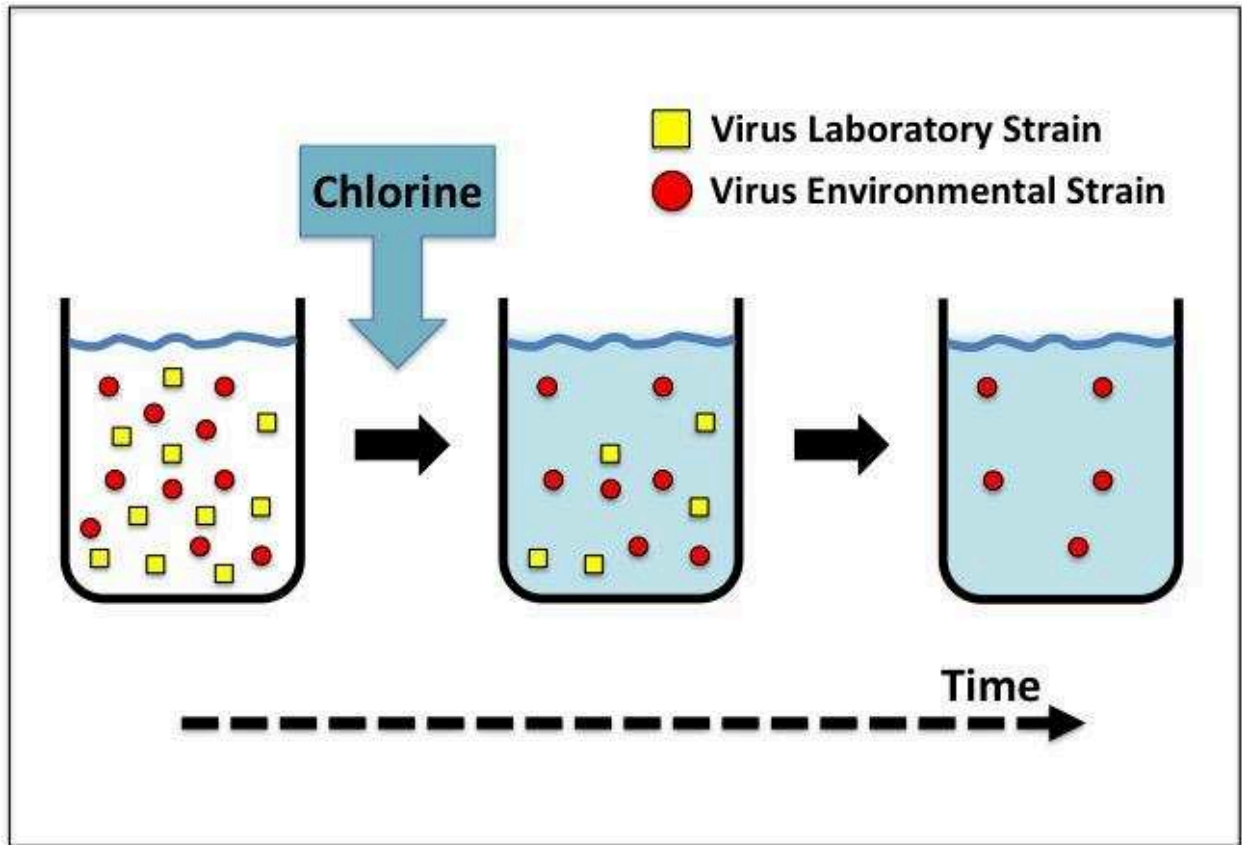


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**Variability in disinfection resistance within an between currently circulating *Enterovirus B* serotypes**

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**Variability in disinfection resistance within and between  
currently circulating *Enterovirus B* serotypes**

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**1 ABSTRACT**

2 The susceptibility of waterborne viruses to disinfection is known to vary between viruses and even  
3 between closely related strains, yet the extent of this variation is not known. Here, different  
4 enteroviruses (six strains of coxsackievirus B5, two strains of coxsackievirus B4 and one strain of  
5 coxsackievirus B1) were isolated from wastewater and inactivated by UV<sub>254</sub>, sunlight, free chlorine  
6 (FC), chlorine dioxide (ClO<sub>2</sub>), and heat. Inactivation kinetics of these isolates were compared with  
7 those of laboratory enterovirus strains (CVB5 Faulkner and echovirus 11 Gregory) and MS2  
8 bacteriophage. FC exhibited the greatest (10-fold) variability in inactivation kinetics between  
9 different strains, whereas inactivation by UV<sub>254</sub> differed only subtly. The variability in inactivation  
10 kinetics was greater between serotypes than it was among the seven strains of the CVB5 serotype.  
11 MS2 was a conservative surrogate of enterovirus inactivation by UV<sub>254</sub>, sunlight or heat, but  
12 frequently underestimated the disinfection requirements for FC and ClO<sub>2</sub>. Similarly, laboratory strains  
13 did not always reflect the inactivation behavior of the environmental isolates. Overall, there was  
14 considerable variability in inactivation kinetics among and within enteroviruses serotypes, as well as  
15 between laboratory and environmental isolates. We therefore recommend that future disinfection  
16 studies include a variety of serotypes and environmental isolates.

17

## 18 INTRODUCTION

19 Human enteric viruses are a leading cause of waterborne disease worldwide<sup>1</sup>. Their control remains  
20 problematic; compared to bacterial pathogens and fecal indicators, viruses are highly persistent in  
21 the environment<sup>2</sup> and have high resistance to disinfectants such as chlorine<sup>3</sup>. The *Enterovirus* genus  
22 of the *Picornaviridae* family in particular is a major source of the waterborne disease burden<sup>4</sup> and  
23 hence it is included on the EPA contaminant candidate list (EPA, CCL4<sup>5</sup>). Within this genus, species of  
24 concern include *Enterovirus A*, which contains coxsackievirus A serotypes, *Enterovirus B*, which  
25 includes serotypes of echovirus and coxsackievirus B, and *Enterovirus C*, which includes poliovirus  
26 and other coxsackievirus A serotypes<sup>6,7</sup>.

27 Serotypes of the *Enterovirus* genus are generally more resistant than other enteric viruses to  
28 chlorine<sup>8,9</sup>. The different species and serotypes of this genus, however, have a wide range of  
29 susceptibilities to disinfection. For example, differences in chlorine resistance were observed  
30 between the serotypes coxsackievirus B4 (CVB4) and B5 (CVB5), as well as poliovirus serotypes (PV)  
31 1, 2 and 3<sup>10</sup>, with CVB5 displaying the highest resistance. Similarly, disinfection by monochloramine  
32 exhibited up to three-fold greater inactivation rates of serotypes coxsackievirus B3 (CVB3) compared  
33 to CVB5, and more than 100-fold greater rates for serotypes echovirus 1 compared to echovirus 11  
34 (E11)<sup>9</sup>. Finally, disinfection of wastewater effluent by chlorine dioxide (ClO<sub>2</sub>) revealed that CVB5 was  
35 more resistant than echovirus 1 and PV 1 serotypes<sup>11</sup>. More surprisingly, differences were also  
36 observed among strains of the same poliovirus serotype<sup>12,13</sup>, revealing variability even among closely  
37 related viruses. Combined, these studies indicate that differences in resistance to oxidizing  
38 disinfectants exists between the different *Enterovirus* species, between serotypes and even within  
39 serotypes. In contrast, similar susceptibility was found among different enteroviruses to UV light and  
40 sunlight<sup>14,15</sup>.

41 The reason for variability in *Enterovirus* susceptibility to oxidants is not well understood, yet it may  
42 be driven through selection by the disinfectant for the most resistant variants. For example Bates et

43 al.<sup>16</sup> demonstrated that the repeated exposure of PV to chlorine led to increased resistance. Similarly,  
44 Shaffer et al.<sup>17</sup> reported that PV isolated from chlorinated drinking water were more resistant to  
45 chlorine than unexposed lab strains. More recently, our group has repeatedly exposed echovirus 11  
46 to UV<sub>254</sub> which resulted in the selection of UV<sub>254</sub>-resistant strains. Similarly, repeated exposure of  
47 echovirus 11 to UV light at 254 nm (UV<sub>254</sub>) led to the selection of UV<sub>254</sub>-resistant strains<sup>18</sup>. Selection of  
48 disinfection-resistant viruses may also arise from exposure to environmental stressors. Specifically,  
49 Payment et al.<sup>10</sup> demonstrated that sewage isolates of CVB5 were more resistant to chlorine  
50 compared to the corresponding lab strains. Tree et al.<sup>19</sup> furthermore demonstrated that sewage-  
51 borne PV were more resistant to chlorination compared to lab strains.

52 To establish adequate disinfection practices, it is important that the diversity in disinfection kinetics  
53 of circulating viruses is taken into account. To this end, we isolated nine strains of *Enterovirus B* from  
54 untreated domestic sewage from three geographic locations (Lausanne, Switzerland, Minneapolis,  
55 MN and Tampa, FL), and compared their disinfection kinetics with those of two laboratory strains  
56 (echovirus 11 Gregory strain and coxsackievirus B5 Faulkner strain). Additionally, inactivation kinetic  
57 parameters were compared to those of MS2 bacteriophage, which has been proposed as a surrogate  
58 for enteric viruses for the assessment of household water treatment interventions (including  
59 chlorination, UV, solar disinfection, and heat treatment)<sup>20</sup>. All viruses were subjected to inactivation  
60 by five different treatments of which two mainly act by inducing genome damage (UV<sub>254</sub> and  
61 simulated sunlight), two target both the viral genome and proteins (FC and ClO<sub>2</sub>), and one (heat)  
62 induces non-oxidative protein denaturation<sup>21</sup>. The ultimate objective of this study was to quantify  
63 the extent of variability in disinfection resistance for different *Enterovirus B* serotypes and strains, as  
64 well as for different inactivation methods, and to assess if surrogate viruses can be used to represent  
65 the inactivation of circulating viruses.

66

67

68

69 **EXPERIMENTAL SECTION**70 **Chemicals, virus laboratory strains and cells**

71 The sources of all chemicals, virus laboratory strains and their host cells is given in the Supporting  
72 Information.

73 **Isolation of circulating viruses**

74 Viruses were isolated from one liter of untreated domestic sewage from three wastewater treatment  
75 plants (Lausanne, Switzerland, Minneapolis, MS, and Tampa, FL), as described previously<sup>22-25</sup>.  
76 Insoluble contaminants were removed by adding 110 mL of glycine buffer (1M glycine, 3M NaCl,  
77 miliQ H<sub>2</sub>O, pH9.5), stirring on ice for 20 min, and then centrifuging for 30 min at 6700×g at 4°C. The  
78 supernatant was transferred to a clean bottle, the pH was adjusted to 7.2 with HCl, 200 mL of  
79 polyethylene glycol (PEG) 8000 (40%) were added and samples were stirred overnight at 4°C.  
80 Samples were then centrifuged for 40 min at 4°C, then the supernatant discarded and the pellet was  
81 resuspended in 15 mL of phosphate-buffered saline (PBS; 5 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM NaCl, pH7.4). To  
82 remove PEG and macromolecular inhibitors, the solution was vigorously mixed with 15 mL  
83 chloroform and centrifuged for 15 min at room temperature. The upper phase was harvested,  
84 filtered through a 0.45 µm filter (hydrophilic polyethersulfone filter; Millipore), and concentrated to  
85 1.7 mL using an Amicon 100 kDa molecular weight cutoff column (Sigma-Aldrich, Germany). Buffalo  
86 Green Monkey Kidney (BGMK) cells were grown to confluence in T25 flasks (TPP Techno Plastic  
87 Products, Trasadingen, Switzerland) as described previously<sup>26</sup>, were infected with the concentrated  
88 viruses diluted in cell culture media (see SI) at a 1:10 ratio, and the cytopathic effect (CPE) was  
89 checked daily. After full CPE was observed (around four days post-infection), the cell lysate was  
90 harvested and viruses were clarified by centrifugation. Finally, two successive plaque assays were  
91 performed to isolate individual virus strains (see SI for details).

92

**93 Virus identification, whole genome sequencing and alignment**

94 To identify the virus serotypes isolated, general enterovirus Inosine-degenerated primers targeting  
95 the viral protein 1 (VP1) were used<sup>27</sup>. The PCR amplicon size was first checked by agarose gel, then  
96 sequenced by Sanger technique using the same primers. The resulting sequences were identified by  
97 the NCBI basic local alignment search tool (BLAST). Whole genome sequencing of the CVB5 isolates  
98 was accomplished by aligning 86 CVB5 complete genomes listed in the ViPR<sup>28</sup> database. Primer  
99 couples were designed along the consensus sequence and used to sequence the whole genome of  
100 each isolate (Table S1). CVB4 isolates were sequenced with primers designed according to a single  
101 CVB4 sequence (accession number: S76772<sup>29</sup>), and CVB1 was sequenced with CVB1-derived primers.  
102 Based on the initial sequencing results, additional strain-specific primers were designed to complete  
103 genome sequences not fully captured by these serotype-specific primers. Virus genome sequences  
104 were checked, aligned, assembled, and annotated using the Geneious software version 8.1.8<sup>30</sup>.

105 The whole sequence of VP1 was used to align the different viruses and calculate their pairwise  
106 identity using multiple sequence comparison by log-expectation (MUSCLE)<sup>31</sup> with an iteration of 8, a  
107 gap open penalty of 400, and a gap extension penalty of 0. An unrooted tree was built using the  
108 neighbor-joining estimation method<sup>32</sup>. The protein pairwise identity was determined using the  
109 translated region of the structural proteins (VP1 to VP4).

**110 Virus purification and enumeration**

111 To prepare viral stock solutions, each strain was individually amplified in BGMK cells, purified by PEG  
112 precipitation and chloroform treatment<sup>33</sup>, concentrated, and divided into aliquots of 100  $\mu$ L. At least  
113 two stock solutions from separate amplifications were produced for each virus. Viruses in all stock  
114 solutions were analyzed by dynamic light scattering as described previously<sup>34</sup> and were found to be  
115 monodispersed (data not shown). Infective virus concentrations were determined by endpoint  
116 dilutions with Most Probable Number (MPN) statistics<sup>35</sup>, and are reported as most probable number

5



117 of cytopathic units per mL (MPNCU·mL<sup>-1</sup>). Endpoint dilutions were performed on BGMK cells in 96-  
118 well plates, with five replicates and eight dilutions per experimental sample. After inoculation, plates  
119 were incubated at 37°C with 5% CO<sub>2</sub><sup>26</sup>, and the presence or absence of CPE in each well was  
120 determined five days post-infection through microscopy. MS2 bacteriophage was propagated,  
121 purified by PEG and chloroform, and enumerated using the double agar layer plaque assay method  
122 as described previously<sup>33</sup>, and its infectivity was measured in plaque forming units per mL (PFU·mL<sup>-1</sup>).

### 123 **Inactivation experiments**

124 Inactivation experiments were performed two to four times per virus and disinfectant, and eight  
125 samples were taken to construct each inactivation curve. All experiments were performed in PBS at  
126 an initial virus concentrations of 10<sup>7</sup> to 10<sup>8</sup> MPNCU·mL<sup>-1</sup> or PFU·mL<sup>-1</sup>.

127 *UV<sub>254</sub>*. A low-pressure monochromatic UVC lamp was used to test the virus inactivation at a  
128 wavelength of 254 nm (UV<sub>254</sub>). A bench scale device containing a 17 W mercury UV lamp (Philips, TUV  
129 F17T8) with a manual shutter was used. Two mL of PBS were added to a darkened glass beaker, were  
130 spiked with viruses, and were exposed to UV<sub>254</sub> for up to four minutes under constant stirring.  
131 Aliquots of 100 µL were harvested every thirty seconds. The UV<sub>254</sub> fluence rate was measured by  
132 iodide/iodate actinometry<sup>36,37</sup>, and corresponded to 1.398 W·m<sup>-2</sup>.

133 *Simulated sunlight*. Sunlight was simulated using Sun 2000 (Abet Technologies) equipped with a  
134 1000W Xenon lamp, an AirMass 1.5 filter, and a 2 mm atmospheric edge filter. The irradiance  
135 spectrum was determined using a radiometer (ILT 900-R; International Light Technologies, Peabody,  
136 MA). The average UVB fluence rate was calculated by integrating the irradiance from 280 to 320 nm  
137 and corresponded to 0.563 W·m<sup>-2</sup>. For typical 12-hour day exposure, this corresponds to four times  
138 the equatorial UVB fluence determined elsewhere<sup>38</sup>. 100 µL of virus concentrate were added to 10  
139 mL of PBS in a glass beaker immersed in a 22°C temperature-controlled water bath, and were  
140 exposed to simulated sunlight under constant stirring. Samples of 100 µL were taken each 2-3 hours  
141 over the course of up to 24 hours.

142 *Free chlorine.* Prior to experiments, 10 mL glass beakers were incubated overnight in a concentrated  
143 FC solution to quench any chlorine demand. The FC working solution was prepared by diluting bleach  
144 solution (15% HOCl) in PBS to a final concentration between 0.8 and 3.1 mg·L<sup>-1</sup>. The FC concentration  
145 was measured by the N,N-diethyl-p-phenylenediamine colorimetric method<sup>39</sup> at the beginning and  
146 end of each experiment, and typically varied by less than 20% throughout the experiment. Therefore  
147 the average of the initial and final FC concentration in each experiment was considered as the  
148 working concentration. Prior to each experiment, beakers were rinsed twice with the working  
149 solution. Then 50 µL of virus stock solution were spiked into a 2 mL working solution under constant  
150 stirring. 10 µL aliquots were harvested every 10-30 seconds over the course of 3 minutes, and were  
151 directly mixed with 90 µL PBS containing 1.4 M sodium thiosulfate to quench the residual FC. The  
152 initial virus concentration was sampled from a 2 mL PBS solution without FC, spiked with 50 µL virus  
153 stock.

154 *Chlorine dioxide.* A concentrated ClO<sub>2</sub> solution was obtained by mixing 100 mL 4% K<sub>2</sub>S<sub>2</sub>O<sub>8</sub> with 100 mL  
155 2% NaClO<sub>2</sub> as described elsewhere<sup>40</sup>, and was kept at 4 °C. ClO<sub>2</sub> concentrations were measured by  
156 spectrophotometer (UV-2550; Shimadzu) at 358 nm. The concentrated solution was mixed with PBS  
157 in order to obtain a supply solution (4-7 mg·L<sup>-1</sup>) and a working solution (0.25-1 mg·L<sup>-1</sup>). All beakers  
158 were rinsed three times with the working solution. Then 2 mL of working solution were amended  
159 with 50 µL of virus stock solution under constant stirring. Throughout the experiment, the ClO<sub>2</sub>  
160 concentration was maintained approximately constant (± 7 %) by continuously adding the supply  
161 solution with a syringe pump at a flow rate of 5-20 µL·min<sup>-1</sup>. The sampling procedure, ClO<sub>2</sub>  
162 quenching, and measurement of the initial virus concentration were performed as described for FC.

163 *Heat.* Inactivation experiments by heat were performed in a PCR thermocycler (Applied Biosystems,  
164 GeneAmp PCR system 9700). PCR tubes containing 90 µL of PBS were heated to 55°C, then 10 µL of  
165 virus stock solution was spiked into each tube. At each time point, a sample was removed and quickly

166 placed in an aluminium PCR cooling block on ice. The initial virus concentration was measured by  
 167 spiking 10 µL of viruses in 90 µL of PBS at room temperature.

### 168 **Inactivation Rate Modelling**

169 The rates of infectivity loss for all viruses by UV<sub>254</sub>, sunlight and FC were modeled by first-order  
 170 kinetics according to the Chick-Watson model<sup>41,42</sup>, where  $k$  is the decay rate constant,  $C$  is the  
 171 concentration (or fluence rate) of the disinfectant,  $N$  is the concentration of viruses at time  $t$ , and  $\eta$  is  
 172 the coefficient of dilution, assumed to be equal to one:

$$173 \quad \frac{\partial N}{\partial t} = -kC^\eta N \quad (\text{equation 1})$$

174 Given that  $C$  was approximately constant in our experimental systems, the integration of Equation 1  
 175 gives the following,

$$176 \quad N = N_0 e^{-kCt} \quad (\text{equation 2})$$

177 where  $Ct$  is the dose, and the inactivation rate constants ( $k$ ) have the units  $\text{mJ}^{-1} \cdot \text{cm}^2$  (for UV<sub>254</sub> and  
 178 sunlight), and  $\text{mg}^{-1} \cdot \text{min}^{-1} \cdot \text{L}$  (for FC).

179 Infectivity loss by ClO<sub>2</sub> and heat were modeled by segmental regression, according to the following  
 180 equation,

$$181 \quad N = N_0 e^{-k_1 Ct} + N_1 e^{-k_2 Ct} \quad (\text{equation 3})$$

182 where  $N_1$  is the breakpoint (the virus concentration at which kinetics deviate from the initial  
 183 exponential decay), and  $k_1$  (hereafter denoted as  $k_{\text{ClO}_2}$  or  $k_{\text{heat}}$ ) and  $k_2$  are the rate constants  
 184 associated with inactivation before and after the breakpoint. Here,  $Ct$  is the dose in  $\text{mg} \cdot \text{min} \cdot \text{L}^{-1}$  for  
 185 ClO<sub>2</sub> and seconds at 55 °C for heat. The inactivation rate constants  $k_{\text{ClO}_2}$  and  $k_{\text{heat}}$  have units of  $\text{mg}^{-1}$   
 186  $\cdot \text{min}^{-1} \cdot \text{L}$  and  $\text{s}^{-1}$ , respectively.

### 187 **Bayesian analysis of rate constants**

188 The probabilities associated with the values of  $k$  were estimated using Bayesian inference (see  
189 Supporting Information for details). The probability of one virus strain or serotype being more or less  
190 resistant than another was calculated as the difference between the posterior distributions of the  
191 two inactivation rate constants. Bayesian inference was used instead of conventional hypothesis  
192 testing (*e.g.*, with p-values and confidence intervals) to provide a more intuitive assessment of the  
193 probabilities that a given virus has an inactivation rate constant, and hence a disinfection resistance,  
194 that differs from a reference virus considered (*e.g.*, CVB5 Faulkner strain). Furthermore, the use of  
195 Bayesian inference allows for the reduction of uncertainty in the rate constant by utilizing raw  
196 endpoint dilution data (number of positive wells in a given dilution sample) directly in a likelihood  
197 model<sup>43</sup>, instead of using MPN estimates at different dose levels as inputs to fit a log-linear  
198 inactivation curve using the least squares method. For the comparison of serotypes,  $k$  distributions of  
199 all viruses of a given serotype were combined and 30'000 values were randomly sampled from the  
200 resulting mixture distribution. These values were then used to calculate posterior distribution  
201 differences.

## 202 **Data analysis**

203 All computations of kinetic parameters and pairwise identities were performed in R<sup>44</sup>, supplemented  
204 with JAGS for Bayesian analysis<sup>45</sup>. The following CRAN packages were used: ggplot2<sup>46</sup>, gridExtra<sup>47</sup>,  
205 rjags<sup>48</sup>, segmented<sup>49</sup>, seqinr<sup>50</sup>, sjPlot<sup>51</sup>, bbmle<sup>52</sup>, coda<sup>53</sup>, msa<sup>54</sup>, ape<sup>55</sup>, ggtree<sup>56</sup>.

## 206 **Accession Numbers**

207 Genome sequences of all virus isolates have been deposited in GenBank with the accession numbers  
208 MG845887 to MG845895.

209

## 210 **RESULTS AND DISCUSSION**

### 211 **Virus Isolation**

212 A total of nine virus strains were isolated from untreated domestic sewage. By sequencing their  
213 whole genomes, six isolates were identified as serotype coxsackievirus B5, two as serotype  
214 coxsackievirus B4 and one as serotype coxsackievirus B1. All CVB5 isolates belong to genotype IV,  
215 which mostly contains viruses isolated after 1984, whereas the Faulkner strain, which was isolated in  
216 1952, belongs to genotype I<sup>57</sup>. The different isolates were named according to the isolation date and  
217 the first letter of the city they were isolated from (Table 1).

218 The genetic distance between isolates, determined by comparison of their VP1 genes, is illustrated in  
219 a neighbor-joining tree (Figure 1). All CVB5 isolates exhibited 79.1-99.4% pairwise identity, whereas  
220 they shared 76.8-81.7% with the CVB5 Faulkner strain (Table S2). This discrepancy can be explained  
221 by the different year of isolation of the CVB5 isolates and the Faulkner strain (2015 versus 1952  
222 respectively), and their different genotypes (IV versus I, respectively). The CVB4 isolates had 88.1%  
223 identity among each other, and shared 63.4-66.1% with CVB5 isolates. The single CVB1 isolate (CVB1-  
224 L071615) shared 61.3-67.6% identity with all other viruses. Finally, E11 exhibited the greatest genetic  
225 distance, sharing only 59.3-62.2% of its VP1 gene with the other viruses considered. At the protein  
226 level, the pairwise identity among CVB5 environmental isolates corresponded to 99%, but was only  
227 92 and 90% when compared to CVB4 isolates and E11, respectively (Table S3). The distribution of  
228 isolated serotypes is consistent with literature reporting that CVB5 is the most recurrent enterovirus,  
229 with high isolation frequencies<sup>58</sup> and high annual prevalence<sup>59,60</sup>.

### 230 **Inactivation kinetics**

231 The distributions of the inactivation rate constants for all viruses and treatment methods studied is  
232 shown in Figure 2. The values of the inactivation rate constants and associated statistics, along with  
233 the inactivation curves, are shown in the Supporting Information (Table S4 and Figures S1-S5). From  
234 these data it is evident that variability exists among different viruses in their susceptibility to  
235 disinfectants, and that the extent of this variability differs between the inactivation methods tested.  
236 An ANCOVA analysis furthermore confirmed that the rate constants differed between virus strains,

237 but were mostly consistent between experimental replicates of a single virus, even if stock solutions  
238 produced by different amplifications were used (see Supporting Information).

239 *UV and Sunlight inactivation.* Inactivation by UV<sub>254</sub> and sunlight were first-order with respect to  
240 fluence (Figures S1 and S2). The mean UV<sub>254</sub> inactivation rate constants ( $k_{UV}$ ) for all enteroviruses  
241 tested ranged from 0.28 to 0.38  $\text{mJ}^{-1}\cdot\text{cm}^2$  (Figure 2A). These rate constants are consistent with those  
242 previously reported for different enteroviruses (Hijnen et al. 2006, and references therein<sup>61</sup>). If only  
243 strains of the CVB5 serotype are considered, the variability is smaller, with mean values of  $k_{UV}$   
244 ranging from 0.32 to 0.37  $\text{mJ}^{-1}\cdot\text{cm}^2$ . MS2 was more resistant to UV<sub>254</sub> (0.15  $\text{mJ}^{-1}\cdot\text{cm}^2$ ) than all  
245 enteroviruses.

246 Inactivation by sunlight led to a wider distribution of inactivation rate constants. Specifically, the  
247 mean  $k_{sun}$  values for the different enteroviruses spanned a range from  $1.3\cdot 10^{-3}$  to  $9.0\cdot 10^{-3}$   $\text{mJ}^{-1}\cdot\text{cm}^2$   
248 (Figure 2C). These values correspond well to those previously reported for the inactivation of PV3 by  
249 simulated sunlight in PBS<sup>62</sup>. The variability of inactivation by sunlight was slightly reduced if only  
250 CVB5 strains were considered, with the most resistant strain (Faulkner) exhibiting a mean rate  
251 constant of  $3.2\cdot 10^{-3}$   $\text{mJ}^{-1}\cdot\text{cm}^2$ . As for UV<sub>254</sub>, MS2 was more resistant than any of the enteroviruses  
252 tested.

253 The differences in susceptibility of the different viruses to UV<sub>254</sub> and sunlight can be partly explained  
254 by the difference in the genome length. Given that all viruses tested have the same genome type  
255 (ssRNA), and assuming a constant rate of genome lesion formation during exposure to radiation, a  
256 longer genome will result in a higher number of lesions per genome<sup>63</sup>. Correspondingly, if  $k$  of each  
257 virus is normalized by its respective genome length, the variability in  $k$  decreases, though does not  
258 disappear (Figure S6).

259 *Free chlorine and chlorine dioxide.* Inactivation by FC was first-order with respect to dose (Figure S3),  
260 and yielded enterovirus inactivation rate constants ( $k_{FC}$ ) ranging from 0.8 to 8.0  $\text{mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$  (Figure  
261 2E). As such, inactivation by FC exhibited the greatest variability among the disinfectants tested.

262 Variability was also observed within the different CVB5 strains, for which the  $k_{FC}$  ranged from 0.8 to  
263  $4.9 \text{ mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$ . This range also includes FC inactivation rates constants of CVB5 Faulkner determined  
264 by others under similar experimental conditions<sup>64</sup>. The  $k_{FC}$  of MS2, which corresponded to  $5.9 \text{ mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$ ,  
265 fell within the upper range of enteroviruses.

266 In contrast to FC, inactivation by  $\text{ClO}_2$  deviated from first-order and exhibited a tail at higher  $\text{ClO}_2$   
267 doses (Figure S4). The earliest onset of a tail occurred at a  $\text{ClO}_2$  dose of approximately  $0.5 \text{ mg}\cdot\text{min}\cdot\text{L}^{-1}$ .  
268 Tailing could not be attributed to  $\text{ClO}_2$  depletion, as the  $\text{ClO}_2$  concentration was approximately  
269 constant throughout the experiment (see Experimental Section). Tailing during  $\text{ClO}_2$  disinfection has  
270 frequently been reported and has been attributed to various causes including virus aggregation<sup>34</sup>,  
271 heterogeneity of the virus population<sup>65</sup>, or the accumulation of oxidation products that form a  
272 protective layer around the residual infective viruses<sup>66</sup>. Aggregation was not observed among the  
273 viruses studied herein (see Experimental Section), which rules out this feature as a cause of tailing.  
274 To determine  $k_{\text{ClO}_2}$ , only the rapid, initial portion of the inactivation curve was considered ( $k_1$  in  
275 equation 3). Values of  $k_{\text{ClO}_2}$  for all viruses tested ranged from 16.4 to  $46.1 \text{ mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$  (Figure 2G). A  
276 slightly smaller range in mean  $k_{\text{ClO}_2}$  values was observed among strains of CVB5, which ranged from  
277 16.4 to  $35.1 \text{ mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$ . The  $k_{\text{ClO}_2}$  for MS2 ( $37.9 \text{ mg}^{-1}\cdot\text{min}^{-1}\cdot\text{L}$ ) fell within the upper range of the  
278 enteroviruses.

279 For E11, it was previously found that FC and  $\text{ClO}_2$  act on both the viral proteins and genome<sup>67</sup>.  
280 Differences in the chemical reactivity of the viral proteins or genome toward FC and  $\text{ClO}_2$  may thus  
281 explain some of the variability in the observed inactivation rate constants of the viruses considered in  
282 the present study. The abundance of readily oxidizable, solvent-exposed amino acids on the  
283 structural proteins was strongly correlated with  $k_{FC}$  (Pearson's  $r=0.79$ ), but only weakly and inversely  
284 with  $k_{\text{ClO}_2}$  (Pearson's  $r=-0.22$ ; Figure S7). At the genome level, guanosine is the most reactive  
285 nucleotide toward both FC and  $\text{ClO}_2$ <sup>68,69</sup>, and the degradation of the 5' non-coding region was  
286 previously found to correlate with inactivation by  $\text{ClO}_2$ <sup>70</sup>. Here, we therefore explored if the

287 guanosine content of the 5' non-coding region could be used as an indicator of a virus susceptibility  
288 to FC or ClO<sub>2</sub>. A moderate correlation with the respective inactivation rate constants was observed  
289 for FC (Pearson's  $r=0.51$ ), and a weak one with ClO<sub>2</sub> (Pearson's  $r=0.31$ ; Figure S8). To improve these  
290 correlations, further information on the RNA and protein secondary and tertiary structure may be  
291 needed. This analysis indicates that kinetics of inactivation by FC may be influenced by the chemical  
292 virus composition, whereas inactivation by ClO<sub>2</sub> is mainly linked to biological factors, such as the use  
293 of different host cell receptor sites or different recombination efficiencies.

294 *Heat*. Similar to inactivation by ClO<sub>2</sub>, exposing the different enteroviruses to a temperature of 55 °C  
295 resulted in tailing inactivation curves (Figure S5). The onset of the tail varied greatly between the  
296 different viruses, ranging from 15 seconds of heat exposure (CVB5-Faulkner, CVB5-L030315, CVB5-  
297 L061815, CVB4-M063015, and E11, Figure S5) to no observable tail throughout the experimental  
298 time considered. For the early tailing viruses, only the linear part of the inactivation curve was  
299 considered to determine the inactivation rate constant  $k_{heat}$  ( $k_1$  in equation 3). The mean values of  
300  $k_{heat}$  for the enteroviruses considered ranged from 0.15 to 2.11 sec<sup>-1</sup>. The corresponding range for  
301 only CVB5 strains was slightly narrower, reaching from mean values of 0.16 to 0.63 sec<sup>-1</sup>, whereas  
302 MS2 displayed a much lower mean value of  $k_{heat}$  of 0.006 sec<sup>-1</sup> (Figure 2I).

303 Changes in capsid amino acid residues have been previously linked to increases in the thermal  
304 stability of foot-and-mouth disease virus, another virus in the *Picornaviridae* family<sup>71</sup>. A similar effect  
305 may be caused by small differences in the amino acid content of the structural virus proteins (Table  
306 S2), which may cause the observed differences in  $k_{heat}$  among the different enteroviruses considered.  
307 Furthermore, at the treatment temperature used (55°C), capsid disruption followed by RNA escape is  
308 a probable reason for the inactivation of enteroviruses<sup>72,73</sup>. Heat resistance is thus likely linked to the  
309 strength of the interaction between the virus capsid subunits<sup>73</sup>, which may differ among the different  
310 serotypes and strains. A corresponding analysis is the subject of an ongoing study in our laboratory.

### 311 **Inter-serotype comparison of inactivation kinetics**



312 The different enterovirus strains considered herein exhibit similar genomic and protein features  
313 (Tables S2 and S3), yet the different serotypes are still genetically distant from one another (Figure  
314 1). To determine how this genetic diversity is reflected in disinfection susceptibility, the viruses were  
315 grouped by serotype; for CVB4 and CVB5, which contained more than one strain, a mixture  
316 distribution of  $k$  values was established (Figures 2B, D, F, H, and J). Bayesian analysis was then  
317 applied to quantify the probability that a given serotype is more or less resistant than any of the  
318 other serotypes tested. Because the different disinfectants inactivate viruses via different  
319 mechanisms<sup>74</sup>, these analyses were carried out for each disinfectant individually, to capture  
320 mechanism- or disinfectant-specific variability in virus resistance.

321 *UV<sub>254</sub> and Sunlight inactivation.* Comparisons between the four different serotypes revealed that  
322 despite their similarity in genome length and composition, their sensitivities to UV<sub>254</sub> nevertheless  
323 differed (Figures 2B). Specifically, CVB1 exhibited a >99% probability of being more resistant than the  
324 other serotypes tested, whereas the rate constants for the other serotypes grouped more closely. .  
325 However, while the observed differences in  $k_{UV}$  between CVB1 and the other enterovirus serotypes  
326 were quantifiable, they are of little practical significance: to achieve a 4- $\log_{10}$  inactivation, CVB1  
327 required a UV<sub>254</sub> dose of 32.5  $\text{mJ}\cdot\text{cm}^{-2}$ , whereas the most susceptible serotype (CVB4) required a  
328 similar dose of 25.3  $\text{mJ}\cdot\text{cm}^{-2}$  (Table S4).

329 A very different resistance pattern was observed for inactivation by sunlight (Figures 2D). CVB5 was  
330 the least resistant virus, with a >85% probability of being less resistant than any other serotype,  
331 whereas E11 had a >99% probability of being the most resistant. In contrast to UV<sub>254</sub> inactivation, this  
332 variability translates into a substantial differences in the environmental persistence in sunlit waters,  
333 or the inactivation performance by devices relying on disinfection by solar UVB: to achieve a 4- $\log_{10}$   
334 inactivation, CVB5 required a UVB dose of 1369  $\text{mJ}\cdot\text{cm}^{-2}$ , which is the equivalent of 2.3 days of solar  
335 UVB exposure at the equator. To achieve the same  $\log_{10}$  reduction, E11 required a dose of 5430  
336  $\text{mJ}\cdot\text{cm}^{-2}$ , which corresponds to approximately 9.1 days of solar UVB exposure at the equator.

337 The discrepant resistance patterns of viruses toward UV<sub>254</sub> and sunlight indicates that the mechanism  
338 of action of these two inactivating treatments differ. This can be rationalized by a number of causes.  
339 First, the wavelength spectrum and fluence rates of these two methods are different, and thus the  
340 type and yield of lesions to the viral genome likely differs<sup>75</sup>. Second, differences in thermal stability of  
341 the viruses may influence the observed inactivation rates, in particular if thermal inactivation during  
342 the lengthy sunlight experiments synergistically promoted inactivation by sunlight. And finally,  
343 inactivation by sunlight may involve a greater portion of protein damage compared to UV<sub>254</sub>, which  
344 may contribute to inactivation<sup>76</sup>.

345 *Free chlorine and chlorine dioxide.* FC and ClO<sub>2</sub> treatment varied in their effect on the different  
346 enterovirus serotypes (Figures 2F, 2H). For inactivation by FC, E11 had a >98% probability of being  
347 less resistant than the other serotypes, whereas CVB1 was the most resistant serotype (>85%  
348 probability). The susceptibility of the different serotypes results in considerable differences in the FC  
349 dose to achieve a 4-log<sub>10</sub> inactivation: E11 required a dose of 1.1 mg·min·L<sup>-1</sup> while CVB1 required a  
350 dose of 6.4 mg·min·L<sup>-1</sup>.

351 For disinfection by ClO<sub>2</sub>, CVB4 and CVB5 had high probabilities (>99% and >92%, respectively) of  
352 being more resistant than CVB1 or E11. The latter two serotypes exhibited comparable  
353 susceptibilities to ClO<sub>2</sub>. This latter finding is surprising, as CVB1 was the most resistant serotype  
354 toward FC. The observed extent of variability in disinfection kinetics among serotypes did not lead to  
355 significant differences in the ClO<sub>2</sub> requirements at low levels of inactivation. Specifically, the most  
356 resistant serotype (CVB4) required a dose of 0.11 mg·min·L<sup>-1</sup> for a 1-log<sub>10</sub> inactivation, and the most  
357 susceptible serotype (CVB1) a dose of 0.05 mg·min·L<sup>-1</sup>. These dose requirements, however, differ  
358 more dramatically if higher levels of inactivation are considered, as for some serotypes and  
359 environmental isolates, a 4-log<sub>10</sub> inactivation could not be achieved due to tailing of the disinfection  
360 curve (Figure S4 and Table S3).

361 *Heat*. Inactivation by heat was the least effective against CVB1 and CVB5, which were more thermally  
362 stable than the other two serotype tested with >99% probability (Figure 2J). The most heat sensitive  
363 serotype was CVB4, though the two strains contained in this serotype exhibited vastly different  
364 susceptibilities to heat.

365 In summary, the results of this study demonstrate pronounced variability in disinfection susceptibility  
366 among four different enterovirus serotypes. While disinfection requirements were fairly  
367 homogeneous across serotypes and strains for UV<sub>254</sub>, the requirements for sunlight, FC, ClO<sub>2</sub> and  
368 heat for a given enterovirus serotype were not predictive of that of other serotypes.

#### 369 **Assessment of enterovirus lab strains or MS2 as surrogates for the disinfection of environmental** 370 **isolates**

371 Many virus disinfection studies to date rely on laboratory strains<sup>9,64,77-81</sup>, which are easy to obtain  
372 because they are commercially available. Results from such studies should be interpreted with  
373 caution, since we showed here that a single laboratory strain of a single serotype may not accurately  
374 reflect the inactivation kinetics of other serotypes (Figure 2). Additionally, as illustrated below, we  
375 here show that even the lab strain of a specific serotype (here CVB5 Faulkner strain) may not be a  
376 suitable representative for environmental isolates of the same serotype. Specifically, inactivation  
377 kinetics of different isolates of CVB5 by sunlight and heat were only poorly represented by the  
378 corresponding laboratory strain. Bayesian analysis demonstrated that the Faulkner strain had a >  
379 99% probability of being more resistant to solar disinfection and less resistant to heat compared to  
380 the environmental isolates (Figure 3). This indicates that the lab strain is not a good surrogate to  
381 assess the environmental stability of isolates.

382 The Faulkner strain was also not a suitable surrogate for the inactivation of most environmental  
383 CVB5 isolates by oxidants and UV<sub>254</sub>. Bayesian analysis confirmed that all but one of the CVB5 isolates  
384 (CVB5-L060815) had a high (>99%) probability of being more resistant to FC than the Faulkner strain  
385 (Figure 3), and exhibited inactivation rate constants that were up to five times lower than the

386 Faulkner strain (Table S4). Similarly, all but two isolates (CVB5-L061815 and CVB5-L070215) had a  
387 high (90%) probability of being more resistant to  $\text{ClO}_2$  compared to the Faulkner strain (Figure 3),  
388 though the differences in inactivation rate constants were less pronounced compared to FC (Table  
389 S4). Finally, three of the environmental isolates (CVB5-L061815, CVB5-L070215 and CVB5-L030315)  
390 were more resistant to  $\text{UV}_{254}$  than the Faulkner strain, while others (CVB5-L060815, CVB5-L070915  
391 and CVB5-M063015) had similar probabilities of being more or less resistant (Figure 3).

392 Our data thus imply that disinfection studies based on lab strains may not be representative of many  
393 viruses circulating in the environment. Reliance on laboratory strains may therefore lead to the  
394 underestimation of actual treatment requirements. This latter point is emphasized in Figure 4, which  
395 compares our data with the US EPA's recommended  $Ct$  value for a  $4 \log_{10}$  inactivation of viruses by FC  
396 at  $20^\circ\text{C}^{82}$ . While both laboratory strains (E11 Gregory and CVB5 Faulkner) tested fall well below the  
397 EPA  $Ct$  requirement, several of the environmental isolates exceed it, such that the EPA  
398 recommendation would not guarantee a  $4\text{-log}_{10}$  reduction for these viruses.

399 Bacteriophages such as MS2 have also been proposed as surrogates for the disinfection of enteric  
400 viruses<sup>20</sup>, since they have similar properties as enteric viruses<sup>83</sup>. This approach is popular because  
401 phages are easier and cheaper to handle than actual human viruses. However, as is evident from  
402 Figure 2 and confirmed by Bayesian analysis (Figure S9), MS2 is not always a good surrogate for the  
403 inactivation kinetics of enteroviruses present in the treatment systems. Specifically, MS2 was less  
404 resistant to inactivation by FC and  $\text{ClO}_2$  than many of the coxsackieviruses studied, though it was  
405 representative of, or even more resistant than, E11. If MS2 is used as an indicator for virus  
406 inactivation by FC or  $\text{ClO}_2$ , the disinfection requirements of many *Enterovirus B* strains would thus be  
407 underestimated. In contrast, MS2 can be considered a good conservative surrogate for *Enterovirus B*  
408 inactivation by heat,  $\text{UV}_{254}$  and sunlight, as it was significantly more resistant to these treatments  
409 than any enterovirus studied. As discussed above, the greater resistance of MS2 to radiation can be  
410 partly explained by the difference in the genome length of MS2 (3569 bases) compared to

411 enteroviruses (ca. 7400 bases). However, even if corrected for genome length (Figure S6), MS2 still  
412 mostly underestimated enterovirus inactivation by  $UV_{254}$  and sunlight.

413

414 Overall, this analysis reveals that neither the lab strains nor MS2 bacteriophage can satisfactorily  
415 model inactivation behavior of all *Enterovirus B* species. Given the significant variability of  
416 inactivation kinetics among commonly occurring enteroviruses, we therefore recommend that future  
417 disinfection studies be conducted based on a range of viruses that include environmental isolates, as  
418 well as different serotypes.

#### 419 **SUPPORTING INFORMATION**

420 The Supporting Information is available free of charge on the ACS Publications website at  
421 <http://pubs.acs.org>. Additional information on chemicals, laboratory virus strains, plaque assays,  
422 Bayesian analysis and ANCOVA analysis; tables with primer information, nucleotide and protein  
423 pairwise identities of all viruses,  $k$  values and  $Ct$  values for 1 to 4- $\log_{10}$  inactivation; plots showing the  
424 inactivation curves for all virus-disinfectant combinations;  $k_{UV}$  and  $k_{sun}$  normalized by genome length;  
425 a correlation analysis of oxidizable amino acids or guanosine content with  $k_{FC}$  and  $k_{ClO_2}$ ; and the  
426 Bayesian comparison between enteroviruses and MS2.

427

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432

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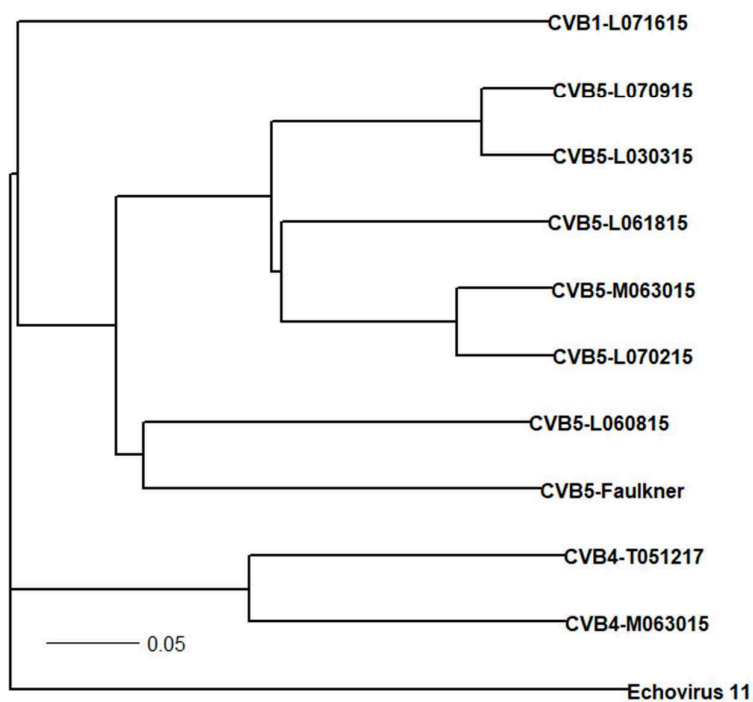


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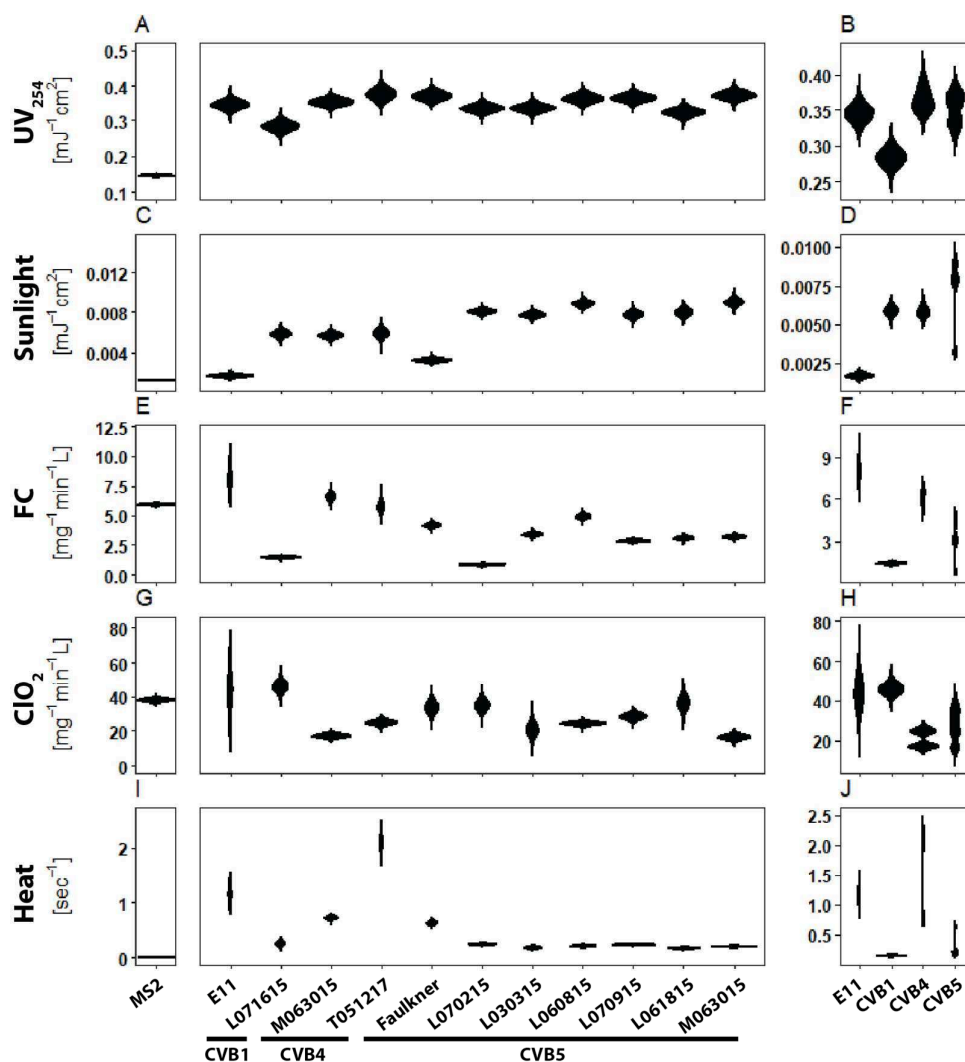
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**Table 1.** Serotype, genus, strain, and isolation location of viruses used in this work. The environmental isolates are named according to first letter of the city (Minneapolis, Tampa, or Lausanne) and the isolation date (month/day/year).

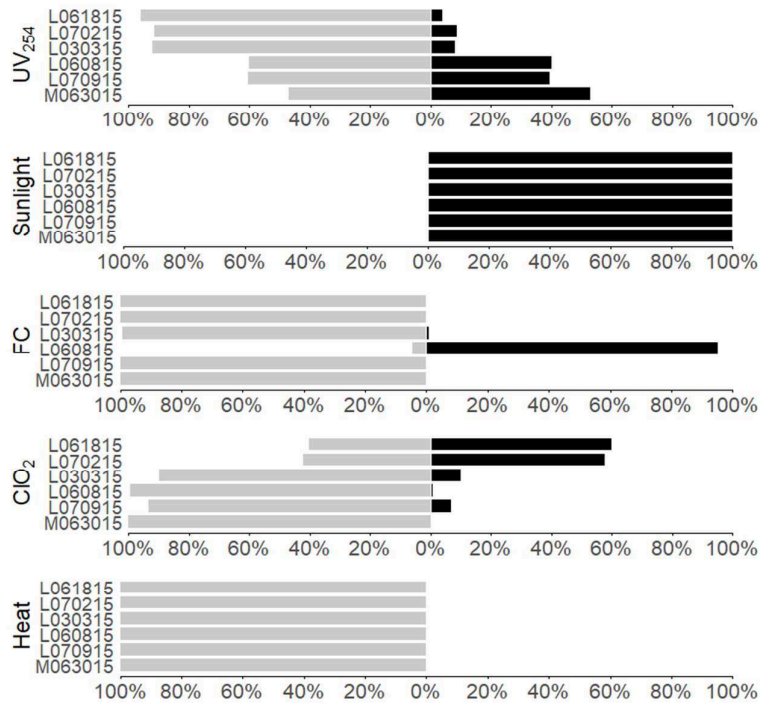
<b>Name</b>	<b>Serotype</b>	<b>Genus</b>	<b>Location</b>	<b>Strain</b>	<b>Access.No</b>
MS2		Levivirus	Lab strain	ATCC 15597-B1	NC001417
Echovirus 11	Echovirus 11	Enterovirus	Lab strain	ATCC-Gregory	X80059
CVB1-L071615	Coxsackievirus B1	Enterovirus	Lausanne	Env. isolate	MG845887
CVB4-M063015	Coxsackievirus B4	Enterovirus	Minneapolis	Env. isolate	MG845888
CVB4-T051217	Coxsackievirus B4	Enterovirus	Tampa	Env. isolate	MG845889
CVB5-Faulkner	Coxsackievirus B5	Enterovirus	Lab strain	ATCC-Faulkner	AF114383
CVB5-L030315	Coxsackievirus B5	Enterovirus	Lausanne	Env. isolate	MG845890
CVB5-L060815	Coxsackievirus B5	Enterovirus	Lausanne	Env. isolate	MG845891
CVB5-L061815	Coxsackievirus B5	Enterovirus	Lausanne	Env. isolate	MG845892
CVB5-L070215	Coxsackievirus B5	Enterovirus	Lausanne	Env. isolate	MG845893
CVB5-L070915	Coxsackievirus B5	Enterovirus	Lausanne	Env. isolate	MG845894
CVB5-M063015	Coxsackievirus B5	Enterovirus	Minneapolis	Env. isolate	MG845895



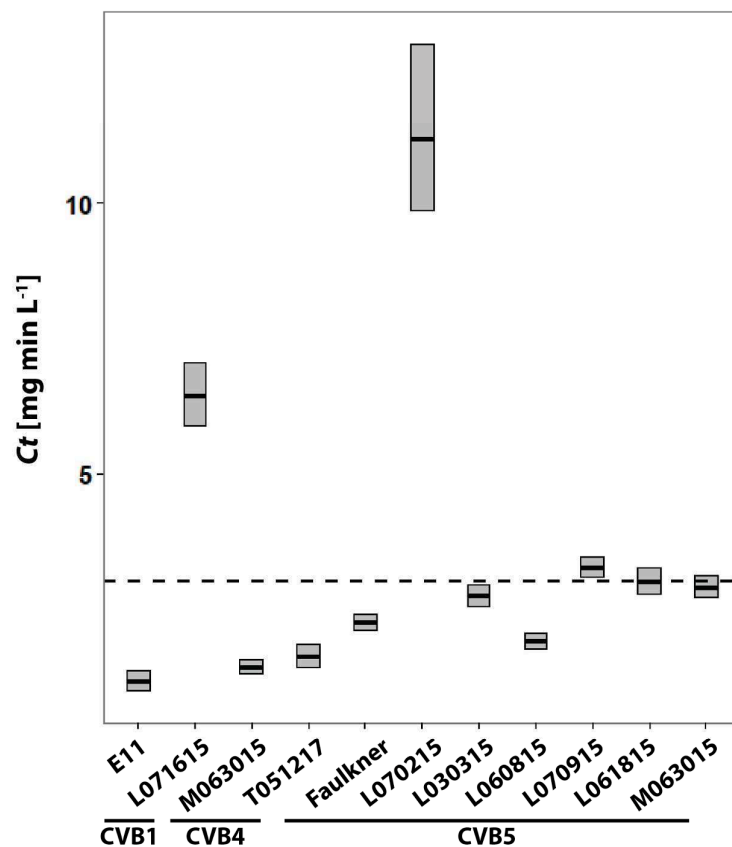
**Figure 1.** Unrooted neighbor joining tree of the viruses studied, built based on the virus VP1 coding region. The horizontal lines lengths are proportional to the genetic distance (see Table S2). The four serotypes represented can be clearly differentiated by their genetic distance.



**Figure 2.** Violin plots of showing the distribution and probability density of rate constants  $k$  associated with inactivation by  $UV_{254}$  (panels A and B), sunlight (C and D), FC (E and F),  $ClO_2$  (G and H) and heat (I and J). The values of  $k$  for individual viruses (MS2 and all enteroviruses) are shown in the left panels. The right panels show the mixture probability distribution of each serotype (E11, CVB1, CVB4, and CVB5), which results from the combination of the individual  $k$  distributions of all viruses of a given serotype. The exact values of  $k$  are given in Table S4.



**Figure 3.** Bar plots showing a comparison of the probabilities of inactivation rate constants ( $k$  values) for all environmental isolates of CVB5 with the CVB5-Faulkner laboratory strain. Grey bars indicate the probability that the environmental isolate is more resistant than the Faulkner strain; black bars indicate the probability that the environmental isolate is less resistant than the Faulkner strain.



**Figure 4.**  $Ct$  values to achieve a  $4\text{-log}_{10}$  virus inactivation by FC. The dashed line corresponds to the US EPA's recommendation for  $Ct$  value at  $20^{\circ}\text{C}^{82}$  ( $3\text{ mg}\cdot\text{min}\cdot\text{L}^{-1}$ ). The bar plots indicate the mean  $Ct$  (black line) calculated based on the  $k_{FC}$  measured herein, along with the upper and lower 95% confidence intervals (top and bottom of box).