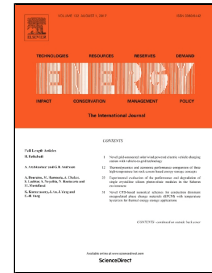


Accepted Manuscript

Investigation of the effect of geometric and operating parameters on thermal behavior of vertical shell-and-tube latent heat energy storage systems

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PII: S0360-5442(17)31188-X
DOI: 10.1016/j.energy.2017.07.014
Reference: EGY 11202
To appear in: *Energy*
Received Date: 19 January 2017
Revised Date: 29 June 2017
Accepted Date: 04 July 2017

Please cite this article as: Saeid Seddegh, Xiaolin Wang, Mahmood Mastani Joybari, Fariborz Haghghat, Investigation of the effect of geometric and operating parameters on thermal behavior of vertical shell-and-tube latent heat energy storage systems, *Energy* (2017), doi: 10.1016/j.energy.2017.07.014

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1 **Investigation of the effect of geometric and operating parameters on thermal behavior**
2 **of vertical shell-and-tube latent heat energy storage systems**
3

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10 **Abstract**

11 In this study, the effect of the geometrical and operational parameters on vertical
12 cylindrical shell-and-tube LHTES systems is investigated. Four different ratios of the shell-
13 to-tube radius are considered with the phase change material (PCM) on the shell side and the
14 heat transfer fluid (HTF) flowing through the tube. The PCM temperature distributions are
15 measured and compared experimentally among the studied storage units. A weighting method
16 is utilized to calculate the average PCM temperature, liquid fraction, and stored energy
17 fraction to evaluate the performance of the storage units. The results show that a shell to tube
18 radius ratio of 5.4 offers better system performance in terms of the charging time and stored
19 energy in the studied LHTES systems. Furthermore, the effects of HTF flow rate and
20 temperature on the storage performance are studied. The HTF flow rate does not show a
21 significant effect on the storage performance; however, the HTF temperature shows large
22 impacts on the charging time. As the HTF temperature increases from 70 to 80 °C, the
23 charging time reduces by up to 68% depending on the radius ratio.

24 **Keywords:** latent heat thermal energy storage, phase change material, geometrical parameter,
25 shell-and-tube, heat exchanger

26

27 Nomenclature

C_p	Specific heat	(kJ·kg ⁻¹ ·K ⁻¹)
k	Thermal conductivity	(W·m ⁻¹ ·K ⁻¹)
L	Length	(m)
m	PCM mass	(kg)
Q	Stored energy	(kJ)
Q_f	Stored energy fraction	(-)
Q_{max}	Maximum stored energy	(kJ)
R	Pipe radius	(m)
Re	Reynolds number	(-)
t	Time	(s)
T	Temperature	(°C)
V	Volume	(m ³)
Greek letters		
γ	Liquid fraction	(-)
ω	Weight factor	(-)
λ	Latent heat	(kJ·kg ⁻¹)
Subscripts		
i	Inner, node	
l	Liquid, liquidus	
o	Outer	
s	Solid, Solidus	
t	Total	
0	Initial	

29 1. Introduction

30 Latent heat thermal energy storage (LHTES) technology has gained extensive attention
31 in many solar energy applications by providing a reservoir of energy to solve the temporal
32 mismatch between solar energy supply and demand [1-8]. Among all different types of
33 LHTES units, the shell-and-tube system is the most intensely researched accounting for more
34 than 70% of the systems studied due to its design simplicity and minimal heat loss from the
35 system [9]. Much research has focused on investigating the effect of geometric and operating
36 parameters on the storage system performance. Cao and Faghri [10] conducted a pioneering
37 numerical investigation on the effect of geometric parameters such as shell radius and tube
38 length. It was found that larger shell radius and longer tube length resulted in higher energy
39 storage; however, this dropped the energy storage density. Lacroix [11] performed a
40 numerical study on a shell-and-tube LHTES system using *n*-octadecane (melting temperature
41 of 26 °C) at the shell side as the PCM and water as the HTF flowing inside the tubes. The
42 results showed that the whole PCM melting time depended not only upon thermal and
43 geometric parameters, but also on the PCM thermophysical properties. The same author
44 evaluated the effect of shell radius, HTF mass flow rate and temperature for tubes
45 with/without fins [12]. Three different shell radii were investigated while the inner tube
46 radius was kept constant. It was observed that for higher shell radii, the PCM was either
47 partially melted or undesirably stored sensible heat only. Bellecci and Conti [13, 14]
48 conducted numerical studies for size optimization of a horizontal solar receiver unit
49 considering different shell radii. It was reported that outer radius (R_o) played a crucial role in
50 the efficient performance of the thermal storage system. There was a certain R_o below which
51 the PCM underwent sensible heat transfer (superheating or sub-cooling) and beyond that part
52 of the PCM did not participate in the phase change process [13]. Furthermore, under the
53 investigated conditions, it was found that a large portion of the PCM could not undergo a

54 phase change at all when the outer to inner tube radius ratio (R_o/R_i) was larger than 4 [14].
55 Similar results were observed when a eutectic mixture (LiF-MgF₂) was used as the PCM
56 [15]. Esen et al. [16] conducted a series of numerical simulations to investigate the effects of
57 various PCMs, shell and tube radii, PCM volume, HTF mass flow rate and temperature on the
58 charging time. The results indicated that the stored energy raised as the HTF inlet
59 temperature increased. Further, Ismail and his collaborators [17, 18] developed a two-
60 dimensional model to study the effect of the outer shell to inner tube radius ratio (R_o/R_i) on
61 the thermal performance of a PCM in a vertical cylinder. The results indicated that the
62 solidification mass fraction decreased and the time necessary for the complete fusion
63 increased by increasing R_o/R_i . Trp et al. [19, 20] performed a numerical study to evaluate the
64 influence of tube length, shell radius, as well as HTF inlet velocity and temperature on the
65 amount of the stored and recovered energy during the charging and discharging processes. It
66 was concluded that the selection of operating conditions and geometrical parameters
67 depended on the required heat transfer rate and the time by which the energy was to be stored
68 or delivered. Rathod and Banerjee [21, 22] experimentally investigated the effect of HTF
69 mass flow rate and temperature on the thermal performance of a shell-and-tube heat
70 exchanger with PCM at its shell side. The results showed that increasing the HTF mass flow
71 rate and temperature decreased the melting time. Similar results were reported by Gong and
72 Mujumdar [23], and Tao and He [24]. Moreover, considering constant cross-sectional area
73 ($R_o^2 - R_i^2 = \text{constant}$), Tao et al. [25, 26] investigated the effect of shell radius variation on
74 melting time. It was found that increasing the shell radius resulted in the longer phase change
75 process, which was attributed to the HTF velocity drop and its consequent heat transfer
76 reduction.

77 Avci and Yazici [27] experimentally recorded the time histories of paraffin (P56-58)
78 PCM in a horizontal shell-and-tube heat exchanger LHTES. It was reported that increasing

79 (for the charging process) and decreasing (for the discharging process) the HTF inlet
80 temperature enhanced PCM melting and solidification, respectively. Hosseini et al. [28]
81 experimentally and computationally investigated the heat transfer characteristics of a
82 horizontal shell-and-tube heat exchanger LHTES system using RT50 as the PCM. It was
83 revealed that as the inlet HTF temperature increased from 70 to 80 °C, the total melting time
84 decreased by 37%, and the theoretical efficiency in charging and discharging processes raised
85 from 81.1% to 88.4% and from 79.7% to 81.4%, respectively. Seddegh et al. [29] compared
86 heat transfer in horizontal and vertical shell-and-tube LHTES systems, and reported that the
87 hot HTF inlet temperature had a great impact on the heat transfer in both horizontal and
88 vertical systems. However, the HTF flow rate had a negligible effect on charging and
89 discharging processes in the LHTES units.

90 El-Sawi et al. [30] investigated the effect of HTF temperature, HTF flow rate and storage
91 length on the thermal performance of a centralized LHTES using RT20 as the PCM. It was
92 reported that longer storages could store higher amounts of energy; however, the effect of
93 HTF flow rate was negligible. Furthermore, the highest energy recovery was found to be for
94 cases having an HTF inlet temperature which was 10 °C above the mean PCM phase change
95 temperature [31]. Wang et al. [32] numerically investigated the effects of HTF inlet
96 temperature and mass flow rate on a horizontal shell-and-tube heat exchanger LHTES. The
97 results showed that the HTF inlet temperature greatly affected the time required to complete
98 the charging or discharging process. However, the HTF mass flow rate had little influence on
99 the amount of stored energy, whereas the time needed to complete the charging or
100 discharging process decreased nonlinearly as the HTF mass flow rate increased. They also
101 found that a longer storage had lower energy efficiency ratio, but higher heat storage rate
102 [33]. However, increasing the shell radius decreased both the energy efficiency ratio and the

103 heat storage rate. Thus, further research is needed to investigate the impact of the shell radius
104 on the system performance.

105 More recently, Tehrani et al. [34] reviewed low to medium temperature shell-and-tube
106 LHTES systems and reported that the majority of the studies were numerical. Table 1
107 detailed the current research on the shell-and-tube LHTES systems. The only experimental
108 study (highlighted in the table) investigating the geometrical parameters in a vertical
109 cylindrical shell-and-tube storage considered constant tube radius and variable shell radius
110 [16]. In this study, the effect of geometric and operating parameters on a vertical shell-and-
111 tube LHTES system is investigated. An experimental setup is developed for this purpose.
112 Four LHTES units with the same shell radius but variable HTF tube radius are investigated
113 under different HTF temperature and flow rates. Maintaining the shell radius minimizes the
114 effect of the PCM mass change on the storage performance. This has not been investigated in
115 any literature. The temporal variations of experimental temperature in four LHTES units are
116 measured. A new weighting mathematical method is developed to calculate the PCM average
117 temperature, liquid fraction and stored/released energy fraction using the experimental PCM
118 temperatures. These parameters are then used to determine the effect of geometric and
119 operating parameters on the storage performance of the studied LHTES units. The optimal
120 shell to tube radius ratio is investigated. Both the research method and finding have not been
121 reported in other literature. The research provides useful information and guidance to
122 researchers and engineers for design and optimization of vertical shell-and-tube LHTES
123 systems.

124 **Insert Table 1**

125 **2. Experimental setup**

126 Fig. 1 shows the experimental setup which was used in this study. It consisted of a hot
127 water tank, a cold water tank, PCM storage unit, hot and cold water pumps, a flow meter, a

128 data acquisition module, thermocouples, valves and extensive piping systems. Water was
129 used as both the hot and cold HTFs.

130 The PCM storage unit was made of a single pass shell-and-tube heat exchanger. Four
131 cylinders of 0.5 m height and 0.0512 m shell radius (R_o) with different HTF tube radii (R_i) of
132 0.00635 m, 0.00953 m, 0.01252 m, and 0.01905 m were constructed. The PCM was stored in
133 the annulus, while the HTF passed through the inner tube (see Fig. 1) from top to bottom. All
134 cylinders were made of transparent polypropylene (with thermal conductivity k of 0.1
135 W/m·K) with a thickness of 0.006 m to allow observation of the melting process. The
136 cylinders were insulated with Armaflex sheets with a thickness of 0.02 m and a thermal
137 conductivity of 0.036 W/m·K. The maximum heat loss of the system at a hot water
138 temperature 80 °C is around 10 W. Table 2 shows the specifications of each studied
139 shell-and-tube unit.

140 **Insert Fig. 1**

141 **Insert Table 2**

142 The PCM temperatures inside the four cylinders were measured by Type-T
143 thermocouples with an accuracy of ± 0.2 °C. Eight thermocouples were mounted inside each
144 PCM cylinder as shown in Fig. 2 to monitor the temperature during the phase change process.
145 In order to compare the PCM thermal behavior between these vertical cylindrical containers,
146 four thermocouples were located at four levels with the same radial position being 20 mm
147 away from the outer surface of the HTF tube. Another four thermocouples were located at the
148 same four levels in the same radial position but being 5 mm away from the inner surface of
149 the cylinder to study the PCM status.

150 **Insert Fig. 2**

151 During the charging process, the hot HTF was heated up to a pre-set temperature in a hot
152 water tank with a capacity of 108 L using two 2.4 kW thermal electric immersion heaters

153 (model number: TWI50240) with externally adjustable thermostats. The hot water was
154 circulated by a Grundfos vertical, multistage centrifugal pump (model number: CR 1-4 A-A-
155 A-E-HQQE) through the tube in the PCM cylinder where PCM was packed between the tube
156 and cylindrical shell. The hot water flowed from top to the bottom of the tube and was
157 channeled back to the hot water tank from the storage outlet. During the discharging process,
158 the cold water was maintained at the required temperature by a 20 kW chiller (model number:
159 HWP020-3BB) in a 1,575 L capacity cold tank. The cold water was circulated by an Onga
160 horizontal, centrifugal pump (model number: 413) through the tube (from top to bottom) in
161 the PCM cylinder to cool the PCM. A Flomec oval gear positive-displacement flow meter
162 with pulse output was placed in position between the cylinder outlets and hot/cold water
163 tanks to monitor the hot and cold water flow rates. Moreover, temperatures and water flow
164 rates were recorded by a data acquisition system at 2.5 second time intervals (National
165 Instruments NI9411). The PCM used in the study was RT60 paraffin wax from Rubitherm
166 GmbH. The PCM thermophysical properties and testing conditions are listed in Table 3.

167 **Insert Table 3**

168 **3. Experimental data reduction**

169 In order to compare the performance of the different storage units, three parameters (i.e.
170 average PCM temperature, liquid fraction, and stored energy fraction) were introduced and
171 utilized in the study. These three parameters were calculated using a newly developed
172 weighting method which is similar to that reported in our recent publication [36]. The similar
173 weighting method was also used by Caron-Soupart et al. [37]. They used an interpolation and
174 extrapolation to obtain temperature values for some cells in the performance analysis of
175 thermal energy storage using phase change material. In our study, the direct experimental
176 data was used to determine the cells' temperature. The method was detailed below.

177 **Insert Fig. 3**

178 As presented earlier, the positions of the thermocouples were distributed at different
 179 locations which were altered based on the tube radius in each experimental setup. Therefore,
 180 the control volume around each node (see Fig. 3) had a different area (volume) due to the
 181 thermocouple location in each test. A weight factor (ω) is then defined to take into account
 182 the volume of the PCM, which is approximated by the corresponding node (thermocouple).
 183 Thus, for node i :

$$\omega_i = \frac{V_i}{V_t} \quad (1)$$

184 where V_i is the volume of the control volume surrounding node i as depicted in Fig. 3 and V_t
 185 are the total PCM storage volume. Thus, having the locally measured temperature values (T_i),
 186 the average temperature of the storage is calculated by:

$$T = \sum_i \omega_i T_i \quad (2)$$

187 The liquid fraction at each thermocouple location is calculated where each location is
 188 accounted as a separate node with its actual available temperature value. Therefore, according
 189 to the measured temperature (T_i) at each node, three different options are possible based on
 190 which the liquid fraction at each node is calculated:

$$\gamma_i = \begin{cases} 0 & T_i < T_s \\ \frac{T_i - T_s}{T_l - T_s} & T_s < T_i < T_l \\ 1 & T_i > T_l \end{cases} \quad (3)$$

191 where T_s and T_l are the solidus and liquidus temperatures, respectively. Once the nodal liquid
 192 fraction values are calculated, the average liquid fraction would be:

$$\gamma = \sum_i \omega_i \gamma_i \quad (4)$$

193 To calculate the stored energy of the PCM, the same weighting approach was applied to
 194 the nodal temperatures. The stored energy by each node was calculated by:

$$Q_i = \begin{cases} mC_{p,s}(T_i - T_0) & T_i < T_s \\ mC_{p,s}(T_s - T_0) + m\gamma_i\lambda & T_s < T_i < T_l \\ mC_{p,s}(T_s - T_0) + m\lambda + mC_{p,l}(T_i - T_l) & T_i > T_l \end{cases} \quad (5)$$

195 where T_0 is the initial temperature of the PCM storage (15 °C), which is used for both
 196 charging and discharging processes. According to Table 3, two different HTF temperatures of
 197 70 and 80 °C are used in this study. Consequently, the final PCM temperature after the
 198 charging process would be different not only among the cylinders, but also according to the
 199 corresponding HTF temperature. In order to prevent ambiguity and enable solid comparison
 200 of the results, the initial PCM temperature (15 °C) is considered constant in Equation (5). In
 201 this way, under charging condition, the stored energy of the cylinders initiates from zero and
 202 reaches a maximum value (Q_{max}), while under discharging process, the stored energy declines
 203 from the maximum value back to zero. Then, the total stored energy of the PCM is calculated
 204 using the weight factor:

$$Q = \sum_i \omega_i Q_i \quad (6)$$

205 Finally, the stored energy fraction would be:

$$Q_f = \frac{Q}{Q_{max}} \quad (7)$$

206 In order to have a smooth transition of the average temperature, liquid fraction and stored
 207 energy fraction values, curve fitting has been applied to the experimental data. As an
 208 example, Fig. 4 shows the fitted curve along with the experimental data for the liquid fraction
 209 values of Cylinder D. Furthermore, according to the error propagation [38], the uncertainty
 210 level of the stored energy can be calculated based on the measurement uncertainty of
 211 temperatures. The maximum uncertainty is $\pm 3.6\%$.

212

Insert Fig. 4

213 4. Results and discussion

214 The thermal behavior of the four different LHTES systems is investigated and compared
215 under different operating conditions in the following sections.

216 4.1. Charging process

217 4.1.1. Charging process – 5 mm thermocouples

218 Fig. 5 (a-d) shows temperature variations in thermocouple probes located 5 mm away
219 from the inner surface of the acrylic cylinder within each container under the charging HTF
220 temperature of 80 °C and the flow rate of 10 L/min. It is seen that PCM temperatures at
221 different levels reach the melting temperature at different times. The time needed for the
222 PCM to melt for higher levels is shorter than that of the lower ones. This is due to the natural
223 convection as reported in the literature [29]. It should be noted that the entire mass of PCM in
224 each cylinder was completely melted once the thermocouple located 5 mm away from the
225 inner surface of the acrylic cylinder at level 1 reached a stable temperature above the PCM
226 melting temperature. The total charging time is defined as all thermocouple temperatures
227 reach a stable temperature during a charging process. Comparing the total charging time for
228 different cylinders shows that increasing the HTF tube radius reduces the required charging
229 time. The charging time reduced by up to 38% as the shell to tube radius ratio decreased from
230 8.1 to 2.7 in Cylinders A to D. This decrease in total charging time is due to a few reasons:
231 The first reason is because of the fact that the larger HTF tube radius provides larger heat
232 transfer surface area; hence, larger heat transfer rate which reduces charging time. The
233 second reason is due to a reduction in the total mass of the PCM. As the HTF tube radius
234 increases from Cylinders A to D, the total mass of the PCM in the cylinder decreases by
235 12.5% which reduces the total charging temperature as well. The third reason is heat transfer
236 distance from the HTF tube surface to the inner surface of the shell. This effect is very small
237 in comparison to the first two reasons. Due to the natural convection, the liquid PCM moves

238 up to the upper part of the system and natural convection enhances the heat transfer in the
239 liquid PCM along with the radial direction.

240 **Insert Fig. 5**

241 **4.1.2. Charging process – 20 mm thermocouples**

242 Fig. 6 (a-d) compares temperature variations of thermocouple probes located 20 mm
243 away from the HTF tube at each level for the HTF charging temperature of 80 °C and flow
244 rate of 10 L/min. As the tube radius increases from Cylinder A to D, the melting time
245 decreases. This demonstrates the significant effect of the HTF tube surface area on the heat
246 transfer in the PCM. It also shows that the time difference to reach the melting point
247 decreased from 7 hours at level 1 to 2.5 hours at level 4 as the radius increases from Cylinder
248 A to D. This reveals that at higher levels, the influence of the radius ratio decreases as the
249 time delay reduces, which is due to two reasons. First, natural convection enhances the heat
250 transfer at the higher PCM levels. Second, the PCM mass in a cylinder with smaller HTF tube
251 radius is more than that of a cylinder with larger HTF tube radius. This excess PCM in the
252 cylinders with smaller HTF tube radius requires more energy and consequently longer time to
253 melt. As the level moves from the top to the bottom, the difference of PCM mass increases
254 among the cylinders and hence the difference of the total melting time accumulates. Thus, the
255 time difference at the lower levels is longer.

256 Furthermore, in both Figs. 5 and 6, the remarkable change in the slope of temperature
257 variation with time is at a temperature much lower than the melting temperature point (55 -
258 61 °C). This is mainly due to natural convection which substantially enhanced the heat
259 transfer rate and affected the increasing rate of the PCM temperature with time. The similar
260 finding was reported in an experimental investigation on latent heat storage using paraffin
261 wax as phase change material by Rathod and Banerjee [39, 40]. In our recent publication [1],
262 the temperature variation of the PCM was theoretically investigated in a shell-and-tube

263 thermal energy storage system using conduction only heat transfer model and combined
264 conduction & convection heat transfer model. In the combined heat transfer model, the slope
265 of the temperature variation with time is similar to the experimental data presented in this
266 study. This result further approved that the remarkable change in the slope of temperature
267 variation with time at a lower temperature is due to natural convection.

268 **Insert Fig. 6**

269 **4.1.3. Charging process – Comparison**

270 Fig. 7 (a-c) compares the average PCM temperature, liquid fraction, and stored energy
271 fraction of the LHTES systems during the charging process with the HTF temperature of
272 80 °C and the flow rate of 10 L/min. The results show that the trends in all cylinders are
273 similar. However, among the cylinders, Cylinder A with the largest shell to tube radius ratio,
274 has the slowest energy storage process and its average PCM temperature rise, liquid fraction
275 rise and energy storage rate are much slower than others. On the other hand, Fig. 7c shows
276 that the increasing rate in energy storage decreases as the shell to tube radius ratio decreases
277 from 8.1 to 2.7. For example, during the first 5 hrs, as the radius ratio decreased from
278 Cylinder A (8.1) to B (5.4), the stored energy fraction increased from 0.375 to 0.64 while it
279 only increased from 0.75 to 0.85 as the radius ratio decreased from Cylinder C (4.0) to
280 Cylinder D (2.7). It is clear that the increasing rate in energy storage from Cylinder C to D is
281 much lower than that from Cylinder A to B. These results indicate that an optimal shell to
282 tube radius ratio exists. If the shell to tube radius ratio is too small, the energy storage rate is
283 very low. On the other hand, if the ratio is too large, it wastes the initial cost and lowers the
284 total stored energy since larger HTF tube reduces the amount of PCM in the system. The
285 detailed discussion on the optimal ratio is presented in Section 5.

286 **Insert Fig. 7**

287 4.2. Discharging process

288 Upon completion of the charging process, the cylinders were immediately discharged by
289 passing the cold HTF at a temperature of 10 °C and flow rate of 10 L/min.

290 4.2.1. Discharging process – 5 mm thermocouples

291 Fig. 8 (a-d) shows the temperature variation in thermocouple probes located 5 mm away
292 from the inner surface of the acrylic cylinder within each cylinder during the discharging
293 process. The discharging process finishes when the temperatures at all four levels reach a
294 stable temperature. This time is considered as the whole discharging time. The results show
295 that the required discharging time is reduced by up to 44% as the shell to tube radius ratio
296 decreases from 8.1 to 2.7. This reduction is mainly due to the following reasons: (i) the heat
297 transfer area increases as the shell to tube radius ratio decreases. The increase in heat transfer
298 area enhances the heat transfer rate and hence reduces the discharging time. (ii) The mass of
299 PCM decreases with decreasing shell to tube radius ratio. The largest variation of the PCM
300 mass between the cylinders with the largest and smallest HTF tubes is about 12.5%. This
301 mass difference also contributes to the different solidification time. (iii) The distance between
302 the HTF outer surface to the inner surface of the shell also contributes to the difference in the
303 discharging time. Since the change of distance is very small, this effect is relatively small in
304 comparison to the other two. Furthermore, Fig. 8 also shows that the PCM at different
305 thermocouple locations solidifies almost at the same time. According to the literature [1], this
306 indicates that thermal conduction dominates the heat transfer in the discharging process.

307 **Insert Fig. 8**

308 4.2.2. Discharging process – 20 mm thermocouples

309 Fig. 9 (a-d) compares the temperature variation at each level for the thermocouple probes
310 located 20 mm away from the HTF tube. It is obvious that the PCM temperature decreases
311 much faster in a cylinder with larger tube radius. This is due to the larger heat transfer area,

312 which increases the heat transfer rate despite increase in the PCM mass due to increase in the
313 HTF tube radius. During discharging process, the solidified PCM surrounds the HTF tube;
314 thus, the thermal energy exchange from the high-temperature PCM to the cold HTF could
315 only be transferred via thermal conduction. Therefore, the heat transfer surface area plays a
316 very important role in the discharging process. As the heat transfer area increases from
317 Cylinder A to D, the heat transfer rate increases inside the Cylinder A to D. Therefore, the
318 shapes and lengths of solidification plateau are different among the Cylinders A to D. It is
319 also noted that shape and lengths of the solidification plateau are different at different levels
320 in the same cylinder. This is mainly due to the natural convection which moves the liquid
321 PCM up and solidifies the PCM from bottom to the top.

322 **Insert Fig. 9**

323 **4.2.3. Discharging process – Comparison**

324 Fig. 10 (a-c) compares the average PCM temperature, liquid fraction, and stored energy
325 fraction of the LHTES systems during the discharging process. As the shell to tube radius
326 ratio decreases from 8.1 (Cylinder A) to 2.7 (Cylinder D), the rate of the average PCM
327 temperature drop increases. This is mainly due to the large heat transfer rate caused by the
328 large heat transfer area in the cylinder with the large tube radius. Fig. 10b shows that the
329 solidification processes in all cylinders are much faster than the melting processes as shown
330 in Fig. 7b. This is mainly due to the temperature difference between the HTF and PCM phase
331 change temperatures which was much higher for the discharging process as compared to the
332 charging one. This also explains why the energy release in the discharging process is faster
333 than the energy storage in the charging process as shown in Fig. 10c.

334 **Insert Fig. 10**

335 4.3. Effect of operating parameters

336 4.3.1. HTF temperature

337 Fig. 11 (a-d) shows the variation of PCM temperature in a complete charging and
338 discharging cycle for thermocouple probes located 5 mm from the inner surface of the acrylic
339 shell for each cylinder. The charging or discharging process was continued until the PCM
340 temperature in all thermocouples did not change significantly. Two different HTF charging
341 temperatures of 70 °C and 80 °C were considered with the same discharging temperature of
342 10 °C while the HTF flow rate was kept at 10 L/min. It is observed that the overall charging-
343 discharging time is highly dependent on the charging time, which is influenced by the HTF
344 temperature. During the charging process, as the HTF temperature increased from 70 to
345 80 °C, the total charging time reduced by 68%, 63%, 60%, and 54% in Cylinders A, B, C,
346 and D, respectively. However, during the discharging process with the HTF temperature of
347 10 °C after being charged with HTF at 70 °C and 80 °C, the PCM temperature drops rapidly
348 and the HTF changing temperature has no significant effect on the discharging process. As
349 the shell to tube radius ratio reduced from 8.1 to 2.7 between Cylinders A and D, the overall
350 cycle time decreased by 34% and 40% for the HTF temperature of 70 to 80 °C, respectively.
351 Furthermore, it is also found that the stable PCM temperature is lower than the HTF inlet
352 temperature during the charging process. This is mainly due to the two reasons. First, when
353 all PCM was melted, the effect of natural convection reduces and hence the heat transfer
354 between the HTF fluid and liquid PCM reduces. This lowers the energy transfer into the
355 PCM. Second, the inevitable heat loss from the system to the environment, which prevents
356 the PCM temperature from increasing.

357 **Insert Fig. 11**

358 Fig. 12 (a-c) compares the average PCM temperature, liquid fraction, and stored energy
359 fraction of the LHTES systems during the complete charging-discharging process with the

360 HTF charging temperature of 70 and 80 °C. The results showed that the charging HTF
361 temperature largely affected the charging time and total amount of energy storage. As the
362 charging HTF temperature increased from 70 to 80 °C, the charging time reduced by more
363 than 50% and the total stored energy increased by up to 20%. Furthermore, the results
364 revealed that the effect on charging time in the system with the large shell to tube radius ratio
365 was much larger than that in the system with the small radius ratio.

366 **Insert Fig. 12**

367 **4.3.2. HTF flow rate**

368 All previous presented experimental data were performed at the HTF flow rate of 10
369 L/min. This flow rate ensures turbulent flow with the Reynolds number of 47225, 31400,
370 23612, and 15741 in Cylinders A, B, C, and D, respectively. In order to investigate the effect
371 of HTF flow rate on the system energy storage performance, the experiments were also
372 performed under different HTF mass flow rates with a constant HTF Reynolds number
373 (23612, turbulent flow regime) among cylinders. Table 4 compares the charging and
374 discharging times in different cylinders at the charging HTF temperature of 70 °C, and
375 discharging HTF temperature of 10 °C under two scenarios: constant HTF Reynolds number
376 with variable flow rates, and constant flow rate of 10 L/min with different Reynolds numbers.
377 At the constant Reynolds number of 23612, the corresponding flow rates were 5, 7.5, 10, and
378 15 L/min in Cylinders A, B, C, and D, respectively. This approach produces the same Nusselt
379 number in all tubes in the storage system. As the shell to tube radius ratio decreased from 8.1
380 to 2.7 between the Cylinder A and D, the charging, discharging and complete cycle times
381 decreased by 28%, 44% and 34%, respectively at both scenarios. Furthermore, the charging
382 and discharge times were almost the same in the same cylinder under different flow rates.
383 These comparison results indicated that the HTF flow rate has no significant effect on the
384 charging and discharging processes. This can be explained by the heat transfer coefficient. In

385 the storage systems, the heat transfer coefficient between the HTF and tube surface was much
386 larger than that between the tube surface and PCM. The heat transfer rate was dominated by
387 the heat transfer coefficient between the tube surface and PCM. Therefore, increasing heat
388 transfer rate by varying the HTF flow rate did not change the overall heat transfer from the
389 HTF flow to the PCM in the storage systems.

390 **Insert Table 4**

391

392 **5. Optimal shell to tube radius ratio**

393 Fig. 13 shows the variation of the charging and discharging times, stored energy and
394 average energy storage rate under different HTF temperatures and shell to tube radius ratios
395 at the HTF flow rate of 10 L/min. The stored energy at both temperatures is different at all
396 cylinders. This is due to (i) the higher temperature of the PCM leading to higher sensible heat
397 at the same cylinder (Accounting for 60 to 65% of the difference of the stored energy at two
398 temperatures depending on the cylinders) and (ii) the melting that is not complete when the
399 hot HTF temperature is 70 °C (Accounting for about 35 to 40 % of the difference). However,
400 the effect of the geometric parameter on the stored energy is the same at the both
401 temperatures. As the radius ratio increases, the charging as well as discharging time
402 increases. As shown in Fig. 13a, the charging time increases drastically under both HTF
403 temperatures when the radius ratio is larger than 5.4. This result indicated that the optimal
404 shell to tube radius ratio should be less than 5.4 in the studied LHTES systems. Furthermore,
405 as shown in Fig. 13b, as the radius ratio increased beyond 5.4, the increase in stored energy is
406 insignificant. On the other hand, for the radius ratios below 5.4, the stored energy decreased
407 sharply. Fig. 13b also shows that the average energy storage rate dropped from 84 to 40 kJ/hr
408 at 80 °C and from 36 to 16 kJ/hr at 70 °C, respectively as the shell to tube radius ratio
409 increased from 2.7 to 8.1. But the change of the average energy storage rate was more than

410 40% as the shell to tube radius ratio increased from 5.4 to 8.1 while it was less than 20% as
411 the shell to tube radius ratio increased from 2.7 to 4 and from 4 to 5.4 at both HTF
412 temperatures. By balancing the charging time, stored energy and average energy storage rate
413 in Fig. 13, the optimal shell to tube radius ratio is around 5.4 in the studied configurations.

414 **Insert Fig. 13**

415 **6. Conclusion**

416 In this paper, the effects of geometrical and operating parameters on the phase change
417 performance of vertical cylindrical LHTES units were investigated. For this purpose, four
418 different shell to tube radius ratios were considered with the PCM at the shell side and the
419 HTF passing through the tube side. The storages had identical shell radii but different HTF
420 tube radii to investigate the effect of heat transfer surface area on the system storage
421 performance. The PCM temperature distributions were measured and compared
422 experimentally among the considered cylinders. The experimental results and calculated
423 PCM average temperature, liquid fraction, and stored energy fraction showed that complete
424 charging and discharging is highly dependent on the shell to tube radius ratio as well as the
425 HTF temperature while almost independent of the HTF flow rate. By balancing the
426 discharging time and stored energy capacity, the best shell to tube radius ratio was found to
427 be around 5.4 in the studied storage systems. This study provides engineers useful
428 information for the design and optimization of vertical shell-and-tube LHTES systems.

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535 heat storage unit using longitudinal fins, Applied Thermal Engineering, 2015, 75, 1084-
536 1092.

537 **Figure captions**

538 Fig. 1 Pictorial views of (a) the whole experimental rig (b) the four PCM storage cylinders

539 Fig. 2 Locations of thermocouples (bold points)

540 Fig. 3 Schematic representation of the experimental setup showing the location of
541 thermocouples and the considered control volume.

542 Fig. 4 Variation of the liquid fraction for Cylinder D under charging showing the fitted curve
543 against the experimental data.

544 Fig. 5 Comparison of temperatures recorded by thermocouple probes located 5 mm away
545 from the shells during the charging process.

546 Fig. 6 Comparison of temperatures of thermocouples located 20 mm away from the HTF
547 tubes during the charging process.

548 Fig. 7 Comparison of the PCM average temperature, liquid fraction, and stored energy
549 fraction during the charging process.

550 Fig. 8 Comparison of temperatures recorded by thermocouples located 5 mm away from the
551 shells during the discharging process.

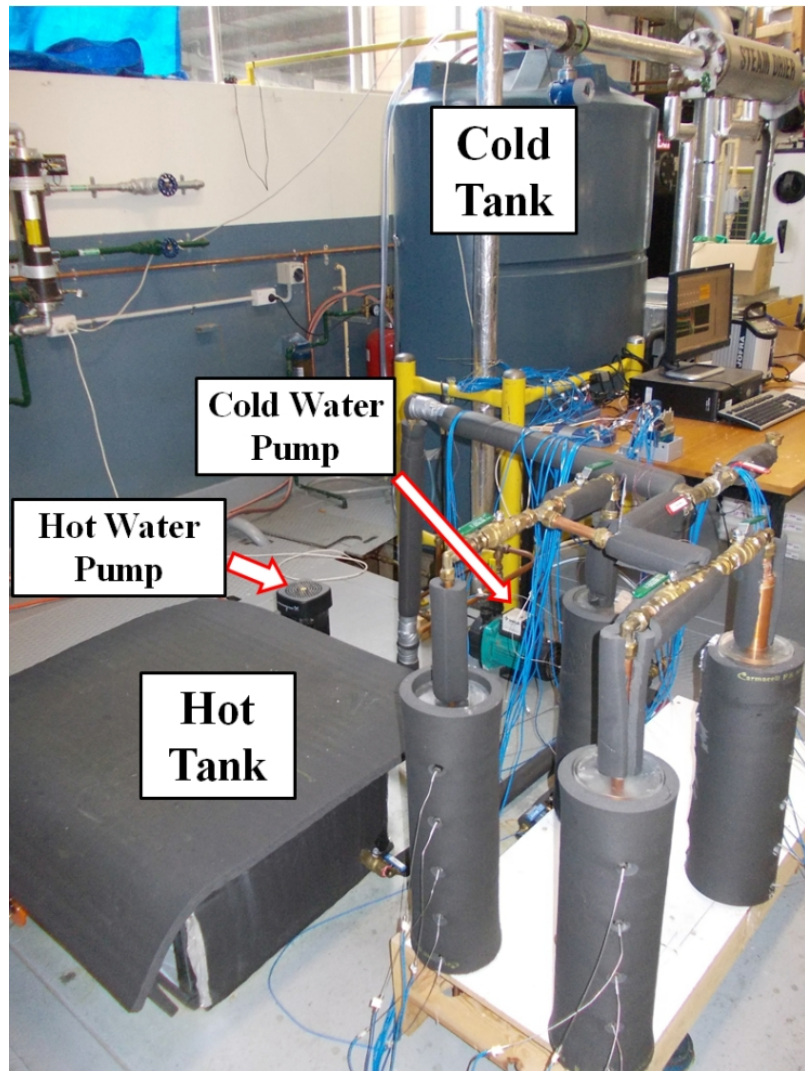
552 Fig. 9 Comparison of temperatures recorded by thermocouples located 20 mm away from the
553 HTF tubes during the discharging process.

554 Fig. 10 Comparison of the PCM average temperature, liquid fraction, and stored energy
555 fraction during the discharging process.

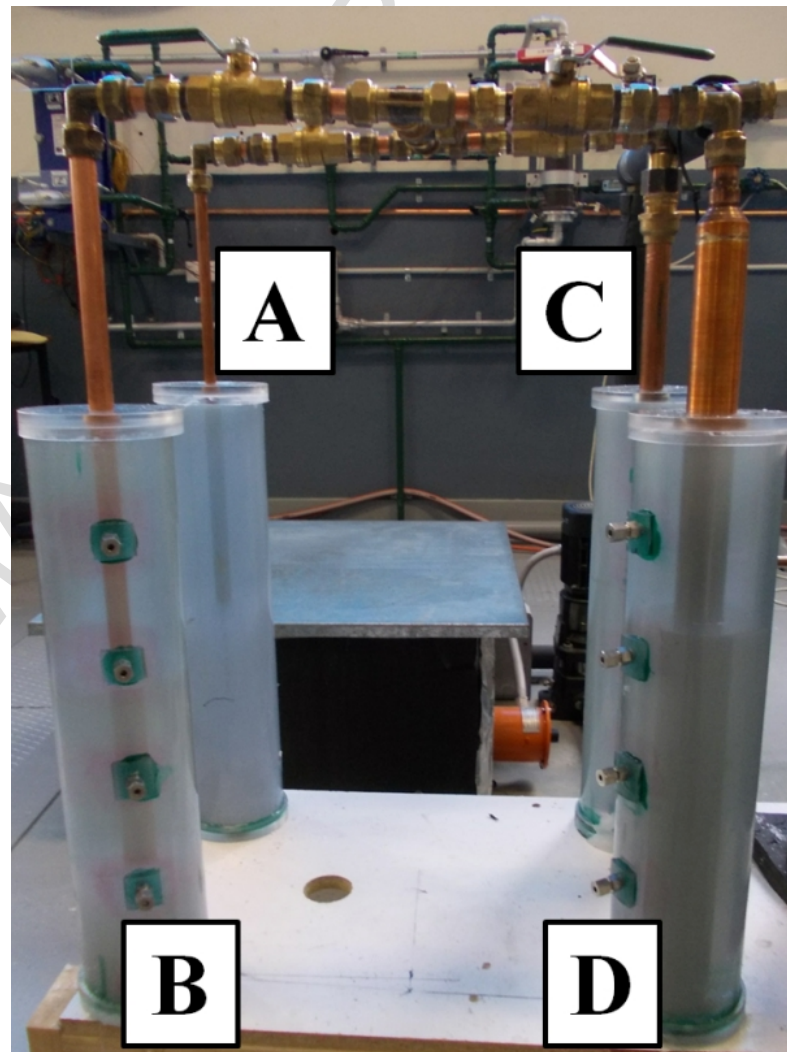
556 Fig. 11 Complete charging and discharging cycles for thermocouples located 5 mm away
557 from the shells under different HTF temperatures.

558 Fig. 12 Comparison of the PCM average temperature, liquid fraction, and stored energy
559 fraction during the complete charging/discharging cycle.

560 Fig. 13 The effect of the shell to tube radius ratio on the storage system performance under
561 different HTF temperatures.



(a)



(b)

Fig. 1 Pictorial views of (a) the whole experimental rig (b) the four PCM storage cylinders

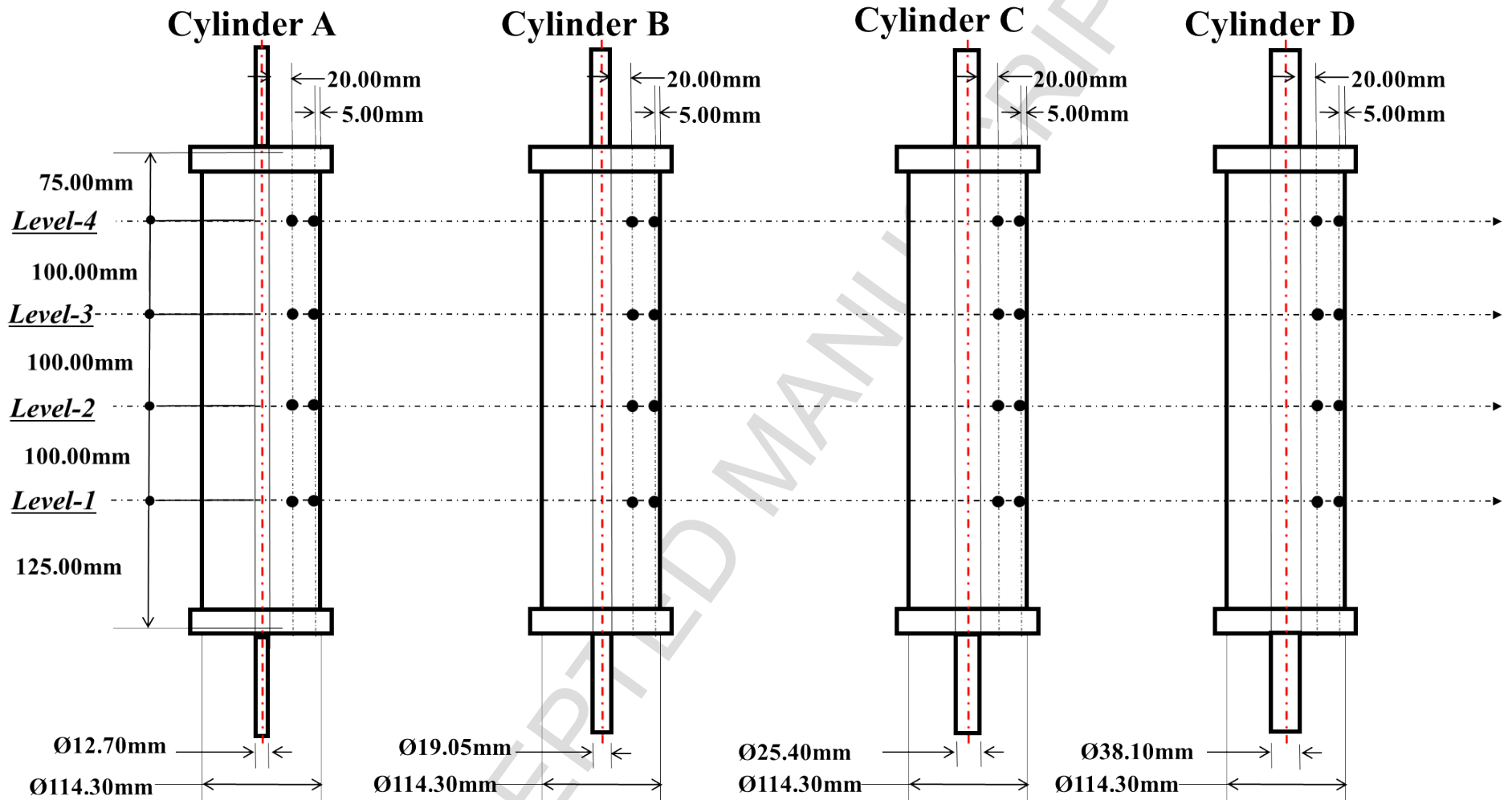


Fig. 2 Locations of thermocouples (bold points)

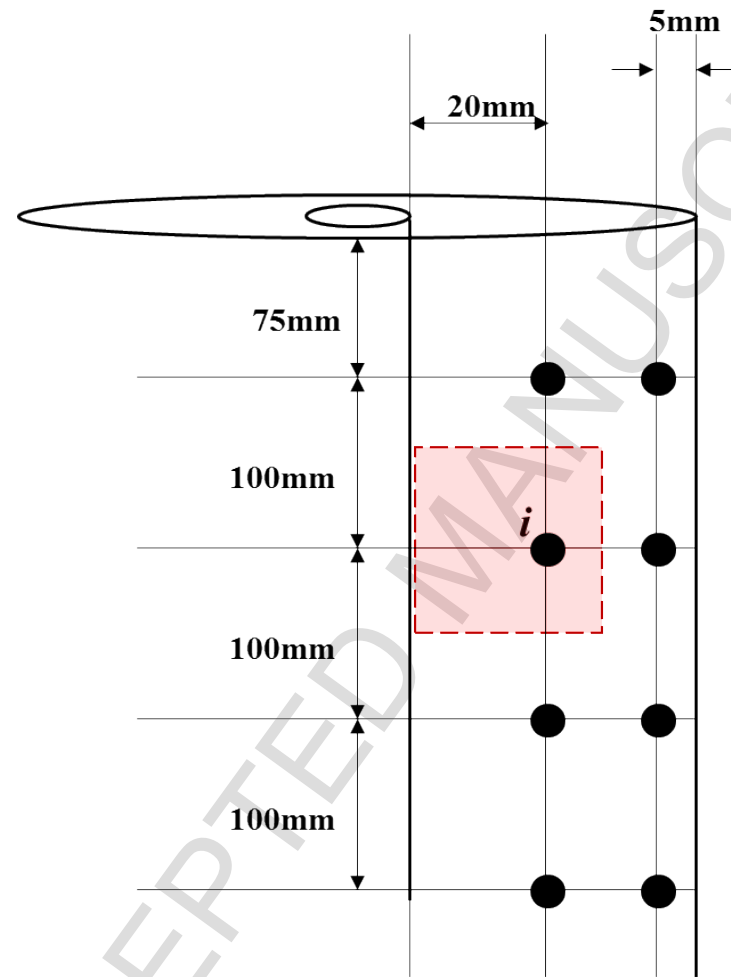


Fig. 3 Schematic representation of the experimental setup showing the location of thermocouples and the considered control volume

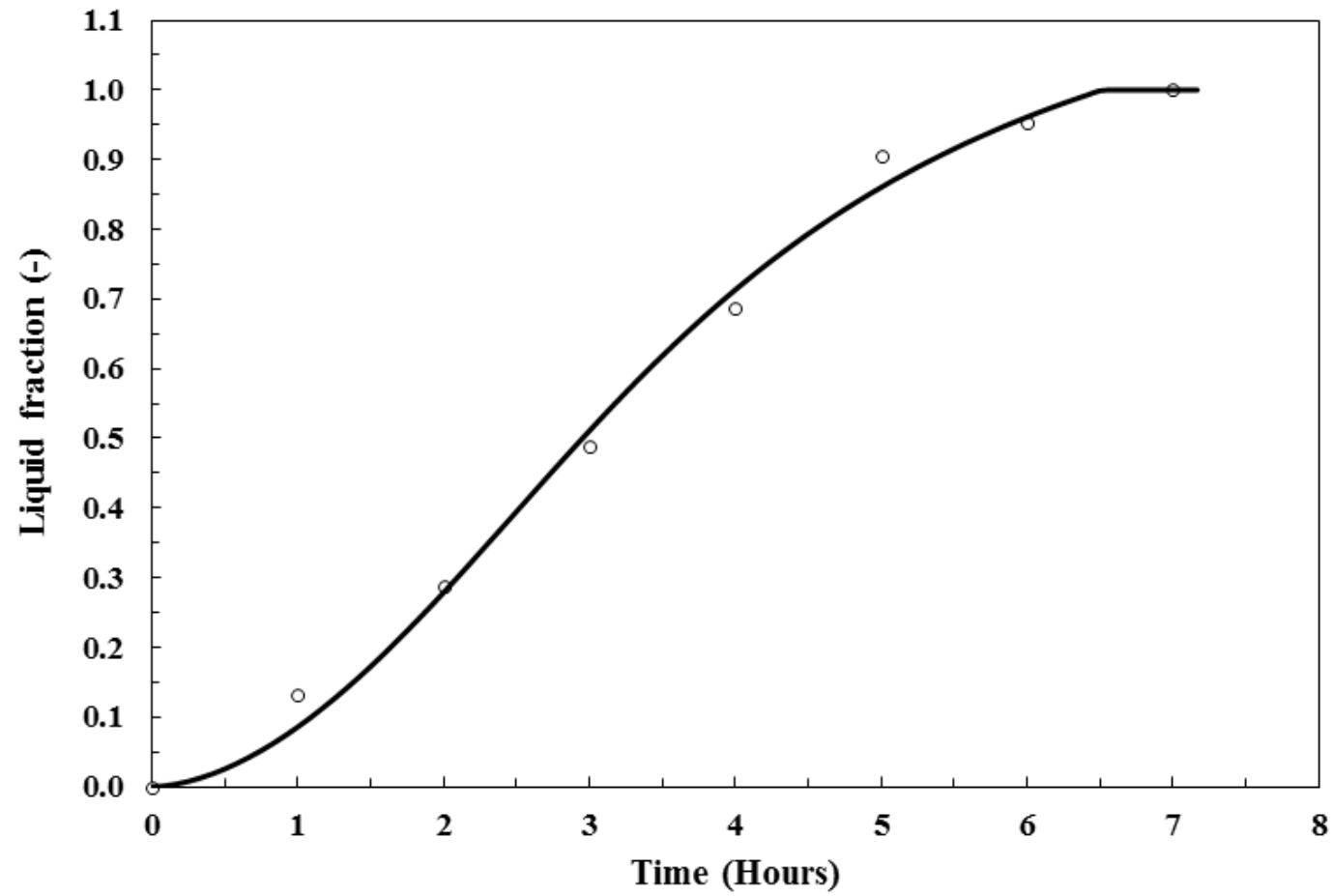
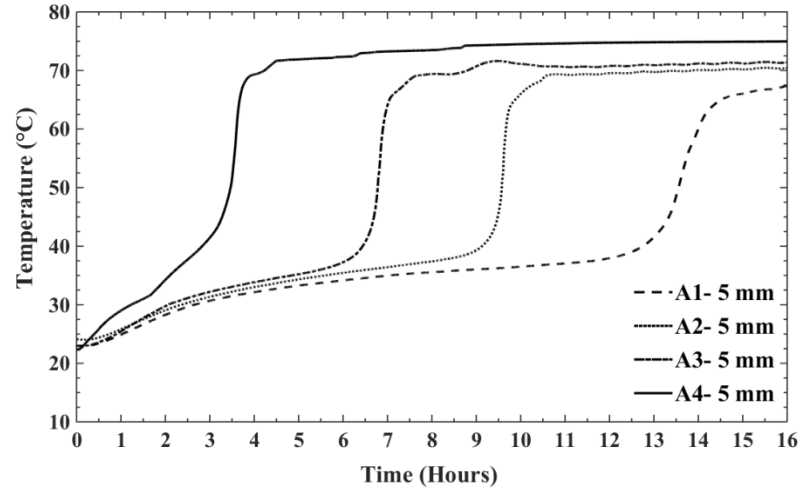
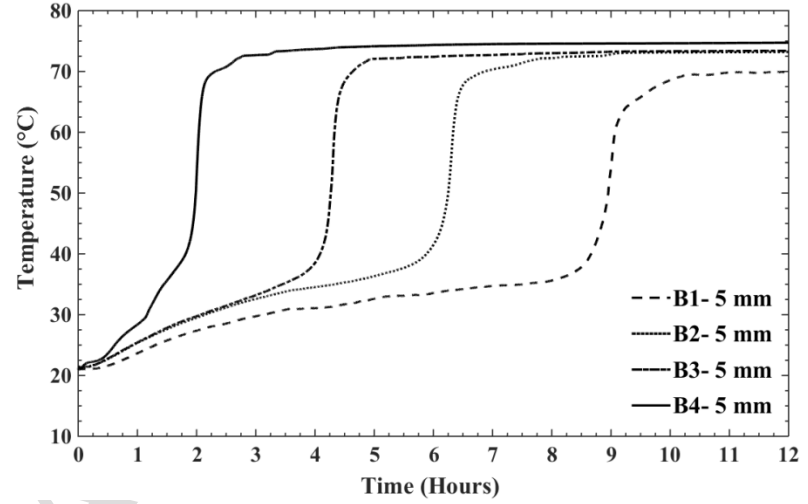


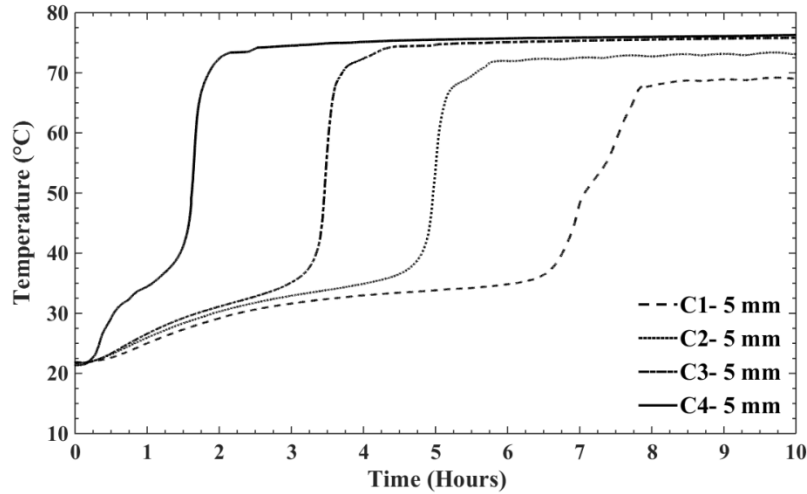
Fig. 4 Variation of the liquid fraction for Cylinder D under charging showing the fitted curve against the experimental data



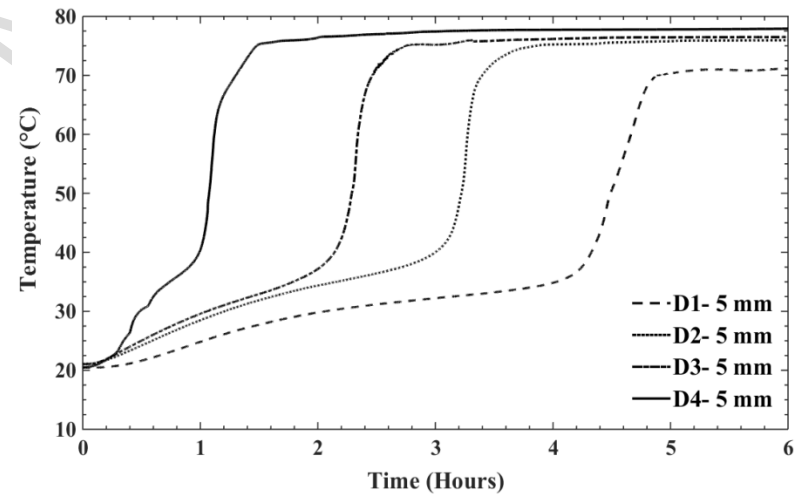
(a) Cylinder A



(b) Cylinder B

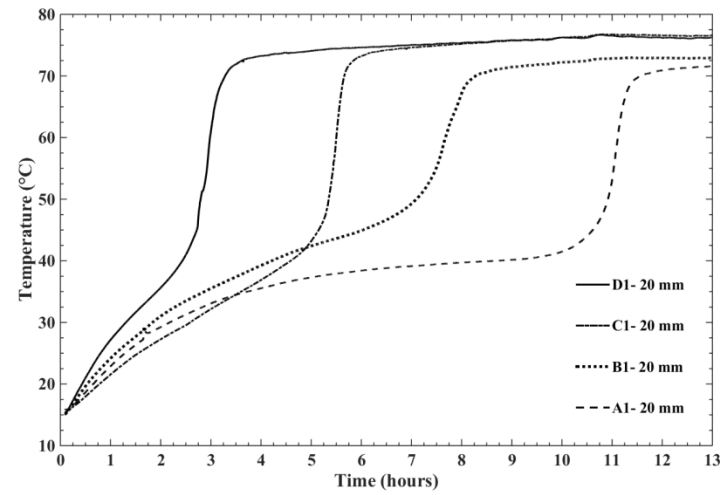


(c) Cylinder C

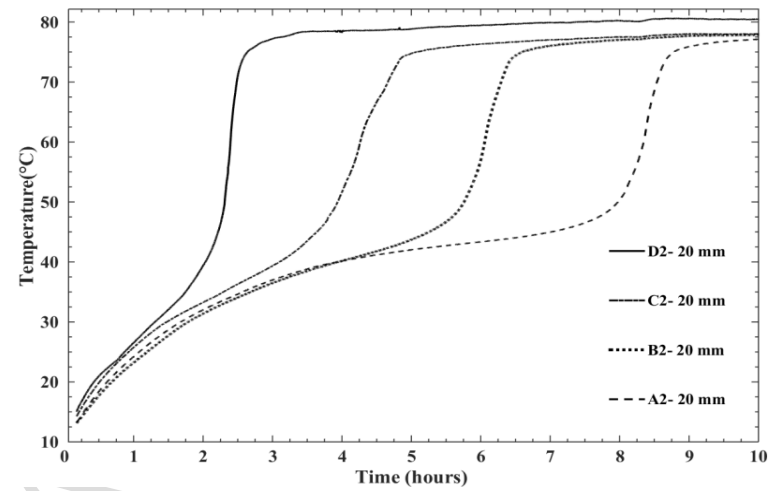


(d) Cylinder D

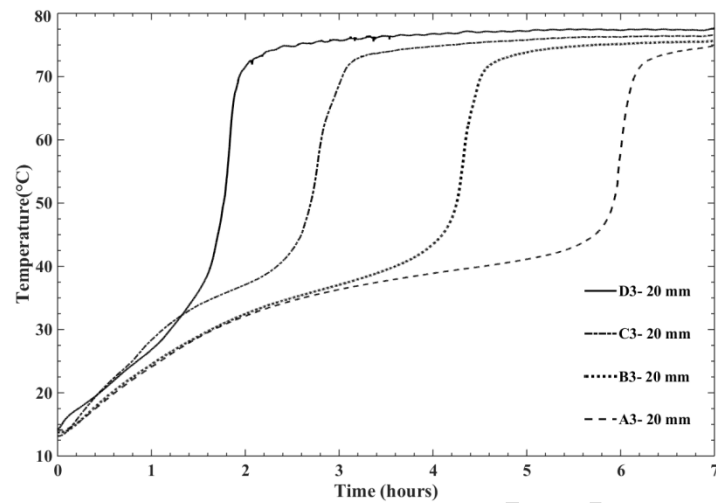
Fig. 5 Comparison of temperatures recorded by thermocouple probes located 5 mm away from the shells during the charging process



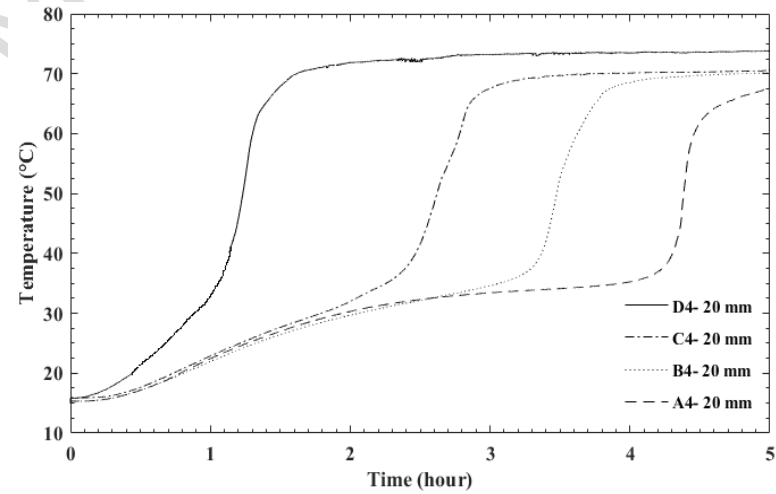
(a) Level 1



(b) Level 2

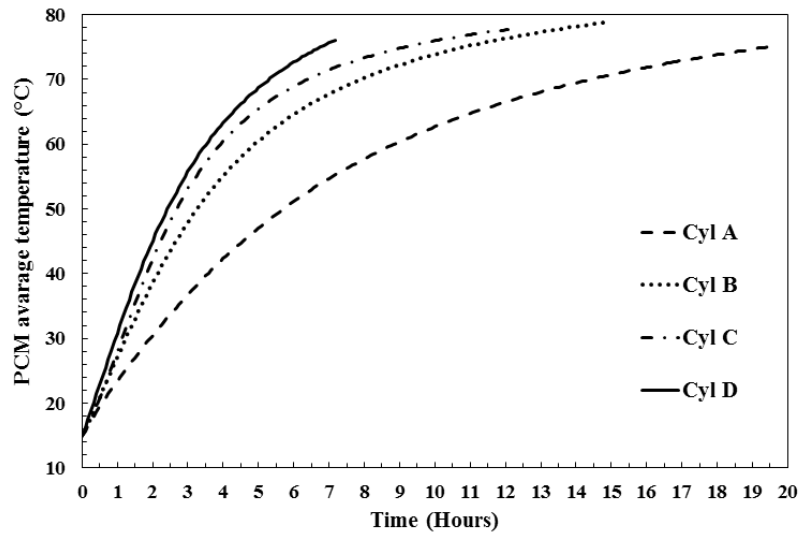


(c) Level 3

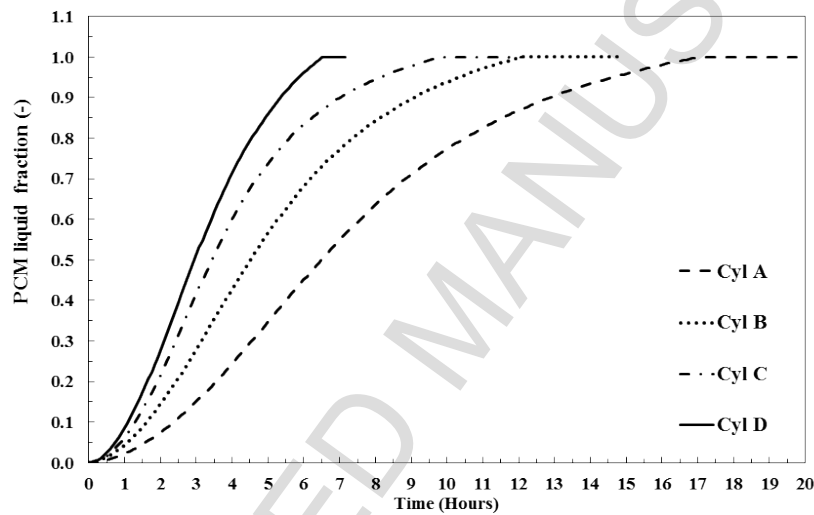


(d) Level 4

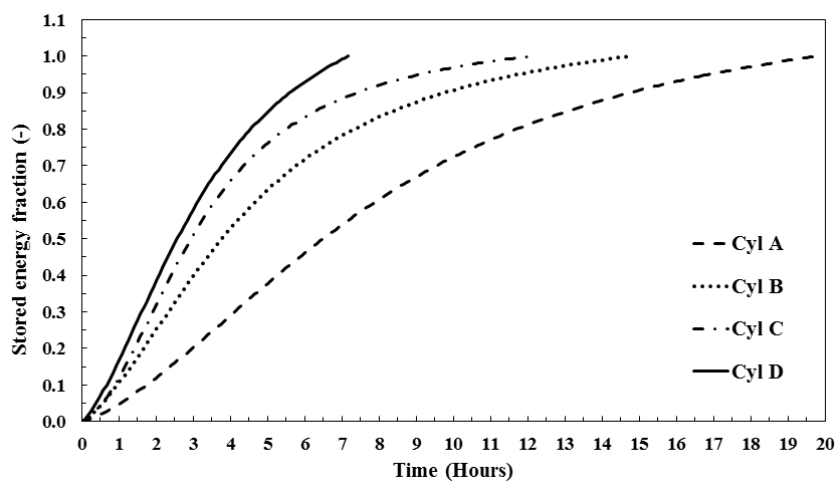
Fig. 6 Comparison of temperatures of thermocouples located 20 mm away from the HTF tubes during the charging process



(a) Comparison of average PCM temperature

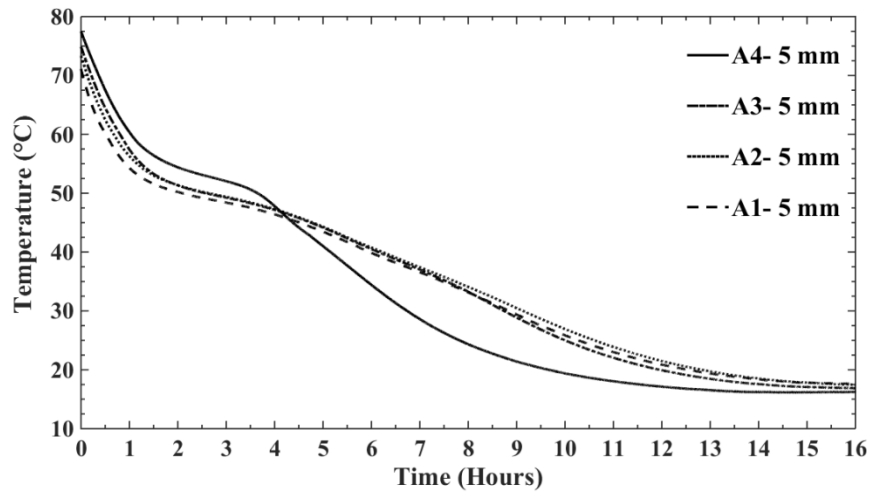


(b) Comparison of PCM liquid fraction

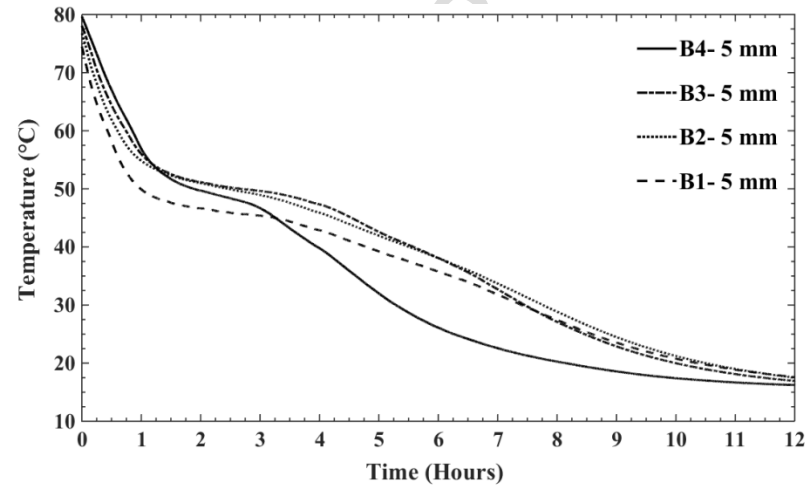


(c) Comparison of stored energy fraction

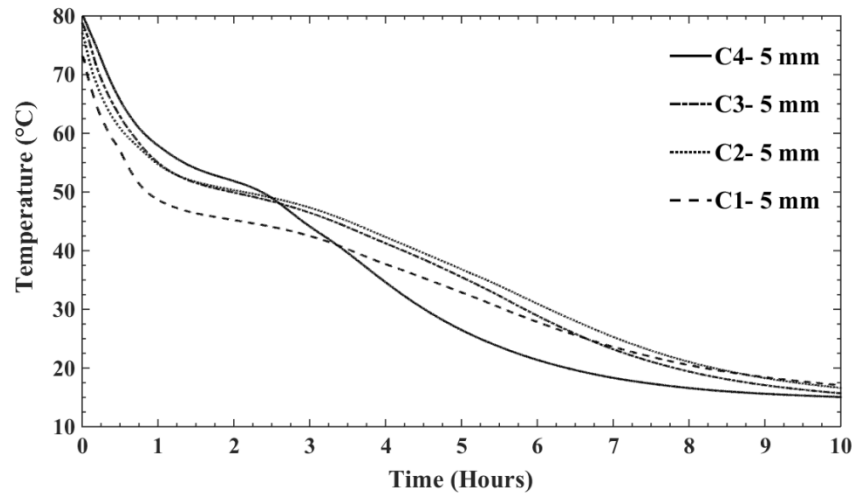
Fig. 7 Comparison of the PCM average temperature, liquid fraction, and stored energy fraction during the charging process



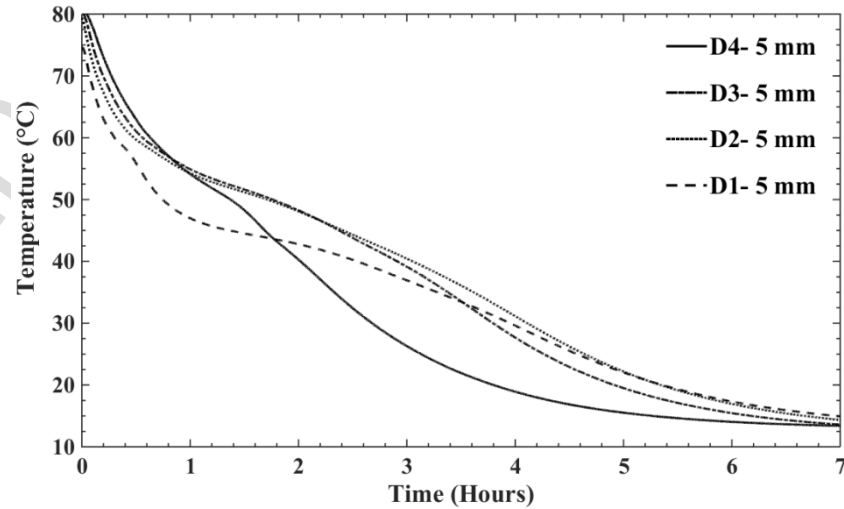
(a) Cylinder A



(b) Cylinder B

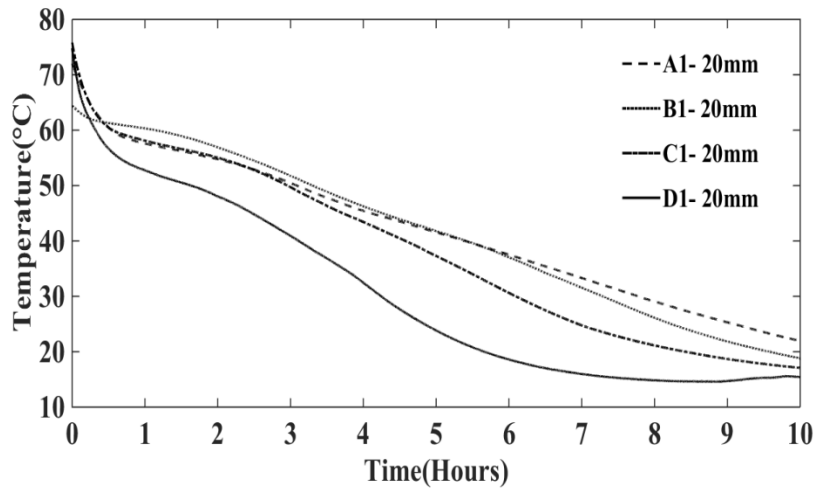


(c) Cylinder C

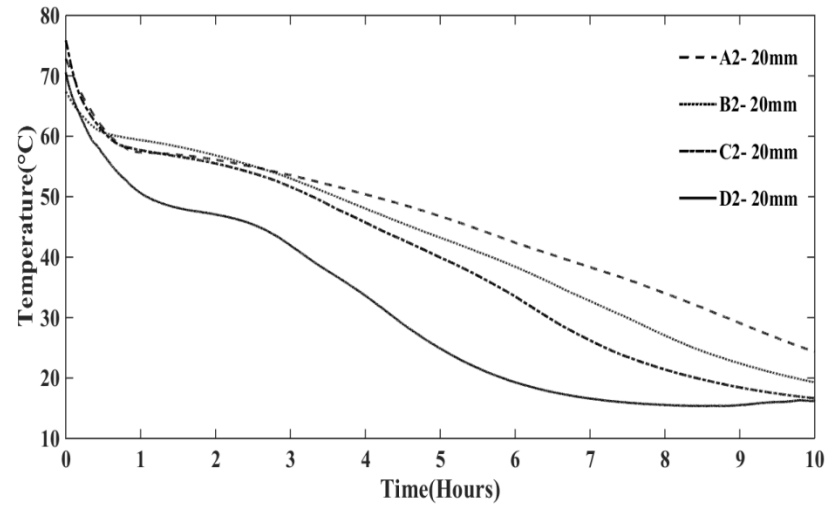


(d) Cylinder D

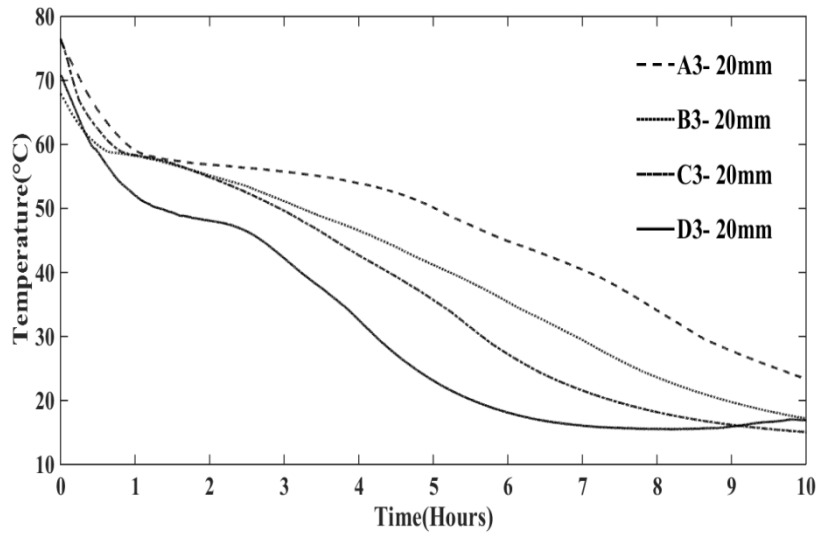
Fig. 8 Comparison of temperatures recorded by thermocouples located 5 mm away from the shells during the discharging process



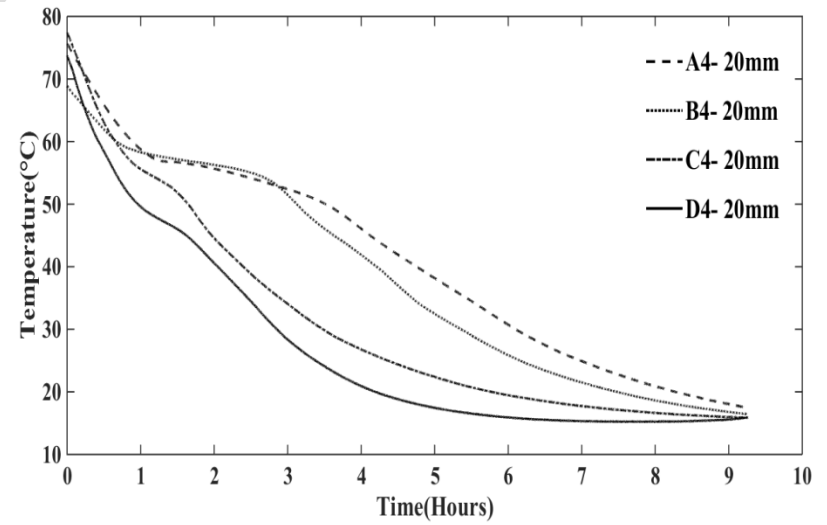
(a) Level 1



(b) Level 2

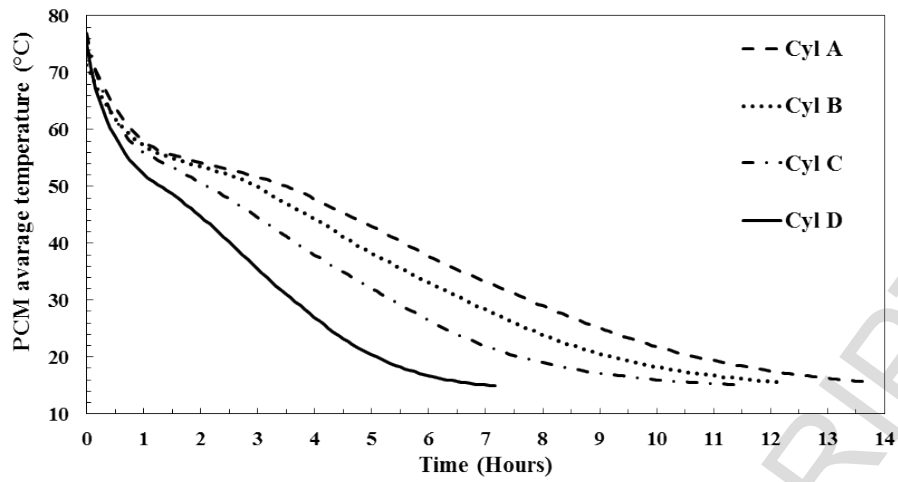


(c) Level 3

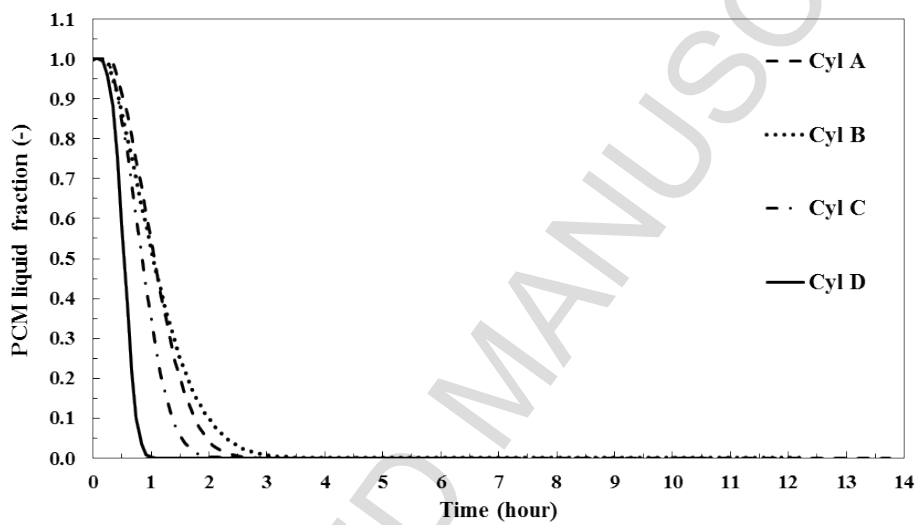


(d) Level 4

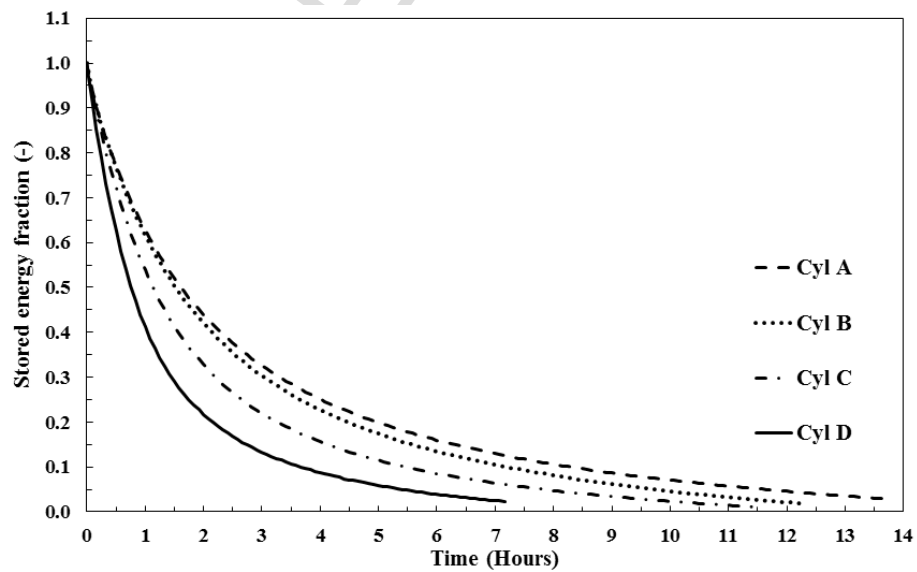
Fig. 9 Comparison of temperatures recorded by thermocouples located 20 mm away from the HTF tubes during the discharging process



(a) Comparison of average PCM temperature

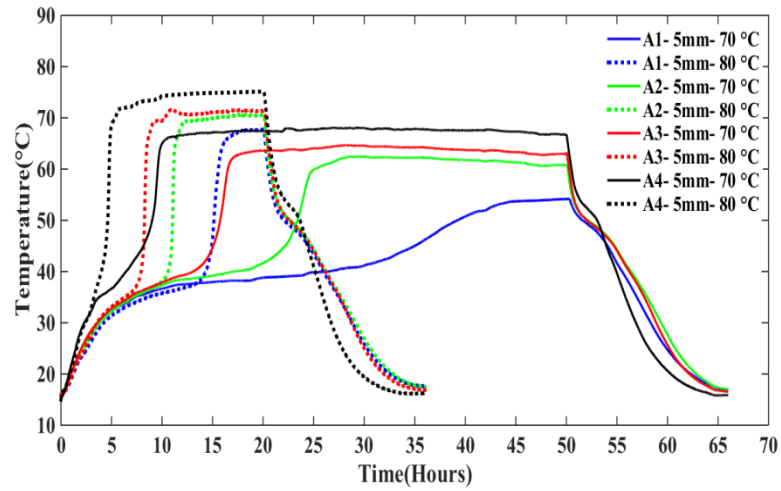


(b) Comparison of the PCM liquid fraction

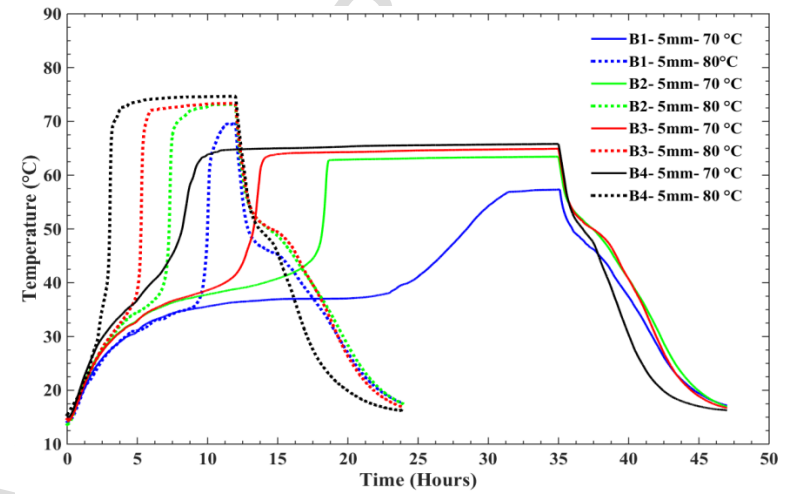


(c) Comparison of stored energy fraction

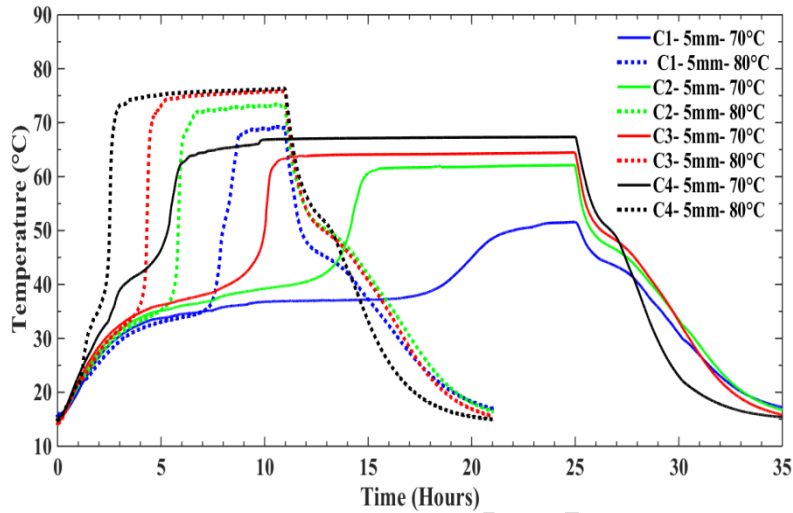
Fig. 10 Comparison of the PCM average temperature, liquid fraction, and stored energy fraction during the discharging process



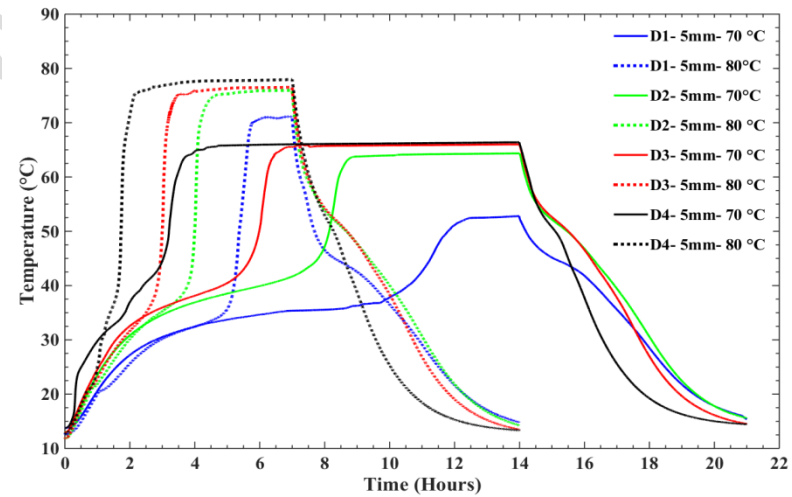
(a) Cylinder A



(b) Cylinder B

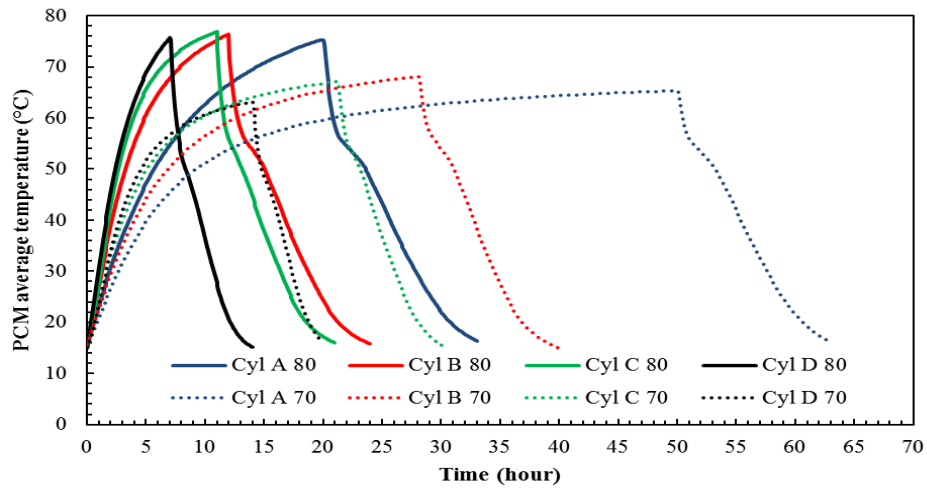


(c) Cylinder C

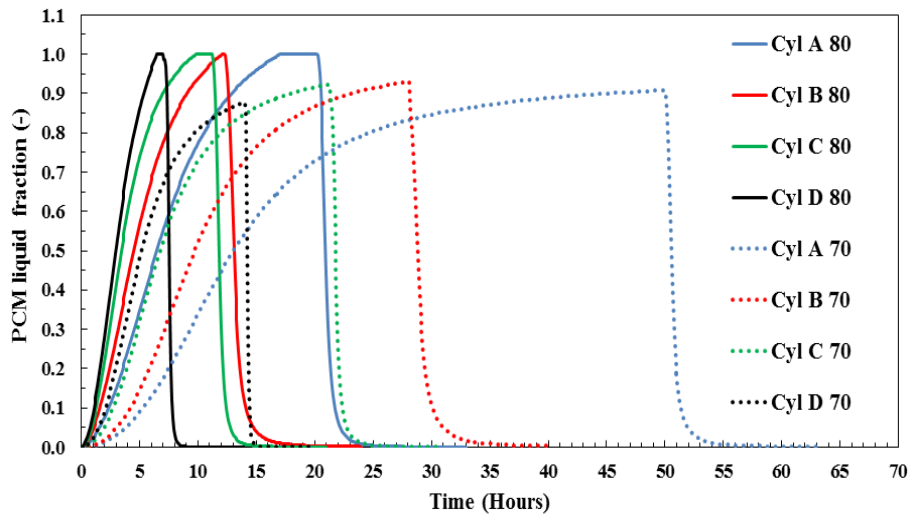


(d) Cylinder D

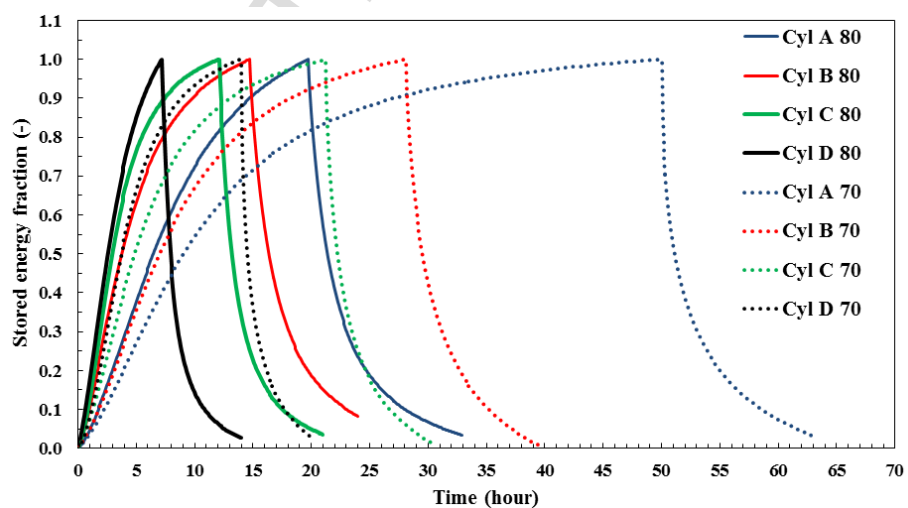
Fig. 11 Complete charging and discharging cycles for thermocouples located 5 mm away from the shells under different HTF temperatures



(a) Comparison of average PCM temperature

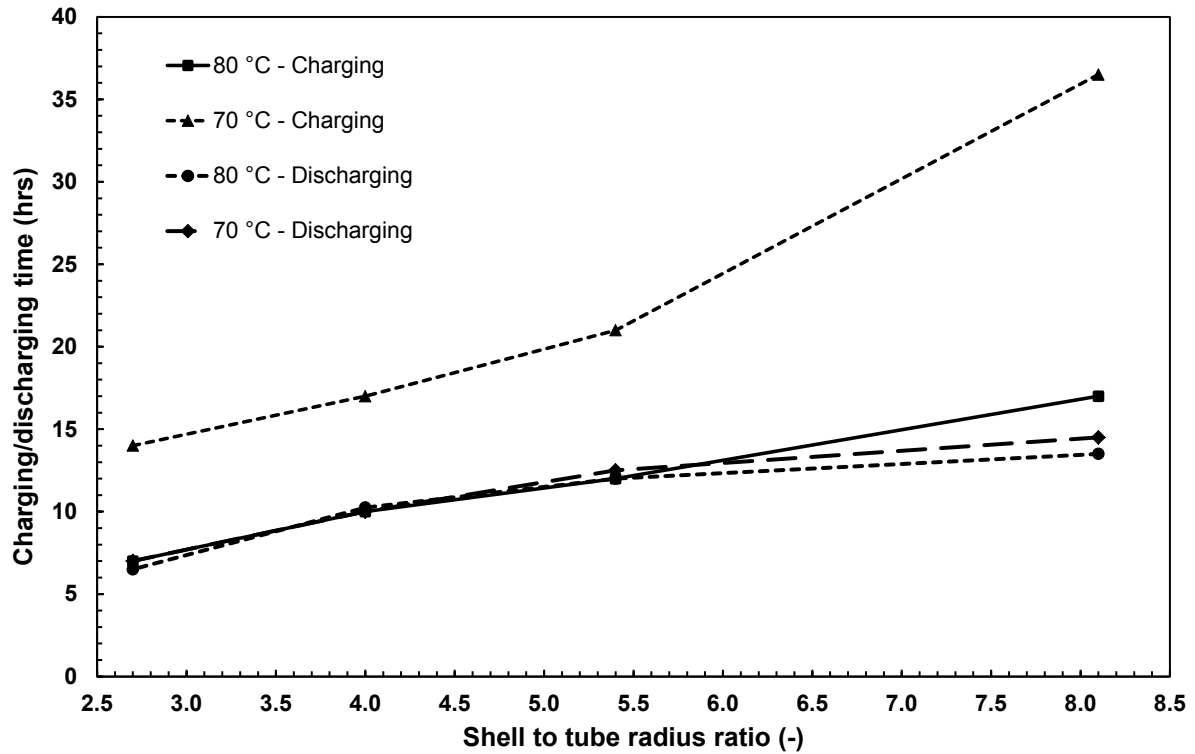


(b) Comparison of PCM liquid fraction

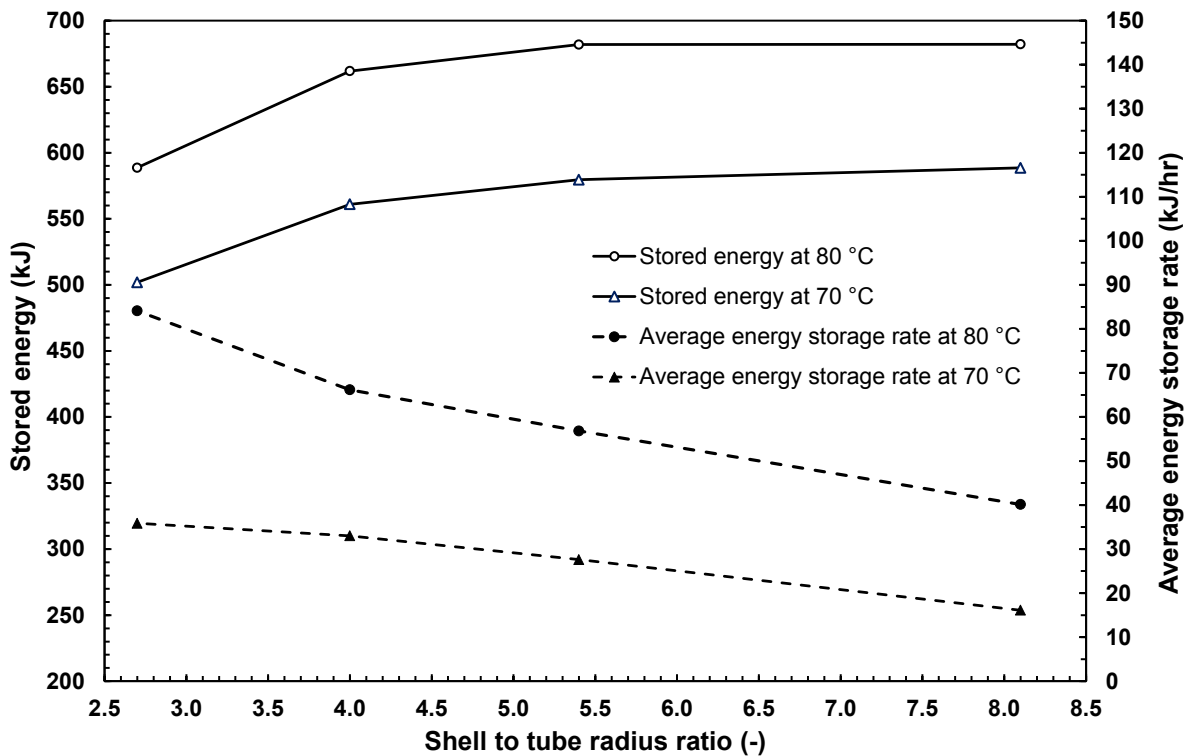


(c) Comparison of PCM liquid fraction

Fig. 12 Comparison of the PCM average temperature, liquid fraction, and stored energy fraction during the complete charging/discharging cycle



(a) Comparison of the charging and discharging time



(b) Comparison based on stored energy and average energy storage rate

Fig. 13 The effect of the shell to tube radius ratio on the storage system performance under different HTF temperatures

Highlights

1. Thermal behaviour of vertical latent heat thermal energy storage systems was studied.
2. Effect of geometric and operating parameters was investigated using a weighting method.
3. The optimal shell to tube radius ratio of the LHTES system was evaluated.
4. The HTF flow rate had insignificant effect on the system storage performance.
5. The system storage performance increased substantially with the HTF temperature.

Table 1 Summary of the presented studies investigating shell-and-tube latent heat thermal energy storage systems

Reference	Study type		Geometric analysis	Process		PCM	Phase change temperature	Orientation	Geometric parameters				
	Numerical	Experimental		Charging	Discharging				R_i	R_o	R_o/R_i	L	L/R_i
[7]	✓		✓	✓		-	-	Horizontal	-	-	2 ~ 4.2	0.1	20 ~ 48
[8]	✓	✓	✓	✓		<i>n</i> -octadecane	27.55	Horizontal	0.00635	0.011 ~ 0.0183	-	1	-
[9]	✓	✓	✓	✓		<i>n</i> -octadecane	27.55	Horizontal	0.00635	0.011 ~ 0.0183	-	1	-
[10]	✓		✓	✓	✓	Lithium fluoride	849	Horizontal	0.01	0.024 ~ 0.052	-	2.4	-
[11]	✓		✓	✓	✓	Lithium fluoride	849	Horizontal	-	-	3 ~ 5	-	110 ~ 350
[12]	✓		✓	✓	✓	LiF-MgF ₂	735	Horizontal	-	-	2.4 ~ 4.6	-	50 ~ 120
[13]	✓		✓	✓		CaCl ₂ ·6H ₂ O	29.7 ~ 29.85	Vertical	0.016 ~ 0.04	0.033 ~ 0.082	-	3.2	-
						Paraffin	32 ~ 32.1						
						Na ₂ SO ₄ ·10H ₂ O	39 ~ 39.15						
						Paraffin was	46.7 ~ 46.85						
[14]	✓		✓	✓		<i>n</i> -eicosan	36.4	Vertical	Constant	-	2 ~ 4.4	-	4 ~ 7
[15]	✓		✓	✓		<i>n</i> -eicosan	36.4	Vertical	-	-	1 ~ 6	-	-
[16]	✓	✓	✓	✓	✓	RT30	27.55	Vertical	0.0165	0.064	2.4 ~ 3.6	1	40 ~ 200
[17]	✓	✓		✓	✓	Technical grade paraffin	27.55	Vertical	0.0165	0.064	-	1	-
[18]		✓		✓		Paraffin wax	58 ~ 60	Vertical	0.0165	0.064	-	1	-

[19]		✓		✓	✓	Stearic acid	55.7 ~ 64.1	Vertical	0.0165	0.064	-	1	-
[20]	✓			✓	✓	LiF-CaF ₂	767	Horizontal	0.0125	0.025	-	1.5	-
[21]	✓			✓	✓	<i>n</i> -octadecane	27.55	Horizontal	0.00635	0.01135	-	1	-
[22]	✓		✓	✓		LiF-CaF ₂	767	Horizontal	0.0104 ~ 0.0178	0.024 ~ 0.028	-	1.5	-
[23]	✓			✓		LiF-CaF ₂	767	Horizontal	0.0125	0.025	-	1.5	-
[24]		✓		✓	✓	Paraffin (P56-P58)	56 ~ 58	Horizontal	0.028	0.103	-	0.5	-
[25]	✓	✓		✓	✓	RT50	44.05 ~ 54.15	Horizontal	0.022	0.085	-	1	-
[29]	✓			✓	✓	<i>n</i> -octadecane	27.55	Horizontal	0.00635	0.0079	-	1	-
[30]	✓		✓	✓	✓	<i>n</i> -octadecane	27.55	Horizontal	0.00635	-	1.3 ~ 5	-	50 ~ 300
						Paraffin C ₁₈	25						
						Polyglycol E600	22						
						CaCl ₂ ·6H ₂ O	29.9						
						Gallium	29.76						
						Methyl palmitate	29						
[31]	✓		✓	✓	✓	Lead	54	Vertical	-	-	1.3 ~ 3	1 ~ 5	20 ~ 200
						H425	152						
						Li ₂ CO ₃ -K ₂ CO ₃	232						

Table 2 Specifications of the investigated shell and tube systems

LHTES unit	Length	Shell radius	Tube radius	Radius ratio	Mass
	L (m)	R_o (m)	R_i (m)	R_o/R_i	m (kg)
Cylinder A	0.5	0.0512	0.00635	8.1	3.12
Cylinder B	0.5	0.0512	0.00953	5.4	3.06
Cylinder C	0.5	0.0512	0.01270	4.0	2.97
Cylinder D	0.5	0.0512	0.01905	2.7	2.73

Table 3 Thermophysical properties and test conditions [35]

PCM		HTF		Dimension
Melting temperature	55-61	Charging temperature	70 and 80	°C
Congealing temperature	61-55	Discharging temperature	10	°C
Specific heat	2	Specific heat	4.18	kJ/kg.K
Thermal conductivity	0.2	Thermal conductivity	0.58	W/m.K
Solid density	880 (at 15 °C)	Density	998	kg/m ³
Liquid density	770 (at 80 °C)			kg/m ³
Latent heat of fusion	123.5			kJ/kg
Volume expansion	12.5			%
Dynamic viscosity	3.705×10^{-5}			kg/m.s

Table 4 Effect of the HTF flow rate on the charging, discharging, and the complete cycle time

LHTES unit	Radius ratio	Same Re Number (23612), Different flow rates				Same flow rates (10 L/min), Different Re Number			
		HTF flow rate (L/min)	Charging Time (hrs)	Discharging Time (hrs)	Complete cycle Time (hrs)	Re Number (-)	Charging Time (hrs)	Discharging Time (hrs)	Complete Cycle Time (hrs)
Cylinder A	8.1	5	50.11	15.05	65.16	47,225	50	15	65
Cylinder B	5.4	7.5	35.15	12.52	47.67	31,400	35	12.5	47.5
Cylinder C	4.0	10	25	10	35	23,612	25	10	35
Cylinder D	2.7	15	13.90	6.95	20.85	15,741	14	7	21