Limited Lookahead Supervisory Control with Buffering in Discrete Event Systems

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A Thesis

in the Department

of

Electrical and Computer Engineering

Presented in Partial Fulfillment of the Requirements

for the Degree of

Master of Applied Science (Electrical and Computer Engineering) at

Concordia University

Montréal, Québec, Canada

August 2018

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CONCORDIA UNIVERSITY SCHOOL OF GRADUATE STUDIES

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and submitt	ted in partial fulfillment of the	requirements for the degree of
	Master of Applied Science	ce (Electrical and Computer Engineering)
-	ith the regulations of this Unitand quality.	versity and meets the accepted standards with respect to
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Abstract

Limited Lookahead Supervisory Control with Buffering in Discrete Event Systems

Ehsan Ghaheri

The Supervisory Control Theory (SCT) of Discrete Event Systems (DES) provides systematic approaches for designing control command sequences for plants that can be modeled as DES. The design is done "offline" (before supervisor becomes operational) and is based on the plant and design specification DES models. These models are typically large, resulting in DES supervisors that require large computer memory - often unavailable in embedded mobile systems such as space vehicles. An alternative is to use the Limited Lookahead Policies (LLP) in which only models of individual plant components and specifications are stored (which take far less memory). The supervisory control command sequences are then calculated "online" during plant operation. In this way, "online" memory requirement can be reduced at the expense of higher "online" computational operations.

In this thesis, the implementation issues of LLP supervisors are studied. The design of LLP supervisors is based on assumptions some of which may not hold in practice. Notably it is assumed that after every event, the supervisory control command can be calculated and applied before the next event occurs. This assumption usually does not hold. To address this issue, a novel technique is proposed in which supervisory control commands are calculated in advance (and online) for a predefined window of events in the future and buffered. When the window starts, the commands would be ready after each event. This eliminates the delay due to online calculations and reduces the delay in responding to new events to levels close to those of standard supervisors (designed "offline").

In an effort to assess the proposed methodology and better understand the implementation issues of SCT, a two degree-of-freedom solar tracker with two servo motors is selected as the plant. Previously, a standard supervisor had been designed for this solar tracker to guide the tracker and perform a sweep to find a sufficiently bright direction to charge the battery and other parts of the system (from its Photo Voltaic cell).

The design of the standard supervisor and its software implementation is improved and polished in this thesis. Next the LLP with buffering is implemented. Several experimental results confirm

that the plant under the supervision of LLP supervisor with buffering can match the behavior of the plant under the supervision of standard supervisor.

Acknowledgments

I would like to sincerely appreciate my supervisor Dr. Shahin Hashtrudi Zad for his support and advice throughout my research that always gave me the self-confidence to accomplish my goals.

Furthermore, I would like to thank my family, especially my wife, who have encouraged me in this path.

I dedicate this thesis to my son, Armin.

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Chapter 1

Introduction

The Supervisory Control Theory (SCT) of Discrete Event Systems (DES) provides systematic approaches for designing control command sequences for plants that can be modeled as DES. The design is done "offline" (before supervisor becomes operational) and is based on the plant and design specification DES models. These models are typically large, resulting in DES supervisors that require large computer memory -often unavailable in embedded mobile systems such as space vehicles. An alternative is to use the Limited Lookahead Policies (LLP) in which only models of individual plant components and specifications are stored (which take far less memory). The supervisory control command sequences are then calculated "online" during plant operation. In this way, "online" memory requirement can be reduced at the expense of higher "online" computational operations.

In this thesis, we study the implementation issues of LLP supervisors. The design of LLP supervisors are based on assumptions some of which may not hold in practice. Notably it is assumed that after every event, the supervisory control command can be calculated and applied before the next event occurs. This assumptions usually does not hold. To address this issue, we propose a novel technique which we call Limited Lookahead Policy with Buffering. We show that using this method may eliminate the delay due to online calculations, and reduces the delay in responding to new events to levels close to those of conventional supervisors (designed "offline"). We demonstrate our methodology by implementing it on a two degree-of-freedom solar tracker.

We start this chapter by reviewing discrete event models in Section 1.1, followed by the Supervisory Control Theory in Section 1.2. Then in Section 1.3, LLP will be outlined. Section 1.4 surveys recent results in the literature. Then the thesis contributions and outline will be briefed in Section 1.5 and 1.6.

1.1 Discrete Event Systems

Discrete Event Systems (DES), by definition, have a discrete set of states and their evolution is described in terms of transitions among states called events. Thus a sequence of events from one state to another state of the plant describes a state trajectory.

Example 1-1: Consider a processing factory shown in Figure 1.1-1. This plant includes a reactor, a pressure switch and a release valve. As long as the factory produces the final product, the plant is in normal operation (State: Normal in Figure 1.1-2). If the plant receives an emergency shutdown command activated by operator (Event: Emergency Push-button pressed), the plant will be steered to a shutdown state through some intermediate states (Intermediate 1 and 2) by receiving or activating relevant events: first opening a release valve (Event: Open release valve) to depressurize the reactor, a transition occurs from intermediate 1 state to the next state (State: Intermediate 2); then receiving low pressure signal as the next event (Event: Pressure low).

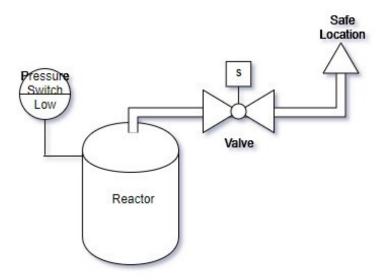


Figure 1.1-1: A simple processing factory.

The behavior of the plant is abstracted by a simple discrete-event model consisting of four separate states and three distinct transients.

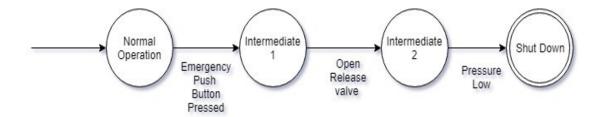


Figure 1.1-2: Discrete Event model of plant in example 1.1.

1.2 Supervisory Control

The role of Supervisory Control in DES is to prevent (disable) some events from happening in the plant to ensure its safe operation (i.e. to meet safety specifications) while guaranteeing the reachability of a designated set of states know as marked states (Figure 1.2-1).

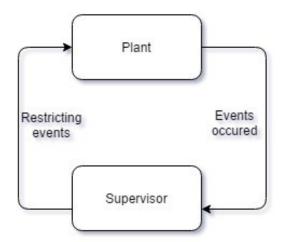


Figure 1.2-1: Closed loop Supervisory control block diagram.

In the previous example, the safety requirement calls for the decrease of excessive pressure of the reactor in an emergency condition to a safe level while reaching the shutdown state.

One can observe that the occurrence of some events such as "reading low pressure" is inevitable in some states and cannot be prevented directly by a supervisor. These events belong to the uncontrollable event set denoted as Σ_{uc} .

On the other hand, some events such as "opening the release valve" are issued by the supervisory control system and could be enabled or disabled (prevented). These events are referred to as controllable events. The set of controllable events is Σ_C .

As mentioned before, some states are marked. These states are important for instance completion of a task. In Example 1.1, the shut down state is marked (double circled in Figure 1.1-2) and the supervisor must be designed to guarantee that there is at least one trajectory from the initial state to a marked state.

1.3 Limited Lookahead Policy

In plants with an enormous amount of components (each with some states and transitions), the computation and the onboard storage of the conventional supervisory control becomes impractical if not impossible because of the state explosion phenomenon. For this reason, to decrease the onboard computer memory required for supervision, Limited Lookahead Policy (LLP) may be used. In an LLP approach to supervision, only models of individual plant components and specifications are stored (which take far less memory). The supervisory control command sequences are then calculated "online" during plant operation by considering future plant behavior over a limited horizon from its current state (Effectively, a sub-plant is to be supervised based on the design specifications of the system.) In this way, "online" memory requirement can be reduced at the expense of higher "online" computational operations.

Another case in which LLP may be preferred to conventional supervisor design is in plants in which the behavior of components varies from time to time and computing the supervisory control action is not possible when the entire plant model is not available at the time of supervisor design.

Therefore, LLP provides a synthesis method to not only mitigate the state space explosion problem but also to deal the unavailability of the complete plant model at the time of computation [1].

This thesis is on the implementation of LLP. We start by reviewing the research results that are relevant to our work.

1.4 Literature Review

Since there has been a tremendous interest in supervision and control in autonomous systems, a brief overview of studies in this field with emphasis on space applications is presented in Section 1.4.1. A literature review for SCT and LLP are provided in Sections 1.4.2 and 1.4.3 and some of implementation issues of SCT are explained in Section 1.4.4.

1.4.1 Autonomous Systems

Autonomous systems in which decision making is done without any human involvement [2] have been deployed over the past two decades especially in space explorations. The first spacecraft to test such systems was Deep Space 1 [3,4,6]. The necessity to make decisions in a time frame less than the communication latency between ground station and spacecraft makes space applications a great opportunity for the deployment of autonomous systems.

Applying computational intelligence has been studied by many especially for space exploration. The combination of Information Technology and Artificial Intelligence to guarantee stable autonomous operation while considering resource limitations was studied in [3]. This paper follows the concept of virtual presence in space and outlines the development of a system for autonomous operations whose successful operation in space (without human supervision) was confirmed later.

Model-based generation of computer code for supervisory control and decision making based on appropriate synthesis approach has been examined in [4] and [6]. In particular, the requirements for on-board decision making needed in case of unpredictable failures during various mission phases has been managed following a Lookahead Policy. A controller is provided in the software Remote Agent and Livingstone [4] which relies on discrete models of spacecraft components.

In [5] a Finite State Machine (FSM) model is used to build a virtual environment to test spacecraft protection system (since a rigorous verification of potential faults before and after launch is not plausible). [6] addresses the issue of insufficient modularity and the property of robustness, especially in space applications, and outlines a model-based programming framework in which models of plant components are used for code generation. [6] proposes a "Deductive Controller" (Figure 1.4-1) to determine the current state of the plant by observing data from sensors and performing mode estimation by computing the likelihood of the current state as belief state. Based on this information, control commands are issued to system components to follow a suitable sequence towards the configuration goals (by mode reconfiguration).

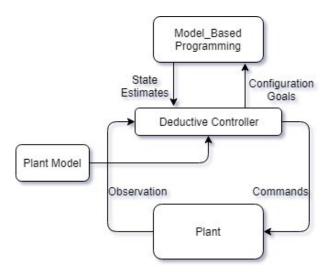


Figure 1.4-1: Architecture for the deductive controller in [6].

In order to define a formal method to check software used in space applications and to overcome testing complications, [7] presents a new method based on automaton models. The approach is applied in the development of functional levels of robotic systems facilitates software verification.

1.4.2 Supervisory Control

The theory of supervisory control (SCT) of discrete event systems was introduced by Ramadge and Wonham in [8], [9]. In this framework, the plant behavior is assumed to be described in terms of states and events of an automaton. The states change upon the occurrence of events. The events are partitioned into controllable and uncontrollable. Moreover, some events are observable by the supervisory system while others are unobservable. This also leads to two disjoint set of observable and unobservable events [10].

The role of a supervisor is to restrict the occurrence of controllable events in order to not only ensure system safety (as stated in system specifications) but also to guarantee accessibility to marked states.

In the case of full event observation (which is explored in this thesis), it is shown in [8] that an optimal (minimally restrictive) supervisor exists and is characterized by a supremal controllable sublanguage of the legal (safe) marked behavior of the plant.

Many studies have been done on using SCT in the real world. Some problems have been encountered in the implementation of this theory to control industrial systems. There is a

conceptual difference between a controller and a supervisor, as shown in (Figure 1.4-2). A controller receives signals from the plant and sends unique commands to actuators (e.g. valves, motors) while a supervisor receives events from the plant and prevents (by disabling) controllable events which may lead to violation of design specifications.

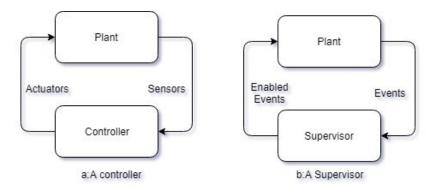


Figure 1.4-2: A controller and a Supervisor

Other solutions have been proposed for the issue of choice. In [13], costs are to all supervised paths to marked states and then the path with minimum cost is found to settle the issue of choice. The procedure is only suitable for models with acyclic graphs.

SCT presents a formal method for designing control and many researchers have explored the use of SCT as a general technique for industrial control systems. In [14] an automated small-scale assembly line is selected for SCT implementation. In this work, the selection of one controllable event from a set of eligible controllable events is done using an ad hoc manner.

In [15], a hybrid Compositional Interchange Format (CIF) model (in which interoperability of different systems is possible) is used to not only deploy untimed automata of DES but also use variables, differential equations, and conditions to overcome the time consuming and complicating design of some high-tech part of an MRI (Magnetic Resonance Imaging) scanner. Next the designed model in CIF is converted to PLC (Programmable Logic Controller) language for the purpose of controlling the associated part of the MRI system. It should be noted that there were some problems in this transformation which made the resulting code unstable.

Many researchers have favored the idea of model-based programming to obtain a uniform platform for all control systems. For this approach, SCT is an appealing candidate. In [16] a baggage transport system in an airport is chosen as the test bed. The objective of this project is to implement

the resulting controller code in a real-world controller (e.g. PLC). In [17] a method is proposed to coordinate equipment operation in a flexible manufacturing system. In this paper, to use SCT in industrial/manufacturing applications, procedures are introduced to convert the designed supervisor DES model to PLC code.

The control system architecture in [18] is used to implement SFC (Sequential Function Chart) language in PLC. As one can see in Figure 1.4-3, the modular supervisor is in the top of control system hierarchy in which states are updated according to events received from product system and some events become disabled too. The product system which includes a complete model of the plant executes the received commands from the supervisor and also changes the states to keep them in sync with the physical system by receiving responses from operational procedure accordingly. The operational procedure which is an interface between real signals from hardware to higher control level interprets these signals as events.

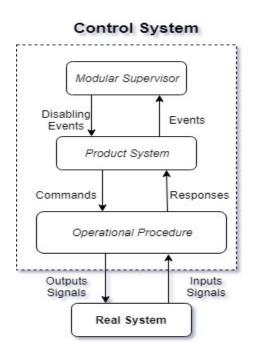


Figure 1.4-3: Control System Architecture

In [19], one of the reasons for unsuccessful use of SCT in industry is outlined as the high effort to model the system which results in high cost of design phase in factory automation applications. The solution containing a controller and a supervisor as depicted in Figure 1.4-4 is proposed. In this framework, supervisor prevents the sending of unsafe operator commands when interlocks are

bypassed, and also avoids unsafe controller command (which can be issued in complex interlock systems). The focus here is on safety property since it is argued that the states which are marked do not necessarily have to be reached. Therefore, nonblocking is not a concern. The events are classified as (1) sensor events from input signals (uncontrollable), (2) operator events from the controller output signals (controllable), and (3) forcible events to prevent the occurrence of some events in certain states.

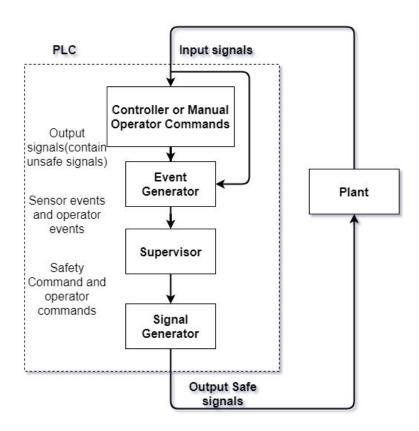


Figure 1.4-4: Supervisor with a controller framework

1.4.3 Limited Lookahead Policy

Limited Lookahead Policy (LLP) was introduced in [1] to tackle some of the issues of the conventional SCT. In the conventional design, the design is done "offline" (before supervisor becomes operational) and is based on the plant and design specification DES models. These models (e.g. in [20]) are typically large resulting in DES supervisors that require large computer

memory - often unavailable in embedded, mobile systems such as space vehicles. An alternative is to use the Limited Lookahead Policies (LLP) in which only models of individual plant components and specifications are stored (which take far less memory). The supervisory control command sequences are then calculated "online" during plant operation. In this way, "online" memory requirement can be reduced at the expense of higher "online" computational operations. Another benefit of LLP is in those cases in which the behavior of the plant is not completely known at the design stage (e.g. in [21], [22]).

In a nutshell, only a portion of the plant (N_w events into the future from current state, known as LLP window) is considered for calculating control commands rather than the entire plant model. Then after any LLP computation (online) by choosing one of those enabled events by LLP (σ in Figure 1.4-5) the control action will be taken. Two attitudes as "optimistic" and "conservative" are considered to address uncertainty of the plant behavior outside of the window under investigation. The attitude will affect the resultant supervisor's size. In cases where the time-varying plant cannot be defined a priori due to lack of enough information, a class of dynamic DES is defined in [24] to optimize online control. The growth in the size of lookahead tree is in form of exponential or polynomial [23]. In [24] a method is introduced to estimate the state space of the lookahead tree which grows exponentially, based on the window size, N_w selected by designer. One of the key aspects of LLP is the minimum window length to guarantee results (control commands) identical to those of a minimally restrictive offline supervisor. Although LLP requires less computer memory for the states of the plant which results in less, the computation time (online complexity) increases which is the main concern in LLP since the result of the supervisor must be available online after the execution of each event. Therefore, the size of the window size (N_w) and online constraints of the system such as response time to events will determine the efficiency of LLP in comparison to a conventional supervisor.

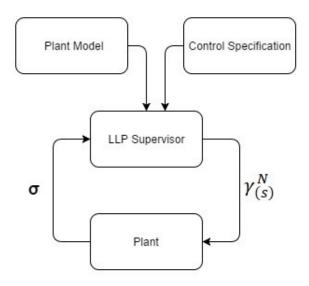


Figure 1.4-5: Limited Lookahead Supervisory Control

In [25] a recursive computational method, based on backward dynamic programming, is shown which uses previous window results for current window computation in order to reduce computation time. In [26] a forward calculation method is used to make control decision unambiguous which may need less than N-level tree size; therefore the size of lookahead window can be variable (Variable Lookahead Policy, VLP). Since the computation may terminate before the boundary of the N-level tree, VLP is a more efficient than the LLP method.

In the previously mentioned works, there is an assumption of the knowledge of events in the future steps and their conformance with respect to the legal behavior; but supervisor does not use the information about the state of the system, and because of that, the lookahead window is represented by an N-level tree. In [27], a supervisor with state information was studied which adds the state of the system for the computation of Variable Lookahead Policy (VLP-S). Using this method makes the computations simpler because in practice, the total number of the states in the lookahead window is less than the event-based LLP. Furthermore, repeated state and loops are no longer expanded in the N-level window. Moreover, in the case of plant with uncontrollable events loops, in event-based LLP, the minimum length of the window to have an optimal supervisor is infinite whereas in state-based LLP, the window size is bounded by the number of states of the plant times the number of states of the specification model.

1.4.4 Implementation problems of SCT

Moving from SCT framework (which is asynchronous and event-based) to practice (with synchronous environment (cyclic execution of program) and signals) usually has some problems which need to be addressed. [28] considers Programmable Logic Controller (PLC) as a platform to implement SCT and examines major problems of implementation. Some of these issues are not encountered in microcontroller-based systems (e.g. avalanche). In this thesis, our focus is on the implementation of SCT on embedded systems and therefore we discuss the problem of inexact synchronization, simultaneity and choice which may occurred in any systems.

1.4.4.1 Inexact synchronization

In any type of SCT implementation, it is assumed that the state of the plant is tracked (implicitly or explicitly) by the supervisor. However, the tracking may not be done completely due to inaccurate modeling of the plant or undetected uncontrollable events. Hence, inexact synchronization problem happens when the plant model inside the controller may be out of phase with physical plant because of undetected uncontrollable event. For instance, let e_uc be an uncontrollable event (input signal) and e_c be a controllable event (output signal) in a plant depicted in Figure 1.4-6. Suppose in state 1, the supervisor enables e_c but before e_c issues, e_uc happens. Therefore, the supervisor sends e_c to the plant, while the plant changes state from 1 to 3 and then by receiving e_c command to state 4. The supervisor may mistakenly assume the plant has moved to state 2.

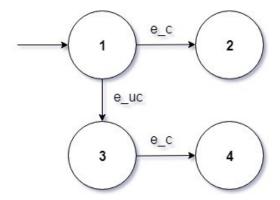


Figure 1.4-6: Inexact synchronization problem

1.4.4.2 Simultaneity

Control system typically polls their inputs (from the plant) to detect events. This may lead to two issues referred to as simultaneity problem. First issue is when the order of detected events is not the same as the order of their. The second problems happens when an input signal (event) appears between two instances of polling and goes undetected.

For example, consider the sampling time (red bars) and changes of input signals "a" and "b" as it is shown in Figure 1.4-7. The system cannot detect the first occurrence of the event "a" and therefore, detects a "b" after the second polling. Later "a" and "b" occurs after the third and fourth polling but the order ("ab" or "ba") is unknown.

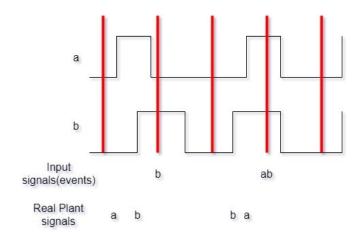


Figure 1.4-7: Simultaneity problem

1.4.4.3 Choice

Although previous problems are associated with constraints in the hardware such as sampling period, the problem of choice is related to the implementation of the theory of supervisory control. Suppose that at a given state of plant more than one controllable event is in the enabled events set of the supervisor and no uncontrollable is enabled or occurs at the state. Then a controllable events has to be issued to the plant. As far as the supervisor is concerned, any of the two evens may occur. But the system requires a rule to decide which of the controllable events has to be activated. This is known as the choice problem.

The choice problem becomes problematic when it causes a blocking in a nonblocking system. For example, the system in Figure 1.4-8 is nonblocking, but always choosing α between α and β in state 3 results in system getting trapped in the loop between states 2 and 3 and never reaching 4.

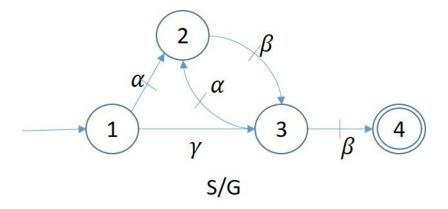


Figure 1.4-8: The problem of choice in system under supervision.

In [12] some the issues of implementation such as communication and determinism (also known as choice) are discussed. The first problem is related to receiving controllable and uncontrollable events at the same time by the supervisor. The second problem is associated with choosing among more than one controllable event in a set of enabled events. Giving higher priority to uncontrollable events at the same time of their detection (compared with controllable events) is their solution for the first problem; and they propose an algorithm to check nonblocking of the system under supervision (to deal with the problems resulting from the issue of choice).

In [29] a method is tested to maintain nonblocking property of the supervised system. The method is based on random selection of two controllable events. However, the proposed method does not have solid formal proof to address this problem generally.

In [19] the authors proposed to use a separate deterministic controller over the supervisor to generate output signals to prevent the choice problem. However, by introducing forcible events this problem arises whenever one of the several forcible events in a state must be picked up arbitrarily.

1.5 Thesis Contributions

In this thesis, the implementation issues of LLP supervisors are studied. The design of LLP supervisors is based on assumptions some of which may not hold in practice. Notably it is assumed that after every event, the supervisory control command can be calculated and applied before the next event occurs. This assumption usually does not hold. To address this issue, a novel technique, referred to as LLP with buffering, is proposed in which supervisory control commands are calculated in advance (and online) for a window of events in the future and buffered. Once the window starts, the commands would be ready after each event. This eliminates the delay due to online calculations, and reduces the delay in responding to new events to levels close to those of standard supervisors (designed "offline").

In an effort to assess the proposed methodology and better understand the implementation issues of SCT, a two degree-of-freedom solar tracker with two servo motors is selected as the plant. Previously, a standard supervisor had been designed for this solar tracker to guide the tracker and perform a sweep to find a sufficiently bright direction to charge the battery and other parts of the system (from its Photo Voltaic cell).

The design of the standard supervisor and its software implementation is improved and polished in this thesis. Next the LLP with buffering is implemented. Several experimental results confirm that the plant under the supervision of LLP supervisor with buffering can match the behavior of the plant under the supervision of standard supervisor.

To our knowledge, this is the first implementation of LLP on a real-world system.

In summary, the main contributions of this thesis are as follows:

- 1. The introduction of Limited Lookahead Policy with Buffering and the corresponding design process to eliminate the delay caused by online LLP calculations of control commands.
- 2. Improving and polishing the implementation of the conventional SCT.
- 3. Implementing LLP with Buffering policy on the solar tracker and performing experimental evaluations.

1.6 Thesis Outline

The outline of the thesis is as follows. In Chapter 1, a brief introduction of supervisory control was provided and the related research was reviewed. Background information on supervisory control and the notation used throughout the thesis is discussed in Chapter 2. Details of the solar tracker system and the offline method of SCT design and implementation along improvements are explained in Chapter 3. The novel method of Limited Lookahead Policy with Buffering and its implementation are set out in detail in Chapter 4. Chapter 5 presents the implementation results of LLP with Buffering and compares them with the theoretical analysis of Chapter 4. Further the results of LLP with Buffering is also compared with those of the conventional supervision of Chapter 3. Chapter 6 discusses our conclusions and suggests directions for future research.

Chapter 2

Background

2.1 Discrete Events Systems

Discrete Event System [41], [31] presentation of any real-world system is based on discrete mathematics. The states in DES change as a result of occurrence of events. Thus, the entire behavior of the DES system can be shown as sequences of these events. In order to facilitate the discussion, the following definitions of automata and languages are used.

2.1.1 Languages

An **alphabet** is a finite set of symbols which is denoted by Σ . Each symbol represents an event. A sequence of these events is called a **trace**, **string** or **word**. The ϵ symbol is for an empty string. A set of strings over an alphabet Σ is called a **language**. The language of all finite sequences except the empty string is defined as:

$$\Sigma^+ = \{\sigma_1\sigma_2 \dots \sigma_k \mid k>0, \sigma_i \in \Sigma\}$$

After adding the empty string, Σ^* is obtained:

$$\Sigma^* = \Sigma^+ \cup \{\epsilon\}$$

A language is a set of strings, and thus for any two languages L_1 and L_2 , set operations can be applied ($e.g.L_1 \cap L_2$, the intersection of L_1 and L_2 , $L_1 \cup L_2$, the union of L_1 and L_2 , $L_1 - L_2$ the difference of L_1 and L_2 , and L_1^{co} the complement of L_1).

Moreover, for a string s=tuv with t, u, $v \in \Sigma^*$, t is called a **prefix** of s, u is called a **substring** of s, and v is called a **suffix** of s. The notation s/t is to denote the suffix of s after its prefix t, i.e., uv.

2.1.2 Operations on Languages

Let L_1 , L_2 be two languages and L_1 and $L_2 \subseteq \Sigma^*$.

Definition 2.1: Concatenation

$$\mathbf{L_1L_2} = \{s \in \Sigma^* \mid \exists \ s_1 \in \mathbf{L_1}, \exists \ s_2 \in \mathbf{L_2} \ such \ that \ s = \ \mathbf{L_1L_2}\}$$

It means that any string in concatenation of L_1 and L_2 (L_1L_2) is a concatenation of a string in L_1 with a string in L_2 .

Definition 2.2: Prefix-closure. For $L \subseteq \Sigma^*$,

$$\bar{L} = \{ s \in \Sigma^* \mid \exists t \in \Sigma^* \text{ such that } st \in L \}$$

The prefix-closure of L, denoted by \overline{L} , is all prefixes of every string in L.

L is a prefix-closured language if $L = \overline{L}$.

Definition 2.3: Kleene-closure. For $L \subseteq \Sigma^*$

$$L^* = \{\epsilon\} \cup L \cup L L \cup L L L \cup ...$$

The Kleene-closure of L, denoted by L*, is formed by concatenation of any finite number of strings of L and also includes the empty string.

Definition 2.4: Post-language. For $L \subseteq \Sigma^*$, $s \in \overline{L}$

$$L/s=\{ t \in \Sigma^* \mid st \in L \}$$

The post-language of L after s which is denoted by L/s, is all suffixes of string s in L.

Definition 2.5: Truncation. For $L \subseteq \Sigma^*$, $N \in \mathbb{N}$,

$$L|_N = \{ \mathbf{t} \in \mathbf{L} \mid |\mathbf{t}| \le N \}$$

The truncation of L to $N \in \mathbb{N}$ which is denoted by $L|_N$ contains all strings of L with a length of at most N.

2.1.3 Automata

An automaton is a tool to present languages according to specific rules. A deterministic automaton is a five-tuple

$$G = (X, \Sigma, \eta, x_0, X_m)$$

where

X is the set of states,

 Σ is the finite set of events,

 $\eta: X \times \Sigma \to X$ is a partial transition function,

 X_0 is the initial state and

 X_m is the set of marked states ($X_m \subseteq X$),

An automaton (generator or state machine) is considered to be deterministic if the destination of the transition with a particular event from any state is always a single state.[31]

Definition 2.6: Language generated by G

Language generated by G is denoted by L(G) and is defined as

$$L(G) = \{ s \in \Sigma^* \mid \eta(x_0, s)! \}$$

 $\eta(x_0, s)!$ means there exists a trajectory in the automaton from the initial state following the string s.

In other words, the language generated by G includes all strings from the initial state which lead to same state of G (includes x_0). In the above definition, an extension of transition function η to sequences $(\eta: X \times \Sigma^* \to X)$ is used. By definition, L(G) is a prefix-closed language because it contains all string in any path from the initial state to other states.

Definition 2.7: Language marked by G

Language marked by G is denoted by $L_m(G)$ and defined as

$$L_m(G) = \{ s \in L(G) \mid \eta(x_0, s) \in X_m \}$$

The marked language of G includes all strings that take a state from the initial state to some marked state. Obviously $L_m(G)$ is a sublanguage of L(G).

2.1.4 Operations on Automata

Definition 2.8: Reachable part (Accessible part)

Let $G = (X, \Sigma, \eta, x_0, X_m)$. The reachable states of G is the state set which can be reached from the initial state by at least one string $s \in L(G)$. In other words, all $x \in X$ are reachable if there is a string $s \in L(G)$ such that $\eta(x_0, s) = x$. X_r is set of all reachable states (The reachable part of G is the subautomaton of G that contains the state in X_r only). An automaton is called reachable if $X_r = X$.

Definition 2.9: Coreachable part (Coaccessible part)

Let $G = (X, \Sigma, \eta, x_0, X_m)$. The coreachable states of G is the state set which have access to marked states through some string. In other words, a state $x \in X$ is coreachable if there is a string $s \in \Sigma^*$ such that $\eta(x, s) \in X_m$ (The coreachable part of G is the subautomaton of G that contains only the coreachable states of G).

Definition 2.10: Nonblocking

An automaton G is nonblocking if for any reachable state, there is a string to a marked state. One can easily see that automaton G is nonblocking if and only if $L(G) = \overline{L_m(G)}$.

Definition 2.11: Trim

The trim operation on an automaton removes all states which are not reachable or not coreachable. The state set of trimmed automaton is denoted by X_{tr} ($X_{tr} = X_r \cap X_{cr}$).

Definition 2.12: Complement

Let $G = (X, \Sigma, \eta, x_0, X_m)$. L(G) and $L_m(G)$, the closed and marked languages of G. The complement of G denoted by G^{co} is defined as a automaton that generates Σ^* and marks $L_m(G^{co})$.

a)
$$L_m(G^{co}) = \Sigma^* - L_m(G)$$

b)
$$L(G^{co}) = \Sigma^*$$

Definition 2.13: Product

Let $G_1 = (X_1, \Sigma_1, \eta_1, x_{o_1}, X_{m_1})$ and $G_2 = (X_2, \Sigma_2, \eta_2, x_{02}, X_{m_2})$. The product of G_1 and G_2 denoted by $G_1 \times G_2$ is the reachable part of the following automaton

$$(X_1 \times X_2, \Sigma_1 \cap \Sigma_2, \eta, (x_{o_1}, x_{o_2}), X_{m_1} \times X_{m_2})$$

in which
$$\eta((x_1, x_2), \sigma) = \begin{cases} (\eta_1(x_1, \sigma), \eta_2(x_2, \sigma)) & \text{if } \eta_1(x_1, \sigma)! \text{ and } \eta_2(x_2, \sigma)! \\ \text{not defined} & \text{otherwise} \end{cases}$$

In the product of two automata, an event can occur in a state if and only if it happens in both automata in their respective state. It means that both automata should be synchronized at the current state to proceed in their product.

Therefore, the language of the resulting product is the intersection of the languages of G_1 and G_2 .

$$L(G_1 \times G_2) = L(G_1) \cap L(G_2)$$

$$L_{\mathbf{m}}(G_1 \times G_2) = L_{\mathbf{m}}(G_1) \cap L_{\mathbf{m}}(G_2)$$

Definition 2.14: Parallel Composition (Synchronous Product)

To build a model for a system composed of several components, the synchronization product (parallel composition) of the component automata can be used.

Let $G_1=\left(X_1,\Sigma_1,\eta_1,x_{o_1},X_{m_1}\right)$ and $G_2=\left(X_2,\Sigma_2,\eta_2,x_{o_2},X_{m_2}\right)$. The synchronous product of G_1 and G_2 , denoted by $G_1\parallel G_2$, is the reachable part of

$$(X_1 \times X_2, \Sigma_1 \cup \Sigma_2, \eta, (x_{o_1}, x_{o_2}), X_{m_1} \times X_{m_2})$$

where $\eta((x_1, x_2), \sigma) =$

$$\begin{cases} \left(\eta_{1}(x_{1},\sigma),\eta_{2}(x_{2},\sigma)\right) & \text{if } \sigma \in (\Sigma_{1} \cap \Sigma_{2}) \text{ and } \eta_{1}(x_{1},\sigma)! \text{ and } \eta_{2}(x_{2},\sigma)! \\ \left((\eta_{1}(x_{1},\sigma),x_{2}) & \text{if } \sigma \in (\Sigma_{1} - \Sigma_{2}) \text{ and } \eta_{1}(x_{1},\sigma)! \\ \left(x_{1},\eta_{2}(x_{2},\sigma)\right) & \text{if } \sigma \in (\Sigma_{2} - \Sigma_{1}) \text{ and } \eta_{2}(x_{2},\sigma)! \\ \text{undefined} & \text{otherwise} \end{cases}$$

It is clear that if $\Sigma_1 = \Sigma_2$ then the product and synchronous product of G_1 and G_2 are equivalent up to renaming of states.

2.2 Supervisory Control

The role of supervisory control is to control a system (assumed to be modeled as automaton) in such a way that the system's behavior meets a set of specifications. Control is done by limiting events at some states. Therefore, S, as a supervisor, observes the events at each state (as active events) and then prevents the occurrence of any events which violate the design specification.

In this context, the events are partitioned to uncontrollable and controllable. The supervisor has the ability to restrict only the controllable events. In general, some events may not be observable. However, in this thesis, we only study the case of full event observation.

2.2.1 Basic Supervisory Control

Consider a system with automaton model of $G = (X, \Sigma, \eta, x_0, X_m)$. Let L(G) be the closed language and $L_m(G)$ be the marked language of G. The supervisor S is a function from L(G) to the power set of Σ :

$$S: L(G) \rightarrow 2^{\Sigma}$$

in which $\Sigma = \Sigma_c \cup \Sigma_{uc}$ and Σ_c is the set of controllable events and Σ_{uc} is the set of uncontrollable events ($\Sigma_c \cap \Sigma_{uc} = \emptyset$).

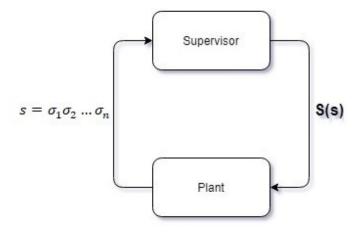


Figure 2.2-1: Control feedback of supervisor

As it is shown in Figure 2.2-1 the supervisor receives the sequence of events (string s), and then allows some events S(s) to happen in the plant. To precisely present supervisor, $\Gamma: X \to 2^{\Sigma}$ is

defined to provide the active events at each plant. For any $\sigma \in \Sigma$, $\sigma \in \Gamma(x)$ if and only if $\eta(x, \sigma)$!. Therefore for the string $s \in L(G)$, the set of events that remain enabled will be $(S(s) \cap \Gamma(\eta(x_0, s)))$.

The supervisor has no control over uncontrollable events and then S(s) includes all uncontrollable events in the active set of events. In other words,

$$\Sigma_{\rm uc} \cap \Gamma(\eta(x_0,s)) \subseteq S(s)$$

If the above condition is satisfied, the supervisor is called admissible. Let *S*/*G* denoted the plant *G* under the supervision of *S*.

Definition 2.15: Languages generated and marked by S/G

The language generated by G under the supervision of S denoted by L (S/G) is defined recursively as follows:

- 1. $\varepsilon \in L(S/G)$
- 2. $s \in L(S/G)$ and $s\sigma \in L(G)$ and $\sigma \in S(s) \Rightarrow s\sigma \in L(S/G)$

Obviously, L (S/G) is a closed language and L $(S/G) \subseteq L(G)$. Then the language marked by of S/G is defined as: L_m $(S/G) = L(S/G) \cap L_m(G)$.

Definition 2.16: Nonblocking Supervisor

Supervisor S controlling G is a nonblocking supervisor if and only if S/G is nonblocking $(\overline{L_m(S/G)} = L(S/G))$.

As mentioned earlier, the supervisor restricts the behavior of plant to a safe (legal) sublanguage of L(G), denoted by L_a . The specification is considered as a legal closed behavior of the DES and let L_{am} be the legal marked behavior ($\overline{L_{am}} = L_a$). Therefore, the language generated by applying the supervisor must be a subset of this specification.

$$L(S/G) \subseteq L_a$$

In the case when nonblocking is an issue then S/G must satisfy the following:

$$\begin{cases} L_{m}(S/G) \subseteq L_{am} \\ \overline{L}_{m}(S/G) = L(S/G) \end{cases}$$

Definition 2.17: Controllability [31]

Let K and $M = \overline{M}$ be languages over event set Σ and $\Sigma_{uc} \subseteq \Sigma$. K is said to be controllable with respect to M and Σ_{uc} if

$$\overline{K}\Sigma_{\mathrm{uc}} \cap M \subseteq \overline{K}$$
.

Therefore $K \subseteq \Sigma^*$ is controllable with respect to L(G) and Σ_{uc} if

$$\forall s \in \overline{K} \text{ and } \sigma \in \Sigma_{uc} \text{ and } s\sigma \in L(G) \Rightarrow s\sigma \in \overline{K}$$

It is noticed that K is not necessarily a subset of L(G) and K is controllable if and only if \overline{K} is controllable.

Theorem 2.1: Controllability Theorem [31]

Consider an automaton $G = (X, \Sigma, \eta, x_0, X_m)$ and let $\Sigma_{uc} \subseteq \Sigma$ be the uncontrollable event set. For $K \subseteq L(G)$ $(K \neq \emptyset)$ there exists a supervisor S such that $L(S/G) = \overline{K}$ if and only if K is controllable with respect to L(G) and Σ_{uc} .

Up to now, the supervisor is defined as a control action over a string which is not a convenient way of deploying a supervisor. A realization of the supervisor by building an automaton which represents the supervisor can be done as follows.

Consider Theorem 2.1. Let R be an automaton that marks the language \overline{K} with $(R = (Y, \Sigma, \delta, y_0, Y_m))$. All states are marked so $L(R) = L_m(R) = \overline{K}$. Then just by forming the product of R and G, the automaton of a closed-loop system of S/G is built.

Let C(K) be the class of controllable sublanguages of $K: C(K) = \{L \subseteq K \mid \overline{L}\Sigma_{uc} \cap M \subseteq \overline{L}\}$. There exists supremal controllable sublanguage of K, denoted by SupC(K).

$$SupC(K) = \bigcup_{L \in C(K)} L$$

One of the most important properties of SupC(.) is that if K is a closed language then SupC(K) has to be a closed language too.

BSCP: Basic Supervisory Control Problem

Consider automaton $G = (X, \Sigma, \eta, x_0, X_m)$ and legal language of $L_a = \overline{L_a} \subseteq L(G)$. A supervisor S with following properties is required:

- 1. $L(S/G) \subseteq L_a$
- 2. If there is another supervisor which $L(S_{other}/G) \subseteq L_a$ then $L(S_{other}/G) \subseteq L(S/G)$.

While the first condition is related to the safety of the supervisor, the second one asks the supervisor to cover all possible solutions, that is, the supervisor needs to be optimal or minimally restrictive. It follows from Theorem 2.1 that S exists and $L(S/G) = SupC(L_a)$.

Theorem 2.2: Nonblocking Controllability Theorem [31]

Let $K \subseteq L_m(G)$ $(K \neq \emptyset)$. There exists a nonblocking supervisor S such that $L(S/G) = \overline{K}$ and $L_m(S/G) = K$ if and only if the following two conditions are met:

- 1. K is controllable with respect to L(G) and Σ_{uc} ,
- 2. K is $L_m(G)$ -closed that means $K = \overline{K} \cap L_m(G)$.

BSCP-NB: Basic Supervisory Control Problem- Non-Blocking case

Consider an automaton $G = (X, \Sigma, \eta, x_0, X_m)$ and the legal language of $L_{am} \subseteq L_m(G)$. Suppose L_{am} is $L_m(G)$ -closed. A supervisor S with following properties is required:

- 1. $L_m(S/G) \subseteq L_{am}$
- 2. S/G is nonblocking
- 3. L_m (S/G) is the largest it can be.

By using Theorem 2.2, the solution exists and is characterized by $L_m(S/G) = SupC(L_{am})$ and $L(S/G) = \overline{Supc(L_{am})}$. The solution is minimally restrictive.

In [32] an algorithm to build an automaton for marking $Supc(L_{am})$ based on the plant and the specification automata is introduced.

2.2.2 Limited Lookahead Supervisory Control

In the previous section, the objective was to build an offline supervisor (conventional supervisor), hence the resulting supervisor is stored in the computer system and during operation at every state obtained the enabled events are from a lookup table (to be explained in Section 3.6.2).

Contrary to the conventional supervisory control in which all calculations have to be done before controlling the system starts, in LLP the computation of S(s) is done on-the-fly and during operation [1]. The main reason LLP is introduced is that a conventional supervisor may be too large for storing in computer memory. In LLP the control action is calculated for *N*-step ahead projection after the current state. This *N*-step window shown by an *N*-level tree is shown in Figure 2.2-2.

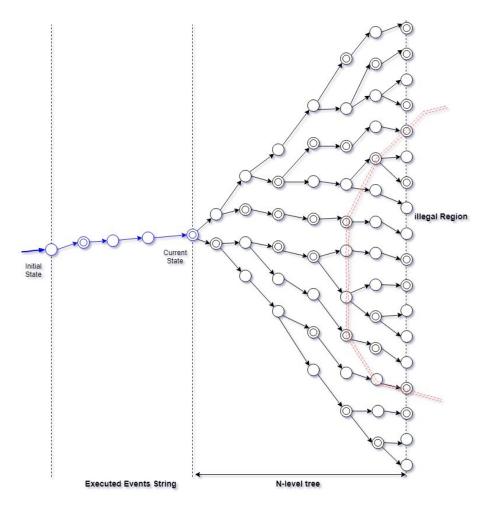


Figure 2.2-2: Limited Lookahead N-level tree

Because of the unexplored states further away of Nth level, the behavior of this level has to be assumed to be legal or illegal with respect to the specification. Therefore, one of two attitudes of optimistic and conservative is chosen before computation of LLP supervisor starts. Pending traces are the traces of length N that are not in the illegal zone $(\overline{K}/s|_N - \overline{K}/s|_{N-1})$.

Let G be DES over event set Σ and $\Sigma = \Sigma_c \cup \Sigma_{uc}$. We suppose that G is nonblocking: $L(G) = \overline{L_m(G)}$.

Let $K \subseteq L_m(G)$ be a nonempty and $L_m(G)$ -closed language which presents the legal behavior of the system $(K \neq \emptyset, K = \overline{K} \cap L_m(G))$.

Like offline supervisor, in LLP, the supervisor S, should control the system under supervision to meet the legal specification and be nonblocking (L_m (S/G) $\subseteq K$ and L (S/G) $= \overline{L_m(S/G)}$).

The online control function is defined in five steps as follows:

1. The first step is to make a subsystem as is shown in Figure 2.2-3 for the *N*-level tree. In function of block $f_{L(G)}^N$, the post languages of L(G), $L_m(G)$ are taken from the DES G after the current string s:

$$f_{L(G)}^{N}(s) = (L(G)/s|_{N}, L_{m}(G)/s|_{N})$$

2. Then the illegal part of step 1 should be removed (function f_N^K):

$$f_N^K \circ f_{L(G)}^N(s) = (\overline{K}/s|_N, K/s|_N)$$

3. In this step, the result of prior step needs to be adopted according to one of the attitudes which is decided for the supervisor. In optimistic attitude, all pending traces are assumed to be legal and marked and in the conservative attitude pending traces are considered as illegal. The corresponding function is denoted by f_a^N (K assumed to be closed):

$$f_a^N ext{ o } f_N^K ext{ o } f_{L(G)}^N(s) = \begin{cases} conservative & K/s|_{N-1} \\ optimistic & K/s|_N \end{cases}$$

4. Now the supremal controllable sublanguage of the result of step 3 (f_a^N) as the specification for the plant given by $f_{L(G)}^N(s)$ and Σ_{uc} as uncontrollable events is calculated which is denoted by f_{\perp}^N .

$$f^{N}(s) = f_{\uparrow}^{N} \text{ o } f_{a}^{N} \text{ o } f_{N}^{K} \text{ o } f_{L(G)}^{N}(s) = [f_{a}^{N} \text{ o } f_{N}^{K} \text{ o } f_{L(G)}^{N}(s)]^{\uparrow/s|_{N}}$$
$$= \text{SupC} \Big(f_{a}^{N} \text{ o } f_{N}^{K} \text{ o } f_{L(G)}^{N}(s)\Big)$$

(For $L \subseteq L(G)$, the supremal controllable sublanguage of L with respect to $L(G)/s|_N$ is denoted by $(L)^{\uparrow/s|_N}$).

5. Finally, the control action is generated by the union of the active events from the former step and the uncontrollable events of the current active events set of the plant $(\Sigma_{L(G)}(s)$ step 1). This function is denoted by f_u^N :

$$\gamma^{N}(s) = f_{u}^{N} \ o \ f^{N}(s) = \overline{f^{N}(s)}|_{1} \cup \overline{\Sigma_{uc} \cap \Sigma_{L(G)}(s)}$$

All the steps of LLP calculation are depicted in Figure 2.2-3. Let the closed behavior of the controlled plant be denoted by $L(G, \gamma^N)$ with γ^N being the control policy $(\gamma^N: L(G) \to 2^{\Sigma \cup \{\epsilon\}})$.

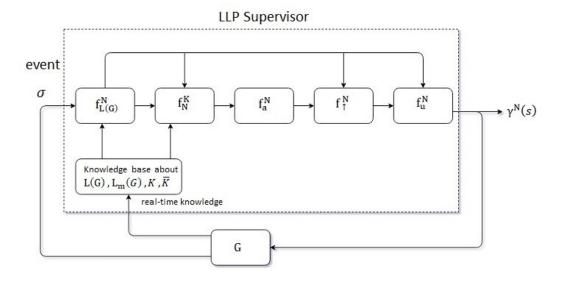


Figure 2.2-3: LLP supervisor block diagrams

To compare the result of LLP with conventional supervisor, we define the notion of validity and run-time error. Although due to some constraints LLP calculation is performed on a limited window of the plant, our objective is to make it complete the LLP supervisor have the same performance as the conventional supervisor. The result of a "valid" LLP supervisor is the same as the conventional supervisor. However, because of the limited horizon for LLP, sometimes the

 $f^{N}(s)$ (supremal controllable sublanguage) becomes empty and the continuation of LLP is no longer possible which is called a "run-time error".

Definition 2.18: Validity [1]

An LLP supervisor with the control policy γ^N (γ^N hereafter as a supervisor) is called valid if $L(G, \gamma^N) = \overline{SupC(K)}$.

This means online and offline supervisors have the same control action for valid supervisor.

Definition 2.19: Run-time error (RTE) and starting error [1]

For a string $s \in L(G, \gamma^N)$ if $f^N(s) = \emptyset$, a Run-Time Error (RTE) has happened for string s.

If $s = \{\epsilon\}$ and $f^{N}(s) = \emptyset$, an Starting Error (SE) has occurred.

The LLP supervisor should always have nonempty result for $f^N(s)$ in order to avoid being trapped in blocking or illegal region by an uncontrollable event.

Proposition 2.1 [1]:

The validity of supervisor γ^N is equivalent to the following statements:

- 1. For all strings of $s \in L(G, \gamma^N)$, $\gamma^N(s) = \overline{SupC(K)}|_1$
- 2. $SupC(K) \neq \emptyset$ and $(\forall s \in \overline{SupC(K)})$ $\gamma^{N}(s) = \overline{SupC(K)}|_{1}$

It can be seen from step 3 of LLP supervisory building blocks that one of two attitudes should be chosen and therefore different consequences are imposed on the resulting supervisor. While in the optimistic attitude by assuming all pending traces as marked, by going further illegal behavior or blocking may be encountered. In the conservative case which assumed pending traces as illegal, only marked legal strings may be found in the next steps.

Theorem 2.3 [1]:
$$L(G, \gamma_{opt}^{N+1}) \subseteq L(G, \gamma_{opt}^{N})$$

Theorem 2.4 [1]:
$$L(G, \gamma_{cons}^{N}) \subseteq L(G, \gamma_{cons}^{N+1})$$

Furthermore, since in the optimistic case, maximum freedom is given to the supervisor, it is expected that the language of plant under control would be larger than offline result, as it is shown in the next theorem.

Theorem 2.5 [1]:
$$\overline{SupC(K)} \subseteq L(G, \gamma_{opt}^{N})$$

In the conservative case, because of considering worst-case-scenario, the language of plant under control is smaller than the offline result, which results in the following theorem.

Theorem 2.6 [1]:
$$SupC(K) \neq \emptyset$$
 if and only if $L(G, \gamma_{cons}^{N}) \subseteq \overline{SupC(K)}$

The window size N plays a crucial role in the LLP supervisory control. Apart from external issues which affect the selection of N, it is desired to choose a value for N to reach the same results as the offline supervisor to guarantee the validity of LLP supervisor. To obtain the optimal N in terms of validity, two possibilities for the specification as a legal behavior are considered.

1.
$$K = \overline{K}$$

Lemma 2.1 [1]:

Let
$$K = \overline{K}$$
. If there is no RTE in L(G, γ_{opt}^{N}), then $\gamma_{\text{opt}}^{N} = SupC(K)$.

The longest substring of the uncontrollable events in language L is defined as:

$$N_{u}(L) = \begin{cases} \max\{|s|: s \in \Sigma_{uc}^{*} \ and \ (\exists u, v \in \Sigma^{*}) usv \in L \ \ if \ it \ exists. \\ undefined \qquad \qquad otherwise \end{cases}$$

Lemma 2.2:

Let
$$K = \overline{K}$$
. If $N \ge N_u(K) + 2$ or $N \ge N_u(L(G)) + 1$, then there is no RTE in $L(G, \gamma_{opt}^N)$.

From lemma 2.1 and 2.2 the following theorem is concluded.

Theorem 2.7:

Let
$$K = \overline{K}$$
. If $N \ge N_u(K) + 2$ or $N \ge N_u(L(G)) + 1$, then $L(G, \gamma_{opt}^N) = SupC(K)$.

In conservative case there is no relation between RTE and validity of the supervisor, but by forming closed language for L(G, γ_{cons}^{N}), the condition for validity can be extracted as follows.

Theorem 2.8:

Let
$$K = \overline{K}$$
. If there is no SE in L(G, γ_{cons}^{N}) and $K \cap \Sigma_{uc}^{N-1} = \emptyset$ then

$$\mathrm{L}(\mathrm{G}\,,\gamma_{cons}^{\mathrm{N}}) = SupC(K - (K/\Sigma_{uc}^{N-1})\;\Sigma^*)$$

Then from above theorem, the following corollary can be inferred.

Corollary 2.1:

Let $K = \overline{K}$. If there is no SE in L(G, γ_{cons}^{N}) and if $N \ge N_{u}(K) + 2$, then

$$L(G, \gamma_{cons}^{N}) = SupC(K).$$

Obviously, $N_u(L(G)) \ge N_u(K)$. Therefore $N_u(L(G)) + 2$ is sufficient for validity. Moreover, if a language is closed, its supremal controllable sublanguage is closed too.

2. $K \subseteq \overline{K}$

In this case, nonblocking has to be verified and so larger N is needed to make an LLP supervisor valid. To define minimum length of N, the following terms are defined.

Definition 2.20:

$$K_{mc} = \{ s \in K \mid \forall \sigma \in \Sigma_{uc}, s\sigma \notin L(G) \}$$

 K_{mc} denotes all marked strings of K which have just controllable events in their active events set.

Definition 2.21:

$$K_{f\bar{c}} = ((L(G) - \overline{K})/\Sigma_{uc}) \cap \overline{K}$$

 $K_{f\bar{c}}$ denotes all traces which bridge from legal zone to the illegal zone by just the execution of uncontrollable events.

Since in the optimistic attitude, all pending traces are marked, then the legality and marking of traces beyond the boundary have to be examined.

Definition 2.22:

$$\mathbf{N}_{mcf\bar{c}} = \begin{cases} \max\{|t|: \exists s \in K_{mc} \ \cup \{\epsilon\} \ (\text{st} \in K_{f\bar{c}} \ and \ (\forall \ \epsilon < v < t) sv \notin K_{f\bar{c}} \ \cup K_{mc} \\ undefined \end{cases} \quad \text{if it exists.}$$

 $N_{mcf\bar{c}}(L)$ is the maximum string length which begins from the initial or legal marked state with just controllable events and leads to the illegal zone and it has no prefix in the legal marked with just controllable events or illegal zone. Therefore neither nonblocking nor safety can be guaranteed by this length and the window size must be larger than it.

Theorem 2.9:

Let
$$SupC(K) \neq \emptyset$$
. If $N > N_{mcf\bar{c}} + 1$, then $L(G, \gamma_{opt}^N) = \overline{SupC(K)}$.

In the conservative attitude since all pending traces are supposed to be illegal, the marking of the traces after the boundary needs to be checked.

Definition 2.23:

$$N_{mcmc} = \begin{cases} \max\{|t|: \exists s \in K_{mc} \ \cup \{\epsilon\} \ (\text{st} \in K_{mc} \ and \ (\forall \ \epsilon < v < t) \\ sv \notin K_{mc} \end{cases} \text{ if it exists.} \\ otherwise$$

 N_{mcmc} is the longest string from the initial or marked legal state with just controllable events which leads to another marked legal state with just controllable events without any prefix with the same properties.

Theorem 2.10 [1]:

Suppose
$$\overline{K} = \overline{K_{mc}}$$
 and there is no SE in L(G, γ_{cons}^{N}). If $N \ge N_{mcmc} + 1$, then L(G, γ_{cons}^{N}) = $\overline{SupC(K)}$.

The assumption is that no legal marked state has an uncontrollable event in its active events set. It can be shown that when $\overline{K} = \overline{K_{mc}}$ and $SupC(K) \neq \emptyset$, $N_{mcmc} \ge N_{mcf\bar{c}}$. Therefore, the $N \ge N_{mcmc} + 1$ is a general sufficient condition in both optimistic and conservative attitudes which means the longest string between the two marked legal states with just controllable events determine the minimum window size for validity.

2.2.3 State-Based Limited Lookahead Supervisory Control [27]

In the previous section, it was assumed that the N steps ahead of current state as N successive events are known for the LLP supervisor (we call this event-based LLP). In that approach, no information about plant state is used; and it leads to form an *N*-level tree to see the system after the current state. To simplify the supervisor computation and to find the optimum window size when there is an uncontrollable loop inside the system (which makes minimum window size for validity unbounded), the state information is added to the supervisory synthesis. This is called state-based LLP. As in most cases the model of plant and legal behavior exist in form of automaton, this information is already ready for extraction.

Definition 2.24:

The set of strings in L(G) from the initial state x_0 leading to the state x is denoted by [x].

Definition 2.25:

X_{mc} is the set of marked states that only have controllable events.

$$X_{mc} = \{ x \in X_m \mid \Sigma_{G(x)} \subseteq \Sigma_c \}$$

 $(\Sigma_{G(x)})$ is active events set at state x).

Definition 2.26:

For an automaton $G = (X, \Sigma, \eta, x_0, X_m)$

$$\omega(x,s) = \begin{cases} \{\eta(x,t) \mid t \le s\} & \text{if } (\forall t \le s) \ \eta(x,t) \notin X_{mc} \ and \ \eta(x,t) \in X_H \\ \emptyset & \text{otherwise} \end{cases}$$

H is a subautomaton of G which marks the specification $K(L_m(H) = K)$. If H is not a subautomaton of G, it should be transformed according to the procedure in [33].

 $\omega(x, s)$ is the set of states which are reached from x and they are accessible through a sequence of states none of which belongs to the marked controllable or illegal states.

To define the bound for the validity of supervisor, N_B is defined as follows:

Definition 2.27:

$$N_{B} = \max_{x \in X, \text{ s} \in L(G)/[x]} |\omega(x, s)|$$

Since there is no sign of any attitudes in the above definition, it means it is valid for optimistic and conservative attitudes.

2.3 Discrete Event Control Kit (DECK)

Discrete Event Control Kit (DECK) is a toolbox written in the programming language of MATLAB [34] for the analysis and design of supervisory control systems based on discrete-event models.

In this section, the functions in DECK which will be used in the next chapter for supervisory computation are explained.

2.3.1 Automaton

A DES model is defined as the class "Automaton" in DECK.

G=automaton(N,TL,Xm)

Inputs:

N Number of states,

TL Transition list,

Xm Marked states (row vector),

Outputs:

G Output automaton,

For example, the automaton G in Figure 2.3-1 has the following arguments.

G=automaton(N,TL,Xm),

N=5,

TL= $[1 \alpha 2; 2 \beta 3; 3 \alpha 2; 3 \gamma 1; 3 \beta 4; 4 \gamma 5],$

Xm = [34],

(The events must be labeled with numbers. For simplicity, we use the original Greek labels.)

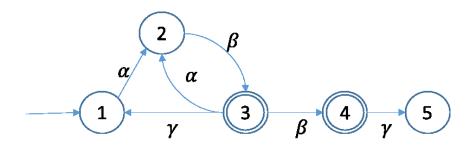


Figure 2.3-1: Automaton G

2.3.2 Reach

This function finds the reachable states through the transitions list from the source state set.

Xr=reach(TL,S)

Inputs:

TL Transition list,

S Source states (vector),

Outputs:

Xr States reachable from S (row vector),

Consider the automaton G in Figure 2.3-1, Xr=reach(G.TL,[1]) generates the reachable state set as Xr=[1 2 3 4 5].

2.3.3 Reachable

This function returns the reachable part of an automaton as a subautomaton (see *Definition 2.8*).

[Gr,Xr]=reachable(G)

Inputs:

G Input automaton,

Outputs:

Gr Reachable subautomaton,

Xr Reachable states of G (row vector),

As all states of automaton G in Figure 2.3-1 are reachable, Gr=G and Xr=[1 2 3 4 5].

2.3.4 Trim

Trim returns the reachable and coreachable (*Definition 2.9*) part of an automaton (*Definition 2.11*).

[Gt,Xrc]=trim(G)

Inputs:

G Input automaton,

Outputs:

Gt Trim subautomaton,

Xrc States of G that are reachable and coreachable (row vector).

For example, since state 5 of automaton G in Figure 2.3-1 is not coreachable, Xrc=[1 2 3 4] and Gt=automaton(4, [1 α 2; 2 β 3; 3 α 2; 3 γ 1; 3 β 4],[3 4]).

2.3.5 Product

This function generates the product of automata (*Definition 2.13*).

[G,States]=product(G1,...,Gn)

Inputs:

Gi Input automaton i (i=1, ..., n),

Outputs:

G Output automaton,

States State set of output automaton,

For example, the product of automaton G in Figure 2.3-1 and H in Figure 2.3-2 results in the automaton P in Figure 2.3-3.

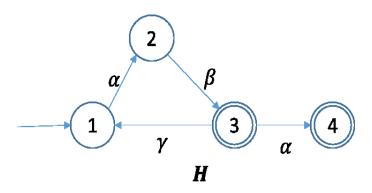


Figure 2.3-2: Automaton H

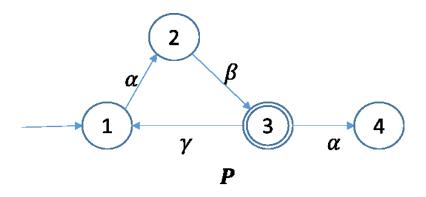


Figure 2.3-3: Automaton P

H=automaton(4, $[1 \alpha 2; 2 \beta 3; 3 \gamma 1; 3 \alpha 4], [3 4]$),

[P,States]=product(G,H),

P.N=4

P.TL= $[1 \alpha 2; 2 \beta 3; 3 \gamma 1; 3 \alpha 4],$

P.Xm=[3],

States=[1 1;2 2;3 3;2 4],

As can be seen from automaton P, since event α from state 3 of automaton G reach state 2 which is not marked, then state 4 (state 2 of G and 4 of H) of automaton P is not marked.

2.3.6 Sync

Sync, generates the synchronous product of automata (*Definition 2.14*) with the following format.

G=sync(G1,...,Gn),

[G,States]=sync(G1,...,Gn),

Inputs:

Gi Input automaton i (i=1, ..., n),

Outputs:

G Output automaton,

States State set of output automaton,

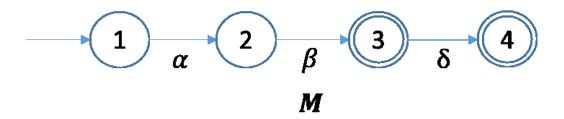


Figure 2.3-4: Automaton M

For example, automaton N (Figure 2.3-5) is the result of synchronous product of automaton P in Figure 2.3-3 and automaton M in Figure 2.3-4, has the following properties:

M=automaton(4, $[1 \ \alpha \ 2; 2 \ \beta \ 3; 3 \ \delta \ 4], [3 \ 4]),$

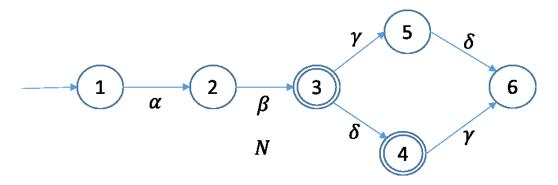


Figure 2.3-5: Automaton N

[N,States]=sync(P,M),

N.N=6,

N.TL= $[1 \alpha 2; 2 \beta 3; 3 \delta 4; 3 \gamma 5; 5 \delta 6; 4 \gamma 6]$,

N.Xm=[3 4],

States=[1 1;2 2;3 3;3 4;1 3;1 4],

It can be observed that event α is a common event between automaton P and M; therefore this event cannot occur when P and M are both in their state 3 (M cannot execute α in state 3). But γ and δ are not common events and can occur in P and M respectively.

We will discuss the **supcon** function to generate supervisor in Section 3.6.2.

Chapter 3

Two Degree-of-Freedom Solar Tracker

The system for implementation of supervisory control in this thesis is a two degree-of-freedom solar tracker (Figure 3-1) controlled with a microcontroller. In the next sections after presenting the system hardware and software, steps toward offline design (conventional supervisory control) along with the corresponding implementation are explained.

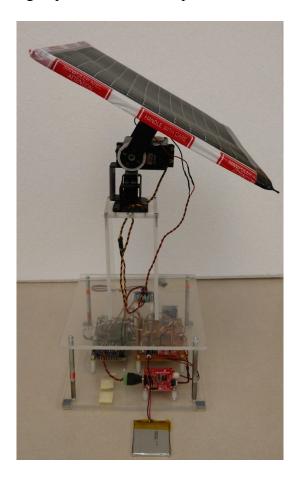


Figure 3-1: Two degree-of-freedom solar tracker

3.1 Schematic Diagram

The schematic diagram of the solar tracker is depicted in Figure 3.1-1. It consists of a Lithium-ion Polymer battery, a photovoltaic (PV) cell, two servomotors for azimuth and elevation directions, and an EFM32TM Leopard Gecko, 32-bit Microcontroller as the processor. The objective of this system is to find the direction in which the brightness is higher than a predefined threshold by searching in azimuth and elevation directions while charging the battery. It has a serial communication port (through a wireless RF module) which sends and receives signals from a PC mimicking a ground station. The tracker can be assumed to be a satellite subsystem in charge of supplying solar energy.

The reason for the selection of this type of microcontroller is that this microcontroller series is ideal for battery operated and low-energy consumption applications. This microcontroller (EFM32LG990F256) is a 32-bit ARM Cortex-M3 processor running at up to 48 MHz and has 256kB flash memory and 32kB RAM which is quite enough for conventional supervisory control implementation. The two degree-of-freedom tracker was developed in [30]. In this thesis, we use the same hardware; however, the software and the design and implementation of supervisory control are novel and are among the contributions of this thesis.

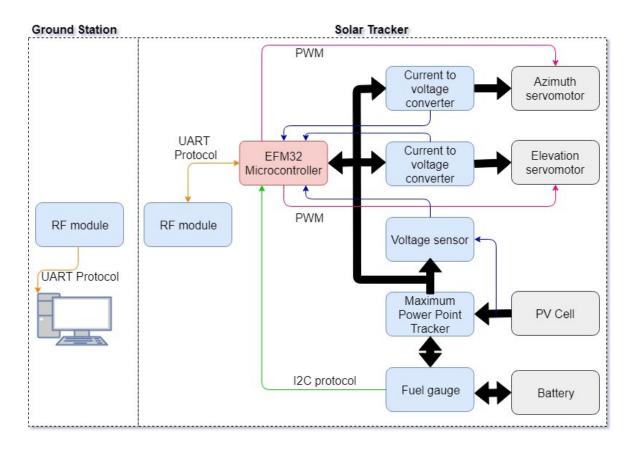


Figure 3.1-1: Solar Tracker schematic diagram

3.2 System Hardware

Since the thesis objective is to implement supervisory control, for brevity, the details of hardware specifications are not discussed. More details can be found in [30], [42]. The data sheets of the hardware components are collected in Appendix E. In the following sections, the main components are explained in brief.

3.2.1 Battery

The battery supplies the entire system energy and is being charged from the PV cell. The battery type is LiPo with a nominal voltage of 3.7 volts and a capacity of 2200 mAh supply. The battery is connected to a fuel gauge which is a Sparkfun LiPo Fuel Gauge to show the state of charge (SOC) and voltage level using the I2C protocol to send these data in percentage and volt respectively to the microcontroller.

3.2.2 PV Cell

The PV cell absorbs sunlight and converts it to electricity to charge the battery and supply the system. The solar cell is a PT15-300 from Flex Solar Cell which can supply at most 3.08 Watts whenever it is exposed to full sunlight.

Since the current of the PV cell decreases when output voltage increases and at a certain point the current drops suddenly, to take the most power of the PV cell, a Maximum Power Point Tracker (MPPT) is used as a DC to DC converter to keep wattage of the PV cell at the top. A SunnyBuddy MPPT from Sparkfun is used in this system for this purpose. As it is shown in Figure 3.1-1, the MPPT is connected to the battery through the fuel gauge to charge the battery. Moreover, to measure the voltage level of the PV cell a Phidgets 1135 precision voltage sensor is used to convert the DC voltage of the PV cell to the range of 0.5-4.5 volts which is in the voltage range of analog to digital (ADC) ports of the microcontroller.

3.2.3 Servomotors

There are two servomotors in the azimuth and elevation directions for adjusting the orientation of the PV cell with respect to the sunbeam. In the spherical coordinate system, the elevation angle is defined as the angle between the object in the space and the observer horizon and azimuth angle is the angle between the north to the elevation vector which is perpendicularly projected to the observer plane reference (Figure 3.2-1).

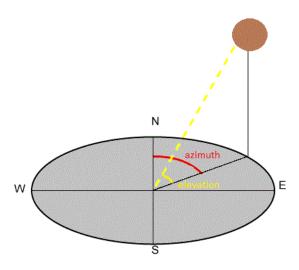


Figure 3.2-1: Azimuth and elevation with respect to the object

In the solar tracker, the motor which changes the direction of PV cell parallel to the fixture is named azimuth and the other servomotor which moves in the plane perpendicular to the fixture is elevation servomotor (Figure 3.2-2).

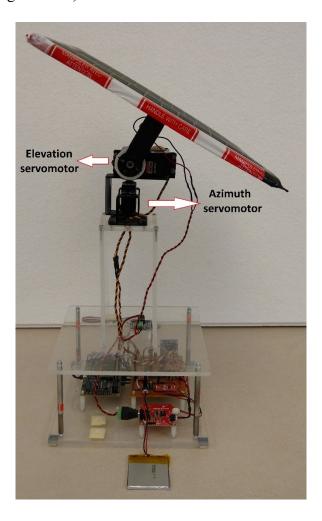


Figure 3.2-2: Azimuth and elevation servomotors of solar tracker

Each servomotor can move clockwise (CW) and counterclockwise (CCW). The azimuth servomotor range of rotation is from 0 degree to 180 degrees and the start position is chosen as 90 degrees, while the elevation servomotor range is limited to 90 degrees, between +45 degrees (fully CCW, as starting position) and -45 degrees.

The azimuth and elevation servomotors are HS-645MG and HS-805BB from Hitec. The rotational speed of these servomotors is very fast (rotating 60 degrees in 140 ms and 200 ms for elevation

and azimuth servomotor respectively). Each servomotor movement is limited to 2-degree steps in order to control the rotation of PV cell safely and avoid any damages since the angular speed of selected servomotors are well beyond the required rates for the solar tracker. The servomotors have internal position feedback control; the microcontroller sends the request of an angle and then servomotor system moves the armature to the target position. For changing the position, the Pulse Width Modulation (PWM) command from the microcontroller carries the required position to each servomotor.

The servomotors can be obstructed during maneuvers and to detect it, the current of servomotors are sampled by SparkFun Low Current Sensor Breakout - ACS712 and converted to voltage for ADC channels of the microcontroller. As stall current for azimuth and elevation servomotors is 2500mA and 6000mA respectively, the effect of being stuck can be seen by the voltage drop at the ADC port and then detected by the microcontroller [30], [42].

3.2.4 RF module

To communicate events between the ground station (PC running MATLAB) and the microcontroller, a pair of DIGI XBEE S1 802.15.4 MODULES are used. The communication protocol is UART from the microcontroller to the RF module and then to the PC. The maximum speed of wireless module is 115,200bps and the data are packed in packets for transmission (see Appendix C).

3.3 System Software

Programming the microcontroller is done in C language in Integrated Development Environment (IDE) (Silicon Lab is the manufacturer of EFM32 and the developer of this IDE) and the ground station platform is programmed by MATLAB. The solar tracker and ground station were configured to implement conventional supervisory control in [30] (Graphic User Interface in Python is used for the ground station). In the next chapter, a new algorithm for online supervisory control is discussed and implemented. In this chapter, first a modified software implementation of conventional SCT is developed which implements SCT supervisor more closely and accurately in the sense that the implementation better matches the theory.

The supervisor in Figure 1.2-1 in offline design (i.e. conventional approach) performs in the following steps:

- 1. The occurrence of events at specific time period is evaluated inside each component software module.
- 2. According to the detected events, the next state of the supervisor is computed.
- 3. If there is a controllable event among enabled events, it is activated.
- 4. Once again, the occurrence of events is evaluated inside each component software module and then the next cycle starts from step 1. This is to see if a new event has occurred while supervisory control was done in step 2 and 3.

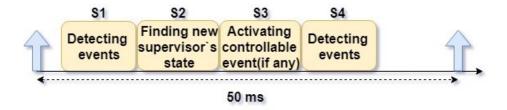


Figure 3.3-1: Offline supervisory implementation timeline

As it is illustrated in Figure 3.3-1, the control code is run every 50ms; however, the uncontrollable events may happen at any time causing some issues. Suppose multiple uncontrollable events happen in the real plant after S4 and before S1 or even an uncontrollable event happens after S1 and before S3, in both cases the wrong control decision can be taken by the system due to missing the right order of the events (causing simultaneity and inexact synchronization problems respectively). To address the mentioned issues, event detection is done twice per any timer interruption in every 50ms (i.e. step 4).

3.4 Conventional Supervisor Implementation

In Section 3.5, we will discuss plant and specification modeling and the design of supervisor automaton will be discussed.

Once the automaton of the supervisor is calculated, it is used in the solar tracker control system to implement supervisory control. In this section, we discuss some of relevant implementation issues.

3.4.1 Supervisor structure Implementation

In this section, the procedure for the implementation of conventional supervisor is discussed.

It is desired to make the behavior of implemented supervisor as close as possible to that of theory. This implementation as shown in Figure 3.4-1, is a symmetric feedback loop in which the supervisor in general, is not a passive controller to just prevent some controllable events from happening (asymmetric feedback loop), but it sends controllable events to the plant and plant sends uncontrollable events in a symmetric feedback loop [35].

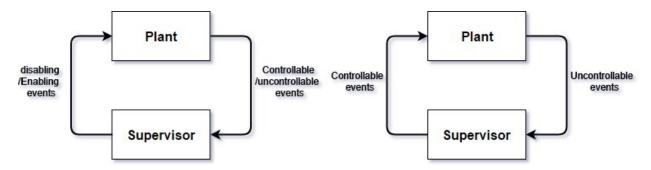


Figure 3.4-1: Asymmetric feedback loop (left) and symmetric feedback loop (right)

Moreover, in SCT there is no preference among events in active events set, in practice though due to the cyclic execution of program, and hardware limitations, some rules are needed to be set in order to process multiple active events as will be explained later.

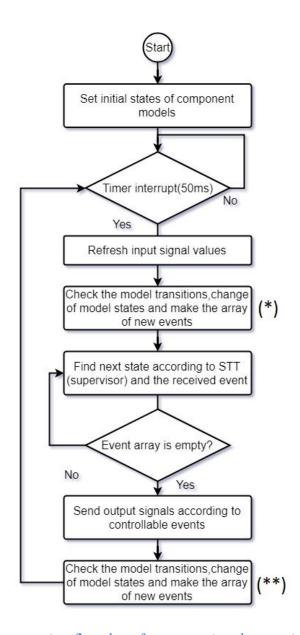


Figure 3.4-2: Implementation flowchart for conventional supervisor in Solar Tracker.

In Figure 3.4-2, the implementation flowchart is shown. This flowchart has some differences compared to the flowchart in [30] in which all controllable events are being disabled at the beginning of each cycle and according to the active events set the possibility of them are being checked and upon validation of the first event the associated transition is being triggered.

In Figure 3.4-2, if more than one event is detected (*), these are checked in order of their priority. The priority list is formed as follows. As a rule of thumb, uncontrollable events have higher priority compared to controllable events since they may appear at any time and the system should respond to their occurrence first.

Since the active event set may contain several uncontrollable events, they also need to be arranged in terms of evaluation (step **). One way of arrangement is claimed that the uncontrollable events which cannot occur if other events preempt them should be evaluated first [42].

For each component that generates uncontrollable event (input) a software subroutine is written to track the component and detect events. For instance, a subroutine reads PV cell output and checks the occurrence of PV cell events. The models of all components are stored in the microcontroller. The detected events are sent to the supervisor. This cycle repeated every 50ms by a timer interrupt.

The priority which is considered for this implementation is based on the component-based priority. The components with internal events have higher priority compared to these which have interface events because their events can be generated immediately after reaching a new state. Since the rate of event change in the servomotors motion is higher than PV cell and battery (SOC is sent every 10 sec.) and the master controller, these models have higher priority among mentioned models.

Table 3.4-1: Priority of models in events detection

Priority	Component Model		
Level			
(high) 1	Movement time interval		
2	Azimuth servomotor position		
3	Elevation servomotor position		
4	Azimuth servomotor motion		
5	Elevation servomotor motion		
6	PV cell		
7	Battery		
(low) 8	Master Controller		

Based on Table 3.4-1, a maximum of eight events can happen in one event check function, one per the component. Since the servomotors cannot move at the same time and events of master controller occur rarely, the maximum number of events in one function execution is never reached. This number plays a crucial role in the next state computation of supervisor because the supervisor has to check next state according to all of the received events from the plant. Therefore, the step

in software flowchart which is for finding the next state has to be repeated as long as the events array is not empty.

The interaction of models to each other is considered as events condition in each model which may prevent occurrence of events because of unsuitable states in other models, however, if the plant is modeled precisely, they are not needed but to have a synchronous model with the real plant they have to be used in events generation functions.

The main difference between [30] and this method is that in the former method at most one event is considered as an occurred events but here the number of occurred events can be as much as the number of components model which are recorded in an array. Since the checking of input signals and their associated uncontrollable events is being done periodically, following all of the occurred events right after they are detected is more beneficial and makes the supervised system behave closer to theoritical behavior.

In [30], analog values (in the solar tracker all input signals are analog) are read periodically every 250ms and then in the main program loop the occurrence of each event is checked with a very fast cycle time which is almost below 1ms. Eventually, the associated interface events may change every 250ms while other internal events change within the main loop cycle time and it makes an inconsistent event check procedure. But in this thesis, the sampling time of interface events is reduced to 50ms to have fresh data in shorter time and then all interface and internal events are checked together within their models in order to have uniform event check. Furthermore, by taking samples more frequently every 50ms, the order of events is better detected.

Moreover, the voltage and current measurement in the solar tracker have considerable fluctuations (which should be addressed in the future in hardware parts). But to mitigate the undesired effect of this variation which causes unnecessary events, moving average of signals is applied which decreases false transitions dramatically.

As mentioned earlier, there are three main problems in SCT implementation. Next we explain the measures we have taken to mitigate them.

1. **Simultaneity**: One can notice in Figure 3.4-2 that the event detection check is done twice per a cycle, one time before checking the next state (*) and another time after that (**). The reason of having this second event evaluation is to mitigate the problem of

simultaneity in which the order of events becomes ambiguous or wrong. For example, after the internal controllable event of polling range AZ_POLL_RANGE, the next internal uncontrollable event AZ_RANGE_OK can be generated right way without any need to refresh input signals. In general, to dedicate a specific time for other CPU tasks, occupying entire CPU time to track events is not practical in larger systems. Because of servomotors move in every two seconds (according to specification discussed in the next section) and then the change in PV cell voltage and battery SOC, the solar tracker system characteristics in terms of total number of events and generated events per any cycle show that 50ms scan time is quite enough to track events and leaves enough time for other CPU tasks.

- 2. **Inexact synchronization**: Although the pace of change in the events is not high, scanning every 50ms is enough to see any interface uncontrollable events before sending controllable events which in turn alleviates the **inexact synchronization** problem. The added monitoring function to check the occurrence of uncontrollable events right before activation of the controllable event shows no record of inexact synchronization
- 3. **Choice**: The **choice** problem is not present in this system since there is no more than one controllable event in each state of the supervisor.

After implementing the supervisor, according to the flowchart in Figure 3.4-2, the system is tested to seek a bright direction as per specific steps. To have a clear view of what events happen after starting the sequence by sending Full_Sweep command to the microcontroller, one of the very first loops of events is depicted in Figure 3.4-3. As it can be seen from state 1 after receiving Full_Sweep, the supervisor state changes to state 3 and then after sending controllable event AZ_POLL_RANGE to evaluate the current position of the servomotor, the response of it which is an uncontrollable event is received as AZ_RANGE_OK. Then the controllable event for rotating the azimuth servomotor (AZ_CCW_MOVE) is followed by wait_2sec as an uncontrollable event and at the end of the loop, the feedback of normal current of servomotor appears as AZ_CCW_OK (uncontrollable event).

This is very important for further discussions in the next sections to understand cyclic trace of events in this system. The gap between states number is due to the occurrence of other events (e.g. Dark_to_Dim) and the sequence of events may be conducted to other loops with different state

numbers, but until the end of azimuth CCW motion this loop keeps essential mentioned events. After reaching maximum CCW point, the transition from state 9 to another loop will be followed.

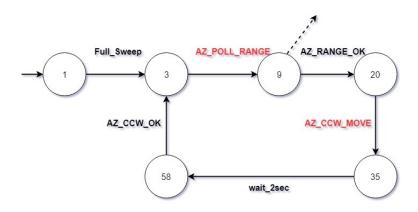


Figure 3.4-3: Part of the supervisor automaton.

In Table 3.4-2, the occurrence time of events are shown to provide a better understanding of the system behavior. Here t=0 is the start of test. The CPU clock is used to time stamp events. This is the most accurate internal method to record events inside the microcontroller.

Table 3.4-2: Events and their timeline in the Full Sweep test.

Event	Time of occurrence (t)	Time between consecutive	
		events	
Full_Sweep	23.390 sec.	-	
AZ_POLL_RANGE	23.392 sec.	2 ms	
AZ_RANGE_OK	23.440 sec.	48 ms	
AZ_CCW_MOVE	23.443 sec.	3 ms	
wait_2sec	25.441 sec.	1998 ms	
AZ_CCW_OK	25.441 sec.	<1 ms	

The list of customized files which are prepared to implement conventional and LLP supervisory control are in Appendix B.

As it is mentioned in Appendix B, the files which are built to read fuel gauge data, move the servomotors and read data from memory directly remained as in [30], but the other files were

changed in order to achieve the thesis objectives (e.g. to overcome the undesired fluctuations in signal levels, a moving average algorithm is added in the thesis adc.c).

The resulting supervisor which is obtained in the previous section is in the form of automaton. This automaton has a State Transition Table (STT). This STT has the complete supervisor information and by storing this table in the computer system, the supervisor states and transitions can be followed. As mentioned earlier, one of the offline drawbacks of conventional supervisor is state space explosion which requires enormous memory for a large plant. To store computed supervisor in the flash memory of the system, the STT is stored with the following structure:

```
struct state_elements{
uint16_t len;
const uint16_t (*stt)[2];
};
```

For each state, the number of outgoing transitions from that state (len) is stored and then all transitions from that state are stored with event number and destination state in the format of 2 dimensions array (stt). All numbers are unsigned integers (16 bits) because the largest number is below 65536 and above 256.

In the following section, the DES model of main hardware components (gray blocks in Figure 3.1-1), design specification and supervisor design will be explained.

3.5 System Discrete-Event Model

In the following sections the modeling each major component which has a role in supervisory control is discussed. Next the interactions of these components are described.

As mentioned earlier, all sensor signals (e.g. SOC, servomotors current and PV cell voltage) which are not under the direct control of the system, generate uncontrollable events and all actuator signals (e.g. servomotors move) make controllable events. Another partition of events used in later sections is the interface and internal events. In the software architecture [36], the events between physical environment and computer (in our case the solar tracker system) are defined as "Interface events" like the voltage of PV cell (Interface in) or PWM signal to the servomotors (Interface out)

and the events which are generated and consumed solely within the software are defined as "Internal events" (e.g. reading the servomotor position).

3.5.1 Battery

The three states of the battery are Critical, safe and full and are defined based on the state of charge (SOC) of the battery as shown in Figure 3.5-1. These state change as SOC increases or decreases. The changes are modeled with four uncontrollable events, marking the crossing of specific thresholds. There is a hysteresis of 5% for each stage which prevents changes of model state with fluctuations of SOC due to measurement noise. The reason for the separation of critical and safe states is that the movement of servomotors must be prevented when the battery is in critical condition (since there is not enough energy for successful movement). But in the other two states the servomotors can move without any problem; therefore, three distinct states are considered.

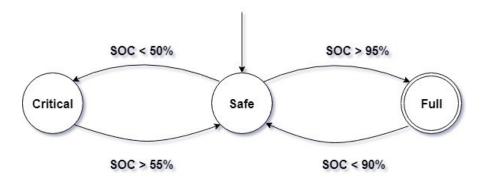


Figure 3.5-1: Battery model

The list of relevant events is in Table 3.5-1.

Table 3.5-1: Battery events list

Origin State	Event Name	Destination	Interface/Internal
		State	
Safe	Safe_to_Crit	Critical	Interface In
Safe	Safe_to_Full	Full	Interface In
Critical	Crit_to_Safe	Safe	Interface In
Full	Full_to_Safe	Safe	Interface In

3.5.2 PV Cell

As the intensity of sunlight varies with the position of the PV cell, the output voltage generated by the PV cell changes. The maximum energy can be captured when the PV cell is perpendicular to the sunlight beam. Therefore, three different states are defined and the change of PV cell output voltage is modeled with four uncontrollable events as shown in Figure 3.5-2. The objective of the solar tracker is to find a sufficient bright spot in one maneuver; hence one might think that two states would be enough for this purpose but as the battery cannot charge in the dark state, three different states are considered.

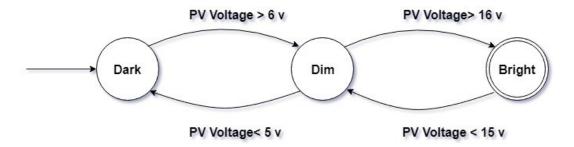


Figure 3.5-2: PV cell model

The list of relevant events is in Table 3.5-2.

Origin State Event Name Destination State Interface/Internal Dark Dark to Dim Dim Interface In Dim Dim to Bright **Bright** Interface In Bright to Dim Dim Interface In **Bright** Dim Dim to Dark Interface In Dark

Table 3.5-2: PV cell events list

3.5.3 Servomotors

The operation of each servomotor is described with two DES models. The first model (motion model) is for safe movement in which the command is sent by the microcontroller and successful movement is evaluated in terms of the current which is drawn by the servomotor. The second

model (range model) is designed to control the position of servomotor to limit the movement in a predefined range.

3.5.3.1 Motion Models

The goal of this model is to show commands to the servomotors. When a command is sent to the servomotor, it energizes the armature and then the specific current is consumed which shows the successful movement. Therefore, by measuring the servomotor current, the system verifies whether the movement is done or not.

If the PV cell encounters an obstacle, the servomotors should not proceed anymore and the system needs to take another decision to avoid damages to the PV cell and servomotors. Hence, the current of servomotors is read by the microcontroller and if any excessive current is detected, it will be interpreted as an obstacle and then the next command toward this direction is prevented (This will be discussed in specifications). In this implementation, for the elevation servomotor, a fault state as a sign of abnormal situation is considered, but the azimuth servomotor is assumed to be free of any obstacle or fault for simplicity.

As it is shown in Figure 3.5-3, two directions of Counter Clockwise (CCW) and Clockwise (CW) are triggered by their commands which are controllable events (the controllable events are shown in red). If the current is less than a specific value, the system backs to the idle state (initial state) through the uncontrollable events (As in [30] it is assumed that the azimuth motor works all the time and then the minimum current of 100mA is not checked).

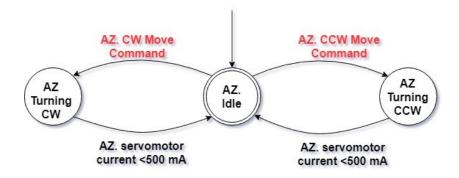


Figure 3.5-3: Azimuth servomotor, motion model

The list of relevant events is given in Table 3.5-3.

Table 3.5-3: Azimuth servomotor, motion model events list

Origin State	Event Name	Destination State	Interface/Internal
AZ. Idle	AZ_CW_MOVE	AZ. Turning CW	Interface Out
AZ. Idle	AZ_CCW_MOVE	AZ. Turning CCW	Interface Out
AZ. Turning CW	AZ_CW_OK	AZ. Idle	Interface In
AZ. Turning CCW	AZ_CCW_OK	AZ. Idle	Interface In

The elevation servomotor has a fault state. In any abnormal current case, the state changes to the fault state as depicted in Figure 3.5-4. The events have similar meanings to those in the azimuth model.

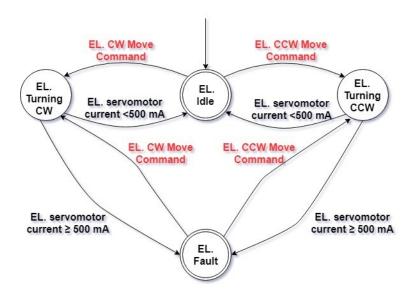


Figure 3.5-4: Elevation servomotor, motion model

Table 3.5-4: Elevation servomotor, motion model events list

Origin State	Event Name	Destination State	Interface/Internal
EL. Idle	EL_CW_MOVE	EL. Turning CW	Interface Out
EL. Idle	EL_CCW_MOVE	EL. Turning CCW	Interface Out
EL. Turning CW	EL_CW_OK	EL. Idle	Interface In
EL. Turning CCW	EL_CCW_OK	EL. Idle	Interface In
EL. Turning CW	EL_FAIL_MOVE	EL. Fault	Interface In
EL. Turning CCW	EL_FAIL_MOVE	EL. Fault	Interface In
EL. Fault	EL_CW_MOVE	EL. Turning CW	Interface Out
EL. Fault	EL_CCW_MOVE	EL. Turning CCW	Interface Out

3.5.3.2 Movement time interval Model

Since the servomotors can rotate very fast which can damage the PV cell, in addition to limiting any movement to 2 degrees, a two-second delay is added to the measurement of servomotors current after a command. This creates a two-second interval between any two movements. This model is shown in Figure 3.5-5.

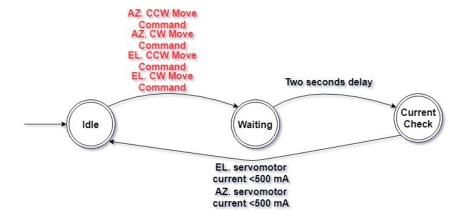


Figure 3.5-5: Movement time interval model

Table 3.5-5: Movement interval events list

Origin State	Event Name	Destination State	Interface/Internal
Idle	EL_CW_MOVE	Waiting	Interface Out
Idle	EL_CCW_MOVE	Waiting	Interface Out
Idle	AZ_CW_MOVE	Waiting	Interface Out
Idle	AZ_CCW_MOVE	Waiting	Interface Out
Waiting	wait_2sec	Current check	Internal
Current check	EL_CW_OK	Idle	Interface In
Current check	EL_CCW_OK	Idle	Interface In
Current check	AZ_CW_OK	Idle	Interface In
Current check	AZ_CCW_OK	Idle	Interface In

3.5.3.3 Position Models

The current position of each servomotor stored in the RAM of the microcontroller and then, after each successful two-degree movement, it is increased after a CW movement or decreased after a CCW movement. Therefore, the current angular position of servomotors are available and when they reach the boundary of movement and the corresponding states are reached further movement in the same direction is forbidden. As shown in Figure 3.5-6 and Figure 3.5-7, for azimuth and elevation servomotors respectively, for any movement, the current angle is polled and then if it is in acceptable range, then a return to the initial state event is generated and if it is not in the range, then the corresponding state depending on the direction will be reached.

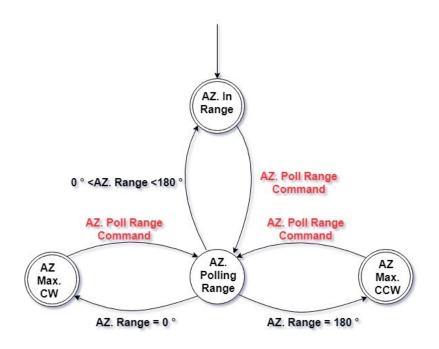


Figure 3.5-6: Azimuth servomotor position model

Table 3.5-6: Azimuth servomotor position events list

Origin State	Event Name	Destination State	Interface/Internal
AZ. In Range	AZ_POLL_RANGE	AZ. Polling Range	Internal
AZ. Polling Range	AZ_RANGE_OK	AZ. In Range	Internal
AZ. Polling Range	AZ_MAX_CCW	AZ. Max. CCW	Internal
AZ. Polling Range	AZ_MAX_CW	AZ. Max. CW	Internal
AZ. Max. CCW	AZ_POLL_RANGE	AZ. In Range	Internal
AZ. Max. CW	AZ_POLL_RANGE	AZ. In Range	Internal

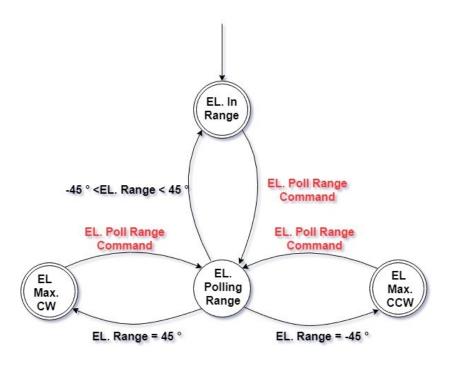


Figure 3.5-7: Elevation servomotor position model

Table 3.5-7: Elevation servomotor position events list

Origin State	Event Name	Destination State	Interface/Internal
EL. In Range	EL_POLL_RANGE	EL. Polling Range	Internal
EL. Polling Range	EL_RANGE_OK	EL. In Range	Internal
EL. Polling Range	EL_MAX_CCW	EL. Max. CCW	Internal
EL. Polling Range	EL_MAX_CW	EL. Max. CW	Internal
EL. Max. CCW	EL_POLL_RANGE	EL. In Range	Internal
EL. Max. CW	EL_POLL_RANGE	EL. In Range	Internal

3.5.4 Master Controller

Some of the events in the solar tracker system are not generated by the previous components. The "start full sweep" command comes from the PC and is uncontrollable (by the supervisor). The events "Elevation motor fault", "Sweep Failure" and "Bright (direction) detected" are generated by the supervisor itself. To model the generation of these events, it is convenient to assume they are generated by a hypothetical component, Master Controller (Figure 3.5-8).

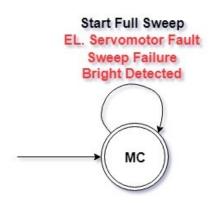


Figure 3.5-8: Master Controller (MC) model

Table 3.5-8: Master controller events list

Origin State	Event Name	Destination State	Interface/Internal			
MC	Full_Sweep	MC	Internal			
MC	Bright_Detected	MC	Internal			
MC	Sweep_Failure	MC	Internal			
MC	EL_MOTOR_FAIL	MC	Internal			

3.5.5 System Interactions

To build a complete model, we have to model the interactions of the system components. For example, consider the SOC of the battery when the PV cell is in darkness. It is clear that going to the higher level for SOC is impossible. This behavior (restriction) should be captured by the model. Modeling interactions needs a rigorous attention to the physical characteristics of components, otherwise the constructed plant will not follow the real plant. In the following sections, the interactions of different components are modeled.

3.5.5.1 Battery and PV cell

As mentioned before, in the dark state, the maximum output voltage of the PV cell is 6 volts which cannot charge the battery SOC according to the battery specification. Therefore, in the dark state just the reduction of SOC can occur and in other states both reduction (because of servomotors consumption) or increase of SOC (because of PV cell supply) are possible. The battery SOC events as a function of the state of the PV cell is shown in Figure 3.5-9.

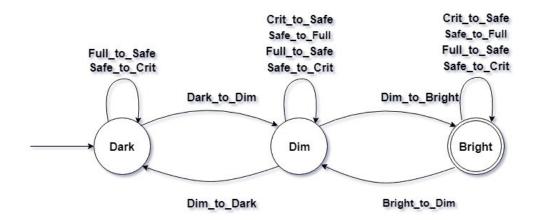


Figure 3.5-9: PV cell interaction with battery SOC

3.5.5.2 Battery and Servomotors

According to the battery discharge curve and the current consumption of servomotors, it is essential that the SOC of battery be above 50% (in order to energize the servomotors sufficiently for a move). Thus, in the critical state of battery SOC, the events which guarantee a successful movement cannot happen as shown in Figure 3.5-10.

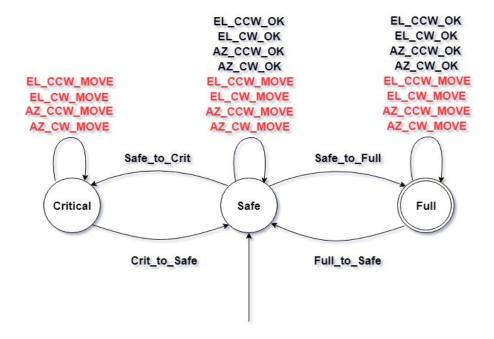


Figure 3.5-10: Battery SOC and servomotors interaction

According to the data sheets of the servomotors [42], the azimuth and elevation servomotors consume 350 mA and 700 mA respectively which are more than the maximum current the PV cell

supplies (200 mA). Therefore, during any servomotor movement, the battery is discharged and reaching a higher level of SOC is not possible. To model this behavior, the synchronous product of two servomotors models (shown in Figure 3.5-3 and Figure 3.5-4) is formed and decrease in SOC events are added as self-loop in the states in which movement occurs and increase and decrease of the SOC self-loops are added to the rest of states (Figure 3.5-11). For clarity, the transitions of the synchronous are not shown in the figure; only the self-loops are shown.

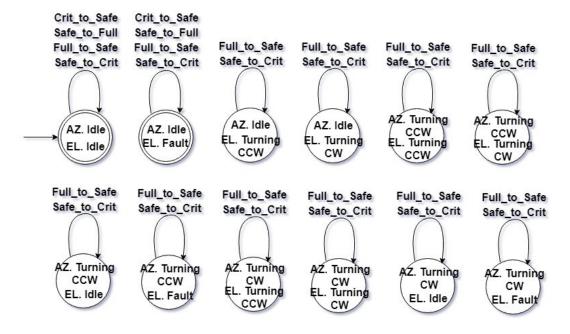


Figure 3.5-11: Servomotors and battery SOC interaction

At this point, the model of the plant can be constructed by the synchronous product of the DES models of the components and their interactions. The resulting plant automaton has 1584 states and 16800 transitions.

3.6 Supervisor Design

In this section, the design specifications are explained and the supervisor is designed.

3.6.1 Specification

The solar tracker system has three groups of design specification. A DES model for each group is introduced. A model for the overall specification is obtained using the automaton product operation.

3.6.1.1 Servomotor Motion Range specifications

The rotation should be limited to 180 degrees for the azimuth and 90 degrees for the elevation servomotor. Therefore, whenever in the position models (Figure 3.5-6 and Figure 3.5-7) the states of maximum CW or CCW are reached, further movement in the same direction should be prevented. Thus, in the mentioned states just the reverse movement is allowed as it is shown in Figure 3.6-1 for the azimuth servomotor. Similarly, the elevation servomotor should not rotate beyond its limit (the model specification is not shown for brevity).

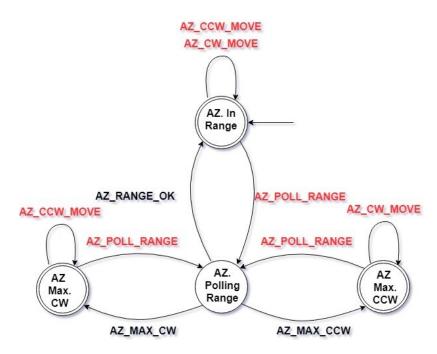


Figure 3.6-1: Azimuth servomotor rotation specification

3.6.1.2 Servomotor Polling Range specifications

Since the current position of servomotor should be read after of a successful movement (XX_CW_OK, XX_CCW_OK events), the polling of this value should be done when the state of servomotor motion model (Figure 3.5-3and Figure 3.5-4) is in the idle state. This specification is depicted in Figure 3.6-2. For the elevation servomotor, the same rule is applied (For brevity the model is not shown).

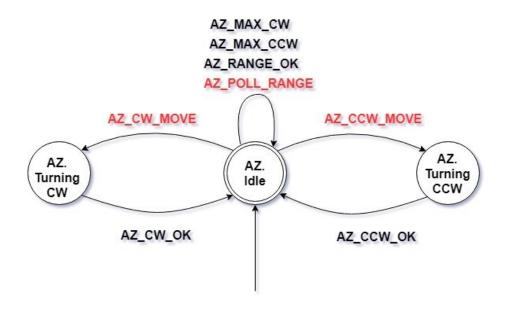


Figure 3.6-2: Azimuth servomotor polling specification

3.6.1.3 Servomotor Movement Trajectory specifications

The former specifications are essential for a safe operation of the solar tracker. In addition, a procedure to indicate the sequence of steps toward finding a bright spot is required. It is common in industry to define a procedure to conduct the operation through steps for a variety of purposes. For example, in most petrochemical plants, startup phase and shutdown phase must be done by taking sequential steps to normal operation and safe state respectively. In this system, the goal is to sweep a hemisphere to find the spot with the sufficient sunbeam intensity. As each servomotor rotates in a plane, combining them, allows a complete hemisphere sweep. Assuming the solar tracker is located in a dark zone, a full sweep is done in the following order.

The azimuth servomotor starts rotation from 90 degrees in CCW direction by receiving "Full_Sweep" event from the MC; then in the maximum CCW position, it turns in the reverse direction and rotates to CW maximum position. Afterward, the elevation servomotor starts to rotate from its position (maximum CCW) to maximum CW for 90 degrees and then azimuth servomotor continues sweep in CCW direction for 180 degrees. In the end, if no bright spot is discovered, the Sweep_Failure event is generated; otherwise, the Bright_Detected as an indication of finding a bright direction is generated. Furthermore, EL_MOTOR_FAIL as an alarm for elevation servomotor fault is issued to MC if failure is detected.

As a general restriction, at any moment just one servomotor can move to maintain the battery

voltage at sufficient level for the system. This specification's model has 58 states and 209

transitions (see Appendix A). One can see various loops inside this specification in each stage

representing search for a bright direction until either the end of movement in one direction and the

direction is changed or a bright source is detected.

Thus far, the individual automaton for each specification is generated. Next, the events which are

not relevant for each specification model are added as self-loop to all states. Finally, to find the

overall specification model, the product of all specifications should be taken. The resulting

specification model has 416 states and 4216 transitions.

3.6.2 Supervisor

To design the supervisor using SCT, the toolbox Discrete Event Control Kit (DECK)) [37] which

is developed in MATLAB [34] environment is used. The automata which are needed for making

supervisor are prepared by using the functions of DECK. To compute the supervisor, first the

components models are defined in DECK with the following format:

The automaton in DECK (G=automaton(N,TL,Xm)) is defined as a class with the following

properties:

N: The number of states,

TL: Transition List,

Xm: marked states (row vector),

TL is a matrix with three columns. In each row, the first entry is a source state of each transition,

the second element is the event related to the transition and the third element is the destination

state. Thus the number of rows indicates the number of transitions.

There are plenty of functions in DECK which do specific DES operations. The procedure to design

the supervisor is as follows.

First, the plant model is constructed by using the sync function of component models and their

interactions ([G,States]=sync(G1,...,Gn)). Second, the general specification is made by using

67

product ([H,States]=product(H1,...,Hn)) of all specifications automata. The last step to obtain the supervisor from the plant and specification is to use the **supcon** function with the following format:

K = supcon(H,G,Euc).

H is the specification, G is the plant and Euc is the uncontrollable events set.

Supcon generates the supremal sublanguage of $L_m(H) \cap L_m(G)$ that is controllable with respect to L(G) and Σ_{uc} . The result is returned in the trim automaton K which marks the supremal controllable sublanguage.

$$\begin{cases} L_{m}(K) = SupC(L_{m}(H) \cap L_{m}(G)) \\ L(K) = \overline{(L_{m}(K))} = \overline{SupC(L_{m}(H) \cap L_{m}(G))} \end{cases}$$

The resulting supervisor size is given below.

Table 3.6-1: The plant, specification and supervisor size

Automaton	Number of States	Number of	Number of	
		Transitions	Marked States	
Plant (G)	1584	16800	27	
Specification (H)	416	4216	18	
Supervisor (K)	2061	9527	27	

Chapter 4

Limited Lookahead Supervisory Control with Buffering

In supervisory control theory, the supervisor (i.e. control logic) is designed based on a DES model of the plant and a DES model of the design specifications. The design procedure is typically performed "offline" and the designed supervisor in the form of computer code is implemented "online" on, say, a microcontroller. This approach was used in the previous chapter.

An alternative approach, as discussed in Chapter 2, is to use the Limited Lookahead Policy in which the plant model and design specifications are stored in the control computer and are used to generate supervisory control commands "online". The main goal of this chapter is to explain the implementation of LLP. The solar tracker is used as the experimental setup. As we will see later, sometimes events are generated at a fast pace in the plant and there is not enough time to perform the LLP calculations between two consecutive events. In order to mitigate this issue, we propose a new method in which the supervisory commands are pre-calculated and "buffered" in advance.

In Sections 4.1 and 4.2 we will discuss code generation and implementation of LLP for the solar tracker as an example. Section 4.3 presents LLP with buffering.

4.1 Generating C Code for the Microcontroller

To store the information of the automaton we use the C **struct**. The required C code for LLP is obtained by converting DECK functions to C code using MATLAB coder. The DECK code for supervisory control has to be modified for use in LLP and be made compatible with MATLAB

coder. G.n, G.TL and G.Xm are used as fields of **struct** G. This resembles the automaton objects in DECK. Although in MATLAB there is no need to define the size of TL and Xm explicitly, in C language, it is not possible to have more than one variable size array as an argument (Simply, TL and Xm can be seen as two and one-dimensional array, respectively). Therefore the **coder.varsize** is used to declare variable size array in functions in MATLAB. For example, consider the **reach** function, Xr=reach (TL, S), in which TL and S are the input arguments and Xr the reachable states from the states S as the output of the function in the form of row vector. Then to declare the size of Xr, the following line is added in the function:

coder.varsize('Xr',[1,10000],[0 1]).

This means that Xr is a one dimensional array and its size is bounded by 10,000 elements.

After modifying the required functions to make them ready for compilation (by right-click on any m file and "Check Code Generation Readiness", it should have a full score), the inputs of the functions need to be defined. For example, for the **reach** function, TL is defined as a matrix with bounded number of rows up to 10000 and exactly 3 columns with double elements (double(:10000x3)). Since MATLAB, for every variable, uses as double-precision floating-point values that are 8 bytes, it takes a large amount of memory to store and perform the calculations which is one of the disadvantages of direct C code generation from MATLAB coder.

The other required functions are similarly adapted and compiled using MATLAB coder. It should be noted that, there are several parameters in MATLAB coder to make source code adjust to specific purposes in terms of execution speed, memory usage and even the hardware platform which can be selected for ARM Cortex –M (e.g. for EFM32 Series). Finally, the generated source codes can be used as a C function in the microcontroller to do the supervisory calculations.

We used MATLAB coder for converting DECK functions to C code for the microcontroller EFM32 used in the solar tracker. But because of memory constraints and the slow execution, we decided to compile and run the code on the PC and use the microcontroller for interfacing with the hardware. Our reasons are explained in more detail in the following.

1. The functions of DECK have many subfunctions like **unique**, **ismember**, **intersect** and so forth that make the compilation very complex in terms of debugging and memory management. Despite the fact that the generated C codes consume large flash memory, but

256KB flash memory is enough to contain all generated codes. For instance, the **reach** function which is one of the smallest functions in DECK takes almost 14 KB of flash memory.

Since the default data type of MATLAB is a double which takes 64 bits and in spite of declaring input arguments in different data types which take less memory, the internal variables which are used by the compiler are all double and consequently the amount of RAM which is needed for computation surges up dramatically. For example to check a very simple automaton with the **reachable** function ([Gr,Xr]=reachable(G)), which contains the **reach** function as a subfunction, the total required RAM is almost 27KB (increased by 13KB) and the rest of memory for the most complex functions like **product** and **supcon** is not adequate.

2. The execution time is one the main concerns in online computation. Therefore, the generated codes must be optimized in such a way that they take the least possible time for execution in the microcontroller. To have an estimation of computation time, consider the result of computation time for a simple function like **reach** with 100 transitions and a state set S with one element in Table 4.1-1.

Table 4.1-1: Execution time for the reach function with 100 transitions

Platform and function	Execution
	time
Function in MATLAB (Intel(R) Core TM i5-	1.3 ms
6200U)	
Mex function in MATLAB(Intel(R) Core TM i5-	0.5 ms
6200U)	
C code in EFM32 microcontroller	8 ms

The execution time of MATLAB code is obtained after several runs to reach the minimum stable time. Then it is compared to a C code function (mex function) which runs in the MATLAB environment. It is clear that converting MATLAB code to the C code (mex file in MATLAB) speeds up the execution time as it is expected but the computation time for

executing a very simple function like **reach** in the microcontroller is quite high considering the scan time of 50 ms.

Examining the profiler in MATLAB using "Run and Time" shows that the **reach** function is called 4 times during supervisory computation which means that for the assumed small size TL, it takes at least 32ms (without considering overheads). Therefore, by considering other functions and the solar tracker plant size, we conclude that it is not practical to deploy these generated functions for LLP algorithm in this microcontroller.

Ultimately, because of the mentioned problems and limitations and to accomplish this project in the limited timeframe, it was decided to move the execution of LLP from the microcontroller to the PC as part of the system. This type of implementation can still reveal many features and constraints of a complete LLP implementation in an embedded system and gives us the requirements for the hardware and software to implement LLP on any type of platform.

4.2 Generating Code for Implementation of LLP in MATLAB

Following the discussion of the previous section, by compiling the MATLAB code to the C code and executing it inside the MATLAB environment, execution time is improved adequately. Thus all functions in DECK whose execution consume large time, are converted to mex functions.

Figure 4.2-1 shows the schematic diagram of the solar tracker, the microcontroller as an interface and the PC which performs supervisory calculations.



Figure 4.2-1: Schematic diagram of the solar tracker and control system

The flowchart for the implementation of LLP inside the microcontroller and PC is shown in Figure 4.2-2. Detecting events is the microcontroller's duty because it interacts with the solar tracker system and the latest status of the components is tracked by the microcontroller software. In every scan time, the newly detected events are packed in a packet and sent to the PC. The microcontroller has a communication serial port which sends and receives data from the ground station (PC)

through a wireless module which has the ability to work at the baud rate of 115200bps as maximum speed. Although the EFM32 maximum UART speed is much higher than 115200bps but the wireless module as a bottleneck of this communication system limits this capability.

After scanning events by a timer interrupt and sending them to the PC, an **instrcallback** function inside the MATLAB receives the data and stores them in the receiving packet. The callback functions in MATLAB can be triggered by the occurrence of any type of event. In this application, for receiving serial data whenever they are ready in the serial port, **instrcallback** is called and it stores data. Meanwhile, on the PC side, the plant, specification and supervisor are calculated for a predefined window size of LLP of length N_w. Then by considering the first events of the current state of the supervisor, one event is sent to the microcontroller as supervisory command.

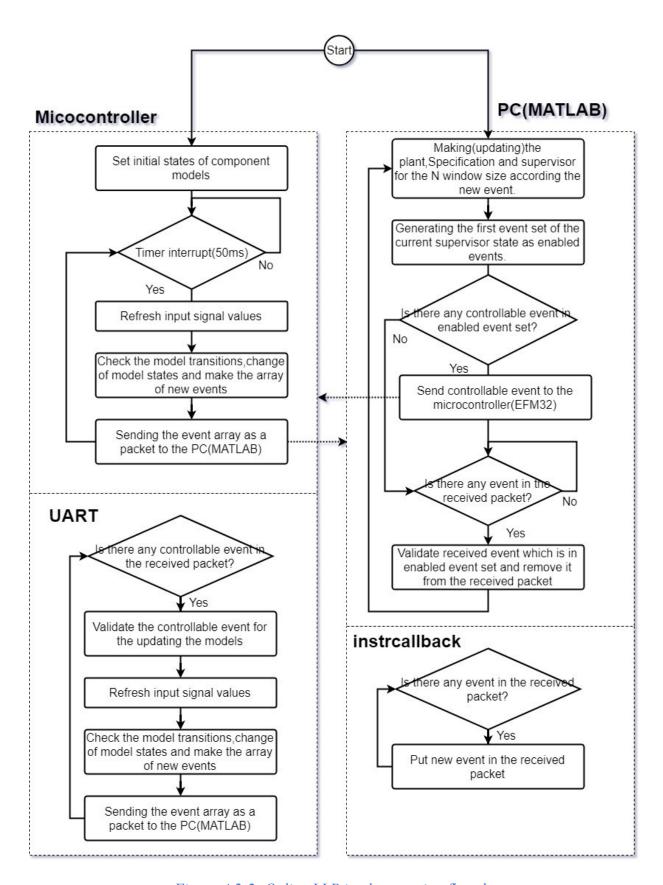


Figure 4.2-2: Online LLP implementation flowchart

4.2.1 The Minimum Size of Lookahead Window

As mentioned in Section 2.2.2, the minimum size of lookahead window to guarantee that the resulting supervisor behavior is the same as a minimally restrictive conventional depends on several factors, in particular, the length of uncontrollable strings in the plant.

After we build the plant model of solar tracker, we observe several loops consisting of uncontrollable events only. For example, among 1584 states of the plant, there is a loop of uncontrollable events (Dark_to_Dim and Dim_to_Dark) as it is depicted in Figure 4.2-3 because changes in the PV cell voltage can happen any time.

Therefore, according to the *Theorem 2.8*, $N_u(L(G))$ is infinite and therefore no minimum length for tree expansion of LLP can be determined to guarantee the validity of the supervisor.

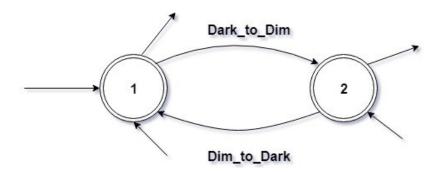


Figure 4.2-3: An uncontrollable loop in the plant

It is pointed out in Section 2.2.3 that one of the advantages of state-based supervisory in comparison to event-based is that in the state-based supervisory with finite states, the minimum window length to make LLP supervisor valid is always finite. Let us define this minimum bound by N_{min} . Hence, to find N_{min} for the solar tracker plant, assuming state-based algorithm is used, and according to Definition 2.27, N_B has to be calculated prior to LLP execution to provide the optimal supervisor.

In order to calculate N_B , first of all, the set of all marked controllable states should be extracted. The function Xmc_verify (Plant,Ec) is prepared in MATLAB to show the set of X_{mc} (Definition 2.25). After verifying the solar tracker plat, it turns out that there is no state with just controllable event in this plant. Secondly, the set of legal states (Definition 2.26) has to be investigated. It is worth noting that the generated supervisor using **supcon** in DECK, marks the supremal

sublanguage of $L_m(H) \cap L_m(G)$ which is controllable with respect to L(G) and uncontrollable events. Hence, the language of $L_m(H) \cap L_m(G)$ is the legal language which has to be examined to find N_B . However, this legal behavior should be a subautomaton of the plant in order to be used in the definition of N_B . This requires applying the procedures of [33].

In this thesis, instead we have used an exhaustive method for finding N_{min} . That is conventional supervisor and LLP supervisor (for various values expansion N_w) are compared in every single state and then if there is no difference between enabled events, it means that $N_w \geq N_{min}$. After running the code several times it was seen that for $N_w \geq 9$, no difference is observed between LLP and conventional results. Thus $N_{min} = 9$.

4.2.2 Plant Depth

The parameter N_w plays a very significant role in the LLP computation time due to the fact that for small N_w, the size of the expanded plant will be small resulting in lower LLP computation time. Therefore in the system with small time for event response, this number has to be in reasonable range. On the other hand, this number cannot be adjusted as a parameter, since it depends on system characteristics. To have a better understanding of this number, Plant Depth (PD) is defined as the lookahead window size in which the size of expanded plant model (in the state-based expansion) becomes equal to the plant model. The ratio of N_w to PD shows how much LLP computation is effective. If the ratio is near one, it means that LLP implementation value declines since the LLP supervisor almost equals to the conventional supervisor. Hence, LLP has no memory advantage and requires more computational time.

The PD size for the solar tracker plant is 11 which is so close to minimum lookahead window size $(N_{min}=9)$.

Nonblocking and safety properties are two major concerns in the context of supervisory control which have to be met. As shown in Table 3.6-1, there are 27 marked states in the plant which should be reachable and since the resulting supervisor is trim and then nonblocking, reachability of them is guaranteed. To simplify the following discussion in this thesis, we only consider the safety property and effectively mark all states.

It can be shown that if the nonblocking is no longer an issue and all states consider to be marked, then through the exhaustive method, N_{min} becomes smaller and equals to 6 which is almost half of the PD ($\frac{N_{min}}{PD} = 0.54$).

It should be noted that a better measure of the efficiency of LLP is to compare N_{min} with the depth of the product of plant and specification.

4.2.3 LLP Computation Time

Computation time is one of the most important issues in LLP because of online calculation and limited CPU resources to respond to the upcoming events. Using lookahead window size of $N_w = N_{min} = 6$, LLP computation times for a sample string of 259 events are found experimentally and shown in Table 4.2-1. For a more accurate measurement of the execution time in MATLAB, the priority of MATLAB in task manager of Windows is set to real-time priority which increases scheduling priority of the MATLAB among other tasks in Windows.

Table 4.2-1: LLP computation time

LLP computation time for Lookahead window Size N _w =6									
(MATLAB(Intel(R) Core™ i5-6200U)									
Average	Average Minimum Maximum								
139 ms	139 ms 42 ms 306 ms								

The supervisory control calculation of LLP consists of three steps. The calculation of each step can be in a number of different orders, resulting in different execution time. We have chosen an order for each step so as to minimize the execution time. The details are explained in the following.

1. Plant expansion: The sync function is used to build a subautomaton of the plant with a depth of N_w events from the current state. The computational time and required memory used by sync function depends on the order of its input automaton (even though the end result is the same). It is well-known that automaton with significant common events should be synced together and therefore be close to each other on the list of arguments of sync. Therefore, a specific function based on product is provided in MATLAB to take every two automata and the window size N_w, and make the synchronous product of them after adding

the required self-loops. By ordering every two automata according to the number of events in common (the order of automata is illustrated in Figure 4.2-4) the least computation time of this phase is achieved.

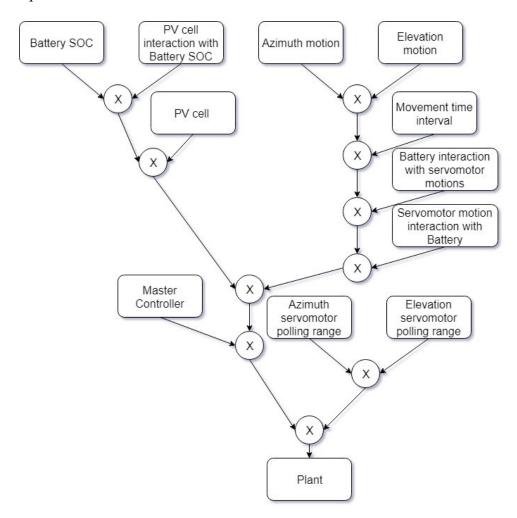


Figure 4.2-4: The order of sync operation to build plant expansion.

2. Expanding the specification: The same procedure is applied to construct the general specification according to the five specifications (Figure 4.2-5). Since the attitude in this LLP implementation is conservative because of admissible results in all cases, as it is pointed out in the third step of LLP computation in Section 2.2.2, for conservative attitude, the size of expansion is one step less than the plant expansion. Therefore, the size of expansion in this phase is always one step less than the previous phase.

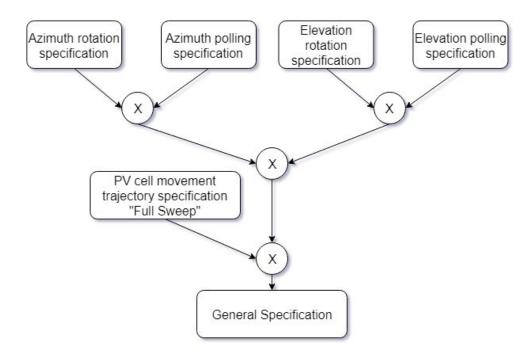


Figure 4.2-5: The order of calculation of specification

3. Constructing the supervisor: In this phase, using **supcon**, the supervisor is generated from the plant and specification and then by extracting the events of the initial state, the enabled events are determined.

It is obvious that from the second cycle after detecting an event, all component models need to be updated in order to determine the new state.

One can observe from Table 4.2-1 that the average computation time is bigger than the scan time (139ms > 50ms). Therefore even on average, the code is not fast enough to do LLP calculation between two consecutive events. To evaluate the timing behavior of the software, the computation is defined to be feasible whenever there is enough time to execute all tasks of the processor [36]. As any task has an activation time, deadline and execution time, the aim of LLP is to respond to all events detected in scan time, and the execution of LLP calculation should meet the deadlines.

Since the average LLP computation time (138ms in Table 4.2-1) is quite higher than the time between most events (Table 3.4-2) this type of scheduling is infeasible for the LLP supervisory implementation. Therefore, a new method to address scheduling issues is introduced in the next section.

4.3 Limited Lookahead Supervisory Control with Buffering

The online calculations of LLP have to be executed after every new event is generated. This takes considerable CPU time specially in the case of large expansion window (i.e. large N_w). As we saw in the previous section, the LLP computation time in the solar tracker is typically larger than the scan time (the period of polling process). Sometimes in the single scan time, more than one event may be detected. Therefore the complied C code does not run fast enough to respond to events in a timely fashion. To deal with this issue of LLP, a novel method called LLP with buffering is proposed in this section. In this method, in every LLP calculation, control commands are calculated for multiple future steps (not just the immediate next step). This is achieved by using a larger expansion window.

4.3.1 Extension of the Lookahead window size

As discussed in Sections 2.2.2 and 2.2.3, a valid supervisor has to use an expansion window of at least N_{min} events after the current state to guarantee optimality. But how about using a larger window size? Suppose the size of lookahead window is increased to $N_{min} + \Delta$ as it is illustrated in Figure 4.3-1. (This extension is different from what is outlined in [38], since they consider any string after limited lookahead window to remove the attitude effects on the supervisor.)

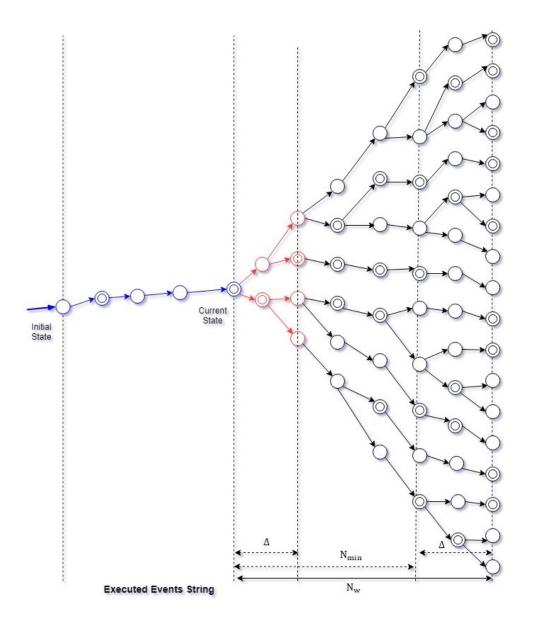


Figure 4.3-1: LLP with extended window size

It will be shown that by considering Δ steps beyond the available window size N_{min} , the calculated supervisor has the control commands of Δ steps after the current state (red zone in Figure 4.3-1). In other words, using an expansion window, enlarged by Δ events, we can calculate and "buffer" control commands for new and Δ events in the future. We will later explain how this property can be useful.

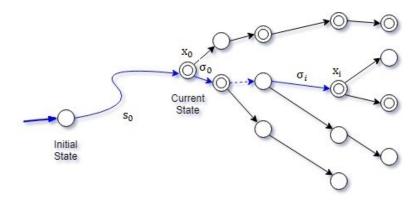


Figure 4.3-2: Tree expansion

The mentioned property can be simply derived as a corollary to the following theorem.

Theorem 4.1: [39]

If
$$s_0 \in \overline{Sup\mathcal{C}(K, L(G))}$$
, then $Sup\mathcal{C}(K, L(G))/s_0 = Sup\mathcal{C}(K/s_0, L(G)/s_0)$.

Here the supremal controllable sublanguage of K with respect to the language which is generated by G and uncontrollable events set Σ_{uc} , is shown by SupC(K, L(G)).

The theorem states that the post language of supremal controllable sublanguage of any string which is inside the closure of that language is equivalent to the supremal controllable sublanguage of post language of that string.

Therefore if a string which is executed inside the plant is allowed by the supervisor (s_0 in Figure 4.3-2), then any extension of this string inside the supervisor ($s_0\sigma_0...\sigma_i$) has solely the same properties as it is outlined in Theorem 4.1. Without loss of generality, suppose that the limited lookahead supervisor window size which gives enabled events in the state x_0 in Figure 4.3-2, is extended to $N_{\min} + \Delta$, then the result of the supervisor is valid after the execution of Δ events from x_0 (the next event σ_i is valid until $i \leq \Delta$).

In other words, as long as after the current state there is a window size with at least N_{min} events, the calculated supervisor is valid; therefore the calculated supervisor for the plant after any possible event (which is in the valid supervisor) provided that the front window size is greater than or equal to N_{min} (right-hand side of the equation in Theorem 4.1), is the same as the post language of the supervisor after those events with the window size of those events in addition to N_{min} (left-hand

side of the equation). Simply, Δ events after the current state of the calculated supervisor in all valid possible paths are kept (i.e. buffered) to be used for future events.

We saw in Section 4.2 that the time between consecutive events can be too short for LLP calculations. However often, as it is the case for the solar tracker, events are not generated regularly. Sometimes a sequence of events is generated rapidly, followed by a long gap till the next event. One can take advantage of this sporadic occurrence of events to overcome the challenge of performing LLP calculations. If we calculate and *buffer* a sequence of commands *in advance* (so that the commands at any states are always ready) and perform these calculations on a sufficiently long time (over which the events are spread), then all LLP calculations can be done on time.

To formalize the above discussion, we introduce two functions.

Def. 1 Shortest Duration Function. $T_{min}: \mathbb{N} \to \mathbb{R}$

 $T_{min}(n)$: The shortest duration of the execution of a sequence containing at most n events.

Def. 2 Longest LLP computation C_{max} : $\mathbb{N} \to \mathbb{R}$

 $C_{max}(N_w)$: The longest computational time of LLP calculations for an expansion window of length N_w .

 T_{min} depends on the properties of the plant (and its supervisor) while C_{max} depends on the computational algorithms and the computer running the algorithms.

In the following, we will see how the above two functions are determined experimentally for the solar tracker and show how LLP with buffering can be developed to meet all LLP calculation deadlines. This discussion, in turn, leads to a general procedure for designing LLP with buffering.

4.3.2 LLP with Buffering and Its Design Procedure

In Table 4.2-1, the computation time of LLP is shown for N_{min} =6 and obviously just for one step (Δ =1). To have a better understanding about LLP computation time when the window size N_{w} expands, the trajectory of a completed full sweep is considered as a sample string to take the LLP computation time and the size of lookahead window size is changed over a range ($\Delta \geq 1$). In this sequence of events, Sweep_Failure event happens because a bright direction is not found. All

trajectory and the entire specification are involved. The normalized LLP computation time in second is illustrated in Figure 4.3-3 ($N_w = N_{min} + n - 1$ and $N_{min} = 6$). The considered sequence consists of 1433 events, and in every state, the LLP computation time is in the range of maximum (red line) and minimum (blue line) time. The computation time distribution for each LLP calculation is depicted by green circles and the average time is shown by pink line. The red line is $C_{max}(N_{min} + n - 1)$. As it is expected by increasing the n, the size of expanded plant and specification grows dramatically. Although at the $N_w = 11$ (n = 6) which equals to the PD, there is no growth in the expanded plant, but the specification still expands until $N_w = 24$ (n = 19)(very slowly near the end) which causes bigger size in the constructed supervisor and consequently the computation time increases steadily. After n = 20 stable average computation time is clear in this graph. The data for $C_{max}(N_{min} + n - 1)$ is given in Table 4.3-1.

Table 4.3-1: C_{max} for n = 1 to 29

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
C_{max}	0.29	0.44	0.55	0.71	0.98	1.36	1.84	2.52	2.79	3.33	3.74	3.91	4.14	3.82	3.79
n	16	17	18	19	20	21	22	23	24	25	26	27	28	29	
C_{max}	4.37	3.44	3.63	4.26	4.18	2.13	2.18	2.11	2.08	2.07	2.05	2.06	2.08	2.11	

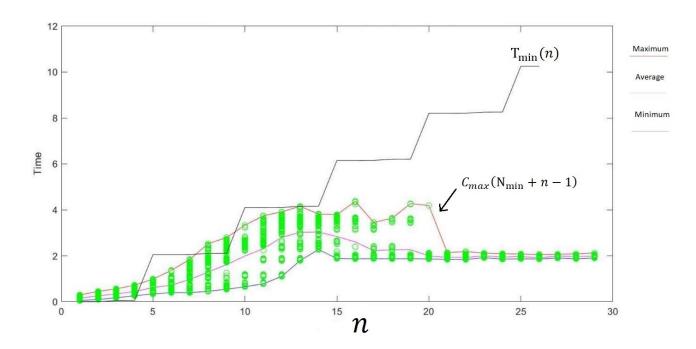


Figure 4.3-3: Normalized LLP computation time and occurrence time of the events vs. the size of LLP extension

The black line which is depicted in the above graph is $T_{\min}(n)$ obtained from plant under supervision of conventional supervisor and based on the same sequence studied for Table 3.4-2. As can be seen in Figure 4.3-3, the addition of every 5 events adds 2 seconds to the execution time. This gap creates a space for precalculating control commands using LLP. The data for $T_{\min}(n)$ is given in Table 4.3-2.

Table 4.3-2: T_{min} for n=1 to 26

n	1	2	3	4	5	6	7	8	9	10	11	12	13
$T_{min}(n)$	0	0.002	0.05	0.052	2.051	2.051	2.05	2.10	2.10	4.10	4.10	4.10	4.15
n	14	15	16		18	19	20	21		23	24		26
$T_{min}(n)$	4.15	6.15	6.15	6.15	6.20	6.20	8.20	8.20	8.20	8.25	8.25	10.25	10.25

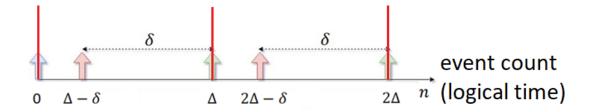


Figure 4.3-4: Timeline of LLP computation with buffering

In the proposed LLP with buffering, the LLP calculations are done in advance, i.e., the control commands are precalculated before they are needed. The timeline is shown in Figure 4.3-4. Note that the horizontal axis is the event count (logical time). The timeline is based on the following:

- 1. At the starting point (n=0), before sending an initial command to initiate the system (blue arrow in above figure), the LLP should have been computed for $N_w = N_{min} + \Delta 1$ to have enough responses for the upcoming Δ events (i.e, $0 \le n < \Delta$) and then the first command to start the system can be issued.
- 2. After $\Delta \delta$ events (pink arrow), the LLP computation begins for the $N_w = N_{min} + \delta + \Delta 1$. This will generate control commands for $\Delta \delta \le n < 2\Delta$. This way the control commands for $\Delta \le n < 2\Delta$ are precalculated. These require

$$C_{max}(N_{min} + \delta + \Delta - 1) \le T_{min}(\delta)$$
 and $\delta < \Delta$

3. At $n = \Delta$ (green arrow), the supervisor calculated in step 2 has to be updated based on the δ events occurred from $n = \Delta - \delta$ to $n = \Delta$ and then it becomes the supervisor from $n = \Delta$ to $n = 2\Delta$. Obviously, after $\Delta - \delta$, at $n = 2\Delta - \delta$, step two has to be repeated, followed by step 3 and so on.

Now as an example, let us consider Figure 4.3-3 of the solar tracker. We have to choose parameter Δ and δ . If $\delta = 10$, then $T_{\min}(\delta)$ will be larger than any $C_{\max}(N_{\min} + \delta + \Delta - 1)$. Thus Δ can be any number larger than 10. To leave some margin for error, we choose $\Delta = 15$ (which makes $\Delta - \delta = 5$). Thus the LLP window will be $N_w = N_{\min} + \delta + \Delta - 1 = 6 + 10 + 15 - 1 = 30$.

Next we will discuss the software implementation of LLP with buffering.

4.3.3 Generating code for LLP with Buffering

The flowchart for LLP with buffering is similar to that of the Figure 4.2-2. The section of flowchart related to the microcontroller remains the same and the right side of the flowchart is modified in order to respond to the received events by MATLAB at the highest priority. To accomplish this task, the part of the code which responds to received events has to be moved to the callback function which guarantees the readiness of responses in the case of any event occurrence while heavy LLP computation is being performed (The flowchart is depicted in Figure 4.3-5; the microcontroller part remains the same as Figure 4.2-2 and is not shown for brevity). Since the number of events plays a critical role in activating different tasks, the delta variable keeps the number of events in the buffer. Thus, at the starting point, delta equals Δ and after the occurrence of $\Delta - \delta$ events, it reaches δ that is the time to activate LLP computation. Therefore, at delta= δ , the LLP_execution bit is set to true and a copy of all models are kept till in the main function LLP_execution is checked and then the prepared copies are used to compute the supervisor. Meanwhile, the received events from the microcontroller are responded to in "instrcallback" function and are stored to be used at the time of delta=0.

Before delta approaches zero, the supervisor is constructed and a copy of this supervisor is sent to the callback function and at the time of delta=0, the supervisor is updated according to the recorded events very quickly and then it is ready for the next Δ events. At the end of the callback function if there is any controllable event among enabled events, it is sent to the microcontroller. The experimental results are reported in the next chapter.

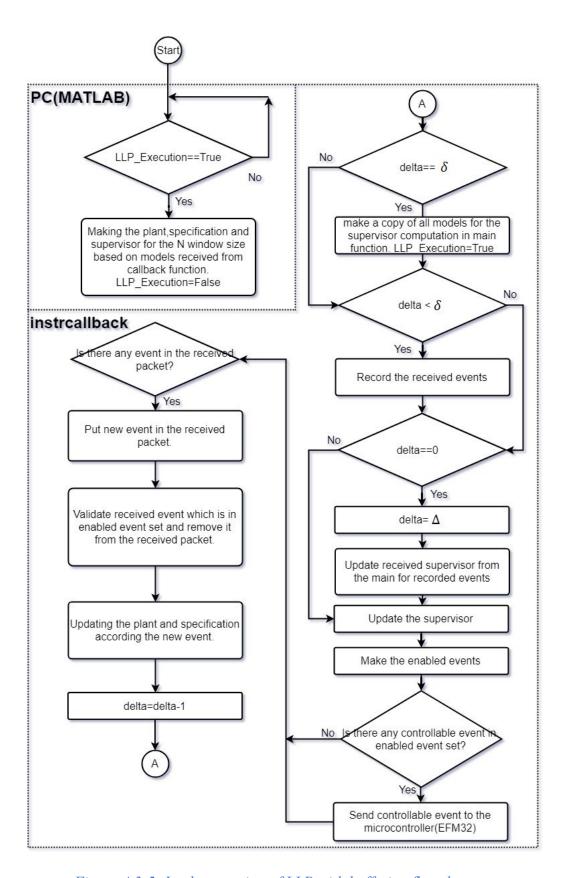


Figure 4.3-5: Implementation of LLP with buffering flowchart

Chapter 5

Experimental Results

In this section we will discuss the experimental results of implementation of LLP with buffering on the solar tracker. First we review the setup and the tests conducted. Next we will explore the effect of factors that were not considered in the theoretical analysis of Section 4.3. Finally, we will compare the test results of LLP with Buffering with those of the experiences of Chapter 3 which was designed offline and implemented on the microcontroller. This setup under the supervision of Chapter 3 is used as the benchmark for evaluating LLP with buffering.

We implemented the flowchart of the previous chapter in the solar tracker system and performed several tests from various initial positions with respect to the light source. We observe that the LLP supervisor with buffering parameters $\delta = 10$ and $\Delta = 15$ successfully controlled the system, confirming the applicability of the proposed method.

To better understand the interactions between the software codes in the microcontroller and MATLAB, several sample points were added to track the code execution flow. Because the delta variable changes in the callback function and at delta= δ , the LLP_execution is set to true, but the LLP calculation is done inside the main function, in some cases in which two successive events are received by the callback function, LLP computation time starts after $\Delta - \delta$ has occurred (Figure 4.3-4). The actual N_w and computation time in Table 5-1 indicate that at most just one extra event can occur from the time which is set for the start off LLP computation. Moreover, the average of 29.7 for window size shows that most of the time LLP calculation starts as shown in Figure 4.3-4.

Table 5-1: LLP computation time and window size

	LLP computation time in seconds	LLP window size
	(MATLAB, Intel(R) Core TM i5-6200U)	(N_w)
Maximum	2.59	30
Average	2.29	29.7
Minimum	2.03	29

Next let us explore the effects of the factors which were not considered in the previous section.

Callback Functions. Consider the timeline in Figure 5-1 in which the duration of LLP computation is depicted by two-side yellow arrow. The duration of LLP computation in Table 5-1 which contains callback function execution time shows that as expected from Figure 4.3-3, the average LLP computation time for $N_w \ge 29$ is around 2.29 seconds (Since the computation time in Figure 4.3-3 does not depend on sending and receing events from the microcontroller, the computation time in Figure 4.3-3 for $n = \Delta + \delta = 25$ are slightly smaller than the computation time in Table 5-1 in which **instrcallback** function interrupts LLP computation several times). Thus the callback function does not have any significant effect on execution time.

Another point which is observed from the timeline is that in spite of calling **instrcallback** function during the LLP computation, the computation finishes well before the deadline when delta is zero. Depending on the times **instrcallback** function interrupts this computation, the end of computation varies between delta=8 for the shorter interruption and delta=3 for longer interruption.

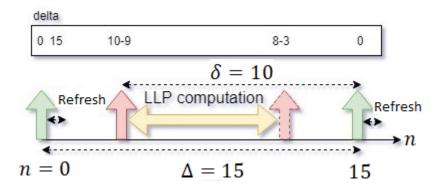


Figure 5-1: LLP implemented timeline

Supervisor Refreshing. As can be seen in Figure 5-1, right after when the delta is zero (n=15), the LLP supervisor that was calculated must be initialized to take over control over the window of n=16 to n=30. We refer to this initialization time as the "refresh time". This refresh time should be quite small in order to avoid any interference for upcoming events. The average refresh time is 4 ms, with a maximum of 10 ms which is negligible. Specially, using Figure 4.3-3 with $\delta=10$ and $\Delta=15$, we see that

$$C_{max}(N_{min} + \delta + \Delta - 1) = C_{max}(N_{min} + 24) \approx 2.2 \text{ sec.}$$

$$T_{min}(\delta) = T_{min}(10) \approx 4.1 \text{ sec.}$$

This creates a margin of 4.1-2.2=1.9 sec. which is much more than 10ms refresh time.

Communication Delay. Now we compare the timelines of events in both LLP (Chapter 4) and conventional (Chapter 3) implementations. In the conventional system, the events are read and processed inside the microcontroller. On the other hand, in the LLP implementation, although uncontrollable events are generated and stamped inside the microcontroller, controllable events are sent from the supervisor in MATLAB to the microcontroller and then they are sent back to the MATLAB to be accepted as controllable events. Consequently, an unwanted communication delay is imposed on the system in LLP supervision. In fact, the communication delay over a window of $\Delta = 15$ events is around 300 ms which is much smaller than the margin of 1.9s mentioned in the previous paragraph.

Comparison with Conventional Supervisor. The plant under the supervision of the standard supervisor, designed offline (Chapter 3) is our benchmark and reference for evaluating LLP

supervision with buffering. We note that any two executions of the two implementations may slightly differ from one another since some events such as those of PV cell and battery may occur at slightly different times. To compare the two supervisors, we have decided to use the duration of event sequences as a function of the length of the sequences (Figure 5-2). The execution times for the conventional system (the pink line) are slightly less than those of system supervised by LLP (the green line). The difference is minor and can be attributed mainly to the communication delays.

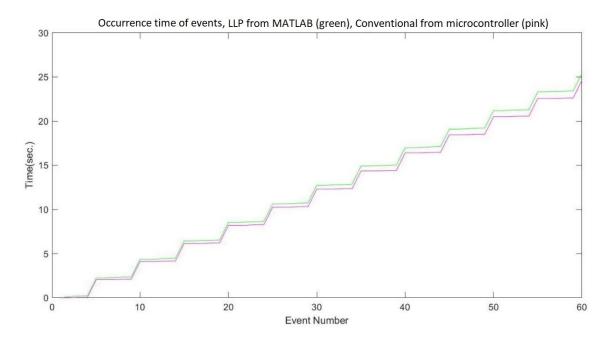


Figure 5-2: Occurrence time of events in LLP and conventional implementation

Chapter 6

Conclusion

6.1 Summary

In this thesis, the implementation issues of LLP supervisors are studied. To address the issue of computational delay in LLP supervision, a novel technique is proposed in which supervisory control commands are calculated in advance (and online) for a window of events in the future and buffered. When the window starts, the commands would be ready after each event. This eliminates the delay due to online calculations, and reduces the delay in responding to new events to levels close to those of conventional supervisors (designed "offline").

In an effort to assess the proposed methodology and better understand the implementation issues of SCT, a two degree-of-freedom solar tracker with two servo motors is selected as the plant. Previously, a conventional supervisor had been designed for this solar tracker to guide the tracker and perform a sweep to find a sufficiently bright direction to charge the battery and other parts of the system (from its Photo Voltaic cell). In this thesis, the conventional supervisor was improved. Next the LLP with buffering was implemented. Several experimental results confirmed that the plant under the supervision of LLP supervisor with buffering can match the behavior of the plant under the supervision of conventional supervisor.

To compare the offline and online implementations, firstly, memory requirement in both cases should be examined. The conventional supervisor is stored in the memory using a **struct** format. Thus, the minimum required flash memory which IDE dedicates for storing the full sweep supervisor is:

Memory Size= (Number of states x 6) + (Number of transitions x 4) +10

$$= (2061 \times 6) + (9527 \times 4) + 10 = 50,484 \text{ bytes}.$$

Each element of **struct** is composed of a variable "len" and a two dimensional array. All variables in the format of an unsigned integer each requiring 2 bytes. Therefore, for any state 2 bytes for **len** have to be allocated, but the way IDE stores this **struct** has an overhead which adds 4 other bytes to each state. Furthermore, an array starts from 0 but the supervisor states start from 1 in TL, and to keep the same number a null element is added to **struct** array which takes 10 bytes. There are other methods (e.g. memory safe in [40]) for memory management but they do not have any specific advantage compared with the State Transition Table.

The amount of memory for code in LLP implementation is different from the conventional but it is negligible and therefore, since there is no need for allocation of specific amount of flash memory to store supervisor, it has a significant advantage over conventional implementation. One can argue that the required memory for conventional supervisor compared to the capacity of the flash memory in this microcontroller (256 Kbyte) is enough; nevertheless, for larger systems which have more components, much larger memory will be needed. For example, for a system with 100,000 states and 1,000,000 transitions, unsigned double integer has to be used to store numbers and then the minimum required memory is more than 8.7 Mbytes while the maximum flash memory of EFM32 series is 2 Mbytes.

6.2 Future Work

To realize an efficient implementation of LLP on a microcontroller (so as to have a more realistic comparison of conventional and LLP implementations), a fast and customized code for LLP computation for the microcontroller (as opposed to code generated from MATLAB) should be prepared in a way to decrease the computation time. Then after optimizing the computation time (in comparison to the scan time), the window size, N_w , can be chosen to be lower than PD and the LLP implementation will be beneficial compared with the conventional supervisor.

In this thesis, the sequence duration function T_{min} (Chapter 4) was obtained experimentally. It would be interesting to find a formal procedure to determine this function using a timed model of the plant under supervision.

Since in SCT, the uncontrollable events cannot be prevented from occurring, at any state, if an uncontrollable event in the system occurs, the supervisor must also follow that transition. Suppose at some state, the LLP calculation starts and before the enabled events set becomes ready, an uncontrollable event is detected. In this case, the LLP computation can be abandoned and the information about the current plant state can be updated. The LLP then should start its calculations from this new state. This enhances its performance. The result of an experiment with use of this method in solar tracker system shows that almost 20% of LLP computations can be avoided; this could considerably boost the performance of LLP.

Moreover, some other variations of LLP which are mentioned in Section 1.4.3 such as VLP could be equipped with buffering to make calculations more efficient in terms of computation time.

Even though the choice problem does not exist in the solar tracker, a rigorous solution must be found to address cases in which more than one controllable event can be enabled in one state.

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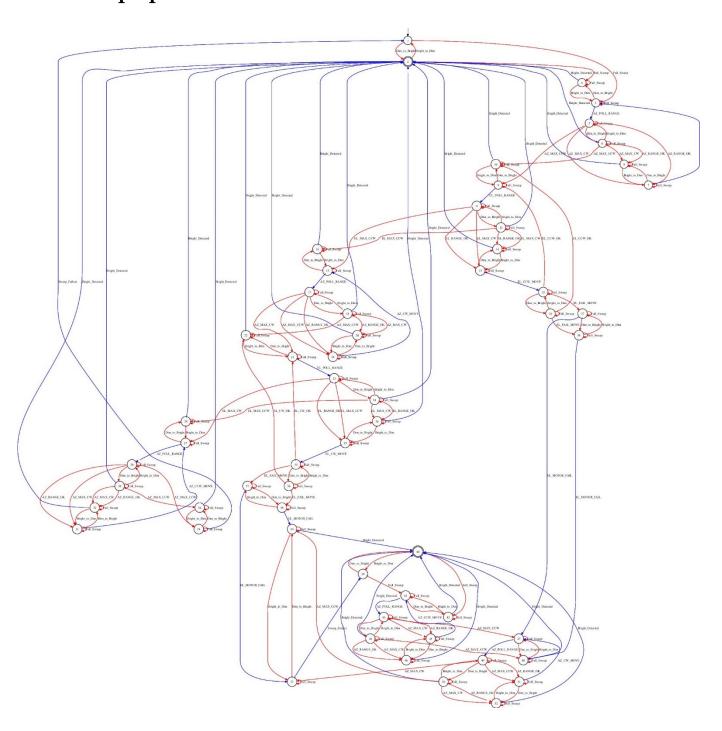
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Appendix A

Full Sweep Specification Model



Appendix B

List of customized C language files

Table appendix B: List of customized C language files

Filename	Function	Changes	
thesis_adc.c	Analog to Digital Converting of input	-Adding moving average	
	signals		
thesis_dma.c	Direct Memory Access	-	
thesis_events.c	Generating uncontrollable events	-Events are generated	
	according to the input signals and sending	inside stored models.	
	controllable events as out signals	-Time stamping	
thesis_i2c.c	Reading the SOC and voltage of the battery	-	
thesis_pwm.c	Sending moving commands to the	-	
	servomotors		
thesis_supervisor_new	Finding next state of offline supervisor	-Using of generated array	
		events (offline mode only)	
timer.c	To call functions in specific timer	-All functions are executed	
	interrupts	in this routine.	
thesis_uart.c	Sending and receiving data between	-Some new packets are	
	microcontroller and PC	added	
		-Adding functions in the	
		time of receiving	
		controllable events	
app.c	Main function	-No task is defined	

Appendix C

Communication between the microcontroller and the PC

To send and receive data between the microcontroller and the PC, the data are collected in some packets in the following frame:

!XX@YYYYY&

Each packet has ten ASCII characters inside. The ! and & character denote for initial and end of the packets respectively. XX as a header identifies the data type and value of data is in the format of YYYY and they are separated by @ character. List of all packets which are used in this thesis is shown in Table Appendix C-0-1. According to this table, each packet is sent from microcontroller to the PC or in reverse direction and they are used in each mode of offline or online or both. For packets which have to deliver a specific value (e.g. voltage, current or a number), the second part of the packet which consists of four characters is used but for other packets which convey an event (e.g. Full_Sweep), just the header is set and recognized in the packets.

Table Appendix C-0-1: List of packets in communication between the microcontroller and the PC.

Header	Packet Name	Sender	Used in Mode
00	Start events	PC	Online
01	Elevation servomotor current	Microcontroller	Offline/Online
02	Azimuth servomotor current	Microcontroller	Offline/Online
03	PV cell voltage	Microcontroller	Offline/Online
04	System Time	Microcontroller	Offline/Online
05	Battery voltage	Microcontroller	Offline/Online
06	Battery SOC	Microcontroller	Offline/Online
07	Offline supervisor state number	Microcontroller	Offline
08	Event number	Microcontroller	Online
15	Full_Sweep	PC	Offline/Online
20	Bright_Detected	Microcontroller	Offline
21	Sweep_Failure	Microcontroller	Offline
22	EL_MOTOR_FAIL	Microcontroller	Offline
23	AZ_POLL_RANGE	PC	Online
24	EL_POLL_RANGE	PC	Online
25	AZ_CW_MOVE	PC	Online
26	AZ_CCW_MOVE	PC	Online
27	EL_CW_MOVE	PC	Online
28	EL_CCW_MOVE	PC	Online
29	Bright_Detected	PC	Online
30	Sweep_Failure	PC	Online
31	EL_MOTOR_FAIL	PC	Online
32	ONLINE	PC	Online

Appendix D

MATLAB Code

Online Supervisory control computation:

```
1 % Online supervisory control of 2 Degrees Solar Tracker with buffering
2 % Ehsan Ghaheri, June 2018
3 % Number of offline supervisor states :2061
4 % Number of offline supervisor transitions:9527
5 %
7 clear;
8 %If a variable with the same name as the global variable already exists in the current workspace,
9 %MATLAB issues a warning and changes the value of that variable and its scope to match the global variable.
10 clear global variable;
11 global Online Nw delta delta_N small_delta;
12 delta=0;
13 Auto=input('Auto(For predefined N)1=Yes 0=No ?\n');
14 if Auto==1
15 Online=1:
     all_marked=1;
17
     delta N=15
     small_delta=10
18
19 else
20
     Online=input('Online(LLP Computation)1=Yes 0=No?\n');
21
22
        all_marked=input('All states marked? 1=Yes 0=No\n');
23
        delta_N=input('Big Delta?\n');
24
        Nw=delta_N+5;%It has been tested as depth of lookahead window with plenty of runs.
25
        small_delta=input('Small Delta N?\n');
26
     else
27
       all_marked=0;
28 end
29 end
30 global Serial;
31 Serial=input('Serial Port Available? 1=Yes 0=No\n');
33 % Plant Components
34 %
35 % Battery State of Charge
36
37 \ Safe\_to\_Full = 601;
38 Full to Safe = 602;
39 Crit_to_Safe = 603;
40 Safe_to_Crit = 604;
41 if all_marked==1
42 Bat_SOC_Marked_States =(1:3);
43 else
44 Bat_SOC_Marked_States =[2];
45 end
46 Bat_SOC_STT = [1 Safe_to_Full 2; 2 Full_to_Safe 1; 3 Crit_to_Safe 1; 1 Safe_to_Crit 3];
47 Bat_SOC = automaton(3, Bat_SOC_STT, Bat_SOC_Marked_States);
49 %
50 % PV Cell Illumination
51 %
52
53 Dark_to_Dim = 301;
54 Dim_to_Bright = 302;
55 Dim_to_Dark = 303;
56 Bright_to_Dim = 304;
57 if all_marked==1
58 PV_Marked_States =(1:3);
60 PV Marked States = [3];
```

```
61 end
62 PV STT = [1 Dark to Dim 2; 2 Dim to Bright 3; 3 Bright to Dim 2; 2 Dim to Dark 1];
63 PV_Cell = automaton(3, PV_STT, PV_Marked_States);
64
65 %
66 % Motor Motion
67 %
68
69 % Azimuth
70 AZ CCW OK = 401;
71 AZ CW OK = 402;
72 AZ CCW MOVE = 403;
73 \text{ AZ\_CW\_MOVE} = 404;
74 if all marked==1
75 AZ Motor_Motion_Marked_States =(1:3);
76 else
77 AZ Motor Motion Marked States =[1];
78 end
79 AZ Motor Motion STT = [1 AZ CW_MOVE 2; 2 AZ CW_OK 1; 1 AZ CCW MOVE 3; 3 AZ CCW OK 1];
80 AZ_Motor_Motion = automaton(3, AZ_Motor_Motion_STT, AZ_Motor_Motion_Marked_States);
82 % Elevation
83 EL CCW OK = 451;
84 EL_CW_OK = 452;
85 EL_CCW_MOVE = 453;
86 EL_CW_MOVE = 454;
87 EL FAIL MOVE = 455;
88
89 if all marked==1
90 EL Motor Motion Marked States =[1:4];
91 else
92 EL Motor Motion Marked States =[1,4];
93 end
94 EL_Motor_Motion_STT = [1 EL_CW_MOVE 2 ; 2 EL_CW_OK 1 ; 1 EL_CCW_MOVE 3 ; 3 EL_CCW_OK 1; 2 EL_FAIL MOVE 4; 3 🗸
EL FAIL MOVE 4];
95 EL Motor Motion = automaton(4, EL Motor Motion STT, EL Motor Motion Marked States);
97 % Timing delay for 2 seconds for each servomotors movement.
98 %
99 wait 2sec=480;
100 Wait_Marked_States=[1:3];
101 Wait STT=[1 AZ CCW MOVE 2;1 AZ CW MOVE 2;1 EL CCW MOVE 2;1 EL CW MOVE 2;2 wait 2sec 3 ;3 AZ CW OK 1;3 🗸
AZ CCW OK 1;3 EL CW OK 1;3 EL CCW OK 1];
102 Wait=automaton(3,Wait_STT,Wait_Marked_States);
103
104 %
105 % Motor Range
106 %
107
108 % Azimuth
109 \text{ AZ MAX CW} = 410:
110 AZ MAX CCW = 411;
111 AZ RANGE OK = 412;
112 AZ POLL RANGE = 425;
113 if all_marked==1
114 AZ_Motor_Range_Marked_States = (1:4);
115 else
116 AZ_Motor_Range_Marked_States = [1,2,3];
117 end
118 AZ Motor Range STT = [1 AZ POLL RANGE 4; 4 AZ RANGE OK 1; 4 AZ MAX CW 2; 4 AZ MAX CCW 3; 3 AZ POLL RANGE 4; 2 🗸
```

```
AZ POLL RANGE 4];
119 AZ Motor Range = automaton(4, AZ Motor Range STT, AZ Motor Range Marked States);
121 % Elevation
122 EL MAX CW = 460;
123 EL MAX CCW = 461;
124 EL RANGE OK = 462;
125 EL_POLL_RANGE = 435;
126 if all marked==1
127 EL_Motor_Range_Marked_States =(1:4);%
128 else
129 EL Motor Range Marked States = [1,2,3];
130 end
131 EL Motor Range STT = [1 EL POLL RANGE 4: 4 EL RANGE OK 1: 4 EL MAX CW 2: 4 EL MAX CCW 3: 3 EL POLL RANGE 4: 2 🗸
EL POLL RANGE 4];
132 EL Motor Range = automaton(4, EL Motor Range STT, EL Motor Range Marked States);
133
134 %
135 % Master Controller
136 %
137
138 Full_Sweep = 504;
139 Bright_Detected = 510;
140 Sweep_Failure = 511;
141 EL MOTOR FAIL = 512;
142
143 MC Marked States = [1];
144 MC STT = [1 Full Sweep 1; 1 Bright Detected 1; 1 Sweep Failure 1; 1 EL MOTOR FAIL 1];
145 MC = automaton(1, MC STT, MC Marked States);
146
147 %
148 % Interactions
149 %
150
151 %The Motors cannot move when the battery state of charge is Critical.
152 if all marked==1
153 Mot Motion f Bat SOC Marked States =(1:4);
154 else
155 Mot Motion f Bat SOC Marked States =[1, 2];
157 Mot Motion f Bat SOC STT = [Bat SOC STT; 2 AZ CCW OK 2; 2 AZ CW OK 2; 2 AZ CW MOVE 2; 2 AZ CCW MOVE 2; 3 🗸
AZ CCW OK 3; 3 AZ CW OK 3; 1 AZ CCW OK 1; 1 AZ CW OK 1; 1 AZ CW MOVE 1; 1 AZ CCW MOVE 1];
158 Mot Motion f Bat = automaton(4, Mot Motion f Bat SOC STT, Mot Motion f Bat SOC Marked States);
160 % The Battery State of Charge varies as a function of the brightness on the
161 % PV Cell.
162
163 % Battery State of charge as a function of Solar Cell Brightness
164 if all marked==1
Bat SOC f PV Marked States =(1:3);
166 else
167 Bat SOC f PV Marked States = [3];
168 end
169 Bat SOC f PV STT = [PV STT; 1 Safe to Crit 1; 1 Full to Safe 1; 2 Safe to Crit 2; 2 Full to Safe 2; 2 Crit to Safe 2; 2 Safe to Full 🗸
2; 3 Safe to Crit 3; 3 Full to Safe 3; 3 Crit to Safe 3; 3 Safe to Full 3];
170 Bat_SOC_f_PV = automaton(3, Bat_SOC_f_PV_STT, Bat_SOC_f_PV_Marked_States);
172 % The Battery State of Charge varies as a function of the motor state (both
173 % EL and AZ)
174 % Battery State of charge as a function of Solar Cell Brightness.
```

```
175
176 [Bat SOC f Motor Motions, states] = sync(AZ Motor Motion, EL Motor Motion);
177 for i=1:size(states,1)
178
179 if (states(i,1) == 1 \&\& states(i,2) == 1)
      Bat\_SOC\_f\_Motor\_Motions.TL = [Bat\_SOC\_f\_Motor\_Motions.TL; \ i \ Safe\_to\_Full \ i];
180
181
      Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Full to Safe i];
      Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Crit to Safe i];
183
      Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Safe to Crit i];
184
     elseif (states(i,1) == 1 && states(i,2) == 4)
185
186
     Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Safe to Full i];
187
     Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Full to Safe i];
     Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Crit to Safe i];
     Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Safe to Crit i];
189
190
     else
191
      Bat SOC f Motor Motions.TL=[Bat SOC f Motor Motions.TL; i Safe to Crit i];
192
      Bat_SOC_f_Motor_Motions.TL=[Bat_SOC_f_Motor_Motions.TL; i Full_to_Safe i];
193
194 end
195 end
196
197 % Specifications:
198
199 % Motor Motion and Motor Range need to be synchronized - This is performed
200 % as a Specification as we are imposing a set of rules on how the system
201 % behaves.
202
203 % The motors cannot move when CCW when in the Max CCW state.
204 % The motors cannot move CW when in the Max CW state.
205 % When the motors are "In Range" there is no limit on the motion
206
207 % Azimuth
208 if all marked==1
209 Spec_AZ_M Motion_f_M_Range_MarkedStates =(1:4); %In the previous ver. it was [1,2,3]
210 else
211 Spec_AZ_M_Motion_f_M_Range_MarkedStates =[1,2,3];
212 end
213 Spec AZ M Motion f M Range STT = [AZ Motor Range STT; 1 AZ CW MOVE 1; 1 AZ CCW MOVE 1; 2 AZ CCW MOVE 2; 3 🗸
AZ_CW_MOVE 3];
214 Spec AZ M Motion f M Range = automaton(4, Spec AZ M Motion f M Range STT, <
Spec AZ M Motion f M Range MarkedStates);
215
216 E_Not_In_AZ_M_Motion_f_M_Range_Spec = [Dark_to_Dim, Dim_to_Dark, Bright_to_Dim, Dim_to_Bright, Safe_to_Full,
Full to Safe, Crit to Safe, Safe to Crit, Full Sweep, Bright Detected, Sweep Failure, EL MOTOR FAIL, AZ CCW OK, AZ CW OK,
EL POLL RANGE, EL RANGE OK, EL MAX CW, EL MAX CCW, EL FAIL MOVE, EL CW MOVE, EL CCW MOVE, EL CW OK, 🗸
EL_CCW_OK,wait_2sec];
217
218 global Spec AZ M Motion f M Range SelfLooped Spec AZ M Motion f M Range SelfLooped tmp;
219 Spec AZ M Motion f M Range SelfLooped = selfloop(Spec AZ M Motion f M Range,
E Not In AZ M Motion f M Range Spec);
220
221 % Elevation
222 if all marked==1
223 Spec EL M Motion f M Range MarkedStates =(1:4);
224 else
225 Spec EL M Motion f M Range MarkedStates =[1,2,3];
227 Spec EL M Motion f M Range STT = [EL Motor Range STT; 1 EL CW MOVE 1; 1 EL CCW MOVE 1; 2 EL CCW MOVE 2; 3 🗸
EL CW MOVE 3];
```

```
228 Spec EL M Motion f M Range = automaton(4, Spec EL M Motion f M Range STT, 🗸
Spec\_EL\_M\_Motion\_f\_M\_Range\_MarkedStates);
229
230 E_Not_In_EL_M_Motion_f_M_Range_Spec = [Dark_to_Dim, Dim_to_Dark, Bright_to_Dim, Dim_to_Bright, Safe_to_Full, Full_to_Safe,
Crit to Safe, Safe to Crit, Full Sweep, Bright Detected, Sweep Failure, EL MOTOR FAIL, EL FAIL MOVE, AZ CCW OK, AZ CW OK,
AZ CW MOVE, AZ CCW MOVE, AZ POLL RANGE, AZ RANGE OK, AZ MAX CW, AZ MAX CCW, EL CCW OK, EL CW OK, wait 2sec];
232 global Spec EL M Motion f M Range SelfLooped Spec EL M Motion f M Range SelfLooped tmp;
233 Spec EL M Motion f M Range SelfLooped = selfloop(Spec EL M Motion f M Range, 🗸
E Not In EL M Motion f M Range Spec);
235 % Motor Range as a function of Motor Motion
236 % When the Motors are Turning CW, it can generate the "AZ CW OK" signal, all other signals are suppressed. (Cannot add - will
make it non-deterministic)
237 % When the Motors are Turning CCW, it can generate the "AZ CCW OK" signal, all other signals are suppressed. (Cannot add - 🗸
will make it non-deterministic)
238 % When the Motors are Idle, it can generate the AZ MAX CCW and AZ MAX CW signals
239
240
241 % Azimuth
242 if all marked==1
243 Spec_AZ_M_Range_f_M_Motion_MarkedStates =(1:3); %In the previous ver. it was [1]
245 Spec AZ M Range f M Motion MarkedStates =[1];
247 Spec AZ M Range f M Motion MarkedStates STT = [AZ Motor Motion STT; 1 AZ MAX CW 1; 1 AZ MAX CCW 1; 1 🗸
AZ RANGE OK 1; 1 AZ POLL RANGE 1];
248 Spec AZ M Range f M Motion = automaton(3, Spec AZ M Range f M Motion MarkedStates STT, 🗸
Spec AZ M Range f M Motion MarkedStates);
249
250 E Not In AZ M Range f M Motion Spec = [Dark to Dim, Dim to Dark, Bright to Dim, Dim to Bright, Safe to Full,
Full to Safe, Crit to Safe, Safe to Crit, Full Sweep, Bright Detected, Sweep Failure, EL MOTOR FAIL, EL CW MOVE, EL CCW MOVE,
EL CW OK, EL CCW OK, EL FAIL MOVE, EL POLL RANGE, EL RANGE OK, EL MAX CW, EL MAX CCW, wait 2sec];
252 global Spec AZ M Range f M Motion SelfLooped Spec AZ M Range f M Motion SelfLooped tmp;
253 Spec AZ M Range f M Motion SelfLooped = selfloop(Spec AZ M Range f M Motion,
E Not In AZ M Range f M Motion Spec);
254
255 % Elevation
256 if all marked==1
257 Spec EL M Range f M Motion MarkedStates = (1:4); %In the previous ver. it was [1,4]
258 else
259 Spec_EL_M_Range_f_M_Motion_MarkedStates =[1,4];
260 end
261 Spec EL M Range f M Motion MarkedStates STT = [EL Motor Motion STT; 1 EL MAX CW 1; 1 EL MAX CCW 1; 1 🗸
EL RANGE OK 1; 1 EL POLL RANGE 1];
262 Spec EL M Range f M Motion = automaton(4, Spec EL M Range f M Motion MarkedStates STT, 🗸
Spec EL M Range f M Motion MarkedStates);
263
264 E Not In EL M Range f M Motion Spec = [Dark to Dim, Dim to Dark, Bright to Dim, Dim to Bright, Safe to Full, Full to Safe, 🗸
Crit to Safe, Safe to Crit, Full Sweep, Bright Detected, Sweep Failure, EL MOTOR FAIL, AZ CCW OK, AZ CW OK, AZ CW MOVE, 🗸
AZ CCW MOVE, AZ POLL RANGE, AZ RANGE OK, AZ MAX CW, AZ MAX CCW, wait 2sec];
265
266 global Spec EL M Range f M Motion SelfLooped Spec EL M Range f M Motion SelfLooped tmp;
267 Spec EL M Range f M Motion SelfLooped = selfloop(Spec EL M Range f M Motion,
E Not In EL M Range f M Motion Spec);
268
269 % The final spec defines the behaviour of the system should take when a
270 % Sweep command is received.
271
```

```
272 Spec Total States = 58;
273 if all marked==1
274 Sweep Spec MarkedStates =(1:58);
275 else
276 Sweep Spec MarkedStates =[2,40];
277 end
278 Sweep Spec STT = [1 Dim to Bright 2; 2 Bright to Dim 1; 1 Full Sweep 3; 2 Full Sweep 4; 4 Bright Detected 2; 3 🗸
Dim to Bright 4; 4 Bright to Dim 3; 3 AZ POLL RANGE 5; 5 Dim to Bright 6; 6 Bright to Dim 5; 5 AZ RANGE OK 7; 5 🗸
AZ MAX CW 7; 7 AZ CCW MOVE 3; 7 Dim to Bright 8; 8 Bright to Dim 7; 5 AZ MAX CCW 9; 9 Dim to Bright 10; 10 🗸
Bright to Dim 9; 9 EL POLL RANGE 11; 11 Dim to Bright 12; 12 Bright to Dim 11; 11 EL RANGE OK 13; 11 EL MAX CW 13; 13 🗸
Dim to Bright 14; 13 EL CCW MOVE 35; 14 Bright to Dim 13; 11 EL MAX CCW 15; 15 Dim to Bright 16; 16 Bright to Dim 15; 🗸
15 AZ_POLL_RANGE 17; 17 Dim_to_Bright 18; 18 Bright_to_Dim 17; 17 AZ_RANGE_OK 19; 17 AZ_MAX_CCW 19; 19 Dim_to_Bright 🗸
20 ; 19 AZ CW MOVE 15 ; 20 Bright to Dim 19 ; 17 AZ MAX CW 21 ; 21 Dim to Bright 22 ; 22 Bright to Dim 21 ; 21 🗸
EL POLL RANGE 23 ; 23 Dim to Bright 24 ; 24 Bright to Dim 23 ; 23 EL RANGE OK 25 ; 23 EL MAX CCW 25 ; 25 Dim to Bright 26 ;
25 EL CW MOVE 55 ; 26 Bright to Dim 25 ; 23 EL MAX CW 27 ; 27 Dim to Bright 28 ; 28 Bright to Dim 27 ; 27 AZ POLL RANGE 29 🗸
; 29 Dim to Bright 30 ; 30 Bright to Dim 29 ; 29 AZ RANGE OK 31 ; 29 AZ MAX CW 31 ; 29 AZ MAX CCW 33 ; 31 AZ CCW MOVE 🗸
27; 31 Dim to Bright 32; 32 Bright to Dim 31; 33 Dim to Bright 34; 34 Bright to Dim 33; 35 EL CCW OK 9; 35 Dim to Bright 36 🗸
; 36 Bright to Dim 35 ; 36 EL CCW OK 10 ; 36 EL FAIL MOVE 38 ; 35 EL FAIL MOVE 37 ; 37 Dim to Bright 38 ; 38 Bright to Dim 37 ; 🗸
38 EL MOTOR FAIL 48 ; 37 EL MOTOR FAIL 47 ; 55 EL CW OK 21 ; 55 Dim to Bright 56 ; 56 Bright to Dim 55 ; 56 EL CW OK 22 ; 🗸
55 EL_FAIL_MOVE 57 ; 57 Dim_to_Bright 58 ; 58 Bright_to_Dim 57 ; 56 EL_FAIL_MOVE 58 ; 58 EL_MOTOR_FAIL 54 ; 57 🗸
EL MOTOR FAIL 53 ; 39 Full Sweep 41 ; 40 Full Sweep 42 ; 41 AZ POLL RANGE 43 ; 43 AZ RANGE OK 45 ; 43 AZ MAX CW 45 ; 45 🗸
AZ CCW MOVE 41; 43 AZ MAX CCW 47; 47 AZ POLL RANGE 49; 49 AZ RANGE OK 51; 49 AZ MAX CCW 51; 39 Dim to Bright 🗸
40 ; 40 Bright to Dim 39 ; 41 Dim to Bright 42 ; 42 Bright to Dim 41 ; 43 Dim to Bright 44 ; 44 Bright to Dim 43 ; 45 Dim to Bright 🗸
46 ; 46 Bright to Dim 45 ; 47 Dim to Bright 48 ; 48 Bright to Dim 47 ; 49 Dim to Bright 50 ; 50 Bright to Dim 49 ; 51 Dim to Bright 🗸
52; 51 AZ CW MOVE 47; 52 Bright to Dim 51; 49 AZ MAX CW 53; 53 Dim to Bright 54; 54 Bright to Dim 53; 53 Sweep Failure 🗸
39 ; 33 Sweep_Failure 1 ; 6 Bright_Detected 2 ; 8 Bright_Detected 2 ; 10 Bright_Detected 2 ; 12 Bright Detected 2 ; 14 🗸
Bright Detected 2; 16 Bright Detected 2; 18 Bright Detected 2; 20 Bright Detected 2; 22 Bright Detected 2; 24 Bright Detected 2
: 26 Bright Detected 2 : 28 Bright Detected 2 : 30 Bright Detected 2 : 32 Bright Detected 2 : 34 Bright Detected 2 : 42 🗸
Bright Detected 40 : 44 Bright Detected 40 : 46 Bright Detected 40 : 48 Bright Detected 40 : 50 Bright Detected 40 : 52 🗸
Bright Detected 40 ; 54 Bright Detected 40 ; 3 Full Sweep 3 ; 4 Full Sweep 4 ; 5 Full Sweep 5 ; 6 Full Sweep 6 ; 7 Full Sweep 7 ; 8 🗸
Full Sweep 8 : 9 Full Sweep 9 : 10 Full Sweep 10 : 11 Full Sweep 11 : 12 Full Sweep 12 : 13 Full Sweep 13 : 14 Full Sweep 14 : 15
Full Sweep 15: 16 Full Sweep 16: 17 Full Sweep 17: 18 Full Sweep 18: 19 Full Sweep 19: 20 Full Sweep 20: 21 Full Sweep 21: 22
Full Sweep 22 ; 23 Full Sweep 23 ; 24 Full Sweep 24 ; 25 Full Sweep 25 ; 26 Full Sweep 26 ; 27 Full Sweep 27 ; 28 Full Sweep 28 ; 29 🗸
Full Sweep 39 ; 30 Full Sweep 30 ; 31 Full Sweep 31 ; 32 Full Sweep 32 ; 33 Full Sweep 33 ; 34 Full Sweep 34 ; 35 Full Sweep 35 ; 36 🗸
Full Sweep 36 ; 37 Full Sweep 37 ; 38 Full Sweep 38 ; 41 Full Sweep 41 ; 42 Full Sweep 42 ; 43 Full Sweep 43 ; 44 Full Sweep 44 ; 45 🗸
Full Sweep 45; 46 Full Sweep 46; 47 Full Sweep 47; 48 Full Sweep 48; 49 Full Sweep 49; 50 Full Sweep 50; 51 Full Sweep 51; 52 🗸
Full Sweep 52; 53 Full Sweep 53; 54 Full Sweep 54; 55 Full Sweep 55; 56 Full Sweep 56; 57 Full Sweep 57; 58 Full Sweep 58; 6
AZ RANGE OK 8; 6 AZ MAX CW 8; 6 AZ MAX CCW 10; 12 EL RANGE OK 14; 12 EL MAX CW 14; 12 EL MAX CCW 16; 18
AZ RANGE OK 20; 18 AZ MAX CW 20; 18 AZ MAX CCW 22; 24 EL RANGE OK 26; 24 EL MAX CW 26; 24 EL MAX CCW 28; 30 🗸
AZ RANGE OK 32; 30 AZ MAX CW 32; 30 AZ MAX CCW 34; 44 AZ RANGE OK 46; 44 AZ MAX CW 46; 44 AZ MAX CCW 48; 50 🗸
AZ RANGE OK 52; 50 AZ MAX CW 52; 50 AZ MAX CCW 54];
279 Sweep Spec Automata = automaton(Spec Total States, Sweep Spec STT, Sweep Spec MarkedStates);
281 E Not In Sweep Spec = [AZ CCW OK, AZ CW OK, Dark to Dim, Dim to Dark, Safe to Full, Full to Safe, Crit to Safe,
Safe to Crit, wait 2sec];
283 global Sweep Spec Automata SelfLooped Sweep Spec Automata SelfLooped tmp;
284 Sweep Spec Automata SelfLooped = selfloop(Sweep Spec Automata, E Not In Sweep Spec);
285
286 global Euc;
287 Euc = [Full Sweep, Dark to Dim, Dim to Bright, Dim to Dark, Bright to Dim, AZ CCW OK, AZ CW OK, EL CW OK, 🗸
EL CCW OK, EL FAIL MOVE, Safe to Full, Full to Safe, Crit to Safe, Safe to Crit, AZ RANGE OK, AZ MAX CCW, AZ MAX CW,
EL RANGE OK, EL MAX CCW, EL MAX CW, wait 2sec];
289 global Ec;
290 Ec=[AZ CW MOVE, AZ CCW MOVE, EL CW MOVE, EL CCW MOVE, EL POLL RANGE, AZ POLL RANGE, Bright Detected, 🗸
Sweep Failure, EL MOTOR FAIL];
291 %
292 %-----
293 Plant = sync(PV Cell, Bat SOC, AZ Motor Motion, EL Motor Motion, AZ Motor Range, EL Motor Range, MC, 🗸
```

```
Mot Motion f Bat, Bat SOC f PV, Bat SOC f Motor Motions, Wait);
294 Xmc verify(Plant,Ec); %To check marked states with just controllable events to determine NB.
296 [SPEC, Sstates] = product(Sweep Spec Automata SelfLooped, Spec EL M Range f M Motion SelfLooped,
Spec AZ M Range f M Motion SelfLooped, Spec EL M Motion f M Range SelfLooped,
Spec_AZ_M_Motion_f_M_Range_SelfLooped);
298 %The legal language
299 legal=product(Plant,SPEC);
300 Xmc verify(legal,Ec); %To check marked states with just controllable events to determine NB.
301 %-----
302 Supervisor = supcon(SPEC, Plant, Euc); %Offline supervisor
303 %To recheck consistency of defined events.
304 Modules events=[PV Cell.TL(:,2)', Bat SOC.TL(:,2)', AZ Motor Motion.TL(:,2)', EL Motor Motion.TL(:,2)', AZ Motor Range.TL(:,2)', \( \sqrt{2} \)
EL Motor Range.TL(:,2)', MC.TL(:,2)', Mot Motion f Bat.TL(:,2)', Bat SOC f PV.TL(:,2)', Bat SOC f Motor Motions.TL(:,2)', Wait.TL(:,2)'];
305 Events diff=setdiff([Ec,Euc],unique(Modules events));
306 if~isempty (Events_diff)
307 fprintf('There is a different between available events and Ec,Euc:%d \n',Events diff);
308 return;
309 end
310
311 %The product function(instead of sync) is used to make a Plant inside lookehead window, therefore, all events will be added as 🗸
312 %selfloop to the models(..._s) and then by using Product of these modules within Nw
313 %steps,the online plant will be constructed.
314 global Bat SOC s PV Cell s AZ Motor Motion s EL Motor Motion s AZ Motor Range s EL Motor Range s MC s ...
        Mot Motion f Bat s Bat SOC f PV s Bat SOC f Motor Motions s Wait s;
316 global Bat_SOC_s_tmp PV_Cell_s_tmp AZ_Motor_Motion_s_tmp EL_Motor_Motion_s_tmp AZ_Motor_Range_s_tmp 🗸
EL Motor Range s tmp MC s tmp ...
317
        Mot Motion f Bat s tmp Bat SOC f PV s tmp Bat SOC f Motor Motions s tmp Wait s tmp;
318
319 Bat SOC_s=selfloop(Bat_SOC,setdiff(Modules_events,unique(Bat_SOC.TL(:,2)))); \%
320 PV_Cell_s=selfloop(PV_Cell,setdiff(Modules_events,unique(PV_Cell.TL(:,2)))); %
321 AZ Motor Motion s=selfloop(AZ Motor Motion,setdiff(Modules events,unique(AZ Motor Motion.TL(;,2)))); %
322 EL Motor Motion s=selfloop(EL Motor Motion, setdiff(Modules events, unique(EL Motor Motion.TL(:,2)))); %
323 AZ Motor Range s=selfloop(AZ Motor Range,setdiff(Modules events,unique(AZ Motor Range.TL(:,2)))); %
324 EL Motor Range s=selfloop(EL Motor Range,setdiff(Modules events,unique(EL Motor Range.TL(:,2)))); %
325 MC s=selfloop(MC,setdiff(Modules events,unique(MC.TL(:,2)))); %
326 Mot Motion f Bat s=selfloop(Mot Motion f Bat,setdiff(Modules events,unique(Mot Motion f Bat,TL(:,2)))); %
327 Bat SOC f PV s=selfloop(Bat SOC f PV,setdiff(Modules events,unique(Bat SOC f PV.TL(;,2)))); %
328 Bat SOC f Motor Motions s=selfloop(Bat SOC f Motor Motions,setdiff(Modules events,unique(Bat SOC f Motor Motions.TL 🗸
(:,2))));%
329 Wait_s=selfloop(Wait,setdiff(Modules_events,unique(Wait.TL(:,2)))); %
331 %To transform Automaton to Struct(The struct data type is used in mex files).
332 %
333 PV_Cell_s=Change(PV_Cell_s,1);
334 Bat SOC s=Change(Bat SOC s,1);
335 AZ Motor Motion s=Change(AZ Motor Motion s,1);
     EL Motor Motion s=Change(EL Motor Motion s,1);
      AZ Motor Range s=Change(AZ Motor Range s,1);
      EL Motor Range s=Change(EL Motor Range s,1);
339
      MC s=Change(MC s,1);
340
      Mot Motion f Bat s=Change(Mot Motion f Bat s,1);
341
      Bat_SOC_f_PV_s=Change(Bat_SOC_f_PV_s,1);
342
      Bat SOC f Motor Motions s=Change(Bat SOC f Motor Motions s,1);
      Wait s=Change(Wait s,1);
343
344
345
346
      %Modules which make SPEC
```

```
347
      Sweep Spec Automata SelfLooped=Change(Sweep Spec Automata SelfLooped,1); %
      Spec EL M Range f M Motion SelfLooped=Change(Spec EL M Range f M Motion SelfLooped,1); %
349
      Spec_AZ_M_Range_f_M_Motion_SelfLooped=Change(Spec_AZ_M_Range_f_M_Motion_SelfLooped,1); %
      Spec EL M Motion f M Range SelfLooped=Change(Spec EL M Motion f M Range SelfLooped,1); %
351
      Spec AZ M Motion f M Range SelfLooped=Change(Spec AZ M Motion f M Range SelfLooped,1); %
352
      %
353 global String;
354 String=[];%It keeps the trajectory of selected events which are taken during online looahead.
355 global Supervisor m new enable event CallbackRunning;
356 Supervisor m=Supervisor; %The offline supervisor which is tracked by taken events.
357 new enable event=false;
358 %-----
359
360 Lookahead tot time=∏; %Keep record of total time of generating Plant and Supervisor in online mode.
361 global serialOne; %Used in serial com
362 del time=[];
363 del t=tic;
364 global totall e time;
365 totall_e_time=[0 0];
366 global first;
367 first=true; %For the first run of LLP.
368 Lookahead Comp_cntr=0;
369 buff_cntr=0; %Buffer counter to keep the unsyncronized received event in the buffer for further
370
           %steps use.
371 global rec e t buff cntr;
372 Plant size=[];
373 SPEC size=[];
374 Online Supervisor size=[];
375 global Enable Events offline Enable Events first;
376 global Online Supervisor Online Supervisor at small delta;
377 global Lookahead Copm time Intervals tot Lookahead Copm time Intervals LLP at delta LLP exe miss event del t del time 🗸
String tmp callback times matrix;
378 Lookahead Copm time Intervals tot=[];
379
380 LLP at delta=false;
381 LLP exe=false; %When selta=samll delta, the LLP computation has to be executed.
382 miss event=0; %The nimber of events which is past since LLP exe=True and real start of LLP computation.
383
     while(Online)
384
         %LLP at delta would be "false" at delta=0 to prevent LLP
385
         %calculation be repeated at delta_N-small_delta
      if first==true || (LLP exe==true && LLP at delta==false)
386
387
         %The copy of last available modeles should be used for LLP.
388
         %the manipulation of these modules should be prevented by receing event interrupt.
389
        if first==true
390
          Lookahead Copm_time_Intervals=tic; %To record the time intervals of supcon occurence
391
           Nw tmp=Nw;
           copy_modules();%Make a copy of all models as ..._tmp
392
393
        elseif LLP exe==true
394
           %If due to some consequitive events,the point of
           %"delta N-small delta" for LLP calculation is missed,the length of
395
396
           %LLP window should be less as "delta N+delta"
397
           Nw_tmp=5+delta_N+delta;
398
           LLP at delta=true;
399
400
         %Making a Plant with depth of Nw by producting of every two modeles
401
         check t LookaheadComTime=tic;
402
         Lookahead Copm time Intervals t=toc(Lookahead Copm time Intervals);
403
         Lookahead Copm time Intervals tot=[Lookahead Copm time Intervals tot;Lookahead Copm time Intervals t 1];
404
         p1=product c v2 n mex(Nw tmp,Bat SOC f PV s tmp,Bat SOC s tmp); %
405
         p2=product c_v2 n_mex(Nw_tmp,p1,PV_Cell_s_tmp);%
```

```
406
         p3=product c v2 n mex(Nw tmp,AZ Motor Motion s tmp,EL Motor Motion s tmp); %
407
         p4=product c v2 n mex(Nw tmp,p3,Wait s tmp);%
         p5=product_c_v2_n_mex(Nw_tmp,p4,Bat_SOC_f_Motor_Motions_s_tmp); %
408
409
         p6=product c v2 n mex(Nw tmp,Mot Motion f Bat s tmp,p5); %
410
         p7=product_c_v2_n_mex(Nw_tmp,AZ_Motor_Range_s_tmp,EL_Motor_Range_s_tmp); %
411
         p8=product_c_v2_n_mex(Nw_tmp,p2,p6);%
412
         p9=product c v2 n mex(Nw tmp,p8,MC s tmp);%
413
         p10=product_c_v2_n_mex(Nw_tmp,p9,p7);
414
415
         %Modeles which make SPEC
416
         s1=product c v2 n mex(Nw tmp-1,Spec EL M Motion f M Range SelfLooped tmp, ✓
Spec EL M Range f M Motion SelfLooped tmp); %
         s2=product c v2 n mex(Nw tmp-1,Spec AZ M Range f M Motion SelfLooped tmp, 🗸
417
Spec AZ M Motion f M Range SelfLooped tmp); %
418
         s3=product c v2 n mex(Nw tmp-1,s1,s2);%
419
         s4=product c v2 n mex(Nw tmp-1,s3,Sweep Spec Automata SelfLooped tmp); %
420
421
        if first==true
422
           Online Supervisor=supcon c mex(s4,p10,Euc);
423
           rec e t=tic;%To start timer of recording events once the computation time starts.
424
425
           Enable_Events offline=unique(Supervisor_m.TL(Supervisor_m.TL(:,1)==1,2)); %
426
           Enable Events first=unique(Online Supervisor.TL(Online Supervisor.TL(:,1)==1,2));
427
           fprintf('Size of lookahead buffer:%d
                                               \n',delta);
428
           fprintf('First Enable Events of online Supervisor:%d
                                                             \n',Enable_Events_first);
429
           Event diff1=setdiff(Enable Events offline,Enable Events first);
430
           Event_diff2=setdiff(Enable_Events_first,Enable_Events_offline);
431
           if ~isempty(union(Event_diff1,Event_diff2))
432
             fprintf('Not Valid Nw because of %d \quad \n', Event diff1)%The validity of LLP supervisor is checked in every single step.
433
             break;
434
           end
435
         elseif LLP exe==true
436
           Online Supervisor at small delta=supcon c mex(s4,p10,Euc);
437
           miss event=small delta-delta
438
           LLP exe=false;
439
           CallbackRunning=false;
440
         p_t_s_t=toc(check_t_LookaheadComTime);
441
442
         uint64 check_t_LookaheadComTime=0;
443
         Lookahead tot time=[Lookahead tot time; p t s t delta Nw tmp];
444
445
         Lookahead Copm time Intervals t=toc(Lookahead Copm time Intervals);
446
         Lookahead Copm time Intervals tot=[Lookahead Copm time Intervals tot;Lookahead Copm time Intervals t 2];
447
         %To record the size of main automatons in every LLP computation.
448
449
         t=size(p10.TL);
450
         Plant_size=[Plant_size;p10.N t(1,1)];
451
         t=size(s4.TL);
452
         SPEC size=[SPEC size;s4.N t(1,1)];
453
         t=size(Online_Supervisor.TL);
454
         Online_Supervisor_size=[Online_Supervisor_size;Online_Supervisor.N t(1,1)];
455
         if Serial==1 && first==true
456
           serial_com_v2();%To Config the serial port and received events from "uc" after sending "START EVENT".
457
458
           first=false;
459
         Lookahead Comp cntr=Lookahead Comp cntr+1;
460
461
      end%if delta==0 ||...
462
      if isempty(Online_Supervisor.TL)
463
         disp('e(SE or RTE)');%It means SE or RTE.
```

```
464
          return;
465
       end
466
      end %while
467
       if Serial==1
468
         RESET=input('Reset the position of motors?\n');
         if RESET==true
469
470
            fprintf(serialOne, '!33@ &');%
471
         end
472
      end
473
       %To save the results of execution in related files.
      dlmwrite('String for small_delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt',String);
474
       dlmwrite('Time Exucation for Plant & Supcon for small delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt', 🗸
475
Lookahead tot time);
476
       dlmwrite('Time of Event Reception to MATLAB for small_delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt',totall_e_time);
477
       dlmwrite('Time of serial data delivery for small delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt',del_time);
478
       dlmwrite('Time of Supcon Execution Intervals for small delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt', 🗸
Lookahead_Copm_time_Intervals_tot);
479
       dlmwrite('Lookahead Plant Size of small delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt',Plant_size);
480
      dlmwrite('Lookahead SPEC Size of small delta'+string(small_delta)+'DelN'+string(delta_N)+'.txt',SPEC_size);
       dlmwrite ("Lookahead\ Supervisor\ Size\ of\ small\ delta' + string (small\_delta) + "DelN' + string (delta\_N) + ".txt', Online\_Supervisor\_size);
481
      dlmwrite ('Callback\ Function\ execution\ time' + string (small\_delta) + 'DelN' + string (delta\_N) + '.txt', callback\_times\_matrix);
482
```

Serial communication between MATLAB and microcontroller:

```
1 function []=serial com v2()
2 %To send an receive data from\to microcontroller through serial port.
3 %clc;
4 %clear;
5 global frst full sweep Lookahead Copm time Intervals tot;
6 frst full sweep=true:
7 global serialOne E_rec_str Online;
8 E_rec_str=[];%keep the current received events
9 global E rec n;
10 E_rec_n=[];%The number of events which are received in one packet.
11 global Event;
12 Event=[];
13 global E rec number;
14 E rec number=0;%Event number
15 global E rec cmplt;
16 E_rec_cmplt=false;
17 global Euc Jump Lokkahead com Euc received p rec e t delta delta N small delta new enable event;
18 global Supervisor_m Online_Supervisor Online_Supervisor_at_small_delta;
19 global Ec_t_b_delivered; %True if there is an Ec to be delivered.
20 Ec t b delivered=false;
21 global String tmp del time callback times matrix;
22 callback_times_matrix=[];
23 String tmp=[];
24 del_time=[];%
25 global CallbackRunning String test LLP at delta first LLP exe Enable Events Enable Events first Enable Events offline Ec deliver 🗸
26 Ec deliver=0;%The Ec which should be delivered.
27 String_test=[];
28 first t=true;
29 reapeated_n=0;
30 %To initialize the serial port.
31 if~isempty(instrfind)
32 fclose(instrfind);
33
      delete(instrfind);
34 end
35 serialOne=serial('COM3', 'BaudRate', 115200, 'Timeout', 10, 'InputBufferSize', 2048, 'Terminator', '&');
36 serialOne.ReadAsyncMode='continuous';
37 serialOne.BytesAvailableFcnMode = 'terminator';
38 %If BytesAvailableFcnMode is terminator,
39 %the callback function executes every time the character specified by the Terminator property is read.
40 serialOne.BytesAvailableFcn = @instrcallback;
41 %The MATLAB® file callback function specified for the OutputEmptyFcn property is executed when the output buffer is empty.
42 fopen(serialOne);
43 fprintf(serialOne,'!00@ &');%Sending start signal(START_EVENT)
44
45 if Online==1
46 fprintf(serialOne,'!32@
                              &');%ending online mode signal(ONLINE)
47 end
48 if frst full sweep==true
49 fprintf(serialOne,"!15@
                               &');%Full sweep command
50 frst_full_sweep=false;
51 end
52 global totall_e_time Lookahead_Copm_time_Intervals;
54 %Equivalent events array numbers in the vector.
55 Dark to Dim v = 0;
56 Dim to Bright v = 1;
57 \text{ Dim\_to\_Dark\_v} = 2;
58 \text{ Bright\_to\_Dim\_v} = 3;
```

```
60 AZ CCW OK v = 4;
61 \text{ AZ\_CW\_OK\_v} = 5;
62 \text{ AZ CCW MOVE } v = 6;
63 AZ_CW_MOVE_v= 7;
65 EL_CCW_OK_v = 8;
66 EL_CW_OK_v = 9;
67 \text{ EL\_CCW\_MOVE\_v} = 10;
68 \text{ EL\_CW\_MOVE\_v} = 11;
69 \text{ EL\_FAIL\_MOVE\_v} = 12;
70
71 AZ POLL RANGE v = 13;
72 AZ MAX CW v = 14;
73 AZ MAX CCW v = 15;
74 AZ_RANGE_OK_v = 16;
75
76 EL_POLL_RANGE_v = 17;
77 EL_MAX_CW_v = 18;
78 EL_MAX_CCW_v = 19;
79 EL_RANGE_OK_v = 20;
80
81 \text{ Safe\_to\_Full\_v} = 21;
82 Full_to_Safe_v = 22;
83 Crit_to_Safe_v = 23;
84 Safe_to_Crit_v = 24;
85
86 Bat Discharging v = 25;
87 Bat_Charging_v = 26;
89 \text{ AZ\_Sweep\_CW\_v} = 27;
90 AZ_Sweep_CCW_v = 28;
91 EL_Sweep_CW_v = 29;
92 EL_Sweep_CCW_v = 30;
94 Full_Sweep_v = 31;
95 Bright_Detected_v = 32;
96 Sweep Failure v = 33;
97 EL_MOTOR_FAIL_v = 34;
99 wait 2sec v=35;
100 send AZ CCW HW v=36;
101 send_AZ_CW_HW_v=37;
102 send_EL_CCW_HW_v=38;
103 send_EL_CW_HW_v=39;
104
105 %-----
106 Dark_to_Dim_var = 301;
107 Dim_to_Bright_var = 302;
108 Dim_to_Dark_var = 303;
109 Bright_to_Dim_var = 304;
111 AZ CCW OK var = 401;
112 AZ CW OK var = 402;
113 AZ CCW MOVE var = 403;
114 AZ_CW_MOVE_var = 404;
116 EL_CCW_OK_var = 451;
117 EL_CW_OK_var = 452;
118 EL_CCW_MOVE_var = 453;
119 EL_CW_MOVE_var = 454;
```

```
120 EL FAIL MOVE var = 455;
122 AZ POLL RANGE var = 425;
123 AZ MAX CW var = 410;
124 \text{ AZ MAX CCW var} = 411;
125 AZ_RANGE_OK_var = 412;
127 EL POLL RANGE var = 435;
128 EL_MAX_CW_var = 460;
129 EL_MAX_CCW_var = 461;
130 EL RANGE OK var = 462;
131
132 Safe to Full var = 601;
133 Full to Safe var = 602;
134 Crit to Safe var = 603;
135 Safe to Crit var = 604;
136
137 AZ_Sweep_CW_var = 500;
138 AZ Sweep CCW var = 501;
139 EL_Sweep_CW_var = 502;
140 EL_Sweep_CCW_var = 503;
141 Full_Sweep_var = 504;
142 Bright Detected var = 510;
143 Sweep Failure var = 511;
144 EL_MOTOR_FAIL_var = 512;
145
146 wait_2sec_var=480;
147 send AZ CCW HW var=483;
148 send_AZ_CW_HW_var=481;
149 send_EL_CCW_HW_var=493;
150 send_EL_CW_HW_var=494;
151 %_
152 %instreallback function
153 function instrcallback(serialOne,BytesAvailable)
154 callback time=tic;
155 E rec cmplt=false;
156 strrec=";
157 strrec=fscanf(serialOne);
158 chr=0:
159 if (strcmp(strrec(1:4), !!08@!) && length(strrec)==10 )%strcmp(s1,s2) compares s1 and s2 and returns 1 (true) if the two are ✓
identical and 0 (false) otherwise
160 Event=[];
      for i=1:5
161
162
         chr=strrec(4+i);
163
         if chr~='A'
164
           Event(i)=uint8(chr)-66;
165
         end end%for
     E_rec_number=length(Event);
166
167
      for i=1:E_rec_number
        event_Number=Event(i);
168
      if(event_Number == Dark_to_Dim_v)
169
170
         E_rec_str=[E_rec_str; Dark_to_Dim_var E_rec_number];
171
         e_t_rec=toc(rec_e_t);
172
         totall_e_time=[totall_e_time;Dark_to_Dim_var e_t_rec];
173
      elseif(event_Number == Dim_to_Bright_v)
         E rec str=[E rec str; Dim to Bright var E rec number];
174
175
         e t rec=toc(rec e t);
176
         totall e time=[totall e time;Dim to Bright var e t rec];
177
      elseif(event_Number == Dim_to_Dark_v)
178
```

```
179
         E rec str=[E rec str; Dim to Dark var E rec number];
180
         e t rec=toc(rec e t);
181
         totall e time=[totall e time;Dim to Dark var e t rec];
182
      elseif(event Number == Bright to Dim v)
183
         E rec str=[E rec str; Bright to Dim var E rec number];
184
         e t rec=toc(rec e t);
185
         totall e time=[totall e time;Bright to Dim var e t rec];
186
      elseif(event Number == AZ CCW OK v)
187
            E rec str=[E rec str; AZ CCW OK var E rec number];
188
            e t rec=toc(rec e t);
189
            totall e time=[totall e time;AZ CCW OK var e t rec];
190
      elseif(event Number == AZ CW OK v)
191
            E rec str=[E rec str; AZ CW OK var E rec number];
192
            e t rec=toc(rec e t);
            totall e time=[totall e time;AZ CW_OK_var e_t_rec];
193
      elseif(event Number == AZ CCW MOVE v)
194
195
            E rec str=[E rec str; AZ CCW MOVE var E rec number];
196
            e t rec=toc(rec e t);
            totall e time=[totall e time;AZ CCW_MOVE_var e t_rec];
197
      elseif(event_Number == AZ_CW_MOVE_v)
198
199
            E_rec_str=[E_rec_str; AZ_CW_MOVE_var E_rec_number];
200
            e_t_rec=toc(rec_e_t);
            totall_e_time=[totall_e_time;AZ_CW_MOVE_var e_t_rec];
201
      elseif(event Number == EL CCW OK v)
202
203
            E_rec_str=[E_rec_str; EL_CCW_OK_var E_rec_number];
204
            e_t_rec=toc(rec_e_t);
205
            totall e time=[totall e time;EL CCW OK var e t rec];
206
      elseif(event Number == EL CW OK v)
207
            E rec str=[E rec str; EL CW OK var E rec number];
208
            e t rec=toc(rec e t);
209
            totall e time=[totall e time;EL CW OK var e t rec];
      elseif(event Number == EL CCW MOVE v)
210
            E rec str=[E rec str; EL CCW MOVE var E rec number];
211
            e t rec=toc(rec e t);
212
213
            totall_e_time=[totall_e_time;EL_CCW_MOVE_var e_t_rec];
      elseif(event Number == EL CW MOVE v)
214
            E rec str=[E rec str; EL CW_MOVE var E rec number];
215
            e_t_rec=toc(rec_e_t);
216
            totall e time=[totall e time;EL CW MOVE var e t rec];
217
      elseif(event_Number == EL_FAIL_MOVE_v)
218
            E_rec_str=[E_rec_str; EL_FAIL_MOVE_var E_rec_number];
219
            e t rec=toc(rec e t);
220
221
            totall e time=[totall e time;EL FAIL MOVE var e t rec];
      elseif(event_Number == AZ_POLL_RANGE_v)
222
            E rec str=[E rec str; AZ POLL RANGE var E rec number];
223
            e t rec=toc(rec e t);
224
            totall e time=[totall e time;AZ POLL RANGE var e t rec];
225
      elseif(event Number == AZ MAX CW v)
226
            E rec str=[E rec str; AZ MAX CW var E rec number];
227
            e t rec=toc(rec e t);
228
            totall e time=[totall e time;AZ MAX CW var e t rec];
229
      elseif(event_Number == AZ_MAX_CCW_v)
230
            E rec_str=[E_rec_str; AZ_MAX_CCW_var E_rec_number];
231
            e_t_rec=toc(rec_e_t);
232
            totall e time=[totall e time;AZ MAX CCW var e t rec];
233
      elseif (event Number == AZ RANGE OK v)
234
            E rec str=[E rec str; AZ RANGE OK var E rec number];
235
            e_t_rec=toc(rec_e_t);
236
            totall e time=[totall e time;AZ RANGE OK var e t rec];
237
      elseif(event Number == EL POLL RANGE v)
238
```

```
239
            E rec str=[E rec str; EL POLL RANGE var E rec number];
240
            e t rec=toc(rec e t);
            totall e time=[totall e time;EL POLL RANGE var e t rec];
241
242
      elseif(event Number == EL_MAX_CW_v)
243
            E rec_str=[E_rec_str; EL_MAX_CW_var E_rec_number];
244
            e t rec=toc(rec e t);
245
            totall e time=[totall e time;EL MAX CW var e t rec];
      elseif(event Number == EL MAX CCW v)
246
            E rec str=[E rec str; EL MAX CCW_var E_rec_number];
247
248
            e_t_rec=toc(rec_e_t);
249
            totall e time=[totall e time;EL MAX CCW var e t rec];
250
      elseif(event Number == EL RANGE OK v)
251
            E rec str=[E rec str; EL RANGE OK var E rec number];
252
            e t rec=toc(rec e t);
253
            totall e time=[totall e time;EL RANGE OK var e t rec];
254
      elseif(event_Number == Safe_to_Full_v)
255
            E rec_str=[E rec_str; Safe_to_Full_var E_rec_number];
256
            e_t_rec=toc(rec_e_t);
257
            totall e time=[totall e time;Safe to Full var e t rec];
258
      elseif(event Number == Full to Safe v)
259
            E rec str=[E rec str; Full to Safe var E rec number];
260
            e t rec=toc(rec e t);
261
            totall e time=[totall e time;Full to Safe var e t rec];
      elseif(event Number == Crit to Safe v)
262
263
            E rec str=[E rec str;Crit to Safe var E rec number];
264
            e t rec=toc(rec e t);
            totall e time=[totall e time;Crit to Safe var e t rec];
265
      elseif(event Number == Safe to Crit v)
266
            E rec str=[E rec str; Safe to Crit var E rec number];
267
            e t rec=toc(rec e t);
268
            totall e time=[totall e time;Safe to Crit var e t rec];
269
      elseif(event_Number == AZ_Sweep_CCW_v)
270
            E rec str=[E rec str; AZ Sweep_CCW_var E rec number];
271
            e t rec=toc(rec e t);
272
            totall e_time=[totall_e_time;AZ_Sweep_CCW_var e_t_rec];
273
      elseif(event_Number == EL_Sweep_CW_v)
274
            E rec_str=[E rec_str; EL_Sweep_CW_var E rec_number];
275
276
            e t rec=toc(rec e t);
277
            totall e time=[totall e time;EL Sweep CW var e t rec];
      elseif(event Number == wait 2sec v)%EL Sweep CCW v
278
279
            E rec str=[E rec str; wait 2sec var E rec number];
            e t rec=toc(rec e t);
280
            totall e time=[totall e time;wait 2sec var e t rec];
281
      elseif(event Number == Full Sweep v)
282
            E rec str=[E rec str; Full Sweep var E rec number];
283
            e_t_rec=toc(rec_e_t);
284
            totall e time=[totall_e_time;Full_Sweep_var e_t_rec];
285
      elseif(event_Number == Bright_Detected_v)
286
            E rec str=[E rec str; Bright Detected var E rec number];
287
            e t rec=toc(rec e t);
288
            totall e time=[totall e time;Bright Detected var e t rec];
289
      elseif(event Number == Sweep Failure v)
290
            E_rec_str=[E_rec_str; Sweep_Failure_var E_rec_number];
291
            e t rec=toc(rec e t);
292
            totall e_time=[totall e_time;Sweep Failure_var e_t_rec];
293
      elseif(event Number == EL MOTOR FAIL v)
294
            E_rec_str=[E_rec_str; EL_MOTOR_FAIL_var E_rec_number];
295
            e_t_rec=toc(rec_e_t);
296
            totall\_e\_time=[totall\_e\_time; EL\_MOTOR\_FAIL\_var\ e\_t\_rec];
297
      end%if event Number..
298
```

```
299
      end%for i:...
300
      fprintf('Event Received ')
301 E rec str
302
303
        %In this part, by receiving any event, the online supervisor responds by
304
        %generating new Enable events.
305
        [E_rec_str_del,E_rec_n_del]=get_rec_event();
306
        %Receiving Ec after sendig to uc.
307
         delivery time=toc(del t); % It is assumed that the received event is what is sent right before.
308
        if Ec_deliver~=0 && ~isempty(intersect(E_rec_str_del,Ec_deliver))
309
                Ec deliver=0
310
                Ec t b delivered=false;
311
                del time=[del time delivery time];
312
                % To send again any lost packet.
              elseif Ec t b delivered==false && Ec deliver~=0 && delivery time>2 && isempty(intersect(E rec str del,Ec deliver))
313
314
                Ec t b delivered=true;
315
                reapeated_n=reapeated_n+1
316
                del_time=[del_time delivery_time];
                %
317
318
        end
319
320
        for l=1:length(E_rec_str_del(:,1))
321
        if first t==true
322
             Es=det_Es_v1(E_rec_str_del,Enable_Events_first);
323
             first t=false;
324
        else
325
             Es=det Es_v1(E_rec_str_del,Enable_Events);
326
        end
327
        if ~isempty(Es)
328
           Change state(Es);%From the delta N - small delta point aferwards the modeles which are used in LLP should not be
updated.
329
           delta=delta-1;
330
           if delta==small delta
331
              LLP exe=true;
332
              copy_modules();
333
              CallbackRunning=true;
334
           end
335
           if delta<small delta
336
              String tmp=[String tmp Es];
337
338
           if first==false && delta==0 && LLP_exe==false
339
           %The last condition is added in order to avoid picking up the online
340
           %at small dalta which maight not be ready( delta in time stamping=bigdelta)
341
              delta=delta_N; LLP_at_delta=false;
342
              Lookahead_Copm_time_Intervals_t=toc(Lookahead_Copm_time_Intervals);
343
              Lookahead Copm time Intervals tot=[Lookahead Copm time Intervals tot;Lookahead Copm time Intervals t 3];
              for k=1:small_delta-1
344
345
                String_tmp(k);
346
347
                Online Supervisor at small delta=Change(Online Supervisor at small delta,Next si
(Online Supervisor at small delta, String tmp(k)));
348
349
              Lookahead Copm time Intervals t=toc(Lookahead Copm time Intervals);
350
              Lookahead Copm time Intervals tot=[Lookahead Copm time Intervals tot;Lookahead Copm time Intervals t 4];
351
              String_tmp=[];
352
              Online Supervisor=Online Supervisor at small delta; end
353
           Supervisor_m=Change(Supervisor_m,Next_si(Supervisor_m,Es));
           Enable\_Events\_offline=unique(Supervisor\_m.TL(Supervisor\_m.TL(:,1)==1,2)); \%
354
355
           if first==false %Not First time
356
```

```
357
              if delta~=0
                Online_Supervisor=Change(Online_Supervisor,Next_si(Online_Supervisor,Es)); %For delta=0 update has been done 🗸
358
in above for loop
359
                String_test=[String_test Es];
360
              end
361
              Enable Events=unique(Online Supervisor.TL(Online Supervisor.TL(:,1)==1,2));
362
              Event diff1=setdiff(Enable Events offline,Enable Events);
363
              Event_diff2=setdiff(Enable_Events,Enable_Events_offline);
364
             if ~isempty(union(Event diff1,Event diff2))
365
                fprintf('Not Valid Nw because of %d
                                                     \n',Event diff1);%To check the validity of the online supervisor
366
                return;
367
              end
368
           end
369
           fprintf('Size of lookahead buffer:%d
                                                 \n',delta);
370
           fprintf('Enable Events of online Supervisor:%d
                                                           \n',Enable Events);
371
           new enable event=true;
372
           Ec_t_b_sent=intersect(Enable_Events',[510,511,512,425,435,404,403,454,453]);
373
            if ~isempty(Ec t b sent)
374
              Ec t b delivered=true;
375
              send Ec(Ec t b sent);
376
            end
377
378
           if length(Ec t b sent)>1
379
              fprintf('Choice Problem
                                         \n')%If there is more than one controllable event in enable events.
380
              return;
381
           end
382
        else
383
          fprintf('No Event has been selected among these enabled events:%d
                                                                                \n',Enable Events);
384
385
        end
386
        end%for
387
388
        E rec cmplt=true;
389
          if(Jump Lokkahead com==1)
390
           if ~isempty(intersect(E rec str(:,1),Euc))
391
             Euc_received_p=true;
392
           else
             Euc received p=false;
393
394
           end
395
          end
396 end% if event packet recieved(line 163)
397 callback_times_matrix=[callback_times_matrix;toc(callback_time) delta];
398 end%call back function
399
400 end% Base function
401
401
```

Sending controllable events to the microcontroller:

```
1 function []=send_Ec(Ec_t_b_sent)
2 %To send controllable events to the micrcontroller.
3 global Serial;
4 global Ec_t_b_delivered;
5 global E_rec_str;
6 global serialOne; %Used in serial com
7 global Ec sent Ec deliver del t;
    if (Serial==1 && (Ec_t_b_delivered==true) && isempty(E_rec_str))
9
              Ec deliver=0;
10
              if (Ec_t_b_sent== 425) && strcmp(serialOne.TransferStatus, 'idle')%AZ_POLL_RANGE_var = 425;
11
                fprintf(serialOne,'!23@
12
                del t=tic;
13
                Ec_sent=[Ec_sent 425 ];
14
                Ec_sent(end)
15
                Ec deliver=425;
                Ec_t_b_delivered=false;
16
17
              elseif (Ec_t_b_sent== 435) && stremp(serialOne.TransferStatus, 'idle')%EL_POLL_RANGE_var = 435;
18
                fprintf(serialOne,'!24@
                                          &');%
19
                del t=tic;
20
                Ec sent=[Ec sent 435];
21
                Ec_sent(end)
22
                Ec deliver=435;
23
                Ec t b delivered=false;
              elseif (Ec_t_b_sent== 404) && stremp(serialOne.TransferStatus, 'idle')%AZ_CW_MOVE_var = 404;
24
25
                fprintf(serialOne, !!25@
                                         &'):%
26
                del t=tic;
27
               Ec sent=[Ec sent 404];
                Ec sent(end)
28
29
                Ec deliver=404;
30
               Ec t b delivered=false;
              elseif (Ec_t_b_sent== 403) && strcmp(serialOne.TransferStatus, 'idle')%AZ_CCW_MOVE_var = 403;
31
                fprintf(serialOne, '!26@
32
                                         &');%
                del t=tic;
33
                Ec_sent=[Ec_sent 403 ];
34
35
                Ec sent(end)
                Ec deliver=403;
36
37
                Ec t b delivered=false;
              elseif (Ec t b sent== 454) && strcmp(serialOne.TransferStatus, 'idle')%EL CW MOVE var = 454;
38
39
                 fprintf(serialOne, !! 27@
40
                 del_t=tic;
41
                 Ec_sent=[Ec_sent 454 ];
                 Ec_sent(end)
42
                 Ec deliver=454;
43
                 Ec t b delivered=false;
44
45
              elseif (Ec t b sent== 453) && strcmp(serialOne.TransferStatus, 'idle')%EL CCW MOVE var = 453;
                 fprintf(serialOne, '!28@
46
                                          &');%
                 del_t=tic;
47
48
                 Ec_sent=[Ec_sent 453 ];
49
                 Ec_sent(end)
50
                 Ec deliver=453;
                 Ec t b delivered=false;
51
               elseif (Ec t b sent== 510) && strcmp(serialOne.TransferStatus, 'idle')%Bright Detected var = 510;
52
                 fprintf(serialOne, '! 29@
                                          &');%
53
                 del t=tic;
54
                 Ec_sent=[Ec_sent 510 ];
55
56
                 Ec sent(end)
                 Ec_deliver=510;
57
58
                 Ec_t_b_delivered=false;
59
                 fprintf('Bright Detected
                                             \n')
60
                elseif (Ec t b sent== 511) && strcmp(serialOne.TransferStatus, 'idle')%Sweep Failure var = 511;
```

```
61
                   fprintf(serialOne,"!30@
                                               &');%
62
                   del t=tic;
63
                   Ec_sent=[Ec_sent 511 ];
64
                   Ec sent(end)
65
                   Ec_deliver=511;
66
                   Ec_t_b_delivered=false;
                   fprintf('Sweep_Failure
                                                \n')
67
68
                 \label{eq:constraint}  \textbf{elseif} \ (\texttt{Ec\_t\_b\_sent} == 512) \ \&\& \ strcmp(serialOne.TransferStatus, 'idle')\% \\ \texttt{EL\_MOTOR\_FAIL\_var} = 512; \\
69
                   fprintf(serialOne,'!31@
                                               &');%
70
                   del_t=tic;
71
                   Ec_sent=[Ec_sent 512 ];
72
                   Ec_sent(end)
73
                   Ec_deliver=512;
74
                   Ec_t_b_delivered=false;
75
                   fprintf('EL_MOTOR_FAIL
                                                  \n ')
76
77
               end
78
      end%Sending Ec
79 end
```

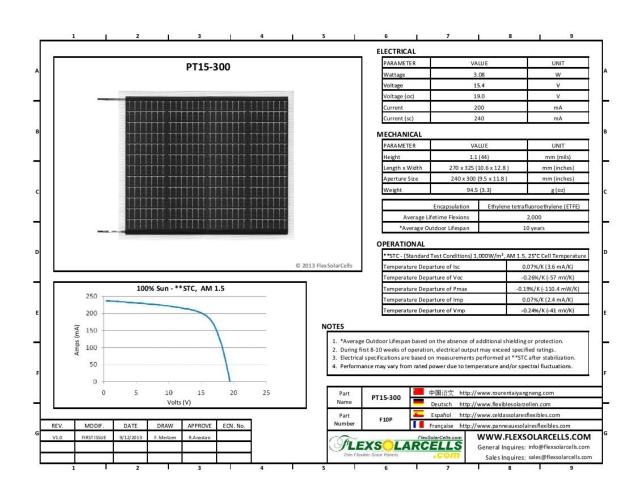
Selection of an event from enable events:

```
1 function [Es]=det Es v1(E rec str del,Enable Events)
2 %To choose an event among enable events according to received events.
3 global Serial Euc Ec new enable event E rec str String Ec deliver;
4 Es=[];
5 if Serial==1 && ~isempty(E rec str del)
6
            Cmm Event=intersect(E rec str del,Enable Events','stable');
7
            Cmm_Event_Euc=intersect(Cmm_Event,Euc,'stable');
8
            Cmm Event Ec=intersect(Cmm Event,Ec,'stable');
9
            if~isempty(Cmm Event)
10
               Cmm Event ln=length(Cmm Event);
               if Cmm_Event_ln==1 && Ec_deliver==0
11
                   Es=Cmm Event(1);
12
13
               elseif Cmm_Event_ln>=2 && ~isempty(Cmm_Event_Euc) && isempty(Cmm_Event_Ec) && Ec_deliver==0
14
                   Es=Cmm Event Euc(1);
15
               elseif Cmm Event ln>=2 && ~isempty(Cmm Event Euc) && ~isempty(Cmm Event Ec)
                   Es_u=Cmm_Event_Euc(1);
16
17
                   Es c=Cmm Event Ec(1);
18
                   [~,ind3]=intersect(E rec str del,Es u);
19
                   [\sim, ind4]=intersect(E rec str del,Es c);
20
                   Es=Es c;
21
22
               elseif Cmm_Event_ln>=2 && ~isempty(Cmm_Event_Ec)&& isempty(Cmm_Event_Euc)
23
                    Es=Cmm_Event_Ec(1);
24
25
               if ~isempty(Es)
26
                 new enable event=false; end
27
               [E_rec_str_Es,i1,\sim]=intersect(E_rec_str(:,1),Es);
28
               %While selected event is being removed from the
29
               %receiving array,another event with the same number
30
               %might be received, so just one event has to be removed.
31
               if ~isempty(E rec str Es)
32
                 E rec str(min(i1),:)=[];
33
               end
34
               ind7=E rec str(:,2)==0;
35
               fprintf('Event Remained ')
36
               E_rec_str=E_rec_str(~ind7,:)
37
               String=[String,Es];
38
            else
39
              end%~isempty(Cmm Event)
40
            if~isempty(E rec str) ind6=E rec str(:,2)>1;
41
                   E_rec_str(ind6,2)=E_rec_str(ind6,2)-1;
42
            end
43
44
45 end%There is a received event
46 end
```

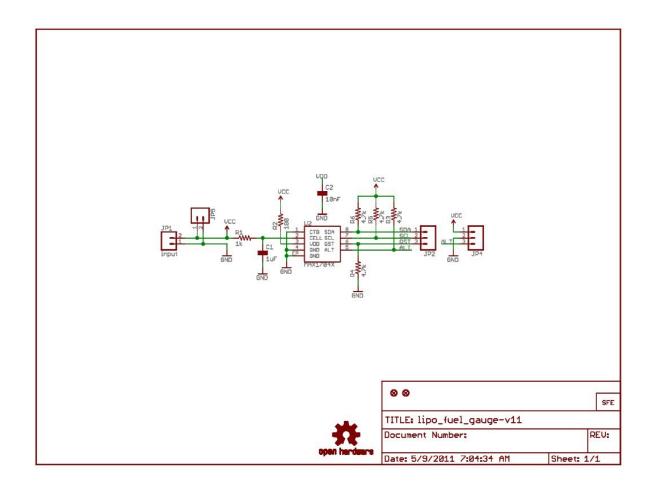
Appendix E

Data sheets

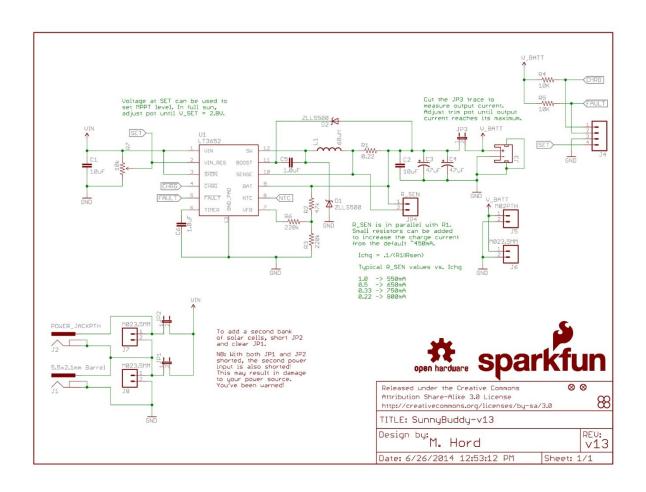
Photovoltaic Cell



Fuel gauge



Maximum Power Point Tracker



USB to serial converter



Description

Description

The XBee - USB Board has been designed to allow an easy and reliable connection between the Xbee's modules and PC. XBee and XBeePRO modules are fully supported.

The rock solid construction, the soft start circuitry and the high power voltage regulator; ensure the maximum reliability and full performances of the XBee module.

Four useful LEDs allow constant monitoring of the board activity and fast troubleshooting.

A Features Connector provides the most important connections with the XBee module and the USB chip adding great flexibility to this board.

The USB Mini B connector ensures a compact and easy to use board. Now with a USB to Serial connector, the 990.002 can be used as an USB to Serial converter!

XBee and XBeePRO are Trademarks of Maxstream Inc.

Technical Specifications

- Technical Specifications

 XBee Modules: XBee and

 XBeePRO are fully supported. XBee
 Series 2 are also supported

 USB Port: a powered (500mA)

 USB 1.0/2.0 port is required.

 3.3V OUT Output Power:
 depends on the Xbee module used.
 About 300mA available with XBee,
 and 140mA with XBeePRO

 modules.

 Dimensions: 52 x 35 mm max height 7 mm without Xbee

 module.

Features

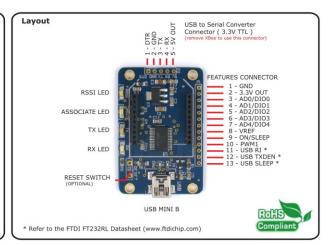
RSSI and ASSOCIATE LEDs to monitor Xbee activity

TX and RX LEDs to monitor

IX and RX LEDs to monitor serial activity between the Xbee module and the USB chip 3.3V OUT to power external devices (MCU's, boards, etc) Soft Start circuitry to maximize reliability.

reliability
Features Connector to access

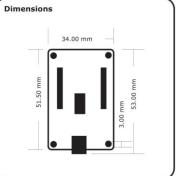
connections
USB to Serial Connector: use
this board as a USB to Serial
Converter



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USB to Bluetooth

USB to RS232 TTL

RF module

XBee 802.15.4 RF 2.4GHz Module, 1 mW, U.FL Antenna Connector

XB24-AUI-001



XBee 802.15.4 OEM RF modules are embedded solutions providing wireless end-point connectivity to devices. These modules use the IEEE 802.15.4 networking protocol for fast point-to-multipoint or peer-to-peer networking. They are designed for high-throughput applications requiring low latency and predictable communication timing.

Part of the XBee family of wireless products, these modules are easy to use, share a common footprint, and are fully interoperable with other XBee products utilizing the same technology. This allows different XBee technologies to be drop-in replacements for each other; you can switch from 802.11 to 802.15.4, ZigBee, DigiMesh and proprietary long-range with minimal development time or risk.



2.4GHz 802.15.4 RF Module Features

- Power output: 1mW (+0 dBm)
- Indoor/Urban range: Up to 100 ft (30 m)
- Outdoor/RF line-of-sight range: Up to 300 ft (90 m)
- RF data rate: 250 Kbps
- Interface data rate: Up to 115.2 Kbps
- Operating frequency: 2.4 GHz
- Receiver sensitivity: -92 dBm
- Spread Spectrum type: DSSS (Direct Sequence Spread Spectrum)
- Networking topology: Point-to-point, point-to-multipoint, & peer-to-peer
- Error handling: Retries & acknowledgments
- Filtration options: PAN ID, Channel, and 64-bit addresses
- Channel capacity: 16 channels
- Addressing: 65,000 network addresses available for each channel
- Supply voltage: 2.8V 3.4V DC (Footprint Recommendation: 3.0V 3.4V DC)
- Transmit current: 45 mA (@ 3.3V) boost mode; 35 mA (@ 3.3V) normal mode
- Receive current: 50 mA (@ 3.3V)
- Power-down sleep current: <10 μA at 25°C</p>
- Frequency band: 2.4000 2.4835 GHz
- Interface options: 3V CMOS UART
- Size: 0.960 in × 1.087 in (2.438 cm × 2.761 cm)
- Weight: 0.10 oz (3g)
- Operating temperature: -40°C to 85°C (industrial)
- Certifications: FCC (USA), IC (Canada), ETSI (Europe), C-TICK (Australia), Telec (Japan)