

RESEARCH PAPER

Opioid-induced inhibition of the human 5-HT and noradrenaline transporters *in vitro*: link to clinical reports of serotonin syndrome

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Received 21 June 2017; **Revised** 3 November 2017; **Accepted** 8 November 2017

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BACKGROUND AND PURPOSE

Opioids may inhibit the 5-HT transporter (SERT) and the noradrenaline transporter (NET). NET inhibition may contribute to analgesia, and SERT inhibition or interactions with 5-HT receptors may cause serotonergic toxicity. However, the effects of different opioids on the human SERT, NET and 5-HT receptors have not been sufficiently studied.

EXPERIMENTAL APPROACH

We determined the potencies of different opioids to inhibit the SERT and NET *in vitro* using human transporter-transfected HEK293 cells. We also tested binding affinities at 5-HT_{1A}, 5-HT_{2A} and 5-HT_{2C} receptors. Additionally, we assessed clinical cases of the serotonin syndrome associated with each opioid reported by PubMed and a World Health Organization database.

KEY RESULTS

Dextromethorphan, l(R)-methadone, racemic methadone, pethidine, tramadol and tapentadol inhibited the SERT at or close to observed drug plasma or estimated brain concentrations in patients. Tapentadol was the most potent NET inhibitor. Pethidine, tramadol, l(R)-methadone, racemic methadone, dextromethorphan and O-desmethyiltramadol also inhibited the NET. 6-Monoacetylmorphine, buprenorphine, codeine, dihydrocodeine, heroin, hydrocodone, hydromorphone, morphine, oxycodone and oxymorphone did not inhibit the SERT or NET. Fentanyl interacted with 5-HT_{1A} receptors and methadone, pethidine and fentanyl with 5-HT_{2A} receptors, in the low micromolar range. Opioids most frequently associated with the serotonin syndrome are tramadol, fentanyl, tapentadol, oxycodone, methadone and dextromethorphan.

CONCLUSIONS AND IMPLICATIONS

Some synthetic opioids interact with the SERT and NET at potentially clinically relevant concentrations. SERT inhibition by tramadol, tapentadol, methadone, dextromethorphan and pethidine may contribute to the serotonin syndrome. Direct effects on 5-HT_{1A} and/or 5-HT_{2A} receptors could be involved with methadone and pethidine.

Abbreviations

DAT, dopamine transporter; ICSR, Individual Case Safety Report; MDMA, 3,4-methylenedioxymethamphetamine; NET, noradrenaline transporter; SERT, 5-HT transporter

Introduction

Opioids primarily activate **opioid receptors**, but some atypical synthetic opioids have also been shown to interact with the **noradrenaline transporter (NET)** and/or the **5-HT (serotonin) transporter (SERT)** (Codd *et al.*, 1995; Barann *et al.*, 2015). The effects of opioids on noradrenaline and 5-HT transport have previously been studied using rat brain synaptosomes (Larsen and Hyttel, 1985; Driessen *et al.*, 1993; Codd *et al.*, 1995; Frink *et al.*, 1996; Giusti *et al.*, 1997; Tzschentke *et al.*, 2007). However, only one study of which we are aware assessed a larger group of opioids using the same rat transporter assay (Codd *et al.*, 1995). Additionally, no data have been reported on the effects of different clinically used opioids on the human SERT, NET and **dopamine transporter (DAT)** using the same method, thus hindering direct comparisons of their transporter inhibition potencies. Only one recent study assessed the effects of a few opioids on the human SERT and showed SERT inhibition by **tramadol** and **pethidine** but not **morphine**, **hydromorphone**, **fentanyl** and **alfentanil** (Barann *et al.*, 2015). More information on the non-opioid effects of various opioids is needed because these analgesic substances are very widely used. Dopamine and the DAT are involved in addiction. Inhibition of NET may contribute to the analgesic effects of synthetic opioids, such as the newly marketed dual-mechanism analgesic **tapentadol** (Tzschentke *et al.*, 2007; Bee *et al.*, 2011; Schroder *et al.*, 2011). SERT inhibition may have analgesic effects but may also increase the risk of 5-HT toxicity (Boyer and Shannon, 2005). The adverse effects of 5-HT can be mild and include nausea, vomiting and insomnia. However, a more severe manifestation of 5-HT toxicity is the potentially fatal serotonin syndrome, which includes a triad of effects: mental state changes (delirium, agitation, confusion and coma), autonomic stimulation (hyperthermia, tachycardia and diaphoresis) and neuromuscular excitation (tremor, hyperreflexia and rigidity; Gillman, 2005). The serotonin syndrome has been associated with several opioids or combinations of opioids with other serotonergic drugs in numerous case reports (Schwartz *et al.*, 2008; Guo *et al.*, 2009; Monte *et al.*, 2010; Rastogi *et al.*, 2011; Walter *et al.*, 2012; Shakoor *et al.*, 2014; Abadie *et al.*, 2015). In a small analysis of pharmacovigilance data from a single country, tramadol was the opioid that was most frequently associated with the serotonin syndrome (Chassot *et al.*, 2012). However, a larger and more representative analysis of such spontaneous adverse-effect reporting data is needed.

The primary aim of the present study was to investigate and compare the potencies of a larger group of representative and widely used opioids to inhibit the human SERT, NET and DAT *in vitro*. We also tested whether opioids that interact with one of these monoamine transporters induce transporter-mediated monoamine release. Furthermore, activity at **5-HT_{1A}**, **5-HT_{2A}** and **5-HT_{2C}** receptors may be involved in animal models of the serotonin syndrome (Martin *et al.*, 1991; Van Oekelen *et al.*, 2002; Tao *et al.*, 2003; Fox *et al.*, 2009). Therefore, we determined the affinities of opioids to bind directly to these 5-HT receptors. Finally, we collected data on the frequency of reports of 5-HT toxicity associated

with opioids and sought to establish links between the *in vitro* data and clinical data.

Methods

Inhibition of 5-HT, dopamine and noradrenaline uptake

Inhibition of the human NET, DAT and SERT was assessed in HEK 293 cells (Invitrogen, Zug, Switzerland) stably transfected with the respective human transporter as previously described (Tatsumi *et al.*, 1997; Hysek *et al.*, 2012; Luethi *et al.*, 2017b). The cells were cultured in DMEM (Gibco, Life Technologies, Zug, Switzerland) with 10% fetal bovine serum (Gibco) and 250 µg·mL⁻¹ Geneticin (Gibco) to 70–90% confluence, detached and then resuspended (3×10^6 cells·mL⁻¹) in Krebs-Ringer bicarbonate buffer (Sigma-Aldrich, Buchs, Switzerland). For [³H]-dopamine uptake experiments, the uptake buffer was supplemented with 0.2 mg·mL⁻¹ ascorbic acid. The cell suspension (100 µL) was incubated with 25 µL buffer containing the test drugs, vehicle control or monoamine-specific inhibitors (10 µM **nisoxetine** for NET, 10 µM **mazindol** for DAT and 10 µM **fluoxetine** for SERT) for 10 min in a round bottom 96-well plate at room temperature by shaking at 450 rotations min⁻¹ on a rotary shaker. Monoamine uptake transport was then initiated by adding 50 µL of [³H]-noradrenaline (13.1 Ci·mmol⁻¹; PerkinElmer), [³H]-dopamine (30.0 Ci·mmol⁻¹, PerkinElmer) or [³H]-5-HT (80.0 Ci·mmol⁻¹; Anawa, Zurich, Switzerland) dissolved in buffer at a final concentration of 5 nM for an additional 10 min. Thereafter, 100 µL of the cell suspension was transferred to 500 µL microcentrifuge tubes that contained 50 µL of 3 M KOH and 200 µL silicon oil (1:1 mixture of silicon oil types AR 20 and AR 200; Sigma-Aldrich). To separate the cells from the uptake buffer, they were centrifuged through silicone oil for 3 min at 16 550 × *g*, and the tubes were frozen in liquid nitrogen immediately afterward. The cell pellet was then cut into 6 mL scintillation vials (Perkin-Elmer) that contained 0.5 mL lysis buffer (0.05 M TRIS-HCl, 50 mM NaCl, 5 mM EDTA and 1% NP-40 in water). The samples were shaken for 1 h before 5 mL scintillation fluid (Ultimagold, Perkin Elmer, Schwerzenbach, Switzerland) was added. Monoamine uptake was then quantified by liquid scintillation counting on a Packard 1900 TR Tri-Carb Liquid Scintillation Counter (Packard Instrument Company). Non-specific uptake that was determined in the presence of selective inhibitors was subtracted from the total counts, and monoamine uptake was compared with the vehicle control.

Transporter-mediated monoamine release

Substances that inhibit the monoamine uptake may also be monoamine transporter substrates and release monoamines *via* the transporter. The potential of the drugs which inhibited the uptake to also initiate transporter-mediated noradrenaline or 5-HT efflux was assessed in HEK 293 cells that overexpressed the respective human transporter as previously described (Simmler *et al.*, 2013, 2014; Luethi *et al.*, 2017b). Briefly, 100 000 cells per well were cultured overnight in a poly-D-lysine coated XF24 cell culture microplate

(Seahorse Biosciences, North Billerica, MA, USA). Thereafter, the cells were preloaded with 10 nM [³H]-noradrenaline, [³H]-dopamine or [³H]-5-HT diluted in 85 µL Krebs-HEPES buffer (130 mM NaCl, 1.3 mM KCl, 2.2 mM CaCl₂, 1.2 mM MgSO₄, 1.2 mM KH₂PO₄, 10 mM HEPES, 10 mM D-glucose, pH 7.5) containing 10 µM **pargyline** and 0.2 mg·mL⁻¹ ascorbic acid for 20 min at 37°C, washed twice and treated with 1000 µL Krebs-HEPES buffer containing 100 µM of the test drugs for 15 min (DAT and SERT) or 45 min (NET) at 37°C by shaking at 300 rotations min⁻¹ on a rotary shaker. The cells were then washed again with cold buffer and lysed in 50 µL lysis buffer during 1 h. Thereafter, 40 µL of the cell lysate was transferred into 4 mL scintillation vials with 3.5 mL scintillation fluid, and the radioactivity inside the cells was quantified by liquid scintillation counting as described for the monoamine uptake inhibition assay. Monoamine transporter blockers (10 µM nisoxetine for NET, 10 µM mazindol for DAT and 10 µM **citalopram** for SERT) were included in the experiment to determine 'pseudo-efflux' caused by non-specific monoamine release and subsequent reuptake inhibition (Scholze *et al.*, 2000). Thus, these uptake inhibitors served as negative control conditions. **3,4-Methylenedioxymethamphetamine (MDMA)** was used as comparator compound that is known to induce monoamine release in this assay (positive control in each experiment; Hysek *et al.*, 2012; Simmler *et al.*, 2014). All of the conditions were normalized to radioactive counts of the assay buffer control condition. The use of a single high concentration and the release durations were based on kinetic evaluation of the release-over-time curves for substrate-releasers in previous studies (Hysek *et al.*, 2012; Simmler *et al.*, 2014).

5-HT_{1A} and 5-HT_{2A} receptor radioligand binding assays

For membrane preparations, HEK293 cells, transiently transfected with the 5-HT_{1A} or 5-HT_{2A} receptor, were released from culture flasks using trypsin/EDTA, harvested, washed twice with ice-cold PBS (without Ca²⁺ and Mg²⁺), pelleted at 210 × g for 5 min at 4°C, frozen and stored at -80°C (Luethi *et al.*, 2017a). Frozen pellets were suspended in 20 mL HEPES-NaOH (20 mM, pH 7.4) containing 10 mM EDTA and homogenized with a Polytron (PT 6000, Kinematica, Lucerne, Switzerland) at 14 000 rpm for 20 s. The homogenates were centrifuged at 48 000 × g for 30 min at 4°C. Subsequently, the supernatants were removed and discarded, and the pellets resuspended in 20 mL HEPES-NaOH (20 mM, pH 7.4) containing 0.1 mM EDTA using the Polytron (20 s at 14 000 rpm). This procedure was repeated and the final pellets resuspended in HEPES-NaOH containing 0.1 mM EDTA and homogenized using the Polytron. Typically, aliquots of 2 mL membrane portions were stored at -80°C. With each new membrane batch, the K_D was determined by a saturation curve.

For the competitive binding assays, 1.39 nM [³H]-**8-hydroxy-2-(di-n-propylamine)tetralin ([³H]-8-OH-DPAT)** and 0.45 nM [³H]-**ketanserin** were used as 5-HT_{1A} and 5-HT_{2A} receptor radioligands, respectively, at concentrations equal or close to the K_D values (1 and 0.45 nM, respectively). Specific binding of the

radioligands to the target receptors was defined as the difference between total binding (binding buffer alone) and non-specific binding determined in the presence of 10 µM pindolol (for the 5-HT_{1A} receptor radioligand) or 10 µM spiperone (for the 5-HT_{2A} receptor radioligand). The compounds were tested at a broad range of concentrations (30 pM to 30 µM) in duplicates. The test compounds were diluted in binding assay buffer at pH 7.4 (50 mM Tris/HCl, 10 mM MgCl₂ and 1 mM EGTA), and dilution curves were made in assay microplates (Greiner, 96 well, U-bottom, PS). A total of 50 µL of radioligand and 100 µL of membrane suspension were added to the assay plates (final volume in each well, 200 µL) that were incubated and shaken for 30 min at room temperature. Incubations were terminated by rapid filtration through Unifilter-96 plates (Packard Instrument Company, PerkinElmer, Schwerzenbach, Switzerland) and glass filters GF/C (PerkinElmer) presoaked for a minimum of 1 h in polyethylenimine (0.3%) and washed three times with ice-cold washing buffer (50 mM Tris/HCl, pH 7.4). After the addition of Microscint 40 (45 µL per well, PerkinElmer), the Unifilter-96 plates were sealed. After 1 h, radioactivity was counted using a TopCount Microplate Scintillation Counter (Packard Instrument Company).

5-HT_{2C} receptor radioligand binding assay

Substances that showed binding at the 5-HT_{1A} and 5-HT_{2A} receptors were also tested at the 5-HT_{2C} receptor (many compounds that bind to the 5-HT_{2A} receptor also bind to the 5-HT_{2C} receptor). For membrane preparations, HEK293 cells, transiently transfected with the 5-HT_{2C} receptor, were released from culture flasks using trypsin/EDTA, harvested, washed twice with ice-cold PBS (without Ca²⁺ and Mg²⁺), pelleted at 210 × g for 5 min at 4°C, frozen and stored at -80°C (Luethi *et al.*, 2017a). Frozen pellets were suspended in 20 mL HEPES/NaOH (20 mM, pH 7.4) containing 10 mM EDTA and homogenized with a Polytron (PT 6000, Kinematica, Lucerne, Switzerland) at 14 000 rpm for 20 s. The homogenates were centrifuged at 48 000 × g for 30 min at 4°C. Subsequently, the supernatants were removed and discarded, and the pellets resuspended in 20 mL HEPES-NaOH (20 mM, pH 7.4) containing 0.1 mM EDTA using the Polytron (20 s at 210 × g). This procedure was repeated and the final pellets resuspended in HEPES/NaOH containing 0.1 mM EDTA and homogenized using the Polytron. Typically, aliquots of 2 mL membrane portions were stored at -80°C. With each new membrane batch, the K_D was determined by a saturation curve.

For the competitive binding assay, [³H]-**mesulergine** was used as 5-HT_{2C} receptor radioligand at 1.6 nM, a concentration equal to the K_D value. Specific binding of the radioligand to the target receptor was defined as the difference between total binding (binding buffer alone) and non-specific binding determined in the presence of 10 µM **mianserin**. The compounds were tested at a broad range of concentrations (30 pM to 30 µM) in duplicates. The test compounds were diluted in binding assay buffer at pH 7.4 (50 mM Tris/HCl, 10 mM MgCl₂, 1 mM EGTA and 10 µM pargyline), and dilution curves were made in 96-well white polystyrene assay plates (Sigma-Aldrich, Buchs, Switzerland). Membrane

stocks were thawed and resuspended to a concentration of approximately $0.04 \text{ mg protein mL}^{-1}$ binding assay buffer using a Polytron tissue homogenizer. The membrane homogenate ($40 \text{ }\mu\text{g}\cdot\text{mL}^{-1}$) was then lightly mixed for 5–30 min with YSi-poly-l-lysine (PerkinElmer, Schwerzenbach, Switzerland) at $0.5 \text{ mg beads per well}$. A total of $50 \text{ }\mu\text{L}$ of the membranes/beads mixture was added to each well of the assay plate that contained the radioligand ($50 \text{ }\mu\text{L}$) and the test compounds (final volume in each well, $200 \text{ }\mu\text{L}$) to start the assay. The assay plates were sealed, incubated for 2 h at room temperature with agitation and then counted in the PVT SPA counting mode of a TopCount Microplate Scintillation Counter (Packard Instrument Company, PerkinElmer, Schwerzenbach, Switzerland).

Cytotoxicity

To confirm cell integrity during the pharmacological assays, cytotoxicity was assessed using the ToxiLight bioassay (Lonza, Basel, Switzerland) according to the manufacturer's instructions and as described previously (Rickli *et al.*, 2015). The assay quantitatively measures the release of adenylate kinase from damaged cells, providing a highly sensitive method of measuring cytolysis (Crouch *et al.*, 1993).

Database searches for opioids associated with serotonin syndrome

The Medline PubMed database and VigiBase™ World Health Organization (WHO) Global Database of Individual Case Safety Reports (ICSRs) were searched using VigiLyze™ as the search tool for cases of serotonin syndrome associated with opioids. Published cases and case series of serotonin syndrome were searched in Medline using the terms 'serotonin syndrome' AND each of the opioids investigated in the present study *in vitro*. All publications up to 31 August 2016 were included. The reports were manually searched for relevance, and the drugs were classified as 'suspected among other drugs' or 'the only suspected drug' (according to the assessment of the authors of the case reports). Review articles that did not report actual patient data were not considered.

The WHO database search was performed on 18 April 2016. For each opioid, we identified all spontaneous reports and filtered the results using the Medical Dictionary for Regulatory Activities (MedDRA) adverse reaction term 'serotonin syndrome'. Only ICSRs in which the opioid was reported as 'suspected' or 'interacting' were included. ICSRs that reported that the opioid were 'concomitantly' used but without a time relationship with the adverse reaction were excluded.

Data and statistical analysis

The data and statistical analysis complied with the recommendations on experimental design and analysis in pharmacology (Curtis *et al.*, 2015). Calculations were performed using Prism 7.0a software (GraphPad, San Diego, CA, USA). Monoamine transporter inhibition data were fit by nonlinear regression to variable-slope sigmoidal dose–response curves, and IC_{50} values were determined. The SERT/NET ratio is expressed as $(1/\text{SERT IC}_{50}):(1/\text{NET IC}_{50})$. Compound-induced release from five independent experiments was compared with negative controls using ANOVA followed by Dunnett's test. Values of $P < 0.05$ were considered statistically

significant. The substances were considered a monoamine releaser if they caused significantly higher efflux than the negative controls. IC_{50} values of radioligand binding were determined by calculating nonlinear regression curves for a one-site model using three independent 10-point concentration–response curves, run in duplicate, for each compound. K_i (affinity) values, which correspond to the K_{DS} , were determined using the Cheng-Prusoff equation: $K_i = \text{IC}_{50} / (1 + [S]/K_M)$. K_i values are presented as means \pm SD (in μM).

Materials

Buprenorphine, citalopram, **codeine**, **dextromethorphan**, **dihydrocodeine**, fentanyl, **heroin (diacetylmorphine, diamorphine)**, 6-acetylmorphine (6-mono-acetylmorphine), **hydrocodone**, hydro-morphone, mazindol, MDMA, **methadone**, morphine, **oxycodone**, **oxymorphone**, pethidine (meperidine), tramadol, *O*-desmethyl-*cis*-tramadol, tapentadol, **venlafaxine** and **fluoxetine** were purchased from Lipomed (Arlesheim, Switzerland). Mianserin, nisoxetine, pargyline, pindolol and spiperone were supplied by Sigma-Aldrich (Buchs, Switzerland). D(S)-methadone and **l(R)-methadone** were obtained from Alsachim (Illkirch Graffenstaden, France). The HPLC purity of all of the substances was $>98\%$. [^3H]-8-OH-DPAT, [^3H]-ketanserin and [^3H]-mesulergine were supplied by Perkin-Elmer.

Nomenclature of targets and ligands

Key protein targets and ligands in this article are hyperlinked to corresponding entries in <http://www.guidetopharmacology.org>, the common portal for data from the IUPHAR/BPS Guide to PHARMACOLOGY (Southan *et al.*, 2016), and are permanently archived in the Concise Guide to PHARMACOLOGY 2017/18 (Alexander *et al.*, 2017a,b).

Results

Inhibition of 5-HT, dopamine and noradrenaline uptake

IC_{50} values for SERT, DAT and NET inhibition are shown in Table 1, and the full inhibition curves are shown in Supporting Information Figure S1. Dextromethorphan, l(R)-methadone and racemic methadone potently inhibited the SERT, with concentrations that are likely to be reached in the human brain when these drugs are used in patients (Table 3). Dextromethorphan was as potent as fluoxetine. Pethidine, tramadol, tapentadol and d(S)-methadone also inhibited the SERT at low micromolar concentrations, with IC_{50} values of 1–10 μM (Table 1) and at concentrations similar to or close to those reached in human brain at therapeutic doses (Table 3). The SERT inhibition potency and SERT/NET ratio values of tramadol and tapentadol were in the same order of magnitude (Table 1).

Tapentadol was the most potent NET inhibitor, which was almost as potent as venlafaxine (Table 1). Pethidine, tramadol, l(R)-methadone, methadone, dextromethorphan and *O*-desmethyltramadol also inhibited the NET at low micromolar concentrations (1–10 μM ; Table 1). Typical

Table 1

Monoamine transporter inhibition and 5-HT receptor binding by different opioids and known SERT/NET inhibitors (antidepressants)

	NET	DAT	SERT	SERT/NET ratio	5-HT _{1A}	5-HT _{2A}	5-HT _{2C}
	IC ₅₀ [μ M] (95% CI)	IC ₅₀ [μ M] (95% CI)	IC ₅₀ [μ M] (95% CI)	(95% CI)	Receptor binding K _i \pm SD [μ M]	Receptor binding K _i \pm SD [μ M]	Receptor binding K _i \pm SD [μ M]
Opioids							
Dextromethorphan	5.8 (3.9–8.6)	>100	0.068 (0.047–0.100)	85 (39–186)	>17	>13	NA
Methadone	4.1 (2.7–6.3)	>100	0.23 (0.16–0.32)	18 (8–39)	>17	0.61 \pm 0.03	2.2 \pm 0.3
(R)-methadone	2.5 (1.7–3.7)	>100	0.28 (0.21–0.37)	9 (5–18)	>17	0.72 \pm 0.61	2.6 \pm 0.3
Pethidine	1.6 (1.0–2.4)	>100	1.6 (0.95–2.5)	1.0 (0.4–2.6)	>17	3.6 \pm 0.35	15 \pm 0.1
Tramadol	2.1 (1.4–3.1)	100 (67–148)	3.3 (2.7–4.1)	0.62 (0.34–1.1)	>17	>13	NA
Tapentadol	1.3 (1.0–1.6)	78 (60–102)	3.3 (2.3–4.8)	0.39 (0.21–0.70)	>17	6.3 \pm 0.2	12 \pm 3.2
d(S)-methadone	69 (42–113)	>100	5.6 (3.9–8.0)	12 (5–29)	>17	0.52 \pm 0.11	1.9 \pm 0.2
O-desmethyiltramadol	6.1 (4.6–8.1)	>100	24 (16–36)	0.26 (0.13–0.52)	>17	>13	NA
Fentanyl	52 (40–69)	>100	>100	NA	2.1 \pm 0.20	1.3 \pm 0.12	>15
Buprenorphine	>100	>100	>100	NA	>17	>13	NA
Codeine	>100	>100	>100	NA	>17	>13	NA
Dihydrocodeine	>100	>100	>100	NA	>17	>13	NA
Heroin	>100	>100	>100	NA	>17	>13	NA
6-Acetylmorphine	>100	>100	>100	NA	>17	>13	NA
Hydrocodone	>100	>100	>100	NA	>17	>13	NA
Hydromorphone	>100	>100	>100	NA	>17	>13	NA
Morphine	>100	>100	>100	NA	>17	>13	NA
Oxycodone	>100	>100	>100	NA	>17	>13	NA
Oxymorphone	>100	>100	>100	NA	>17	>13	NA
Example of SERT/NET inhibitors used for the treatment of depression							
Fluoxetine	NA	NA	0.092 (0.076–0.121)	NA	>17	0.13 \pm 0.01	0.17 \pm 0.03
Citalopram	>20	>20	0.038 (0.031–0.046)	>20	NA	NA	NA
Duloxetine	0.12 (0.10–0.15)	NA	0.044 (0.037–0.053)	NA	NA	NA	NA
Venlafaxine	0.41 (0.30–0.56)	NA	NA	NA	>17	>13	>15

Uptake values are means of three independent experiments and 95% confidence intervals (CI); NA, not assessed; SERT/NET ratio, 1/SERT IC₅₀/1/NET IC₅₀.

phenanthrene opioids, including 6-acetylmorphine, buprenorphine, codeine, dihydrocodeine, heroin, hydrocodone, hydromorphone, morphine, oxycodone and oxymorphone, did not inhibit the SERT or NET (all IC₅₀ values >100 μM). None of the opioids tested inhibited the DAT (all IC₅₀ values ≥60 μM).

Transporter-mediated release of 5-HT and noradrenaline

Opioids that inhibited monoamine uptake were also tested with regard to transporter-mediated monoamine release. None of the opioids acted as a releaser of 5-HT or noradrenaline at a high concentration of 100 μM (Supporting Information Figure S2). Only the positive control MDMA induced significantly greater 5-HT and noradrenaline release than citalopram and nisoxetine respectively. Dopamine release was not assessed because none of the opioids interacted with the DAT in the uptake assay.

5-HT_{1A}, 5-HT_{2A} and 5-HT_{2C} receptor binding affinity

None of the opioids exhibited relevant affinity for the 5-HT_{1A} receptor (K_i > 17 μM) with the exception of fentanyl (Table 1). In contrast, methadone, pethidine and fentanyl showed affinity for the 5-HT_{2A} receptor at low micromolar concentrations (Table 1) that were in the range of those concentrations observed in plasma or estimated to be present in the brain in

humans treated therapeutically with methadone (Table 3). Methadone but none of the other opioids also showed very low affinity for the 5-HT_{2C} receptor (Table 1).

Cytotoxicity

None of the opioids showed cytotoxicity.

Opioids associated with the serotonin syndrome

The PubMed search yielded 99 patient cases (Supporting Information Table S1) that involved 114 administrations of opioids (Table 2). Twelve cases involved two opioids, and three cases involved three opioids. In the few cases providing detailed diagnostic information, serotonin syndrome was typically diagnosed according to the criteria of Hunter (Dunkley *et al.*, 2003) or Sternbach (Sternbach, 1991). The opioids that were most frequently reported to be associated with serotonin syndrome (>10 cases) were fentanyl and tramadol, followed by oxycodone and dextromethorphan (Table 2). However, five of these cases involved both fentanyl and oxycodone. All of the cases, with the exception of one case that was associated with tramadol overdose (Marechal *et al.*, 2011) and one case that was associated with therapeutic doses of dextromethorphan (Kinoshita *et al.*, 2011), involved other drugs in addition to the opioid. In most cases, SERT inhibitors (SSRIs) were also involved, leading to serotonergic toxicity usually shortly after dose escalation or the addition of another serotonergic medication. SSRIs inhibited the SERT

Table 2

Cases of serotonin syndrome reported, classified by opioid associated with report

Drug	WHO database		Medline database
	Only suspected cause or among others	Only suspected cause	Number of published cases
Opioid			
Tramadol	647	62	26
Tapentadol	115	42	1
Fentanyl	363	19	45
Dextromethorphan	86	7	12
Pethidine	66	6	5
Hydromorphone	41	3	2
Buprenorphine	20	3	1
Oxycodone	101	2	13
Methadone	93	2	3
Morphine	64	1	4
Codeine	32	0	0
Hydrocodone	6	0	2
Dihydrocodeine	4	0	0
Oxymorphone	3	0	0
Serotonergic drugs for the treatment of depression			
Fluoxetine	641	181	54
Citalopram	777	178	57
Duloxetine	993	550	20
Venlafaxine	859	240	75

more potently than the opioids as shown for some examples in Table 1. These SSRIs were also frequently reported (>10 cases/drug) as potential causes of clinical serotonin syndrome cases (Table 2).

The WHO database search yielded a total of 1641 ICSRs with at least one of the opioids noted as the suspected drug or an interacting drug and 147 ICSRs with the opioid as the only suspected cause (Table 2). The opioids that were most frequently reported in association with serotonin syndrome either alone or in combination with other drugs (e.g. SSRIs) were tramadol, fentanyl, tapentadol, oxycodone, methadone and dextromethorphan (Figure 1, Table 2). The single suspected opioids that were most frequently linked to serotonin syndrome were (in decreasing order) tramadol, tapentadol, fentanyl, dextromethorphan and pethidine (Table 2). In the majority of cases, serotonin syndrome occurred within the labelled dose range with overdose reported in less than 10% of the cases. Serotonergic drugs for the treatment of depression were reported to be suspected or interacting drugs in the majority of these ICSRs involving opioids. As expected, a separate WHO database search showed that the serotonergic drugs for the treatment of depression were also frequently reported as suspected or interacting drugs associated with serotonin syndrome (positive control, Table 2).

Discussion

The present *in vitro* study showed that the synthetic atypical opioids dextromethorphan, methadone, pethidine, tramadol and tapentadol acted as SERT and NET inhibitors at or close to clinically observed free drug plasma and estimated free

human brain concentrations (Table 3). Dextromethorphan preferentially inhibited the SERT versus NET. Tapentadol and tramadol were 2.6- and 1.6-fold more potent inhibitors of the NET versus SERT respectively. Consistent with the present findings, tramadol and pethidine inhibited the human SERT *in vitro*, whereas morphine, hydromorphone and fentanyl were inactive (Barann *et al.*, 2015). Also consistent with the present findings, dextromethorphan, methadone, pethidine, tramadol and tapentadol have previously been shown to block the rat SERT and NET in rat brain synaptosome *in vitro* assays (Larsen and Hyttel, 1985; Driessen *et al.*, 1993; Codd *et al.*, 1995; Frink *et al.*, 1996; Giusti *et al.*, 1997; Tzschentke *et al.*, 2007). Morphine and codeine did not block the rat SERT or NET (Codd *et al.*, 1995; Frink *et al.*, 1996; Tzschentke *et al.*, 2007) as shown here for the human transporter. In rats, both tramadol and tapentadol increased extracellular 5-HT and noradrenaline levels in the brain, measured by *in vivo* microdialysis (Tzschentke *et al.*, 2007; Bloms-Funke *et al.*, 2011).

The assumption that formed the basis of the present study was that opioids may increase the risk of serotonergic toxicity by inhibiting the SERT similarly to antidepressants and possibly at higher concentrations. In fact, SERT inhibition *in vitro* was found at opioid concentrations that were similar to those observed *in vivo* in human plasma and estimated to be present in the brain when the respective opioids were used clinically (Table 3).

We also found that opioids that were SERT inhibitors *in vitro* were also among those that were most frequently reported to be associated with serotonin syndrome in patients, including tramadol, tapentadol, methadone and dextromethorphan. However, fentanyl and oxycodone were also linked to serotonin syndrome but did not interact with

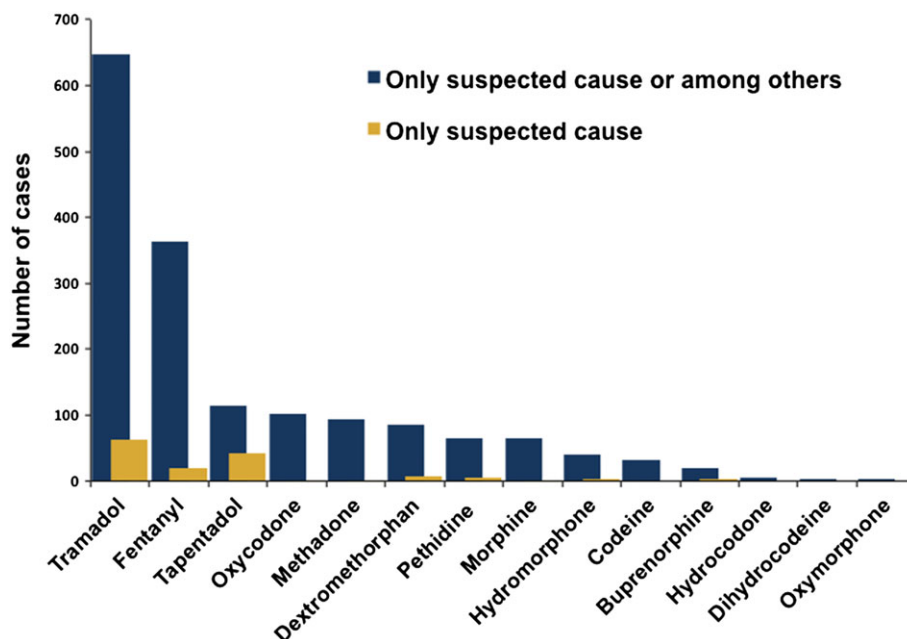


Figure 1

Number of spontaneous ICSR of serotonin syndrome in the VigiBase™ WHO Global Database per opioid reported as the suspected cause among other drugs or the only suspected cause.

Table 3

Estimates of human plasma and brain concentrations of opioids when used clinically

	SERT inhibition <i>in vitro</i>		Human drug concentrations <i>in vivo</i>			Single and (daily) doses		References
	IC ₅₀ [μM]	C _{total,plasma} [μM]	f _{u, plasma}	C _{u,plasma} [μM]	C _{u,brain} ^f [μM]	mg		
Dextromethorphan	0.07	0.01–1.4 ^c 0–5.6 ^d			0–0.44 ^d	75–100 p.o. –400 p.o.	(Chen <i>et al.</i> , 1990; Pope <i>et al.</i> , 2004; Zawertailo <i>et al.</i> , 2010) (Steinberg <i>et al.</i> , 1996)	
Methadone	0.23	0.3–7.3 ^b	0.14	0.04–1.0	0.03–0.8 ^{f,g}	60–120 (10–430) p.o.	(Eap <i>et al.</i> , 1990; Eap <i>et al.</i> , 2007; Kalvass <i>et al.</i> , 2007)	
l(R)-methadone	0.28	0.2–3.7 ^b	0.13	0.03–0.5	0.03–0.5 ^{f,g}	30–60 p.o.	(Eap <i>et al.</i> , 1990; Foster <i>et al.</i> , 2000; Eap <i>et al.</i> , 2007; Kalvass <i>et al.</i> , 2007; Meini <i>et al.</i> , 2015)	
Pethidine	1.6	0.7–6 ^e	0.38 ^h	0.3–2.3	0.7–5.4 ^h	50–150 (100–500) i.m.	(Erstad <i>et al.</i> , 1997; Kalvass <i>et al.</i> , 2007)	
Tramadol	3.3	0.1–2.5 ^a	0.80	0.1–2.0	0.1–4.6 ^k	(100–400 p.o.)	(Saarikoski <i>et al.</i> , 2013; Kitamura <i>et al.</i> , 2014; Saarikoski <i>et al.</i> , 2015; de Moraes <i>et al.</i> , 2016; Tanaka <i>et al.</i> , 2016)	
Tapentadol	4.3	0.1–1.9	0.80	0.1–1.5	0.2–3.5 ^m	(100–600 p.o.)	(Xu <i>et al.</i> , 2010; Zannikos <i>et al.</i> , 2013; Kitamura <i>et al.</i> , 2014)	
d(S)-methadone	5.6	0.2–3.6 ^b	0.10	0.02–0.4	0.02–0.3 ^{f,g}	metabolite	(Eap <i>et al.</i> , 1990; Foster <i>et al.</i> , 2000; Eap <i>et al.</i> , 2007; Kalvass <i>et al.</i> , 2007)	
O-desmethyiltramadol	24	0.05–0.6	NA	NA	0.1–1.1 ^l	metabolite	(Saarikoski <i>et al.</i> , 2013; Saarikoski <i>et al.</i> , 2015; Tanaka <i>et al.</i> , 2016)	
Fentanyl	154	0.002–0.03	0.17	0.0003–0.005	0.0003–0.005 ⁱ	12–200 μg·h ⁻¹ s.c.	(Kalvass <i>et al.</i> , 2007; Heiskanen <i>et al.</i> , 2015)	
Morphine	>100	0–0.1	0.76	0–0.08	0.02–0.04 ^j	5–40 (20–150) p.o.	(Kalvass <i>et al.</i> , 2007; De Gregori <i>et al.</i> , 2014; Schou <i>et al.</i> , 2015)	
Oxycodone	>100	0–0.5	0–0.4	0–0.4	0.1 ^l	(30–380 p.o.)	(Wolff <i>et al.</i> , 1995; Friden <i>et al.</i> , 2009)	

IC₅₀ values are reproduced from Table 1 and are means of three experiments; human plasma concentrations are ranges observed in patients at steady state or in healthy subjects after single dose administration.

^aTrough concentrations at steady state at median oral daily doses of 112.5 mg divided into three doses (Tanaka *et al.*, 2016).

^bPeak concentrations at steady state after oral doses of 10–430 mg methadone daily (Eap *et al.*, 2007).

NA, not available

^cPeak concentrations at steady state after oral daily doses of 60 mg dextromethorphan with or without inhibition of its metabolism (Pope *et al.*, 2004)

^dMaximum concentration after administration of dextromethorphan at high doses up to 400 mg (Steinberg *et al.*, 1996). The maximum total concentration in cerebrospinal fluid was 0.44 μM (Steinberg *et al.*, 1996).

^eMaximal concentration after a single dose of pethidine of 50 mg intramuscularly was 2 μM (Erstad *et al.*, 1997). The drug label indicates threefold higher maximal single doses of 150 mg i.m. resulting in threefold higher estimated concentrations up to 6 μM.

^fC_{u,brain} = (f_{u,brain} × K_p × C_{u,plasma})/f_{u,plasma} (Schou *et al.*, 2015); C_{u,brain}/unbound concentration in brain, surrogate for brain interstitial fluid concentrations; f_{u,brain}/fraction unbound in brain; f_{u,plasma}/fraction unbound in plasma; K_p, total brain to total plasma ratio; C_{u,plasma}/unbound concentration in plasma.

^gf_{u,brain} and K_p values (0.03 and 4, respectively) were taken from mice and from racemic methadone (Kalvass *et al.*, 2007).

^hf_{u,brain}, K_p and f_{u,plasma} values (0.13, 6.8 and 0.38, respectively) were taken from mice (Kalvass *et al.*, 2007).

ⁱf_{u,brain}, K_p and f_{u,plasma} values (0.07, 2.4 and 0.17, respectively) were taken from mice (Kalvass *et al.*, 2007).

^jf_{u,brain}, K_p and f_{u,plasma} values (0.5, 0.36 and 0.76, respectively) were from mice and monkeys (Schou *et al.*, 2015).

^kC_{u,brain} was calculated using C_{u,plasma} and the K_{p,uu} value of 2.3 from a rat microdialysis study (Kitamura *et al.*, 2014) which higher than that using CSF in rats (0.6) (Sheikholeslami *et al.*, 2016) or humans (1.4) (Friden *et al.*, 2009)

^lBased on a C_{total,plasma} to C_{CSF} ratio of O-desmethyiltramadol which was similar to that of tramadol (Sheikholeslami *et al.*, 2016) and assuming a similar CSF : C_{u,brain} ratio to that of tramadol (Kitamura *et al.*, 2014).

^mAssuming a K_{p,uu} value of 2.3 similar to that of tramadol (Kitamura *et al.*, 2014) and O-desmethyiltramadol (Sheikholeslami *et al.*, 2016) based on the similarities in molecular structure.

the SERT *in vitro*, suggesting SERT-independent effects on the 5-HT system *in vivo*. Therefore, some opioids may also directly interact with 5-HT receptors, such as 5-HT_{1A} and 5-HT_{2A}, that have been implicated in animal models of serotonin syndrome (Martin *et al.*, 1991; Van Oekelen *et al.*, 2002; Tao *et al.*, 2003; Fox *et al.*, 2009), or indirectly activate 5-HT release *via* opioid receptor stimulation (Tao and Auerbach, 1995; Tao and Auerbach, 2002; Benade *et al.*, 2017). In fact, the present study showed that fentanyl directly bound to 5-HT_{1A}, as previously shown (Martin *et al.*, 1991) and, also to 5-HT_{2A} receptors, although both at concentrations clearly higher than those observed in human plasma. Methadone and pethidine also showed relevant affinity for the 5-HT_{2A} receptor at or near human plasma concentrations during therapeutic use of these opioids (Table 3). Tramadol was also reported to induce 5-HT efflux in the rat raphe nucleus, possibly independently from its action as a SERT inhibitor (Bamigbade *et al.*, 1997). None of the opioids that were tested in the present study was a DAT inhibitor, consistent with previous studies of the rat DAT (Frink *et al.*, 1996). Thus, unlike amphetamines and cocaine that inhibited the DAT in the assay used in the present study (Simmler *et al.*, 2013, 2014), opioids indirectly stimulate the dopaminergic system *in vivo* (Benade *et al.*, 2017), which is the basis for their reinforcing properties.

Drugs that inhibit the NET have analgesic properties when administered alone but also potentiate opioid-induced analgesia (Luccarini *et al.*, 2004; Hall *et al.*, 2011). While opioids primarily produce their analgesic effects *via* μ -opioid receptor stimulation, noradrenergic systems may also be critically involved in the analgesic properties of some compounds (Sawynok and Reid, 1987; Schroder *et al.*, 2010, 2011; Benade *et al.*, 2017). NET knockout did not significantly alter morphine-induced analgesia in mice indicating no major role of the NET in the analgesic response to morphine (Hall *et al.*, 2011), which showed no NET inhibition in the present study. However, noradrenaline clearly contributes to the analgesic effects of tapentadol, in addition to its opioidergic properties (Tzschentke *et al.*, 2007; Bee *et al.*, 2011; Schroder *et al.*, 2011). In the present study, tapentadol was the most potent human NET inhibitor among all of the opioids tested. Tapentadol also inhibited noradrenaline uptake into rat synaptosomes and increased extracellular brain concentrations of noradrenaline (Tzschentke *et al.*, 2007; Benade *et al.*, 2017). In the present study, tapentadol inhibited the human NET approximately threefold more potently than the human SERT, confirming data from a study of rat transporters (Tzschentke *et al.*, 2007). Apart from tapentadol, tramadol was the only other opioid that more potently inhibited the NET versus SERT, although it was almost equipotent at these two transporters. Additionally, the SERT/NET ratio did not differ relevantly between tapentadol and tramadol, indicating that there may not be a robust or large difference between the two compounds in terms of NET or SERT inhibition. Finally, the present study showed that pethidine, tramadol and l(R) methadone also inhibited the NET at concentrations within or close to the range that is present in human plasma and brain (Table 3). Thus, noradrenaline may contribute to the analgesic effects not only of tapentadol but also of pethidine, tramadol and l(R)-methadone.

The present clinical data analysis showed that serotonergic drugs for the treatment of depression were also involved in the majority of serotonin syndrome cases associated with opioids. In another analysis, most cases of serotonin syndrome resulted from the combined use of more than one serotonergic drug (Chassot *et al.*, 2012) indicating a higher risk of serotonin syndrome when opioids are used with other serotonergic substances. The combined use of opioid analgesics with serotonergic antidepressants is very common in the treatment of chronic pain. For example, in a recent analysis among 433 multimorbid hospital patients with chronic pain, 71% of the patients received opioids and 35% received antidepressants and potential interactions between opioids and serotonergic antidepressants (SSRIs, SNRIs) were identified in 57 (13%) of all patients (Siebenhuener *et al.*, 2017).

The present study has important limitations. The WHO data may mainly reflect the frequency of reporting rather than the true incidence of serotonin syndrome per opioid. Underreporting is common, and the true incidence of serotonin syndrome cannot be estimated from the present data. Additionally, we did not account for differences in the prescribing frequency or time on market. Adverse effects may be more frequently reported in the case of a novel medication. For example, tramadol and tapentadol were first reported in 1997 and 2010 respectively. Additionally, most cases were reported during the last 10 years, possibly reflecting changes in reporting and/or potential bias that resulted from post-marketing studies of more recently marketed opioids. Furthermore, the diagnosis of serotonin syndrome may not be correct in some cases or is at least often not well documented in many spontaneous reports. There was no qualitative analysis of the reported cases, and some were only poorly documented, and some symptoms could have been other adverse effects of the opioids not meeting all the criteria of serotonin syndrome. Additionally, the reported associations are possible or likely but not definitive. In the majority of the reports of serotonin syndrome, opioids were co-used with other substances and they were infrequently noted as the only suspected cause. The present spontaneous report data can only generate signals of possible adverse reactions that need further observation but cannot be used as prevalence markers or confirmation of a causal relationship. Nevertheless, the opioids that were more frequently reported to be associated with serotonin syndrome in the WHO database were generally the same opioids that were more frequently mentioned in case reports in PubMed.

In conclusion, we have characterized the effects of a series of opioids on the human SERT, NET, DAT, 5-HT_{1A} and 5-HT_{2A} receptors using the same method, thus allowing direct comparisons between substances. Several synthetic opioids inhibited the NET and SERT, which may contribute to their analgesic properties but may also increase the risk of 5-HT toxicity. Serotonin syndrome may result from SERT inhibition by tramadol, tapentadol, methadone, dextromethorphan and pethidine, especially when combined with other serotonergic medications, but there may also be SERT-independent effects with other opioids, such as fentanyl and oxycodone. These mechanisms and the risk of serotonin syndrome need to be further investigated.

Acknowledgements

This work was supported by the University Hospital Basel. The authors thank Sylvie Chaboz for technical assistance and Michael Arends for text editing.

Author contributions

A.R. and M.E.L. designed the research. A.R., E.L. and M.C.H. performed the research. A.R., E.L., M.C.H. and M.E.L. analysed data. A.R., E.L. and M.E.L. wrote the manuscript with input from all of the other authors.

Conflict of interest

M.C.H. is an employee of F. Hoffmann-La Roche. The other authors do not have any conflicts of interest to declare for this work.

Declaration of transparency and scientific rigour

This Declaration acknowledges that this paper adheres to the principles for transparent reporting and scientific rigour of preclinical research recommended by funding agencies, publishers and other organisations engaged with supporting research.

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Supporting Information

Additional Supporting Information may be found online in the supporting information tab for this article.

<https://doi.org/10.1111/bph.14105>

Figure S1 Monoamine uptake inhibition in stably transfected HEK 293 cells that expressed the human NET, DAT, or SERT. The data are presented as the mean ± SEM of three independent experiments. Curves were fitted by non-linear regression, and corresponding IC₅₀ values are shown in Table 1. DAT inhibition curves were not performed for substances that did not inhibit the DAT at 100 μM.

Figure S2 None of the opioids released serotonin (5-HT) or norepinephrine (NE). Monoamine release was induced by 100 μM of the compounds after preloading HEK 293 cells that expressed the human NET or SERT with radiolabeled monoamine. The dashed line marks nonspecific ‘pseudo-efflux’ that arises from monoamine diffusion and subsequent reuptake inhibition. Substances that caused significantly more monoamine efflux (***P* < 0.001) than non-releasing uptake inhibitors (open bars) were determined to be monoamine releasers. 3,4-Methylenedioxymethamphetamine (MDMA) served as positive control known to release 5-HT and NE. There was a significant main effect of 5-HT and NE release (F_{9,64} = 89.13, *P* < 0.001 and F_{10,78} = 21.46, *P* < 0.001, respectively) but only the positive control MDMA induced significantly greater 5-HT and NE release compared with citalopram and nisoxetine (both *P* < 0.001) respectively. The data are presented as the mean and SEM of five independent experiments.

Table S1 Cases of opioid-associated serotonin syndrome reported by PubMed.