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1 **Impact of the source of organic manure on persistence of *E. coli* O157:H7 *gfp*+ in rocket (*Diplotaxis***
2 ***tenuifolia*) and Swiss chard (*Beta vulgaris cicla*)**

3
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15

16 **Abstract**

17 The influence of organic nitrogen sources on the establishment of *E. coli* O157:H7 and the occurrence of
18 other potentially human pathogenic bacteria on baby leaf salads was evaluated. Greenhouse-grown rocket
19 and Swiss chard were spray-inoculated with *gfp*-tagged *E. coli* O157:H7 twice a week from when their first
20 true leaves reached a length of 2 cm until three days before harvest. Analysis of nitrogen content in leaves
21 revealed differences between treatments. Untreated plants had the lowest values, followed by plants
22 fertilized with pig hair pellets and chicken manure. The same pattern was seen for the growth medium at
23 the day of harvest. The applied strain showed similar establishment (measured using culture-dependent
24 methods) irrespective of treatment, but Swiss chard hosted significantly more *E. coli* O157:H7 than rocket.
25 Differences in the risk of infection were found for the crops, with plants fertilized with pig hair pellets
26 showing a slightly higher risk. No relationship was found for total nitrogen content in leaves and
27 colonization with *E. coli* O157:H7 *gfp*+. Isolating dominant Enterobacteriaceae from leaves, some strains
28 showed conformity to bacterial species that have previously been identified to influence the establishment
29 of *E. coli* O157:H7 in the phyllosphere. In this study, no inhibitory effects were observed *in vitro*.

30 Colonies showing matching characteristics for *Listeria* spp. and *Salmonella* spp. were detected ~~in a range~~
31 ~~from below the detection limit to 4 log CFU g⁻¹ fresh weight on rocket, and from 3.5 to up to 5.5 log CFU~~
32 ~~g⁻¹ fresh weight and 1 to and 5 log CFU g⁻¹ fresh weight, respectively, on Swiss chard.~~ However,
33 presumptive *Listeria* spp. and *Salmonella* spp. could not be confirmed by sequencing of the 16S rRNA gene.

34
35 Keywords: culture-dependent methods, Enterobacteriaceae, food safety, human pathogenic bacteria,
36 organic fertilizers, phylogenetic analysis, risk assessment

37

38 1 Introduction

39 The surface of vegetables is highly colonized with microorganisms, bacteria being the most abundant,
40 followed by fungi and yeasts. However, archaea, protozoa, and nematodes can also be found in the
41 phyllosphere (Whipps, Hand, Pink, & Bending, 2008). On leafy vegetables, bacteria belonging to the phyla
42 Proteobacteria, Firmicutes, Actinobacteria, and Bacteroides show the highest occurrence, based on culture-
43 independent analysis (Alsanius et al., Unpublished results; Rastogi et al., 2012). On family level, the
44 Pseudomonaceae and Enterobacteriaceae account for the highest numbers (Hunter, Hand, Pink, Whipps, &
45 Bending, 2010; Lopez-Velasco, Welbaum, Boyer, Mane, & Ponder, 2011). In general, these colonizers are
46 indifferent or have even been claimed to promote human health (Berg, Erlacher, Smalla, & Krause, 2014).
47 However, increasing outbreaks linked to contaminated fresh produce in recent years have led to a focus on
48 the risk caused by produce eaten raw (Warriner, Huber, Namvar, Fan, & Dunfield, 2009). Particular
49 concerns are human pathogenic *Escherichia coli* and *Salmonella* spp., due to their frequent implication in
50 outbreaks, and *Listeria monocytogenes*, owing its high case fatality rate (lethality) (Brandl, 2006; Carrasco,
51 Pérez-Rodríguez, Valero, García-Gimeno, & Zurera, 2010). Among human pathogenic *E. coli*, serotype
52 O157:H7 is most often identified as the causative agent in disease outbreaks (Dikici, Koluman, &
53 Calicioglu, 2015), but other strains, e.g., belonging to the serogroups O26, O103, O104, O111, and O145,
54 also have the ability to infect humans (European Food Safety Authority (EFSA), 2011; Karmali et al., 2003).
55 Clinical disease has been observed following exposure at low doses of less than 100 bacteria (Rheinbaben,
56 2011) and can lead to diarrhea, hemorrhagic colitis, and hemolytic uremic syndrome (HUS) (Agbodaze,
57 1999). Infection with *Salmonella* spp. typically causes gastroenteritis and septicemia (Trevejo, Courtney,
58 Starr, & Vugia, 2003). An exposure level of 10^5 to 10^{10} cells is needed to give a tangible probability of
59 infection (Kothary & Babu, 2001). Listeriosis can manifest in meningitis, sepsis, and miscarriage in
60 pregnant women (Farber & Peterkin, 1991) and mortality rates during an outbreak can be as high as 40%
61 (Carrasco et al., 2010). In healthy people, the *Listeria* numbers in exposures associated with clinical
62 listeriosis are reported to be high, while for susceptible groups, i.e., people with immunosuppression, even

63 small numbers can lead to infection (Franciosa, Maugliani, Floridi, & Aureli, 2005; Todd & Notermans,
64 2011).

65 Human pathogenic bacteria are not part of the normal flora of the phyllosphere and thus have to be
66 introduced to the plant environment (Chitarra, Decastelli, Garibaldi, & Gullino, 2014). Transmission can
67 occur via several routes, including pre- and post-harvest processes (Taban & Halkman, 2011). Critical points
68 for transmission of human pathogenic bacteria during cultivation are associated with application of
69 contaminated manure or irrigation water (Islam, Doyle, Phatak, Millner, & Jiang, 2004), use of plant
70 material of low microbial quality (Jablasone, Warriner, & Griffiths, 2005), and insufficient field worker
71 hygiene (Brackett, 1999). Moreover, there are hazards arising from contaminated runoff water (Kirby,
72 Bartram, & Carr, 2003) and airborne transmission (Berry et al., 2015) from nearby farms and through wild
73 animals visiting crop fields (Beuchat, 2006). A risk assessment evaluating the use of manure in terms of
74 transmission of human pathogens to vegetables (Koller, 2011) concluded that pre-treatment of manure and
75 a time lag between application and cultivation are needed to reduce the risk of contamination of vegetables.
76 However, no previous risk assessment has quantified the risk posed by fertilizers with differing nutrient
77 availability.

78 The establishment of an alien bacterium in the phyllosphere can be influenced by the existing microbiome
79 and by the level of available nutrients (Monier & Lindow, 2005; Oliveira, Viñas, Anguera, & Abadias,
80 2012). For different fertilizers, differences in the time of mineralization lead to varying amounts of readily
81 available nitrogen for the crops at a specific growth stage, even if the sum of supplied nitrogen in total is
82 comparable (Laber, 2013). As the requirement for nitrogen varies during the course of plant development
83 (Feller et al., 2001), the supply might be (sub)optimal depending on the fertilizer used. Consequently,
84 different fertilizers could also result in variations of leaf exudates.

85 Pedraza, Bellone, Carrizo de Bellone, Boa Sorte, and Teixeira (2009) showed that urea application results
86 in a shift in the leaf endophytic microbiome in rice, while Huber and Watson (1974) demonstrated that the
87 form of fertilizer nitrogen used has an impact on leakage quantity and nutrient composition of leaf exudates.
88 In addition, the growth medium has an indirect influence on the phylloplane by altering the soil microbiome

89 (Andrews, 1992). These findings raise the question of whether organic nitrogen fertilizer applied to the
90 growth medium of vegetable crops has an impact on the establishment of human pathogenic bacteria, other
91 than its potential of functioning as a carrier.

92 The aim of this study was thus to evaluate the influence of organic nitrogen source on establishment of
93 artificially introduced (~~via spray irrigation~~) *E. coli* O157:H7 *gfp*⁺ on rocket and Swiss chard baby leaves.

94 The bacterium was introduced to the phyllosphere via spray application, imitating overhead irrigation, a
95 common irrigation method in leafy vegetable production. Native occurrence of *Salmonella* spp. and *Listeria*
96 spp. was also analyzed with respect to the fertilizer. A risk assessment of the probability of infection when
97 eating the contaminated leafy greens was conducted. Furthermore, dominant bacteria grown on a culture
98 medium selective for Enterobacteriaceae were identified. Previous studies have revealed that
99 phylloepiphytic Enterobacteriaceae spp. can have an impact on the growth of *E. coli* O157:H7 on leafy
100 greens (Cooley, Chao, & Mandrell, 2006; Lopez-Velasco, Tydings, Boyer, Falkinham Iii, & Ponder, 2012).
101 The hypotheses tested were that: (i) food pathogens, such as *Salmonella* and *Listeria*, and (ii) the number of
102 *E. coli* O157:H7 *gfp*⁺ introduced are affected by the nitrogen source and (iii) that the infection risk is higher
103 for crops fertilized with non-treated organic nitrogen sources (chicken manure) than with heat-treated
104 organic nitrogen sources (pig hair pellets).

105 **2 Materials and Methods**

106 2.1 Inoculum preparation

107 An *Escherichia coli* O157:H7 strain, obtained from the Swedish Institute for Communicable Disease
108 Control, Solna, Sweden (registry no E81186), was used in the experiment. This strain expresses the *eae*
109 gene but lacks virulence factors verotoxin-1 and -2 and is labeled with a plasmid coding for ampicillin
110 resistance and for green fluorescent protein (*gfp*), which is emitted in the presence of arabinose when
111 exposed to UV light (Alam et al., 2014; El-Mogy & Alsanius, 2012).

112 The *E. coli* O157:H7 *gfp*⁺ was cultured from a cryo-culture on lysogenic broth (LB) agar supplemented
113 with 0.2% arabinose and 100 µg mL⁻¹ ampicillin at 37 °C. A single fluorescent colony was picked and
114 cultivated in 30 mL LB agar plus 100 µg mL⁻¹ ampicillin on a rotary shaker at 180 rpm and 37 °C for 18

115 hours. Cells were pelleted by centrifugation of the suspension at 3000 xg and 4 °C for 45 min, and washed
116 once with 0.85% sterile NaCl. Cells were suspended in 0.85% NaCl to a density of 9.7 log CFU mL⁻¹ (optical
117 density (OD₆₂₀) = 1.0). This stock suspension was diluted with 0.085% NaCl to a final density of 6 log CFU
118 mL⁻¹ for plant inoculation.

119 2.2 Greenhouse experiment

120 Swiss chard (*Beta vulgaris* subsp. *cicla*, SCR 107, AdvanSeed) and rocket (*Diplotaxis tenuifolia*, Tricia,
121 Enzo) were grown in the greenhouse with a target humidity of 70% and the temperature set to 21°C. Peat
122 based growing medium, consisting of a bottom layer (4.5 cm, K-jord, Hasselfors Garden AB, Örebro,
123 Sweden), and a top layer (1.5 cm, S-jord; Hasselfors Garden AB, Örebro, Sweden) was filled in trays (0.52
124 m x 0.42 m x 0.09 m) with the addition of chicken manure (CM) or pig hair pellets (PHP) in the amount of
125 81 kg ha⁻¹ available nitrogen. The control plots did not receive any additional fertilizer. When the first true
126 leaves reached a size of two cm, the plants were spray-inoculated with an *E. coli* O157:H7 *gfp+* suspension
127 in an amount of 0.1 mm every three days until three days before harvest (five times for rocket and three
128 times for Swiss chard). For this occasion, the trays were transferred to a greenhouse section, approved for
129 work with genetically modified organisms, and the suspension was applied with the help of a spray flask.

130 ~~grown in the greenhouse as described by Alsanius et al. (2016, submitted), with addition of chicken manure~~
131 ~~(CM) or pig hair pellets (PHP) to a total amount of 81 kg ha⁻¹ readily available nitrogen. The control plots~~
132 ~~did not receive any additional fertilizer. When the first true leaves reached a size of two cm, the plants were~~
133 ~~spray inoculated with an *E. coli* O157:H7 *gfp+* suspension in an amount of 5 mm every three days until~~
134 ~~three days before harvest (five times).~~

135 Six replicates for each treatment, defined as separate trays (0.52 m x 0.42 m x 0.09 m), were harvested six
136 weeks after sowing, and brought directly to the laboratory for further analysis.

137 2.3 Nitrogen analysis

138 The residual nitrogen content in three independent replicates of the fertilizers used and in the growth
139 medium, including the root system, was assessed with respect to mineralized nitrogen (NH_4^+ , NO_3^-) and
140 total nitrogen content by Eurofins, Kristianstad, Sweden. Growth medium samples were collected for each
141 tray and kept at $-20\text{ }^\circ\text{C}$ until analysis.

142 Leaves (100 g fresh weight) used for determination of nitrogen content were dried in a drying oven at 70
143 $^\circ\text{C}$. Analysis of total nitrogen content was conducted by Eurofins, Kristianstad, Sweden. Nitrate (NO_3^-)
144 content was measured on fresh plant material according to Method no. G-287-02 Rev. 5 (Multitest MT7B /
145 MT8B).

146 2.4 Microbial analysis

147 Aliquots of 25 g of the harvested leaves were weighed into sterile filter bags, 50 mL of TRIS buffer (0.1 M,
148 pH 5.6) were added, and epiphytic microorganisms from the leaf surface were abraded using a stomacher
149 (Smasher, Chemunex, Bruz, France) at normal speed for 30 s. The suspension was serially diluted with
150 0.85% NaCl and plated in triplicate on semi-selective medium. The media used and the conditions applied
151 are described in Table 1. The results are expressed as log colony-forming units (CFU) per gram fresh weight
152 (FW).

153 Growing media and fertilizers used in the first Swiss chard run were analyzed according to their microbial
154 loads as described by Hartmann et al. (submitted). Media and conditions were the same as used for leaf
155 analysis.

156 2.4.1 Identification of dominant Enterobacteriaceae

157 After termination of incubation and colony enumeration of violet red bile dextrose agar (VRBD) plates, five
158 solitary colonies were randomly selected from each of the plates and pure-cultured on full-strength tryptic
159 soy agar (TSA) for 24 h at $37\text{ }^\circ\text{C}$ to characterize dominant Enterobacteriaceae in the phyllosphere. Pure-

160 cultured strains were kept as cryo-cultures in sterile freezing medium (0.05 M K₂HPO₄, 0.001 M KH₂PO₄,
161 0.002 M trisodium citrate, 0.001 M MgSO₄ x 7H₂O, 12% glycerol) at -80 °C before 16S DNA sequencing.

162 2.4.2 Dual culture test

163 The selected isolates from VRBD were tested for an inhibiting effect on the applied *E. coli* O157:H7 *gfp*+
164 strain *in vitro*. For this purpose, the isolated strains and *E. coli* O157:H7 *gfp*+ were propagated overnight
165 on full-strength TSA from cryo-cultures at 37 °C. Single colonies of the isolates and *E. coli* O157:H7 *gfp*+
166 were streaked on another full-strength TSA plate in orthogonal lines and again incubated overnight at 37
167 °C. Inhibition was expressed by a halo around the target strain.

168 2.4.3 Verification of *Listeria* spp. and *Salmonella* spp.

169 The second run of the greenhouse experiment with rocket was used to specify colonies which were
170 presumptive *Salmonella* spp. or *Listeria* spp. Up to five colonies per replicate showing the characteristics
171 of one of the genera were randomly picked and transferred to full-strength TSA. After overnight incubation
172 at 37 °C, a single colony was picked and recultured under the same conditions. Pure strains were propagated
173 in 8 mL of full-strength tryptic soy broth (TSB) (37 °C, o/n) and the suspensions were centrifuged at 2500
174 xg and 4 °C for 20 min. The bacterial pellets were washed in 0.85% NaCl and stored as cryo cultures in 1
175 mL freezing medium at -80 °C until use for 16s rRNA gene sequencing.

176 2.4.4 16S DNA sequencing of isolated bacterial cells

177 DNA extraction of Enterobacteriaceae and presumptive *Salmonella* and *Listeria*

178 Cryo-preserved isolates were recultured on full-strength TSA. After overnight incubation at 37 °C, a single
179 colony was transferred to 5 mL TSB and incubated under the same conditions. The suspension was then
180 centrifuged at 3000 xg and 4 °C for 10 min. The pellet was washed with 5 mL autoclaved 0.85% NaCl
181 through repeated centrifugation and resuspended in 1 mL autoclaved, deionized water and boiled in a water
182 bath for 2-4 min. The suspension was centrifuged at 13.000 xg for 10 min and about 750 µL of the

183 supernatant were transferred to a sterile tube. The extracted DNA was stored in a refrigerator (4 °C) until
184 further use.

185 For polymerase chain reaction (PCR), the 16S rRNA genes were amplified by applying universal forward
186 and reverse primers ENV1 (5'-AGA GTT TGA TII TGG CTC AG-3') and ENV2 (5'-CGG ITA CCT TGT
187 TAC GAC TT-3'), respectively. The master mix contained 0.5 µL of each primer, 0.156 µL Taq-polymerase,
188 2.5 µL Taq-buffer, 0.5 µL deoxyribonucleotide triosphosphate (dNTP), 1.5 µL MgCl₂ and 2 µL of the
189 extracted DNA sample. To achieve a final reaction volume of 25 µL, sterile ultrapure water was added. The
190 PCR was initiated at 94 °C for 4 min, followed by 30 cycles of 94 °C for 1 min, 50 °C for 45 s, 72 °C for 2
191 min and a final annealing temperature of 72 °C for 7 min.

192 The PCR products were separated on a 1.5% agarose Tris-borate-EDTA-(TBE-) buffer gel. A portion of 2.0
193 µL of each sample or 2.5 µL of marker (O'GeneRuler 100 bp Plus DNA Ladder, Thermo Scientific,
194 Braunschweig, Germany) was loaded on the gel together with 5.0 µL 6x DNA Loading Dye (Thermo
195 scientific, Braunschweig, Germany). Electrophoresis was run in TBE buffer at 100 V. Amplification of the
196 DNA was confirmed by staining the gel with intercalating dye GelRed (VWR International, Stockholm,
197 Sweden) and visualizing the bands under UV-light. Samples with a confirmed size of 1500 bp were
198 sequenced by Eurofins MWG Operon (Ebersberg, Germany), using primer ENV1.

199 Sequences isolated from VRBD that appeared to be *E. coli* were grown on LB supplemented with arabinose
200 and ampicillin to differentiate between the applied *E. coli* O157:H7 *gfp+* and other strains. Isolates growing
201 under ampicillin and showing green fluorescence under arabinose were considered to be the applied strain.

202 Other isolates were tested for the presence of the *eae* gene by applying PCR with *eae* specific primers *eae*
203 A-F (5'-GAC CCG GCA CAA GCA TAA GC-3') and *eae* A-R (5'-CCA CCT GCA GCA ACA AGA GG-
204 3') under the following conditions: 95 °C for 3 min, (95 °C for 1 min, 60 °C for 2 min, 72 °C for 1.5 min)
205 x 30, 72 °C for 7 min, 4 °C. Electrophoresis of the amplified DNA was run as described above, with lengths
206 of 384 bp indicating the presence of the *eae* gene. Negative results were confirmed twice.

207 2.5 Risk assessment

208 The associated risks of the numerical findings of pathogens obtained by culture-dependent analysis were
209 calculated. Models were selected based on the criteria of being simple, parsimonious, and firmly grounded
210 in biological reasoning (Teunis & Havelaar, 2000). A portion size of 30 g was assumed throughout. The *E.*
211 *coli* O157:H7 risk was estimated using a Beta-Poisson model developed by Teunis, Takumi, and Shinagawa
212 (2004) and refined by Strachan, Doyle, Kasuga, Rotariu, and Ogden (2005).

213 2.6 Statistics

214 The experiment was organized as a two-factorial design, with the first factor being two different crops and
215 the second factor different fertilizer applications. The trials were repeated twice, with six independent
216 replicates in each run. Nitrate content in leaves was conducted in run 2 for rocket. The treatments were
217 compared in respect of their nitrogen content and data obtained from culture-dependent analysis by
218 employing one-way ANOVA followed by pair-wise comparison (t-test) using the Tukey method ($\alpha = 0.05$).
219 Minitab version 17 was used.

220 Sequences of isolated strains were edited using BioEdit (version 7.2.5) to obtain sections with clear signals
221 and therefore reliable sequences. These sections were uploaded on Ribosomal Database, release 11 (RDP,
222 Michigan State University, East Lansing, USA) for phylogenetic comparison with bacterial type strains.
223 Best matches from bacterial strains isolated from VRBD were used to build a phylogenetic tree. All
224 sequences were aligned using ClustralX2 (version 2.1) and bootstrapping was done by employing RAxML
225 (version 8). For tree visualization, TreeGraph (version 2.4.0_456 beta) was utilized.

226 3 Results

227 3.1 Nitrogen content in leaves

228 Total nitrogen content was lowest in leaves that did not receive any fertilizer treatment (rocket: 3.4 mg g⁻¹
229 FW, Swiss chard: 4.1 mg g⁻¹ FW), followed by PHP-treated plants (rocket: 5.7 mg g⁻¹ FW, Swiss chard: 5.8
230 mg g⁻¹ FW) and CM-treated plants (rocket: 7.8 mg g⁻¹ FW, Swiss chard: 6.1 mg g⁻¹ FW). Apart from those
231 between PHP- and CM-fertilized Swiss chard, the differences were statistically significant.

232 Analysis of the nitrate content in rocket leaves, conducted in one run with six replicate samples, showed the
233 same pattern, with mean values of 0.6 mg g⁻¹ FW for untreated plants, 4.5 mg g⁻¹ FW for PHP-fertilized
234 plants, and 6.9 mg g⁻¹ FW for CM-fertilized plants, all differences being statistically significant.

235 3.2 Nitrogen content in growth medium

236 Nitrogen content in the fertilizers is shown in Table 2. Proportions relative to dry matter (DM) content were
237 clearly higher in pig hair pellets than in chicken manure. Residual content of total nitrogen and nitrate and
238 ammonia in the growth medium for rocket and Swiss chard are shown in Figure 1. As for nitrogen content
239 in the leaves, the value was lowest in the control treatments (rocket medium: 498 mg 100g⁻¹ DM, Swiss
240 chard medium: 720 mg 100g⁻¹ DM), followed by PHP treatment (rocket medium: 593 mg 100g⁻¹ DM, Swiss
241 chard medium: 847 mg 100g⁻¹ DM) and CM treatment (rocket medium: 720 mg 100g⁻¹ DM, Swiss chard
242 medium: 1049 mg 100g⁻¹ DM). These differences were statistically significant.

243 A similar pattern was observed for residual nitrate content. With concentrations of 0.6 and 0.3 mg 100g⁻¹
244 DM, respectively, rocket and Swiss chard control treatments showed the lowest value. Values for PHP-
245 fertilized medium were slightly higher, with 1.6 mg 100g⁻¹ DM for rocket and 1.7 mg 100g⁻¹ DM for Swiss
246 chard. The highest nitrate accumulation was found in CM-fertilized medium, with 81.3 and 83.7 mg 100g⁻¹
247 DM in rocket and Swiss chard, respectively. Other values between the two runs were on the same level, but
248 total nitrate residual content in rocket growth medium for run 1 (131.8 mg 100g⁻¹ DM) was considerably
249 higher than in run 2 (30.9 mg 100g⁻¹ DM).

250 For ammonia, no differences were found in the growth medium of the rocket plots. The highest content was
251 found in CM-fertilized medium (1.4 mg 100g⁻¹ DM), followed by PHP-fertilized medium (1.3 mg 100g⁻¹
252 DM) and the control treatment (0.6 mg 100g⁻¹ DM). In contrast, significant differences were found for the
253 residual ammonia content in the growth medium of the treatments with Swiss chard in PHP-fertilized plots
254 (1.7 mg 100g⁻¹ DM) and a lower residual content in medium supplemented with CM (1.1 mg 100g⁻¹ DM).
255 The lowest residual ammonia content was again noted in the control treatment (0.4 mg 100g⁻¹ DM).

256 Comparisons of growth medium for the two crops revealed significantly higher total nitrogen residual
257 contents for Swiss chard than rocket. For nitrate the mean was also higher for Swiss chard, while for
258 ammonia it was slightly higher for rocket. These differences were not significant.

259 3.3 Microbial colonization

260 Numbers of heterotrophic bacterial plate count, *E. coli* O157:H7 *gfp*⁺, and Enterobacteriaceae as obtained
261 from culture-dependent methods are displayed in Figure 2. The average load of the heterotrophic bacterial
262 plate count was highest on leaves from plants fertilized with PHP (5.1 log CFU g⁻¹), followed by plants
263 treated with CM (4.9 log CFU g⁻¹), and unfertilized plants (4.7 log CFU g⁻¹). For Swiss chard, the
264 heterotrophic bacterial plate count was significantly higher for the control treatment (7.0 log CFU g⁻¹) and
265 PHP-fertilized plants (6.9 Log CFU g⁻¹) than for CM-fertilized plants (6.4 log CFU g⁻¹). For *E. coli* O157:H7
266 *gfp*⁺, no statistically significant differences were found between fertilizer treatments in either crop.
267 However, PHP treatments resulted in the highest colonization rate on leaves of rocket (3.9 log CFU g⁻¹) and
268 Swiss chard (5.4 log CFU g⁻¹). On unfertilized and CM-fertilized rocket leaves, loads of *E. coli* O157:H7
269 *gfp*⁺ averaged 3.4 log CFU g⁻¹. Swiss chard leaves fertilized with CM showed an average value of 5.2 log
270 CFU g⁻¹ and unfertilized leaves 5.1 log CFU g⁻¹. The loads of Enterobacteriaceae matched the colonization
271 with *E. coli* O157:H7 *gfp*⁺, with CM-fertilized plants again showing the highest loads (3.6 and 6.0 log CFU
272 g⁻¹ for rocket and Swiss chard, respectively). On rocket leaves, values of 3.5 log CFU g⁻¹ and 3.4 log CFU
273 g⁻¹ were obtained for CM-treated plants and control plants, respectively. Loads averaged 5.8 log CFU g⁻¹ on
274 CM-treated leaves and 5.9 log CFU g⁻¹ on untreated leaves for Swiss chard. In general, Swiss chard harbored
275 significantly higher bacterial loads in growth medium than rocket.

276 Bacteria showing matching characteristics for *Salmonella* spp. and *Listeria* spp. were identified on the
277 chromogenic nutrient agar. None of the colonies showed corresponding characteristics for *L.*
278 *monocytogenes*. For *Salmonella* spp., the numbers ranged between the lower detection limit and 4 log CFU
279 g⁻¹ on rocket leaves and between 1 and 5 log CFU g⁻¹ on Swiss chard leaves. Further identification by
280 sequencing revealed the colonies not to be *Salmonella*, but belonging to the genus *Pseudomonas*. *Listeria*

281 spp. also ranged from below the lower detection limit to 4 log CFU g⁻¹ on rocket leaves. For Swiss chard,
282 loads between 3.5 and 5.5 log CFU g⁻¹ were found. Further identification suggested that the colonies belong
283 to the genera *Microbacterium*, *Bacillus* and *Paenibacillus*, but not *Listeria*.

284 The analyzed K- and S-soil both showed heterotrophic bacterial plate counts of about 6 log CFU g⁻¹, while
285 pig hair pellets were colonized with 3.1 log CFU g⁻¹ and chicken manure with 7.7 log CFU g⁻¹. No
286 *Salmonella* spp. or *E. coli* were detected in soils or fertilizers (detection limit 2 log CFU g⁻¹). Colonies,
287 presumptive to be *Listeria* spp. – but not *L. monocytogenes* – were found in K- (2.6 log CFU g⁻¹) and S-soil
288 (3.3 log CFU g⁻¹) and chicken manure (4.4 log CFU g⁻¹), but were below the detection limit in pig hair
289 pellets.

290 3.4 Characterization of Enterobacteriaceae

291 Further identification of colonies showing specific characteristics for Enterobacteriaceae on VRBD was
292 conducted and phylogenetic trees were drawn for the two crops (Figure s3 and 4). Considerable differences
293 were found between the crops. Strains isolated from Swiss chard leaves predominantly comprised bacteria
294 belonging to the Enterobacteriaceae, while strains obtained from rocket leaves were affiliated with
295 *Pseudomonas*, which was not the target phylogenetic family.

296 A phylogenetic tree containing 27 isolates, with length 345 to 507 bp, obtained from wild rocket leaves is
297 shown in Figure 3. A majority of 15 colonies isolated from VRBD grouped together with *Enterobacter*
298 species, at which six were obtained from plants fertilized with PHP and nine from plants fertilized with CM.
299 Another isolate recovered from PHP-fertilized plants showed similarity to *Lelliottia*, completing the branch
300 of Enterobacteriaceae. A second major group of isolates matched with different type strains belonging to
301 the genus *Pseudomonas*. This group included all four isolates from unfertilized plants, as well as two and
302 one from PHP- and CM-fertilized plants, respectively. Another cluster containing type strains of
303 *Pseudomonas hibiscicola* and *Stenotrophomonas maltophilia* grouped with an isolate from a CM-fertilized
304 plant. Three isolates clustered with *Acinetobacter*, one originating from CM-fertilized and one from PHP-
305 fertilized plants.

306
307 With respect to Swiss chard, all but one of the colonies isolated matched with type strains belonging to the
308 family Enterobacteriaceae. A phylogenetic tree including 29 isolates with length 353 to 541 bp shows the
309 different groupings (Figure 4). Two major groups, containing *Pantoea* or *Enterobacter* species, respectively,
310 were defined. Seven, two, and five isolates extracted from unfertilized plants, PHP-treated, and CM-treated
311 plants were represented in the *Pantoea* cluster. The *Enterobacter* cluster comprised three isolates from
312 unfertilized and nine from CM-fertilized plants. One colony isolated from a plant fertilized with CM
313 clustered together with *Citrobacter*. Another single colony, isolated from an unfertilized plant, showed
314 sequence patterns similar to *E. coli*, but could not be grown on supplemented LB and was *eae* gene negative.
315 An isolate obtained from an unfertilized plant grouped with *Achromobacter spanius*, a species belonging to
316 the family Alcaligenaceae.

317
318 No direct inhibition of the isolated Enterobacteriaceae strains from rocket and Swiss chard leaves on the
319 applied *E. coli* O157:H7 *gfp+* was detected *in vitro*.

320 3.5 Interactions between nitrogen content in leaves and microbial colonization

321 Principal component analysis including culture-dependent and leaf-nitrogen assessments separated between
322 the two crops rocket and Swiss chard (principal component (PC) 1), while PC 2 discriminated the different
323 fertilizer regimes (Figure 5). PC 1 was mainly driven by the crop and the bacterial loads on the leaves, while
324 the treatment and the total nitrogen content in leaves were decisive for PC 2.

325 Correlations between the bacterial groups analyzed were strong and highly significant ($p < 0.001$). For the
326 heterotrophic bacterial plate count and *Enterobacteriaceae*, Pearson correlation coefficient (r) was 0.800
327 and for heterotrophic bacterial plate count and *E. coli* O157:H7 *gfp+* it was 0.749. Between
328 *Enterobacteriaceae* and *E. coli* O157:H7 *gfp+* the highest correlation had a Pearson correlation coefficient
329 of 0.930.

330 Correlations between nitrogen and nitrate contents in rocket leaves were also pronounced, with a Pearson
331 correlation coefficient of 0.957 ($p < 0.001$).

332 No interactions between nitrogen content in leaves and bacterial colonization were found (Figure 6).

333 3.6 Risk assessment

334 The results of the risk assessment are shown in Table 3. There were clear differences in bacterial numbers
335 and associated risks between rocket and Swiss chard. For five out of the six experiments (plant species-
336 fertilizer), the numbers on leaves fertilized with PHP were higher, but not dramatically higher. The
337 exception was *Salmonella*, where the control appeared to have higher numbers than CM or PHP treatment.
338 The risk of infection was not dramatically different.

339 4 Discussion

340 The use of non-sanitized manure as fertilizer can carry a high risk of microbial contamination of fresh
341 produce, while animal products that are heat-treated before application are assumed to carry a lower risk
342 (Möller & Schultheiss, 2014). However, the risk assessment conducted within this study showed that not
343 only the carrier risk, but also the interactions on other levels, must be considered in food hazard analysis.
344 The numbers of *E. coli* O157:H7 bacteria were very high and, given that the dose response curves were
345 shifted leftwards, no dramatic differences in risk were observed. However, in the case of lower numbers of
346 *E. coli* O157:H7 inoculated or naturally contaminating the leaves, even these small differences could have
347 an impact. Repeating this experiment using lower numbers of *E. coli* O157:H7 bacteria contaminating the
348 vegetable leaves could be interesting. To our knowledge, this is the first study to address the risk posed by
349 fertilizers of animal origin other than acting as a potential carrier of human pathogens.

350 Beside the risk posed by epiphytic colonizers of the phyllosphere, it has been shown, that human pathogens
351 can invade leaf tissue and thus being protected from washing procedures or sanitizing agents (Yaron, 2014).
352 Internalization occurs through natural openings like stomata or injuries of the cuticle (Deering, Mauer, &
353 Pruitt, 2012). Differences in nitrogen supply of the plants are known to alter stomatal conductance
354 (Broadley, Escobar-Gutiérrez, Burns, & Burns, 2001) and might thus as well have an impact on bacterial

355 invasion. As the emphasis in this study lay on epiphytes, future research could gain new insights by focusing
356 on the impact of different fertilizers on the internalization of *E. coli* O157:H7 into leaf tissue.

357 The study also analyzed the impact of manure as a nitrogen source on establishment of *E. coli* O157:H7 in
358 the phyllosphere. Nitrogen is the limiting factor for biomass gain in crops (Lawlor, Lemaire, & Gastal,
359 2001). Nitrogen is taken up by plants as nitrate or ammonia, the former dominating. Fertilizer application
360 was calculated based on readily available nitrogen and mineralization rate and thus the initial proportions
361 of ammonia and nitrate present in the fertilizers did not correspond to the proportions found in the growth
362 medium at harvest. This might be due to slow mineralization, or to promotion of root growth and nitrogen
363 fixation in root biomass. Separate analysis of the root biomass and the residual growth medium in further
364 studies can provide insights into the differences in the fertilizers used. As the cropping units in the
365 greenhouse were non-leaching containers and water was supplied upon demand, the higher amounts of
366 nitrate in the fertilizers than in growth medium and roots point to high uptake and metabolism of this easily
367 available nutrient. Point sample analyses of the available nitrogen content at the point of harvest revealed
368 differences in the supply, indicating unequal conditions for the plants depending on the fertilizer applied.
369 Differences between rocket and Swiss chard can be a consequence of different nutrient requirements and/or
370 different cultivation periods. In addition, El-Sharkawi (2012) found that the performance of microbial
371 nitrogen turnover, and thus the nitrogen availability and uptake by plants (rice), was influenced by the
372 applied nitrogen source in growth medium. These factors can explain the differences found in leaf nitrogen
373 and nitrate content in the present study.

374 The nitrate incorporated by the plants has to be reduced to ammonia before it is converted to glutamate and
375 aspartate (Lam, Coschigano, Oliveira, Melo-Oliveira, & Coruzzi, 1996). These amino acids are the
376 precursors for other amino acids and thus for the metabolism of essential proteins, including enzymes,
377 hormones, and chlorophyll (Snoeijers, Pérez-García, Joosten, & De Wit, 2000). High uptake of nitrate
378 results in accumulation in leaves (Yusof, Rasmusson, & Galindo, 2016), which is also reflected in the leaf
379 exudates (1-2 $\mu\text{g N g}^{-1}$ lettuce leaf) on which the phyllosphere microbiota feed (Brandl & Amundson, 2008).
380 In the plant environment, the abundance of nutrients is the limiting factor for bacterial growth. Depending

381 on the C/N ratio in leaf exudates, the microbial community can be either nitrogen- or carbon-deprived
382 (Wilson & Lindow, 1994). Research conducted by Brandl and Amundson (2008) suggests that nitrogen
383 availability defines the establishment of *E. coli* O157:H7 on (young) lettuce leaves. In this study, significant
384 differences in nitrogen and nitrate contents of the leaves were not found for *E. coli* O157:H7 *gfp+*
385 colonization of the leaves, indicating that nitrogen was not the limiting factor for establishment.

386 Beside nitrogen mineralization, the fertilizers used may also have other impacts on plant growth due to other
387 nutrients present. Chicken manure is rich in nitrogen and phosphorus, while PHP is high in nitrogen and
388 sulphur, but low in potassium and phosphorus (Möller & Schultheiß, 2014). These differences might not
389 only affect plant development, but also influence microbial colonization in the phyllosphere. Alsanus et al.
390 (2016) found that the content of macro- and micronutrients (e.g., P, K, Mg, Ca, S, Na, Mn, Fe, Cu, Zn) in
391 the leaves of baby rocket and Swiss chard had an impact on colonization by artificially introduced *E. coli*
392 O157:H7.

393 Beside nutrient abundance, the indigenous microbiota can have an impact on the establishment of immigrant
394 microorganisms in the phyllosphere (Lopez-Velasco et al., 2011; Rastogi, Coaker, & Leveau, 2013). These
395 interactions can be either promotive, inhibitory, or indifferent. Commensalism between microorganisms on
396 the leaf surface can be expressed, (i) in making nutrients available through the degradation of plant tissue
397 or an available source and (ii) by altering the microenvironment, making it more suitable (Cooley et al.,
398 2006; Deering et al., 2012). Negative effects have been well-studied for biocontrol agents and include
399 competition for nutrients and/or space, siderophore production, and antibiosis (Whipps, 2001). Studies
400 investigating the effect of strains inhabiting leafy vegetables on *E. coli* O157:H7 have found evidence of
401 interactions for several strains with human pathogenic bacterium. For example, Cooley et al. (2006) found
402 that *Wausteria paucula* promotes survival of *E. coli* O157:H7 on lettuce seedlings, while *Enterobacter*
403 *asburiae* inhibits its establishment. *Enterobacter cloacae* also has a negative effect on *E. coli* O157:H7
404 when co-inoculated on lettuce seeds (Jablasone et al., 2005). Lopez-Velasco et al. (2012) analyzed the
405 phyllosphere of spinach and found bacteria belonging to the Enterobacteriaceae with an inhibiting effect on
406 *E. coli* O157:H7, e.g., *Erwinia perscina* and *Pantoea agglomerans*, while species belonging to

407 *Pseudomonas* spp. and *Bacillus* spp. also had a negative effect. In the present study, some of the strains
408 isolated from a culture medium selective for Enterobacteriaceae showed high conformity with bacteria that
409 have been shown to inhibit *E. coli* O157:H7 growth. However, this could not be confirmed by our analysis
410 *in vitro*, for different reasons. For example, nutrient agar does not reflect conditions on plants, e.g., by
411 providing more space and nutrients. Thus, the absence of *in vitro* effects does not necessarily mean that
412 there is no competition in the phyllosphere. Moreover, only the 16S rRNA gene, and not the whole genome,
413 was studied, so assignment to individual species is associated with high uncertainty and the strains identified
414 might lack significant genes that play a role in the interaction.

415 Various studies have provided evidence that human pathogenic bacteria are able to colonize edible parts of
416 leafy vegetables when introduced to/with the growth medium. Islam et al. (2004; 2004) showed that *E. coli*
417 O157:H7 and *S. enterica* serovar Typhimurium are able to persist in soil when inoculated together with
418 manure before planting. The potentially pathogenic bacteria in that study were transmitted from the
419 contaminated growth medium to leaves of lettuce and parsley, and persisted in the phyllosphere for more
420 than two months. It has also been shown that *L. monocytogenes* can invade alfalfa crops when inoculated
421 into the soil, with sewage sludge being the vector (Al-Ghazali & Al-Azawi, 1990). In agreement with these
422 results, we found when employing culture-dependent methods that *Listeria* spp., but not *L. monocytogenes*,
423 and *Salmonella* spp., colonized baby leaves irrespective of treatment. However, further identification
424 revealed the strains not to be the presumed bacteria, illustrating the need to confirm culture-dependent
425 results. This is particularly the case if bacteria are isolated from a harsh environment, where conditions
426 might force them to express atypical patterns to survive. The identification of an isolate from growth
427 medium selective for Enterobacteriaceae that appeared to be *E. coli* (but not the applied strain) shows the
428 potential of food pathogens to colonize leafy greens, even if not artificially introduced. Contrary to our
429 hypothesis, the bacterium was found on leaves of plants that were not treated with organic fertilizer (control
430 treatment).

431 **5 Conclusion**

432 It should be borne in mind that this study required the use of animal waste products, in which the
433 composition of ingredients may differ depending on the batch. Furthermore, other substances that may also
434 affect the target factor cannot be controlled. Thus, a limiting approach in comparing and interpreting results
435 is needed when organic fertilizers are considered. The organic fertilizers applied resulted in different
436 residual nitrogen content in the growth medium at harvest and different nitrogen content in the leaves.
437 However, establishment of *E. coli* O157:H7 *gfp*⁺ on leaves was not affected by the treatment according to
438 ANOVA. Similarly, other studies have found that a high risk treatment does not enhance the colonization
439 of leafy greens with potentially human pathogenic bacteria (Hartmann et al., submitted; Wießner, Thiel,
440 Krämer, & Köpke, 2009). Nevertheless, our risk assessment suggested that the treatments tested affected
441 the infection dose of *E. coli* O157:H7 on baby leaves, and that the impact of human pathogen establishment
442 as determined by the nitrogen source needs further attention. Treatments were grouped in principal
443 component analysis according to the leaf parameters assessed, while there was also a clear separation
444 between rocket and Swiss chard. Differences in the indigenous microbiota, here assessed for
445 Enterobacteriaceae, can have an impact on the establishment of human pathogens in the phyllosphere. As
446 found for establishment of *E. coli* O157:H7 in the phyllosphere, differences in naturally abundant
447 Enterobacteriaceae were more pronounced between crops than between treatments. None of the presumptive
448 *Salmonella* spp. or *Listeria* spp. analyzed was confirmed and thus results obtained with culture-dependent
449 methods should be regarded with caution.

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627 **Tables**628 **Table 1.** Semi-selective media and supplements, and incubation conditions used in culture-dependent analysis.

Medium		Supplement	Selective for	Incubation temperature (°C)	Incubation time (h)
0.1 x Tryptic soy agar	Difco 236950	1.2% Bacto agar, Difco 214010	Heterotrophic bacterial plate count	25	72
Crystal-violet neutral-red bile glucose agar	Merck 1.10275.0500	-	Enterobacteriaceae	37	18
Lysogenic broth agar	LB, L3022, solidified with 15% BactoAgar, DIFCO 214010	0.2% ampicillin; 100 µg * mL ⁻¹ arabinose	<i>E. coli</i> O157:H7 gfp ⁺ ¹	37	18
Salmonella Chromogenic agar base	Oxoid CM1007	Salmonella selective supplement, Oxoid SR0194E	<i>Salmonella</i> spp.	37	24
Harlequin Listeria chromogenic agar	LAB HAL010	HAL010 Listeria selective diagnostic supplement with cyclohexamide LAB X010; Polymyxin B, ceftazidime LAB X072	<i>Listeria</i> spp., <i>L. monocytogenes</i>	37	24

629 ¹Viable counts enumerated under UV-light

630 **Table 2.** Total nitrogen (N_{tot}), nitrate (NO_3^-) and ammonia (NH_4^+) content in organic fertilizers (pig hair
 631 pellets: PHP, chicken manure: CM) applied to rocket and Swiss chard. DM = dry matter

		Rocket	Swiss chard
N_{tot} [mg 100 g ⁻¹ DM]	PHP	14267	14300
	CM	3000	2810
NO_3^- [mg 100g ⁻¹ DM]	PHP	0.63	0.07
	CM	1.75	0.12
NH_4^+ [mg 100g ⁻¹ DM]	PHP	152	14
	CM	676	634

632
 633 **Table 3.** Risk measured as probability of infection with *E. coli* O157:H7 assuming a 30 g portion of rocket
 634 and Swiss chard is consumed. Crops were fertilized with pig hair pellets (PHP) or chicken manure (CM), or
 635 left untreated (control). Leaves were spray-inoculated with *E. coli* O157:H7. Risks are given as averages.

	Risk (probability of infection) per portion 30 g salad	
	Rocket	Swiss chard
Control	0.60	1
PHP	0.63	1
CM	0.6	1

636

637 **Figure legends**

638 **Figure 1.** Comparison of total nitrogen content (N_{tot}), nitrate ($\text{NO}_3\text{-N}$) and ammonia ($\text{NH}_4\text{-N}$) on the day of
639 harvest in growth medium of rocket baby leaves (A, B, C) and Swiss chard baby leaves (D, E, F) for different
640 organic fertilizer treatments. Growth medium was supplemented with pig hair pellets (PHP) or chicken
641 manure (CM) to an amount of $81 \text{ kg readily available N ha}^{-1}$, while the control did not receive any fertilizer.
642 Bars within diagrams marked with different letters are significantly different according to ANOVA (Tukey-
643 test, $p < 0.05$).

644
645 **Figure 2.** Comparison of bacterial loads (HPC: Heterotrophic bacterial plate count, LB: *E. coli* O157:H7
646 *gfp+*, VRBD: Enterobacteriaceae) on rocket baby leaves (A) and Swiss chard baby leaves (B) for different
647 organic fertilizer treatments. Plants received pig hair pellets (PHP) or chicken manure (CM) to an amount
648 of $81 \text{ kg readily available N ha}^{-1}$, while the control did not receive any fertilizer. Bars for each medium with
649 different letters are significantly different according to ANOVA (Tukey-test, $p < 0.05$).

650
651 **Figure 3.** Phylogenetic tree based on analysis of 16S rRNA gene sequences retrieved from isolates from the
652 leaf surface of rocket cultured in the greenhouse and fertilized with chicken manure (CM) or pig hair pellets
653 (PHP). Control treatments did not receive any fertilizer. The isolates were collected from nutrient agar for
654 selective for *Enterobacteriaceae* (violet red bile dextrose agar).

655
656 **Figure 4.** Phylogenetic tree based on analysis of 16S rRNA gene sequences retrieved from isolates from the
657 leaf surface of Swiss chard cultured in the greenhouse and fertilized with chicken manure (CM) or pig hair
658 pellets (PHP). Control treatments did not receive any fertilizer. The isolates were collected from nutrient
659 agar selective for *Enterobacteriaceae* (violet red bile dextrose agar).

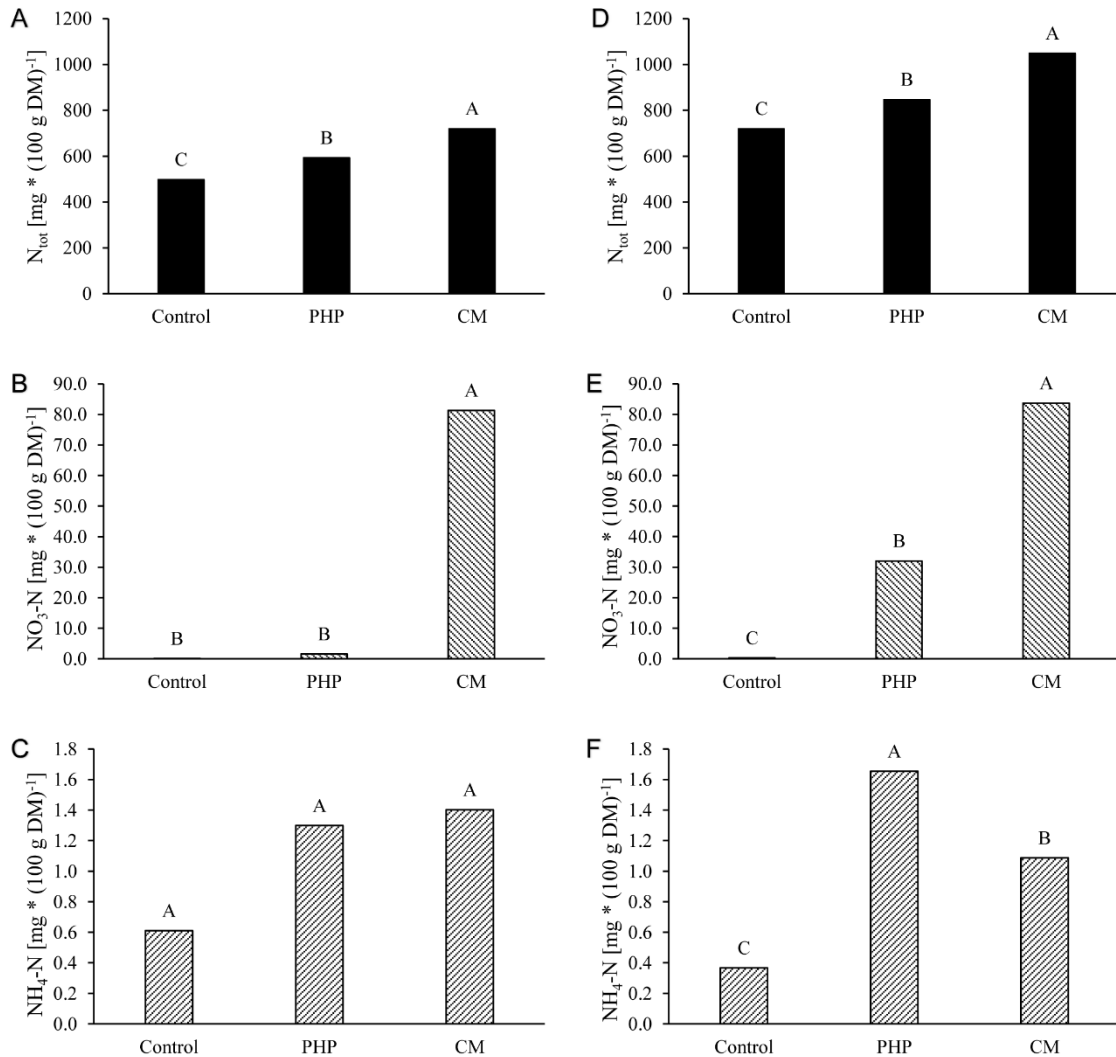
660
661 **Figure 5.** Principal component (PC) analysis based on nitrogen content on the day of harvest in leaves and
662 microbial loads on leaves (determined by culture-dependent methods for heterotrophic bacterial plate count,

663 *E. coli* O157:H7 gfp+, Enterobacteriaceae, presumptive *Salmonella* spp., and presumptive *Listeria* spp.) of
 664 baby leaf rocket (filled symbols) and Swiss chard (open symbols) exposed to different fertilizer regimes: ○
 665 = control, □ = pig hair pellets, ◇ = chicken manure).

666
 667 **Figure 6.** Scatterplots for total nitrogen (TN) content in Swiss chard and rocket leaves [mg g⁻¹ fresh weight
 668 (FW)] and epiphytic bacteria [log CFU g⁻¹ FW]. Plants were spray-inoculated with *E. coli* O157:H7. HPC:
 669 heterotrophic bacterial plate count.

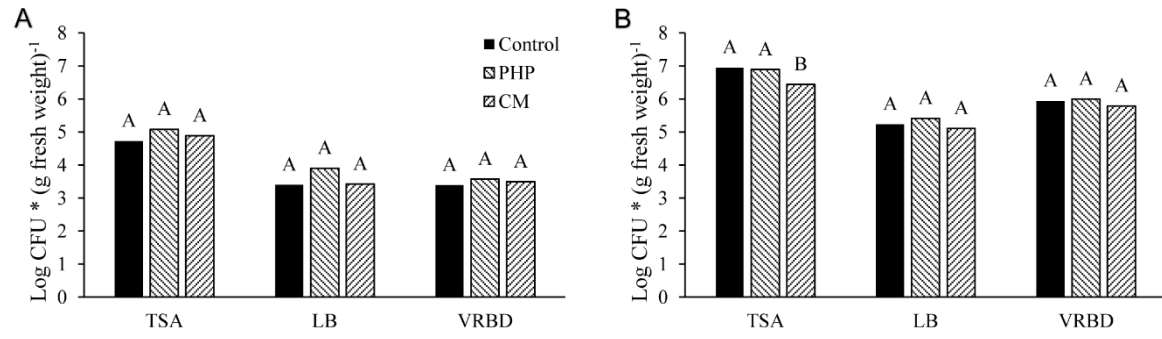
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671 **Figures**



672

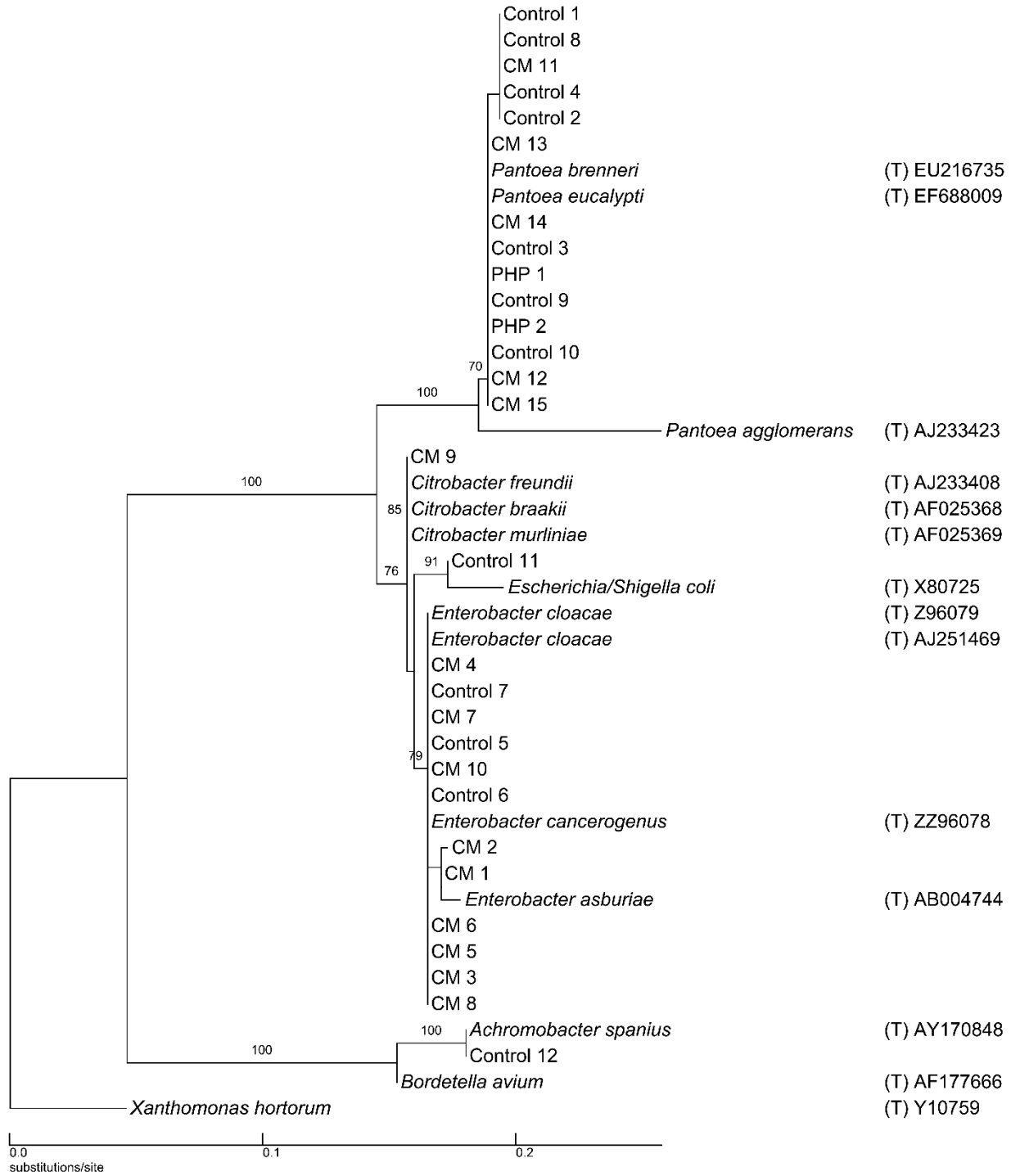
673 Figure 1



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675 Figure 2

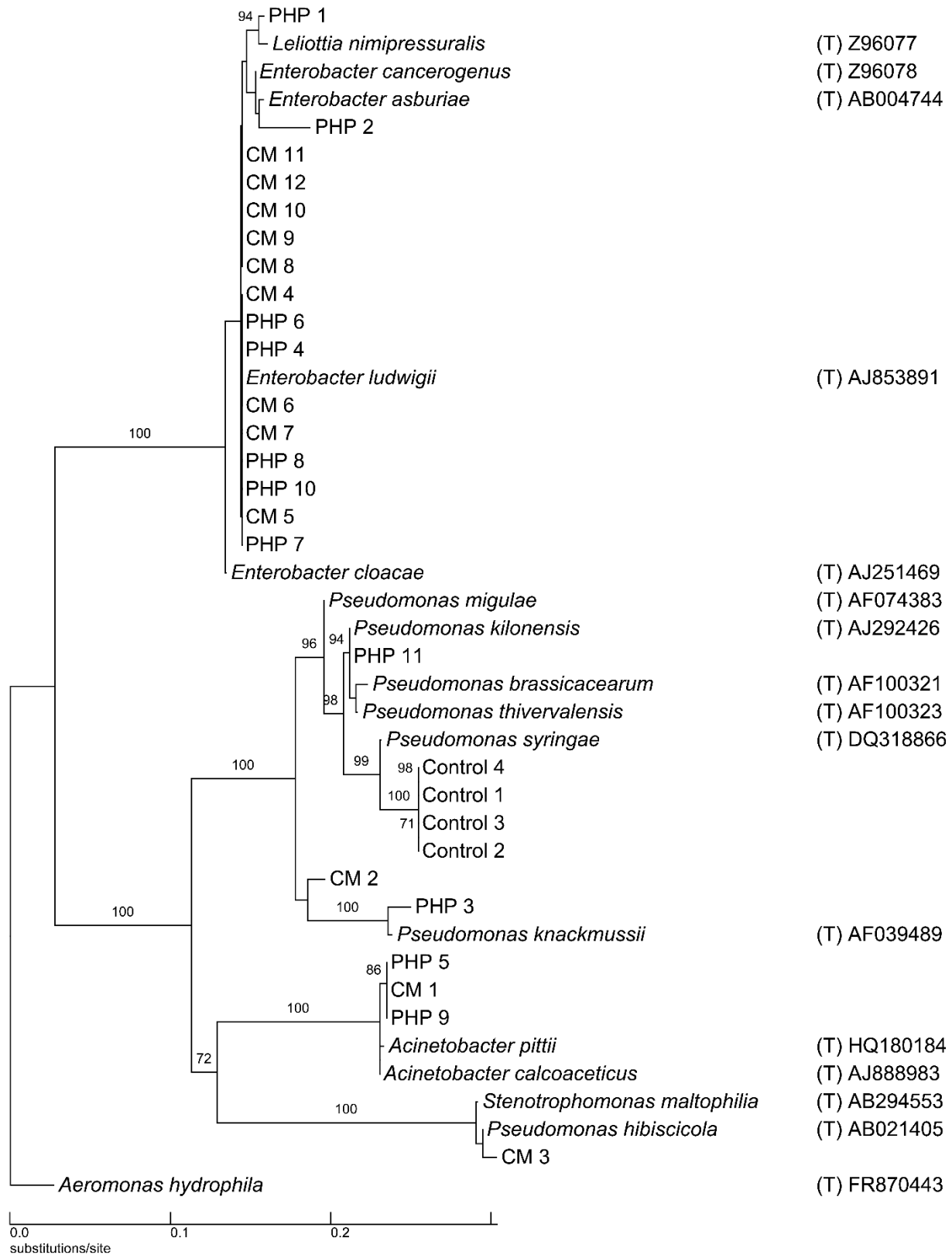
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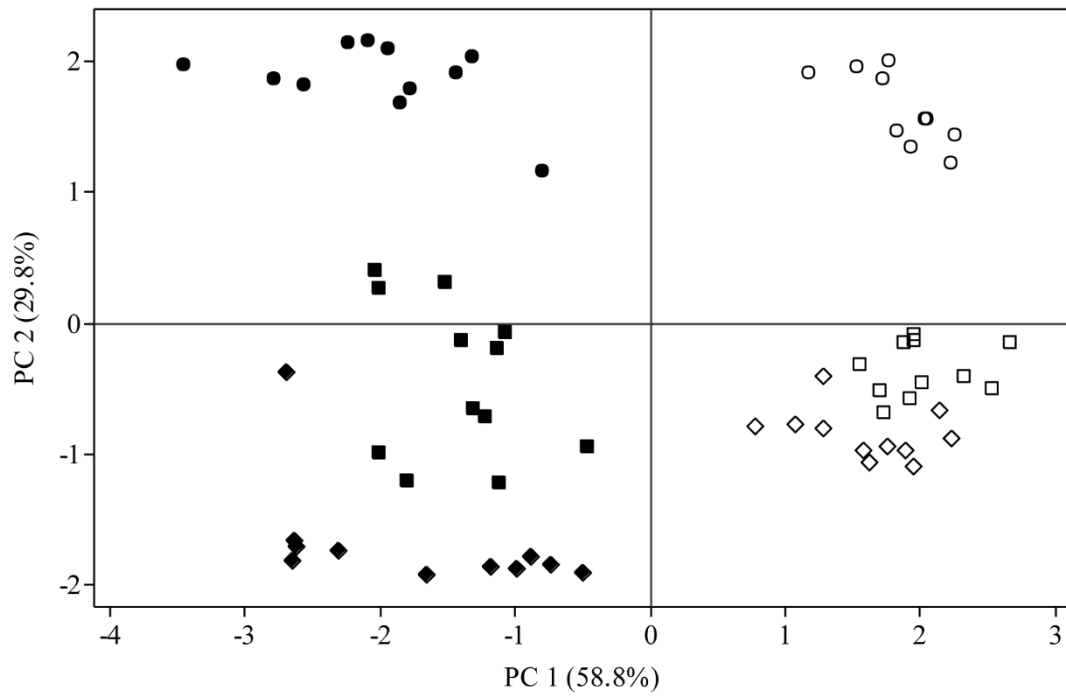
678 Figure 3

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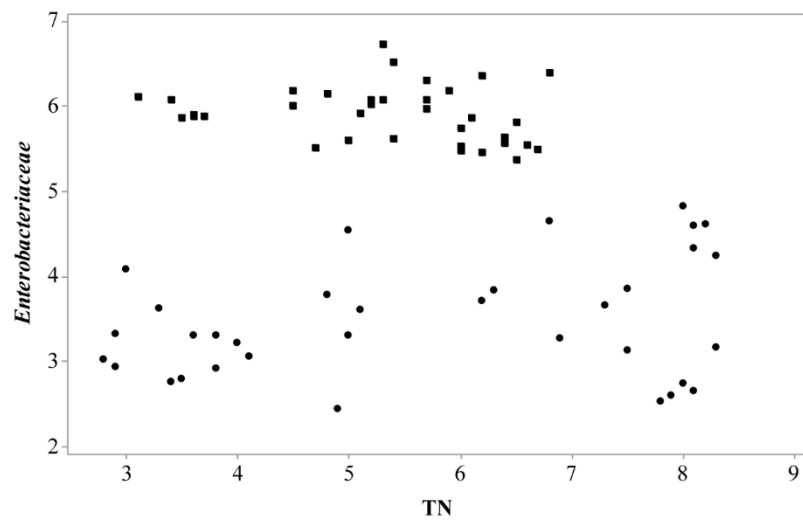
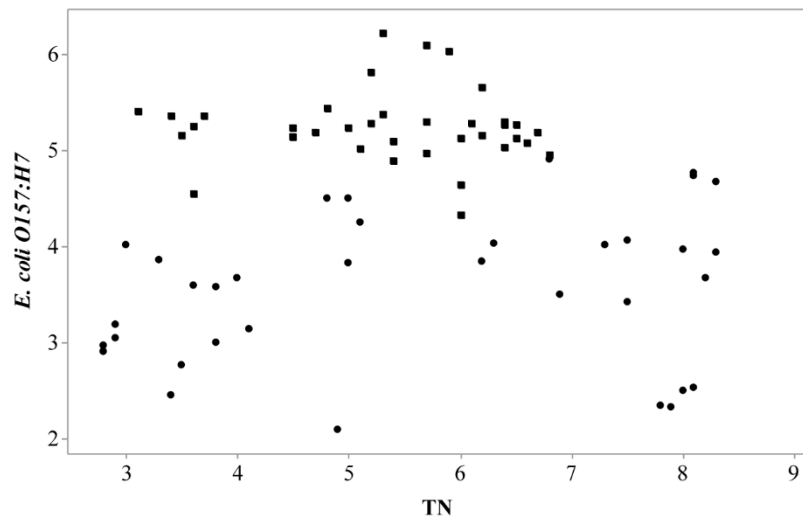
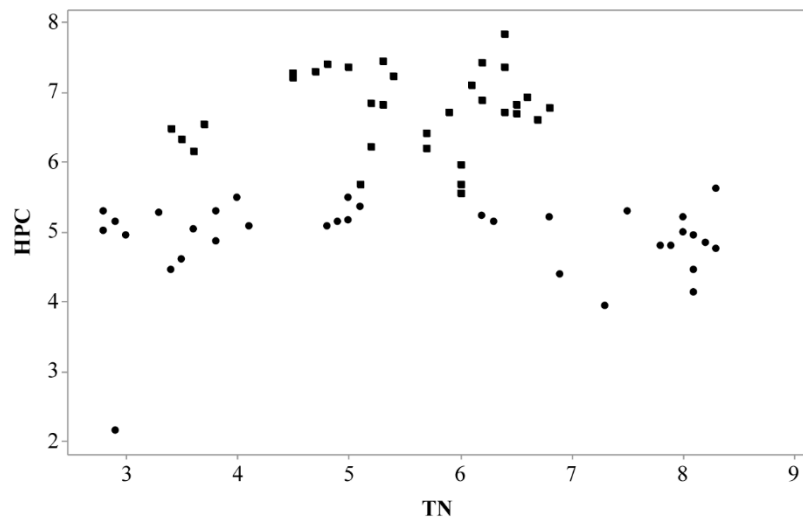
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681 Figure 4



682

683 Figure 5



684 Figure 6