derivative of the I-V characteristic at $V_{ms} = V_{fl}$. 400 However, in the standard analysis chain, the temperature that 401 we derive is instead determined by fitting globally the char-402 acteristic to Eq. (1). Thus the error in the determination of T_e 403 could differ from the evaluation done in Eq. (9). To evaluate 404 this, we generate a set of synthetic curves using Eq. (1). We 405 choose $R = 1.5 \Omega$, $V_{fl} = 5 V$, $\alpha = 0$, and $S = 3.0 \times 10^{-6} m^2$, 406 and we vary n_e and T_e . I_{sat} is evaluated from Eq. (2). Once 407 417

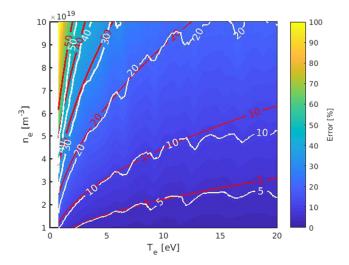


FIG. 7. Evolution of ΔT_e^{fit} (expressed in %) as a function of n_e and T_e for a stray resistance of 1.5 Ω and $S = 3.0 \times 10^{-6} \text{ m}^2$. The white lines are isocontours of ΔT_e^{fit} . The red lines correspond to the scaling predicted by Eq. (9).

the curve $I_{pr} = I_{pr}(V_{pr})$ has been generated, we substitute the voltage values to reflect the existence of resistance in the circuit, $V_{pr} \leftarrow V_{pr} - RI_{pr}$. Note that this is equivalent to using directly Eq. (5) since it is just a change of variables. The curves are then fitted using the four-parameter fit, and we evaluate the error ΔT_e defined as

 $\Delta T_e^{fit} = \frac{T_e^{fit} - T_e}{T_e},\tag{10}$

where T_e^{ht} is the temperature determined by a four-parameter 418 fit with minimum temperature and T_e is the temperature used 419 to construct the synthetic I-V. In Fig. 7, we have plotted the 420 variation of ΔT_e^{fit} as a function of n_e and T_e . While for low den-421 422 sities the effect appears to be negligible, it starts to play a more 423 significant role at higher n_e , where I_{pr} tends to be larger and 424 can therefore more strongly modify the voltage at the probe. 425 It also appears that the effect of the resistance is slightly more 426 pronounced in the low- T_e domain. This is in line with the con-427 clusions drawn previously, as shown by the red lines, which 428 corresponds to the iso-contours obtained from Eq. (9) and which are similar to the iso-contours of ΔT_e^{fit} , indicating that 429 430 Eq. (9) gives a consistent scaling of the error with n_e and T_e .

⁴³¹ IV. APPLICATION TO DETACHED PLASMAS

432 Large temperature gradients can develop along the mag-433 netic flux tubes linking the upstream Scrape-Off Layer (SOL, 434 the region of open field lines outside the separatrix of the 435 plasma) to the wall. Depending on the local temperature, 436 different volumetric processes can occur. For instance, for tem-437 peratures in the range from about 10 eV to a few tens of eV, 438 low-Z impurities are susceptible to radiate power isotropically, 439 thus distributing the exhaust power over a larger area and there-440 fore reducing the peak heat fluxes reaching the divertor wall. If the temperature further drops (below approximately 5 eV), 441 442 charge exchange reactions between plasma ions and neutrals 443 can result in a net loss of momentum and energy from the 444 plasma. This yields a drop of the pressure along the magnetic

field lines and is usually denoted as *detachment*.^{12,29} This pro-445 cess is enhanced below ~1.5 eV, when volume recombination 446 can become an important particle sink. Since in these con-447 ditions the temperature and density at the wall are reduced, 448 detached regimes appear as an attractive solution for future 449 fusion devices, as they could effectively protect the wall from 450 enormous local peak heat fluxes and unacceptable sputtering 451 rates. In this section, we investigate the possibility to use the 452 Langmuir probes to measure the temperature at the wall in 453 detached conditions, which is generally considered to be a 454 difficult regime for Langmuir probe measurement interpreta-455 tion.^{30–32} Indeed, it has been seen in several tokamaks,^{33,34} 456 including TCV,^{31,32} that in detached conditions, the Lang-457 muir probe analysis yields electron temperature higher than 458 that one would expect from other diagnostics such as spec-459 troscopy,^{35–37} Thomson scattering,^{29,38} or simulations.³¹ Sev-460 eral mechanisms might be responsible for this effect, such as 461 the role of plasma resistance^{1,39} or the fluctuations of the float-462 ing potential.³⁹ Furthermore, the standard fitting procedure 463 considers only a relatively small part of the I-V characteris-464 tics, the one determined by the high energetic electrons of 465 the distribution.⁴⁰ In the case of a significant departure of the 466 electron distribution from a Maxwellian, the Langmuir probes 467 then measure mostly the temperature of the hot electron popu-468 lation. Such a departure from the Maxwellian distribution has 469 been observed in simulations^{14,41} and experiments⁴² and could 470 explain the overestimation of the temperature. 471

In Fig. 8, the electron temperature and density radial profiles on the floor wall are plotted for an experiment where

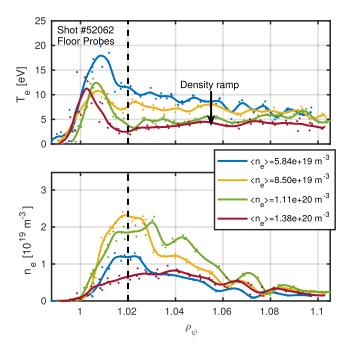


FIG. 8. Temperature (top) and density (bottom) profiles measured by the floor probes at different times in a density ramp experiment in TCV, where the line-averaged density of the plasma is linearly increased during the discharge. As line-averaged density is increased, the temperature measured at the wall decreases. After an initial growth, the density measured at the wall also decreases after detachment. The four-parameter fit with the minimum temperature approach is used to determine T_e and n_e at the wall. The dashed line at $\rho_{\psi} = 1.02$ indicates the mean position (over time) of the density profile peak.

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483 plasma line-averaged density $\langle n_e \rangle$ is increased approximately 484 linearly over time. The magnetic configuration is the same as in Fig. 2. In the top panel, a clear decreasing trend can be 485 486 seen on the temperature profile as the line-averaged density is 487 increased. This is a possible indication of detachment, which 488 is further confirmed when measuring the total ion flux reach-489 ing the wall, which decreases above a certain line-averaged 490 density, as shown in Ref. 13 or, for a single probe, in the top panel of Fig. 11. In the bottom panel of Fig. 8, the evolution of 491 492 the density profile is also plotted. After an initial rise, the mea-493 sured density finally decreases after detachment. In a similar 494 discharge, measurements with divertor spectroscopy (based on collision-radiation model, without transport) found significant 495 levels of volume recombination at the highest $\langle n_e \rangle$, suggesting 496 497 $T_e \leq 1 \text{ eV}$ (Ref. 43).

A striking feature of the profiles plotted in Fig. 8 is the 498 499 existence of a temperature peak near the strike-point posi-500 tion (i.e., the point where the separatrix intersects the wall, at $\rho_{\psi} = 1$), on the left ("high-field side") of the density pro-501 file. Even at $\langle n_e \rangle \approx 1.38 \times 10^{20} \text{ m}^{-3}$, the electron temperature 502 503 reaches values of the order of 10 eV, while the plasma is 504 expected to be detached. The presence of this peak is not yet 505 fully understood. Observations on a restricted dataset indi-506 cate that the peak occurs in the region of positive radial 507 density gradient and is more visible at higher flux expan-508 sion, which also corresponds to the cases where the ion flux 509 to the wall is reduced the most, an indication of stronger detachment.¹³ 510

511 One might suspect that this peak is unphysical and comes 512 from a difficulty of the four-parameter fit to operate for certain 513 shapes of I-V curves. We show in the following that the sheath 514 physics offers another way to estimate the electron temperature 515 and that, in the region of the temperature peak, the discrepancy 516 between these two evaluations of the temperature might indeed 517 indicate a failure of the four-parameter fit technique. When the 518 probe is biased at a potential higher than the plasma potential 519 V_{pl} , it is no longer repulsing the electrons, and the current 520 collected by the probe saturates. It is possible to show that the 521 difference between the plasma potential V_{pl} and the floating 522 potential V_{fl} can be written, when including the voltage drops in the sheath and pre-sheath, as¹ 523

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$$V_{pl} - V_{fl} = \Lambda T_e, \tag{11}$$

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(12)

 $\Lambda = \ln\left(\sqrt{\frac{2m_i}{\pi m_e}} \left[\frac{T_e}{T_e + \gamma T_i}\right]\right).$

For a typical deuterium plasma, assuming $\gamma = 1$ and $T_i = T_e$, which are the default assumptions made in our analysis, one finds $\Lambda \approx 3.53$. Under the assumption that the voltage at which the current collected by the probe saturates does correspond to the plasma potential V_{pl} , and by measuring the floating potential of the probe, it is possible to infer the electron temperature as

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$$T_e = \frac{\left(V_{pl} - V_{fl}\right)}{\Lambda} = \frac{\left(V_{pl} - V_{fl}\right)}{3.53}.$$
(13)

A hyperbolic-tangent fit, described in Appendix B, is used to estimate the value of V_{pl} . In Fig. 9, we compare the temperature

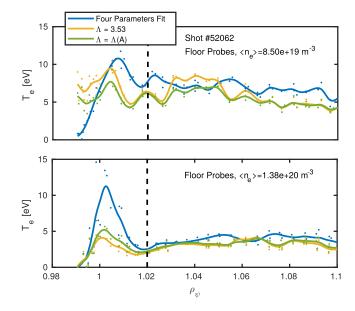


FIG. 9. Temperature profiles at $\langle n_e \rangle \approx 8.50 \times 10^{19} \text{ m}^{-3}$ and $\langle n_e \rangle \approx 1.38$ $\times 10^{20} \text{ m}^{-3}$ for the shot presented in Figs. 2 and 5 using either the fourparameter fit [Eq. (1)], Eq. (13), or using the *A* dependence of Λ described in Fig. 12. *A* was estimated using the hyperbolic tangent fit presented in Appendix B and is comprised between ≈ 3 and 4 in this case. 540

profiles of Fig. 8 at $\langle n_e \rangle \approx 8.50 \times 10^{19} \text{ m}^{-3}$ and $\langle n_e \rangle \approx 1.38$ 541 $\times 10^{20}$ m⁻³, obtained with the four-parameter fit, with the 542 ones determined from Eq. (13), assuming $\Lambda = 3.5$. Before 543 detachment, at $\langle n_e \rangle \approx 8.50 \times 10^{19} \text{ m}^{-3}$, we observe fairly 544 good agreement between the two methods. However, after 545 detachment ($\langle n_e \rangle \approx 1.38 \times 10^{20} \text{ m}^{-3}$), a temperature peak is 546 observed with the four-parameter fit, around $\rho_{\psi} \approx 1$, while it 547 is much less pronounced with the temperature estimated from 548 Eq. (13). In the top panel of Fig. 10, we have plotted the I-549 V characteristics associated with two probes contributing to 550 the temperature profile plotted in Figs. 8 and 9 at $\langle n_e \rangle \approx 1.38$ 551 $\times 10^{20}$ m⁻³. Probe 4, located near the strike point ($\rho_{\psi} \approx 1$), 552 shows a temperature of about 10 eV. Conversely, probe 11, 553 554 which is away from the strike point, shows a temperature of about 3.5 eV. The quantity $\Lambda = (V_{pl} - V_{fl}) \frac{1}{T_e}$ is represented 555 for both I-V curves, with V_{fl} and T_e estimated from the 556 four-parameter fit. In the bottom panel of 10, Λ is plotted 557 as a function of time for the same probes. While probe 11 558 559 keeps an approximately constant value of Λ , around 3 (not far from the expected theoretical value), probe 4 shows an 560 erratic behavior with significant differences with the expected 561 value. 562

Let us denote with $E_{sat} = I_{pr} (V_{pr} > V_{pl})$ the electron saturation current. We see in the top panels of Fig. 10 that the 563 564 value of A and the ratio $\kappa = |E_{sat}/I_{sat}|$ of electron to ion satura-565 tion current differ substantially for the two probes. The model 566 presented so far allows us to compute the expected value of 567 κ . When the plasma potential is reached by the probe, there 568 is no sheath anymore and the electron current reaching the 569 570 probe corresponds to the flux resulting from a Maxwellian distribution. Similarly, the ion current reaching the probe in 571 these conditions will correspond to the flux resulting from 572 a Maxwellian distribution of temperature T_i . The theoretical 573

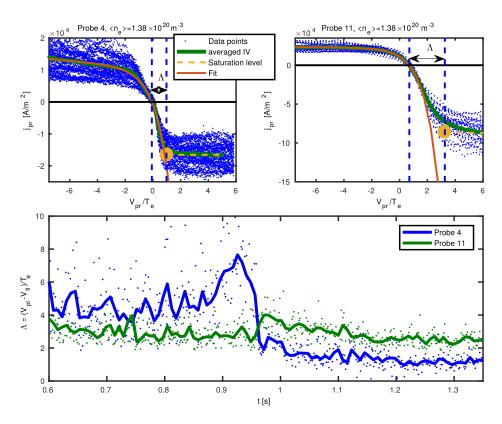


FIG. 10. (Top) Averaged I-V curve and 594 associated fit for probes 4 (left) and 11 595 (right) at $\langle n_e \rangle \approx 1.38 \times 10^{20} \text{ m}^{-3}$. The 596 voltages are normalized to the tempera-597 ture determined at this time by the four-598 parameter fit for each probe. Probe 4 599 is one of the contributors to the tem-600 perature peak plotted in Fig. 8, while 601 probe 11 does not exhibit this behav-602 603 ior. The vellow dashed line indicates the level of the electron current saturation, 604 while the yellow dot indicates the posi-605 tion of the first point where saturation 606 is determined by the modified hyper-607 bolic tangent function, Appendix B. 608 The solid black line indicates the level 609 610 $j_{pr} = I_{pr}/S = 0$, and the vertical dashed lines indicates the interval $[V_{fl}/T_e]$, 611 612 V_{pl}/T_e]. The bottom panel shows the evolution of $\Lambda = (V_{pl} - V_{fl})/T_e$ over 613 time for these two different probes. 614 Again, T_{e} has been estimated using the 615 four-parameter fit. 616

⁵⁷⁴ current reaching the probe at $V_{pr} = V_{pl}$ can then be written as¹

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$$I_{pr}^{th}\left(V_{pr}=V_{pl}\right) = Se\left(\frac{1}{4}\sqrt{\frac{8T_i}{\pi m_i}} - \frac{1}{4}\sqrt{\frac{8T_e}{\pi m_e}}\right) \times 2n_{se}, \quad (14)$$

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$$= -I_{sat}e^{\Lambda} \left(1 - \sqrt{\frac{T_i}{T_e}}\sqrt{\frac{m_e}{m_i}}\right).$$
(15)

For $T_i = T_e$, we thus have $I_{pr}^{th} (V_{pr} = V_{pl}) \approx -I_{sat}e^{\Lambda}$. If one computes only the contribution from the electrons, denoted with E_{sat}^{th} , one has

$$E_{sat}^{th} = -\frac{1}{4}\sqrt{\frac{8T_e}{\pi m_e}Se \times 2n_{se}},$$
 (16)

$$= -I_{sat}\sqrt{\frac{2m_i}{\pi m_e} \left(\frac{T_e}{T_e + \gamma T_i}\right)},$$
(17)

$$= -I_{sat}e^{\Lambda}, \tag{18}$$

and therefore the current $E_{sat}^{th} = I_{pr}^{th} (V_{pr} > V_{pl})$ measured by a probe for $V_{pr} \ge V_{pl}$ corresponds to the electron saturation current. Moreover, one has

$$\frac{E_{sat}^{th}}{I_{sat}} = -\sqrt{\frac{2m_i}{\pi m_e} \left(\frac{T_e}{T_e + \gamma T_i}\right)} \approx -34.$$
(19)

From the theory, we expect $\kappa^{th} = |E_{sat}^{th}/I_{sat}| = 34$. However, it can be seen from the I-V curves in Fig. 10 that while κ remains fairly high for probe 11, it is close to unity for probe 4. This tends to indicate that the model used so far which constitutes the basis for both the four-parameter fit and Eq. (13) might not be applicable in this situation. In the bottom panel of Fig. 11,

617 we have plotted the evolution of κ for probe 8. The highest value of κ reached by this particular probe is about 15, well 618 below the theoretical value. Furthermore, it appears that, as 619 density is increased, κ decreases, indicating that the I-V char-620 acteristics tend to be closer to symmetry. We note that this 621 622 behavior is reminiscent of observations on other devices such as JET,³⁰ where a reduction of κ is also observed in detached 623 regimes. A possible way to interpret the decrease of κ would 624 be to relax the hypotheses $\gamma = 1$ and $T_i = T_e$ in the compu-625 tation of κ and thus of the saturation level. Values of γ are 626

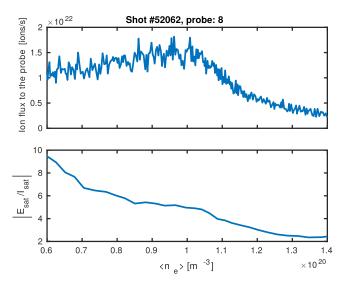


FIG. 11. (Top) Ion flux reaching LP number 8 during the density ramp. Notice the reduction of the flux after $\langle n_e \rangle \approx 1 \times 10^{19} \text{ m}^{-3}$, an indication of detachment. (Bottom) Evolution of the ratio $\left| \frac{E_{starl}}{I_{starl}} \right| = \kappa$ as a function of time. κ monotonically decreases over time, indicating that I-V curves become more symmetrical.

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631 typically between 1 and 3 depending on the physics chosen to 632 describe it.¹ From Eq. (19), it is possible to derive the ratio T_i/T_e that one would need to have $\kappa^{th} \approx 5$, the average value 633 plotted in Fig. 11. Assuming $\gamma = 3$ and a deuterium plasma, 634 one finds $T_i/T_e \approx 30$. While having ions hotter than elec-635 trons is commonly observed in the SOL,⁴⁴ we consider that 636 such a temperature difference is unlikely, and we therefore 637 638 argue that the fact that the ratio κ is lower than the one given 639 by Eq. (19) does not only come from a possible role of ion 640 temperature but also from other effects. One might suspect a possible role of electron self-emission by the probe itself. 641 However, following Richardson's law,⁴⁵ this would require 642 the probe's temperature to reach approximately 2500 K and 643 the onset of the self-emission process would appear as a very 644 abrupt change of the I-V characteristics,^{46,47} which is in contra-645 diction with the observations made in Fig. 11, where it appears 646 647 that the reduction of κ is a continuous decay throughout the 648 discharge.

649 So far, we have neglected the presence of a magnetic 650 field, except in the evaluation of S. However, since the plasma 651 is strongly magnetized, the charges reaching the probe will 652 originate from the magnetic flux tube to which the probe is con-653 nected. This flux tube cannot provide more charges than it can 654 refill, through cross-field transport or from its other end. This is 655 known to reduce the expected value of the electron saturation current and hence κ .^{4,48} A modification of the cross-field trans-656 657 port or of the electron mean free path during the experiment, 658 due to the change of the plasma conditions, could explain, at 659 least partially, the trend observed in Fig. 11.

660 In the following, we discuss a model that develops this 661 idea of a particular flux tube providing charges to the probe and which reproduces a saturation of the electron current. We 662 663 consider that our probe is connected, through its companion 664 flux tube, to a particular region of the wall, which will be responsible for collecting or providing charges to the probe. 665 666 In this sense, this particular area of the wall will act as a virtual electrode^{30,49} (cross-field currents can be accounted for in 667 this picture simply by artificially changing the effective area of 668 669 the virtual electrode). The electron or ion currents reaching the 670 probe will thus be limited by the ability of the virtual electrode 671 to provide these charges, and the magnitude of the electron sat-672 uration current can thus be lower than that one would expect. In 673 the following, we note A, the ratio between the collection area 674 of the virtual electrode and the collection area of the probe. 675 We assume that A > 1, and we denote with I_{pr} the current 676 reaching the Langmuir probe, I_{ve} the current at the virtual 677 electrode, V_{fl} the floating potential at the probe, and $\delta V(V_{pr})$ 678 the self-modification of the plasma potential in the perturbed 679 flux tube to enforce current conservation. It is important to note 680 that $\delta V(V_{pr})$ is not a constant but a function of V_{pr} . The ion 681 saturation current at the probe is denoted with I_{sat} . Because of current conservation, $I_{pr} + I_{ve} = 0$, the electron saturation 682 683 current at the probe will be limited to $-AI_{sat}$. This provides 684 a natural saturation mechanism for the I-V curve in the elec-685 tron saturation part of the curve. In the following, the voltages are normalized to the temperature, $\tilde{V} = V/T_e$, and we note 686 $\Delta \tilde{V} = \tilde{V}_{pr} - \tilde{V}_{fl}$. We can then write 687

 $I_{pr} = I_{sat} \left(1 - \mathrm{e}^{\Delta \tilde{V} - \delta \tilde{V}} \right),$

(20)

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$$I_{ve} = AI_{sat} \left(1 - e^{-\delta \tilde{V}} \right). \tag{21}$$

From the current conservation $I_{pr} + I_{ve} = 0$, we have

$$1 - e^{\Delta \tilde{V} - \delta \tilde{V}} = -A \left(1 - e^{-\delta \tilde{V}} \right), \qquad (22) \qquad ^{69}$$

and thus

$$\delta \tilde{V} = \ln\left(\frac{e^{\Delta \tilde{V}} + A}{1 + A}\right).$$
(23) ⁶⁹

Re-injected in Eq. (20), this yields

$$I_{pr} = AI_{sat} \frac{1 - e^{\Delta V}}{A + e^{\Delta \tilde{V}}}.$$
 (24) 69

However, the probe current is not allowed to reach values below $-\kappa^{th}I_{sat}$. No such limitation is imposed by Eq. (24). Therefore, we need to add a supplemental constraint enforcing the saturation of the electron current if

$$\Delta \tilde{V} \left(A - \kappa^{th} \right) \ge A \left(\kappa^{th} + 1 \right), \qquad (25) \qquad 700$$

which has solutions only if $A \ge \kappa^{th}$. Thus, if $A \ge \kappa^{th}$, then Eq. (24) must be rewritten as

$$I_{pr} = \begin{cases} AI_{sat} \frac{1 - e^{\Delta \tilde{V}}}{A + e^{\Delta \tilde{V}}} & 703 \\ -\kappa^{th} I_{sat}, & \text{if } A \ge \kappa^{th} \text{ and } \Delta \tilde{V} > \ln\left(\frac{A(\kappa^{th} + 1)}{A - \kappa^{th}}\right). \end{cases}$$
(26)

This implies that, using the previous notation, one has $\kappa = A$ 706 if $A < \kappa^{th}$ and $\kappa = \kappa^{th}$ otherwise. In the top panel of Fig. 12 707 are plotted synthetic I-V curves generated using Eq. (26) for 708 different values of A and arbitrary, but fixed, T_e , n_e , and V_{fl} . 709 From these synthetic I-V curves, it is then possible to link 710 the electron temperature T_e to the difference between V_{pl} and 711 V_{fl} , thus finding the Λ function introduced before. This is done 712 713 in the bottom plot of Fig. 12, where we have plotted Λ as a function of A. To do so, we evaluate V_{pl} using the tangent fit 714

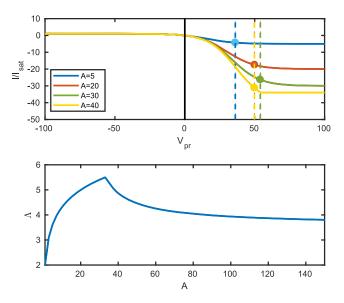


FIG. 12. (Top) Synthetic I-V curves generated from relation 26 assuming $V_{fl} = 0$ and $T_e = 10$ eV. Only *A* is varying. The vertical black line indicates the position of V_{fl} , while the colored vertical lines and dots indicate the position of the first saturation point, defined as the first point where the 95% of the electron saturation current is reached. (Bottom) Dependency of Λ versus *A*.

720 (Appendix B). Knowing the parameter T_e and V_{fl} that have 721 been used to generate the synthetic I-V curves, Λ is evaluated. 722 While not shown in Fig. 12, we retrieve in this model that for 723 $A \rightarrow \infty$, $\Lambda = 3.53$. In Fig. 9, we have plotted the temperature derived using Eq. (13) but keeping the dependency of Λ on 724 725 A. This method, which we shall refer to as the Λ -method in 726 the remainder of this paper, yields similar temperatures than 727 assuming $\Lambda \approx 3.5$. We also note that Eq. (26) provides a model 728 that could, in principle, be used to fit the I-V characteristics 729 and directly deduce the temperature. However, this model does not include the sheath expansion, whose effect on the charac-730 731 teristics can be important. As a result, fits done with Eq. (26) overestimate T_e and I_{sat} . Thus, in Sec. V of this paper, we O3 732 733 extend the asymmetric double probe model by including the sheath expansion. 734

V. ASYMMETRIC DOUBLE PROBE MODEL WITH SHEATH EXPANSION

737 A. Model and implementation

738 In this section, we present a fitting model based on the 739 asymmetric double probe including the effect of sheath expan-740 sion. A similar model has been developed in Ref. 18. We 741 however introduce here a simplified formulation, and we show 742 that the sheath expansion effect can be included in the model 743 while keeping a semi-analytical expression for the expression, 744 therefore facilitating its implementation and use. We start the 745 derivation of the model with the same assumptions as for an 746 asymmetric double probe without the sheath expansion and write

⁷⁴⁷
$$I_{pr} = Sn_{se}c_se\left(1 + \alpha\left(V_{pr} - \left(V_{fl} + \delta V\right)\right) - e^{\frac{V_{pr} - \left(\delta V + V_{fl}\right)}{T_e}}\right),$$
(27)

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$$I_{ve} = ASn_{se}c_s e\left(1 - \frac{\beta}{A}\delta V - e^{\frac{-\delta V}{T_e}}\right),$$
(28)

⁷⁴⁹ where the quantities have the same meaning as in Sec. IV. We add two linear terms to describe the sheath expansion, governed by the coefficients α and β . From charge conservation, we have again $I_{pr} + I_{ve} = 0$, and therefore $\delta V (V_{pr})$ is defined by the implicit relation

⁷⁵⁴
$$e^{\frac{-\delta V}{T_e}} \left(A + e^{\frac{V_{pr} - V_{fl}}{T_e}} \right) + (\alpha + \beta) \, \delta V - \left(1 + A + \alpha \left(V_{pr} - V_{fl} \right) \right) = 0.$$
(29)

This equation is of the form $ae^x + bx + c$. For $\alpha + \beta < 0$, and following Appendix A, we can show that Eq. (29) has exactly one solution, given by

$$\delta V = -T_e \left[-W_0(\Delta) - \frac{1 + A + \alpha \left(V_{pr} - V_{fl} \right)}{T_e \left(\alpha + \beta \right)} \right], \quad (30)$$

⁷⁵⁹ where W_0 is the 0-th branch of the Lambert W function, and ⁷⁶⁰ Δ is defined as

$$\Delta = -\frac{A + e^{\frac{V_{pr} - V_{fl}}{T_e}}}{T_e(\alpha + \beta)} e^{\frac{1 + A + \alpha \left(V_{pr} - V_{fl}\right)}{-T_e(\alpha + \beta)}}.$$
(31)

Since the current conservation $I_{pr} + I_{ve} = 0$ holds, by construction, for every value of V_{pr} , and since I_{ve} is bounded to AI_{sat} (assuming $A < \kappa^{th}$), then I_{pr} will saturate to $-AI_{sat}$ for $V_{pr} \gg V_{pl}$. We now show that for $V_{pr} = V_{fl}$, we have $\delta V = 0$ and thus $I_{pr} (V_{pr} = V_{fl}) = 0$, as it should. From Eq. (30), if $V_{pr} = V_{fl}$, we find 768

$$\Delta = -\frac{A+1}{T_e(\alpha+\beta)}e^{-\frac{A+1}{T_e(\alpha+\beta)}},$$
(32) 769
770

using the fact that, by the definition of the Lambert function W, one has $W(xe^x) = x$, we have

$$-W_0(\Delta) = \frac{A+1}{T_e(\alpha+\beta)},$$
(33) 773
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which yields, using Eq. (30),

$$\delta V\left(V_{pr} = V_{fl}\right) = 0. \tag{34}$$

Therefore, from Eq. (27), we have $I_{pr} (V_{pr} = V_{fl}) = 0$ and V_{fl} is indeed the floating potential at the probe location.

779 Unlike the four-parameter fit, this method can be used to fit the entire of the I-V curve. Six parameters have to be fit-780 ted: I_{sat} , T_e , V_{fl} , A, α , and β , where $I_{sat} = Sn_{se}c_s e$. $\delta V(V_{pr})$ 781 is evaluated either by using Eq. (30) or by finding the zero 782 783 of Eq. (29), thanks to Newton's method or a Powell hybrid method provided by the routine HYBRD of MINPACK. All 784 785 the three methods yields similar results, and the analytical evaluation of δV using Eq. (29) is chosen as the default one since it 786 is faster. For the fitting in itself, we adopt a three-step method. 787 Using a hyperbolic tangent fit (Appendix B), the parameters α 788 and β are first estimated. I_{sat} , T_e , V_{fl} , and A are then estimated 789 using Eqs. (27) and (29), holding α and β constant. In the sec-790 ond step, based on the estimated values of I_{sat} , T_e , V_{fl} , and A, 791 we fit the model to estimate α and β . Finally, in a third and 792 last step, we perform a fit with the 6 parameters I_{sat} , T_e , V_{fl} , 793 A, α , and β using the values estimated in the previous steps as 794 a starting point. This three-step method yields the same results 795 as the direct 6 parameter fit but appears to produce less out-796 liers. It is important to remark that as this fit uses the entire I-V 797 characteristic, it is sensitive to the saturation of the electron-798 ics if the electron saturation current amplitude is particularly 799 high.

In Fig. 13, we have plotted the fit performed on a single 800 801 I-V curve, as well as the fit obtained when using an averaged I-V curve obtained from a 50 ms aggregation of data. As 802 one can see, this model is able to adequately fit the electron 803 804 current saturation part of the characteristic and thus does not 805 require the need for an additional criterion such as the minimum temperature approach used for the four-parameter fit. It 806 however requires the electron saturation part of the character-807 istic to be well measured to be able to provide a reliable fit. For 808 809 high values of κ reached, for instance, in *attached* (i.e., nondetached) conditions, it can happen that the electron branch 810 811 has such a high amplitude that it leads to a saturation of the measurements. In that case, the electron saturation current is 812 not properly captured by our measurements, and thus, this fit 813 cannot be applied. Figure 14 shows the temperature profiles 814 resulting from analysis using the asymmetric double probe fit, 815 compared to the profiles obtained previously in Fig. 8 with the 816 four-parameter fit, for the lowest and highest densities plot-817 ted in Fig. 5. In the low density case, probes 6–10 had to be 818 excluded from the analysis as they corresponded to saturated 819

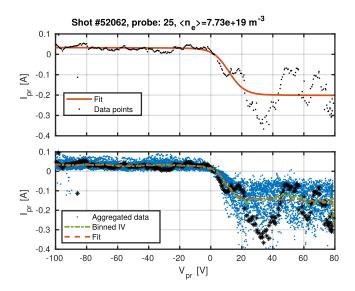


FIG. 13. The same data as in Fig. 5, but now analyzed using the asymmetric double probe fit. The color code is the same as in Fig. 5.

822 measurements and thus were unsuitable for fitting. For the 823 remaining probes, the temperatures determined by both fits are similar. In the high density case, all probes could be kept 824 in the analysis. Indeed, the lower amplitude of the electron 825 saturation in detached conditions allows us to have a good 826 827 description of this part of the curve. It is clear from Fig. 14 828 that the asymmetric double probe fit leads to lower temperature. Furthermore, the strong peaking observed previously 829 830 near the strike-point is not observed, a possible indication that 831 this fit is more reliable, thanks to its ability to fit the entire 832 I-V characteristic. However, the temperatures that are mea-833 sured remain fairly high (~5 eV) near the strike-point where

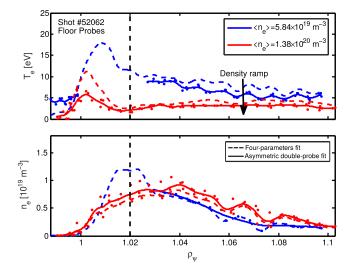


FIG. 14. Temperature (top) and density (bottom) profiles measured by the floor probes for the same discharge as in Fig. 8 and for the lowest density and highest density. The asymmetric double probe fit with the sheath expansion is used to determine T_e and n_e . In the low density case, probe 6–10 had to be excluded from the analysis as their electron saturation branches were poorly resolved. For reference, the profiles obtained with the four-parameter fit in Fig. 8 are plotted as well (dashed lines).

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we would expect from detachment physics significantly lower temperatures. This possible over-estimation of the temperature by the probes is interpreted as a signature of the presence of kinetic effects.¹⁴ Thus, in the following part, we investigate the sensitivity of our different fitting models (four-parameter, asymmetric double probe, Λ -method) toward the existence of a population of fast electrons.

VI. POSSIBLE INFLUENCE OF FAST ELECTRONS ON THE MEASURED TEMPERATURE

Previous analysis relies on the assumption that the elec-850 tron distribution is Maxwellian, which is not necessarily the 851 case. For instance, there could be a population of fast electrons, 852 characterized by a hotter temperature than the bulk popula-853 tion. This has been predicted in tokamaks,^{14,40,50} in particular, 854 after detachment.¹⁴ It has been confirmed experimentally in the 855 CASTOR (Czech Academy of Sciences TORus)⁵¹ and NSTX 856 (National Spherical Torus Experiment)^{42,52} tokamaks, where 857 non-Maxwellian distribution functions have been observed. 858 In Ref. 51, in particular, it has been seen that the electron <mark>- (8</mark>59 distribution is not Maxwellian but can be approximated by 860 a bi-Maxwellian distribution with a bulk of low temperature 861 electrons and a second population of hot electrons since these 862 hotter electrons will tend to contribute more to the I-V elec-863 tron current than the cold ones, especially around and below 864 the floating potential. Therefore, already for a relatively low 865 fraction of the hot population, the temperature inferred from 866 the standard analysis no longer represents the temperature of 867 the bulk population. In this part, we evaluate the robustness of 868 the different fitting methods in the presence of fast electrons. 869 We proceed in a way very similar to Ref. 40. For simplicity, 870 we assume that the electron distribution function consists of 871 the superposition of two Maxwellian populations of electrons 872 that coexist in our plasma: a population of "slow" electrons at a 873 temperature $T_{e,s}$ and of density $n_{e,s}$, and a population of "fast" 874 electrons at a temperature $T_{e,f}$ and of density $n_{e,f}$. We consider 875 a Langmuir probe maintained at a potential V_{pr} and denote 876 with V_{se} the sheath-edge potential at floating conditions. We 877 define $h = n_{e,f}/n_{e,s}$ and $r = n_{e,f}/(n_{e,f} + n_{e,s}) = h/(1 + h)$ 878 which quantifies the fraction of fast electrons over the total 879 electron population. The temperature ratio of the electron pop-880 ulations is defined by $g = \frac{T_{ef}}{T_{es}}$. One can then write the electron 881 flux Γ_e as 882

$$\Gamma_e = \frac{1}{4} n_{e,s} \overline{c_{e,s}} \left[e^{\frac{V_{pr} - V_{se}}{T_{e,s}}} + h \sqrt{g} e^{\frac{V_{pr} - V_{se}}{T_{e,f}}} \right], \qquad (35)$$

where $\overline{c_{e,s}} = \sqrt{\frac{8T_{e,s}}{\pi m_e}}$. Noting n_{se} as the electron density at the sheath edge, we have $n_{e,s}(1+h) = n_{se}$. The ion flux Γ_i is defined as $\Gamma_i = n_{se}c_s$, where assuming $\gamma = 1$, 886

$$c_s = \sqrt{\frac{(T_i + fT_{e,s})}{m_i}}$$
(36)

with $f = g \frac{(1+h)}{(h+g)}$ (see Ref. 53). Since at the floating potential V_{fl} 889 one has $\Gamma_i = \Gamma_e$, V_{fl} is the solution of 890 000000-12 Février et al.

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$$\sqrt{\frac{8m_i}{\pi m_e}} \frac{T_{e,s}}{T_i + fT_{e,s}} = 4 \frac{(1+h)}{\left[e^{\frac{V_{fl} - V_{se}}{T_{e,s}}} + h\sqrt{g}e^{\frac{V_{fl} - V_{se}}{T_{e,f}}}\right]}.$$
(37)

As for the ion temperature T_i , we assume $T_i = fT_{e,s}$ for simplicity. This yields $T_i \rightarrow T_{e,s}$ for $h \rightarrow 0$ and $T_i \rightarrow T_{e,f}$ for $h \rightarrow \infty$. In typical conditions where one expects the slow electrons to be the majority, $h \ll 1, f \approx 1$, and $T_i \approx T_{e,s}$. We also define $\Delta = V_{se} - V_{fl}$ such that Eq. (37) reads

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$$\sqrt{\frac{8m_i}{2\pi m_e f}} = 4 \frac{(1+h)}{\left[e^{\frac{-\Delta}{T_{e,s}}} + h\sqrt{g}e^{\frac{-\Delta}{T_{e,f}}}\right]}.$$
 (38)

This equation is used to determine Δ and thus the floating potential if the voltage at the sheath entrance is taken as the reference. The current reaching the probe then reads $I_{pr} = S (e\Gamma_i - e\Gamma_e)$, where *S* is the collection area of the probe. I_{pr} can be rewritten as

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$$I_{pr} = I_{sat} \left(1 - \frac{e^{\frac{V_{pr} - V_{se}}{T_{e,s}}} + h\sqrt{g}e^{\frac{V_{pr} - V_{se}}{T_{e,f}}}}{\left[e^{\frac{-\Delta}{T_{e,s}}} + h\sqrt{g}e^{\frac{-\Delta}{T_{e,f}}}\right]} \right),$$
(39)

where $I_{sat} = eSn_{se}c_s = eSn_{se}\sqrt{\frac{(T_i+fT_{e,s})}{m_i}}$. It should be noted that, in our model, I_{sat} depends on the population of fast electrons 904 905 906 since c_s depends on f and T_i , the latter also depending on 907 f. We now use the asymmetric double probe model that was presented in Sec. IV. The electron or ion currents reaching 908 909 the probe will thus be limited by the ability of a virtual elec-910 trode to provide these charges. We use the same notations 911 and definitions as in Sec. IV. Because of current conservation 912 $I_{pr} + I_{ve} = 0$, the current density reaching the probe can be written as 913

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$$I_{pr} = I_{sat} \left(1 - \frac{e^{\frac{V_{pr} - (\Delta + \delta V + V_{fl})}{T_{e,s}}} + h\sqrt{g}e^{\frac{V_{pr} - (\Delta + \delta V + V_{fl})}{T_{e,f}}}}{\left[e^{\frac{-\Delta}{T_{e,s}}} + h\sqrt{g}e^{\frac{-\Delta}{T_{e,f}}}\right]} \right), \quad (40)$$

where we did not include the effect of sheath expansion for the
sake of simplicity. If we assume that the fast electron population is the same in front of the probe and the virtual electrode,
the current at the electrode can be written as

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$$I_{ve} = AI_{sat} \left(1 - \frac{e^{\frac{-(\Delta + \delta V)}{T_{e,s}}} + h\sqrt{g}e^{\frac{-(\Delta + \delta V)}{T_{e,f}}}}{\left[e^{\frac{-\Delta}{T_{e,s}}} + h\sqrt{g}e^{\frac{-\Delta}{T_{e,f}}}\right]} \right).$$
(41)

922 Thus, proceeding in the same way as in Sec. IV and invok-923 ing current conservation, $\delta V(V_{pr})$ is defined by the implicit 924 relation $I_{pr} + I_{ve} = 0$. As for Eq. (27), one can now check that V_{fl} is indeed the floating potential, i.e., $I_{pr} (V_{pr} = V_{fl}) = 0$. For 925 $V_{pr} = V_{fl}$ and $\delta V = 0$, one finds $I_{pr} = I_{ve} = 0$ and $I_{pr}(V_{pr} = V_{fl})$ 926 $+ I_{ve}(V_{pr} = V_{fl}) = 0.$ Since $I_{pr}(V_{pr} = V_{fl}) + I_{ve}(V_{pr} = V_{fl})$ is 927 928 a monotonous function of δV , it ensures that $\delta V = 0$ is the 929 unique solution that enforces $I_{pr} + I_{ve} = 0$ at $V_{pr} = V_{fl}$, and 930 we thus indeed have $I_{pr}(V_{pr} = V_{fl}) = 0$.

In Fig. 15, we have plotted a set of I-V curves generated from this expression for different values of *r*. For the purpose of the plot, $T_{e,f} = 10$ eV, $T_{e,s} = 2$ eV, A = 5, and $V_{fl} = 1$ V

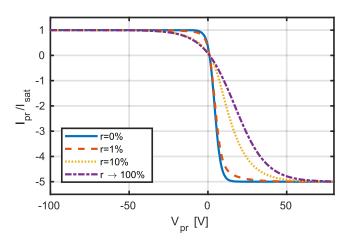


FIG. 15. Synthetic I-V curves generated using Eq. (40) for different values of the fast electron population density, quantified by $r = n_{e,f}/(n_{e,f} + n_{e,s})$. The cold electrons are at $T_{e,s} = 2$ eV and the fast ones at $T_{e,f} = 10$ eV. The ratio of the saturation currents is given by A = 5. 937

were assumed. One can see that an increase in the fast electron 938 population is associated with a broadening of the I-V charac-939 teristics, which relatively quickly lead to the measurement of 940 a temperature higher than the one set by the cold electron pop-941 ulation. Values of r as low as 1% are already strong enough 942 to have a visible impact on the characteristic. This is reflected 943 on the temperature derived from the different fits, as shown 944 in Fig. 16, where the temperatures derived with the different 945 methods presented in this paper are represented as a function 946 of r. For low values of r, the impact of the fast electron popula-947 948 tion is small and the temperature is correctly determined by the different fits. However, as r increases, the different fits start to 949 get influenced by the presence of the fast electron population 950 951 and return a temperature substantially larger than that of the 952 bulk, even for r as low as 5%. In Fig. 16, we have also plotted 953 with a dashed line the results obtained assuming a larger ratio of the ion to electron saturation currents, A = 15. While the 954

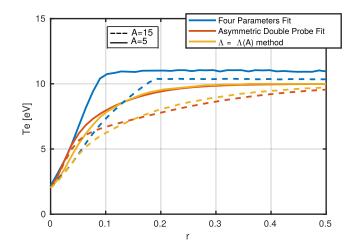


FIG. 16. Temperatures determined from fitting the synthetic I-V curves presented in Fig. 15 (A = 5) for different concentrations r of fast electrons. The temperatures determined from similar I-V curves, generated using A = 15, are also plotted using dashed lines. (For the color version of this figure, the reader is referred to the online version of this article).

960 temperatures estimated by the fits are higher than the temper-961 ature of the cold electron population, the "over-estimation" is 962 not as strong as for a lower value of A. This illustrates how the 963 reduction of this ratio, observed in detached plasmas, increases the sensitivity of our analysis workflow to the presence of fast 964 965 electrons. For both values of A, it appears that the asymmetric 966 double probe fit and the Λ -method have a very similar sen-967 sitivity, while the four-parameter fit is more sensitive toward 968 the presence of fast electrons for low values of A, typical for 969 detached conditions. For larger values of A and low values of 970 r, the situation reverses and the four-parameter fit appears to 971 provide a lower estimate of the temperature than the asym-972 metric double probe fit. This is not surprising, as for large 973 values of A, Eq. (24) tends toward Eq. (1). But since the four-974 parameter fit is coupled to a minimum temperature approach, it is biased toward deriving low temperatures. Overall, it appears 975 976 that all fits are very sensitive toward the presence of fast elec-977 trons and that they cannot estimate the temperature of the bulk 978 population even for moderate presence of fast electrons. This 979 could explain, at least partially, the apparent over-estimation 980 of temperature at detachment. The fact that the four-parameter 981 fit is more sensitive than the asymmetric double probe fit to 982 the fast electrons could also explain in part why it leads to a 983 peaking of the temperature in detached conditions (where A is low).

984 VII. CONCLUSION

985 This paper presents the standard method used to analyze 986 current-voltage characteristics of the wall-embedded Lang-987 muir probes on the TCV tokamak and the strategies deployed 988 in order to improve the measurements in the detached regime. After describing the TCV Langmuir probe system, the analy-989 990 sis tool chain developed to process the acquired data has been 991 discussed in detail. It starts by removing stray currents from 992 the signals, using a few voltage sweeps performed after the 993 termination of the plasma. Once cleaned, the measurements 994 are analyzed using a four-parameter fit combined with a mini-995 mum temperature method, which is the default method at TCV 996 for the analysis of the I-V curves. The averaging process used 997 to reduce the plasma fluctuations and noise affecting the I-V 998 curve has been compared to analysis performed without I-V 999 curves averaging, showing agreement between the two meth-1000 ods in stationary plasma conditions. We briefly described a 1001 possible way to perform analysis in non-stationary conditions, 1002 for instance, in the presence of ELMs. We also provided an 1003 estimate of the error induced by the presence of resistance in 1004 the circuitry and highlighted both computationally and analyt-1005 ically that a large overestimation of the temperature can occur 1006 in high density, low temperature plasmas. We then applied 1007 the analysis to experiments in the detached plasma regime, 1008 which constitutes a challenging regime of operation for the 1009 Langmuir probes. We have evidenced that the temperature 1010 profiles derived from the four-parameter fit analysis are not 1011 always reliable, in particular, close to the strike-point. Thus, 1012 two alternative methods to determine the electron temperature 1013 from the I-V curve have been presented. The first one relies on 1014 the link between the plasma potential, the floating potential, 1015 and the electron temperature. The second one is based on an

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asymmetric double probe model. We introduced a variant of 1016 the asymmetric double probe fit¹⁸ that can be expressed with a 1017 semi-analytical formula. We have shown that in attached con-1018 1019 ditions, both methods yield results in agreement with the fourparameter fit, while they find lower temperatures in detached 1020 regime. In particular, the temperature peak observed near the 1021 strike point with the four-parameter fit is strongly reduced 1022 with these two methods, a sign of their robustness. However, 1023 the computed temperatures still remain higher than expected 1024 from spectroscopic measurements,^{35–37} Thomson scattering,³⁸ 1025 or simulations. Thus in the last part, we explored the possible 1026 role that fast electrons, often considered as a possible respon-1027 sibility for this discrepancy,¹⁴ could have on the temperature 1028 measured by the Langmuir probes. We found that the two alter-1029 native methods, which rely on a larger part of the I-V curve, are 1030 only marginally less sensitive to the presence of a fast electron 1031 1032 population. It should be noted that other effects could also be responsible for the large temperatures observed in detached 1033 conditions with the Langmuir probes, such as a modification 1034 of the plasma resistance^{1,39} or the fluctuations of the float-1035 ing potential.³⁹ These effects have not been addressed in this 1036 paper. 1037

To summarize, by exploring three different fitting methods, we have shown that while in attached conditions the good agreement between the three methods indicates that the temperatures we determine are robust, and the discrepancies observed in detached conditions and the strong sensitivity of the analysis on a potential supra-thermal electron population call for caution in the interpretation of the inferred electron temperatures and densities.

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APPENDIX A: THE LAMBERT W FUNCTION

For $w, z \in \mathbb{C}$, the Lambert W function^{27,28} is defined such that

$$z = w e^w \iff w = W(z).$$
 (A1) ¹⁰⁵⁶

In this appendix, we show how it can be used to solve equations of the form

$$ae^{x} + bx + c = 0,$$
 (A2) ¹⁰⁵⁹

where $(a, b) \in \mathbb{R}^{*2}$ and $(c, x) \in \mathbb{R}$. Under these assumptions, ¹⁰⁶⁰ Eq. (A2) can be recasted in the form ¹⁰⁶¹

$$\left(-x-\frac{c}{b}\right)e^{-x-\frac{c}{b}} = \frac{a}{b}e^{-\frac{c}{b}}.$$
 (A3) ¹⁰⁶²

Denoting
$$X = \left(-x - \frac{c}{b}\right)$$
 and $\Delta = \frac{a}{b}e^{-\frac{c}{b}}$, one has

$$Xe^X = \Delta. \tag{A4}$$

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Since in this paper we restrict ourselves (by a proper choice of hypotheses) to cases where $\Delta \in \mathbb{R}$ and $\Delta > 0$, we have existence and unicity of *X*, leading to

$$x = -W_0(\Delta) - \frac{c}{b},\tag{A5}$$

¹⁰⁶⁹ where W_0 is the 0-th branch of the Lambert W function.

1070 APPENDIX B: HYPERBOLIC TANGENT FIT

In this appendix, we present the hyperbolic tangent fit
 that is used in the analysis chain to get an estimate of certain
 parameters. This fit, which is similar to the function presented
 in Ref. 54 to fit pedestal profiles, can be written as

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$$I_{pr} = B + h \tanh\left(\frac{V_0 - V_{pr}}{d}\right) + m_1 \left(V_0 - V_{pr} - d\right) \Theta \left(V_0 - V_{pr} - d\right) - m_2 \left(-V_0 + V_{pr} - d\right) \Theta \left(-V_0 + V_{pr} - d\right), \quad (B1)$$

1078 where Θ is the Heaviside function and B, h, d, V_0, m_1 , and m_2 1079 are the fitting variables. m_1 and m_2 are related to the sheath parameters αI_{sat} and $\beta I_{sat}/A$ that are defined in this paper and 1080 1081 thus can be used as initial first guesses for them. Similarly, h1082 and B are related to A and d, which quantifies the width of 1083 the tanh part of the curve, and can be related to T_e . However, 1084 unlike other fitting models presented in this paper, this fit is 1085 not established on the basis of a physical model of the I-V 1086 characteristics and, therefore, cannot be used to derive directly the physical parameters. From Eq. (B1), the electron saturation 1087 1088 voltage is determined as

$$V_{sat} \approx V_0 + d. \tag{B2}$$

- Making the assumption that this saturation voltage does
 indeed correspond to the plasma potential, we therefore have
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- $V_{pl} \approx V_{sat} \approx V_0 + d. \tag{B3}$
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