

Sorafenib in the Treatment of Early Breast Cancer: Results of the Neoadjuvant Phase II Study – SOFIA*

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Keywords

Breast cancer · Sorafenib · Pharmacokinetics · Anthracycline · Taxane

Summary

Background: Sorafenib was tested for neoadjuvant treatment with an anthracycline/taxane-based chemotherapy in the open-label, multicentre, single-arm phase II study, 'SOFIA'. **Patients and Methods:** Inclusion criteria were: HER2 negative, cT3, cT4 or cT2 cN+, M0 primary breast cancer. Patients received 4 × epirubicin 90 mg/m² and cyclophosphamide 600 mg/m² (EC) intravenously (i.v.) in 3-weekly cycles followed or preceded by 12 weeks of paclitaxel (Pw) 80 mg/m². In cohort 1, sorafenib started at 800 mg daily with chemotherapy. An initial daily sorafenib dose of 200 mg was escalated, based on individual toxicities, every 3 weeks in cohort 2 (starting with EC) and every 2 weeks in cohort 3 (starting with Pw). The primary objective was to identify the most feasible regimen; secondary objectives were safety, pathological complete response (pCR) at surgery and pharmacokinetics. **Results:** Of the 36 recruited patients, 7/12 patients completed the study in cohort 1 and 24/24 patients in cohorts 2 and 3. The median cumulative sorafenib dose per patient was 37%, 65% and 46% in cohorts 1, 2 and 3, respectively. The main grade 3–4 toxicities were neutropenia and hand-foot syndrome. The pCR (ypT0/is) rate was 27.7%. No pharmacokinetic interaction was observed between sorafenib and epirubicin. **Conclusion:** Sorafenib EC-Pw is feasible if the starting dose is 200 mg, escalated every 3 weeks based on the patients' individual toxicities.

Introduction

The vascular endothelial growth factor (VEGF) receptor plays an important role in tumour vascularisation and growth. Bevacizumab, an anti-VEGF antibody, has improved progression-free survival of metastatic breast cancer when added to various chemotherapy regimens [1]. In combination with anthracycline/taxane-based chemotherapy given preoperatively, bevacizumab significantly increased the pathological complete response (pCR) rate in early breast cancer [2, 3].

Sorafenib, a potent multikinase inhibitor, targets the VEGF-2 receptor, the platelet-derived growth factor receptor-β (PDGFR-β), but also the Raf kinase, c-Kit and Flt [4]. Preclinical studies demonstrated antitumor activity independent of Ras mutations and suggested additive anti-tumour effects [5]. 2 phase II studies including 79 heavily pretreated breast cancer patients revealed only modest single-agent activity but good tolerability [6, 7]. A subsequent randomised phase II study in 229 human epidermal growth factor receptor 2 (HER2)-negative metastatic breast cancer patients investigated the combination of sorafenib and capecitabine. The addition of sorafenib to capecitabine resulted in a significant improvement in progression-free survival versus placebo (median, 6.4 vs. 4.1 months; hazard ratio (HR), 0.58; 95% confidence interval (CI), 0.41–0.81; P = 0.001), favouring sorafenib across subgroups, but was associated with higher toxicity and treatment discontinuation [8]. A randomised phase III study (RESILIENCE; NCT01234337) currently assesses this concept [9].

The neoadjuvant systemic treatment of breast cancer yields disease-free and overall survival rates comparable to those with adjuvant systemic therapy but allows for treatment monitoring in previously untreated patients [10]. Before any other combination therapy was explored, the non-randomised phase II SOFIA study (NCT00548899) was started to investigate the addition of sorafenib to standard epirubicin and cyclophosphamide (EC)-paclitaxel weekly chemotherapy

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as neoadjuvant treatment in medium-to-high-risk primary HER2-negative breast cancer [11].

Methods and Patients

Objectives

The primary objective of this open-label, single-arm, multicentre phase II study was to find the most feasible regimen of sorafenib (i.e. the regimen with the highest cumulative dose of sorafenib) in combination with epirubicin, cyclophosphamide and paclitaxel in patients with HER2-negative primary breast cancer. Secondary end points were tolerability, pCR rate after neoadjuvant chemotherapy with sorafenib, the response rates of breast tumours and axillary nodes as assessed by palpation or ultrasonography before surgery, the rates of pathological stage ypN0 after neoadjuvant therapy and the rate of breast conservation (for methods, see supplementary material). Pharmacokinetic interaction of sorafenib and epirubicin was assessed additionally.

Patients

Women with histologically confirmed uni- or bilateral primary untreated breast cancer who provided written informed consent were eligible. The HER2 status of the tumour had to be negative. The tumour had to be at least 2 cm in size by palpation and measurable preferably by ultrasound. In case of inflammatory breast cancer, the extension of the inflammation could be used as measurable lesion. Patients should have a disease stage in which adjuvant chemotherapy would be considered; therefore, the following stages were eligible: locally advanced disease with cT3–T4 or cT2, cN+. Further relevant criteria were a normal cardiac function measured by echocardiography (left ventricular ejection fraction $\geq 55\%$), no known thromboembolic events or ischemic attacks in the previous 6 months, no haemorrhagic diathesis or coagulopathy, no pre-exist-

ing sensory neuropathy grade ≥ 2 (National Cancer Institute Common Toxicity Criteria v. 3.0 (NCI-CTC)), no major surgery within the past 4 weeks, no other serious illnesses or medical conditions. The study adhered to the Declaration of Helsinki. The protocol was reviewed by the responsible ethics committee at each participating site. The conduct of the trial was supervised by an independent data and safety monitoring committee.

Therapy

All patients received neoadjuvant EC (epirubicin 90 mg/m² plus cyclophosphamide 600 mg/m² body surface area) once every 3 weeks for 4 cycles, followed or preceded by paclitaxel 80 mg/m² every week for 12 weeks. In cohort 1, patients received a fixed oral daily dose of 800 mg sorafenib on days 2–19 during EC and continuously during paclitaxel. Sorafenib was stopped after 23 weeks of treatment. This would result in a cumulative dose of 119.2 g sorafenib. After an amendment due to increased skin toxicities (any type) resulting in therapy discontinuation, patients in cohorts 2 and 3 started with sorafenib 200 mg daily and increased the dose during EC every cycle and during paclitaxel every 2 weeks if no skin toxicity occurred. In case of skin toxicity grade 1, the same dose was continued. The maximum achieved dose was maintained throughout the treatment. In cohort 3, patients started with paclitaxel followed by EC using the same dose-escalating schedule for sorafenib (supplementary fig. S1). All patients were then operated on and received adjuvant radiotherapy and endocrine treatment as standard of care if indicated.

Pharmacokinetics

During EC treatment, pharmacokinetic samples were taken during cycles 1 and 2 (alternatively 3) on day 1 prior to the start of epirubicin infusion, at the termination of epirubicin infusion and at 5, 20, 180 min and 24 h after the end of epirubicin infusion. Population pharmacokinetic analyses on total epirubicin concentrations quantified by a previously published high-performance liquid chromatography (HPLC) method were carried out using NONMEM 7.2 (ICON Development Solutions, Dublin, Ireland) [12].

Table 1. Baseline characteristics

	Cohort 1 (N = 12)	Cohort 2 (N = 12)	Cohort 3 (N = 12)	Total (N = 36)
Age, years: median (range)	44 (31–67)	44 (28–53)	47 (28–56)	45 (28–67)
Menopausal status				
Pre	9 (75.0%)	12 (100%)	8 (66.7%)	29 (80.6%)
Post	3 (25%)	0 (0%)	4 (33.3%)	7 (19.4%)
Karnofsky score				
100%	11 (91.7%)	12 (100.0%)	10 (83.3%)	33 (91.7%)
90%	1 (8.3%)	0 (0.0%)	2 (16.7%)	3 (8.3%)
Clinical T stage				
I	0 (0%)	2 (16.7%)	4 (33.3%)	6 (16.7%)
II	11 (91.7%)	10 (83.3%)	6 (50.0%)	27 (75.0%)
III	1 (8.3%)	0 (0%)	2 (16.7%)	3 (8.3%)
Clinical N stage				
0	3 (25.0%)	8 (66.7%)	6 (50.0%)	17 (47.2%)
1	9 (75.0%)	4 (33.3%)	6 (50.0%)	19 (52.8%)
2	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Sentinel node biopsy				
Yes	2 (16.7%)	4 (33.3%)	5 (41.7%)	11 (30.5%)
No	10 (83.3%)	8 (66.7%)	7 (58.3%)	25 (69.5%)
Histological tumour type				
Ductal or ductal/lobular invasive	9 (75.0%)	10 (83.3%)	11 (91.7%)	30 (83.3%)
Lobular invasive	0 (0.0%)	1 (8.3%)	1 (8.3%)	2 (5.6%)
Other	3 (25.0%)	1 (8.3%)	0 (0.0%)	4 (11.1%)
Tumour grade				
I	1 (8.3%)	0 (0.0%)	0 (0.0%)	1 (2.8%)
II	4 (33.3%)	6 (50.0%)	6 (50.0%)	16 (44.4%)
III	7 (58.3%)	6 (50.0%)	6 (50.0%)	19 (52.8%)
Hormone receptor status				
Positive	8 (66.7%)	6 (50.0%)	7 (58.3%)	21 (58.3%)
Negative	4 (33.3%)	6 (50.0%)	5 (41.7%)	15 (41.7%)
HER2 status				
Negative	12 (100%)	12 (100%)	12 (100%)	36 (100%)

Statistics

All patients who started therapy were included in the efficacy and safety analyses. Patients with missing data regarding response were counted as having no response.

The initial sample size calculation was based on the GeparDuo study [13]. An exact binominal test with an alpha of 10% would have had an 80% power to detect the difference between the null hypothesis proportion (pCR of 25% (22.3%)) and the alternative proportion (pCR of 40%) when the sample size was 62 patients. The original primary end point pCR was modified by an amendment to find the most feasible regimen for sorafenib (i.e. the regimen with the highest cumulative dose of sorafenib), as an early safety assessment revealed that tolerability of the initial schedule was not given, and 3 equal-sized cohorts with 12 patients each were recruited to establish the best regimen.

Results

Patients

From November 2007 until December 2010, 36 patients (12 in each cohort) entered the study in 10 sites in Germany. The baseline characteristics overall and per treatment cohort are outlined in table 1.

Compliance and Toxicity

The 36 patients were distributed into 3 equal-sized cohorts. All patients received 4 cycles of EC, and 33 patients received 12 weeks of paclitaxel. 1 patient never started paclitaxel, 1 pa-

tient stopped after 6 weeks and 1 after 9 weeks. 18 patients received at least 23 weeks of sorafenib as planned (supplementary table S1). In cohort 1, 7 of 12 patients completed the study and 6 of them received sorafenib at a reduced dose; 5 patients discontinued sorafenib prematurely, 3 due to adverse events, 1 due to progression and 1 on the patient's request. In cohort 1, the maximum tolerated dose of sorafenib was 400 mg per day. Only 1 patient could be treated with 800 mg sorafenib daily. In cohorts 2 and 3, all 12 patients completed the study. In cohort 2, the sorafenib dose could be escalated to 800 mg in 6 of the 12 patients, to 600 mg in 4 patients and to 200 mg daily in 2 patients. In cohort 3, 1 patient was escalated to 800 mg, 3 to 600 mg, 6 to 400 mg and 2 patients remained on 200 mg sorafenib daily. The median cumulative dose per patient was 44.5 g in cohort 1, 77.4 g in cohort 2 and 55.2 g in cohort 3, which corresponds to 37%, 65% and 46% relative to the maximum pre-planned cumulative dose of 800 mg, respectively.

The main toxicities were neutropenia grade 3–4 in all patients and hand-foot syndrome (HFS) of any grade in 30/36 patients, which was severe in 5 patients (table 2). Sensory neuropathy grade 1–2 was reported in 26 patients. Nausea and vomiting grade 1–2 were observed in 32 and 12 patients, respectively. 1 patient had severe nausea. No patient died while on treatment.

Table 2. Adverse events per patient

	Cohort 1 (N = 12)		Cohort 2 (N = 12)		Cohort 3 (N = 12)		Overall (N = 36)	
	1–4	3–4	1–4	3–4	1–4	3–4	1–4	3–4
Toxicity, grade								
Hematologic toxicity, n								
Leukopenia	12	10	12	10	11	8	35	28
Neutropenia	12	11	12	12	12	12	36	35
Febrile neutropenia	0	0	1	1	2	2	3	3
Anaemia	12	0	12	0	12	1	36	1
Thrombopenia	2	0	5	0	5	1	12	1
Non-haematologic toxicity, n								
Alopecia	12	n.a.	12	n.a.	12	n.a.	36	n.a.
Allergic reaction	5	1	2	1	4	1	11	3
Conjunctivitis	2	0	0	0	2	0	4	0
Diarrhoea	9	0	12	0	9	0	30	0
Dyspnoea	1	0	1	0	4	0	6	0
Fatigue	9	0	9	0	12	1	30	1
Fever without neutropenia	0	0	2	1	5++	1	7	2
Flu and flu-like symptoms	5	1	4	0	6	2	15	3
Haemorrhage	6	0	11	0	10	0	27	0
Hypertension	4	0	4	0	3	0	11	0
Infection without neutropenia ^a	4	n.a.	0	n.a.	3	n.a.	7	n.a.
Mucositis	8	0	11	0	10	1	29	1
Nail changes	6	0	3	0	7	0	16	0
Nausea	10	1	11	0	11	0	32	1
Oedema	3	0	2	0	5	0	10	0
Pain	10	1	8	0	10	0	28	1
Thromboembolic event	3	2	2	0	2	2	7	4
Skin toxicity (all events) ^b	31	8	26	1	34	1	92	11
Hand-foot syndrome	11	4	9	1	10	0	30	5
Skin rash/acne	9	2	8	0	12	1	29	3
Pruritus	3	0	3	0	5	0	11	0
Erythema	5	2	1	0	4	0	10	2
Dry skin	3	0	5	0	3	0	11	0
Sensory neuropathy	5	0	10	0	11	0	26	0
Vomiting	7	0	4	0	1	0	12	0

^aIncluded local and systemic infections. ^b1 patient could have experienced more than 1 event. n.a. = not applicable.

Table 3. Parameter estimates and their bootstrap CIs from the pharmacokinetic model

Parameter	Estimate (95% CI)	Literature ^a
V1, l	15.2 (11.2–21.5)	10.3
V2, l	51.8 (26.8–99.5)	35.7
V3, l	1070 (826–1990)	772
CL1, l/h	55.9 (35.2–65.5)	72.9
CL2, l/h	26.9 (17.5–42.0)	30.2
CL3, l/h	73.8 (58.8–103)	61.5

^aValues taken from [25].

V = Volume, CL = clearance.

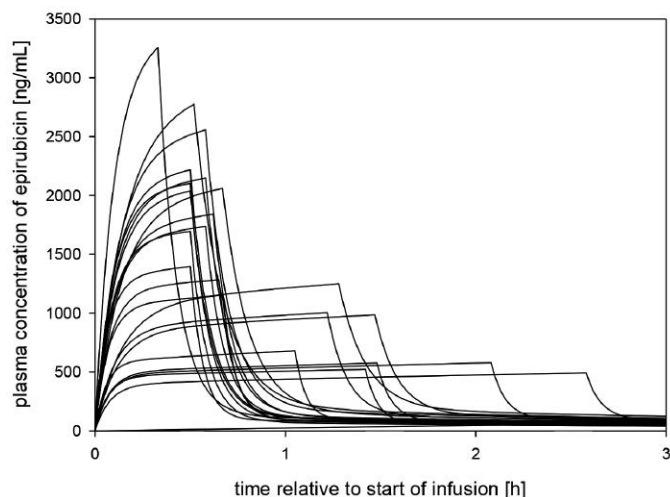


Fig. 1. Individual estimates of epirubicin concentrations (ng/ml) versus time (h) of the first period, based on the population pharmacokinetic model.

Efficacy

A total of 10 patients had a pCR (ypT0/is) (27.8%, 95% CI 13.1–42.3%). If nodal involvement was considered for pCR definition, 9 patients (25.0%) had no invasive residuals in the breast and no involved lymph nodes (ypT0/Tis, ypN0) and 8 patients (22.2%) had no invasive and no non-invasive residuals in breast and nodes (ypT0/ypN0). Overall, 15 patients had triple-negative breast cancer (TNBC), 6 of whom achieved a pCR (40.0%, 95% CI 15.2–64.8%). From the total of 36 patients, 14 (38.9%) were treated with mastectomy.

After a median follow-up of 3.9 years (range 3.1–4.5 years), five relapses and 2 deaths were observed.

Pharmacokinetics Results

Samples from 23 patients were available. Absolute epirubicin doses of 160 ± 16 mg (mean \pm standard deviation (SD)) were infused over 1.1 ± 0.8 h. The pharmacokinetics of epirubicin was best described by a 3-compartment model with linear elimination (supplementary fig. S2), with a terminal half-life of 23.9 h. The pharmacokinetic parameter estimates and their CIs of a bootstrap analysis (1000 runs) are summarised in table 3. The average area under the curve (AUC) as

derived from the population pharmacokinetic evaluation was $2,880 \pm 870$ ng/ml/h. C_{max} was calculated as $1,400 \pm 800$ ng/ml. Individual estimates of the concentration-versus-time profile of the population are shown in figure 1. More detailed information on model development and validation is shown in the supplementary data (supplementary figs. S3 and S4). It could be demonstrated that sorafenib has no major effect on the epirubicin pharmacokinetics for the treatment schedule used.

Discussion

SOFIA is the first study evaluating sorafenib in the neo-adjuvant setting in patients with primary breast cancer using a standard anthracycline/taxane-based chemotherapy regimen. The starting dose of sorafenib was 400 mg twice daily (800 mg) [14]. After the first 12 patients had been recruited, the starting dose of 800 mg sorafenib daily was reduced to 200 mg and an individual dose escalation scheme was implemented. This approach of individual dose escalation of sorafenib within a standard sequential chemotherapy of EC and weekly paclitaxel was necessary to reduce treatment discontinuation of sorafenib from 42% in cohort 1 to 0% in cohorts 2 and 3. This resulted in a higher cumulative sorafenib dose. It seems that the dose escalation was more feasible when starting with EC than with weekly paclitaxel, leading to a higher median dose of sorafenib and more patients achieving 800 mg. This could be due to the fact that in cohort 2 the dose escalation was performed every 3 weeks and in cohort 3 every 2 weeks. Non-haematologic side effects were mainly of low grade; 83% of the patients reported HFS, which was severe in 5 patients. HFS was less frequent in cohorts 2 and 3, despite achieving a higher cumulative dose of sorafenib, which was reported to be associated with increased hand-foot skin toxicity [15].

In an adjuvant phase II study for primary breast cancer, patients received doxorubicin 60 mg/m² and cyclophosphamide 600 mg/m² (AC) every 3 weeks, followed by paclitaxel 175 mg/m² intravenously on day 1 every 3 weeks for 4 cycles or 80 mg/m² for 12 weeks (physician's discretion), combined with sorafenib 400 mg orally twice daily. Sorafenib was continued for a total of 12 months and in combination with adjuvant hormonal therapy where indicated [16]. Of the 45 patients recruited, only 14 (31%) completed the chemotherapy-plus-sorafenib treatment phase, entered the maintenance phase and continued up to 15 weeks; 2 patients completed paclitaxel plus sorafenib but did not enter the maintenance phase. 60% of the patients stopped treatment prematurely either due to toxicity or due to the physician's/patient's decision. Toxicities were mainly of low grade and included neutropenia, anorexia, arthralgia, diarrhoea, and dyspnoea. In the metastatic setting, the addition of sorafenib to either capecitabine or paclitaxel resulted in higher rates of adverse events for the combination therapy compared to chemo-

therapy alone. Main grade 3–4 toxicities with paclitaxel plus sorafenib versus placebo were neutropenia (13% vs. 7%), anaemia (11% vs. 6%) and HFS (31% vs. 3%) [17]. In the capecitabine study, grade 3–4 toxicities were higher only for HFS (44% vs. 14%) when sorafenib was added, and any-grade toxicities were significantly higher mainly for HFS (90% vs. 14%), rash (22% vs. 8%), diarrhoea (58% vs. 30%), neutropenia (13% vs. 4%) and hypertension (18% vs. 12%). 20% compared to 9% of the patients in the control arm stopped the treatment prematurely due to toxicity.

This demonstrated that the 800-mg daily dose used for sorafenib as monotherapy is not feasible in combination with chemotherapy and therefore treatment modifications that are associated with a higher compliance rate have to be explored. One of these actions to increase compliance with the oral therapy with sorafenib can be a dose escalation based on the individual patient's toxicities, as explored here. The phase III RESILIENCE study [9] investigating the combination of sorafenib and capecitabine starts sorafenib at 600 mg daily and capecitabine at 2000 mg/m² on days 1–14 of a 21-day cycle, but allows both drugs to be escalated based on individual toxicities related to the treatment. The dose of sorafenib was based on the preceding phase II study with a mean daily dose per patient of 584 mg [8]. Capecitabine 2000 mg/m² is a well-tolerated and highly effective therapy [18]. In summary, dermatologic toxicities – especially hand-foot (skin) syndrome or reactions – are among the main reasons to stop or reduce multikinase targeting agents such as sorafenib, especially when combined with a chemotherapy inducing similar toxicities [19]. This has, for example, been shown in the case of sunitinib [20, 21], which was consequently stopped for further development in breast cancer.

Based on early reports of possible drug-drug interactions of anthracyclines with sorafenib and taking into account the long mean half-life of sorafenib, which is about 24–48 h [22], pharmacokinetic data for epirubicin were collected [23]. The epirubicin concentration time profile was successfully described by a 3-compartment pharmacokinetic model, whereas a 2-compartment model, which has also been reported [24], was not sufficient to describe the data. The parameter estimates for the final model are very similar to those published in the literature for another 3-compartment model of epirubicin, suggesting that sorafenib has no major effect on the epirubicin pharmacokinetics in the treatment schedule used [25].

The pCR rate, defined as no invasive residuals in the breast, was 27.8%, which is comparable to 24.5% (21.9–27.4%) reached with bevacizumab in addition to EC followed by docetaxel in the GeparQuinto study, but slightly lower than 34.5% (30.7–38.3%) in the National Surgical Adjuvant Breast and Bowel Project (NSABP) B40 study [2, 3]. The pCR rates, irrespective of the definitions, were slightly above those seen with bevacizumab [2, 3]. However, the sample size was smaller than initially planned in order to demonstrate an

added effect. The failure of bevacizumab to prolong disease-free and overall survival has tempered expectations for the success of anti-angiogenic tyrosine kinase inhibitors [26, 27]. Nevertheless, these anti-VEGF compounds certainly have activity, and translational work to identify the subgroups of responsive patients will be critical [28]. The lack of success of anti-angiogenic therapies in breast cancer to date may in part be explained by activation of additional pro-angiogenic switches upon blockade with bevacizumab, as has been shown in experimental systems [29].

The main limitation of our study is that the initial protocol was not feasible, resulting in a low recruitment probably due to low acceptance of the combination and more attractive competing trials. The 3 different treatment approaches generated feasibility data of the combination of EC and paclitaxel in combination with sorafenib. Paclitaxel weekly as single agent was thought to allow for a more rapid dose escalation of sorafenib every 2 weeks, which could not be proven.

In conclusion, a dose of 800 mg sorafenib as it is given as single agent in metastatic breast cancer and renal cell cancer was not tolerated as a starting dose in combination with standard anthracycline/taxane-based chemotherapy in early breast cancer. Sorafenib can be combined with epirubicin without drug-drug interactions, and an individual dose escalation model starting from 200 mg was feasible, resulting in significantly higher cumulative doses of sorafenib compared to a fixed starting dose and in no treatment discontinuations.

Online Supplemental Material

Table S1. Summary of maximum treatment cycles per drug and cohort

Figure S1. Study Design and Dose escalation model.

Figure S2. Pharmacokinetic model for the prediction of epirubicin plasma concentrations after infusion.

Figure S3. Population predicted epirubicin concentrations versus observed concentrations

Figure S4. Individual predicted epirubicin concentrations versus observed concentrations.

Supplementary Material and Methods

To access the online supplemental material please refer to www.karger.com/?DOI=000363430.

Disclosure Statement

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